| 1 | Review: Short-term sea-level changes in a greenhouse world – a view from |
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| 2 | the Cretaceous |
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| 42 | Abstract |
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| 44 | This review paper provides a synopsis of ongoing research and our understanding of the |
| 45 | fundamentals of sea-level change today and in the geologic record, specially as illustrated by |
| 46 | conditions and processes during the Cretaceous greenhouse climate episode. We give an |
| 47 | overview of the state of the art of our understanding on eustatic (global) versus relative |
| 48 | (regional) sea level, as well as long-term versus short-term fluctuations and their drivers. In |
| 49 | the context of the focus of UNESCO-IUGS/IGCP project 609 on Cretaceous eustatic, short- |
| 50 | term sea-level and climate changes we evaluate the possible evidence for glacio-eustasy |

51 versus alternative or additional mechanisms for continental water storage and release for the

52 Cretaceous greenhouse and hothouse phases during which the presence of larger continental 53 ice shields is considered very unlikely. Increasing evidence in the literature suggests a 54 correlation between long-period orbital cycles and depositional cycles that reflect sea-level 55 fluctuations, implying a globally synchronized forcing of (eustatic) sea level. Fourth-order 56 depositional sequences seem to be related to a ~405 ka periodicity, which most likely 57 represents long-period orbital eccentricity control on sea level and depositional cycles. Third-58 order cyclicity, expressed as time-synchronous sea level falls of ~20 to 110 m on ~0.5 to 3.0 59 Ma timescales in the Cretaceous are increasingly recognized as connected to climate cycles 60 triggered by long-term astronomical cycles that have periodicity ranging from ~1.0 to 2.4 Ma. 61 Future perspectives of research on greenhouse sea-level changes comprise a high-precision 62 time-scale for sequence stratigraphy and eustatic sea-level changes and high-resolution 63 marine to non-marine stratigraphic correlation.

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Keywords: Cretaceous greenhouse, eustasy, relative sea-level change, aquifer-eustasy,
sequence stratigraphy, orbital cycles

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70 **1. Introduction**

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Global warming and associated global sea-level rise resulting from steady waning of continental ice shields and ocean warming have become issues of growing interest for the scientific community and a concern for the public. Sea level constitutes a basic geographic boundary for humans and sea-level changes drive major shifts in the landscape. A global sealevel rise even on the scale of a meter or two could have major impact on mankind, particularly in vulnerable coastal areas and oceanic island regions (e.g. Caffrey and Beavers, 2012; Cazenave and Le Cozannet, 2014; El Raey et al., 1999; Church et al., 2013; Nicholls, 2010; Nicholls and Cazenave, 2010). Adaption strategies for vulnerable regions have thus become major concerns for maritime nations worldwide. Identified drivers of recent sea-level rise initiated by global warming are mainly (1) accelerated discharge of melt water from continental ice shields into the oceans; (2) thermal expansion of seawater (e.g. Cazenave and Llovel, 2010; Church et al., 2010); and (3) potential oceanic forcing of ice sheet retreat on ice shelves (e.g. as for parts of Antarctic and Greenland and ice sheets, see Alley et al., 2015).

85 However, the processes and feedback for sea-level change are highly complex. For 86 example, the increasing temperature of the oceans and increased freshwater discharge into the 87 oceans through melting ice shields can lead to disruptions and changes in the thermohaline 88 ocean circulations (such as the shutdown or slowdown of the Gulf stream, e.g. Rahmstorf et 89 al., 2015; Robson et al., 2014; Velinga and Wood, 2002) that are among the main drivers of 90 global climate (e.g. Hay, 2013). At the same time, the magnitude of future sea-level rise 91 remains highly uncertain (e.g. Nicholls and Cazenave, 2010; Church et al., 2013), and ocean 92 circulation and climate models (coupled atmosphere-ocean general circulation models) are 93 open to non-unique interpretations, making the topic controversial not only within the 94 scientific community and its opinion leaders, but also among policy makers and the media. In 95 addition, regional, non-climate related components of relative sea-level fluctuations (such as 96 tectonically-induced and anthropogenic subsidence, isostatic compensation of increasing 97 water load) further adds to the complexity of the matter (e.g. Syvitski et al., 2009; Conrad, 98 2013).

99 To study sea-level changes over time, both today and in the sedimentary record, the 100 main focus is on the globally synchronous changes, i.e. so-called eustatic sea-level changes – 101 in contrast to relative or regional (termed *eurybatic* shifts by Haq, 2014) sea-level changes 102 (see Chapter 2.1. below for details). The term *eustasy*, goes back to the Austrian geologist 103 Eduard Suess in 1888 who introduced the term "eustatic movements" for the globally

104 synchronous sea-level changes preserved in the stratigraphic record, which is how it is used in 105 the modern sense (for details see Wagreich et al., 2014; Sengör, 2015). In the context of 106 eustatic sea-level change, terms such as "glacio-eustasy" or "glacio-eustatic sea-level 107 changes" (eustatic sea-level changes caused by the waxing and waning of continental ice 108 shields that lead to an increasing or decreasing water volume in the oceans), thermo-eustatic 109 sea-level changes, tectono-eustatic sea-level changes etc., have subsequently been coined. 110 However, all measures of sea-level change amplitude (rises and falls measured in meters) in 111 any given region of the globe are always local ('regional' or 'relative' sea-level changes, see 112 Conrad, 2013; Haq, 2014; Cloetingh and Haq, 2015), even when there is a strong underlying 113 global signal since they are a product of both local vertical movements (solid-Earth factors) 114 and eustasy (changes in ocean water volume and/or the volume of ocean basins, i.e. ocean 115 capacity or "container volume", respectively; refer to Chapter 2 for details). Consequently, 116 eustatic sea-level amplitudes cannot be measured directly; quantitative estimates for 117 amplitudes of past sea-level changes thus rely on averaged global estimates of eustatic 118 changes in relation to a fix point, e.g. the Earth's center (see Haq, 2014).

119 Correlation, causes and consequences of significant short-term (cycles of 3rd and 4th 120 order, i.e. about 0.5–3.0 Ma, and a few tens of thousands to ~0.5 Ma, respectively) sea-level 121 changes which are recorded in Cretaceous sedimentary archives worldwide are addressed by 122 the UNESCO-IUGS IGCP project 609 "Climate-environmental deteriorations during 123 greenhouse phases: Causes and consequences of short-term Cretaceous sea-level changes" 124 (http://www.univie.ac.at/igcp609/; lasting from 2013-2017). The project serves as a 125 communication and collaboration platform bringing together specialists and research projects 126 from around the world (from universities and other research facilities, from the industry and 127 from stratigraphic consulting companies).

128 The Cretaceous (145–66 million years ago) was different from our present world in 129 many respects, including climatic conditions (greenhouse world in general, with potential

130 episodic glaciations, particularly during the Early Cretaceous), climate change patterns, 131 oceanographic conditions and generally high global (eustatic) sea levels. It was a time of 132 enormous evolutionary changes, particularly on land, and critical in the origin and 133 development of modern continental ecosystems. As the youngest prolonged greenhouse 134 interval in Earth history, the Cretaceous constitutes a well-studied period in these respects 135 (e.g. Hay, 2008; Hay and Floegel, 2012; Hu et al., 2012; Wagreich et al., 2014). The 136 Cretaceous greenhouse period provides a suitable laboratory for better understanding of the 137 causes and consequences of global short-term sea-level changes over a relatively long time 138 interval with different (intermittently extreme) climates that may have important relevance for 139 predictive models of future sea levels (e.g. Hay, 2011; Kidder and Worsley, 2012).

140 Our views of Cretaceous climates have changed during the last decades, from a warm, 141 equable Cretaceous greenhouse to a Cretaceous that is subdivided into 3-4 longer-term 142 climate states: a cooler greenhouse Early Cretaceous with the possibility of "cold snaps", a 143 very warm greenhouse ("Supergreenhouse") mid-Cretaceous including short-lived 'hothouse' 144 periods with widespread anoxia and a possible reversal of the thermohaline circulation 145 (HEATT episodes of 'haline euxinic acidic thermal transgression', see Kidder and Worsley, 146 2010; Hay and Floegel, 2012), and a Late Cretaceous warm to cool greenhouse evolution (e.g. 147 Föllmi, 2012; Hay and Floegel, 2012; Hu et al., 2012; Skelton, 2003; Kidder and Worsley, 148 2010, 2012). Moreover, an increasing number of short-term climatic events within the longer-149 term trends are also reported (e.g. Hu et al., 2012; Jenkyns, 2003).

150 Cyclic sea-level changes and corresponding depositional sequences and sedimentary 151 cycles are usually explained by the waxing and waning of continental (polar) ice sheets. 152 However, though Cretaceous eustasy involves brief glacial episodes, for which there is 153 evidence at least in the Early and the latest Cretaceous (e.g. Alley and Frakes, 2003; Föllmi, 154 2012; Price and Nunn, 2010), the presence of continental ice sheets during remainder of the 155 Cretaceous is controversial, and remains particularly enigmatic for the mid-Cretaceous extreme greenhouse period (Aptian to Turonian) with "hothouse" episodes and global average
temperature maxima during the later Cenomanian to Turonian (e.g. Hay and Floegel, 2012).

158 For these reasons, IGCP 609 is focusing more on the causes and mechanisms of short-159 term eustatic sea-level changes in the mid-Cretaceous "Supergreenhouse" or "hothouse" 160 periods (Cenomanian-Turonian) during which continental ice sheets are highly improbable 161 and, thus, other mechanisms have to be taken into consideration to explain significant short-162 term eustatic changes, such as "aquifer-eustasy" (Jacobs and Sahagian, 1995; Hay and Leslie, 163 1990; Wendler and Wendler, this volume; Wendler et al., this volume) or "limno-eustasy" 164 (Wagreich et al., 2014; see also Chapter 2.6. below). The focus on short-term eustatic sea-165 level changes is also warranted because of their importance for stratigraphic applications: 166 resulting marine depositional sequences and sequence boundaries would be synchronous and 167 correlatable – the challenge, however, is proving their supraregional to global correlations at 168 sufficient resolution. This crucial point is addressed by IGCP 609, i.e., the interrelation of 169 short-term climate changes and eustatic sea-level changes and their analysis for 170 astronomically driven cyclicities, and their cyclostratigraphic application.

171 Recent refinements of the geological timescale using new radiometric data and 172 numerical calibration of bio-zonations, carbon and strontium isotope curves, paleomagnetic 173 reversals, and astronomically calibrated timescales (for the latest Cretaceous) have made 174 major advances for the Cretaceous. International efforts are improving the Cretaceous 175 timescale to yield a resolution comparable to that of younger Earth history. It is now possible 176 to correlate and date short-term Cretaceous sea-level records with a resolution appropriate for 177 their detailed analysis (e.g. Wendler et al., 2014), that is to say, a resolution on Milankovitch 178 astronomical scales (mainly in the band of 405 and 100 ka eccentricity cycles; with respect to 179 the Cretaceous, orbital tuning and floating timescales have become available for the latest 180 Campanian through Maastrichtian (see Ogg et al., 2012, and Batenburg et al., 2014) and is 181 continuously being advanced downwards in stratigraphy. Respective correlations and precise ages of sequence boundaries and cycles not only provide an advanced tool for global correlations at high resolution, but also facilitate the testing of hypotheses concerning the interrelationships of astronomically forced climate events and cyclicities, corresponding sealevel fluctuations and their control and feedback mechanisms, such as the "aquifer- or limnoeustatic hypothesis" (see Wagreich et al., 2014; Wendler and Wendler, this volume; Wendler et al., this volume).

