

## REPRESENTATIONS FOR REAL NUMBERS

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**1. Introduction.** In a recent paper<sup>2</sup> [1] B. H. Bissinger generalized continued fractions by iteration of more general decreasing functions than the  $1/x$  of the classical case. We extend here the algorithm by which real numbers are represented as decimals of base  $p$ , to general continuous increasing functions on  $(0, p)$ , including the classical  $x/p$  as special case. This sets up a correspondence from real numbers to sequences of integers mod  $p$ . Weak sufficient conditions are given that the correspondence be one-one. In the one-one case, algebraic examples are noted. The limit involved in the inscribed polygon problem appears here in a natural way. In the many-one case, the algorithm defines a set  $L$  of limit numbers which is perfect and nowhere dense. These sets are closely related to the Cantor perfect set. Finally, the relation between the above theory and the topological transformations  $F_1$  of the unit interval into itself is studied. The latter yield sequences  $\{F_p\}$  of our functions,  $p=2, 3, \dots$ , and their structure is reflected in the limit sets  $L_2, L_3, \dots$ .

**2. The algorithm.** Let  $p \geq 2$  be a fixed integer and  $f(t)$  a continuous, strictly increasing function on the interval  $0 \leq t \leq p$ , with  $f(0) = 0$  and  $f(p) = 1$  (cf. [4]).

Such a function may be used to associate with every real number  $\gamma_0 \geq 0$ , a sequence  $\{c_\nu\}$  of integers, with  $0 \leq c_0 < \infty$ ,  $0 \leq c_\nu \leq p-1$ ,  $\nu=1, 2, \dots$ , by way of the following algorithm. We write, for  $\gamma_0 \geq 0$ ,

$$\begin{aligned}
 \gamma_0 &= c_0 + f(\gamma_1), & c_0 &\leq \gamma_0 < c_0 + 1, \\
 & & & 0 \leq c_0 < \infty, 0 \leq \gamma_1 < p, \\
 \text{(A)} \quad \gamma_1 &= c_1 + f(\gamma_2), & c_1 &\leq \gamma_1 < c_1 + 1, \\
 & & & 0 \leq c_1 \leq p-1, 0 \leq \gamma_2 < p,
 \end{aligned}$$

and so on.

Thus, at each step,  $c_\nu$  is the greatest integer in  $\gamma_\nu$ , and  $\gamma_{\nu+1}$  is the uniquely defined real number on the interval  $0 \leq t < p$  such that  $f(\gamma_{\nu+1}) = \gamma_\nu - c_\nu$ , where  $0 \leq \gamma_\nu - c_\nu < 1$ .

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Since  $\gamma_1, \gamma_2, \dots$  are all on  $0 \leq t < p$ , it follows that  $c_1, c_2, \dots$  are integers satisfying  $0 \leq c_\nu \leq p-1$ . Hence we have a correspondence

$$(B) \quad \gamma_0 \rightarrow \{c_\nu\}$$

from all reals  $\gamma_0 \geq 0$ , to sequences of integers as described.

**3. Termination in  $p-1$ .** We ask now whether, under the algorithm, sequences may appear terminating in  $p-1, p-1, \dots$ . Such is the case if and only if, for the function  $f(t)$ :

(C) There exists a  $\gamma_0 = p-1 + f(\gamma_0)$ ,  $p-1 < \gamma_0 < p$ .

Obviously such a  $\gamma_0 \rightarrow \{p-1, p-1, \dots\}$  under (A). On the other hand, if a number  $\delta_0$  under (A) yields a sequence terminating in  $p-1, p-1, \dots$ , this implies that some  $\delta_\nu$  itself yields  $p-1, p-1, \dots$ . Suppose then that  $\gamma_0 (= \delta_\nu)$  under (A) gives  $\gamma_0 = p-1 + f(\gamma_1)$ ,  $\gamma_1 = p-1 + f(\gamma_2)$ ,  $\dots$ ,  $\gamma_\nu = p-1 + f(\gamma_{\nu+1})$ , and so on. If (C) is false, it follows from continuity of  $f(t)$  that:

$$(D) \quad f(t) > t - (p-1), \quad \text{for all } t \text{ on } p-1 \leq t < p.$$

We should then have  $\gamma_1 - (p-1) < f(\gamma_1) = \gamma_0 - (p-1)$ ,  $\gamma_2 - (p-1) < f(\gamma_2) = \gamma_1 - (p-1)$ , and so on, with  $p > \gamma_0 > \gamma_1 > \gamma_2 > \dots > p-1$ . Hence  $t_0 = \lim \gamma_\nu$  exists, with  $p-1 \leq t_0 < p$ . But from  $\gamma_\nu = p-1 + f(\gamma_{\nu+1})$ , we have  $t_0 = p-1 + f(t_0)$ , a contradiction.

