

# Resveratrol-Activated AMPK/SIRT1/Autophagy in Cellular Models of Parkinson's Disease

Yuncheng Wu<sup>a-c</sup> Xinqun Li<sup>d</sup> Julie Xiaohong Zhu<sup>b</sup> Wenjie Xie<sup>b</sup> Weidong Le<sup>b</sup>  
Zhen Fan<sup>d</sup> Joseph Jankovic<sup>b,c</sup> Tianhong Pan<sup>b,e</sup>

<sup>a</sup>Department of Neurology, Shanghai First People's Hospital, Shanghai Jiao Tong University School of Medicine, Shanghai, China; <sup>b</sup>Department of Neurology, Parkinson's Disease Research Laboratory, <sup>c</sup>Department of Neurology, Parkinson's Disease Center and Movement Disorder Clinic, Baylor College of Medicine, and <sup>d</sup>Department of Experimental Therapeutics, M.D. Anderson Cancer Center, Houston, Tex., and <sup>e</sup>Diana Helis Henry Medical Research Foundation, New Orleans, La., USA

## Key Words

AMPK · Autophagy · Parkinson's disease · Resveratrol · SIRT1

## Abstract

Excessive misfolded proteins and/or dysfunctional mitochondria, which may cause energy deficiency, have been implicated in the etiopathogenesis of Parkinson's disease (PD). Enhanced clearance of misfolded proteins or injured mitochondria via autophagy has been reported to have neuroprotective roles in PD models. The fact that resveratrol is a known compound with multiple beneficial effects similar to those associated with energy metabolism led us to explore whether neuroprotective effects of resveratrol are related to its role in autophagy regulation. We tested whether modulation of mammalian silent information regulator 2 (SIRT1) and/or metabolic energy sensor AMP-activated protein kinase (AMPK) are involved in autophagy induction by resveratrol, leading to neuronal survival. Our results showed that resveratrol protected against rotenone-induced apoptosis in SH-SY5Y cells and enhanced degradation of  $\alpha$ -synucleins in  $\alpha$ -synuclein-expressing PC12 cell lines via autophagy induction. We found that suppression of AMPK

and/or SIRT1 caused decrease of protein level of LC3-II, indicating that AMPK and/or SIRT1 are required in resveratrol-mediated autophagy induction. Moreover, suppression of AMPK caused inhibition of SIRT1 activity and attenuated protective effects of resveratrol on rotenone-induced apoptosis, further suggesting that AMPK-SIRT1-autophagy pathway plays an important role in the neuroprotection by resveratrol on PD cellular models. Copyright © 2011 S. Karger AG, Basel

## Introduction

Parkinson's disease (PD) is the second most common neurodegenerative disease characterized by a progressive loss of dopamine (DA) neurons in the substantia nigra (SN). Although the etiology of dopaminergic neuronal degeneration remains unknown, excessive accumulation of misfolded/aggregated proteins such as mutant  $\alpha$ -synuclein (A53T, A30P, E46k) or accumulation of wild-type  $\alpha$ -synuclein with multiplications, coupled with mitochondrial dysfunction caused by neurotoxins [1, 2] or by mutant proteins, such as DJ-1, PINK1 and LRRK2 [3–5], have been implicated in the etiopathogenesis of PD. Im-

paired degradation of misfolded/aggregated proteins, which may lead to neuronal death [6, 7], has emerged as the leading cause of neurodegeneration in PD [8].

Macroautophagy (also termed as autophagy), chaperon-mediated autophagy (CMA) and microautophagy are three major routes of autophagy-lysosomal pathway (ALP) [9], among which autophagy is inducible. Autophagy is involved in different physiological processes such as development and aging, starvation and stress, programmed cell death, and in the immune system [10–13]. Down-regulation of autophagy leads to accumulation of misfolded proteins in neurons and is involved in neurodegenerative diseases such as PD [14, 15]. Studies have indicated that approaches aimed at modulating the activities of protein degradation systems by pharmacological induction of autophagy would be promising therapeutic strategy in neurodegenerative disorders [6, 16–19].

Resveratrol is a nature-derived compound from red grapes, peanuts, and red wine, exhibiting a wide range of biological and pharmacological properties [20], one of which is the activation of SIRT1 [21], a mammalian ortholog of yeast silent information regulator 2 (Sir2) [22, 23]. Although resveratrol has been speculated to be a cancer-chemopreventive agent [24, 25], recent studies have proposed that resveratrol is also an anti-inflammatory and anti-aging agent [26–29], exerting neuroprotective roles in PD models both *in vitro* and *in vivo*, including DA-induced apoptosis in neuronal SH-SY5Y cells [30], 6-OHDA-treated rat model of PD [31] and MPTP-treated mice model of PD [32, 33]. Although the activation of SIRT1 by resveratrol has been indicated to be the potential pathway towards neuroprotection [34], the possible mechanism involved is not fully understood. Recently, it has been reported that SIRT1 is sufficient to stimulate basal rates of autophagy, whereas absence of SIRT1 leads to the accumulation of damaged organelles, and disruption of energy homeostasis, indicating that SIRT1 plays important roles in the regulation of autophagy [35]. Here, we sought to investigate whether as an activator of SIRT1, resveratrol could induce autophagy that would then be yet another potential mechanism of resveratrol's neuroprotective effects.

AMP-activated protein kinase (AMPK) is a major metabolic energy sensor, which may sense energy deficiency in the form of an increased AMP/ATP ratio [36] and regulate metabolic homeostasis [37] through control of several homeostatic mechanisms, including autophagy and protein degradation [38]. The fact that resveratrol exerts multiple beneficial effects similar to those associated

with energy metabolism led us to further evaluate roles of AMPK in the neuroprotection by resveratrol.

In this study, mitochondrial complex I inhibitor rotenone-treated human SH-SY5Y cells and stable inducible PC12 cell lines expressing wild-type  $\alpha$ -synuclein, A30P or A53T  $\alpha$ -synuclein mutants were used as PD cellular models for assessing the ability of resveratrol to enhance autophagy and for determining autophagy-mediated neuroprotection by resveratrol. We demonstrated that resveratrol enhanced autophagy through activation of AMPK/SIRT1 pathway and autophagy induction plays an important role in the neuroprotection of resveratrol.

## Materials and Methods

### *Cell Culture and Treatments*

SH-SY5Y cells were routinely grown in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% heat-inactivated fetal bovine serum (FBS) (Gibco, Gaithersburg, Md., USA) and cultured at 37°C under humidified 5% CO<sub>2</sub> atmosphere. Rotenone (Sigma, St. Louis, Mo., USA) stocks were dissolved in dimethyl sulfoxide (DMSO) with the stock concentration at 100 mM and the aliquots at 20  $\mu$ l were frozen at –80°C. Resveratrol (Sigma) was prepared in DMSO at a stock of 50 mM. Other agents, including AMPK inhibitor compound C, lysosomal function inhibitor NH<sub>4</sub>Cl, and autophagy inhibitors such as bafilomycin A1 (Baf-1) and 3MA were purchased from Sigma.