188 Consequently, major objectives of IGCP 609 are: (1) to correlate high-resolution sea-189 level records from globally distributed sedimentary archives to the new, high-resolution 190 absolute timescale, using sea-water isotope curves and orbital (405, 100 ka eccentricity) 191 cycles. This will resolve the question of whether the observed short-term sea-level changes 192 are regional (tectonic) or global (eustatic) and determine their possible relation to climate 193 cycles; (2) to facilitate the calculation of rates of sea-level change during the Cretaceous 194 greenhouse episode, and during its (mid-Cretaceous) Supergreenhouse period. Rates of 195 geologically short-term sea-level change on a warm Earth will help to better evaluate recent 196 global change and to assess the role of feedback mechanisms such as thermal 197 expansion/contraction of seawater, subsidence of continental margins and adjacent ocean 198 basins due to loading by water, changing vegetation of the Earth System, changes in the 199 hydrologic cycle etc., as well as (3) to further investigate the relation of sea-level highs and 200 lows to major climate-oceanographic events such as ocean hypoxia and oxidation events, as 201 represented in the sedimentary archives by black shales and oceanic red beds, and the 202 evaluation of the evidence for ephemeral glacial episodes or other climate events, i.e., whether 203 or not specific sea-level peaks are associated with glacial episodes. Multi-record and multi-204 proxy studies will provide a high-resolution scenario for entire sea-level cycles and allow the 205 development of quantitative models for sea-level changes in greenhouse episodes.

In this introductory review, we give an up-to-date overview on the fundamentals and background of sea level and sea-level change with respect to research on "short-term climate

| 208 | and sea-level changes" and their interrelationship today and in the geologic (sedimentary) |
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| 209 | record, with focus on the "Cretaceous greenhouse" period. Herein we follow the "IUPAC- |
| 210 | IUGS Recommendations 2011" (Holden et al., 2011) in the usage of units of time, i.e. that the |
| 211 | same units (a = year, ka = 1000 years, Ma = 1 million years) are applied to express both |
| 212 | absolute time and time duration. |
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| 215 | 2. Fundamentals of relative and eustatic sea level and sea-level change |
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| 217 | 2.1. Sea level and sea-level fluctuations: classification and measurement |
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| 219 | The terms "sea level", "relative sea level" and "relative sea-level change" have varied in their |
| 220 | usage among different authors and across scientific research groups and disciplines over time, |
| 221 | through the historical development of respective research (Shennan, 2015). As a result, there |
| 222 | is not only ambiguity in the use of terms concerning how sea level can be "relative" - |
| 223 | elevation relative to the Earth's surface or elevation relative to the present – but there are also |
| 224 | differences between modern oceanographers and geologists regarding how different terms are |
| 225 | used (e.g. Shennan et al., 2012; Shennan, 2015). While modelers have presented explicit |
| 226 | definitions with mathematical notation and defined sea level "as the elevation of the geoid |
| 227 | (mean height of the sea surface averaged over several decades) in relation to the solid surface |
| 228 | of the earth" (Shennan, 2015, p. 6), this is called 'relative sea level' in common geological use |
| 229 | (op. cit.). Another variation would be the consideration of "change" within relative sea-level |
| 230 | change as process rather than a measurement difference (e.g. a 'sea-level shift') attributed to a |
| 231 | specific cause (Shannon, 2015), such as the melting of continental ice shields. Here we follow |
| 232 | the definitions from the "Handbook of Sea-Level Research" (reviewed by Shennan, 2015 |
| 233 | therein) as given below. |
| | |

234 In general, a distinction is drawn between two fundamental types of sea level or sealevel shifts (change), respectively: (a) relative, regional or "eurybatic" (after Haq, 2014) sea-235 236 level shifts on the one hand, and (b) global or eustatic sea-level shifts on the other hand. 237 These two differ in the geographic dimension of their geologic record (and the possibility of 238 detection), in their degree of synchronicity (particularly important in the analysis of the 239 geological record), and in the way they can be measured or calculated. The following 240 definitions apply (If not explicitly indicated, terms and processes given in this chapter will be 241 elucidated in the subsequent chapters in detail):

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243 A) Relative (regional) sea level or sea-level change, respectively: "For each geographical 244 location and time, sea level is the difference between the geoid and the solid rock or sediment 245 surface of the Earth, both measured with reference to the centre of the Earth" (Shennan, 2015, 246 p. 7; cited without symbols for mathematic variables and corresponding equations; see also p. 247 8, fig. 2.4. therein). Based on this definition, sea level equals the common geological usage of 248 the term "relative sea level" (Shennan, 2015). Therefore, a sea-level change "is given by the 249 change in sea surface height minus the change in solid surface height over the period of 250 interest" (Shennan, 2015, p. 7). With this definition it is apparent that there are different 251 components to be considered when measuring sea level and calculating sea-level change: the 252 water (volume) component and the solid-Earth component and their interrelationships (see 253 Chapters 2.3. and 2.6. below for details). Consequently, Shennan and Horton (2002, p. 511), 254 define relative sea level as the sum of global/eustatic sea level including ocean water and 255 ocean basin ("container volume" or capacity) changes (the "time-dependent eustatic 256 function"), glacial isostatic adjustment (total isostatic effect of the glacial rebound process of 257 the lithosphere including the glacio-isostatic and hydro-isostatic load and unload 258 contributions), tectonic effects (including active and passive thermal subsidence, effects of dynamic topography, e.g. Miller et al. 2011; Conrad, 2013), and local effects (such assediment compaction and changes in tidal range).

Though not applicable to the pre-Quaternary time interval, it must be mentioned that there is another common convention to define a change in relative sea level for Quaternary and Holocene time scales: a definition as change relative to present sea level (Shannon, 2015).

265 B) In theory, *eustatic (global) sea level* "is the sea level that would result from distributing 266 water evenly across a rigid, non-rotating planet and neglecting self gravitation in the surface 267 load (Mitrovica and Milne, 2003)" (cited after Shennan, 2015, p. 6). Since Earth is not a rigid 268 planet, and it does rotate and has self-gravitation, it is not possible to record eustatic sea level 269 (and change) at any single locality on Earth (Shennan, 2015). Actually, all measurements of 270 amplitudes of sea level or sea-level change (recent and past rises and falls measured or 271 reconstructed in millimeters to meters), respectively, in any given region are always local, and consequently "relative" or "regional", even when there is a strong overlying global signal 272 (Haq, 2014). In other words: Eustatic sea-level amplitudes and changes cannot be measured -273 274 these are averaged global estimates of eustatic changes in relation to a fix-point, for example 275 the Earth's centre (e.g. Haq, 2014). Corresponding to their respective drivers, different 276 composite terms have been coined for eustatic sea-level changes, such as glacio-eustasy, 277 aquifer/limno-eustasy, thermo-eustasy, and tectono-eustasy, the details of which are 278 summarized in the Chapters 2.3 and the following below.

Regarding the reconstruction of sea levels and sea-level changes from the geologic record, the differentiation of eurybatic (regional, or relative) and eustatic (global) sea-level changes (Fig. 1) and the respective proportion of each signal at a given locality or region is a critical issue (see e.g., Moucha et al., 2008; Müller et al., 2008; Conrad and Husson, 2009; Conrad, 2013; Haq, 2014), the disregard of which can lead to strong over- or underestimations of amplitudes (e.g., Miller et al., 2005a). We must also bear in mind that

depending on the time interval in question, concerning the geologic record of deep time we can only detect and correlate *significant* (i.e. observable) sea-level changes of certain minimum amplitudes. The minimum of the latter, in turn, depends on the stratigraphic resolution available which tends to decrease as we go back in time. These issues and the subject how to reconstruct paleo-water depths and sea-level changes are overviewed in Chapter 2.8.

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292 Fig. 1 about here

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294 **2.2.** Timescales and amplitudes of sea-level change

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296 Sea level fluctuates at varying rates (timescales and amplitudes), geographically and over 297 time. Analyzing and modeling currently available direct measurements (from tide gauges 298 from different parts of the world: measurements available since about 1700 and without gaps since the 1860s; and satellite altimetry starting in 1993 with the TOPEX/Poseidon radar 299 300 altimeter satellite, see e.g. Church et al., 2013; Mitchum et al., 2010; Woodworth and 301 Menéndez, 2015), are usually made on annual, decadal and centennial timescales and exhibit 302 amplitudes of few millimeters to a few meters. This also includes sea-level prediction and 303 impact of sea-level rise on mankind as well as feasible responses to it. Between 1900 and 304 2010 estimated global mean sea level rose by approximately 1.7 mm/year, accelerating to 305 about 3.2 mm/year during the 1990s and later (e.g. Church et al., 2013; Hay et al., 2015; 306 Mitchum et al., 2010; Woodworth et al., 2009; Woodworth and Menéndez, 2015; and 307 references in these).

In contrast, detectable and calculable sea-level fluctuations in the geologic record exhibit different, normally longer time intervals and larger amplitudes due to observational bias and the problem of preservation in the depositional archive. The main limiting factors are 311 strongly dependent on the severity of each sea-level event (i.e. were these sea-level changes at 312 amplitudes and geographic scales, regional vs. global, actually observable in the geologic 313 record), the stratigraphic resolution available (i.e. did these fluctuations occur within 314 observable and correlatable time frames in the geologic record), the definition and 315 interpretation of sequence boundaries, and the driving factors and mechanisms.

316 In the Cretaceous we are mainly dealing with significant global (eustatic, see Chapter 317 2.3. below for details), cyclic sea-level fluctuations of about 0.5–3.0 Ma (so-called 3rd-order 318 cyclicities) to about 500 ka (so-called 4th-order cyclicities) duration (e.g. Haq, 2014). The 319 405 ka cyclicity (coeval with the long spectral components of orbital eccentricity) appears to 320 be a prevalent signal and fundamental feature of sedimentary sequences throughout the 321 Phanerozoic (Gale, 1996; Gale et al., 2002; Gradstein et al., 2012; Haq, 2014, and references 322 therein). Estimated amplitudes (averaged global estimates, see Chapter 2.1. above) of 323 Cretaceous eustatic (global and time synchronous) short-term sea-level changes (3rd order) 324 greatly vary and are in the order of about 20-110 m (Haq, 2014). In contrast to this, the 325 recorded long-term trends (2nd-order cyclicity, >5 to ~100 Ma) exhibit changes within a few 326 tens of meters range during the Cretaceous, while global sea-level is considered to have been 327 between ~65 and 250 m higher than the present day mean sea level (Haq, 2014).

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330 **2.3.** Drivers and mechanisms of long- and short-term eustatic sea-level changes

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Sea-level changes result from a complex combination and interrelationship of operative
mechanisms, processes, and influencing factors that are different in modality, magnitude,
extent, and timescales. They can modify regional and/or global sea level, and differ in their
relevance to total eustatic sea-level signals (see Figs. 1 to 5).

