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Relation between Mitral Annular Calcium and Complex Aortic Atheroma in Patients with Cerebral Ischemia Referred for Transesophageal Echocardiography

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Abstract

Mitral annular calcium (MAC) has been shown to be an independent predictor of stroke, but the basis for this association remains incompletely defined. We investigated the extent to which aortogenic embolism may mediate the MAC-stroke relationship. In a cross-sectional study of patients referred for transesophageal echocardiography at our institution for evaluation of cerebral ischemia, we assessed the relationship between MAC and proximal and distal complex aortic atheroma (CAA). Among 419 patients composing the study cohort, MAC was associated with atherosclerosis risk factors, prior cardiovascular disease, atrial fibrillation, ipsilateral large-artery stenosis, left-heart abnormalities and aortic valve calcium. MAC was associated with CAA of the proximal and distal thoracic aorta in unadjusted analyses, and these associations became stronger with increasing MAC severity. After adjustment for clinical and echocardiographic covariates, MAC exhibited a significant association with proximal and distal CAA in the entire cohort. In patients without an alternative potential mechanism for cerebral ischemia, however, the relationship with proximal CAA became more pronounced (adjusted odds ratio=2.74, 95% confidence interval=1.22-6.16), but that for distal CAA changed little and became non-significant (adjusted odds ratio=1.97, 95% confidence interval=0.87-4.45). In this cohort with cerebral ischemia, MAC was significantly associated with proximal and distal CAA independent of clinical and echocardiographic covariates, but among individuals without identifiable alternative mechanisms, the magnitude of the relation increased only for proximal CAA. These findings support the role of proximal CAA as a direct mediator of the increased stroke risk associated with MAC.

Mitral annular calcium (MAC)¹ is an independent predictor of stroke,²⁻⁴ but the mechanisms underlying the MAC-stroke relationship are not well delineated.⁴ Protruding, ulcerated or mobile atheromatous plaques of the thoracic aorta also exhibit a strong association with stroke; ⁵⁻⁷ the presence of complex plaque of the ascending aorta and aortic arch quadruples the risk

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of stroke recurrence independent of atrial fibrillation, carotid stenosis and other risk factors. ⁸ This robust association is largely attributable to atheromatous thromboembolism from sites proximal to the cervicocephalic arteries.⁹ While an association between MAC and aortic atheroma has been reported in cohorts referred for transesophageal echocardiography (TEE) for various indications¹⁰, ¹¹ or in patients with atrial fibrillation, ¹² the relationship between MAC and complex atheroma of the proximal aorta has not been specifically examined in patients with cerebral ischemia. Moreover, most studies reporting an association between MAC and complex aortic atheroma (CAA) have not adjusted for potential clinical or echocardiographic confounders.¹⁰, ¹¹, ¹³ The strength of the association, independent of potential confounders, between MAC and proximal CAA in patients with otherwise unexplained cerebrovascular events would provide a more direct measure of the extent to which thromboembolism from the thoracic aorta might mediate MAC-related cerebrovascular risk. To this end, we assessed the MAC-atheroma relationship in a series of thoroughly evaluated patients with cerebral ischemia.

Methods and Materials

We conducted a retrospective cross-sectional study of patients with cerebral ischemia referred for TEE at Weill-Cornell Medical Center for evaluation of a source of cardioembolism. The Echocardiography Laboratory's electronic database was queried to select consecutive patients referred with cerebrovascular events from January 1998 to September 2004. Subjects with infective endocarditis, congenital heart disease (excepting isolated atrial septal defect), rheumatic valve disease, or valve repair or replacement were excluded. Chart review was performed to confirm the diagnosis of stroke or transient ischemic attack. Clinical characteristics were abstracted from paper and electronic medical records.

