# **Resilience of traditional knowledge systems:**

# The case of agricultural knowledge in home gardens of the Iberian Peninsula

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# 1

## **Resilience of traditional knowledge systems:**

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3

# 4 **1. Introduction**

5 Resilience has been defined as a system's ability to absorb change and endure 6 while maintaining its essential structure, function, and feedbacks (Gunderson, 2003) and 7 while remaining flexible in response to social and environmental changes (Redman and 8 Kinzig, 2003). The concept of resilience has been mostly applied to analyze the capacity 9 for renewal of ecological (Holling, 1973) or social-ecological (Folke, 2006) systems in 10 the face of disturbance and change. A basic argument of the resilience approach is that, 11 after each major social or environmental perturbation, the human-environment relation 12 is altered, new knowledge develops, and a new balance is established (Berkes and 13 Folke, 2002; Chapin et al., 2009). Therefore, the resilience of a social-ecological system 14 largely depends on the capacity of the corpus of knowledge to learn by absorbing new 15 information.

16 It is well acknowledged that in social-ecological systems with some basis of 17 historical and intergenerational continuity in resource use management, people have 18 developed knowledge of resource and ecosystem dynamics and associated management 19 practices, or traditional ecological knowledge (Berkes et al., 2000). From the 20 perspective of social-ecological systems, traditional ecological knowledge has been 21 conceived as an evolving body of knowledge, practices and beliefs that develops over 22 time from long-term observation and monitoring of the system functioning (Berkes et 23 al., 2000), but also from learning with crises and mistakes (Berkes and Turner, 2006; 24 Olsson and Folke, 2001). As other lay and local knowledge systems, traditional 25 ecological knowledge is generally site specific in the sense that it is produced through

26 economic and social interactions with the immediate environment and is dynamic and 27 mutable (Kloppenburg, 1991). Therefore, traditional ecological knowledge contrasts 28 with scientific knowledge, an "immutable mobile" (as coined by Latour, cited in 29 Kloppenburg, 1991) mainly produced with the goal of being universal, transferable, 30 mobile, and not tied to a singular place. But in contrast with other lay knowledge 31 systems, the term "traditional ecological knowledge" emphasizes the historical 32 continuity of such bodies of knowledge, not only their local embeddedness, a 33 characteristic that seems to contribute to the long-term resilience of social-ecological 34 systems by providing a pool of information and practices that improves societies' 35 adaptive capacity to cope with recurrent environmental or social disturbances (Folke, 36 2004; Gómez-Baggethun et al., 2012; McIntosh et al., 2000). 37 Several researchers have emphasized that traditional knowledge systems should 38 neither be considered static (Berkes et al., 2000; Gómez-Baggethun and Reyes-García, 39 2013), nor in isolation from other knowledge systems (Agrawal, 1995; Leonti, 2011; 40 Leonti and Casu, 2013). Rather, traditional knowledge systems should be understood as 41 being in constant change, in a dynamic process that encompasses a complex mix of 42 knowledge replication, loss, addition, and transformation, in a type of process that 43 anthropologists have noted to involve simultaneously "continuity and change" 44 (Reenberg, et al., 2008). On the one side, traditional ecological knowledge draws from 45 historical and intergenerational continuity in resource use management. On the other 46 side, change in traditional knowledge systems can be triggered by multiple factors that 47 include -but are not limited to- individuals' own learning and experimentation, adoption 48 of new technologies, the production of new knowledge due to adaptation to new social 49 or ecological conditions or the co-production of knowledge arising from the interactions 50 with other knowledge systems, such as scientific knowledge.

51 In this research, we offer an exploration of the resilience of a traditional 52 agricultural knowledge system. Specifically, we assess the ability of the traditional 53 agricultural knowledge to continue to exist while absorbing changes, that is, its capacity 54 to simultaneously evolve and persist in response to disturbance and change. After the 55 presentation of the case study, we analyze the co-existence of agricultural information 56 derived from two different knowledge systems: i) knowledge and use of landraces 57 (representative of traditional agricultural knowledge) and ii) knowledge and use of 58 commercial crop varieties (representative of modern agricultural knowledge). We then 59 analyze the socio-demographic characteristics associated to the holders of those bodies 60 of knowledge.

61 Our underlying hypothesis goes as follows. If the traditional agricultural 62 knowledge system is not able to absorb change, then we should see either a) a 63 displacement of traditional agricultural knowledge and practices by new knowledge, or 64 b) the maintenance of the traditional agricultural knowledge, if people are not able or 65 willing to incorporate new knowledge. In both cases, we would expect to observe a 66 negative association between the two measures of agricultural knowledge and a 67 concentration of one or the other type of knowledge in different segments of the 68 population. If, on the contrary, the traditional agricultural system is capable of 69 absorbing new information and adapting to change, then we should see that traditional 70 and modern agricultural knowledge are not necessarily mutually exclusive. Our research 71 is based on pockets of traditional agricultural knowledge held by gardeners in three 72 different regions of the Iberian Peninsula.