In principle, fluctuations in eustatic sea level are caused by two major categories of mechanisms, which can be grouped into acting on either "long-term" or "short-term" scales (see Chapter 2.2 above). Fluctuations in global eustatic sea level originate from (A) changes in the total available volume of ocean/marine basins ("container volume"), and (B) changes in the cumulative volume of water in the oceans (ocean–continent and ocean–mantle water distribution).

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343 A) Processes related to changes in the volume of ocean/marine basins:

344 The first group of mechanisms leads to changes in the volume of ocean basins (capacity or 345 "container volume") and comprise shape and size changes (various processes) of ocean 346 basins, their sedimentary or magmatic filling (recurrent periods of submarine volcanic pulses: 347 ocean ridge basalts, syn-rift volcanism), and "dynamic topography" (see below). These 348 processes cause net contractions or expansions of the ocean basins which in turn causes sea-349 level rises or sea-level falls, respectively. Related processes and effects on sea-level change are mainly interconnected solid-Earth driven ones, and mostly act on longer scales, i.e., 2nd to 350 351 1st-order 'cycles' in the ranges of several (>5) Ma to over 100 Ma (e.g. Conrad, 2013; 352 Cloetingh and Haq, 2015). Sea-level changes based on processes related to tectonic 353 movements of the Earth's plates are referred to as tectono-eustatic sea-level changes (and the 354 process as tectono-eustasy). Related processes are: (1) ocean floor volcanic activity, i.e. (1a) 355 ocean crust production at mid-ocean ridges (changes can displace sea water equating to a few 356 hundreds of meters eustatic sea-level changes within ~100 Ma, Pitman, 1978; Kominz, 1984; 357 Xu et al., 2006; Müller et al., 2006; Conrad, 2013) and (1b) eruption of large igneous 358 provinces (which can displace enough water to create ~ 100 m of eustatic sea level change 359 (Harrison, 1990; Müller et al., 2008); (2) net changes in the areal extent of the oceans caused 360 by continental orogeny or extension (which can create ~10s of meters of eustatic change, 361 Kirschner et al., 2010); and (3) net subsidence or uplift of the ocean basins by mantle dynamics; changes to this "dynamic topography" can cause eustatic changes up to 1 m/Ma
sustained over several 10s of Ma (Gurnis, 1990, 1993; Conrad and Husson, 2009; Spasojevic
and Gurnis, 2012). Adding to these is sediment infill (sediment supply) from erosion of
continental surfaces not covered by oceans, which also displaces enough sea water to cause
up to ~100 m of eustatic sea-level change (Harrison et al., 1981; Müller et al., 2008; Conrad,
2013).

368 In recent years, a complex of processes and feedbacks under the labels "dynamic 369 topography" and "inherited landscapes" have received much attention as they affect local 370 measurements of sea level and past reconstructions (see Cloetingh and Haq, 2015). We have 371 learned that some of the processes mentioned above can refashion landscapes only regionally, 372 and that solid-Earth processes are responsible for retaining lithospheric memory and its 373 surface expressions (Cloetingh and Haq, 2015). Dynamic topography is vertical deflection of 374 Earth's surface supported by stresses associated with mantle flow (e.g., Hager et al., 1985), 375 with elevated topography above mantle upwelling and depressed topography above mantle 376 downwellings (e.g. Flament et al., 2013 and references therein). Dynamic topography can 377 change with time, as either mantle dynamics evolve or continents move laterally over 378 different parts of the mantle (Gurnis, 1990, 1993; Spasojevic and Gurnis, 2012), inducing 379 uplift or subsidence of the solid-Earth surface that affects both land- and sea-scapes. Under 380 the term "inherited (regional) topography/landscapes" we subsume the effects of solid-Earth 381 driven processes that lead to dynamic change in surface topography, for which dynamic 382 topography is considered an important factor (see Chapter 2.7.). This process leads to net 383 dynamic uplift of the seafloor by mantle flow (Conrad and Husson, 2009), and may also 384 induce lateral variations in sea-level change by locally deflecting the ground surface (Conrad, 385 2013; Moucha et al. 2008). Surface topography reacts dynamically to both isostasy and 386 mantle flow, resulting from lithospheric memory retained at various temporal and spatial 387 scales (Cloetingh and Haq, 2015). Combinations of these processes can amplify, accelerate,

388 cancel out, or decelerate each other. As we have learned recently it is essential within the 389 scope of understanding sea-level changes to take these processes into consideration since they 390 affect local measures of sea level, and thus, estimates of eustatic sea levels and sea-level 391 changes as well, even on short-term timescales (sediment infill, dynamic topography, e.g. 392 Conrad, 2013; Haq, 2014; Cloetingh and Haq, 2015). As Cloetingh and Haq (2015, p. 393 1258375-1) apply put it "the interdisciplinary dissension between solid-Earth geophysics and 394 soft-rock geology was at least partly due to the prevalent view within the sedimentologic 395 community that post-rift tectonic processes are normally too slow to contribute to punctuated 396 stratigraphy."

397 Nevertheless, basin volume changes resulting from solid-Earth processes (rock 398 deformation, tectonics, volcanism, sedimentation, and mantle convection) occur on all 399 timescales (Conrad, 2013). The critical point is whether or not the resulting effect on eustatic 400 sea-level change is significant in the sense of: (1) being recognizable in the geologic record 401 (and not wiped-out by erosional or other processes) and (2) significant in comparison to 402 corresponding processes operating on a respective timescale. The Cretaceous, for example, 403 represents a major episode of oceanic crust production that led to long-term sea-level rise and 404 the eustatic sea-level highstands estimated between 170 and 250 m above today's sea level 405 (e.g. Müller et al., 2008; Conrad, 2013; Haq, 2014), see Chapter 3 below.

406 On longer timescales (100s of Ma, e.g. across supercontinental cycles and longer), the 407 imbalance of water exchange with(in) the deep mantle (or "water sequestration within the 408 mantle") may contribute significantly to eustatic sea-level fluctuations (Kasting and Holm, 409 1992; Crowley et al., 2011; Korenaga, 2011; Sandu et al., 2011; Conrad, 2013). Sea-level rise 410 (or fall) by this process results from imbalance in the rate of water exchange with the deep 411 mantle by increased (or decreased) outgassing of the mantle (water release into the surface 412 environment from melting of hydrated minerals in mantle rocks by degassing at mid-ocean 413 ridges) or slower (or faster) loss of water into Earth's interior via subduction (i.e. water

414 storage in hydrated minerals of the seafloor and their subduction into the deep mantle).
415 However, Cloetingh and Haq (2015) discuss the possibility of water exchange with the mantle
416 for explaining Cretaceous 3rd-order cycles, provided that the necessary leads and lags of
417 water movements *within* the mantle can be demonstrated. In summary, the process of water
418 exchange with the mantle is as yet not well understood with respect to operative timescales
419 and dimensions of their sea-level affecting imbalances.

420

421 B) *Processes related to changes in the ocean's water volume:*

422 The second group of processes, predominantly governing short-term sea-level changes over 423 much of Earth's history (but see Conrad, 2013, p. 1033 for the Cenozoic), concerns changes 424 in ocean water volume. These processes include (1) the thermal expansion of sea water 425 (thermo-eustatic sea-level changes, thermo-eustasy); (2) water storage and release (also 426 "sequestration") on land as ice (i.e. the waxing and waning of continental ice sheets; glacio-427 eustatic sea-level changes, glacio-eustasy), and the imbalance in groundwater and lake water 428 storage and release (aquifer-eustatic sea-level changes, aquifer-eustasy); and (3), potentially, 429 imbalances (short-term leads and lags) in water exchange with the Earth's mantle as favored 430 by Cloetingh and Haq (2015) (see previous chapter). These short-term processes act on 3rd-431 to 4th-order scales (0.5–3.0 Ma to about 405 ka and below i.e., few tens of thousands to about 432 500 ka), and are mainly climate driven and cyclic. The interrelationship of astronomically 433 forced climate cycles, which control short-term sea-level changes as well as (cyclic) 434 variations in sediment deposition, is fundamental to geosciences, particularly to sequence-435 and cyclostratigraphy, and of central interest within the scope of IGCP 609. Therefore, these 436 processes are elucidated in the following chapters.

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439 2.4. Physico-chemical intrinsic contributions: Ocean water temperature and salinity – 440 steric sea-level change

441

442 The Earth's oceans exert a major control over the climate system since they store and 443 transport huge quantities of heat (e.g. Broeker, 1991; Church et al., 2010; Hay, 2013; Rose 444 and Ferreira, 2013). Understanding variation in the ocean's heat content in space and time is 445 thus critical to our comprehension of the ocean's structure and circulation as well as its 446 impact on climate variability and change (e.g. Church et al., 2010; Piecuch and Ponte, 2014). 447 In addition, temperature changes in ocean water lead to heat induced thermal (volume) 448 expansion or contraction. The amount of expansion depends on the quantity of heat absorbed, 449 the initial water temperature (greater expansion in warm water), pressure (greater expansion at 450 higher depth), as well as, to a smaller extent, salinity (greater expansion in water with higher 451 salinity) (Church et al., 2010). Thus, temperature changes in ocean water contribute to global and regional sea-level change as an intrinsic factor: "a 1000-m column of sea water expands 452 453 by about 1 or 2 cm for every 0.1°C of warming" (Church et al., 2010, p. 143). 1°C warming 454 of the Earth's oceans is estimated to cause a eustatic sea-level rise of about 0.70 m (Conrad, 455 2013). Based on the estimated total volume of today's Earth ocean water of about 1335×10^6 456 km³ (e.g. Hay and Leslie, 1990 and references therein), this would about equal a water 457 volume of roughly 0.2×10^6 km³ per 1°C temperature change (depending on the initial 458 temperature, depth and salinity, see above). Both temperature and salinity contributions, or 459 their combined impact on density and volume, are significant for regional (relative) sea-level 460 changes, while the temperature contribution is the dominant factor controlling global sea-level 461 changes (Church et al., 2010).

The temperature and salinity effect on sea-water density and volume is called "steric effect" controlling the "steric sea level" or "steric sea-level changes", and correspondingly, the terms "thermosteric" (temperature contribution) and "halosteric" (salinity contribution) 465 are used (e.g. Church et al., 2013). Along with glacier melting, ocean thermal expansion, i.e. 466 global thermosteric sea-level rise, has been a major contributor to 20th century sea-level rise 467 (together explaining 75% with high confidence excluding Antarctic glaciers peripheral to the 468 ice sheet; the continental ice sheet contribution, i.e. Greenland and Antarctica, was smaller in 469 the 20th century but has increased since the 1990s), and is projected to continue during the 470 next centuries (Church et al., 2010, 2013; Piecuch and Ponte, 2014). Uncertainties in 471 simulated and projected steric regional and global sea level remain poorly understood and, 472 accordingly projected thermosteric sea-level rises based on climate models vary considerably 473 (Church et al., 2013; Hallberg et al., 2013).

