

Regional Climate Responses to Geoengineering with Tropical and Arctic SO₂ Injections

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Abstract

1
2 Anthropogenic stratospheric aerosol production, so as to reduce solar insolation and cool
3 Earth, has been suggested as an emergency response to geoengineer the planet in response to
4 global warming. While volcanic eruptions have been suggested as innocuous examples of
5 stratospheric aerosols cooling the planet, the volcano analog actually argues against
6 geoengineering because of ozone depletion and regional hydrologic and temperature responses.
7 To further investigate the climate response, here we simulate the climate response to both
8 tropical and Arctic stratospheric injection of sulfate aerosol precursors using a comprehensive
9 atmosphere-ocean general circulation model, the National Aeronautics and Space Administration
10 Goddard Institute for Space Studies ModelE. We inject SO₂ and the model converts it to sulfate
11 aerosols, transports the aerosols and removes them through dry and wet deposition, and
12 calculates the climate response to the radiative forcing from the aerosols. We conduct
13 simulations of future climate with the Intergovernmental Panel on Climate Change A1B
14 business-as-usual scenario both with and without geoengineering, and compare the results. We
15 find that if there were a way to continuously inject SO₂ into the lower stratosphere, it would
16 produce global cooling. Tropical SO₂ injection would produce sustained cooling over most of
17 the world, with more cooling over continents. Arctic SO₂ injection would not just cool the
18 Arctic. Both tropical and Arctic SO₂ injection would disrupt the Asian and African summer
19 monsoons, reducing precipitation to the food supply for billions of people. These regional
20 climate anomalies are but one of many reasons that argue against the implementation of this kind
21 of geoengineering.

22 1. Introduction

23 The United Nations Framework Convention on Climate Change (UNFCCC) was
24 established in 1992. Signed by 194 countries and ratified by 189, including the United States, it
25 came into force in 1994. It says in part, “The ultimate objective of this Convention ... is to
26 achieve ... stabilization of greenhouse gas concentrations in the atmosphere at a level that would
27 prevent dangerous anthropogenic interference with the climate system.” “Dangerous
28 anthropogenic interference” was not defined, but is now generally considered to be at a CO₂
29 level of about 450 ppm, and we are currently at about 385 ppm.

30 In light of the failure of society to take any concerted actions to deal with global warming
31 in spite of the 1992 UNFCCC agreement, two prominent atmospheric scientists published papers
32 recently suggesting that society consider geoengineering solutions to global warming [*Crutzen*,
33 2006; *Wigley*, 2006]. While this suggestion is not new [*Rusin and Flit*, 1960; *Environmental*
34 *Pollution Panel*, 1965; *Budyko*, 1977; *Cicerone et al.*, 1992; *Panel on Policy Implications of*
35 *Greenhouse Warming*, 1992; *Leemans et al.*, 1996; *Dickinson*, 1996; *Schneider*, 1996, 2001;
36 *Flannery et al.*, 1997; *Teller et al.*, 1997, 1999, 2002; *Keith*, 2000, 2001; *Boyd et al.*, 2000; *Khan*
37 *et al.*, 2001; *Bower et al.*, 2006; and a long history of geoengineering proposals as detailed by
38 *Fleming*, 2004, 2006], it generated much interest in the press and in the scientific community,
39 including five commentaries published with the *Crutzen* [2006] article: *MacCracken* [2006],
40 *Bengtsson* [2006], *Cicerone* [2006], *Kiehl* [2006], and *Lawrence* [2006].

41 There have been many types of suggested geoengineering, including those based on
42 changing the CO₂ concentration in the atmosphere (ocean fertilization, carbon capture and
43 sequestration, and genetic modification of ecosystem productivity), damming the ocean (e.g.,
44 Gibraltar or Bering Straits), modification of the ocean surface albedo or evaporation, or albedo
45 enhancement of marine stratocumulus clouds (see references above). Another approach,

46 evaluated in this paper, is reducing the incoming solar radiation with artificial stratospheric
47 aerosols or space-based sun shields, that is, injecting sulfate or soot aerosols or their precursors
48 into the stratosphere or by placing mirrors or shades in orbit between the Sun and Earth to reduce
49 the amount of insolation [Angel, 2006]. In the case of “solar radiation management” [Lane *et al.*,
50 2007], the idea is that reduced insolation will compensate for the additional radiative forcing
51 from greenhouse gases. As Teller *et al.* [1997] point out, “The Earth’s surface is not considered
52 for reasons of land-use and local microclimate impacts, while the ocean surface poses
53 stability/durability/navigation compatibility concerns, and tropospheric residence times are not
54 usefully long for the types of scattering systems which we consider.”

55 This paper evaluates the suggestions for using sulfate aerosols in the stratosphere to
56 reduce insolation. These ideas have been evaluated with simple general circulation model
57 (GCM) experiments by Govindasamy and Caldeira [2000], in which geoengineering was
58 simulated as a reduction of the solar constant. However, the details of the solar forcing from the
59 specific effects of stratospheric aerosols were not evaluated in any detail. Govindasamy and
60 Caldeira [2000] used a slab ocean and only evaluated equilibrium experiments that reduced the
61 solar constant at the same time as doubling CO₂. They found that a reduction of 1.8% in solar
62 irradiance would balance the global warming produced by a CO₂ doubling. Govindasamy *et al.*
63 [2002] evaluated the effects of the same experiment on land surface vegetation and the carbon
64 cycle with the same GCM coupled to a terrestrial biosphere model, but again did not evaluate the
65 effects of aerosols. Govindasamy *et al.* [2003] continued the analysis for a quadrupling of CO₂,
66 but again with equilibrium experiments and a slab ocean.

67 Teller *et al.* [1997] discussed various geoengineering proposals, and Teller *et al.* [1999,
68 2002] did not propose new geoengineering beyond Teller *et al.* [1997], but described the results
69 of the Govindasamy and Caldeira [2000] and Govindasamy *et al.* [2002] GCM experiments.

70 *Wigley* [2006], with an energy balance model, and *Matthews and Caldeira* [2007], with an
71 intermediate complexity atmosphere-ocean GCM coupled to a carbon cycle model, used solar
72 constant reduction to mimic geoengineering. The only experiment done so far explicitly looking
73 at stratospheric aerosol injection was by *Rasch et al.* [2008] with an atmospheric GCM coupled
74 to a slab ocean, who used tropical injection of stratospheric aerosols prescribed at two size
75 distributions. Most of the previous experiments looked at the equilibrium climate response; the
76 only time-dependent studies were by *Wigley* [2006] with an energy-balance model and *Matthews*
77 *and Caldeira* [2007] with a simplified GCM. The results presented here are the first with a
78 comprehensive atmosphere-ocean GCM, the first to include interactive injection, transport, and
79 removal of stratospheric aerosol for Arctic injection, and the first comprehensive GCM
80 experiment to look at the time-dependent climate system response.

81 **2. Volcanic eruptions as an analog for geoengineering**

82 Geoengineering suggestions [e.g., *Crutzen*, 2006; *Wigley*, 2006] have claimed that
83 volcanic eruptions provide a good analog for stratospheric aerosol injection, and that the example
84 of the 1991 Mt. Pinatubo eruption was a rather innocuous event, which should give us
85 confidence that geoengineering is safe. However, tropical eruptions produce changes in
86 atmospheric circulation, with winter warming over Northern Hemisphere continents [e.g., *Graf*
87 *et al.*, 1993; *Kodera et al.*, 1996; *Robock*, 2000; *Stenchikov et al.*, 2002, 2004, 2006], but this
88 winter warming is only for one or two years after the eruption, when a temperature gradient is
89 maintained in the stratosphere and also depends on the phase of the quasi-biennial oscillation
90 [*Stenchikov et al.*, 2004]. Here we address the question of whether such a circulation anomaly
91 would persist with a continuous aerosol cloud. If so, regional warming from greenhouse gases
92 would be enhanced over some regions by a geoengineering “solution.” Furthermore, high
93 latitude eruptions weaken the Asian and African monsoons causing precipitation reductions

94 [Oman *et al.*, 2005, 2006a]. In fact, the 1783-1784 Laki eruption produced famine in Africa,
95 India, and Japan. Here we examine how smaller amounts of stratospheric aerosols would affect
96 summer wind and precipitation patterns and investigate whether schemes to geoengineer just the
97 Arctic would be confined there.

98 *Robock and Liu* [1994], using model simulations of volcanic eruptions, and *Trenberth*
99 *and Dai* [2007], using observations following the 1991 Pinatubo eruption, found large reductions
100 in the strength of the global hydrological cycle including in precipitation, soil moisture, and river
101 flow. Here we also examine the hydrological response to a long-lasting stratospheric aerosol
102 cloud to see whether this response was due to the episodic and unbalanced nature of the aerosol
103 forcing, or is a robust response to geoengineering.

104 Volcanic eruptions have also been observed to produce large stratospheric ozone
105 depletion following the 1982 El Chichón and 1991 Pinatubo eruptions [*Solomon*, 1999]. *Tilmes*
106 *et al.* [2008] showed that, in spite of the gradual decline of anthropogenic ozone depleting
107 substances expected over the next several decades, geoengineering with stratospheric aerosols
108 would produce large ozone depletion in the Arctic in winters with a cold polar lower
109 stratosphere, and would delay the disappearance of the Antarctic ozone hole, with effects lasting
110 throughout the 21st Century.