73

## 74 2. Home gardens as pockets of social-ecological memory

75 As mentioned, traditional knowledge is an attribute of societies with historical 76 and intergenerational continuity in resource use management. Although, by and large, 77 traditional knowledge systems are mostly found in non-industrial societies, some 78 traditional knowledge systems remain in rural areas of industrial societies (Aceituno-79 Mata, 2010; Beaufoy et al., 1994; Calvet-Mir et al., 2011; Emanuelsson, 2010; Negri, 80 2003). Barthel et al. (2010) call pockets of social-ecological memory those places that 81 having captured, stored, and transmitted through time the knowledge and experience of 82 managing a local ecosystem and its services, continue to maintain them alive despite 83 drastic changes in the surrounding environments (see also Barthel and Isendahl, 2013; 84 Barthel and Crumley, in press). For example, agricultural landscapes in Europe evolved 85 through thousands of years of interactions between social and ecological systems, but 86 changed drastically with the ubiquitous industrialization and mechanization of 87 agriculture in the last century as well as with societal transformation more broadly 88 (Emanuelsson, 2010). Despite this general change, some places still preserve locally 89 evolved experiences of farming with historical continuity in management (Hernández-90 Morcillo et al., in press). Such pockets include agricultural systems in parts of Eastern 91 Europe or in marginal lands such as areas with poor soils or areas in sloping terrains 92 (Beaufoy et al., 1994; Emanuelsson, 2010; Eyzaguirre and Linares, 2004; Joffre et al., 93 1988; Negri, 2003). That is also the case of home gardens in mountain areas of the 94 Iberian Peninsula.

Agriculture in Spain has been subject to deep transformations throughout history and especially since the 18<sup>th</sup> century (González de Molina and Sevilla-Guzmán, 1993), but many authors identify the 1960s as the tipping point when agriculture shifts most radically from a 'traditional' to a 'modern' (or industrial) agrarian mode of production based on the use of fossil fuels, chemicals, and machinery (Naredo, 2004). Changes in

100	the long term agricultural tradition in the Iberian Peninsula were motivated by a new
101	emphasis on exploitation efficiency in terms of physical and monetary yields and
102	materialized in the simplification of agricultural systems, the introduction of new crops,
103	and the mechanization of farm activities (Naredo, 2001), all of which have led to
104	fundamental changes in traditional agricultural knowledge systems (see, e.g. Gómez-
105	Baggethun et al., 2010). Spain's late entry in the European Union (EU), in 1986, and
106	the adoption of the Common Agricultural Policy (started in 1957 in other parts of the
107	EU) settled and reinforced transformations in agriculture and mainly in livestock
108	activities (Lefebvre et al., 2012). At the landscape level, those changes generally
109	resulted in the concentration of agricultural activities and the abandonment of traditional
110	agricultural practices (Naredo, 2004; Beaufoy et al., 2012). At the social level, those
111	changes generated a developmentalist mindset, which focused in commercial
112	agriculture, downplayed subsistence agriculture and undervalued traditional knowledge
113	and practices as old and useless (Entrena-Durán, 1998; Pardo-de-Santayana et al.,
114	2010). Those changes fully affected the commercial agricultural sector and, to a lesser
115	extent the agricultural production that remained devoted to self-consumption (Naredo,
116	2004), such as food production in home gardens, the focus of our study.
117	Our study was conducted in home gardens in three mountain areas of the Iberian
118	Peninsula: the Catalan Pyrenees, Central Asturias, and Sierra Norte de Madrid (Figure
119	1). Specific descriptions of each study area can be found in previous work (Aceituno-
120	Mata, 2010; Calvet-Mir et al., 2011; Reyes-García et al., 2012; Rigat et al., 2011). There
121	are linguistic and cultural differences between the three areas, but an important
122	commonality between them is the prevalence of slopes which make intensive and
123	mechanized agriculture difficult. In the three areas, home gardens are still quite

124 widespread and involve a significant number of people, both when considering their 125 participation in gardening activities and the consumption of home gardens' products. 126 FIGURE 1 127 Home gardens are places of confluence of biological and cultural diversity, 128 conceived for a small-scale and complementary food production. Previous research 129 suggests that the studied home gardens provide a myriad of ecosystem services beyond 130 food production, holding important ecological, socio-cultural and economic values 131 (Calvet-Mir et al., 2012; Reyes-García et al., 2012). Compared to other agricultural 132 sectors which have undergone drastic changes since the 1960s (Naredo, 2004), farming 133 in home gardens continues to involve a high degree of manual labor and traditional 134 management techniques. Thus, many gardeners in our study areas still use traditional 135 tools like hoes, billhooks, and sickles; traditional irrigation systems like water canals, 136 watering cans; and other traditional management practices such as manual weeding and 137 pest removal. Moreover, home gardens still harbor landraces highly valued for their 138 taste, smell, and gastronomic characteristics (Aceituno-Mata, 2010; Calvet-Mir et al., 139 2011). 140 However, research also suggests that home garden management has not

141 remained static. Gardeners have responded to environmental, social, and economic 142 changes in a myriad of ways. Some responses include experimentation with new 143 technologies and practices. For example, although the overall degree of home garden's 144 mechanization is low, in most of the studied gardens plowing is no longer done with 145 mules, but with rotavators. Chemical pest control methods have also made their way 146 into home gardens. Gardeners also experiment with new crop varieties and as a 147 consequence seed saving seems increasingly restricted to a smaller number of crops 148 (Calvet-Mir et al., 2011). Responses to change are also reflected in the household

149 distribution of garden activities. For example, previous work suggests that social

150 changes affecting patterns of employment in the region have led to changes in the

151 gendered distribution of home garden tasks (Reyes-García et al., 2010).

152 In sum, agricultural knowledge related to the maintenance of home gardens

153 presents an ideal case to study the resilience of a traditional agricultural knowledge

154 system for at least two reasons. First, it presents a clear example of knowledge

155 developed through historical and intergenerational continuity in resource use

156 management. And second, it is embedded in a social-ecological system suffering rapid

157 change.