Echocardiographic data were abstracted from electronic reports generated clinically by one of five experienced echocardiographers in our Laboratory. As a rule, comprehensive studies are performed for all patients referred for TEE at our institution, but particular focus on detection of cardiac sources of embolism is given to patients with cerebrovascular events. This includes assessment of all aortic segments and evaluation of the interatrial septum with color Doppler and agitated-saline injections at rest and with Valsalva/coughing. During the study period, TEEs were performed with multiplane probes using commercially available ultrasound machines. MAC was identified by the presence of bright echoes at the base of the mitral leaflets by two-dimensional TEE imaging, and severity graded along a semi-quantitative scale (mild, moderate, or severe) based on the extent of annular calcific deposits. Proximal CAA was defined as atheromatous plaque located before the origin of the left subclavian artery, and distal CAA as plaque detected beyond this point. For the purposes of our analyses, we defined CAA *a priori* as sessile plaque protruding \geq 4mm into the lumen or with ulcerated or mobile components.¹⁴ This accords with the definition used clinically for the majority of studies after 2001. In earlier studies, however, the practice of the Echocardiography Laboratory was to report aortic atheroma severity according to a previous classification scheme.¹⁵ In such instances, during extraction of data from echocardiogram reports, plaques that were reported to be Grades 1 or 2 (no plaque or intimal thickening) were given a "no atheroma" designation, while those reported as Grades 4 or 5 (\geq 5 mm in thickness or with mobile components) were classified as "complex atheroma". In the case of 36 patients, where Grade 3 (simple plaque <5 mm in thickness) was assigned in the reports, one echocardiographer (J.R.K.) re-assessed the videotape images of the thoracic aorta blinded to MAC, aortic valve calcium, or other cardiac features - through appropriate queuing of the videotape and cover-up of the monitor screen by another investigator (M.G.K. or S.F.). LV hypertrophy was defined qualitatively based on LV wall thickness and LV internal dimension when accurate measurements could not be obtained. Alternatively, when adequate determinations of LV dimensions were possible from transgastric TEE images, LV mass was calculated based on a validated formula, ¹⁶ and LV hypertrophy

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was assigned using partition values of LV mass indexed to body-surface area.¹⁷ Aortic valve calcium was identified by visualization of bright echoes on the leaflets, irrespective of restricted leaflet motion. Left atrial enlargement was defined qualitatively. Definite cardiac sources of embolism other than proximal CAA included interatrial shunt (patent foramen ovale or atrial septal defect) with documented deep-vein thrombosis or pulmonary embolism, left atrial thrombus, left-sided cardiac myxoma, LV thrombus, or non-infective vegetation of the mitral or aortic valve.¹⁸ A probable cause of cerebral ischemia other than proximal CAA was then defined as presence of a definite cardiac source of embolism; atrial fibrillation irrespective of left atrial thrombus detection; cervicocranial artery stenosis \geq 50% ipsilateral to the ischemic brain territory; recent (<4 weeks) arterial endovascular intervention; or hypercoagulable state, including anti-phospholipid syndrome, malignancy, and recent surgery (<4 weeks).

Hypertension was defined by history, antihypertensive therapy, or blood pressure >160/100 mmHg during hospitalization for the index cerebrovascular event. Diabetes mellitus was defined by history, hypoglycemic therapy, fasting blood glucose \geq 140 mg/dl, or random blood glucose \geq 200 mg/dl. Hypercholesterolemia was defined by history, cholesterol-lowering therapy, or fasting plasma cholesterol \geq 240 mg/dl during the index hospitalization. Current smokers were defined by cigarette use at the time of index event. Renal insufficiency was defined as serum creatinine \geq 2.0 mg/dl.