111 Thus, on first glance, the volcano analog actually seems to argue against geoengineering,
112 as there are negative consequences that accompany the cooling [*Robock*, 2008a]. Here we
113 evaluate the regional climate changes in detail to see the climatic response to both tropical and
114 Arctic aerosol precursor injection.

115 **3. Experimental Design**

116 A number of different aerosol types have been proposed for geoengineering. *Budyko*
117 [1977] describes detailed plans for adjusting the sulfur content of jet fuel so that airplanes

118 traveling in the lower stratosphere would inject the correct amount (as determined from climate
119 model calculations) of SO₂ into the stratosphere to form sulfate aerosols. *Turco* [1995] proposed
120 a scheme involving the conversion and release of fossil fuel sulfur as carbonyl sulfide (OCS),
121 which enhances the stratospheric sulfate layer, discussing the processes and potential pitfalls.
122 *Leemans et al.* [1996] discussed many options, and pointed out that sulfate aerosols in the
123 stratosphere might deplete ozone, and that pure soot aerosols, while not chemically reactive with
124 ozone, would affect ozone chemistry and reduce ozone due to the ensuing temperature rise in the
125 stratosphere. This was verified in GCM calculations by *Mills et al.* [2008] recently. *Teller et al.*
126 [1997] suggested using dielectric material of an optimum size, electrical conductors (metal
127 particles), or resonant molecules to scatter sunlight. They claimed that “appropriately fine-scale
128 particulate loadings of the middle stratosphere will persist for five-year intervals” which seems
129 like an overestimate to us, based on past work with volcanic sulfate aerosols, which have a 1-
130 year e-folding lifetime [e.g., *Stenchikov et al.*, 1998; *Gao et al.*, 2007]. *Budyko* [1977] assumed
131 an average lifetime of stratospheric aerosols of two years, which is a more reasonable estimate.

132 *Teller et al.* [1997] claimed that “Consistent with the slow latitudinal mixing-time of the
133 stratosphere well above the tropopause, different amounts of scattering material might be
134 deployed (e.g., at middle stratospheric altitudes, ~25 km) at different latitudes, so as to vary the
135 magnitude of insolation modulation for relatively narrow latitudinal bands around the Earth, e.g.,
136 to reduce heating of the tropics by preferential loading of the mid-stratospheric tropical reservoir
137 with insolation scatterer,” but based on observations of the dispersion of stratospheric volcanic
138 aerosols, this claim does not describe the way the stratosphere behaves. In fact, proposals to
139 inject artificial aerosols into the tropical stratosphere, so that atmospheric winds would disperse
140 them globally, earlier in the same paper are more consistent with stratospheric dynamics. As
141 *Budyko* [1977] says, “The choice of the region where the reagent is scattered is of limited

142 importance since data on the dispersion of product of volcanic eruptions demonstrate that reagent
143 from any point outside the tropical zone rapidly spreads over the entire hemisphere.” But he also
144 continues, “Circulation in the lower stratosphere can be of importance in selecting optimal
145 regions and periods of time for ejecting the reagent to ensure its most effective use.”

146 Previous geoengineering simulations have introduced sulfate aerosol precursors into the
147 tropical stratosphere [*Rasch et al.*, 2008] or simulated aerosol injection by reducing solar
148 insolation either uniformly globally [*Govindasamy and Caldeira*, 2000; *Govindasamy et al.*,
149 2002, 2003; *Matthews and Caldeira*, 2007] or in the Arctic [*Lane et al.*, 2007]. Therefore, we
150 decided to conduct experiments for both tropical and Arctic SO₂ injections, and to calculate the
151 time-dependent climate response.

152 We use the National Aeronautics and Space Administration Goddard Institute for Space
153 Studies ModelE atmosphere-ocean GCM. We used the stratospheric version with 4° latitude by
154 5° longitude horizontal resolution and 23 vertical levels up to 80 km [*Schmidt et al.*, 2006]. It is
155 fully coupled to a 4° latitude by 5° longitude dynamic ocean with 13 vertical levels [*Russell et*
156 *al.*, 1995]. It is important to use a full dynamic ocean in these simulations to obtain the most
157 realistic climate response, including how long it takes for the temperature and precipitation to
158 recover if the injecting of SO₂ should stop. This climate model has been tested extensively in
159 global warming experiments [*Hansen et al.*, 2005; *Schmidt et al.*, 2006] and to examine the
160 effects of volcanic eruptions on climate [*Oman et al.*, 2005, 2006a, 2006b] and nuclear winter
161 [*Robock et al.*, 2007a, 2007b]. The climate model (with a mixed-layer ocean) does an excellent
162 job of modeling the climatic response to the 1783 Laki [*Oman et al.*, 2006a] and the 1912
163 Katmai [*Oman et al.*, 2005] volcanic eruptions. We have also used this model to simulate the
164 transport and removal of sulfate aerosols from tropical and high-latitude volcanic eruptions
165 [*Oman et al.*, 2006b], and have shown that it does a good job of simulating the lifetime and

166 distribution of the volcanic aerosols. In the stratosphere, the aerosols from a tropical eruption
167 have an e-folding residence time of 12 months in the model, in excellent agreement with
168 observations, although the model transports aerosols poleward a little too fast.

169 The aerosol module [*Koch et al.*, 2006] accounts for SO₂ conversion to sulfate aerosols,
170 and transport and removal of the aerosols. The radiative forcing from the aerosols is fully
171 interactive with the atmospheric circulation. We define the dry aerosol effective radius as 0.25
172 μm, compared to 0.35 μm for our Pinatubo simulations. This creates hydrated sulfate aerosols
173 with an effective radius of approximately 0.30-0.35 μm for our geoengineering runs and 0.47-
174 0.52 μm for our Pinatubo simulations. It is difficult to say the size to which the aerosols will
175 grow without a microphysical model that has coagulation, but by injecting SO₂ continuously (as
176 compared to one eruption per year), coagulation would be reduced, since concentrations would
177 be lower and the aerosol particles will be more globally distributed. The smaller size aerosols
178 have a slightly longer lifetime so this would reduce the rate of injection needed to maintain a
179 specific loading, as described in detail by *Rasch et al.* [2008]. By using a smaller aerosol size
180 (about 30% less than Pinatubo), there is about half the heating of the lower tropical stratosphere
181 (0.2-0.5°C for our 5 Tg/yr case) as compared to the equivalent loading using a Pinatubo size
182 aerosol. But as *Tilmes et al.* [2008] point out, smaller aerosol particles would cause much more
183 ozone depletion for the same mass of aerosol, because they would have a larger total surface area
184 for chemical reactions. For our tropical experiments, we injected SO₂ at a slightly lower altitude
185 than Pinatubo. The altitude and size distribution of the aerosols affect the amount of warming of
186 the tropopause cold point and the amount of additional water vapor let into the stratosphere,
187 which produces global warming to counteract the geoengineering. Our model includes this
188 feedback, but we have not yet examined the sensitivity of the results to the details for
189 stratospheric injection height and size distribution.

190 It is possible to conduct experiments gradually increasing geoengineering to just match
191 global warming and keep global average surface air temperature constant [Wigley, 2006], but this
192 presupposes that the current climate (whenever geoengineering would start) would be the
193 optimal one. As we were interested in the response of the climate system to a “permanent”
194 stratospheric aerosol cloud, we conducted experiments by injection of SO₂ at a constant rate for
195 20 years, and then continuing our experiments for another 20 years to examine the response to an
196 instantaneous shut-off of geoengineering. We conducted the following GCM simulations:

- 197 • 80-yr control run with greenhouse concentrations and tropospheric aerosols at 1999 levels.
- 198 • 40-yr run forced by greenhouse gases (CO₂, CH₄, N₂O, O₃) and tropospheric aerosols (sulfate,
199 biogenic, and soot), using the IPCC A1B business-as-usual global warming scenario. We
200 conducted a 3-member ensemble with different initial conditions for each ensemble
201 member to address the issue of random climate variability. We will refer to this as the A1B
202 run.
- 203 • 40-yr A1B anthropogenic forcing plus Arctic lower stratospheric injection of 3 Mt SO₂/yr,
204 also a 3-member ensemble (Arctic 3 Mt/yr run).
- 205 • 40-yr A1B anthropogenic forcing plus tropical lower stratospheric injection of 5 Mt SO₂/yr,
206 also a 3-member ensemble (Tropical 5 Mt/yr run).
- 207 • 40-yr A1B anthropogenic forcing plus tropical lower stratospheric injection of 10 Mt SO₂/yr,
208 only one run (Tropical 10 Mt/yr run).