Stable inducible PC12 cell lines expressing HA-tagged wild-type  $\alpha$ -synuclein, A30P or A53T  $\alpha$ -synuclein mutants (kind gifts of Prof. David Rubinsztein, Department of Medical Genetics, Cambridge Institute for Medical Research, University of Cambridge, UK) [39, 40] were maintained at 70  $\mu$ g/ml hygromycin B (Calbiochem), 50  $\mu$ g/ml G418 (Sigma), 10% horse serum and 5% FBS DMEM and cultured at 37°C under humidified 5% CO<sub>2</sub> atmosphere. The expression of wide-type or mutant  $\alpha$ -synuclein was induced by 1  $\mu$ g/ml doxycycline (Sigma) for 48 h. Transgene expression was switched off by changing doxycycline-containing medium with fresh culture medium in the absence or presence of resveratrol.

### *Transfection of Cells with Beclin 1 or SIRT1 siRNA*

Cells were transiently transfected with small interference RNA (siRNA) of Beclin 1 (siRNA ID: s16539; AB Applied Biosystems/Ambion) or SIRT1 (siRNA ID: s23769; AB Applied Biosystems/Ambion) using Lipofectamine™ 2000 (Invitrogen). 48 h after transfection, cells were exposed to various treatments as specifically indicated.

### *Cell Survival and Apoptosis Assay*

Cell death was quantified using a live/dead viability/cytotoxicity assay kit (Molecular Probes, Eugene, Oreg., USA) as we previously described [41]. Cell death detection ELISA assay kit (Roche Diagnostics) was used for the quantitative determination of cytoplasmic histone-associated DNA fragments. Apoptosis was determined by detecting the protein levels of cleaved PARP fragments using immunoblotting assay with anti-PARP antibody.

### Immunoblotting Assay

After specific treatment, total proteins were isolated with mammalian tissue lysis/extraction reagent (Sigma) and the equal amounts of protein were separated on SDS-polyacrylamide gel electrophoresis gel and transferred to a nitrocellulose membrane. After being blocked in 6% nonfat dry milk for 45 min, membranes were then incubated with specific primary antibodies, LC3B (1:5,000), Beclin 1 (1:1,000; Santa Cruz Biotechnology, Inc., Santa Cruz, Calif., USA),  $\alpha$ -synuclein (1:100; Abcam, Inc., Cambridge, Mass., USA), PARP and cleavage, caspase-3, acetyl-CoA carboxylase, acetyl-CoA carboxylase (Ser79)-p (ACC-p), acetyl-histone 3 (AC-H3), AMPK, AMPK (Thr172)-p, p62 (1:1,000; Cell Signaling). Immunoblot of  $\beta$ -actin (1:2,000; Santa Cruz Biotechnology, Inc.) was performed to demonstrate equal protein loading.

To determine the release of cytochrome *c* from mitochondria, cytosolic fraction was isolated from the cell pellets according to our previous reports [42]. Briefly, cell pellets were digitonin-permeabilized for 5 min on ice in cytosolic extraction buffer (250 mM sucrose, 70 mM KCl, 137 mM NaCl, 4.3 mM  $\text{Na}_2\text{HPO}_4$ , 1.4 mM  $\text{KH}_2\text{PO}_4$  pH 7.2, 100  $\mu\text{M}$  PMSF, 10  $\mu\text{g/ml}$  leupeptin, 2  $\mu\text{g/ml}$  aprotinin, containing 200  $\mu\text{g/ml}$  digitonin) followed by centrifugation at 1,000 g for 5 min at 4°C. The supernatants were saved as cytosolic fractions that were subjected to immunoblot assay and visualized by probing the membranes with anti-cytochrome *c* antibody (cyt *c*, 1:250; Abcam, Inc.). The purity of cytosol fraction was confirmed by detecting protein level of cytochrome oxidase subunit IV (COX IV), a marker of mitochondria, using immunoblotting assay with anti-mouse COX IV (Abcam, Inc.). Chemiluminescence enhancement was performed using the corresponding secondary antibody and signals were detected using Amersham<sup>TM</sup> ECL Western blotting detection reagent (GE Healthcare, UK) or with Western Lightning<sup>TM</sup> Chemiluminescence Reagent Plus (PerkinElmer LAS, Inc., Boston, Mass., USA) when necessary.

### Autophagy Detection

Autophagy is an evolutionarily conserved catabolic process that is initiated by the formation of double-membrane vesicles called autophagosomes. The induction of autophagy was determined by detecting protein levels of the autophagosomal membrane form of microtubule-associated protein 1 light chain 3 (LC3) using immunoblotting assay with anti-LC3 antibody (1:5,000; kind gift of Dr. Seiji Kondo, Anthony D. Bullock III Research Laboratory in the Department of Neurosurgery at the University of Texas MD Anderson Cancer Center). To further determine the induction of autophagy, the development of acidic vesicular organelles (AVOs) was tested using the FACScan flow cytometer and CellQuest software as we have described previously [42]. Specifically, 48 h after resveratrol treatment, SH-SY5Y cells were incubated with acridine orange (1  $\mu\text{g/ml}$ ; Sigma) for 15 min. The cells were then washed with PBS and trypsinized with 1 ml of 0.25% (w/v) trypsin-EDTA (Invitrogen). To avoid clumping of cells, cells were placed in 37°C for 8 min without agitating or shaking while waiting for the detachment of cells. The cells were then added with the same volume of PBS containing 10% FBS. The resulting cell suspension at a concentration of around  $1-2 \times 10^6/\text{ml}$  was used for FACScan flow cytometer analysis. To confirm the autophagosome structures of autophagy, resveratrol-treated SH-SY5Y cells were fixed with a solution containing 3% glutaraldehyde plus 2% paraformaldehyde in 0.1 M PBS (pH = 7.3) for 1 h for further analysis by transmission electron microscopy analysis as we described previously [7].

### Statistics

All data were collected from three or more independent experiments and the values were presented as mean  $\pm$  SD. The data were analyzed by one-way ANOVA using original software (Microcal Inc., Northampton, Mass., USA). Significant differences were defined as  $p < 0.05$ .