Indeed, the condition "not C" is equivalent to (D), and (D) may in turn be rephrased as a slope condition

$$(D') \quad (f(p) - f(t))/(p - t) < 1 \text{ on } p-1 \leq t < p.$$

Moreover, if a  $\gamma_0$  satisfying (C) exists, then not only  $\gamma_0$  but every  $\delta_0$  on  $\gamma_0 < \delta_0 < p$  will yield  $\{p-1, p-1, \dots\}$  under (A). For  $\gamma_0 = p-1 + f(\gamma_0) < \delta_0 < p$  implies  $\delta_0 = p-1 + f(\delta_1)$ , hence  $\gamma_0 < \delta_1 < p$ , and so on. Since our final object is to obtain a one-one correspondence (B), we assume from this point on the necessary condition (D'). *The correspondence (B) then maps all reals  $\gamma_0 \geq 0$  onto non-( $p-1$ )-terminating sequences.*

**4. Upper and lower limits.** Let  $\{c_\nu\}$  be an arbitrary sequence of integers with  $0 \leq c_0 \leq \infty$ ;  $0 \leq c_\nu \leq p-1$ ,  $\nu = 1, 2, \dots$ , not  $(p-1)$ -terminating. We define  $C_\nu^\lambda = c_\lambda + f(c_{\lambda+1} + \dots + f(c_{\lambda+\nu}))$  and  $\Gamma_\nu^\lambda = c_\lambda + f(c_{\lambda+1} + \dots + f(c_{\lambda+\nu} + 1))$ , where the last parentheses are  $\nu$ -fold. Then, from monotonicity, one has  $c_\lambda \leq C_\nu^\lambda \leq C_{\nu+1}^\lambda < \Gamma_{\nu+1}^\lambda \leq \Gamma_\nu^\lambda \leq c_\lambda + 1$ , so that the limits  $C^\lambda = \lim C_\nu^\lambda$ ,  $\Gamma^\lambda = \lim \Gamma_\nu^\lambda$  exist and satisfy

$$(E) \quad c_\lambda \leq C^\lambda \leq \Gamma^\lambda \leq c_\lambda + 1.$$

Since  $C_\nu^\lambda = c_\lambda + f(C_{\nu-1}^{\lambda+1})$  we have  $C^\lambda = c_\lambda + f(C^{\lambda+1})$  and similarly

$\Gamma^\lambda = c_\lambda + f(\Gamma^{\lambda+1})$ . Now since the sequence is not  $(p-1)$ -terminating, for every  $\lambda$  there is a  $c_{\lambda+\mu} \leq p-2$ . Moreover,  $\Gamma_{\mu+\nu}^\lambda = c_\lambda + f(c_{\lambda+1} + \dots + f(\Gamma_{\nu}^{\lambda+\mu}))$ , and  $\Gamma^\lambda = c_\lambda + f(c_{\lambda+1} + \dots + f(\Gamma^{\lambda+\mu}))$ . By (E),  $\Gamma^{\lambda+\mu} \leq c_{\lambda+\mu} + 1 \leq p-1$ , so that we have

$$(E') \quad c_\lambda \leq C^\lambda \leq \Gamma^\lambda < c_\lambda + 1,$$

and, as already shown,

$$(F) \quad C^\lambda = c_\lambda + f(C^{\lambda+1}), \quad \Gamma^\lambda = c_\lambda + f(\Gamma^{\lambda+1}).$$

But (E', F) imply that, under (A), the numbers  $C^0$  and  $\Gamma^0$  yield the original sequence  $\{c_\nu\}$ . We call these the lower and upper limit numbers of the sequence.