158

159 **3. Methods** 

160 3.1. Definitions

161 Since our aim is to analyze the level of co-existence of traditional and modern 162 agricultural knowledge systems, the definition and operationalization of such 163 knowledge systems is of paramount importance. We follow researchers who have 164 analyzed the transformation of the Spanish agricultural sector (González de Molina and 165 Sevilla-Guzmán, 1993; Naredo, 2004; Carpintero 2005) and differentiate between the 166 'traditional' and the 'modern' (or industrial) agrarian mode of production. By using the 167 term 'traditional' agricultural knowledge, rather than 'local', we emphasize historical 168 and intergenerational continuity in agricultural management. By using the term 169 'modern' agricultural knowledge, rather than 'scientific', we acknowledge that there is a 170 large scientific agronomic literature, for example in agroecology, emphasizing the 171 scientific base of many traditional practices (Altieri, 2004; Rist and Dahdouh-Guebas, 172 2006).

173 From the many aspects that could be considered an integral part of the 174 agricultural knowledge system, we focus on knowledge and use of landraces 175 (representative of traditional agricultural knowledge) and knowledge and use of commercial crop varieties (representative of modern agricultural knowledge). We define 176 177 "landraces" as the annual and biennial crops that farmers have reproduced in the area of 178 study for more than one generation (30 years). For crops with vegetative reproduction 179 we use the criteria of two generations (60 years) (Calvet-Mir et al., 2011). We focus on 180 annual and biennial crops excluding perennial trees, because we found that farmers are 181 often unaware of the origin of trees in their fields. We set up the limit of 30 (or 60) 182 years, as a minimum amount of time needed both to provide diachronic data to farmers 183 growing a plant strain and to allow a plant strain to adapt to the local environmental 184 conditions and management. 185 Traditional knowledge systems are integrated corpus of knowledge, practices, 186 and beliefs that provide a holistic view of ecosystems (Toledo, 2002). We are aware that

187 by restricting our analysis to knowledge and use of landraces, we do not capture the

188 broader complexity of this holistic view. The approach, however, also has advantages.

189 By focusing on one measurable aspect, we are able to compare the level of landrace

190 knowledge with the level of commercial varieties knowledge. Furthermore, the

approach allows for testing our ideas in a larger sample than wider or more in-depth

approaches allow. Lastly, the approach also allows for the collection of cross-cultural

193 comparative data, and therefore for a higher degree of generalization.

194

195 3.2. <u>Sample</u>

Our sampling strategy proceeded in two steps. We first selected a range of
villages representing key features of the environmental and socioeconomic variability of

the study areas. In a second phase, we identified all the active gardens in the selected villages. For each garden, we requested the voluntary participation of individuals involved in garden management to answer a survey. As the number of people undertaking gardening varied from household to household, in some households we interviewed one person and in other households we interviewed two persons. Our total sample includes 383 individuals, in 326 households, and 28 villages across the three study areas.

205

206 3.3. Data collection

207 A multidisciplinary team of social and natural scientists collected data during
208 April 2008-October 2009 using ethnographic tools and a survey.

209 Ethnographic tools: Six researchers lived in one or another of the study sites 210 participating in local life. The rest of the team, occasionally also collaborated in data 211 collection. Participant observation allowed the understanding of the different activities 212 and tasks around gardening by providing ample opportunities -other than during the 213 formal interviews- to interact with gardeners and to discuss garden's progress and other 214 issues such as cultural practices and their changes, products grown and their evolution, 215 destination of these products, and economic implications of home gardening, among 216 others. We also carried out semi-structured interviews with more than 90 elders (about 217 30 per study area) regarding traditional management of home gardens and changes on 218 management techniques over the last decades. We selected people over 65 years of age, 219 with a long history of living and cultivating a home garden in the study areas. 220 Ethnographic information helped us to interpret quantitative results in a broader context. 221 Survey: Our survey had two sections. In the first section, we asked about socio-222 demographic characteristics of the person answering the survey (age, sex, maximum

223 education level, years gardening, and length of residency in the village). The second 224 section evaluated gardeners' knowledge of landraces and commercial varieties through 225 a knowledge test. The test included 36 questions on six different crop varieties 226 (36=6\*6), of which three were landraces and three were commercial varieties. To 227 increase variation in responses, we used our ethnographic information to select one well 228 known, one relatively known, and one rare landrace in each site. We used the same 229 criteria to select three commercial varieties. For each item we requested gardeners a) to 230 identify the variety by showing them the seed (or other propagation material such as 231 bulbs); b) to report whether they were growing this variety at the time of the interview, 232 c) had grown it in previous years, d) or had it in storage; and e) to answer a question on 233 the species management, and f) a question on species use. Questions on species 234 management and use were constructed using ethnographic information collected among 235 locally recognized experts. Because species and practices vary from one site to another, 236 the knowledge tests were site-specific, although they all conformed to the same 237 structure.

238

239 3.4. Data analysis

240 We used answers to the 18 questions on landraces to generate a score of 241 landrace knowledge and answers to the 18 questions on commercial varieties to 242 generate a score of *commercial varieties knowledge*. Specifically, we added a point to 243 the respective score if the informant a) was able to identify the propagation material by 244 providing the folk name of the strain, b) was growing it at the time of the interview, c) 245 had grown the strain during previous years, d) or had the strain in storage, e) knew the 246 specific management technique of the strain, and f) knew the characteristic use or 247 preparation for that plant strain (6 questions\*3 landraces=18 points). Answers to

questions on landrace folk name, management, and use were considered as correct if they matched responses from 'local experts,' defined here as local inhabitants with long-term experience with traditional management of home gardens in the area (Davis and Wagner, 2003) and identified by residents during informal interviews. For commercial varieties, correct answers were extracted from agronomic literature (Maroto, 1992).