209 We only conducted one Tropical 10 Mt/yr run because it is an extreme case and the
210 variability between ensemble members is small. We focus most of the analysis on the Arctic 3
211 Mt/yr and Tropical 5 Mt/yr runs. For the tropical experiments, we put SO₂ into a box one grid
212 cell wide and three model layers thick over the Equator at longitude 120°E in the lower
213 stratosphere (16-23 km) at every time step at a rate equal to 5 Mt/yr or 10 Mt/yr for 20 years, and

214 then continue to run for another 20 years to see how fast the system warms afterwards. As the
215 1991 Mt. Pinatubo eruption put about 20 Mt of SO₂ into the stratosphere [*Bluth et al.*, 1992], 5
216 Mt/yr is the equivalent of a Pinatubo eruption every 4 years and 10 Mt/yr is a Pinatubo every 2
217 years, but we inject the SO₂ continuously at those rates in the experiments here. For the Arctic
218 experiment, we used a lower injection rate, as the idea is to limit the climate response to the
219 Arctic and produce a shorter lifetime for the aerosols. We injected SO₂ continuously at a rate
220 equal to 3 Mt/yr into a box one grid cell wide and three model layers thick at latitude 68°N and
221 longitude 120°E in the lower stratosphere (10-15 km). (The longitude of the injection is
222 arbitrary and does not affect the results, as the atmosphere quickly smooths out the aerosol
223 distribution.)

224 We should also point out that we know of no practical mechanism for actually injecting
225 SO₂ into the stratosphere, on a continuous or even episodic basis, at the rates in our experiments.
226 Suggestions of a geoengineering air force, sulfur injection from commercial air flights, artillery,
227 and hoses suspended from dirigibles are all problematic, but discussion of the details is beyond
228 the scope of this paper. Nevertheless, because there have been serious suggestions to attempt to
229 develop such technology, we study here the climate response to hypothetical SO₂ injections.

230 **4. Results**

231 Figure 1 shows the annual average surface air temperature for the ensemble mean of each
232 of our runs compared to the observed climate change since 1880. While the A1B simulation
233 produces continued global warming at a rate very similar to that observed for the past 30 yr, each
234 of the geoengineering runs reduces the global warming, with more reduction for more SO₂
235 injected. However, the Arctic SO₂ has a proportionately smaller impact on cooling the climate
236 for two reasons. The lifetime of the aerosols is shorter, as they are removed mainly in the Arctic,
237 due to the prevailing stratospheric circulation, while the tropical aerosols are transported

238 poleward before much removal. In addition, because the Arctic aerosols are at high latitudes,
239 they cover a relatively small area and the intensity of solar radiation is less there. While the mid-
240 summer insolation is the same at high latitudes as at lower latitudes, averaged over the year,
241 there is less radiation to scatter. The global average reduction in downward shortwave radiation
242 at the surface for the Arctic 3 Mt/yr is only about 0.2 W m^{-2} , while for the Tropical 5 Mt/yr run it
243 is 1.8 W m^{-2} (Figure 2). The effects of the Tropical 10 Mt/yr case are approximately double
244 those of the Tropical 5 Mt/yr case, so we concentrate on the latter for detailed analysis of a
245 Tropical scenario. Infrared effects of the aerosols (on enhanced downward radiation) are 2
246 orders of magnitude less than shortwave effects.

247 Figure 2 also shows the global average temperature and precipitation anomalies for the
248 A1B, Arctic 3 Mt/yr, and Tropical 5 Mt/yr runs. The global average precipitation is reduced
249 along with the temperature in the geoengineering runs, as expected. However, compared to the
250 radiative forcing from greenhouse gases, the radiative forcing from reduction of solar radiation
251 has a disproportionately large impact on precipitation as compared to temperature, because the
252 radiative forcing from shortwave radiation has no compensating impact on the vertical
253 temperature structure of the atmosphere [*Yang et al.*, 2003]. This can be seen, for example, by
254 comparing years 15-20 for the A1B and Tropical 5 Mt/yr runs. While the temperature changes
255 are about the same ($+0.4^\circ\text{C}$ for the warming and -0.4°C for the cooling), the precipitation
256 reduction for the Tropical 5 Mt/yr run is almost twice the precipitation increase for the A1B run.
257 In fact, for a 1 W m^{-2} change in radiative forcing in the shortwave, we get a 1.7% change in
258 precipitation, but for the same change in the longwave, we get 1.0%.

259 We now examine the seasonal and regional distributions of radiative forcing and climate
260 change. We examine a 10-year average of the anomaly patterns for the second half of the 20-yr
261 period during which we applied the geoengineering forcing, by which time any initial effects

262 from the initiation of geoengineering are minimal (Figure 1). Figure 3 shows the change in
263 downward surface shortwave flux from the Tropical 5 Mt/yr and Arctic 3 Mt/yr runs. The Arctic
264 aerosol precursors were emitted at 68°N, and the aerosols spread both northward and southward.
265 Although the main radiative forcing is in the Arctic, the effect is significant as far south as 30°N.
266 Thus suggestions of geoengineering only the Arctic, as simulated in preliminary experiments by
267 reducing the incoming solar radiation in Arctic caps with fixed southern borders [*Lane et al.*,
268 2007], are not supported by these results. The radiative forcing from the Tropical 5 Mt injection
269 is rather uniform, as the aerosols spread poleward before being removed. The pattern is quite
270 similar to what would be achieved from a uniform reduction of insolation. The e-folding lifetime
271 of the stratospheric aerosols for the Arctic 3 Mt/yr case is 3 months, while for the Tropical 5
272 Mt/yr case it is 12 months, comparable to that for volcanic eruptions. There is a clear seasonal
273 cycle in the e-folding lifetime of the stratospheric aerosols in the Arctic case ranging from 2 to 4
274 months. The maximum lifetime occurs during boreal summer with a minimum during boreal
275 winter with the formation of the polar vortex and higher rates of tropopause folding.

276 The surface air temperature and precipitation changes for the A1B runs as compared to
277 the mean of the control run are shown in Figure 4. As is typical of such results, the warming is
278 enhanced in the polar regions, particularly in the winter. There is less warming in the northeast
279 Atlantic Ocean and around Antarctica because of ocean circulation feedbacks. Annual average
280 changes in precipitation are very small in spite of the warming, as expected [*Yang et al.*, 2003].
281 There are no significant precipitation changes over land in Northern Hemisphere summer or
282 winter either.

283 While the Arctic 3 Mt/yr scenario produces only a little less global-average warming than
284 the A1B run (Figures 2-3), there are still large regional changes (Figure 5). The Northern
285 Hemisphere warms less than in the A1B run (Figure 5, right column), but there is even more

286 warming over northern Africa and India in the Northern Hemisphere summer. This is produced
287 by a weakening of the African and Asian summer monsoon circulation, an effect found
288 previously from high latitude volcanic eruptions, both in model results and in observations
289 [Oman *et al.*, 2005, 2006a] and in nuclear winter simulations [Robock *et al.*, 2007a, 2007b]. The
290 warming is produced by a reduction in cloudiness. And even though the annual average
291 temperature does not change much anywhere, there is still a small warming over eastern Europe
292 (Figure 5, top left panel), particularly in the Northern Hemisphere summer (Figure 5, middle left
293 panel). The winter warming in the Bering Sea (Figure 5, lower left panel), is from a
294 strengthened Aleutian Low advecting warmer maritime air to the north, although it is difficult to
295 gauge its significance. The temperature field is close to significant at the 5% level, but the sea
296 level pressure change, 1.0-1.5 mb lower than the control over this time period, is not significant.

297 Figure 6 shows the temperature changes for the Tropical 5 Mt/yr case. As compared to
298 the A1B case (right column), there is global cooling, particularly over the continents, as
299 expected. Even in absolute terms as compared to the control case (left column), there is cooling.
300 But even in this case, there is a region of warming over India in the summer, for the same
301 reasons as discussed above. In the Tropical 5 Mt/yr case there is more cooling over the Asian
302 continent than in the Arctic 3 Mt/yr case (Figure 5), but because the aerosol cloud also covers the
303 tropics it also cools the ocean. Therefore, the effect on the temperature gradient is not as large
304 and there is not as large an impact on the summer monsoon.

305 The Northern Hemisphere winter pattern for the Tropical 5 Mt/yr case (Figure 6, bottom
306 row) shows little evidence of winter warming, which is found in the first, and sometimes second,
307 winter after tropical volcanic eruptions, as discussed above. The winter warming pattern, the
308 positive mode of the Arctic Oscillation [Thompson and Wallace, 1998], is produced by a
309 temperature gradient in the lower stratosphere caused by heating of the tropical region by

310 absorption of both terrestrial longwave and solar near-infrared radiation by the volcanic aerosol
311 cloud. However, in the case of geoengineering here, the aerosol cloud is well-distributed in
312 latitude (Figure 3), so there is not a large temperature gradient to produce a stronger polar vortex.

313 Figure 7 shows patterns of precipitation change for the Arctic 3 Mt/yr case. While most
314 of the world shows little annual average change, there is still a significant reduction of
315 precipitation in India (top left). In addition, there is a large reduction over India and northern
316 China in the Northern Hemisphere summer, associated with the reduction of the summer
317 monsoon, as discussed above, which is significant over India. As compared to the A1B case,
318 there is also a significant reduction over the Sahel and over northern China and Japan (middle,
319 right panel). The precipitation patterns for the Tropical 5 Mt/yr case are similar (Figure 8). The
320 annual average patterns are similar to those of *Rasch et al.* [2008], but they did not examine the
321 seasonal patterns.