If  $f(t)$  satisfies (D'), the correspondence (B) maps all reals  $\gamma_0 \geq 0$  onto all non- $(p-1)$ -terminating sequences. Every such sequence is indeed the map of its limit numbers  $C^0, \Gamma^0$ .

Now if  $\gamma_0$  yields  $\{c_\nu\}$  under (A), then

$$(G) \quad C_\nu^0 \leq \gamma_0 = c_0 + f(c_1 + \dots + f(c_\nu + f(\gamma_{\nu+1})) < \Gamma_\nu^0, \quad \text{all } \nu,$$

and hence  $C^0 \leq \gamma_0 \leq \Gamma^0$ .

Also, if  $\gamma'_0$  and  $\gamma''_0$  yield  $\{c_\nu\}$  under (A), and if  $\gamma'_0 \leq \gamma_0 \leq \gamma''_0$ , then  $\gamma_0$  yields  $\{c_\nu\}$ . For

$$c_0 \leq \gamma'_0 = c_0 + f(\gamma'_1) \leq \gamma_0 \leq \gamma''_0 = c_0 + f(\gamma''_1) < c_0 + 1,$$

hence  $\gamma_0 = c_0 + f(\gamma_1)$  and  $\gamma'_1 \leq \gamma_1 \leq \gamma''_1$ , and so on.

It follows that  $\gamma_0$  yields  $\{c_\nu\}$  under (A) if and only if  $C^0 \leq \gamma_0 \leq \Gamma^0$ . Thus the correspondence (B) is actually a mapping of disjoint closed sets  $[C^0, \Gamma^0]$  on all non- $(p-1)$ -terminating sequences. The sequences  $\{c_\nu\}$  fall into two classes according as  $C^0 < \Gamma^0$  or  $C^0 = \Gamma^0$ . The correspondence (B) thus splits into two parts:

$$(B') \quad [C^0, \Gamma^0] \rightarrow \{c_\nu\}, \quad C^0 < \Gamma^0,$$

$$(B'') \quad C^0 = \Gamma^0 \rightarrow \{c_\nu\}.$$

In the case (B'') the  $C_\nu^0$  and  $\Gamma_\nu^0$  converge to  $C^0 = \Gamma^0 = \gamma_0$  with errors thus (see G):

$$(H) \quad 0 \leq \gamma_0 - C_\nu^0 < \Gamma_\nu^0 - C_\nu^0; \quad 0 < \Gamma_\nu^0 - \gamma_0 \leq \Gamma_\nu^0 - C_\nu^0.$$

We note here two properties of the sequence  $\{p-1, p-1, \dots\}$  of later use. Although this sequence does not appear under the algorithm, nevertheless the  $\lim C_\nu^0$  exists and is  $p$ . For  $p-1 < C_\nu^0 < C_{\nu+1}^0 < p$  and  $t_0 = \lim C_\nu^0$  satisfies  $p-1 < t_0 \leq p$ . But  $C_\nu^0 = p-1 + f(C_{\nu-1}^0) = p-1 + f(C_{\nu-1}^0)$ . Hence  $t_0 = p-1 + f(t_0)$ , and by (D'),  $t_0 = p$ .

Also,  $p-1+f(p-1+\dots+f(p-1+f(p-2))) \geq C_{\nu-1}^0$  where the first expression contains  $\nu$   $(p-1)$ 's. Thus the sequence  $p-1+f(p-2), p-1+f(p-1+f(p-2)), \dots$  has limit  $p$ .

**5. Terminating sequences.** We call a sequence  $\{c_\nu\}$  with  $c_\nu=0, \nu > N$  for some  $N$ , *terminating*. There exist numbers  $\gamma_0 > 0$  yielding  $\{0, 0, \dots\}$  under (A) if and only if  $f(t)$  has the property:

(I) There exists a  $\gamma_0=f(\gamma_0), 0 < \gamma_0 < 1$ .

Clearly such a  $\gamma_0$  yields  $\{0, 0, \dots\}$  under (A). Suppose that  $\gamma_0 > 0$  yields  $\{0, 0, \dots\}$  and that (I) is false. By continuity of  $f(t)$  we have

$$(J) \quad f(t) < t \quad \text{for all } t \text{ on } 0 < t \leq 1,$$

and  $0 < \gamma_0=f(\gamma_1) < \gamma_1=f(\gamma_2) < \gamma_2 < \dots < 1$ , and  $t_0=\lim \gamma_\nu$  exists with  $0 < t_0 \leq 1$ . But from  $\gamma_\nu=f(\gamma_{\nu+1})$  follows  $t_0=f(t_0)$ , a contradiction.