254 To assess the association between landraces and commercial varieties

255 knowledge, we used both bivariate and multivariate analysis. We first ran a Spearman

256 correlation of landraces against commercial varieties knowledge. We then ran a Poisson

257 multivariate regression with *landrace knowledge* as outcome variable and *commercial* 

258 *varieties knowledge* as explanatory variable while controlling for confounding factors

that research suggests might affect the distribution of traditional ecological knowledge

260 (i.e., age, sex, years gardening, schooling, and years of residency).

261 To assess trends in the association between those two bodies of knowledge, we

262 performed a hierarchical cluster analysis classifying interviewees according to their

263 *landraces* and *commercial varieties* knowledge. We used the Ward's method as

agglomerative technique. Then, we used Kruskal-Wallis and Chi-square tests to

characterize the groups obtained with the hierarchical cluster analysis according to

266 socio-cultural and demographic variables. For the statistical analysis we used STATA

267 11.1 for Windows (Stata Corporation, Texas, USA).

268

#### 269 **4. Results**

## 270 4.1. Landraces and commercial varieties knowledge

Table 1 contains definitions and summary statistics of the variables used in the analyses. The average respondent obtained a similar score in landraces and commercial

This is an Accepted Manuscript of an article published in Global Environmental Change on January 2014, available online: http://dx.doi.org/10.1016/j.gloenvcha.2013.11.022 273 varieties knowledge, although variation was larger for landraces than for commercial 274 varieties knowledge. Overall, from a range from 0 to 18, the landraces knowledge score 275 had a mean of 7.71 (median= 8; mode= 10), and the commercial varieties knowledge 276 score had a mean of 7.83 (median=8; mode=10). 277 TABLE 1 278 The survey sample included people between 17 and 100 years of age, but the 279 average respondent was 66 years, above retirement age in Spain (65 years). Men 280 accounted for 68% of survey respondents. About 51% of the interviewees had been or 281 still were farmers at the moment of the survey. The average informant held a long 282 experience in gardening (42.6 years), but there were large differences within the sample 283 (SD=24 years). Twelve percent of people in the sample had no schooling and only 7% 284 had a university degree. Only about 33% of our respondents conformed to what we 285 named as "migrant", a category that included people who was not born in the study site, 286 but rather who had migrated to it from a city, other rural areas, or other countries (Table 287 1). 288 289 4.2. Relation between landraces and commercial varieties knowledge 290 Bivariate analyses suggest that, overall, landraces and commercial varieties 291 knowledge correlated in a positive and significant way (p<0.001) although the 292 correlation coefficient was relatively low (r =0.40). Figure 2 provides a visual 293 representation of the association between landraces and commercial varieties 294 knowledge. 295 FIGURE 2 296 Multivariate regressions of commercial varieties against landrace knowledge 297 confirm the intuition of bivariate analysis: commercial varieties knowledge bears a

298 positive and statistically significant association with landrace knowledge (Table 2). That

is, when taking the sample as a whole and after we control for socio-economic

300 characteristics of the informant, the higher the score of commercial varieties of a

- 301 person, the higher his/her landrace knowledge.
- 302 Other traits presenting a positive association with landrace knowledge include

303 being a woman, being a farmer, and the number of years the person has been gardening.

304 Characteristics that present a negative and statistically significant association with

305 landrace knowledge include higher levels of formal education and age, although for the

306 variable age the magnitude of the coefficient is very small.

307

## TABLE 2

308 Since our three study areas present important socio-cultural differences, we 309 conducted the same analysis by study area (Table 2). The analysis by study areas 310 confirms the statistically significant association between commercial varieties and 311 landrace knowledge. In those analyses, all the variables previously commented maintain 312 their sign in their association with landrace knowledge, although some loss their 313 statistical significance. Thus, only two of the control variables included in our analyses 314 maintain a statistically significant association with landrace knowledge across the three 315 study areas: years of gardening and age.

316

## 317 4.3. Characterizing knowledge holders

The hierarchical cluster analysis based on answers to the questions on landraces and commercial varieties knowledge divided the sample in four distinct groups. Results of the Kruskal-Wallis and Chi-square tests analyzing differences between those groups suggest that there are statistically significant differences both regarding the landraces