Transient ischemic attack was defined as an acute neurologic deficit of presumed vascular origin lasting <24 hours, based on the diagnosis assigned by an attending neurologist or upon clinical features documented in the medical chart. Stroke was defined as an acute neurologic deficit of presumed vascular origin lasting \geq 24 hours, or the presence of brain infarction on neuroimaging. Carotid, intracerebral (anterior cerebral, middle cerebral and posterior cerebral artery) and vertebrobasilar artery stenosis was defined as a \geq 50% narrowing of the vessel lumen by Duplex sonography, transcranial Doppler, or magnetic resonance or computed tomography angiography. Subjects with a Modified Rankin Scale >2 were considered to have disabling strokes.¹⁹

Comparisons of categorical and continuous variables applied the chi-square or Fisher's exact test, or the Wilcoxon rank-sum test, as appropriate. Logistic regression was used to calculate unadjusted and adjusted odds ratios (ORs). Seven clinical variables were considered as potential confounders, namely, age (continuous), and sex, hypertension, diabetes, hypercholesterolemia, current smoking, and renal insufficiency (all dichotomous); so too were the echocardiographic variables LV hypertrophy, left atrial enlargement, and aortic valve calcium (all dichotomous). For assembly of multivariable models in the entire cohort, only covariates exhibiting a relationship with proximal, distal, or any CAA at a significance level of 0.20 in univariable analyses were included. Because of the smaller number of instances of CAA in the subgroup without an alternative explanation for cerebral ischemia, more parsimonious multivariable models were used in these analyses. SPSS version 12, SPSS, Inc., Chicago, IL, was used in all analyses.

Results

Four hundred nineteen patients met inclusion criteria. Their clinical and demographic characteristics are listed in Table 1. Slightly over one-fifth of the cohort had MAC of at least mild severity. Patients with MAC were older, and generally had higher prevalences of traditional atherosclerosis risk factors than those without MAC. The presence of MAC was also more frequently associated with prior coronary or cerebrovascular disease, and with previous or newly diagnosed atrial fibrillation. Subjects with MAC had a higher frequency of significant carotid or intracranial artery stenosis, as well as large-artery stenosis ipsilateral to

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the ischemic cerebral territory. Their infarcts were more often cortical and disabling than those of patients without MAC.

The echocardiographic characteristics of the cohort are presented in Table 2. Patients with MAC more frequently had aortic valve calcium and stenosis, as well as left atrial enlargement and LV hypertrophy, than those without MAC. The presence of MAC was associated both with CAA and atheroma with mobile components, whether of the proximal or distal thoracic aorta, or both. Moreover, the relationship between MAC and CAA was graded, irrespective of aortic location. As shown (Figure), increasing MAC severity was associated with rising prevalence of CAA of the proximal or distal thoracic aorta, or of either segment. Furthermore, largely through its association with large-artery stenosis ipsilateral to the ischemic brain territory, MAC was more commonly related to a probable cause of the index cerebrovascular event other than proximal CAA (Table 2).

Unadjusted and adjusted odds ratios for the relationship between MAC, treated as a binary variable, and CAA are shown in Table 3. While MAC was significantly associated in the entire cohort with CAA of the proximal or distal thoracic aorta, or both, these relationships weakened considerably after adjustment for age, reflecting important confounding. Additional adjustment for potential clinical and echocardiographic confounders modestly reduced the magnitude of these associations, but all three remained statistically significant in comprehensive models that adjusted for the aggregate of confounding. In the latter models, inclusion of left atrial enlargement and aortic valve calcium did not appreciably modify the magnitude of the odds ratios obtained by adjusting for clinical variables and LV hypertrophy, and the adjusted odds ratios for the smaller models are presented.

Restricting the analyses to patients without another probable cause of cerebral ischemia, however, resulted in substantial strengthening of the effect estimate for proximal CAA after adjustment for clinical and echocardiographic variables, but did not meaningfully influence that for any CAA, which became non-significant. This reflected the absence of an independent relationship between MAC and distal CAA; more limited adjustment – mandated by the smaller proportion of cases with distal CAA in the subgroup with otherwise unexplained cerebral ischemia – whether for clinical covariates, or for age plus echocardiographic variables, did not yield significant associations between MAC and distal CAA (Table 3).