Obviously "not I" is equivalent to (J), and (J) may be restated in slope form

$$(J') \quad f(t) - f(0)/t < 1 \quad \text{on } 0 < t \leq 1.$$

If a  $\gamma_0$  exists satisfying (I) then not only  $\gamma_0$  but also every  $\delta_0$  on  $0 \leq \delta_0 < \gamma_0$  will yield  $\{0, 0, \dots\}$  under (A). Hence for a one-one correspondence (B), (J') is necessary, and we assume from this point on that  $f(t)$  satisfies (D') and (J').

Under these restrictions, the sequence  $\{0, 0, \dots\}$  has  $C^0=\Gamma^0=0$ , and since in any sequence  $\{c_\nu\}$ ,  $C^0=c_0+\dots+f(C^\lambda), \Gamma^0=c_0+\dots+f(\Gamma^\lambda)$ , it follows that every terminating sequence has  $\Gamma^0=C^0$  and falls under (B'').

We remark here that if  $\{d_\nu\}$  is a terminating sequence  $\{d_1, d_2, \dots, d_\nu, 0, 0, \dots\}$ , then the associated limit numbers  $D^0=\Delta^0=d_0+f(d_1+\dots+f(d_\nu+f(D^{\nu+1})))=d_0+f(d_1+\dots+f(d_\nu))$ , since  $D^{\nu+1}=0$ .

**6. The many-one case.** Suppose then that  $f(t)$  satisfies (D') and (J') and consider the algorithm (A) only as it applies to numbers  $\gamma_0$  on the interval  $[0, p) = (0 \leq t < p)$ . The correspondences (B', B'') then map the interval  $[0, p)$  onto all non- $(p-1)$ -terminating sequences  $\{c_\nu\}$  with  $0 \leq c_\nu \leq p-1$ .

Let  $L$  be the set of all limit numbers  $C^0, \Gamma^0$  on  $[0, p)$  (equal or not) of all such sequences, and  $G$  the complement of  $L$  in  $[0, p)$ . The points of  $L$  are then the numbers  $C^0=\Gamma^0$  occurring under (B''), including the limits of all terminating sequences, together with the end points  $C^0 < \Gamma^0$  of the closed intervals under (B'). The points of  $G$  are those of all the open intervals  $(C^0, \Gamma^0)$  in (B'). Since  $G$  is a union of (non-

overlapping, indeed, non-abutting) intervals,  $G$  is open, and  $L$  is closed.

We write  $[0, p) = L + G$ , and  $L = L' + L''$ , where  $L'$  is the set of end points under  $(B')$  and  $L''$  the set of  $C^0 = \Gamma^0$  under  $(B'')$ .

Since the intervals of  $G$  are countable, so is the set  $L'$ . We now show that  $L$  is dense in itself. It then follows that  $L$  is perfect,  $L$  (hence also  $L''$ ) has the power of the continuum. Since the limits of terminating sequences are countable, the set of limits of non-terminating sequences for which  $C^0 = \Gamma^0$  is of the power of the continuum (cf. [3]).

Indeed, every point  $\lambda$  of  $L$  is a limit point of limit numbers  $D^0 = \Delta^0$  of terminating sequences  $\{d_\nu\}$ . First let  $\lambda = C^0 = \Gamma^0$  for  $\{c_\nu\}$ . Then  $\lambda = \lim C_\nu^0 = \lim \Gamma_\nu^0$  and  $C_\nu^0 < \Gamma_\nu^0$ . The numbers  $C_\nu^0$  are in  $L$ , being limit numbers of terminating sequences. Since the sequence  $\{c_\nu\}$  is not  $(p-1)$ -terminating, a subsequence of  $\{\Gamma_\nu^0\}$  has  $\Gamma_\nu^0 = c_0 + f(c_1 + \dots + f(c_\nu + 1))$  with  $c_\nu + 1 \leq p-1$ , and these  $\Gamma_\nu^0$  are thus in  $L$ , being limit numbers of terminating sequences  $\{c_0, c_1, \dots, c_\nu + 1, 0, 0, \dots\}$  in our class. Hence  $\lambda$  is a limit point of points of  $L$ .