322 Because of the observed rapid decrease in summer Arctic sea ice [*Kerr, 2007*], even
323 larger than climate model predictions [*Vinnikov et al., 1999; IPCC, 2007; Stroeve et al., 2007*],
324 one of the goals of proposed geoengineering is to prevent the disappearance of Arctic sea ice in
325 the summer and the resultant large consequences for the entire ecosystem, including endangered
326 or precarious indigenous species, such as polar bears and walruses. Figure 9 shows that both the
327 Arctic 3 Mt/yr and Tropical 5 Mt/yr cases produce much more sea ice in September, the time of
328 minimum sea ice extent. This is shown in the time series of September Arctic sea ice in Figure
329 10, which also shows rapid ice melting as soon as geoengineering stops.

330 **5. Discussion and Conclusions**

331 It is clear from our results that if enough aerosols could be put into the stratosphere, they
332 would cool the planet and even reverse global warming (Figure 1). This brings up the question
333 of what the optimal global climate should be, if we could control it. And who would decide?

334 Should it be the current climate? The pre-industrial climate? Figure 1 shows that if enough SO₂
335 could be continuously injected into the stratosphere, the global thermostat could be adjusted at
336 any setting, but that if stopped at some time, say by lack of technical capability, political will, or
337 discovery of unforeseen negative consequences, there would be even more rapid global warming
338 than has occurred in the past century or than is projected with business as usual, as previously
339 shown by *Wigley* [2006] and *Matthews and Caldeira* [2007]. Adaptation to such a rapid climate
340 change would be difficult.

341 Tropical injection schemes could cool the global average climate. There would be more
342 cooling over continental areas, as expected. But the consequences for the African and Asian
343 summer monsoons could be serious, threatening the food and water supplies to billions of
344 people.

345 The safety and efficacy of the recent suggestion of injection of sulfate aerosols into the
346 Arctic stratosphere to prevent sea ice and Greenland from melting while avoiding adverse effects
347 on the biosphere at lower latitudes [*Lane et al.*, 2007] are not supported by our results. While
348 Arctic temperature could be controlled, and sea ice melting could be reversed, there would still
349 be large consequences for the summer monsoons, since the aerosols would not be confined to the
350 polar region.

351 Mitigation (reducing emissions of greenhouse gases) will reduce global warming, but is
352 only now being seriously addressed by the planet. Whether we should use geoengineering as a
353 temporary measure to avoid the most serious consequences of global warming requires a detailed
354 evaluation of the benefits, costs, and dangers of different options. *MacCracken* [2006],
355 *Bengtsson* [2006], *Cicerone* [2006], *Kiehl* [2006], and *Lawrence* [2006] all express concern
356 about geoengineering. *Robock* [2008b] lists 20 reasons that argue against the implementation of
357 this kind of geoengineering. The work here helps to document some benefits of geoengineering

358 (global cooling and preservation of Arctic sea ice), but also the possible side effects on regional
359 climate, item 1 on that list.

360

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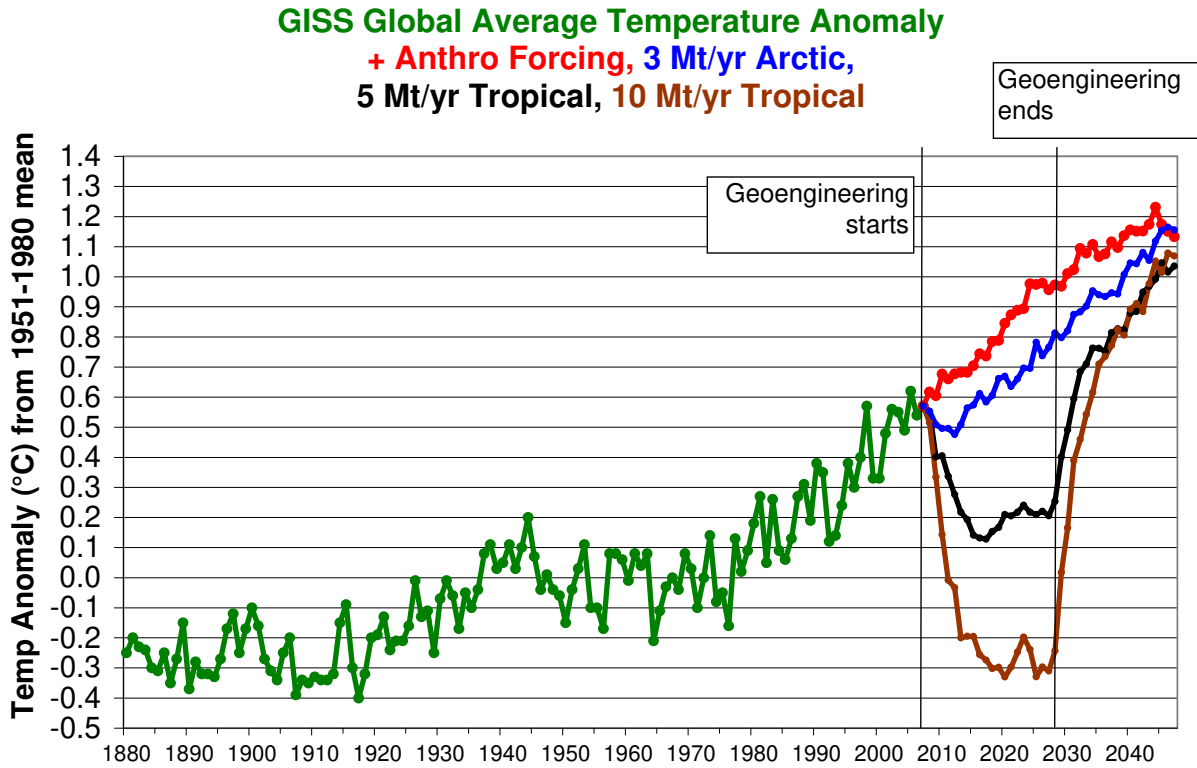
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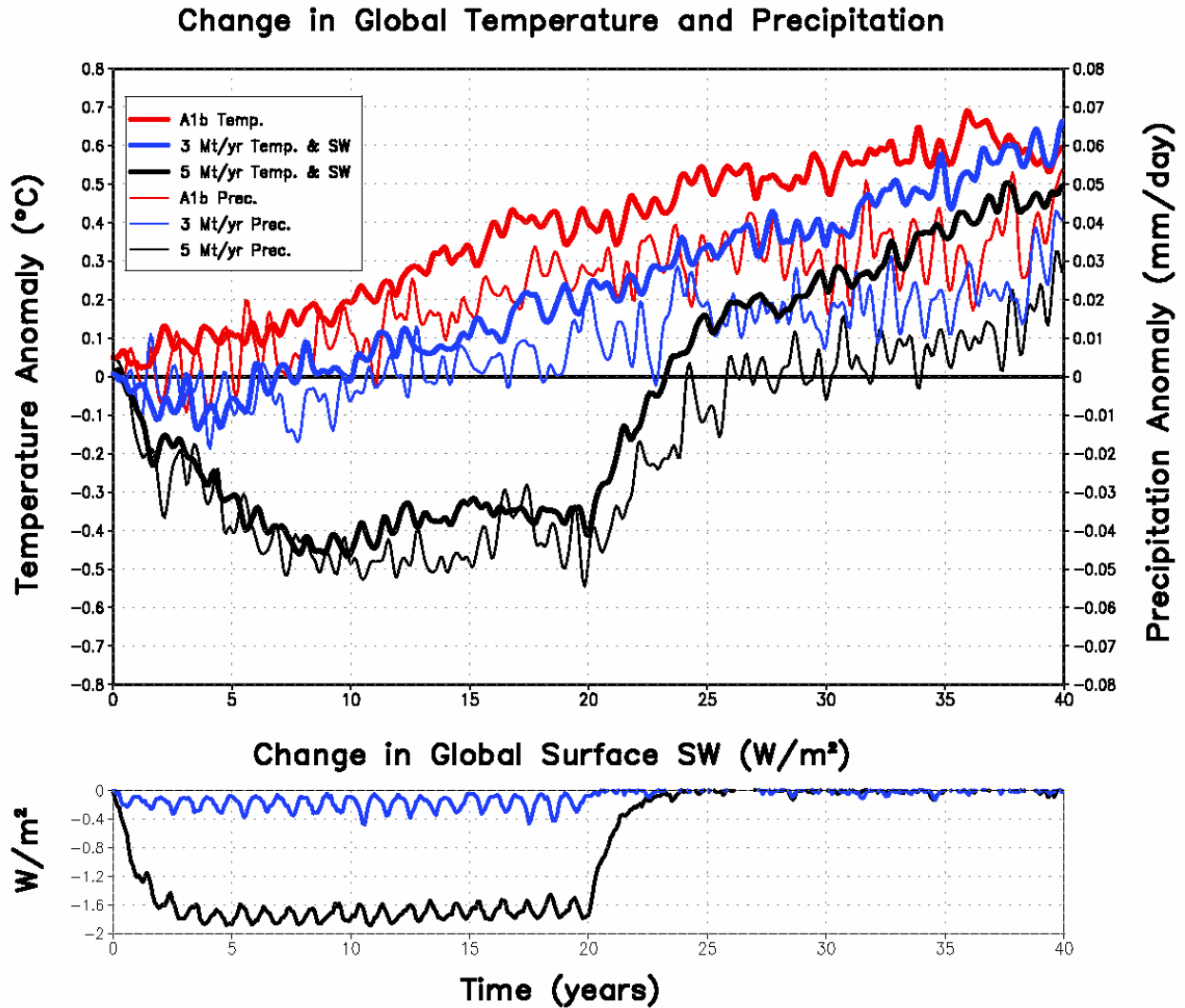
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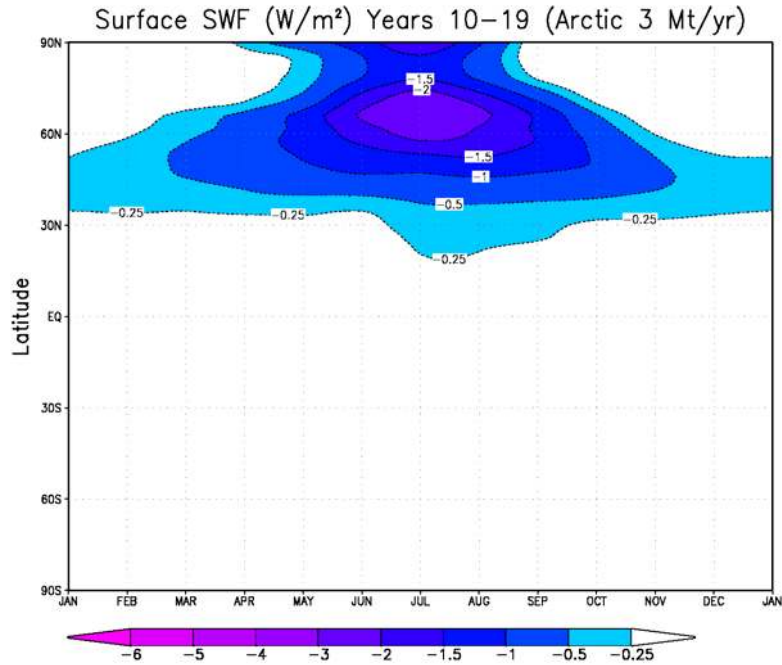