Last, a subset of patients (N=214) underwent transthoracic echocardiography (TTE) within 3 months of TEE, which permitted assessment of the relation between TTE-detected MAC and CAA identified by TEE. After adjustment for age, LV hypertrophy, left atrial enlargement and aortic valve calcium, MAC identified by TTE exhibited a directionally similar, but non-significant, association with proximal CAA in the broader cohort (OR=1.74, 95% CI=0.74 -4.06), which became stronger in the subgroup without an alternative mechanism for cerebral ischemia (OR=2.26, 95% CI=0.81–6.30).

Discussion

In this study of consecutive patients referred for TEE evaluation of cerebral ischemia, MAC was significantly associated with complex atheroma of the proximal thoracic aorta after adjustment for clinical and echocardiographic predictors, and the magnitude of this relation increased among individuals in whom no alternative stroke mechanism was identified. Moreover, MAC and CAA exhibited a dose-response relationship, such that increasing levels of MAC severity were associated with greater prevalence of proximal or distal CAA, or both, as well as of mobile atheroma. While a similar independent association was detected for distal CAA, alone or together with proximal CAA, in the entire cohort, corresponding significant relations were not observed in the subgroup with otherwise unexplained cerebral ischemia.

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This is the first study to examine the relation between MAC and CAA exclusively in patients with cerebrovascular events. An association between MAC and CAA has been previously reported in patients referred for TEE for different indications^{10, 11} or with high-risk atrial fibrillation.¹² By focusing strictly upon patients with cerebral ischemia, in whom systematic neurovascular imaging and laboratory evaluation was undertaken, and adjusting for potential clinical and echocardiographic confounders, the current study affords the opportunity to assess the potential pathogenetic role of proximal CAA in mediating brain ischemia associated with MAC. In this regard, the observation that the association between MAC and proximal CAA, but not that between MAC and distal CAA, was bolstered by exclusion of patients with alternative stroke etiologies supports the notion that a proportion of otherwise unexplained strokes associated with MAC are related to atheroma of proximal location.

Although the relationship between MAC and subclinical vascular disease has long been invoked to explain the association between MAC and cerebral ischemia,^{2, 4} the current findings buttress this contention, especially as it relates to the proximal aorta. Proximal CAA is a highly emboligenic finding, as attested by its association with frequent high-intensity transient signals by transcranial Doppler,²⁰ and by the pronounced increase in associated risk of clinical vascular events.^{8, 21} It bears noting that MAC-associated thrombotic,²² vegetative²³ or mobile calcific debris,²⁴ which might incriminate MAC as a direct emboligenic substrate in our cohort, was not detected by direct TEE visualization. Hence, the more frequent identification of proximal CAA in patients in sinus rhythm with MAC and otherwise unexplained events points to aortogenic embolism or embolism from a downstream subclinical vascular source as the most likely mediator of the MAC-associated cerebrovascular events in these individuals. The marginally non-significant association observed for distal CAA in patients with otherwise unexplained ischemia suggests, however, that it is aortogenic embolism that accounts for the stronger link between MAC and proximal CAA in this subgroup, providing evidence that aortic atherothromboembolism represents an important mechanism underlying MAC-associated cerebral ischemia.

Several limitations merit consideration. The present retrospective study rests on physicians' impressions of echocardiographic findings in the context of clinical practice. Because adjudication of CAA was not blinded to MAC status during conduct and clinical interpretation of TEE studies, the possibility of biased ascertainment, though unlikely, cannot be excluded. Nevertheless, in the subset of studies in which the aorta was evaluated without knowledge of MAC status, the relation between MAC and proximal CAA was consistent with the main finding (P=0.133 for interaction). Furthermore, MAC and LV hypertrophy were not systematically assessed through criteria developed and validated for TTE. TEE does provide clear visualization of the mitral annulus and LV wall thickness, however, which lends face validity to qualitative and semi-quantitative ascertainment applied clinically. The latter concept is supported by the directionally similar relations observed for TTE-detected MAC in the subset with precordial imaging as for MAC identified by TEE. In addition, the prevalence of distal CAA in the subgroup with otherwise unexplained events prevented full adjustment for covariates, but partial adjustment and consideration of proximal and distal CAA combined permitted adequate investigation of the relationships in question. Last, these findings apply to patients at a single center and require independent confirmation, preferably in a prospective fashion.