474 The physical steric effects, particularly the dominant thermosteric effect on sea-level 475 change, were operating in the same way during Earth history. Indeed, in the geologic 476 literature the term thermosteric sea-level (change) is substituted by thermal expansion or 477 thermo-eustatic sea level (change) instead. However, the thermosteric or thermo-eustatic 478 effect and its contribution to sea-level change is even more difficult to calculate and model in 479 deep time, as this requires detailed information not only on sea-water volumes, temperatures 480 and salinity, but also on the variation of heat content and heat exchange in the oceans, 481 changes in ocean mass from changes in ocean salinity, and past ocean circulations. In the 482 Cretaceous, for example, the climate, continental distribution patterns and ocean circulations 483 (thermohaline circulation) were significantly different (e.g. Friedrich et al., 2008; Hay, 1996, 484 2008; Hay et al., 1997; Hay and Floegel, 2012; Hasegawa et al., 2012). Moreover, as we can 485 only estimate global (eustatic) sea-level changes from "measures" (which are estimates as 486 well, cf. Chapter 2.9. below) of relative sea-level changes in the geologic record and discuss 487 potential major controlling factors, we can make no reliable estimates on the proportion of 488 each respective factor of contribution to the total eustatic sea-level change.

489 In the geologic record, the differentiation of the thermosteric contribution from the 490 cryospheric (see Chapter 2.5.) or continental water storage and release contribution (see

491 Chapter 2.6.), respectively, is difficult because one of the main tools to estimate 492 paleotemperatures and salinities of seawater, stable oxygen isotope frationation and resulting 493 isotope ratios (δ^{18} O), likewise depends on temperature and salinity changes, and δ^{18} O of sea 494 water is directly affected by inflow of isotopic lighter ground- and melt-water. This issue 495 becomes even more complex when differences in the oxygen isotope fractionation process 496 and its net effect on sea water δ^{18} O values during greenhouse climate modes are considered 497 (see Wendler et al., this volume; and Chapters 2.5 and 2.6. below).

498 In addition, operative timescales and corresponding eustatic sea-level amplitudes 499 resulting from volume changes of water in the oceans by thermal expansion or thermo-eustasy 500 are in the range of 0.8–1.4 mm per year today (observed; modeled 0.97–2.02 mm per year; 501 e.g. Church et al., 2013 given for the period 1993-2010; see also Church et al., 2010 and 502 references therein), up to 10 m per thousand years (Miller et al., 2011) with total amplitudes 503 estimated at between ~5–10 m (Jacobs and Sahagian, 1993; see also Fig. 3). Consequently, 504 the contribution of thermo-eustatic sea-level changes to the total eustatic sea-level variation, 505 though adding to it, is of lesser importance in the geologic record since it cannot be resolved. 506 In addition to the problems stated above, the thermo-eustatic sea-level changes have operative 507 timescales that are several orders of magnitude smaller than the maximum stratigraphic 508 resolution available for the Cretaceous (~20 ka), and their amplitudes (~5–10 m, i.e. ≤ 25 m) 509 are well within error ranges measurable and estimated for short-term sea-level changes from 510 the Cretaceous geologic record (mostly 25–75 m, e.g. Haq, 2014).

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513 **2.5.** The cryospheric contribution – Glacio-eustasy

514

515 Significant quantities of freshwater that can contribute to eustatic sea-level changes by 516 changing the ocean water volume or its chemistry through inflow of meltwater (or

517 storage/retention of freshwater as ice, respectively) are stored in the continental ice sheets, 518 most notably on Antarctica and Greenland, today (e.g. Steffen et al., 2010). Altogether, the 519 present day cryosphere, i.e. ice sheets, ice caps, glaciers, and subsurface continental 520 cryosphere (permafrost) on the continents contain an estimated water volume of about 24-30 521 \times 10⁶ km³ (e.g. Hay and Leslie, 1990; Gleick, 1996) that is equivalent to ~64 m of sea-level 522 and, applying isostatic compensation (of the water load by the crust and mantle), correlates to 523 45–50 m of eustatic sea-level rise for an ice-free world (Conrad, 2013). This estimate, of 524 course, excludes oceanic floating ice (such as at the northern polar regions and floating 525 glaciers peripheral to the continental ice sheets) because these have already displaced ocean 526 water equal to the volume of water that would be created by their melting (hydrostatic 527 equilibrium).

528 The waxing and waning of continental ice shields was certainly the dominant process 529 relevant for eustatic short-term sea-level changes during the Holocene, and has been for much 530 of the Earth history (e.g. Miller et al., 2011) during icehouse climate periods (however, for the 531 past 40-50 years it has been outpaced by thermal expansion, e.g. Church et al., 2013). 532 Resulting high-amplitude, rapid sea-level changes are called glacio-eustatic and operate at 533 rates of up to more than 40 mm a year (during melt water pulses, Gehrels and Shennan, 2015; 534 Miller et al., 2011), on timescales between 10–100 thousand years, and at amplitudes of 50 to 535 250 m (e.g. Conrad, 2013; Cloetingh and Haq, 2015; see Figs. 3, 4). During Snowball Earth 536 times of the Precambrian (between ~780–630 Ma), i.e. for the hypothetic case that most or all 537 continents were covered by ice sheets, a maximum of more than 600 m of sea-level fall has 538 been modeled (Liu and Peltier, 2013).

However, for periods in Earth history where large continental ice sheets are considered to have been absent or highly improbable (warm greenhouse and hothouse intervals, e.g. much of the Cretaceous), the probability of continental ice as the only reservoir for significantly changing the ocean water volume was challenged in the early 1990s by the notion that climate controlled periodic continental groundwater storage and release may be an
alternative mechanism for short-term sea-level changes instead of ice (Hay and Leslie, 1990;
Jacobs and Sahagian, 1993). This idea has been revived especially for the Cretaceous by
Wendler et al. (2011) and Föllmi (2012), and is currently tested and substantiated by these
authors and other researchers (Wendler et al. 2014; Wendler and Wendler, this volume;
Wendler et al., this volume; Wagreich et al., 2014), as discussed in Chapter 2.6. below.

549 A proxy to identify and calculate ice-volume and freshwater inflow changes in past 550 oceans involves stable oxygen isotope rate changes over time (e.g. Wendler and Wendler, this volume), expressed as changes in sea water δ^{18} O values. Based on isotope fractionation 551 between the stable isotopes ¹⁶O and ¹⁸O during successive evaporation (preferring the lighter 552 553 isotope) and condensation (preferring the heavier isotope) cycles, continental ice sequesters ¹⁶O and sea water becomes enriched in ¹⁸O during cold climates. Consequently, ice volume 554 555 (and corresponding eustatic sea-level) changes can be reconstructed using marine carbonate 556 δ^{18} O values, mainly calcite tests of deep-sea benthic foraminifera (e.g. Shackleton and 557 Kennett, 1975). Oxygen isotopes in marine sediments vary with periods that mirror orbital 558 Milankovitch cyclicity, and constitute an important proxy for deciphering Quaternary cycles 559 (e.g., Hayes et al., 1976). During the Pleistocene, ice volume controlled two-thirds of the 560 measured variability in oxygen isotope records, while temperature variations accounted for 561 the other one-third (Miller et al., 2011). Thus, cyclic changes in stable oxygen isotope ratios 562 connected to sea-level changes were used also to argue for glacio-eustasy in deep-time (e.g., 563 Miller, 2005a, b).

However, the use of oxygen isotopic ratios as an ice volume proxy is not straightforward and has many complications discussed in detail by Haq (2014). His conclusion was that although bulk carbonate isotopic curves could be used for long-term trends, they cannot be used as a quantitative measure of ice volume changes in deep time (Haq, 2014). Beyond this, the respective climate modes need to be more strongly considered

for the interpretation of eustatic sea-level changes from shifts in seawater δ^{18} O values. Thus 569 far, usual reasoning equates positive shifts in seawater δ^{18} O values with cooling and 570 571 increasing continental ice volumes, which, in turn, correspond to eustatic sea-level falls that 572 would be correlated with regressions (regressional cycles) in the geologic record or sequence 573 stratigraphic interpretations. However, based on evidence from Cretaceous data, Wendler et 574 al. (this volume) and Wendler and Wendler (this volume) present a new, more sophisticated 575 interpretation of the differences in the oxygen-isotope fractionation process between icehouse 576 and greenhouse (plus "hothouse") climate modes. Based on the assumption that glacio-577 eustasy dominates oxygen-isotope fractionation during icehouse conditions whereas aquifer-578 eustasy (see Chapter 2.6. below) is dominant during greenhouse conditions, Wendler and 579 Wendler (op. cit.) discuss the corresponding differences in the effects of temperature and 580 continental water volume on oxygen-isotope fractionation and the resulting net effects on seawater δ^{18} O values. Following these authors (Wendler and Wendler, this volume) the 581 582 climate mode has considerable impact on paleoceanographic and paleoclimatic interpretations based on seawater δ^{18} O values. Wendler and Wendler (op. cit.) present arguments and data 583 that can explain positive shifts in seawater δ^{18} O values and their correlation to high sea levels 584 585 and transgressions, not regressions as previously thought, during the middle and late Turonian 586 greenhouse climate.

587 Another important regional side effect of growth and decay of continental ice sheets 588 (or continental groundwater reserves, see Chapter 2.6.) on short timescales is glacial isostatic 589 adjustment (GIA), i.e. the isostatic rebound of the lithosphere during (ongoing melting 590 process or groundwater release) and subsequent to continental ice (or continental 591 groundwater, see Chapter 2.6.) load removal, particularly along the continental margins and 592 adjacent ocean basins (e.g. Farrell and Clark, 1976; Mitrovica and Peltier, 1991; Milne and 593 Mitrovica, 1998, 2008; Mitrovica and Milne, 2003). This is a solid-Earth contribution that 594 operates on timescales of tens of thousands of years, and includes both the melting ice

(glacio-isostatic) and (ground-)water (hydro-isostatic) load contributions (Shennan and
Horton, 2002, p. 511), which affects relative/local sea-level measures (refer to GIA: glacial
isostatic adjustment in Chapter 2.7 for details, and Fig. 3).

598

599 Figs. 2 and 3 near here

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601 **2.6. Continental water storage and release contributions**

602

603 Continents provide the main storage capacity to effectively remove water from the oceans, 604 with considerable potential to affect global sea level by changing ocean water volume (e.g. 605 Hay and Leslie, 1990; the amount of water that can be stored in the atmosphere is negligible 606 for affecting global sea level change, see Figs. 2, 3, and 4 for orders of 607 magnitude/proportions). Apart from major ice shields, the only other significant water 608 reservoirs on the continents are lakes and (much more important as to storage capacity) 609 aquifers, i.e. porous sediments that may fill up with groundwater (see Fig. 2). Particularly 610 during periods in Earth history where large continental ice sheets are considered to have been 611 absent or highly improbable (warm greenhouse and hothouse intervals, e.g. much of the 612 Cretaceous), the hypothesis that ice would be the only possible way of significantly changing 613 the ocean water volume was elucidated in the early 1990s by considerations that climate-614 controlled periodic continental groundwater storage and release could have contributed the 615 major component to short-term sea-level changes instead of ice (Hay and Leslie, 1990; Jacobs 616 and Sahagian, 1993). The groundbreaking idea was that for todays' Earth the calculated 617 'available' or 'active' groundwater volume (for being added to, or released from, the 618 continents, thus affecting sea-level) would approximately equate to the water volume stored 619 in continental ice shields, whereas the overall water capacity of lakes and rivers is almost 620 negligible proportionally (Fig. 2; Hay and Leslie, 1990). Since then, this idea has been revived with particular focus on the Cretaceous, namely by Wendler et al. (2011) and Föllmi (2012), and is currently being tested and substantiated by these authors and other researchers (Wendler et al. 2014; Wendler and Wendler, this volume; Wendler et al., this volume; Wagreich et al., 2014), as discussed below. Consequently, this led to the hypothesis of "groundwater-driven eustasy", termed "aquifer-eustasy" (see Hay and Leslie, 1990; Jacobs and Sahagian, 1993, 1995; Wendler et al., 2011; Wendler et al., 2014; Wendler et al., this volume) or "limno-eustasy", alternatively (Wagreich et al., 2014; but see below for details).