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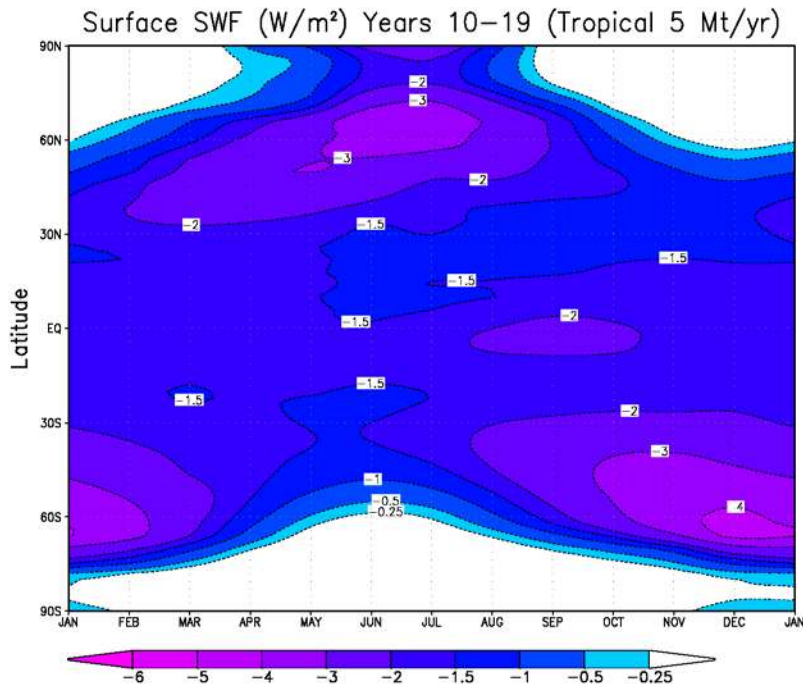
528 **Figure 1.** Global average surface air temperature change from the A1B anthropogenic forcing
529 run (red), Arctic 3 Mt/yr SO₂ (blue), Tropical SO₂ 5 Mt/yr (black), and Tropical 10 Mt/yr SO₂
530 (brown) cases in the context of the climate change of the past 125 years. Observations (green)
531 are from the National Aeronautics and Space Administration Goddard Institute for Space Studies
532 analysis [*Hansen et al.*, 1996, updated at <http://data.giss.nasa.gov/gistemp/2007/>].



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534 **Figure 2.** Global, monthly average changes (compared to the control run) in temperature (thick
535 lines) and precipitation (thin lines) for A1B (red), Arctic 3 Mt/yr (blue) and Tropical 5 Mt/yr
536 (black) runs, and change in downward solar radiation at the surface (as compared to the A1B
537 runs) for the Arctic 3 Mt/yr (blue) and Tropical 5 Mt/yr (black) runs.



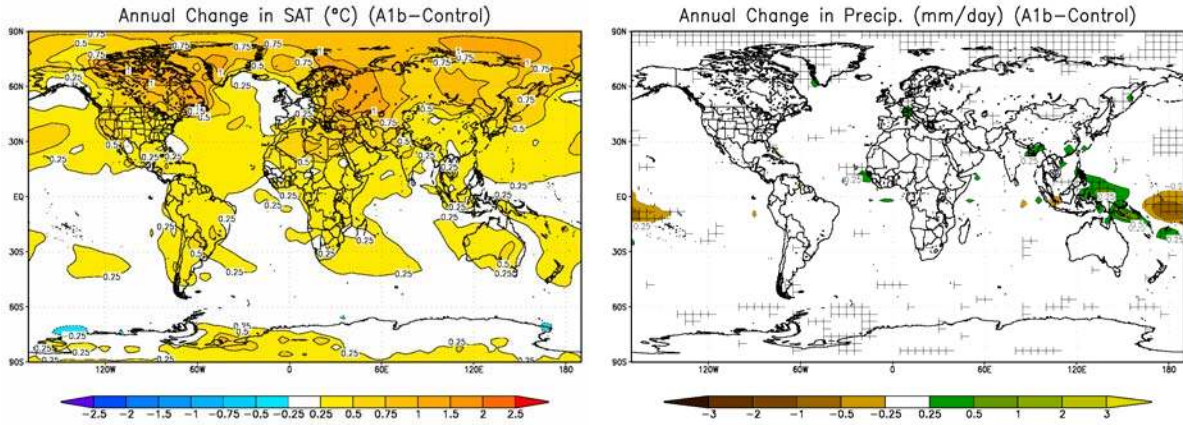
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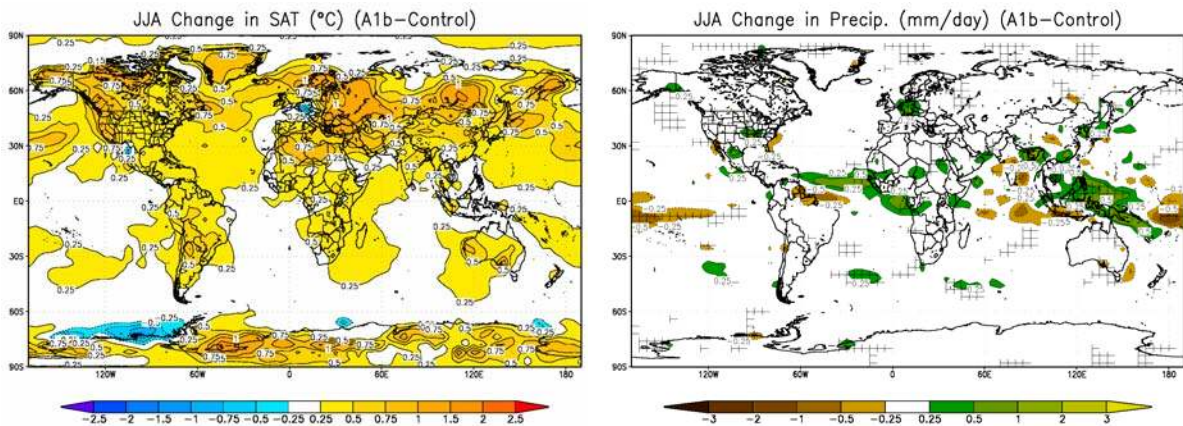
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Figure 3. Change in downward surface shortwave flux from the Arctic 3 Mt/yr and Tropical 5 Mt/yr runs, as a function of latitude and month, averaged for the second 10 years of the 20-yr period during which the geoengineering was applied.