Second, let  $\lambda = C^0 < \Gamma^0$  for  $\{c_\nu\}$ . Then the sequence  $\{c_\nu\}$  is not terminating, and a subsequence of  $\{C_\nu^0\}$  is properly increasing to  $C^0$  as a limit point.

Finally, let  $C^0 < \Gamma^0 = \lambda$  for  $\{c_\nu\}$ . Since  $\{c_\nu\}$  is not  $(p-1)$ -terminating, a proper subsequence of  $\{\Gamma_\nu^0\}$  with  $c_\nu + 1 \leq p-1$  is properly decreasing to  $\Gamma^0$  as a limit point. Hence  $L$  is dense in itself.

If  $f(t)$  admits a sequence  $\{d_\nu\}$  with  $D^0 < \Delta^0$ , that is, if the correspondence  $(B)$  is not one-one, then the set  $L$  is nondense on  $[0, p)$ . If  $(a, b)$  is a subinterval:  $0 \leq a < b < p$ , we show that  $(a, b)$  contains a subinterval containing no point of  $L$ . If  $(a, b)$  itself contains no point of  $L$ ,  $(a, b)$  will serve. However if a point  $\lambda$  of  $L$  is in  $(a, b)$  and if  $\lambda = C^0 < \Gamma^0$  or  $C^0 < \Gamma^0 = \lambda$  for some  $\{c_\nu\}$  then the interval  $(a, b)$  intersects  $(C^0, \Gamma^0)$  in an interval containing only points of  $G$ . The only case remaining is  $\lambda = C^0 = \Gamma^0$  in  $(a, b)$ ,  $\lambda = \lim C_\nu^0 = \lim \Gamma_\nu^0$ . But  $C_\nu^0 < c_0 + f(c_1 + \dots + f(c_\nu + f(D^0))) < c_0 + f(c_1 + \dots + f(c_\nu + f(\Delta^0))) < \Gamma_\nu^0$ . The inner numbers define an interval of  $G$ , interior to  $(a, b)$  for sufficiently large  $\nu$ .

**7. An example.** Consider for  $p=3$  the function  $f(t)$  defined by  $f(0)=0, f(4/3)=1/3, f(5/3)=2/3, f(3)=1$ , and elsewhere by the broken line connecting these points. It is clear that  $4/3$  and  $5/3$  yield  $\{1, 1, \dots\}$  under the algorithm. Moreover, for this sequence,  $C^0=4/3$  and  $\Gamma^0=5/3$  as is seen graphically from the sequences  $1+f(1), 1+f(1+f(1)), \dots$  and  $1+f(2), 1+f(1+f(2)), \dots$ . Imagine that we blacken the intervals  $(i+f(C^0), i+f(\Gamma^0)), i=0, 1, 2$ . The first of these defines three intervals  $(j+f(0+f(C^0)), j+f(0+f(\Gamma^0))), j=0, 1, 2$ , and the last similarly, all of which we blacken. (Graphically, the

process amounts to projecting the function values above each black interval onto the three 45° lines and thence down to the  $t$ -axis.) Repetition of this process yields a set of open intervals of total length  $1/3+2/3+3(2/3)(1/4)+\dots=1/3+2/3(1+3/4+(3/4)^2+\dots)=3$ . It follows that the set of black intervals exhausts the set  $G$ , and the complement  $L$  is of measure zero, perfect, and nondense on  $[0, 3)$ . While this is not quite the Cantor "middle-third" set it has precisely the same structure.

**8. Sufficient conditions for one-one correspondence.** Let  $c_0 < \gamma_0 < \delta_0 < c_0 + 1$  and  $\gamma_0, \gamma_1, \dots, \gamma_n; \delta_0, \delta_1, \dots, \delta_n$ , be the numbers resulting from the first  $n$  steps of the algorithm. We say that the slopes  $f(\delta_i) - f(\gamma_i) / \delta_i - \gamma_i, i = 1, \dots, n$ , are *connected*.