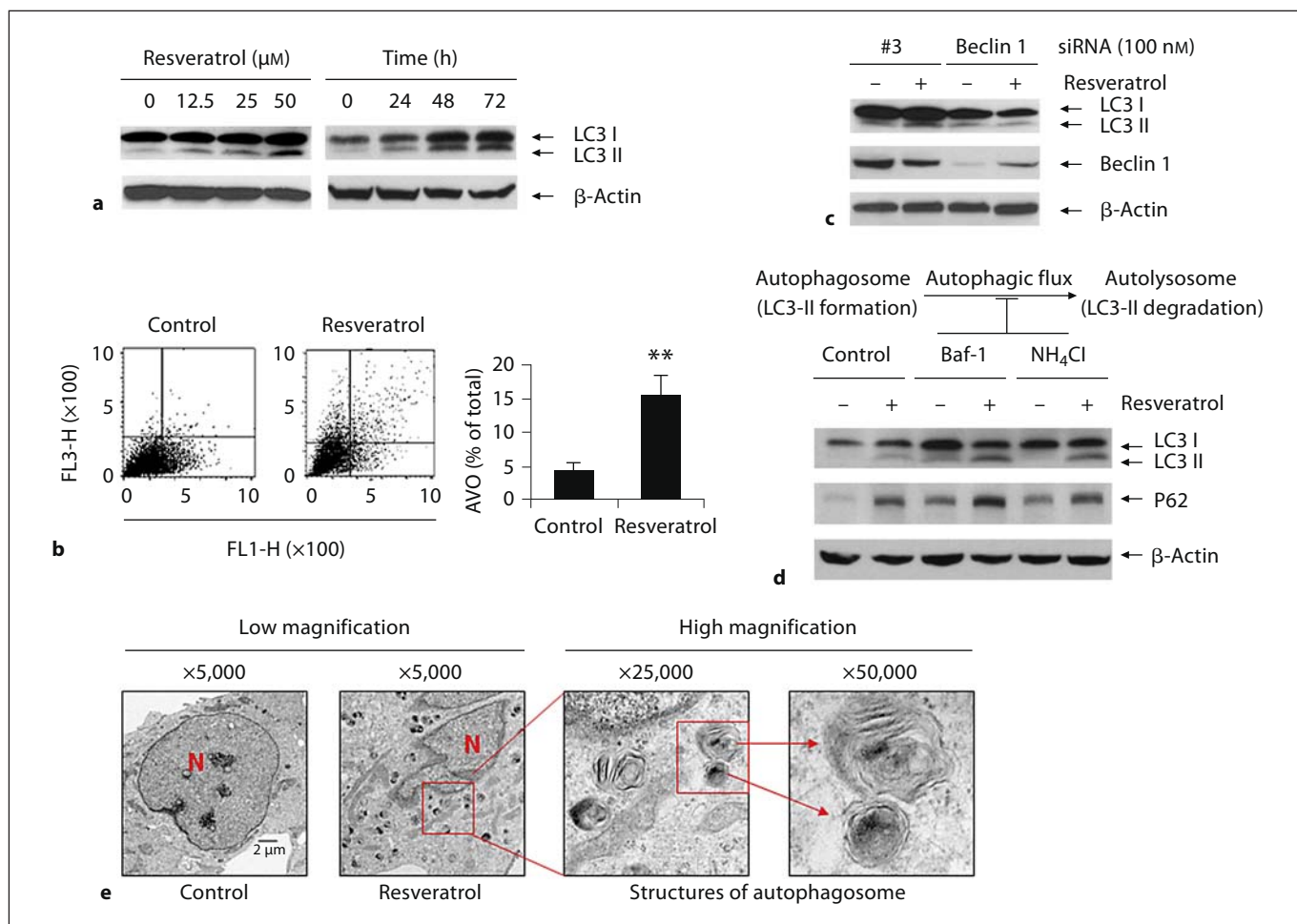
## Results

### Pharmacological Induction of Autophagy by Resveratrol

Our results showed that resveratrol increased levels of LC3-II dose- and time-dependently (fig. 1a), indicating that resveratrol has the ability to induce autophagy. The autophagosome and autophagolysosome, collectively referred to as autophagic vacuoles (AVs), are considered as the characteristic components of autophagy. FACScan flow cytometric analysis revealed that the observed changes in LC3-II reflected the increased double membrane structures of AVs as indicated by the enhanced development of AVOs in resveratrol-treated cells (16% of total) as compared to its vehicle control (4.5% of total) ( $p < 0.01$ ) (fig. 1b). When autophagy-related gene *Beclin 1* was suppressed by *Beclin 1* siRNA transfection, the role of resveratrol in autophagy induction as indicated by the increased LC3-II was blocked accordingly (fig. 1c). LC3-II and p62 act as structural components of the autophagosomes [43, 44]. We showed that 24 h after cells were treated with resveratrol, both the protein levels of LC3-II and p62 were increased (fig. 1d). When the fusion of autophagosomes to lysosome was inhibited by autophagosome-lysosome fusion blocker Baf-1 [45] or when the lysosomal function was inhibited by  $\text{NH}_4\text{Cl}$ , the increased LC3-II and p62 were accumulated accordingly (fig. 1d), indicating that the autophagic flux was affected when autophagy-lysosomal function was inhibited. Furthermore, the results from transmission electron microscopy analysis confirmed that the structures of AVs could be observed largely in resveratrol-treated cells as compared to its vehicle control (fig. 1e).

### Alleviation of Rotenone-Induced Injury of SH-SY5Y Cells by Resveratrol

Exposure of cells to rotenone caused shrinkage of SH-SY5Y cells, which was attenuated when cells were pretreated with resveratrol (fig. 2a). Cell live/dead assay showed that exposure of cells to rotenone caused an increase in red fluorescent signal (indicating dead cells) and a decrease in green fluorescent signal (indicating live cells) (fig. 2a). Quantification analysis showed that the