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Figure.

Relationship between Severity of Mitral Annular Calcium and Complex Aortic Atheroma in the Entire Cohort

Table 1

Clinical and Demographic Characteristics

		Mitral Annu	lar Calcium	
Variable	Entire Cohort (N=419)	Yes (N=94)	No (N=325)	P Value
Age (years)*	59(17-92)	72(35-92)	55(17-88)	< 0.001
Female	47.3%	48.9%	46.8%	0.711
Hypertension	54.5%	83.3%	45.8%	< 0.001
Diabetes mellitus	16.4%	23.6%	14.2%	0.035
Hypercholesterolemia	40.7%	60.0%	34.7%	< 0.001
Body mass index (kg/m^2)	25.3(14.1-52.5)	25.4(17.2-52.5)	25.2(14.1-52.5)	0.695
Current smoker	18.0%	20.5%	17.3%	0.503
Renal insufficiency	4.1%	7.4%	3.1%	0.074
Previous myocardial infarction	7.3%	12.5%	5.8%	0.034
Heart failure	3.9%	4.5%	3.7%	0.756
Prior stroke or transient ischemic	23.2%	31.5%	20.7%	0.036
attack				
Previous or new atrial fibrillation	8.8%	18.1%	6.2%	< 0.001
History of venous thromboembolism	2.6%	3.2%	2.5%	0.716
Hypercoagulable state	6.0%	9.6%	4.9%	0.094
Recent endovascular intervention	0.2%	1.1%	0%	0.224
Cerebrovascular Events				
Transient ischemic attack	24.1%	19.5%	25.4%	0.260
Anterior circulation stroke	55.7%	59.1%	54.7%	0.469
Cortical infarct	46.1%	55.8%	43.2%	0.040
Hemorrhagic transformation	7.2%	6.9%	7.3%	0.894
Multiple vascular territories	26.7%	25.5%	27.1%	0.766
Carotid stenosis	12.8%	28.8%	8.4%	< 0.001
Intracranial stenosis	8.5%	17.0%	6.3%	0.024
Vertebrobasilar stenosis	5.9%	7.3%	5.6%	0.748
Ipsilateral stenosis	4.3%	9.6%	2.8%	0.008
Disabling (Modified Rankin Scale>2)	37.0%	52.3%	32.4%	0.001

*Median(range)

Table 2

Echocardiographic Characteristics

		Mitral Calo	Annular cium	
Variable	Entire Cohort (N=419)	Yes (N=94)	No (N=325)	P Value
Mitral regurgitation, ≥ moderate Mitral stenosis, any Aortic valve calcium Aortic regurgitation, ≥ moderate Aortic stenosis, any Left atrial enlargement Left ventricular hypertrophy Reduced left ventricular function Mild/moderate (LV ejection fraction 35-54%) Severe (LV ejection fraction <35%) Akinetic/dyskinetic LV segment Proximal complex aortic atheroma Mobile	4.8% 0.2% 42.6% 2.6% 6.9% 18.6% 9.3% 7.9% 1.4% 3.3% 22.1% 4.1%	8.5% 1.1% 74.5% 5.3% 22.3% 43.6% 29.8% 11.7% 10.6% 1.1% 6.4% 52.2% 9.8%	3.7% 0% 32.7% 1.8% 2.5% 11.4% 10.2% 8.6% 7.1% 1.5% 2.5% 13.6% 2.5%	$\begin{array}{c} 0.094\\ 0.224\\ < 0.001\\ 0.075\\ < 0.001\\ < 0.001\\ < 0.001\\ 0.369\\ 0.268\\ 1.00\\ 0.096\\ < 0.001\\ 0.004\end{array}$
Distal complex aortic atheroma Mobile Any complex aortic atheroma Mobile Definite cardiac source of embolism Probable alternative cause of cerebrovascular event*	17.1% 2.9% 27.0% 5.3% 7.2% 14.8%	42.9% 6.6% 59.8% 13.3% 10.6% 25.5%	9.9% 1.9% 17.6% 3.1% 6.2% 11.7%	<0.001 0.028 <0.001 0.001 0.137 0.001

