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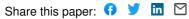
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Article

# Retrocausal Quantum Effects from Broken Time Reversal Symmetry

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**Abstract:** Quantum effects arising from manifestly broken time-reversal symmetry are investigated using time-dependent perturbation theory in a simple model. The forward time and the backward time Hamiltonians are taken to be different and hence the forward and backward amplitudes become unsymmetrical and are not complex conjugates of each other. The effects vanish when the symmetry breaking term is absent and ordinary quantum mechanical results such as Fermi Golden rule are recovered.

Keywords: Time reversal; Retro-causality; Fermi Golden rule; Perturbation theory

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#### 1. Introduction

17 Time reversal invariance has been a contentious issue [1, 2] in non-relativistic quantum mechanics since its first 18 description given by Wigner [3]. The Schrodinger equation  $i\hbar(\partial \psi / \partial t) = H\psi$  is not invariant under 19  $t \rightarrow -t$  and for conservation of transition probabilities requires it to be taken along with complex conjugation. 20 Due to the hermiticity of the Hamiltonian the conjugate Schrodinger equation  $-i\hbar(\partial \psi^*/\partial t) = H\psi^*$ 21 represents the evolution of the conjugate state in backward time. But, in standard Quantum Mechanics (QM), 22 both  $\psi$  and  $\psi^*$  are always treated on equal footing as they contain identical information about the system, 23 though  $\psi^*$  is hardly ever given an independent and explicit interpretation separately from  $\psi$ , except in 24 Cramer's transactional interpretation [4]. Aharonov, Bergmann and Lebowitz (ABL) [5] developed the 25 time-symmetric version of QM, called the two state vector formalism (TSVF) using the forward evolving state 26  $|\phi\rangle$  and backward evolving quantum state  $\langle\psi|$  as equal players in the determination of probabilities of 27 measurement of an observable Q by the ABL rule:

$$Pr(Q = q_n) = \frac{|\langle \psi | P_n | \phi \rangle|^2}{\sum_{j} |\langle \psi | P_j | \phi \rangle|^2} \dots (1)$$

- where  $q_n$  is the nth eigenvalue of Q. This formula reduces to the usual Born rule of standard QM when there
- 30 is no post-selection. Here the state of the system is described completely by the two-state vector  $\langle \psi \mid | \phi \rangle$  and
- 31  $P_i = |\phi_i| > \langle \phi_i|$  is the usual projection operator for the *j*th state [6].
- 32 A causally symmetric Bohm model has been proposed by Sutherland [7] wherein time-symmetry is utilized to
- 33 explain quantum non-locality while maintaining Lorentz invariance. Time reversal symmetry however is
- 34 contrary to our experience since we remember the fixed past and can only surmise on the uncertain future, and
- 35 hence the forward-evolving physical state  $|\phi>$  and the backward-evolving conjugate state  $|\phi>$  annot have
- equal significance. The entropic, cosmological and psychological arrows of time do point to manifestly broken
- time reversal invariance in nature and so do the CP-violating weak interactions, though the magnitude of the
- 38 effect is very small in the latter case. Effects of PT symmetric non-hermitian interactions that violate P as well as