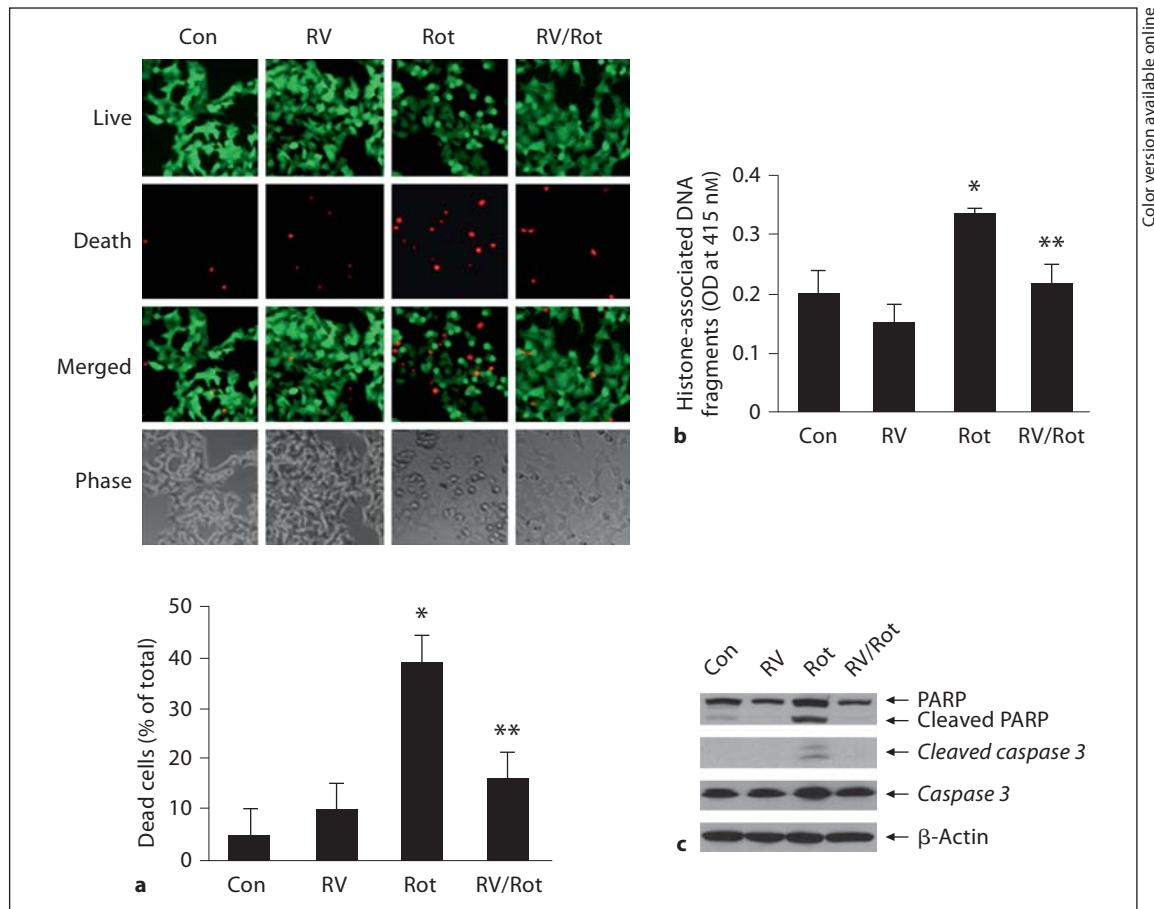


**Fig. 1.** Pharmacological induction of autophagy by resveratrol. **a** SH-SY5Y cells were treated with resveratrol at 0, 12.5, 25, and 50  $\mu\text{M}$  for 48 h or at 50  $\mu\text{M}$  for 0, 24, 48 and 72 h. The protein levels of LC3 were determined by immunoblotting assay with anti-LC3 antibody. **b** SH-SY5Y cells were treated with resveratrol for 48 h followed by staining with acridine orange (1  $\mu\text{g}/\text{ml}$ ). The induction of autophagy was determined by FACSscan flow cytometric analysis. The FACSscan analysis profiles were shown and the top of the grid was considered as AVOs. Development of AVO was quantified and expressed as percentage of total cells. Data were mean  $\pm$  SD. Mean values were from three independent experiments. \*\*  $p < 0.01$  as compared to control. **c** 48 h after cells were transfected with *Beclin 1* siRNA or its scrambled control (#3 siRNA), cells were treated with or without resveratrol at 50  $\mu\text{M}$  for additional 48 h. Cell lysates were subjected to immunoblotting

assay and the protein levels of Beclin 1 and LC3 were determined with anti-Beclin 1 and anti-LC3 antibodies, respectively. **d** Cells were treated with 50  $\mu\text{M}$  of resveratrol for 24 h. 3 h before harvest, cells were treated with Baf-1 (200 nM). Or, cells were pretreated with  $\text{NH}_4\text{Cl}$  (10 mM) overnight followed by resveratrol treatment for 24 h. Cell lysates were subjected to immunoblotting assay and the protein levels of p62 and LC3 were determined with anti-p62 and anti-LC3 antibodies. **e** SH-SY5Y cells were treated with resveratrol for 48 h followed by fixation. The double membrane structures of autophagy vacuoles were analyzed by electron microscope under a JEM 1010 transmission electron microscope. The red square indicates autophagic vacuoles, including autophagosomes. The autophagosomes that contain intracellular contents are shown under high magnification ( $\times 50,000$ ). N = Nucleus.

number of dead cells was significantly increased by 38% in rotenone-exposed cells as compared to control ( $p < 0.01$ ), whereas resveratrol pretreatment salvaged cells from rotenone toxicity by reduction of dead cells to 16% ( $p < 0.01$ ; fig. 2a). ELISA assay showed that rotenone caused an increase of histone-associated DNA fragmen-

tation by 70% as compared to control ( $p < 0.01$ ; fig. 2b), which was reduced by 30% with resveratrol treatment ( $p < 0.05$ ; fig. 2b). Moreover, resveratrol diminished rotenone-induced increase of cleaved PARP and caspase-3 protein levels (fig. 2c), indicating the neuroprotective role of resveratrol.



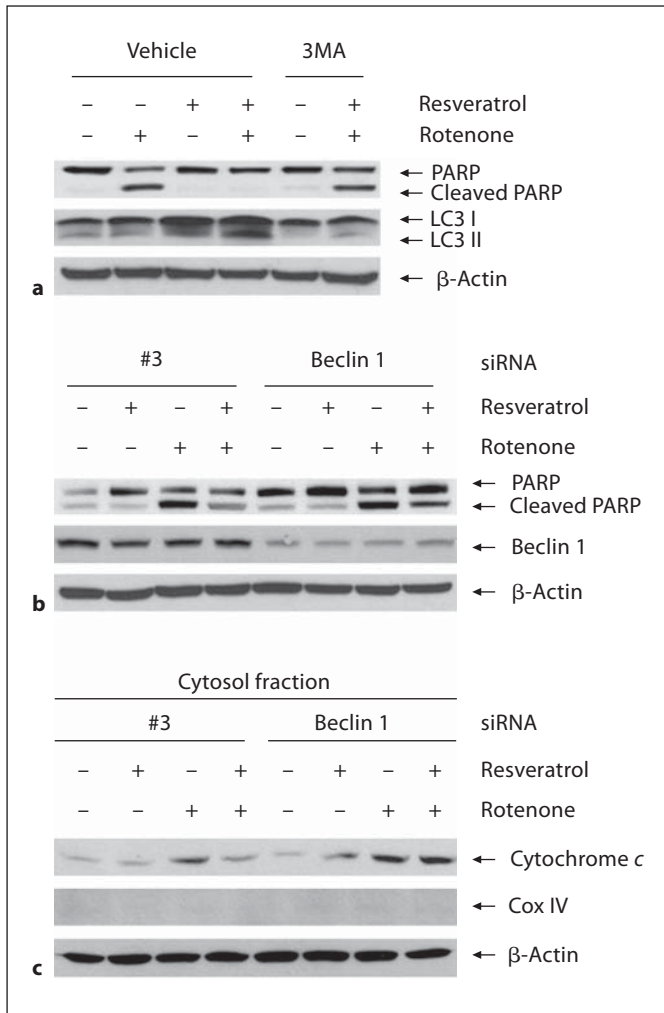
**Fig. 2.** Alleviation of rotenone-induced injury of SH-SY5Y cells by resveratrol. SH-SY5Y cells were exposed to rotenone (10  $\mu$ M) for 24 h with or without resveratrol (50  $\mu$ M) pretreatment for 24 h. **a** Changes of cell morphology and cell survival and death were visualized using a live/dead assay. SH-SY5Y cells were stained with 1  $\mu$ M calcein AM and 1.5  $\mu$ M ethidium homodimer-1 for 20 min followed by the measurement of live or dead cells using fluorescence microscope. The green fluorescence, generated by calcein AM, indicates live cells, and the red fluorescence, generated by ethidium homodimer-1, indicates dead cells. Dead cells were counted for three random microscopy sections. The number of