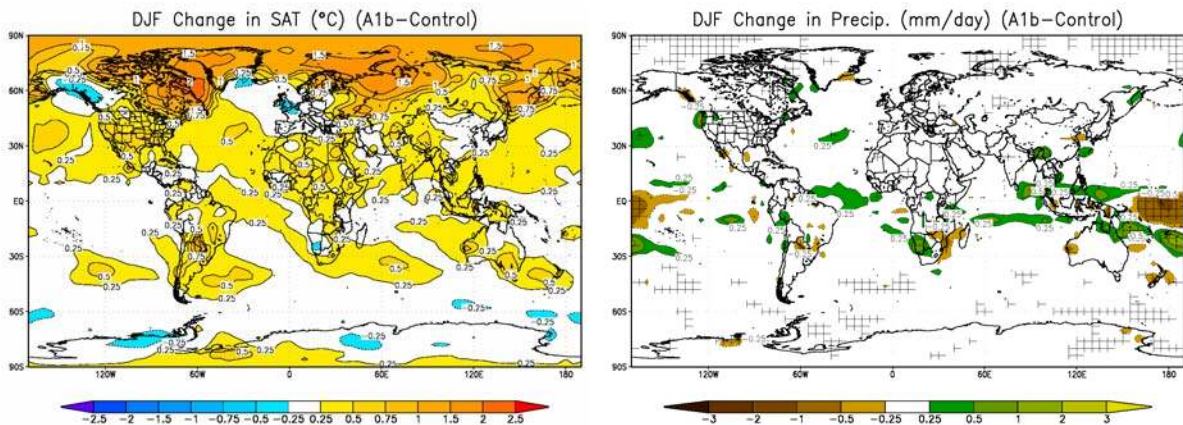
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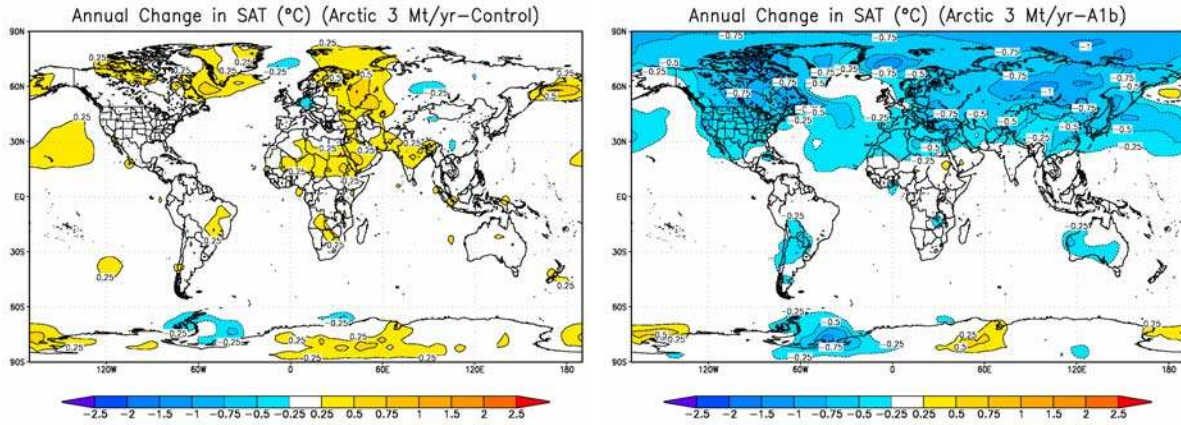


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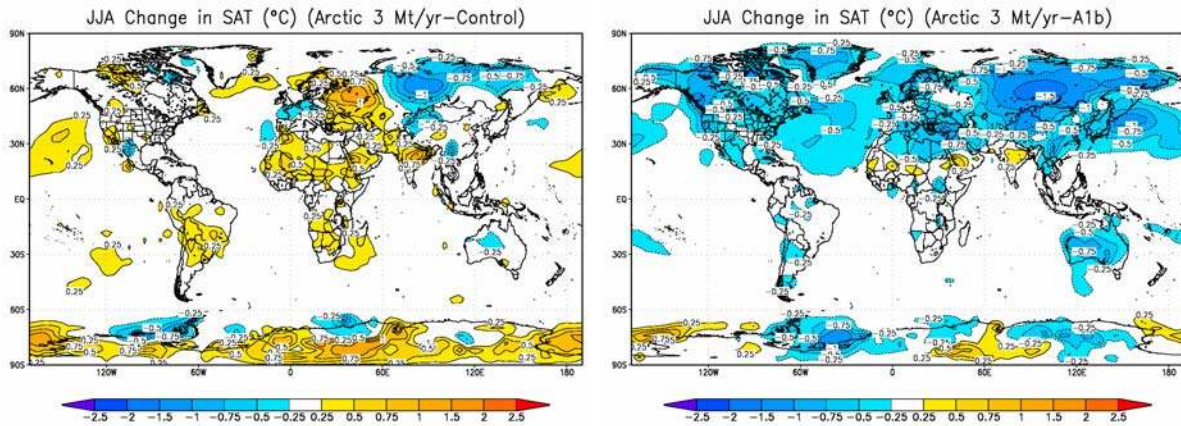


553 **Figure 4.** Surface air temperature change (left column) and precipitation change (right column)
554 for A1B run compared to the control run, averaged for the second 10 years of the 20-yr
555 geoengineering period, for annual average (top), Northern Hemisphere summer (middle), and
556 Northern Hemisphere winter (bottom). Hatch marks on precipitation plots indicate changes
557 significant at the 5% level.

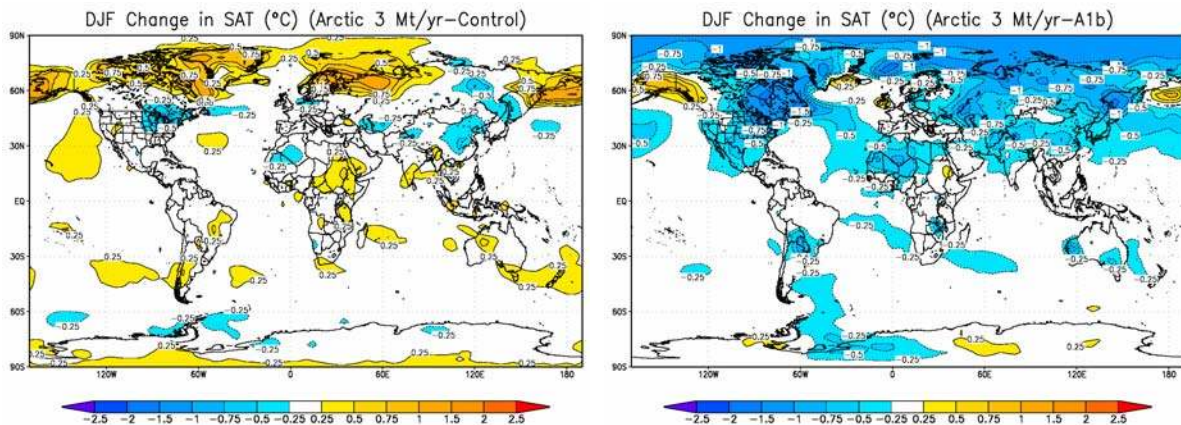
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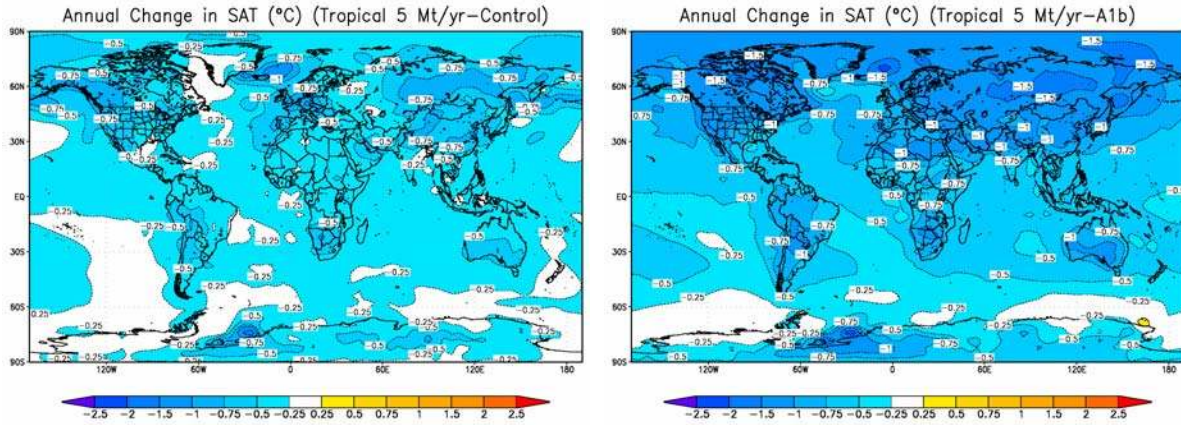
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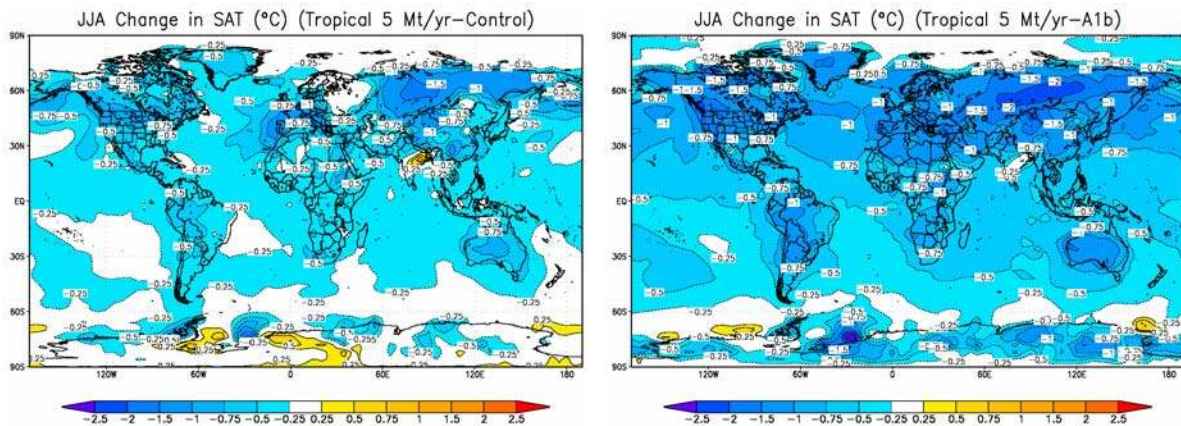
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Figure 5. For the Arctic 3 Mt/yr runs, annual average (top row), Northern Hemisphere summer (middle row), and Northern Hemisphere winter (bottom row) surface air temperature differences from the control climate (left column) and from the A1B runs (right column), averaged for the second 10 years of the 20-yr geoengineering period.