322	and commercial varieties knowledge (the grouping criteria in our cluster analysis) and
323	also regarding the socio-demographic characteristics of group members.
324	The first group (Table 3, group A) is the largest (n=164) and includes informants
325	with the highest levels of both landraces and commercial varieties knowledge. We name
326	this group 'hybrid knowledge' group. Compared with the other two groups, people in
327	the hybrid knowledge group is older and holds larger experience gardening. This group
328	is mostly composed by informants who have been (or still are) farmers and who have
329	spent most of their lives in the study areas. A last marked characteristic of the hybrid
330	knowledge group is that, compared to the overall mean (Table 1), it concentrates a
331	larger share of people with no schooling and a lower share of people with university
332	degrees, although differences in education between groups are only statistically
333	significant for people having primary education or university degree.
334	INSERT TABLE 3
335	The second group (Table 3, group B) includes informants (n=90) with relatively
335 336	The second group (Table 3, group B) includes informants (n=90) with relatively high levels of landrace knowledge (7.2) but relatively low levels of commercial
336	high levels of landrace knowledge (7.2) but relatively low levels of commercial
336 337	high levels of landrace knowledge (7.2) but relatively low levels of commercial varieties knowledge (4.7). We call this group 'traditional knowledge' group. Compared
336 337 338	high levels of landrace knowledge (7.2) but relatively low levels of commercial varieties knowledge (4.7). We call this group 'traditional knowledge' group. Compared with informants in the hybrid knowledge group, fewer informants in the traditional
<ul><li>336</li><li>337</li><li>338</li><li>339</li></ul>	high levels of landrace knowledge (7.2) but relatively low levels of commercial varieties knowledge (4.7). We call this group 'traditional knowledge' group. Compared with informants in the hybrid knowledge group, fewer informants in the traditional knowledge group have farming experience, and fewer informants have lived most of
<ul> <li>336</li> <li>337</li> <li>338</li> <li>339</li> <li>340</li> </ul>	high levels of landrace knowledge (7.2) but relatively low levels of commercial varieties knowledge (4.7). We call this group 'traditional knowledge' group. Compared with informants in the hybrid knowledge group, fewer informants in the traditional knowledge group have farming experience, and fewer informants have lived most of their live in the study areas. It is also interesting to notice that the mean score in
<ul> <li>336</li> <li>337</li> <li>338</li> <li>339</li> <li>340</li> <li>341</li> </ul>	high levels of landrace knowledge (7.2) but relatively low levels of commercial varieties knowledge (4.7). We call this group 'traditional knowledge' group. Compared with informants in the hybrid knowledge group, fewer informants in the traditional knowledge group have farming experience, and fewer informants have lived most of their live in the study areas. It is also interesting to notice that the mean score in landrace knowledge is lower than in the hybrid group.
<ul> <li>336</li> <li>337</li> <li>338</li> <li>339</li> <li>340</li> <li>341</li> <li>342</li> </ul>	high levels of landrace knowledge (7.2) but relatively low levels of commercial varieties knowledge (4.7). We call this group 'traditional knowledge' group. Compared with informants in the hybrid knowledge group, fewer informants in the traditional knowledge group have farming experience, and fewer informants have lived most of their live in the study areas. It is also interesting to notice that the mean score in landrace knowledge is lower than in the hybrid group. The third group (Table 3, group C) shows the opposite trends in knowledge:
<ul> <li>336</li> <li>337</li> <li>338</li> <li>339</li> <li>340</li> <li>341</li> <li>342</li> <li>343</li> </ul>	high levels of landrace knowledge (7.2) but relatively low levels of commercial varieties knowledge (4.7). We call this group 'traditional knowledge' group. Compared with informants in the hybrid knowledge group, fewer informants in the traditional knowledge group have farming experience, and fewer informants have lived most of their live in the study areas. It is also interesting to notice that the mean score in landrace knowledge is lower than in the hybrid group. The third group (Table 3, group C) shows the opposite trends in knowledge: informants in this group show low landrace knowledge (3.6) and high commercial

347 knowledge group is the lowest, as it is their gardening experience. This group also holds

348 the largest share of migrant population from the four groups.

349 Our last group (Table 3, group D) is the smallest in number (n=40). This group 350 includes informants with low levels of both landraces (1.9) and commercial varieties 351 (2.7) knowledge. We call this group 'limited knowledge' group. Compared to the hybrid 352 knowledge and traditional knowledge groups (but not in relation to the modern 353 knowledge group), informants in the limited knowledge group had lower experience in gardening. This group is formed by a disproportionate number of men, in relation with 354 355 the overall gender distribution of the sample. By the standards of the sampled 356 population, people in the limited knowledge group also have higher levels of formal 357 education. 358

# 359 5. Discussion

We organize the discussion around results corresponding to the two specific goals of this article: to analyze the co-existence of traditional and modern agricultural knowledge and to analyze the socio-demographic characteristics associated to those two bodies of knowledge. In the last section, we interpret those findings in the light of resilience theory.

365

#### 366 5.1. The relation between landraces and commercial varieties knowledge

Our results show a positive association between traditional and modern
agricultural knowledge, specifically landraces and modern varieties knowledge: overall
and by study area those gardeners who are more knowledgeable about landraces are also
more knowledgeable about commercial crop varieties. Several authors have previously
documented similar trends regarding coexistence of traditional and modern agricultural

knowledge and practice. For example, a consistent finding has been presented by
Eyssartier et al. (2011) in a case study in Northwestern Patagonia, where local people
maintained traditional practices on vegetable gardens but also adopted greenhouses, as
those improved the conditions for certain crops. Likewise, though in a different domain
of knowledge, Giovannini et al. (2011) document coexistence and complementarity of
individual knowledge of medicinal plants and individual knowledge of pharmaceuticals
among an indigenous population in Oaxaca, Mexico.

379 Our ethnographic information helps contextualize this finding. Gardeners 380 mentioned that dietary changes and improvement in market accessibility have affected 381 the composition of their gardens driving them to acquire new commercial varieties and 382 develop associated knowledge. Before the 1960s, home gardens were essential for 383 providing staple food for households. As a consequence high-carbohydrate-content 384 crops like beans and potatoes were the most prevalent and diverse among home 385 garden's crops (Aceituno-Mata, 2010). Dietary changes have resulted in a decrease in 386 the volume of staple crops cultivated in gardens as well as in an increase in the diversity 387 of cultivated vegetable species, including commercial varieties of species such as 388 cauliflower, broccoli, spinach or radishes. Nevertheless, gardeners reported that they 389 continue to grow landraces of their preferred staples, even if in a limited extent, as they 390 prefer their taste in the preparation of traditional dishes. This combination keeps alive 391 knowledge associated to both landraces and commercial varieties.