dead cells was counted and value was expressed as percentage of total cells. Data were expressed as the means  $\pm$  SD. \*  $p < 0.01$  as compared to Con; \*\*  $p < 0.01$  as compared to Rot. **b** The levels of histone-associated DNA fragmentation in the cytoplasm were quantified by ELISA assay. Data were collected from three independent experiments and expressed as means  $\pm$  SD. \*  $p < 0.01$  as compared to Con; \*\*  $p < 0.05$  as compared to Rot. **c** The whole cell lysates were subjected to immunoblotting assay to determine the protein levels of cleaved PARP and caspase-3.  $\beta$ -Actin was used as an equal loading of proteins. Con = Control; RV = resveratrol; Rot = rotenone.

#### Autophagy-Mediated Neuroprotection by Resveratrol

Our results showed that resveratrol-induced increase of LC3-II protein levels was reduced when cells were treated with autophagy inhibitor 3MA (fig. 3a). Meanwhile, resveratrol attenuated rotenone-induced apoptosis, where the effect was blocked by 3MA (fig. 3a), suggesting that autophagy induction is required for the neuroprotection of resveratrol. Additionally, we found that resveratrol attenuated rotenone-induced increase of cleaved PARP, which was blocked when *Beclin 1* was

suppressed (fig. 3b). As autophagy plays an important role in the clearance of injured mitochondria, from which apoptosis caused by released cytochrome *c* can be prohibited, we further determined whether resveratrol could reduce cytosol protein level of cytochrome *c* released from injured mitochondria. We found that resveratrol pretreatment diminished rotenone-induced increase of cytochrome *c* level in cytosol fraction, which effect was blocked when *Beclin 1* gene was suppressed (fig. 3c). Cox IV is one of the mitochondrial proteins. To



**Fig. 3.** Neuroprotection by resveratrol mediated through autophagy induction. **a** SH-SY5Y cells were pretreated with resveratrol in the absence or presence of 3MA (10 mM) for 24 h followed by exposure to rotenone for another 24 h. Apoptosis and autophagy inductions were evaluated by immunoblotting assay with anti-PARP and anti-LC3 antibodies. **b** SH-SY5Y cells were transfected with *Beclin 1* siRNA (100 nM) or negative control #3 siRNA for 48 h followed by exposure to rotenone with or without resveratrol pretreatment. The whole cell lysates were subjected to immunoblotting assay and the apoptosis was evaluated using anti-PARP antibody. **c** The cytosol fraction was isolated and subjected to immunoblotting assay. The levels of cytochrome *c* in cytosol fraction were determined with anti-cytochrome *c* antibody. The purification of cytosol fraction was determined with anti-COX IV antibody.

confirm the purification of cytosol fraction, we determined protein level of Cox-IV by immunoblotting assay with anti-Cox IV antibody. The result that no Cox IV bands were detected in cytosol fraction indicated that the purification of cytosol fraction was good.

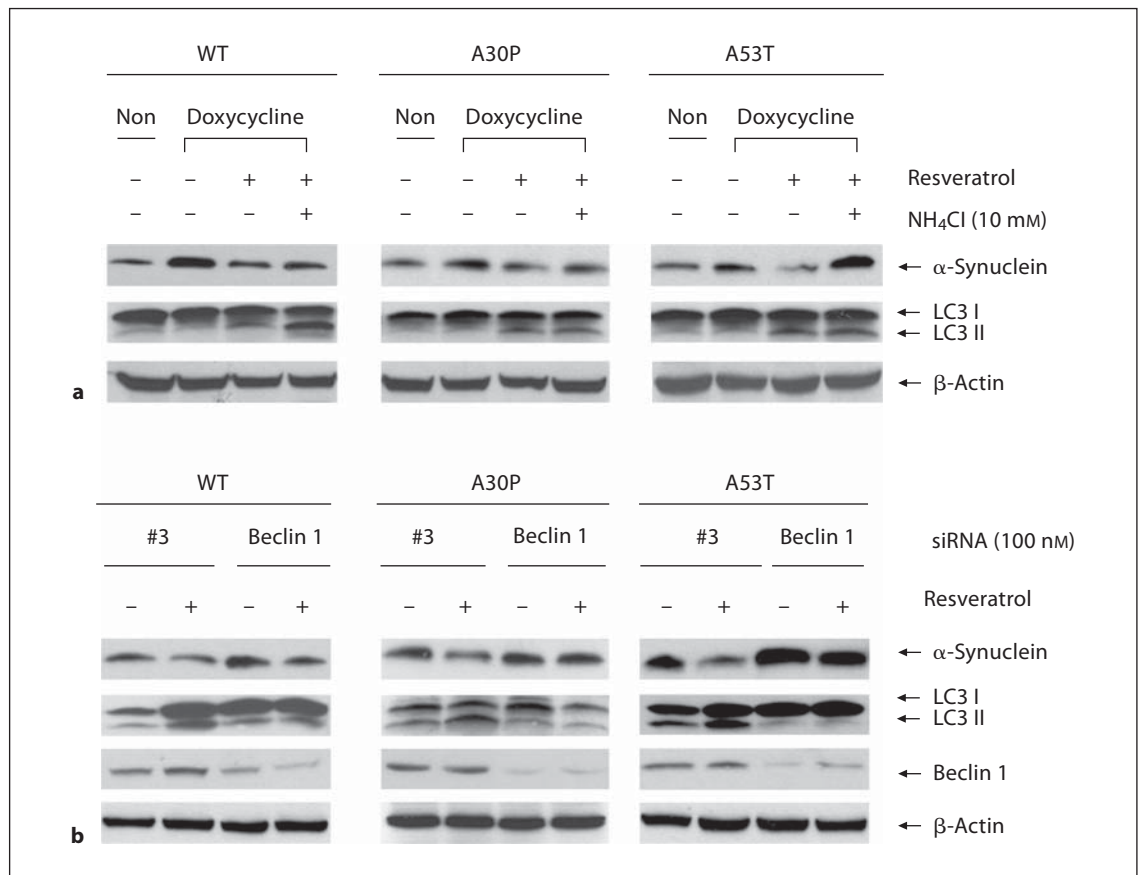
### Enhanced Degradation of $\alpha$ -Synucleins by Resveratrol