*In order that the correspondence (B) be one-one it is sufficient that:*

(K) *There exists an integer  $n$  such that the product of every  $n$  connected slopes is less than one.*

Suppose that (B) is not one-one, and let  $X'$  be the class of all intervals  $(C^0, \Gamma^0)$  under (B'). Then there must be in  $X'$  an interval of maximal length. For this interval, write  $\Gamma^0 - C^0 = (f(\Gamma^1) - f(C^1) / \Gamma^1 - C^1) \dots (f(\Gamma^n) - f(C^n) / \Gamma^n - C^n) (\Gamma^n - C^n)$ . The interval  $(C^n, \Gamma^n)$  is in  $X'$ , hence these  $n$  connected slopes have product not less than 1, contradicting (K).

Stronger sufficient conditions are:

$$(K') \quad f(t_2) - f(t_1) / t_2 - t_1 < 1, \quad 0 \leq t_1 < t_2 \leq p.$$

(K'') There exists a  $\beta$  such that  $0 < \beta < 1, f(t_2) - f(t_1) / t_2 - t_1 \leq \beta$  on  $0 \leq t_1 < t_2 \leq p$ .

In case (K'') obtains, we note that  $\Gamma_p^0 - C_p^0 = (f(\Gamma_{p-1}^1) - f(C_{p-1}^1) / \Gamma_{p-1}^1 - C_{p-1}^1) \dots (f(\Gamma_1^{p-1}) - f(C_1^{p-1}) / \Gamma_1^{p-1} - C_1^{p-1}) (f(c_p + 1) - f(c_p) / (c_p + 1) - c_p) \leq \beta^p$ , so that from (H) the error in the  $\Gamma_p^0$  and  $C_p^0$  approximations to  $\gamma_0 = C^0 = \Gamma^0$  is not greater than  $\beta^p$ .

Although the slope condition (K') is sufficient for one-one (B), it is far from necessary. We shall construct functions of arbitrarily great slope for which (B) is one-one.

Consider the set of all ratios (note: *not* slopes)  $f(b + f(a + 1)) - f(b + f(a)) / f(b + 1) - f(b)$  where  $a, b$  are arbitrary integers on  $0, 1, \dots, p - 1$ . Of these there are only a finite number, each less than one, since the numerator is the difference of function values on a proper subinterval of  $(b, b + 1)$ . Let  $M$  be the maximum of these ratios,  $M < 1$ .

Now consider the intervals  $(b + f(a), b + f(a + 1))$  and suppose that the ratio of inner to outer slope of  $f(t)$  on each of these intervals is bounded above from  $1/M$ , that is:

(L) There exists a  $k < 1/M$  such that

$$(f(t_2) - f(t_1))/t_2 - t_1 / (f(b + f(a + 1)) - f(b + f(a))/f(a + 1) - f(a)) \leq k$$

for all  $t_1, t_2$  on

$$b + f(a) \leq t_1 < t_2 \leq b + f(a + 1),$$

or equivalently:

(L') There exists a  $k < 1/M$  such that whenever

$$b + f(a) \leq t_1 < t_2 \leq b + f(a + 1) \text{ and } t_2 - t_1 \leq \tau \cdot (b + f(a + 1) - (b + f(a))),$$

we must have  $f(t_2) - f(t_1) \leq k\tau(f(b + f(a + 1)) - f(b + f(a)))$ .

The condition (L') is sufficient for one-one (B).

For, by definition of  $M, f(b + f(a + 1)) - f(b + f(a)) \leq M(f(b + 1) - f(b))$  and  $c + f(b + f(a + 1)) - (c + f(b + f(a))) \leq M((c + f(b + 1)) - (c + f(b)))$ . Now use (L') on the interval  $(c + f(b), c + f(b + 1))$  and we have

$$\begin{aligned} f(c + f(b + f(a + 1))) - f(c + f(b + f(a))) &\leq kM(f(c + f(b + 1)) - f(c + f(b))) \\ &\leq kM^2(f(c + 1) - f(c)). \end{aligned}$$

By iteration of this process, one obtains

$$\begin{aligned} f(c_1 + \dots + f(c_r + 1)) - f(c_1 + \dots + f(c_r)) \\ \leq k^{r-2}M^{r-1}(f(c_1 + 1) - f(c_1)) \leq (kM)^{r-2}M, \end{aligned}$$

which approaches zero since  $k < 1/M$ .