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- 39 T symmetry have also been studied in the literature [8] in various systems. Pegg [9] has analysed
- 40 retro-causality in quantum measurements and has shown that it violates only the weak causality principle and
- 41 hence cannot be used for physical signal transmission.
- 42 In this note, we study the effects of manifestly breaking time-reversal invariance using standard
- 43 time-dependent perturbation theory by introducing a small T-breaking coefficient in the interaction term for the
- backward-evolving states. It turns out that retro-causation can be seen to be the effect (rather than the cause)
- of non-locality at a more fundamental level.
- 46 2. Breaking T-invariance by hand
- Let the general physical state  $|\phi(t_i,t)\rangle$  for a system evolve forward in time from initial time  $t_i$  by the
- forward-evolution Hamiltonian  $H_{F} = H_{0} + H'$  while the general backward evolving state  $\langle \psi(t_{f}, t) |$
- evolves by the backward evolution Hamiltonian  $H_B = H_0 + (1 + \lambda)H' = H_F + \lambda H'$  from a final time  $t_f$  where,
- $\lambda$  is a small real-valued (in general time-dependent) dimensionless parameter that determines the extent of
- T-violation. Standard time-dependent perturbation theory of QM will be recovered when  $\lambda = 0$ . H<sub>0</sub> is the
- unperturbed Hamiltonian of the system having orthonormal eigen states defined by:  $H_0 \mid n > = E_n \mid n > .$  Note
- 53 that both  $H_E$  and  $H_R$  are self-adjoint but they are not adjoints of each other, precisely because of the presence
- of the T-violating parameter  $\lambda$  via the additional interaction term in  $H_R$ .
- 55 Such distinct evolutions by different forward and backward Hamiltonians have been studied by Hahne [10]
- using direct sum of the forward and backward Hilbert spaces as the state space. Here we examine the effects of
- introducing a time-dependent (in general) parameter  $\lambda$  in the perturbation Hamiltonian for the backward
- 58 evolution, somewhat as a simple hidden variable, which affects the quantum mechanical transition
- 59 probabilities in a retrocausal manner.
- Our aim is to find out the probability that if the system was in a given eigenstate  $|i\rangle$  of  $H_0$  at  $t_i$ , what is the
- for probability that it will be found in the eigenstate  $|f\rangle$  at time  $t_f$  due to the different evolutions of the
- forward and backward evolving states. Further, using its dependence on the T-violating parameter  $\lambda$ , can we
- bring in a reasonable change in the spectrum of transition probabilities, thereby reducing quantum
- indeterminism? We consider some simple applications.

## 3. Modified Transition Probabilities

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- The transition probability in standard QM is calculated by the applying Born rule viz. taking modulus squared
- of the amplitude for the forward transition:
- 69  $Pr(i \to f) = Amp(i \to f) \times \{Amp(i \to f)\}^* \dots (2)$
- 70 In view of T-symmetry in standard QM, we can write the backward transition amplitude as:
- 71  $Amp(f \rightarrow i) = \{Amp(i \rightarrow f)\} * ...(3)$
- And, hence the probability can be written as:
- 73  $Pr(i \to f) = Amp(i \to f) \times Amp(f \to i)...(4)$
- 74 In the model considered here, since the forward and backward amplitudes are not in general conjugates of each
- 75 other due to broken T-symmetry, there will be a  $\lambda$ -dependence of the probabilities. Following Cramer[11, 12],
- 76 this can be explained as stemming from the interaction of the system with the backward travelling advanced

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- 77 waves (Confirmation echoes) from the future state, which can affect the transition probabilities during the
- 78 interval  $[t_i, t_f]$ .
- 79 The forward amplitude for  $i \neq f$  and to first order in the interaction H', is given by [13]:
- 80 Amp  $(i \to f) = \frac{1}{i\hbar} \int_{t_i}^{t_f} e^{i\omega_{\beta}t'} H'_{\beta}(t') dt'...(5)$
- 81 where,  $\hbar \omega_{fi} = E_f E_i$  and  $H'_{fi}(t') = \langle f | H'(t') | i \rangle$  is the matrix element of the interaction H'
- 82 connecting the initial and final states in the forward time direction.
- Following the same way, the backward amplitude is given by:
- 84 Amp  $(f \to i) = -\frac{1}{i\hbar} \int_{t_f}^{t_i} e^{-i\omega_{fi}t'} \{1 + \lambda(t')\} \operatorname{H}_{f'}^{\prime *}(t') dt' ...(6)$
- 85 Using eq. (4), the probability then becomes:
- 86  $Pr(i \leftrightarrow f) = Pr_{OM} + Pr_{retro}(\lambda)...(7)$
- 87 where the first term is the standard quantum mechanical probability for the transition while the second term is
- 88 the additional retrocausal  $\lambda$ -dependent contribution to the probability. For this reason, the argument on the
- 89 LHS has been signified with a left-right arrow. Some special cases of interest can now be considered:
- 90 (a) If  $\lambda$  is a constant independent of time, then the probability becomes:
- 91  $Pr(i \leftrightarrow f) = (1 + \lambda)Pr_{OM}...(8)$
- If we can somehow have control over the parameter  $\lambda$ , we can deselect final states |f'| other than the single
- 93 final state | f > by choosing  $1 + \lambda_f = 0$  for all such states, thereby maximizing the probability of, and
- 94 selecting, the state | f > by retrocausal means.
- 95 (b) If H' is a constant perturbation turned on at  $t_i = 0$ , then the probability is:
- 96  $\Pr(i \leftrightarrow f) = \Pr_{QM} + \frac{|H'_{fi}|^2}{i\hbar(E_f E_i)} (1 e^{i\omega_{fi}t_f}) \int_{t_f}^{0} \lambda(t') e^{-i\omega_{fi}t'} dt'...(9)$
- Now, if  $\lambda$  does not depend on time, then the formula again reduces to (8) with  $Pr_{OM}$  given by the well-known
- 98 oscillatory formula:
- 99  $Pr_{QM} = \frac{4 |H'_{fi}|^2}{|E_f E_i|^2} \sin^2 \left[ \frac{(E_f E_i)t_f}{2 \hbar} \right] ... (10)$
- 100 From eq. (8) and eq. (10), one then obtains a modified Fermi golden rule containing the multiplicative factor
- 101  $(1 + \lambda)$ , for the transition rate to the state |f| within the group of states  $\{|f| > \}$  with energies nearly
- equal to the initial energy  $E_i$  and having density of states  $\rho(E_i)$ :
- 103  $w_{i \leftrightarrow f} = (1 + \lambda) w_{i \to f} = (1 + \lambda_f) \frac{2\pi}{\hbar} |H'_{fi}|^2 \rho(E_f)...(11)$
- where, in the last step we have introduced the state dependence of  $\lambda$  by writing it as  $\lambda_f$  to signify future state
- selection.