As autophagy plays an important role in degradation of aggregated/misfolded proteins, we further tested whether resveratrol could enhance the degradation of PD causing gene  $\alpha$ -synuclein. We showed that doxycycline induced transgene expression of  $\alpha$ -synucleins in all the three cell lines (fig. 4a), which was reduced by resveratrol treatment accompanied by autophagy induction as indicated by the increased protein levels of LC3-II (fig. 4a). However, although the autophagy marker LC3-II was increased with lysosomal inhibitor  $\text{NH}_4\text{Cl}$  treatment, no significant reduction of  $\alpha$ -synucleins protein level was observed (fig. 4a), indicating that the degradation of  $\alpha$ -synucleins is mediated through autophagy-lysosome pathway. Moreover, we found that the role of resveratrol in diminishing doxycycline-induced increase of  $\alpha$ -synucleins was blocked when *Beclin 1* gene was suppressed accompanied by the inhibition of autophagy (fig. 4b).

### AMPK-SIRT1-Mediated Induction of Autophagy by Resveratrol

Furthermore, we intended to explore the relationships between resveratrol and the activation of AMPK or SIRT1. As determined by immunoblotting assay using specific antibodies, we found that the phosphorylation levels of Thr<sup>172</sup> in the active site of AMPK catalytic subunit [46] (p-AMPK) were increased with resveratrol treatment (fig. 5a). Time kinetics shows that p-AMPK reached a maximum level 24 h after resveratrol treatment (fig. 5b). The results that the pattern of increase of phosphorylation of ACC-Ser<sup>79</sup> (p-ACC) was consistent to the changes of p-AMPK (fig. 5a, b) were also supported by previous reports that p-ACC is the best-characterized phosphorylation site by AMPK [47] and p-ACC has a tight correlation with an endogenous AMPK activity [48]. However, no changes have been observed in the total amount of ACC and AMPK (fig. 5a, b). Additionally, although the protein levels of SIRT1 got only a slight increase with resveratrol treatment, the decrease of histone 3 (AC-H3), a known downstream target of SIRT1, indicated that the SIRT1 deacetylase activity was increased (fig. 5a, b).

Compound C is a potent AMPK inhibitor that has been widely used for studying AMPK signaling [49]. Our results showed that compound C prevented resveratrol-induced activation of AMPK and ACC (fig. 5c), accompanied by the decrease of LC3-II (fig. 5c), indicating that inhibition of AMPK caused autophagy inhibition accordingly. Meanwhile, although resveratrol caused no significant changes in SIRT1 protein levels, compound C



**Fig. 4.** Clearance of  $\alpha$ -synuclein by resveratrol. **a** Wild-type, A30P or A53T  $\alpha$ -synuclein transgenes were induced with doxycycline (1  $\mu$ g/ml) in stable inducible PC12 cell lines for 48 h followed by resveratrol treatment with or without lysosomal inhibitor NH<sub>4</sub>Cl (10 mM) for 24 h. **b** Stable inducible PC12 cell lines expressing wild-type, A30P or A53T  $\alpha$ -synuclein were transfected with

*Beclin 1* siRNA (100 nM) or negative control #3 siRNA. 24 h after transfection, cells were induced with doxycycline (1  $\mu$ g/ml) for 36 h. The transgene expression was switched off by replacing with fresh medium followed by treatment with resveratrol for 24 h. Clearance of  $\alpha$ -synuclein was evaluated by immunoblotting assay with anti- $\alpha$ -synuclein antibody.

caused increase of AC-H3, indicating that the SIRT1 deacetylase activity was decreased (fig. 5c). Furthermore, we showed that resveratrol-induced increase of LC3-II was blocked when *SIRT1* gene was suppressed by *SIRT1* siRNA transfection (fig. 5d), indicating that SIRT1 is required for the autophagy induction by resveratrol.

#### *Role of AMPK Activation in the Neuroprotection of Resveratrol*

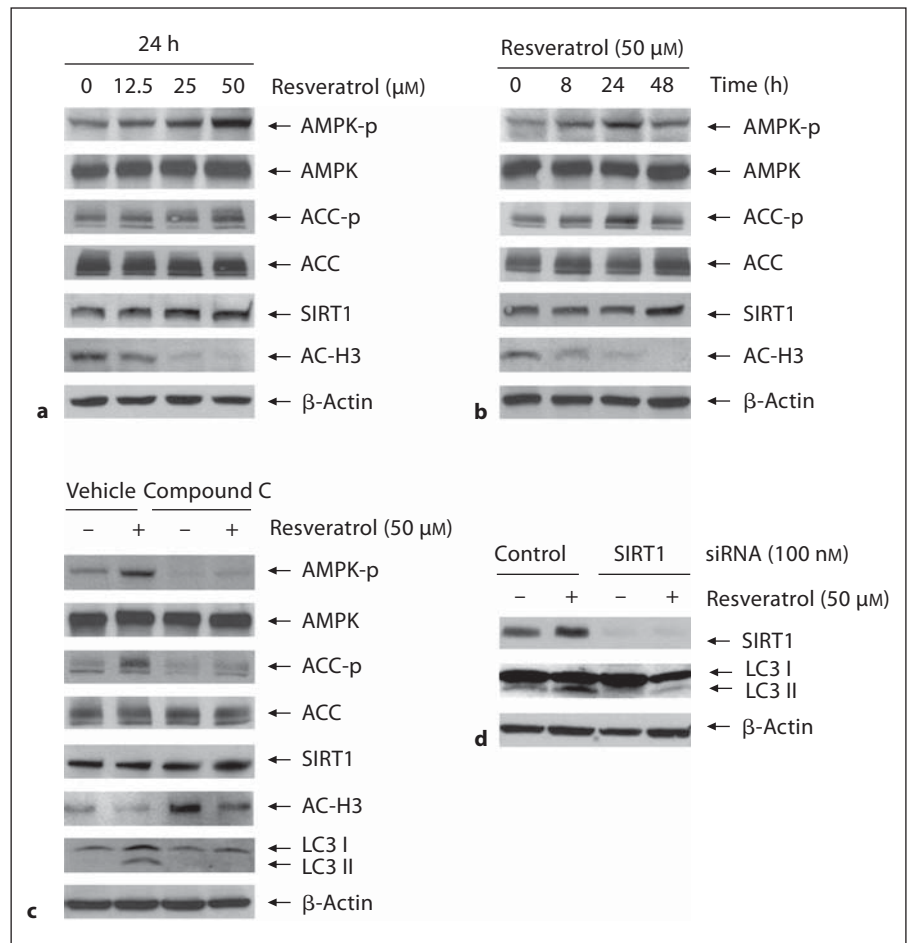
As shown in figure 6a, rotenone exposure caused a time-dependent increase of cleaved PARP protein levels. The increase of p-AMPK and p-ACC was earlier than that of cleaved PARP, which then declined 24 or 48 h after rotenone incubation accompanied by a significant increase of cleaved PARP. Our results also showed that inhibition