628 The fundamentals of the hypothesis of groundwater-driven eustasy go back to Hay and 629 Leslie (1990, and references therein) who, based on estimates of pore space in continental 630 sediments and their water-bearing potential, calculated the total available pore space and water capacity of surface and subsurface aquifers within continental blocks ($50.8 \times 10^6 \text{ km}^3$), 631 632 the subsurface aquifers of which being the major reservoir because they provide by far the 633 major storage capacity. These authors also differentiated between sediments lying below sea 634 level, which constitute the major part, and storage capacity that is permanently saturated with 635 water (and, thus, cannot be emptied and contribute to ocean water volume changes and 636 resulting sea-level rises), and those residing above sea-level that potentially can be filled with 637 or emptied of groundwater. With respect to the latter, "... only the aquifers are able to absorb, 638 store, and transmit water through their pore spaces and thus participate in the process ..." 639 (Hay and Leslie, 1990, p. 166) of climate induced imbalances in the ocean-continent water 640 distribution via the hydrologic cycle. Thus, the available volume depends on the respective 641 eustatic sea level and the average continental elevation at the time in question.

For the present day Earth, Hay and Leslie (1990) gave a value of about 25×10^{6} km³ of pore space within the upper 1 km of average elevation of the continents. This pore space equals (if it could be alternately filled with or emptied of water completely) a global sea-level change of 76 m, or 50 m after applying isostatic adjustment (Hay and Leslie, 1990). It is, thus, approximately equivalent to the total volume of water currently stored in ice sheets, ice caps, 647 and glaciers on land today, though only a proportion of a corresponding water volume is 648 considered to effectively result in sea-level changes; this proportion, however, is significant 649 (see Fig. 2 and below, and Wendler and Wendler, this volume; Wendler et al., this volume; 650 Wagreich et al., 2014). Operative timescales of aquifer-eustasy are estimated to be 10^4 to 10^3 651 or <0.01 million years (Hay and Leslie, 1990; Cloetingh and Haq, 2015). This means that 652 amplitudes and operative timescales, and thus rates, of aquifer-eustatic sea-level changes lie 653 within a similar order of magnitude as those for glacio-eustasy (cf. Figs. 2, 3, 4, 5). Hay and 654 Leslie (1990) also expanded on their thoughts by providing hypothetical models for times in 655 the geologic past, including the mid-Cretaceous. These models, based on conservative 656 estimates, suggest that the available pore water volume and retention capacity of aquifers at 657 200 m average elevation above sea-level could have been twice that of today (see Chapter 658 3.1.).

659 The hypothesis of groundwater-driven eustasy or aquifer-eustasy and its potential to 660 explain short-term eustatic sea-level changes in mid-Cretaceous-like ice free worlds, has been 661 widely disregarded previously because of the underestimation of the water capacity of 662 groundwater aquifer reservoirs on the one hand, and its confusion with the minor and nearly 663 negligible lake and river water volume (0.03–0.3 x 10^{6} km³; see Fig. 2) with respect to its sea-664 level change equivalent (<<1 m) on the other hand (see Hay and Leslie, 1990; Miller et al., 665 2005; Wendler et al., this volume). A further reason is that to this day, the processes and 666 efficacy behind climatically controlled groundwater-forced sea-level changes are not well 667 understood, particularly as to their timescales.

However, our understanding of the subject is continuously growing with considerable progress in recent years: Since water content and capacity of the global atmosphere (~25 mm eustatic sea level equivalent, Fig. 2) are thermodynamically constrained, the gain or loss of water by the continents corresponds to an equal loss or gain of water by the oceans (Milly et al., 2010). Excluding continental ice sheets (see Chapter 2.5.) and anthropogenic causes (cf. 673 Milly et al., 2010), this continent-ocean water exchange is a dynamic process being (more or 674 less) in relative balance, i.e. there is constant backflow of groundwater into the oceans and the 675 aquifers are continuously refilled (Wendler and Wendler, this volume). Thus, the process of 676 aquifer-eustasy is based on a dynamic balance between charge (through precipitation) and 677 discharge (through fluvial runoff) of surface and subsurface aquifers that reflect the intensity 678 of the hydrologic cycle (Wendler and Wendler, this volume). Consequently, groundwater-679 driven eustasy or aquifer-eustasy must be driven by imbalances in the ocean-continent water 680 distribution and the hydrologic cycle which, in turn, are climatically controlled. Aquifer-681 eustasy is, essentially, considered to have been a pervasive process throughout Earth history 682 (Jacobs and Sahagian, 1995; Wendler and Wendler, this volume). While both aquifer-eustatic 683 and glacio-eustatic forcing have formed a combined sea-level response during Earth history, 684 aquifer-eustasy outpaces glacio-eustasy during greenhouse phases while remaining active but 685 subsidiary effective during icehouse phases (Wendler and Wendler, this volume).

686 Increases in groundwater storage and corresponding significant short-term aquifer-687 eustatic sea-level falls occur if the filling processes exceed the draining (aquifer charge > 688 discharge) processes on a global scale of consideration (including associated lake-level rise 689 trends), and the other way around for the emptying of the reservoirs. Acceleration of the 690 hydrologic cycle in particular has been suggested as driving mechanism for sea-level falls 691 caused by longer-term groundwater storage on the continents (e.g. Jacobs and Sahagian, 692 1993; Föllmi, 2012; Wendler et al., 2011; Wagreich et al., 2014; Wendler and Wendler, this 693 volume; Wendler et al., this volume), particularly during warm greenhouse climate modes 694 that had little or no ice, such as the mid- to Late Cretaceous (Albian-Santonian, Wendler and 695 Wendler, this volume).

696 Net charge of continental reservoirs, and corresponding eustatic sea-level falls, may 697 thus happen during times of an accelerated hydrological cycle transporting more water 698 towards the continents including the ice-free high latitude areas (Wendler and Wendler, 699 2015). Significant short-term aguifer-eustatic sea-level rises would then be linked to periods 700 of dryer climates and precipitation decrease, when aquifer draining processes exceed the 701 filling processes (aquifer discharge > charge). Wendler et al. (this volume) provide the first 702 empirical evidence for a correlation between changes in precipitation, continental weathering 703 intensity, evaporation and astronomically (long-obliquity) forced sea-level cycles during the 704 Cretaceous "Supergreenhouse" (Cenomanian-Turonian) period, making aquifer-eustasy a 705 plausible explanation for short-term eustatic sea-level fluctuations. Nevertheless, many 706 processes behind aquifer-eustasy or other alternatives to glacio-eustasy remain insufficiently 707 understood to date, especially regarding their full complexity and timescales (e.g. considering 708 isostatic rebound effects of the lithosphere through groundwater unloading at the continental 709 margins, see Chapter 2.7.), and the deceleration of the aquifer discharge.

710 Additionally, we are largely unable to reconstruct groundwater tables and 711 groundwater-table changes directly from the sedimentary record. Response times of the 712 (constantly flowing) hydrological system to climate changes are short, and can be considered 713 quasi instantaneous given geological timescales and temporal resolution in deep-time. The 714 time interval necessary to fill or empty the continental water reservoirs by an amount 715 equivalent to significant changes in global sea-water volumes, however, may be considerably 716 longer due to complex feedback mechanisms (tens of thousand to hundreds of thousands of 717 years, Hay and Leslie, 1990; cf. Fig. 3 herein). Consequently, Wagreich et al. (2014) indicate 718 a possible lag between a (climate induced) step-function change in the global hydrological 719 cycle and the resulting sea-level changes caused by groundwater storage on land or inflow 720 into the sea. Combining these facts with the obvious conclusion that there should be a positive 721 correlation between filled aquifers (and high groundwater tables) and relatively high lake 722 levels (at least generally on regional to global scales), Wagreich et al. (2014) suggested that 723 non-marine sequences (i.e. lake-level changes as documented in the geologic record) should 724 lie within the longer Milankovitch band (3rd-order cycles), but out-of-phase with sea-level changes. This means that respective lake-level changes record astronomically forced, cyclic climate changes, and should be (mainly?) driven by aquifer-eustasy and thereby record significant groundwater-table changes. This, in turn, would allow for high-resolution, cyclostratigraphic correlation with marine sequences, provided that the non-marine sequences can be sufficiently dated geochronologically. Preliminary tests seem to support this hypothesis (see Wagreich et al., 2014, and Chapter 3.2. for details).

731 From this we can conclude that lakes provide a proxy to indirectly record aquifer-732 eustatic cycles since lake deposits are the best archive available documenting (non-marine) 733 climate cyclicities. Thus, lake-level reconstructions give information on significant 734 groundwater-table changes, and corresponding continent-ocean water distribution imbalances 735 (Wagreich et al., 2014). This led Wagreich et al. (2014) to propose the term "limno-eustasy" 736 as an alternative for aquifer-eustasy used by other authors (e.g. Wendler and Wendler, this 737 volume, and references therein), the former being a more all-embracing term for the following 738 reasons: Though "limnic" derives from Ancient Greek for lake ("limne"), the limnologic 739 practice since the 1970s is that the term "limnic" (and the fields of work covered by 740 limnologists) has been extended to cover all inland (also "non-marine") water bodies -741 whether they are freshwater or saline, permanent and temporary (ephemeral), flowing (lentic) 742 or standing (lotic), surface or underground (e.g. Elster, 1974; Wetzel, 2001), including 743 aquifers. Consequently, the term "limno-eustasy" would have a wider meaning and not only 744 cover the dominant water volume parameter and driver, but also secondary proxies 745 (reconstructions of lake-level changes and associated groundwater-table changes) of 746 climatically induced periodic changes on land that record groundwater-driven eustatic sea-747 level changes.

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Following Chapter 2.3.A, this chapter briefly outlines the solid-Earth factors in more detail, particularly as relevant to short timescale sea-level fluctuations in deep-time. For comprehensive recent overviews see Conrad (2013) and Cloetingh and Haq (2015), and references therein. The relevant key terms as given in Fig. 3 are highlighted by italic type.