While this discussion is cumbersome, it nevertheless shows that a function  $f(t)$  defined arbitrarily (consistent with monotonicity) at  $t = 0, 1, 2, \dots, p - 1, p$ , and then at all  $t = b + f(a), a, b$  on  $0, \dots, p - 1$ , and elsewhere by the broken line connecting these points, must satisfy (L) with  $k = 1$ , since the ratio of inner to outer slope on the straight segments is unity.

Thus the broken line function connecting  $f(0) = 0, f(1) = e > 0$ , ( $e$  arbitrarily small constant),  $f(1 + e) = 1 - e, f(2) = 1$  (for  $p = 2$ ) yields a one-one (B). The slope on  $(1, 1 + e)$  however is  $(1 - 2e)/e$ , which may be arbitrarily large.

**9. Algebraic examples of the one-one case.** *Example 1.* Let  $f(t) = t^n/p^n$  for an integer  $p \geq 2$  and an integer  $n$  on  $1 \leq n < p$ . One verifies the properties of §2, and condition (K'') with  $\beta = n/p$ . For  $n = 1$ , our theory reduces to the classical decimals with base  $p$ . In the general case let  $q$  be an integer not greater than  $p - 2$ , and let  $C^0$  be the limit for sequence  $\{q, q, \dots\}$ . Then  $C^0 = q + f(C^0)$ , and the number  $\alpha = C^0/p$  satisfies  $p\alpha = q + \alpha^n$  or  $\alpha^n - p\alpha + q = 0$ , where  $0 \leq q/p \leq \alpha < (q + 1)/p \leq p - 1/p$ . Thus the equation  $x^n - px + q = 0, 1 \leq n < p, 0 \leq q$

$\leq p-2$ , has exactly one real root on  $[0, 1)$ , namely  $\alpha = (1/p) \cdot (q+f(q+f(q+\dots)))$ .

In particular, for  $n=2, p=3, q=1, x^2-3x+1=0, \alpha = (3-5^{1/2})/2$  is approximately

$$(1/3)C_3^0 = (1/3) \left( 1 + \frac{1}{9} \left( 1 + \frac{1}{9} \left( 1 + \frac{1}{9} \right)^2 \right)^2 \right),$$

with error not greater than  $\beta^3 = (2/3)^3$ .

*Example 2.* For  $f(t) = (1+t)^{1/n} - 1, p = 2^n - 1, n > 1$ , one has slope on  $(0, p)$  not greater than  $1/n$ . We consider  $\gamma = 1+f(q+f(q+\dots))$  where  $0 < q \leq 2^n - 2$ . We have  $\gamma = 1+f(q+\gamma-1) = 1+(q+\gamma)^{1/n} - 1$  or  $\gamma^n - \gamma - q = 0$ . Thus, the equation  $x^n - x - q = 0, n > 1, 0 < q \leq 2^n - 2$ , has only one real root  $\gamma$  on  $(1, 2]$ , namely the number  $\gamma$  above.

For instance,  $n=2, p=3, q=1, x^2-x-1=0, \gamma = 1+f(1+f(1+\dots))$ . The successive  $C_p^0$  are  $1+f(1) = 2^{1/2} = (1+1^{1/2})^{1/2}$  (from here on radicals are "nested"),  $1+f(1+f(1)) = (1+(1+1^{1/2})^{1/2})^{1/2}$ , and so on. Hence  $(1+5^{1/2})/2 = (1+(1+(1+\dots))^{1/2})^{1/2}$ .

Recalling the remark at the end of §4, and using  $n=2, p=3, q=2, x^2-x-2=0, \gamma = 2 = 1+f(2+f(2+\dots))$ , the successive approximations being  $1+f(2) = 3^{1/2}, 1+f(2+f(2)) = (2+3^{1/2})^{1/2}, 1+f2+f2+f2 = (2+(2+3^{1/2})^{1/2})^{1/2}$ , and so on. But using the sequence  $p-1+f(p-1+\dots+f(p-2))$ , we have  $3 = 2+f2+f2+\dots$  with approximations  $2+f(1) = 1+2^{1/2}, 2+f2+f1 = 1+(2+2^{1/2})^{1/2}$ , whence  $2 = (2+(2+(2+\dots))^{1/2})^{1/2}$  which is the classical limit occurring in the inscribed polygon theory [2].