of AMPK by AMPK-specific blocker compound C enhanced susceptibility of cells to rotenone-induced apoptosis (fig. 6b) and attenuated neuroprotective effects of resveratrol on rotenone-induced apoptosis (fig. 6c).

#### **Discussion**

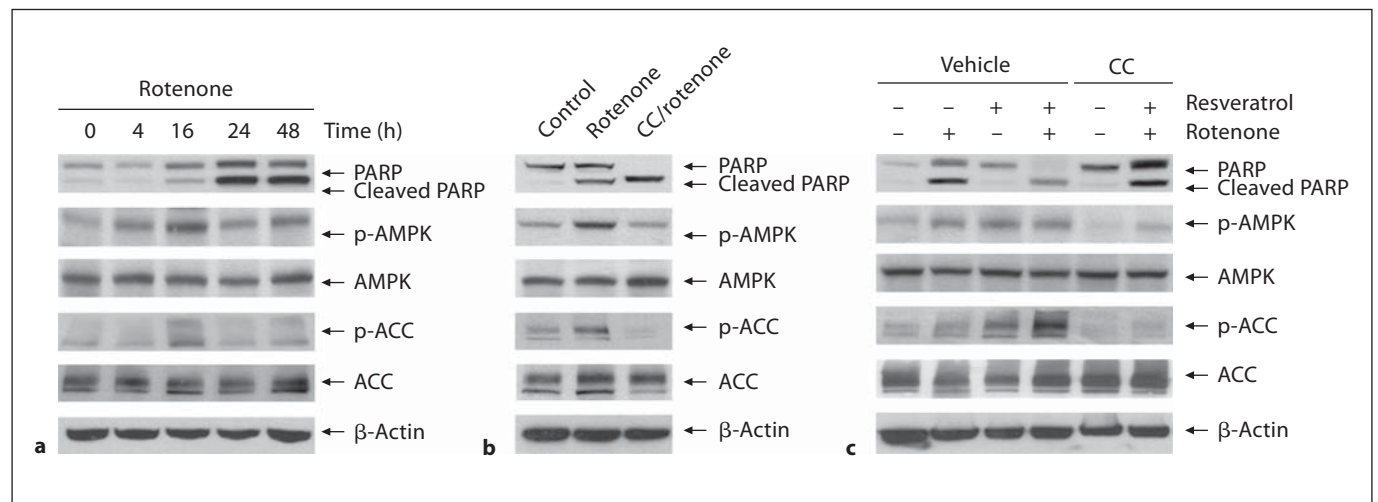
Autophagy is induced by various stimuli and is considered as a survival mechanism induced in adverse conditions to maintain cell integrity. Extensive studies have indicated that autophagy plays important neuroprotective roles in many neurodegenerative diseases, including PD [7, 9, 42]. Compelling evidence in the literature has shown that resveratrol is capable of inducing autophagy

**Fig. 5.** Induction of autophagy by resveratrol via AMPK/SIRT1 activation. **a, b** SH-SY5Y cells were treated with resveratrol at various concentrations for 24 h (**a**) or at 50  $\mu\text{M}$  for various time durations (**b**). **c** SH-SY5Y cells were pretreated with AMPK inhibitor compound C at 10  $\mu\text{M}$  for 3 h followed by addition of resveratrol for 24 h. **d** 48 h after cells were transfected with *SIRT1* siRNA or its scrambled control #3 siRNA, cells were treated with or without resveratrol at 50  $\mu\text{M}$  for 48 h. The whole cell lysates were subjected to immunoblotting assay and the protein levels were determined with specific antibodies indicated.

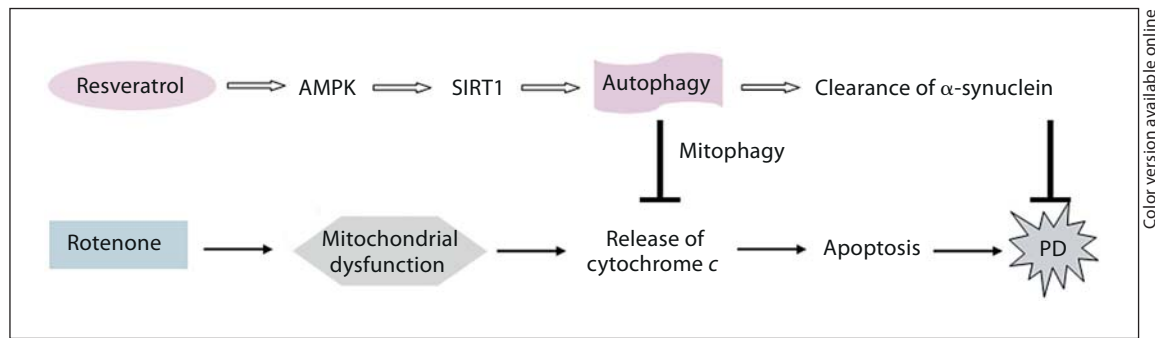


**Fig. 6.** AMPK activation in the neuroprotection by resveratrol. **a** SH-SY5Y cells were exposed to rotenone (10  $\mu\text{M}$ ) for different time durations. **b** SH-SY5Y cells were treated with rotenone (10  $\mu\text{M}$ ) in the absence or presence of compound C (10  $\mu\text{M}$ ). **c** SH-SY5Y cells were pretreated with resveratrol (50  $\mu\text{M}$ ) in the presence or

absence of compound C (10  $\mu\text{M}$ ) for 24 h followed by exposure to rotenone for 16 h. The apoptosis was evaluated by immunoblotting assay with anti-PARP antibody. The activation of AMPK was determined by measuring the protein levels of p-AMPK and p-ACC. CC = Compound C.