757 Glacial isostatic adjustment (GIA) strongly influences eurybatic sea-level measures 758 today and in the recent past (Engelhart et al., 2011). Here, both the ice and water load 759 contributions must be considered (Shennan and Horton, 2002, p. 511). GIA comprises two 760 components, since the Earth responds to the removal (or placement) of a load from (on) its 761 surface in two ways: (1) The elastic response (elastic rebound of lithosphere) takes place 762 instantaneously (Conrad and Hager, 1997; Mitrovica et al., 2001), e.g. the recent melting of 763 the Greenland ice sheet (which causes ~0.6 mm per year of global sea-level rise via meltwater 764 inflow, Jacob et al., 2012; Harig & Simons, 2012) causes elastic expansion of the rocks 765 beneath Greenland, leading to 10-30 mm/year of crustal uplift near the most rapidly melting 766 areas (e.g. Bevis et al., 2012; Nielsen et al., 2012). (2) The viscous response (viscous mantle 767 *flow*) takes place subsequently over a timescale of thousands of years (10^3-10^5) years), e.g. 768 Greenland will continue to uplift (slowly) in response to the current mass loss of its ice sheet. 769 These two processes involve different physical mechanisms of rock deformation that operate 770 on different timescales: the elastic deformation results from changes in the interatomic 771 distances and spaces on a short-term, whereas viscous deformation involves the much slower 772 process of atom migration within the rock. Therefore, unless the (elastic) instantaneous uplift 773 occurring along with the melting (or groundwater release/unloading) is specifically invoked, 774 "isostatic rebound" usually implies the viscous deformation component, and is thus regarded 775 as a viscous process occurring over thousands of years that continues after all the ice is 776 melted. Altogether, Earth's elastic response to ice and water unloading, and the subsequent 777 viscous post-deglaciation response (e.g. the ongoing uplift of Scandinavia), leads to mass 778 redistribution, and thus regional vertical movements along the continental margins (Mitrovica 779 et al., 2001; Conrad, 2013; Haq, 2014), but both processes can be regarded as quasi-780 instantaneous on geological timescales. Therefore, on the million year and longer timescales 781 of deep-time archives, GIA can be neglected, as long as isostatic compensation of added or 782 removed seawater, which reduces eustatic (global) sea-level change to 70% of its 783 uncompensated value, is included within sea-level change estimates. However, isostatic 784 rebound processes become important for understanding eustatic vs. relative sea-level changes 785 for 100 years to tens of thousands of years timescales, especially during the Pleistocene 786 (Miller et al., 2011), and can also influence eustatic sea level because they can affect the net 787 volume of the ocean basins (e.g. Mitrovica and Peltier, 1991).

788 Changes to the container capacity of the oceans operate mainly on long timescales 789 $(10^{6}-10^{8})$ years and longer), and involve solid-Earth processes. Changes to the volume of the 790 global mid-ocean ridge system is one of the main drivers of long-term global sea-level trends 791 and result from changes in both spreading rates and the total length of the ridge system 792 (Pitman, 1978; Müller et al., 2008; Conrad, 2013). These changes affect the mean age, and 793 thus depth, of oceanic crust. Longer ridge systems and increased seafloor spreading rates 794 (MORB production rates) raise the average depth of the sea floor and thus elevate eustatic sea 795 level. Today's volume of mid-ocean ridges elevate sea level by about 570 m, but faster 796 spreading during the Cretaceous produced wider ridges that elevated sea level by up to 820 m 797 (Conrad, 2013). This change resulted in a ~250 m drop in sea level in the last ~125 Ma 798 (Müller et al., 2008). However, more rapid sea-level change with amplitudes of ~50 m 799 occurring over timescales of ~20 Ma require spreading rates to globally accelerate or 800 decelerate by ca. 50% (Conrad, 2013) over these time periods, which may not be tectonically 801 possible, at least globally. Fluctuations in spreading rates may thus explain eustatic sea-level 802 change on ~100 Ma timescales (1st-order sea level cycles), but not on ca. 30 Ma or shorter

timescales (2nd-order cycles) because significant changes in average spreading rate occur
only over timescales of ~100 Ma and furthermore require similar timescales to offset the
average depth of the seafloor.

806 Secondary effects on the container volume include changes in ocean floor volcanic 807 activity (primarily, the emplacement of Large Igneous Provinces, LIPs, during the 808 Cretaceous) and time-varying sediment infill into the oceans (Harrison, 1990; Müller et al., 809 2008; Conrad, 2013). Eustatic sea-level rise (or fall) results if the rate of emplacement of 810 volcanics or sediments is faster (or slower) than their rate of removal by subduction. 811 Remarkably, changes in marine sediment volume were considered by Suess (1888) as the 812 main process leading to positive eustatic movements, i.e. rising sea-levels and transgressions. 813 However, large uncertainties are connected to estimates of sediment thickness and the time-814 dependence of carbonate production and carbonate compensation depth in time (Conrad, 815 2013). Nevertheless, both Müller et al. (2008) and Conrad (2013) suggested that the net aging 816 of the seafloor since the Cretaceous should have allowed sediments to accumulate, possibly 817 raising sea level by ~60 m. The contribution of seafloor volcanism may have a similar 818 magnitude, but possibly a different time history, raising sea level by up to 100 m during the 819 Cretaceous (Müller et al., 2008) as the Cretaceous LIPs were emplaced on the seafloor, and 820 dropping sea level by ~40 m during the Cenozoic, as the seafloor LIPs are lost to subduction 821 (Conrad, 2013).

Supercontinent cycles are associated with changes in the area of the ocean basins, and may influence sea-level on long-term (>100 Ma) timescales (Conrad, 2013), thus providing the background for first-order sea-level changes. Up to 30 m of sea-level rise may result from the break-up of Pangaea (Kirschner et al., 2010), and a similar drop during the Cenozoic associated with Alpine-Himalayan orogeny (Harrison, 1990). Supercontinent assembly by continental collision and associated orogeny lowers sea level principally by expanding the

ocean basin area, resulting in a sea-level drop during assembly and rise during supercontinent
dispersal, such as observed during Jurassic and Cretaceous times during Pangaea break-up.

830 Mantle flow supports significant long-wavelength (thousands of kilometers) 831 topographic relief on Earth's surface, with elevated topography occurring above mantle 832 upwelling and depressed topography above downwellings (Hager et al., 1985). Locally, the 833 dynamic submergence or uplift of a coastline results in regional-scale transgressions or 834 regressions (Flament, 2013). Globally, this dynamic topography also deflects the seafloor in a 835 net sense, and thus offsets sea level. Currently, this offset is positive with an amplitude of up 836 to ~100 m (Conrad and Husson, 2009), because mantle upwellings occur preferentially 837 beneath the seafloor. This sea-level offset may change with time as convection patterns 838 evolve within the mantle, and as the continents migrate. The resulting change in the container 839 volume of the ocean basins results in eustatic sea level change, and rates of up to ~ 0.5 m/Ma 840 of eustatic sea-level rise have been reconstructed for the past >100 Ma (Conrad, 2013; Conrad 841 and Husson, 2009; Spasojevic and Gurnis, 2012). Although mainly of first or second order 842 duration, dynamic topography may overlap also with 3rd-order sea-level changes regionally, 843 i.e. in the range of a few million years along specific coastlines (e.g. Lovell, 2010).

844 Lithosperic flexure and intraplate deformation involve regional vertical motions and 845 thus affect regional sea level in regions where these processes are important. Spatial and 846 temporal variations in vertical motions in continental interiors as well as along their margins 847 can be modified due to rifting processes, inherited lithospheric structure, and plume 848 emplacement, and thus influence regional sea-levels (Cloetingh and Haq, 2015). Intraplate 849 stresses influence the long-term surface response to mantle upwelling or basal tractions 850 associated with lateral mantle flow, and may also result in short-term, 3rd-order regional 851 changes that overlap with longer-term climate cycles in the few million year range (Cloetingh 852 et al., 1985).

854

855 **2.8. Geoid contributions**

856

857 In principle, variations in the geoid (an arbitrary gravitational equipotential surface) variations 858 do not produce a net eustatic sea-level effect. However, local measurements of sea level 859 relative to the continents may be influenced by changes to the geoid. Such changes may result 860 from mass exchanges between the cryosphere and the oceans ("ocean geoid"), which can 861 decrease local gravitational potential near regions of mass loss (e.g. Engelhart et al., 2011), or 862 can perturb Earth's rotation (Milne and Mitrovica, 1998). The "continent geoid" regionally 863 varies through mass exchanges caused by erosion. These effects must be considered when 864 accounting for mass movements that cause sea level change, and their associated glacial 865 isostatic adjustments.

866

867 Fig. 5 near here

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869 2.9. Reconstructing sea-level changes in the geologic record

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871 Sea-level changes *per se* are not recorded unequivocally in the deep-time geological record. 872 In principle, physical, chemical or biological evidence and/or proxies can be used to decipher 873 fluctuations in past sea level. Originally, when defining the term "eustatic", Suess (1888) 874 relied on physical evidence for raised beaches above the prevailing sea-level and shifting 875 fossil shorelines, i.e. fully marine sediments overlying non-marine sediments (Wagreich et al., 876 2014; Sengör, 2015). Such physical evidence has since been incorporated into the 877 development of sequence stratigraphy, where the reconstruction of shifting shorelines 878 (shoreline trajectories, e.g. Catuneanu et al., 2011) and geometrical evidence for falling and 879 rising sea-levels, and unconformities in coastal sections as expression of sequence boundaries, provide the building blocks for conceptual and generic types of stratigraphy, especially as
used with seismic sections within petroleum industry (e.g. Simmons, 2011, 2012).

882 In that respect, epicontinental marine basins and flooded continental margins and 883 interiors provide a special setting during greenhouse, high sea-level episodes of Earth history. 884 Especially for the mid- and Late Cretaceous, the number and extent of epicontinental seas was 885 exceptionally high (e.g., Hay and Floegel, 2012). Such basins, like the Western Interior 886 Seaway or the Chalk sea of northwestern Europe, are strongly shaped by complex vertical 887 tectonic movements, which significantly amplify or attenuate the effects of eustatic forcing 888 (Haq and Al-Qahtani, 2005; Zorina, 2014). These shelf seas are characterized by the absence 889 of a continental slope - a key geologic element of oceanic basins - which favors the 890 formation of offlap and onlap stacking patterns. Instead, even minor sea-level changes cause a shoreline to migrate over extremely large distances, resulting in wide (hundreds of 891 892 kilometers) facies successions, i.e. platformal sequences (Zorina, 2014). Retrogradational 893 parasequence sets may accumulate in basins deepening during regressions, and in those 894 shoaling during transgressions. Consequently, as the architecture of subsequent sequences 895 depends on a complex combination of deepening-shoaling and transgressive-regressive 896 cyclicity, the construction of regional sea-level curves requires a comprehensive analysis of 897 basin evolution including analysis of spatiotemporal facies distribution and reliable estimates 898 of paleo-water depths.

Short term sea-level fall records in carbonate platforms may coincide with longer-term events, with parasequence boundaries superimposed on sequence boundaries. Therefore, separation of short-term and long-term sea-level falls on carbonate platforms is a critical issue, and needs detailed studies of the sedimentary structures (Yilmaz and Altiner, 2001, 2006; Catuneanu et al., 2011; Moore and Wade, 2013). Even the short-term sea-level changes can be affected by regional tectonics. Therefore, some sequence boundaries may not be well preserved over a longer distance. Seismic expressions or geometrical correlations in

association with event beds can be more helpful for long distance correlations and forunderstanding the presence of diachronism related to sequence boundaries.

908 Apart from the physical evidence provided by stratal geometries and unconformities, 909 paleo-water depths cannot be measured directly in the sedimentary archive (e.g. Burton et al., 910 1987) except for rare cases of single sedimentary structures like wave ripples. However, 911 facies and facies changes can be related to estimates of depositional water depths in the 912 marine realm, with more confidence and smaller error bars of 2–10 m in the shallow-marine 913 realm (i.e. in the neritic realm, from beach to offshore), and larger errors of tens or hundreds 914 of meters for deep-water environments (bathyal to abyssal). Facies zonations, e.g. in 915 carbonate platforms with reefs, lagoons and fore-reef facies, and evidence for supra-, intra-916 und subtidal deposition and photic zone carbonate production can be helpful.