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(c) For a harmonic perturbation of the form:  $H' = Ve^{i\omega t} + h.c.$  turned on at  $t_i = 0$  and with constant  $\lambda$ , the

- 107 transition probabilities for emission  $(E_f = E_i - \hbar\omega)$  and absorption  $(E_f = E_i + \hbar\omega)$  are given
- 108 respectively by:

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$$w_{i \leftrightarrow f} = (1 + \lambda) w_{i \to f} = (1 + \lambda_f) \frac{2\pi}{\hbar} |V_{fi}|^2 \delta(E_f - E_i \pm \hbar\omega)$$
 ... (12)

- 110 This formula is also applicable to find the transition probabilities for electric dipole transitions for an atom
- 111 interacting with an applied electromagnetic field.
- 112 4. Discussion
- 113 In the above simple extension of quantum mechanical perturbation theory, we have interpreted the conjugate
- 114 amplitudes as the backward time (retrocausal) amplitudes for a process by introducing a retro-causality
- 115 parameter  $\lambda$  . We have shown that if the parameter is independent of time, then the transition probabilities are
- 116 modified and the probabilities remain real and we have true retrocausal influences on the system. However, if
- 117  $\lambda$  is time-dependent, then as is evident from eq. (9), the standard quantum mechanical formulae will be
- 118 modified non-trivially depending on the exact nature of the dependence and probabilities will not remain real
- 119 and will have an additional imaginary part which is difficult to interpret. It has been argued [7] that negative
- 120 probabilities can be accommodated as long as the system is in transit, and when it approaches a measurement
- 121 instant, the probabilities return to the interval [0,1]. This argument can be applied to cases in which some states
- 122 are deselected by choosing  $\lambda_f = -1$ , so that the probability for the retro-causally selected state becomes ~1.
- 123 5. Conclusion
- 124 The validity of the model depends on whether we are able to detect retrocausal influences and whether the
- 125 parameter  $\lambda$  can be controlled by some means. For this, we must have temporal non-locality in some sense,
- 126 since the final state must be known with greater degree of certainty in advance in order for us to influence the
- 127 system in the backward time sense from the future. This in some sense has already been investigated [14] and
- 128 encouraging results have been obtained using weak measurements [15] in the TSVF. In the model discussed
- 129 here which is in terms of standard quantum mechanical perturbation theory, the uncertainty of the future state
- 130 must correspondingly decrease as signified by the parameter  $\lambda$  becoming ~1 for that state and ~0 for the rest of
- 131 the states. There must be probability flows from rest of the final states to the intended one making it more
- 132 certain as an outcome than when  $\lambda$  is absent. It turns out that causal symmetry by itself cannot explain "true"
- 133 retrocausal influences, which bring in more certainty of the realisation of the state. In contrast, the causal
- 134 symmetry in the transactional model, Sutherland's Bohmian model as well as in the TSVF will always keep
- 135
- intact the quantum mechanical probability assignments. Truly retrocausal influences via some kind of breaking
- 136 of the T-symmetry as attempted here opens up new possibilities. How to exploit this is a matter to be taken up
- 137 in future work.
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- 139 **Conflicts of Interest:** The authors declare no conflict of interest.
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