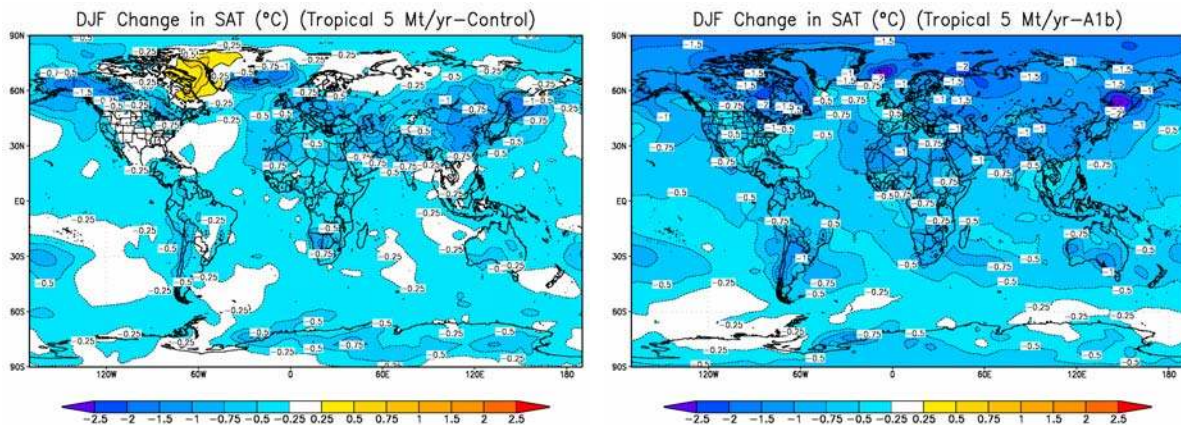
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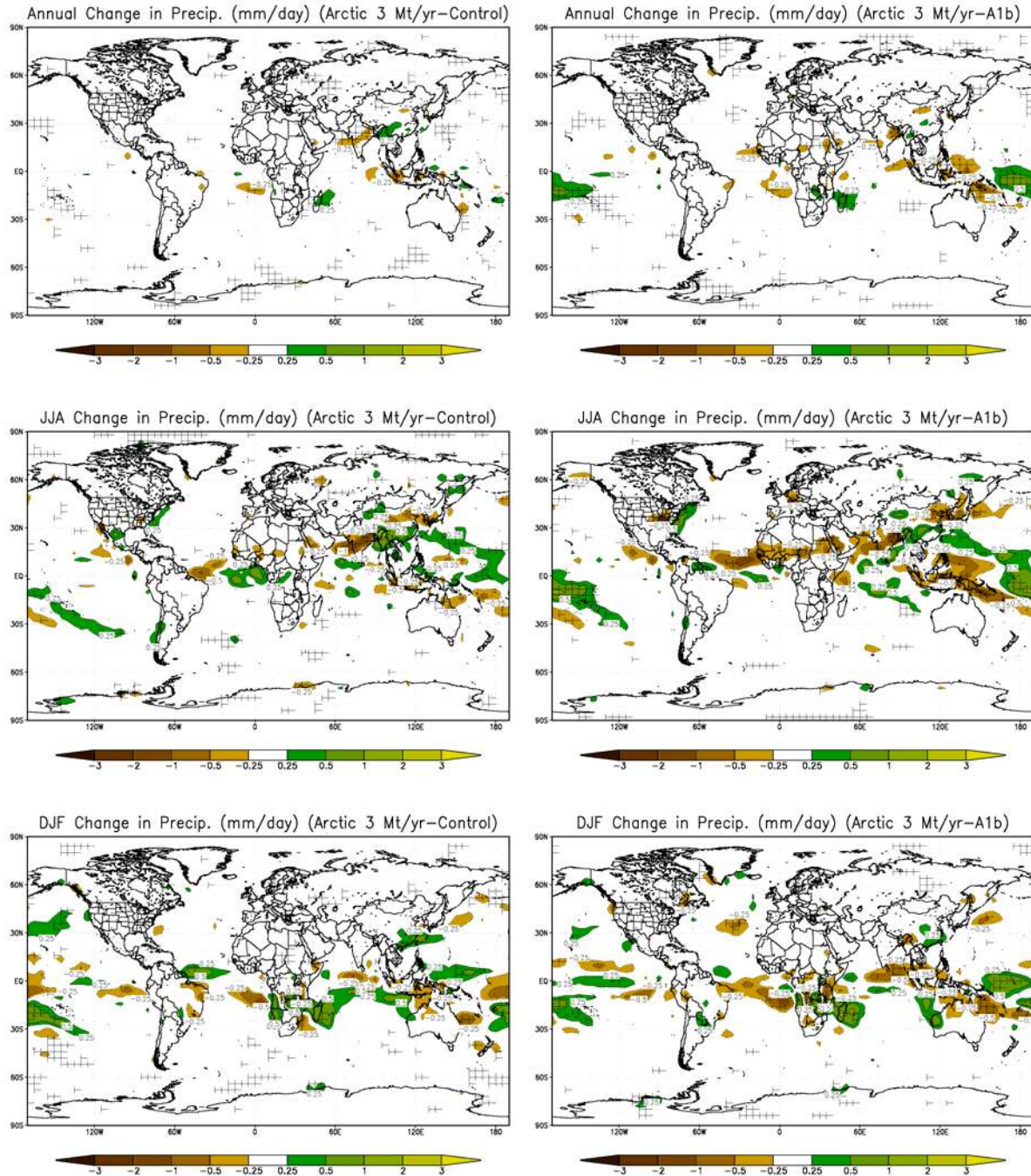


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Figure 6. For the Tropical 5 Mt/yr runs, annual average (top row), Northern Hemisphere summer (middle row), and Northern Hemisphere winter (bottom row) surface air temperature differences from the control climate (left column) and from the A1B runs (right column), averaged for the second 10 years of the 20-yr geoengineering period.



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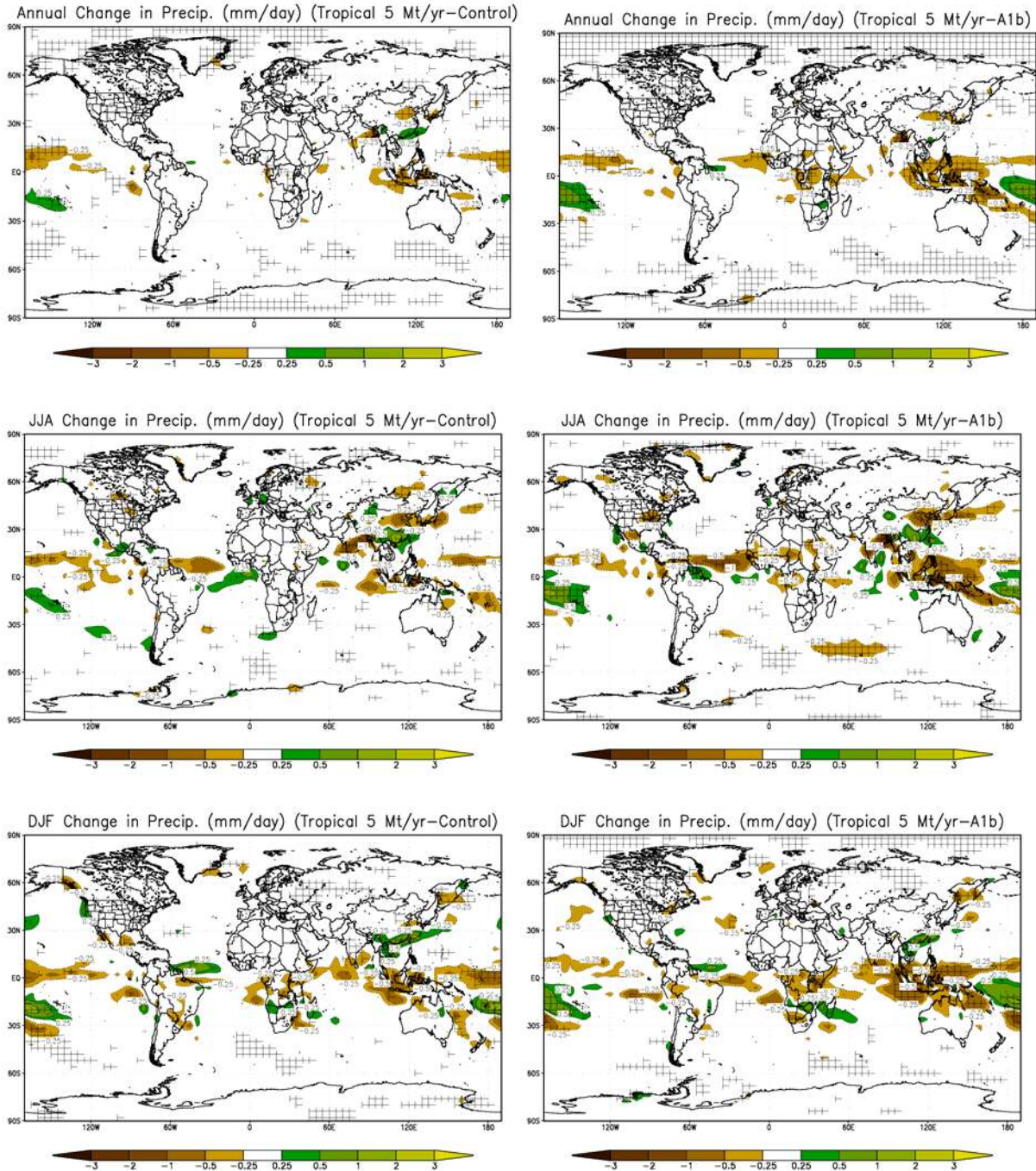
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Figure 7. For the Arctic 3 Mt/yr runs, annual average (top row), Northern Hemisphere summer (middle row), and Northern Hemisphere winter (bottom row) precipitation differences from the control climate (left column) and from the A1B runs (right column), averaged for the second 10 years of the 20-yr geoengineering period. Hatch marks indicate changes significant at the 5% level.