Color version available online

**Fig. 7.** AMPK/SIRT1/autophagy-mediated neuroprotection by resveratrol. Resveratrol causes activation of AMPK/SIRT1, followed by the induction of autophagy. Induced autophagy enhances the clearance of injured mitochondria, a process termed as ‘mitophagy’, through which the protein level of cytochrome *c* released

from injured mitochondria is decreased, leading to the reduction of rotenone-induced apoptosis. Meanwhile, induced autophagy can enhance the clearance of  $\alpha$ -synuclein. Both the actions of resveratrol on injured mitochondria and increased  $\alpha$ -synuclein may contribute to the neuroprotection of resveratrol on PD.

in different cancer cell line models [44], but nothing is known regarding its effect on autophagy induction in neuronal cells. In this study, we demonstrated that resveratrol induces autophagy in dopaminergic neuronal cell lines including SH-SY5Y and PC12 cells. Our result that autophagy-lysosomal inhibition blocked resveratrol-induced autophagic flux, leading to an accumulation of LC3-II and p62, was supported by previous reports indicating that when the fusion of autophagosomes with lysosomes is inhibited or when lysosome function is blocked, the degradation of autophagosome content is thus prohibited [13, 50].

Mitochondrial dysfunction and/or excessive accumulation of misfolded proteins have been implicated in the pathogenesis of PD. Our study showed that resveratrol enhanced the clearance of injured mitochondria and increased the degradation of transgene  $\alpha$ -synuclein through autophagy induction. The process to clear injured mitochondria is termed as ‘mitophagy’, which may prevent cell death caused by releasing cytochrome *c* from damaged mitochondria to cytosol fraction. Unlike wild-type  $\alpha$ -synuclein that can be cleared through both CMA and autophagy [51], A30P and A53T  $\alpha$ -synuclein mutants may cause CMA dysfunction and the clearance of these mutant  $\alpha$ -synucleins may be mainly through autophagy pathway. The role of resveratrol in reduction of doxycycline-induced increase of  $\alpha$ -synuclein was diminished by inhibition of lysosome function or by inhibition of autophagy, indicating that the role of resveratrol in protecting against the pathogenesis of PD could also be through enhanced degradation of PD-causing gene  $\alpha$ -synuclein via autophagy enhancement [52].

As a nature-derived compound, resveratrol has various biological effects on different species or cell lines and the regulation of autophagy by resveratrol may be through various pathways. Our results showed that suppression of autophagy-related gene *Beclin 1* attenuated basal level of LC3 as well as resveratrol-induced increase of LC3-II, indicating that *Beclin 1* is involved in the resveratrol-mediated formation of autophagosome. However, as reported previously by Scarlatti et al. [53], autophagy induction by resveratrol was *Beclin 1*-independent. This discrepant result may come from different cell lines: dopaminergic cells and breast carcinoma cells. It has been reported that *Beclin 1* is mutated in 40–70% of breast and ovarian carcinomas [43] and that MCF-7 breast cancer cells are resistant to apoptotic stimuli due to the lack of caspase-3 [53], all of which are different from SH-SY5Y and PC12 cells.

Activation of AMPK by phosphorylation of  $\alpha$  subunit at Thr172 [46] maintains energy balance by switching on a catabolic pathway such as autophagy induction [37]. Consistent with the most recent reports that resveratrol activates AMPK [54–58], we also confirmed that an increased protein level of p-AMPK by resveratrol was accompanied by the increased protein level of LC3-II, an indicator of autophagy induction. However, this effect on autophagy induction was blocked by AMPK inhibitor compound C, indicating that AMPK activation is involved in the induction of autophagy by resveratrol. We also found that inhibition of AMPK attenuated neuroprotective effects of resveratrol on rotenone-induced apoptosis, suggesting that AMPK activation is required in the neuroprotection of resveratrol. In the rotenone-treated SH-SY5Y cellular model of PD, we found that ro-

tenone could also activate AMPK as indicated by increased protein levels of p-AMPK and p-ACC. However, the results that blockage of AMPK activity by specific AMPK inhibitor enhanced susceptibility of cells to rotenone-induced apoptosis and attenuated neuroprotective roles of resveratrol further supported that activation of AMPK could be a protective effect against the neurotoxic of rotenone and that the neuroprotective effects of resveratrol on rotenone-induced apoptosis could be through AMPK activation. The activation of AMPK by rotenone could be explained by a compensative autoregulation mechanism when the mitochondrial respiratory chain was injured and the supply of ATP was deficient, through which cells provide themselves with energy supplies. But as insults are continuous, cells would fail to continue the autoregulation and lead to cell death, during which time period an exogenous activation of AMPK might be critical for the survival of cells.

Activation of AMPK causes the increase of cellular NAD<sup>+</sup> levels, which in turn activates NAD<sup>+</sup>-dependent type III deacetylase sirtuin 1 (SIRT1) activity [59, 60], while SIRT1 plays an important role in regulation of pathogenesis of chronic diseases including diabetes, chronic inflammatory pulmonary diseases, neurodegenerative, cardiovascular and chronic renal diseases [61] and in promotion of calorie restriction-mediated long-term survival of mammalian cells [62]. In our study, although resveratrol caused activation of AMPK without being accompanied by a marked increase in SIRT1 protein level, the fact that the protein level of acetylated histone 3 (AC-H3), one of the downstream targets of SIRT1, was decreased in resveratrol-treated cells indicated that deacetylase activity of SIRT1 was increased. Additionally, the result that AMPK inhibitor compound C reversed the resveratrol-induced decrease of AC-H3 further suggested the correlation between AMPK and SIRT1. Our results provide evidence that resveratrol may activate the AMPK/SIRT1 pathway, both of which are required for the autophagy induction and for the neuroprotective ef-

fects of resveratrol on rotenone-induced apoptosis. Recent publications have also provided with evidence showing that SIRT1 and AMPK-mediated induction of autophagy by resveratrol could be through the mTOR inhibitory pathway [44, 63].

It should be noted that a recent report [64] has indicated a contradictive effect of resveratrol on rotenone-treated SH-SY5Y cells. We consider that the discrepancy may result from different time durations of resveratrol treatment. As shown in our results, autophagy was enhanced 24 h after resveratrol treatment. We consider that 1 h of incubation with resveratrol [64] may not be enough to induce the autophagy for its neuroprotection through a process called 'mitophagy'.

In conclusion, the neuroprotective effects of resveratrol on PD cellular models are mediated through activation of the AMPK-SIRT1-autophagy pathway (fig. 7). Modulation of the energy metabolic pathway via AMPK or SIRT1 could be a novel neuroprotective strategy for PD.

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## Disclosure Statement

The authors have no conflicts of interest to disclose.

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