Gardeners have also acquired knowledge on commercial varieties for other
reasons such as convenience or to complement the harvest provided by landraces. For
example, in the Catalan Pyrenees some gardeners buy seedlings of tomatoes commercial
varieties at the beginning of the planting season. Gardeners argue that those varieties are
not as tasty as landraces, but that they are convenient. Since gardeners do not have the

397 technical equipment (e.g., greenhouses) to start a seed bank during the winter, they 398 depend on weather conditions to plant their own landraces. In this context, buying 399 seedlings from commercial varieties comes handily, as those plants would ensure an 400 early harvest. The incorporation of tomatoes commercial varieties -which, in addition 401 are often more productive- allows them to have an earlier harvest, without necessarily 402 renouncing to the tastier -but later- harvest provided by the tomatoes landraces. 403 Similarly, gardeners in Sierra Norte de Madrid argue that in the past 50 years annual 404 rainfall has decreased in this mountain area and that summers have become warmer and 405 drier. The cucumber landrace cultivated in the area is adapted to cold summers but is 406 very sensible to drought, becoming bitter under water stress. Consequently, in the last 407 decades gardeners have started to cultivate a new commercial cucumber variety that 408 does not become bitter under water stress. However, gardeners continue to cultivate the 409 cucumber landrace, considered tastier. This adaptation strategy ensures a yield of non-410 bitter cucumber and, under good weather conditions, a yield of the tastier variety. The 411 simultaneous use of landraces and commercial varieties fits well with the positive 412 association found between knowledge of both agricultural systems.

413 In sum, our first finding suggests that gardeners in the sample neither seem to 414 totally adhere to past management traditions by cultivating only landraces, nor seem to 415 have completely abandoned them to fully substitute them with commercial varieties. 416 Remember that the hybrid knowledge group, representing nearly half of the gardeners 417 (Group A is 43% of the sample), are at the same time those who know more about 418 landraces and modern varieties, simultaneously suggesting that both types of knowledge 419 can complement one to each other. Landraces and commercial varieties knowledge 420 seem to co-exist in a dynamic body of hybrid agricultural knowledge, representing an 421 example of continuity and change (Reenberg, et al., 2008). It is possible that these

422	characteristics are associated with gardeners' interests and inquisitive nature. Our field
423	experience suggests that many gardeners experiment with new varieties or technologies
424	while maintaining the landraces they like and the traditional technologies they are
425	familiar with. Put it differently, for those who still maintain the activity of gardening,
426	traditional knowledge persists but not in a frozen from. Rather, it is constantly evolving
427	in response to changing environmental and socioeconomic conditions by incorporating
428	new knowledge and adopting an increasingly hybrid character.
429	

#### 430 5.2. <u>Trends in knowledge holders' groups</u>

Despite these overall positive trends in traditional and modern agricultural knowledge, there are substantial differences in the bodies of knowledge held by different informants. Our analysis of groups of knowledge holders shows substantial complexity in the socio-cultural factors that define groups of knowledge holders, and it seems to contradict overall both the view expressed in standard research on the diffusion-of-innovation approach and the essentialist view of traditional systems of knowledge (see Gilles et al., 2013).

438 The literature on diffusion-of-innovations (a theory that seeks to explain how, 439 why, and at what rate new ideas and technology spread through cultures) has explicitly 440 analyzed the characteristics of people who adopt modern agricultural practices 441 (Wejnert, 2002). This line of research is largely based on the assumptions that i) those 442 who adopt modern agricultural practices will have a comparative economic advantage 443 over those who do not adopt them (Saltiel et al., 1994) and that ii) adoption and non-444 adoption of modern practices are mutually exclusive, implying that everybody will 445 eventually adopt the new practices on the risk to be out competed by others. 446 Furthermore, according to Gilles et al. (2013), the idea that a person can adopt some

innovations while maintaining a core body of traditional practices is often downplayed
in the specialized literature. The bulk of this literature also suggests that later adopters
of innovations are older, less educated, have less media exposure, more traditional
values and live in more isolated communities than earlier adopters (see Wejnert, 2002
for a review).

452 While the diffusion-of-innovation approach conceives the disappearance of 453 traditional agricultural practices as a natural consequence of agricultural modernization, 454 the essentialist approach to traditional knowledge looks for the potential value of these 455 practices, often assuming that place-based agricultural practices can be self-sustained 456 and maintained in isolation from new systems of knowledge. This approach emphasizes 457 the need to understand who conserves traditional knowledge and practices in the face of 458 modern alternatives. Findings from this line of research indicate that farmers who 459 cultivate landraces and maintain crop diversity tend to be older, have smaller farms, and 460 less connection to markets than other farmers. This line of research has also negatively 461 associated migration, market integration, and off-farm employment with landraces 462 maintenance (Brush, 2004; Valdivia, 2004).