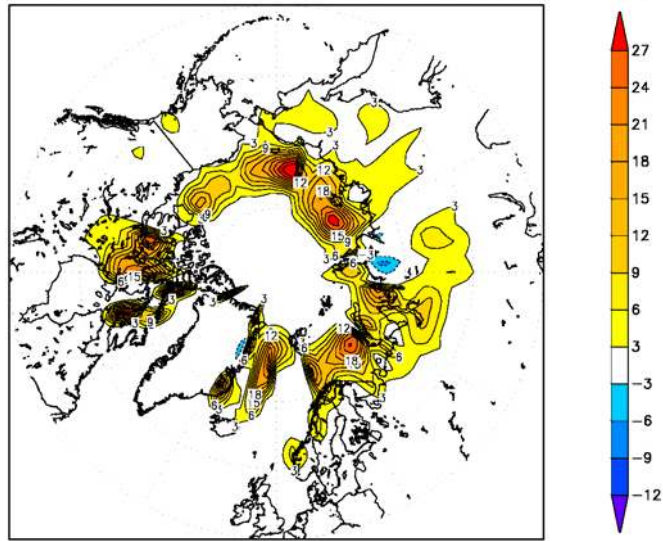
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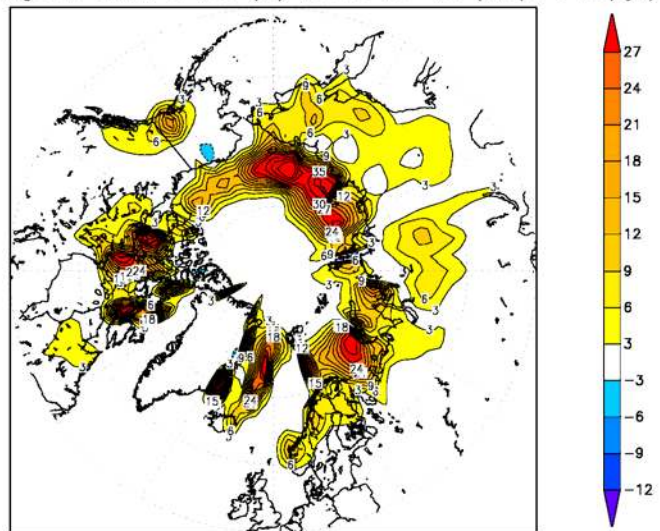
597 **Figure 8.** For the Tropical 5 Mt/yr runs, annual average (top row), Northern Hemisphere
598 summer (middle row), and Northern Hemisphere winter (bottom row) precipitation differences
599 from the control climate (left column) and from the A1B runs (right column), averaged for the
600 second 10 years of the 20-yr geoengineering period. Hatch marks indicate changes significant at
601 the 5% level.

Sept. Change in Snow & Ice (%) Years 10–19 (Arctic 3 Mt/yr)



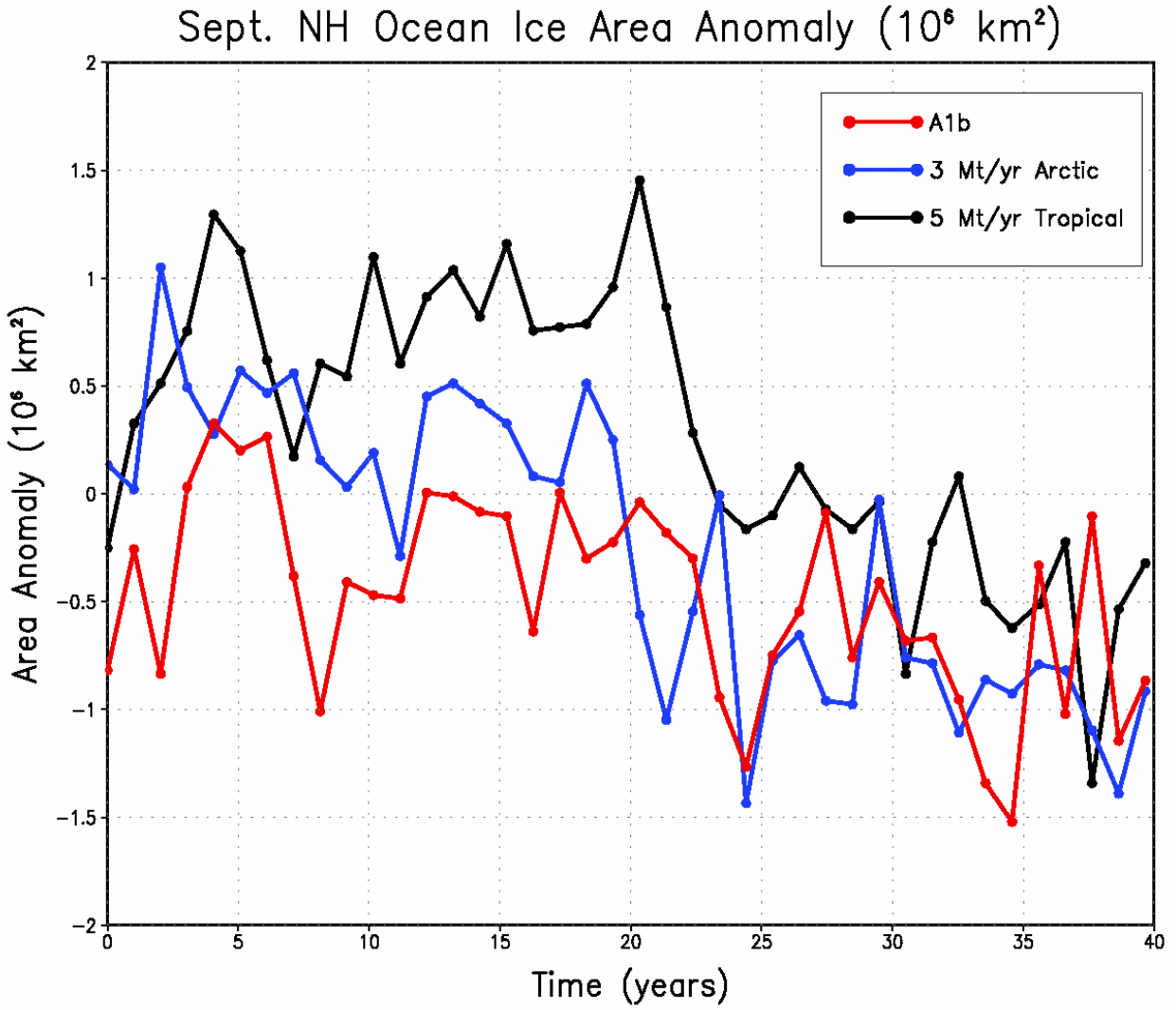
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Sept. Change in Snow & Ice (%) Years 10–19 (Trop. 5 Mt/yr)



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607 **Figure 9.** Change of September Arctic sea ice coverage, as compared to the A1B run, for the
608 Arctic 3 Mt/yr and Tropical 5 Mt/yr runs, averaged for the second 10 years of the 20-yr
609 geoengineering period. Units are % of total coverage, not of the A1B values.



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Figure 10. Time series of September Arctic sea ice area for the different experiments.