917 Paleontology and micropaleontology, given primary taphocoenoses, may provide 918 further evidence by the presence of depth-restricted biota and assemblages (e.g. sea grass and 919 associated faunas, Hart et al., this volume). Foraminiferal assemblages may provide relatively 920 precise indicators for depositional water depths, and are especially useful in deeper-water 921 sediments (e.g. Murray, 1991; Sliter and Baker, 1972; Hart, 1980; Koutsoukos and Hart, 922 1990; Widmark and Speijer, 1997; Abramovich et al., 2003; Kaminski and Gradstein, 2005). 923 However, reconstructions of sea-level changes in the pelagic to hemipelagic realm, at bathyal 924 water depths below 150–200 m, are considerably hampered by the fact that (1) depositional 925 water depths (several 100s to 1000 m) largely exceed the magnitude of inferred sea-level 926 changes, (2) correlative conformities mark sequence boundaries in bathyal environments 927 instead of unconformities that are easily recognizable in coastal areas and carbonate 928 platforms, thus, changes in sedimentation may be subtle and not discernable by lithofacies, 929 and (3) although present, trends in fossils communities related to changes in depositional 930 depths may not be as obvious and clear as in coastal areas, and may become more and more 931 subtle and harder to recognize (e.g. Wolfgring et al., this volume).

932 Beyond that, chemical and mineralogical proxies are increasingly used in fine-grained 933 shelf to bathyal sediments to decipher sea-level changes. Along the shelf-slope-basin profile, 934 climate as well as carbonate versus siliciclastic domination of the system has to be taken into 935 account when using chemical proxies for interpreting sea-level changes. In principle, times of 936 sea-level lowstands may be characterized by sediments with generally higher siliciclastic 937 contents, coarser grain sizes of siliciclastics and higher clay contents. Transgression results in 938 condensed sections and may occur in low oxygen environments. Thus, high terrigenous clay 939 mineral peaks may record sea level lows. Various chemical proxies include carbonate content, 940 Sr/Ca ration (Li et al., 2000), uranium content, carbon and oxygen isotopes, Si/Al, Ti/Al, 941 Zr/Al, Zr/Ti, Mn and Mn/Al (see Jarvis et al., 2001; Olde et al., 2015).

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944 **2.10.** Constructing short-term sea-level curves from the geologic record

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946 Short-term eurybatic sea-level reconstructions and sea-level shift amplitudes are based mainly 947 on sequence-stratigraphic data from around the world, including outcrops, well-logs and 948 seismic profiles (see Haq, 2014 and references therein for details; also e.g. Haq et al., 1987; 949 Hardenbol et al., 1998; Simmons, 2011, 2012). Correlations of regional sea-level curves, 950 reinforced by oxygen-isotopic trends, provide means of recognizing synchronous global sea-951 level events (e.g. Haq, 2014). In addition, sea-level sensitive facies and seismic geometries 952 are used to identify sea-level changes, i.e. condensed section deposits such as organic-rich 953 sediments, transgressive coals, evaporites, carbonate megabreccias, exposure-related deposits 954 such as karst and laterite, forced regressive facies, and radiations, extinctions or migrations of 955 shallow marine faunas are used in reconstructions (Haq, 2014). Amplitudes of eustatic sea-956 level changes are estimated based on averages of eurybatic measurements for rises and falls 957 from all stratigraphic sections under consideration (op. cit.). As these measurements are 958 always imprecise, Haq and Schutter (2008) classified each event quasi-quantitatively by 959 measuring the amount of fall from the previous highstand, and classified respective events as 960 minor (<25 m), medium (25 to 75 m), or major (>75 m), and Haq (2014) adopted this scheme 961 for his revision of Cretaceous eustasy and the revised Cretaceous 3rd-order sea-level curve.

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964 **3. The Cretaceous World**

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The Cretaceous Period represents the youngest prolonged greenhouse interval in Earth history (e.g., Skelton et al., 2003; Hay, 2008). Greenhouse climate is attributed to elevated CO₂ (and other greenhouse gases) levels, with 2–16 times the pre-industrial level (Hay and Flögel, 2012). Pole to equator temperature gradients were reduced, with mostly relatively warm polar regions. Long-term sea-level was high, about 170-250 m above present sea-level (Conrad, 2013; Haq, 2014), mainly a result of rapid spreading rates at mid-ocean ridges.

972 Paleoceanographic and paleogeographic changes accompanied the final breakup of 973 Gondwana during the Cretaceous, and the opening of the South Atlantic and the Indian 974 oceans, and other complications related to the opening and closing of Tethyan basins. The 975 latter provides a major oceanic gateway for circulation, connecting the mid-latitudinal 976 Atlantic to the Caribbean and the Pacific. Hadley cell shrinkage (Hasegawa et al., 2013; Hay 977 and Flögel, 2012), thermohaline circulation (e.g. Friedrich et al., 2008), and the possible 978 presence of oceanic eddies (Hay, 2009) may have resulted in a climate-ocean system very 979 different from today's (Hay and Flögel, 2012). The paleogeographic situation was 980 characterized by flooded continents, large and shallow epicontinental seas, and large marine 981 seaways. For the later part of the Late Cretaceous, the opening of the South Atlantic for 982 deepwater circulation changed the paleoceanographic pattern considerably (Friedrich et al., 983 2012).

984 Recent research indicates the presence of 3-4 climate states (Kidder and Worsley, 985 2010, 2012; Hu et al., 2012; Hay and Floegel, 2012), i.e. a cooler greenhouse during the early 986 Early Cretaceous (Berriasian-Barremian), a very warm greenhouse in the mid-Cretaceous 987 (Aptian–Turonian/Coniacian) including short-lived hothouse periods with widespread anoxia 988 (OAE1, 2 and 3) and possible reversals of the thermohaline circulation (HEATT episodes of 989 haline euxinic acidic thermal transgression, Kidder and Worsley, 2010), and a (later) Late 990 Cretaceous (Santonian/Campanian-Maastrichtian) evolution from warm to cool greenhouse. 991 In addition, an increasing number of short-term climatic events within the longer term trends 992 are reported for the Cretaceous (e.g. Hu et al., 2012).

Cretaceous sea-level changes have been investigated more recently by Cloetingh and Haq (2015), Haq (2014), Immenhauser (2005), Miller et al. (2005a, b, 2009), Kominz et al. (2008), and Müller et al (2008), but the (global) correlation and significance of these sea-level changes are still arguable (e.g., Zorina et al., 2008; Lovell, 2010; Petersen et al., 2010; Boulila et al., 2011; Haq, 2014).

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1000 **3.1. Cretaceous short-term sea-level changes and their drivers**

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1002 Investigation of the timing, the causes, and the consequences of significant short-term (i.e. 1003 several thousand to 100s of ka) sea-level changes during this last major greenhouse episode of 1004 Earth history is a strongly debated issue. A major episode of oceanic crust production during 1005 and after the break-up of Pangaea led to long-term sea-level rise and a highstand during 1006 Cretaceous times. Peak sea level during the Cretaceous is estimated between 85 and 280 m, 1007 with best estimates between 170 and 250 m, above today's sea level (Müller et al., 2008; 1008 Miller et al., 2011; Conrad, 2012; Haq, 2014). Our current state of knowledge is that solid-1009 Earth dynamics that are not related to glacio- or hydro-isostasy (Chapter 2.7.) can well explain first-order sea-level cycles, and probably contribute to second order cycles (we still do
not have a good explanation for 2nd-order cycles, see Conrad, 2013), but cannot explain the
prevalent 3rd-order cycles evident from, e.g. Cretaceous (Haq, 2014), sequence stratigraphy.
However, short-term, 3rd- to 4th-order sea-level changes, recorded in Cretaceous strata, could
exhibit amplitudes similar to those of Pleistocene glacial–interglacial episodes, i.e. 15–50 m
(Miller et al., 2005b; Kominz et al., 2008), and qualify as minor to medium according to Haq
and Schutter (2008; see also Chapter 2.10. above).

1017 Although debate regarding the existence of Cretaceous eustatic (globally synchronous) 1018 sea-level change persists (e.g. Moucha et al., 2008; Lovell, 2010; Ruban et al., 2010; Zorina et 1019 al., 2008), Haq (2014) states that eustasy cannot be dismissed in the Cretaceous. This is based 1020 on the growing evidence that at least some if not all 3rd-order sequences, even during the 1021 extreme hothouse episode ("Supergreenhouse") of the mid-Cretaceous (e.g. Hay and Floegel, 1022 2012), were synchronous (see most recent compilation by Haq, 2014; Wilmsen and Nagm, 1023 2013; Wendler et al., 2014), and therefore record short-term eustatic sea-level changes. As 1024 discussed above (Chapters 2.5. and 2.6.) two hypotheses may explain the major processes 1025 controlling such eustatic sea-level changes: glacio-eustasy and aquifer eustasy. Additional 1026 mechanisms that should be considered are thermo-eustasy (both thermosteric and halosteric 1027 effects surely played a role during extreme warm of the Cretaceous, but may be confined to a 1028 few meters of change), and sediment input and LIPs emplacement. Sediment input in 1029 particular can also act on short-term timescales, but on such short timescales its impact is 1030 likely limited to a maximum of a few meters (Conrad, 2013).

For the Early Cretaceous with its generally cool greenhouse climate (Hay and Flögel, 2012; Hu et al., 2012; Föllmi, 2012) glacio-eustasy seems to be a likely driver for short-term sea-level changes (cf. Chapter 2.5.) given the presence of direct evidence for ice (e.g. Alley and Frakes, 2003) and indirect evidence for cool (marine) temperatures such as glendonites (Price and Nunn, 2010), stable oxygen isotope data (Stoll and Schrag, 1996) and Cretaceous Oceanic Red Beds (Wagreich, 2009). The same may hold true for the later part of the Late Cretaceous, the Campanian-Maastrichtian, when especially sequence stratigraphy and correlated stable oxygen isotopes indicate cool intervals with sea-level lowstands (Miller et al., 2005b; Bowman et al., 2013). Thus, the Early Cretaceous and possibly also the later part of the Late Cretaceous can be identified as times of a cool greenhouse climate with the possibility of ephemeral ice sheets on Antarctica and maybe also on parts of Siberia (Miller et al., 2005b; Hay and Floegel, 2012).

1043 For the mid-Cretaceous, especially the hottest period of the Mesozoic during the 1044 Cenomanian-Turonian, a warm (Super-)greenhouse state with common hothouse intervals 1045 connected with oceanic anoxic events is reconstructed (Hay and Flögel, 2012). Both for the 1046 Cenomanian (Moriya et al., 2007) and for the Turonian (McLeod et al., 2013), continuous 1047 stable oxygen isotope records from excellently preserved glassy foraminifera do not show any 1048 inferred ice-induced oxygen isotope shifts and strongly argue against the presence of even 1049 ephemeral ice sheets. Thus, at least for the Cenomanian-Turonian, alternative processes like 1050 aquifer-eustasy have been invoked to explain short-term sea-level changes (Wagreich et al., 1051 2014; Wendler et al., 2014; Wendler and Wendler, this volume; Wendler et al., this volume).