^{*} Definite cardiac source of embolism, ipsilateral large artery stenosis, recent endovascular intervention, or hypercoagulable state. (Excludes proximal CAA.)

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Table 3 Relation between Mitral Annular Calcium and Complex Aortic Atheroma

	Proximal C	AA	Distal CA	Α	Any CAA	
	Odds Ratio 95% CI	P Value	Odds Ratio 95% CI	P Value	Odds Ratio 95% CI	P Value
Entire Cohort (n=419)						
Crude	6.94	<0.001	6.82	<0.001	6.94	<0.001
	(4.14-11.65)		(3.92-11.86)		(4.18-11.50)	
Age-adjusted	2.44 (135-443)	0.003	2.67	0.002	2.38	0.004
Multivariable-adjușted	2.23	0.017	2.59	0.008	2.19	0.027
Clinical covariates	(1.15-4.31)		(1.28-5.24)		(1.09-4.39)	
Multivariable-adjusted	2.45	0.005	2.16	0.021	2.11	0.019
Age + echo covariates ^{τ}	(1.30-4.60)		(1.13 - 4.14)		(1.13-3.93)	
Multivariable-adjusted	2.10^{4}	0.030	$2.48^{\cancel{4}}$	0.012	2.08^{\ddagger}	0.041
$Clinical^* + LV$ hypertrophy $^{\mathcal{T}}$	(1.09-4.18)		(1.22-5.04)		(1.03 - 4.18)	
Subgroup with Otherwise						
Unexplained Cerebral Ischemia (n=331) ⁸						
Crude	8.51	<0.001	6.71	<0.001	8.23	<0.001
	(4.54 - 15.96)		(3.42 - 13.15)		(4.44-15.24)	
Age-adjusted	3.26	0.007	2.56	0.014	2.97	0.002
Multinonichle adimeted	(901-028) 3 01	200.0	(1.21-5.40)	0.050	(1.48-0.00)	0.045
Clinical covariates	(1.02-6.69)	100.0	(0.97-5.65)	0000	(1.02-5.38)	C+0.0
Multivariable-adjusted	3.29	0.002	1.97	0.103	2.77	0.00
Age + echo covariates $\hat{\tau}$	(1.53-7.07)		(0.87 - 4.45)		(1.29-5.93)	
Multivariable-adjusted	2.74	0.015	-	1	2.15	0.075
Clinical * + LV hypertrophy ^{\vec{T}}	(1.22-6.16)				(0.93 - 4.99)	
* Åαe hvmertansion diahatas (for m	ovimal and any CAA only) hym	archolecterolemia cmok	ina (for distal and any C∆∆ c	ulv) renal insufficiancy		
Age, hypertension, diabetes (for pr	roximal and any CAA only), hype	ercholesterolemia, smok	cing (for distal and any CAA c	onlv), renal insufficiency		

5 5

 $\mathring{\tau}^{\rm L}{\rm U}$ hypertrophy, a ortic valve calcium, left atrial enlargement

 \sharp Inclusion of aortic valve calcium and left atrial enlargement in the model did not meaningfully influence the magnitude of the odds ratio

 $^{\&}$ No atrial fibrillation, ipsilateral large-artery stenosis \geq 50%, definite cardiac source of embolism, or hypercoagulable state

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