463 Findings from hierarchical cluster analysis of data provided by our informants 464 contradict some of the basic assumptions underlying both of these views. According to 465 our results of groups of knowledge holders, many informants -- those in the hybrid 466 knowledge group, the largest group in our analysis- hold high levels of both landrace 467 and commercial varieties knowledge. This indicates that, as mentioned before, many of 468 the informants have acquired substantial amounts of modern agricultural knowledge 469 while maintaining the bulk of their traditional agricultural knowledge. Furthermore, the 470 characteristics of the groups of knowledge holders identified do not seem to fit with the 471 characteristics typically associated to either knowledge innovators or keepers of

472 traditional knowledge. For example, around 30% of the informants in the hybrid and the 473 traditional knowledge groups are migrants. Despite not being originative from the study 474 areas, some migrants use landraces and have learned locally-developed garden 475 management practices. Thus, the group of preservers of landraces is not restricted to old 476 farmers who have lived their entire lives in the study areas, but it also includes migrant 477 gardeners who see a diversity of values in those landraces and associated knowledge 478 beyond merely economic or utilitarian practicalities. 479 In sum, results from our hierarchical cluster analysis challenge the idea that

480 traditional and modern agricultural knowledge necessarily concentrate on different481 segments of the population.

482

# 483 5.3. Interpretation of research findings in the light of resilience theory

484 We started this work highlighting that the resilience of a social-ecological 485 system depends to a large extent on the capacity of its corpus of knowledge to learn by 486 absorbing new information in response to change, and by stressing the need to explore 487 the capacity of traditional knowledge systems to absorb changes and continue to exist. 488 There are three main caveats to our results. First, we are well aware that the analysis 489 presented here only partially addresses the resilience of traditional knowledge systems. 490 That is, we assess the ability of the traditional knowledge system to absorb changes and 491 continue to exist, but our data do not allow us to test to what point the traditional 492 knowledge system maintains its essential structure and function. Further research should 493 address to what extent these traditional knowledge systems maintain or not their identity 494 and functionality. Second, we are also aware that our measure provides only a reduced 495 assessment of traditional knowledge systems. Our conclusion is drawn from the fact that 496 informants seem to combine information from landraces and commercial varieties. But

497 it might well be that innovations such as the use of new varieties are often quickly 498 adopted because they can be more easily integrated into existing production systems, 499 but the case might be different when analyzing practices that require deeper 500 reorganizations of the production systems. Future research should analyze the co-501 existence of other aspects of modern and traditional knowledge systems. Third, our data 502 pictures the situation on a point of time, from which we infer diachronic patterns. 503 Our findings, however, advance two important arguments about the potential of 504 traditional knowledge systems to absorb change, and therefore to contribute to the 505 overall resilience of a social-ecological system. First, according to resilience theory, 506 integrating information from several knowledge systems would increase the resilience 507 of the system by enlarging the range of available responses in the face of different 508 disturbances or limiting factors (Gómez-Baggethun et al., 2012; Houde, 2007; Plummer 509 and Armitage, 2007). Moreover, the resilience perspective holds that adaptive 510 management to deal with complexity and uncertainty in social-ecological systems can 511 benefit from the combination of diverse types of knowledge (Olsson et al., 2004). For 512 example, co-management arrangements that allow the integration of different 513 knowledge systems through collaboration between scientists and resource users can help 514 build social and ecological resilience, as the complexity that arises from integrating 515 different knowledge systems offers a chance to find innovate answers to old and new 516 problems (Plummer and Armitage, 2007; Davidson-Hunt et al., in press). Gardener's 517 explanations about the combination of landraces and commercial varieties and their 518 associated knowledge in home gardens provide a good example of how the integration 519 of information from two knowledge systems is perceived as beneficial by resource 520 managers.

521 Second, it is important to acknowledge that home gardens are quite distinctive 522 agricultural systems in industrial Europe. Differently from most other agricultural 523 systems, home gardens retain an important degree of autonomy and self-organizing 524 capacity. This autonomy is given by the fact that home gardens are mainly devoted to 525 household consumption and are often grown in leisure time, which make gardener less 526 dependent on market dynamics and exogenous knowledge and technologies for 527 decisions regarding home gardening. Gardeners' knowledge and management 528 techniques should then be understood in a context in which maximizing productivity 529 and profit is generally not the ultimate aim, which in turn implies that there are no 530 economic penalties for failures in experimentation. Previous research claims that 531 securing traditional knowledge's capacity to regenerate over time requires maintaining 532 the autonomy and conditions that allow continuing developing, testing, and updating 533 knowledge in the face of changing environmental and socioeconomic conditions 534 (Gómez-Baggethun and Reyes-García, 2013).

535

536 6. Conclusion

537 Much has been written on how traditional knowledge systems may nurture 538 resilience in ecological or social-ecological systems but far less is known on the 539 resilience of traditional knowledge systems themselves. Our research on gardeners 540 suggests that traditional knowledge systems can be dynamic and capable of 541 incorporating new knowledge while at the same time maintaining the bulk of the 542 accumulated body of knowledge in a process of continuity and change. Our results 543 suggest that a) traditional knowledge is not a frozen and static corpus of knowledge and 544 b) modern and traditional agricultural knowledge are not necessarily mutually 545 exclusive. Both, the maintenance of some aspects of the traditional knowledge and the

incorporation of some aspect of the modern knowledge seem to be core elements of
gardeners' body of agricultural knowledge which is constantly evolving in response to
changing environmental and socioeconomic conditions. Changes in traditional
knowledge can be seen as a part of the general self-organizing process of this
knowledge system.