Finally, for  $n=3, p=2^n-1=7, q=6, x^3-x-6=0, \gamma = 2 = 1+f(6+f(6+\dots))$ , the approximations being  $1+f6 = 7^{1/3}, 1+f6+f6 = [6+7^{1/3}]^{1/3}$ , or again using the  $(p-2)$ -terminating approximations,  $2 = \{ 6 + [6 + (6 + \dots)]^{1/3} \}^{1/3}$ .

**10. "Spectra" of the topological maps of the unit interval.** Let  $T = \{ F_1(t) \}$  be the class of all continuous increasing functions on  $0 \leq t \leq 1$  with  $F_1(0) = 0, F_1(1) = 1$ . These are the topological mappings of the unit interval onto itself [5]. If  $p$  is any integer not less than 2 and  $f(t)$  is of the type in §2:

(M)  $f(t)$  continuous increasing on  $0 \leq t \leq p; f(0) = 0, f(p) = 1$ , then  $F(t) = f(pt)$  is in the class  $T$ . Thus all our functions may be regarded as magnifications of the functions of  $T$  by a factor  $p$  in the  $t$ -direction. Conversely, if  $F_1(t)$  is in  $T$  and  $p \geq 2$ , then  $F_p(t) = F_1(t/p)$  is a function of type (M). Hence for every  $F_1(t)$  in  $T$  we regard the sequence of functions  $\{ F_1(t), F_2(t), F_3(t), \dots \}$  where  $F_p(t) = F_1(t/p)$  for  $p \geq 2$ . The associated sequence of perfect sets  $L_p$  of limit numbers of  $F_p(t)$  is a curious sort of "spectrum" for  $F_1$ .



For a fixed  $F_1(t)$  in  $T$ , the correspondence  $(t, F_1(t)) \leftrightarrow (nt, F_1(t))$ ,  $0 \leq t \leq 1$ , is a one-one correspondence of the points on the curves  $y = F_1(t)$  and  $y = F_n(t)$ . This induces a one-one correspondence between the points of the curves  $F_n$  and  $F_{n+1}$ , namely,  $(nt, F_1(t)) \leftrightarrow ((n+1)t, F_1(t))$ ,  $0 \leq t \leq 1$ . The latter may be used to show that the slopes  $s_n, s_{n+1}$  of the chords at corresponding points of  $F_n$  and  $F_{n+1}$  satisfy  $s_n > s_{n+1}$ . Hence if some  $F_n$  satisfies (K') so do all succeeding  $F_n$ , and thus  $L_p = [0, p]$ ,  $p \geq n$ . If  $F_1$  is of bounded slope, there will exist an  $F_n$  of slope everywhere less than one. Moreover, one can show that if  $F_n$  satisfies (D') and (J'), so does  $F_{n+1}$ . This leads to the question whether  $L_p = [0, p]$  implies  $L_{p+1} = [0, p+1)$ . This is in fact *not* the case.

*Example.* The broken line function  $F_1$  defined by  $F_1(0) = 0$ ,  $F_1(4/9) = 1/3$ ,  $F_1(5/9) = 2/3$ ,  $F_1(1) = 1$  has  $L_2 = [0, 2)$ , since the product of every two connected slopes of  $F_2$  is less than one (condition (K) with  $n = 2$ ; note that the test (L) fails). But  $F_3(t)$  is the function of §7 with  $L_3$  of measure zero. However  $L_p = [0, p]$ ,  $p \geq 4$ , since the maximum slope of  $F_3$  is one and all successors therefore have slope less than one.

**11. Unsolved problems.** (1) State simple necessary and sufficient conditions on  $f(t)$  such that (B) be one-one. (2) Do there exist functions  $f(t)$  which give  $C^0 < \Gamma^0$  for non-terminally-periodic sequences  $\{c_p\}$ ? (3) Do functions exist with sets  $L$  of every measure between 0 and  $p$ ? (4) The limits of periodic sequences of period  $k$  are algebraic numbers of degree  $n^k$  at most for the function of Example 1, §9. Characterize algebraically all such limits.

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