551 The finding that traditional knowledge systems are dynamic and hybridize with 552 other knowledge systems and technologies to face changing circumstances dovetails 553 well with previous research (Agrawal, 1995; Berkes et al., 2000; Dove et al., 2007; 554 Leonti, 2011; Gómez-Baggethun and Reyes-García, 2013; Leonti and Casu, 2013), but 555 poses the question of whether the body of knowledge emerging from this dynamic 556 process can indeed continue to be considered 'traditional'. We argue that this 557 denomination is still valid in our case study, as our data show persistence of landraces 558 knowledge and overlap between landraces and commercial varieties expertise. Our 559 finding, however, should not conceal that under different circumstances hybridization 560 may indeed led to the loss of traditional knowledge, if this is gradually replaced by 561 modern knowledge (Gómez-Baggethun et al., in press). Further case studies on the 562 interactions of traditional knowledge systems with other forms of knowledge, ideally 563 using a diachronic perspective, could enrich the discussion on the resilience of 564 traditional knowledge systems.

# 566 Figure captions

- 567 **Figure 1**. Location of the study areas
- 568 **Figure 2.** Traditional versus modern agricultural knowledge (n=380)

# 570 **Table 1**

Variable	Definition	n	Mean	SD
Landraces	Responses to 6 questions on 3 landraces	383	7.72	4.45
knowledge	(3*6=18)			
Commercial	Responses to 6 questions on 3 commercial	383	7.83	3.85
varieties	varieties (3*6=18)			
knowledge				
Age	Age of the person, in years	383	66.1	13.79
Male	Dummy variable that captures the sex of	383	0.68	0.46
	the person interviewed, 1=male, 0=female			
Farmer	Dummy variable that captures whether the	383	0.51	0.50
	person's main occupation is or has been			
	farming.			
Migrant	Dummy variable that captures whether the	383	0.33	0.47
	person comes from another region (=1) or			
	whether she was born and has been			
	resident of the study village for large			
	periods (=0).			
Years	Number of years the person has been	383	42.6	24.92
gardening	gardening			
		Ν	(	%
Schooling	No schooling	45	12.40	
	Primary school	176	48	8.48
	Between primary school and university	117	32	2.23
	degree			
	University degree	25	6.	.89

571 Definition and summary statistics of variables used in regressions (n=383)

# **573 Table 2**

	Total	Asturias	Catalan Pyrenees	Sierra Norte de Madrid
Commercial	0.037	0.021	0.077	0.023
varieties knowledge	(0.007)***	(0.011)**	(0.016)***	(0.012)**
Age	-0.006	-0.019	-0.006	-0.005
C	(0.002)**	(0.009)**	(0.003)*	(0.003)*
Male	-0.137	-0.011	-0.142	-0.125
	(0.038)***	(0.062)	(0.070)**	(0.025)***
Farmer	0.130	0.183	0.200	0.021
	(0.064)**	(0.046)***	(0.080)**	(0.117)
Years gardening	0.008	0.019	0.007	0.008
	(0.002)***	(0.005)***	(0.002)***	(0.004)**
Migrant	-0.085	0.034	-0.072	-0.136
-	(0.053)	(0.028)	(0.098)	(0.050)***
Schooling (reference cate	egory no educati	ion)		
Primary school	-0.068	0.153	0.029	-0.121
-	(0.061)	(0.128)	(0.118)	(0.089)
Between primary	-0.227	0.087	-0.123	-0.275
and university	(0.083)***	(0.192)	(0.139)	(0.170)
University	-0.377	0.000	-0.047	-0.824
2	(0.178)**	(0.000)	(0.180)	(0.588)
n	383	58	196	129

574 Relation between traditional and modern agricultural knowledge (n=383).

# **Table 3**

579

580 Characterization of respondents resulting from the hierarchical cluster analysis.

581

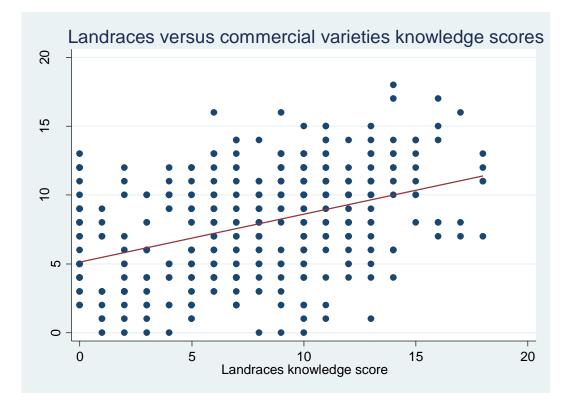
Variables Group Group Group Group рχ2 value В С D А Hybrid Traditional Modern Limited knowledge knowledge knowledge knowledge Landrace knowledge (average) 299.9 0.0001 11.7 7.2 3.6 1.9 Commercial varieties knowledge (average) 10.2 4.7 9.8 2.7 243.3 0.0001 Age (average) 66.2 65.6 58.8 64.6 0.006 12.4 Years gardening 50.7 45.5 25.2 36.4 (average) 57.9 0.0001 Male (%) 89.7 13.6 0.004 63.4 62.2 68.7 67.1 44.4 35.0 Farmer (%) 34.7 31.9 0.0001 Migrant (%) 27.4 31.1 34.7 10.0 0.02 47.5 No schooling 14.8 7.7 10.2 6.3 5.2 0.16 Primary school 48.7 62.2 38.8 41.8 10.0 0.02 Schooling Between (%) primary and 30.8 34.7 31.6 university 24.4 2.0 0.57 University 5.6 5.5 16.3 20.2 16.9 0.001 164 90 80 49 n

# 582

# 584 **Figure 1**



# 586 **Figure 2**



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