1052 Amplitudes, operative timescales, and rates of (mid-)Cretaceous aquifer-eustatic sea-1053 level changes may have been significantly larger as based on conservative estimates by Hay 1054 and Leslie (1990), as the available pore water volume and retention capacity of aquifers at 1055 200 m average elevation above sea-level could have been twice that of today (~ 40 x 10° 1056 km³). This would double the resulting maximum amplitudes of corresponding eustatic sea-1057 level changes (up to about 80 m), even though for the Cretaceous, such storage estimates 1058 exclude aquifers below 200 m continental elevation as being unavailable for groundwater 1059 charge and discharge because of the higher sea-level and associated permanent saturation with 1060 water (Conrad, 2013; Wendler et al., this volume). In addition, it can be assumed that during 1061 the Cretaceous greenhouse the expanse of deserts was smaller and ice-free polar regions were additionally available for aquifer charge and discharge on the one hand, and due to higher
global temperatures an enhanced hydrological cycle transported more water towards these
high latitudes (e.g. Flögel et al., 2011; Suarez et al., 2011; Wendler et al., this volume) on the
other hand. Altogether, there was tremendous potential for continental water storage during
the Cretaceous warm greenhouse.

1067 Short-term sea-level cycles in the longer Milankovitch band are increasingly being 1068 recognized in the Mesozoic and Cenozoic deep-time records (e.g. Bouilah et al., 2012), thus 1069 requiring climatically controlled drivers for sea-level (and arguing against purely regional sea-1070 level fluctuations). Fourth-order cyclicity seems to be related mainly to the 405 ka periodicity, 1071 which most likely represents long-period orbital eccentricity control on sea level and 1072 depositional cycles. Third-order cyclicity, expressed as time-synchronous sea level falls of 1073 ~ 20 to 110 m on $\sim 0.5-3.0$ Ma timescales in the Cretaceous (Haq, 2014) could be related to 1074 climate cycles on the long Milankovitch scale, i.e. 1.2 and 2.4 Ma orbital cycles (e.g. Bouilah 1075 et al., 2012; Wendler et al., 2014; Olde et al., 2015). Longer-term cycles, e.g. a 4.7 Ma band, 1076 are also archived in the carbon isotope records and may have influenced sea level (e.g. 1077 Sprovieri et al., 2013).

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1080 **3.2.** Cretaceous short-term eustatic changes as a stratigraphic tool

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Regional and global, short-term (<5 Ma) and long-term (>5 Ma) sea-level changes are displayed as sedimentary sequences in the geologic record, the character of which can be cyclic (i.e. having a certain frequency) or non-cyclic. Nonetheless, it is important to clarify that "sequence stratigraphy and eustasy are separate (although related) concepts" (Simmons, 2012, p. 240). The principles of sequence stratigraphy can, notwithstanding, be regionally (intra-basinal) applied without reference to driving mechanisms (Simmons, 2012). Sequence stratigraphy is based on attempts to subdivide sedimentary successions into packages relating
to changes in (eurybatic) sea-level at a variety of scales, while eustasy describes an
understanding of globally synchronous sea-level change (op. cit.).

1091 For supraregional correlations (i.e. inter-basinal global), to we need 1092 chronostratigraphic and/or geochonologic tools to correlate the sequences, that is to say, the 1093 sequences need to be rooted in time-stratigraphy for correlation (see Simmons, 2012). The 1094 signal of short-term eustatic sea-level change is theoretically well-suited for that purpose 1095 because it is global and synchronous. However, as this signal is cyclic, additional tools are 1096 needed to date and correlate respective repeating sequences, i.e. to provide a 1097 chronostratigraphic and geochronologic framework of sufficient resolution. Moreover, as 1098 elaborated in Chapter 2 above, the eurybatic sea-level change signal in the geologic record 1099 results from a complex combination of processes that can cancel out/decelerate or 1100 amplify/accelerate each other to produce an underlying eustatic signal. To differentiate 1101 eustatic from eurybatic signals is the main challenge in supraregional sequence stratigraphy 1102 and cyclostratigraphy.

1103 A primary application, and evidently connected to the sea-level reconstructions, is the 1104 wide usage of sequence stratigraphy in the petroleum industry (see Simmons, 2011, 2012). 1105 Here, primarily, sequence stratigraphy provides a tool for regional, mostly intra-basinal 1106 correlations and predictions combining various datasets within an integrated framework 1107 (Simmons 2012). However, from the huge amount of regional datasets available to the 1108 industry, a global sequence stratigraphic framework emerged out of regional studies around 1109 the world that led to the recognition of eustasy in the geologic record on the one hand, and a 1110 eustatic sea-level curve on the other hand (Hag et al., 1987; Hag, 2014; Hardenbol et al., 1111 1998). Although debatable in its details (e.g. Simmons, 2012 and references therein), this 1112 stresses the importance of synchronicity of processes in the geologic-stratigraphic record. In 1113 proving synchronicity, a global sequence stratigraphic scheme and sea-level curve becomes a

basi for chronostratigraphic correlation itself, especially if connected to other globally
applicable stratigraphic methods like chemostratigraphy (stable carbon and oxygen isotope
stratigraphy, e.g. Saltzman and Thomas, 2012; Grossman, 2012) or magnetostratigraphy (e.g.
Ogg, 2012).

It follows that time-rooted sequence stratigraphy is the link between eustasy and stratigraphy. On this basis, sequence stratigraphy is naturally connected to cyclostratigraphy, i.e. the study and application of astronomically forced cycles such as widely recognized 'Milankovitch cycles' (orbital timescales, astrochronology, e.g. Hilgen et al., 2015; Hinnov, 2013; Hinnov and Hilgen, 2012) of global climate and ocean circulation patterns that are displayed in sedimentary successions (sequences).

Astrochronology, as based on (climate) cyclostratigraphy, is one of the major stratigraphic tools for establishing a stable and high-precision geological time scale (e.g. GTS12, Gradstein et al., 2012; see also projects like EARTHTIME and Kuiper et al., 2008; Laskar et al., 2011; Waltham, 2015). In this respect, sea-level cycles that are related to Milankovitch-type orbital cycles, provide the means for absolute dating in the stratigraphic record, especially in the 100 ka and longer Milankovitch band cycle frequencies that have been demonstrated for Cretaceous sequences (e.g. Boulila et al., 2011; Wendler et al., 2014).

1131 Short-term climate cyclicity during the Cretaceous is recorded by cyclic sedimentation 1132 such as limestone-marl cycles with periods in the Milankovitch bands of mainly precession 1133 (ca. 20 ka) and eccentricity (100 and 405 ka) and longer such as 1.2 Ma and ca. 2.0 Ma. For 1134 the later part of the Late Cretaceous, from the Cretaceous-Paleogene boundary downwards, 1135 cyclostratigraphic records and astrochronology are well established (e.g. Hennebert et al., 1136 2009; Voigt and Schönfeld, 2010; Batenburg et al., 2014; Husson et al., 2011; Wagreich et al., 1137 2012). Downwards in the stratigraphic record, floating orbital time scales of various bits and 1138 pieces do exist (e.g. Martinez etal., 2013, 2015; Locklair and Sageman, 2009; Sageman et al., 1139 2006, 2014, Wissler et al., 2004).

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1142 **3.3.** Cretaceous cyclostratigraphy and marine to non-marine correlations

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1144 Progress in Cretaceous climate change and marine cyclostratigraphy (see above) as well as 1145 progress in non-marine (bio-)stratigraphy (e.g. Sames and Horne, 2012) has led to changing 1146 concepts, approaches and new hypotheses for proxies and methods for improved marine to 1147 non-marine correlations. In principle, these are based on the single synchronous, continuous 1148 signal recorded by various proxies in both marine and non-marine successions: 1149 astronomically forced, cyclic, short-term (<1 Ma) and medium-term (a few Ma) global 1150 climate change. Amongst others, such methods include the analysis of lake-level fluctuations 1151 that are considered to have an out-of-phase interrelationship with short-term sea-level 1152 fluctuations during "hothouse" climate ("limno-eustasy" of Wagreich et al., 2014).

1153 Another approach is non-marine "ecostratigraphy" in interdisciplinary approaches 1154 aimed at a non-marine cyclostratigraphy, with geochronologic and magnetostratigraphic 1155 control (e.g. Sames, 2015). The consideration that paleoenvironmental changes - which 1156 control assemblage changes of microfossils along with changes of lithological and 1157 geochemical parameters of corresponding sedimentary successions – are climatically and 1158 thus, ultimately, astronomically controlled, leads to the coherent approach that changes can be 1159 analysed for cyclicity and tested for cyclostratigraphic use. Due to the general ephemerality 1160 (on geologic timescales) and characteristic strong lateral facies change of non-marine 1161 deposits, analyses for cyclicities must be based on multiple proxies (e.g. Sames, 2015; 1162 research in progress).

Altogether, non-marine Cretaceous astrochronology is still in its infancy as to the number of studies available, and time intervals covered. Few studies do exist, mostly from long-term lake deposits (e.g. Wu et al., 2013). However, the relevant data basis in the nonmarine realm is constantly improving due to ongoing projects, such as the International Continental Drilling Programme (ICDP) Project in the Songliao Basin (NE China), the "Songliao Basin Drilling Project" (e.g. Wang et al., 2009). A time-calibrated non-marine Cretaceous cyclostratigraphy is considered an important tool for high-resolution marine to non-marine correlations in the near future, as well as an important contribution for unravelling short-term Cretaceous climate and sea-level change, e.g. further testing of the aquifer/limnoeustatic hypothesis (Wagreich et al., 2014; Wendler and Wendler, this volume).

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1175 **4. Conclusion and Perspectives**

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1177 Various regional and global processes influence sea level, which is the critical interface 1178 between three of Earth's main domains, the hydrosphere, the geosphere, and the atmosphere, 1179 and also a crucial zone of the biosphere. Sea level is also a critical interface with respect to its 1180 relevance for mankind. UNESCO IGCP 609 centers on the fossil greenhouse record of 1181 fluctuations in that interface, expressed in sedimentary cycles (sequences) governed by short-1182 term (<0.5 to 3 Ma) eustatic (i.e. global) sea-level changes of the Cretaceous. The Cretaceous, 1183 as the last prolonged greenhouse episode of Earth history, contains evidence for significant 1184 short-term eustatic sea-level fluctuations that follow Milankovitch cycles, i.e. in the fourth 1185 order (mainly 405 ka) and third order (mainly 1.2, 2.4 Ma) range. Provided chronological 1186 linking, these cyclic climate (and sea- and lake-level) fluctuations play an important role for 1187 high-resolution Cretaceous marine chronostratigraphy with considerable potential for marine 1188 to non-marine correlations.

Although continental ice may be the main driver for short-term sea level shifts during the early Early Cretaceous and the late Late Cretaceous with cool greenhouse conditions, the presence of large continental ice shields is highly unlikely for the warm greenhouse to

hothouse conditions during the mid-Cretaceous. Alternatively to glacio-eustasy, aquifer-1192 1193 eustasy may have played a significant role during Cretaceous hothouse times, for storing 1194 water as groundwater (and lakes) on the continents. This alternative mechanism must be 1195 tested in the stratigraphic record, i.e. by relating lake (groundwater) levels to sea level or by 1196 applying methods to identify the predominance of humid versus arid climates, i.e. by 1197 reconstructing continental weathering related to sequence stratigraphy. In this regard, marine 1198 to non-marine stratigraphic correlations with high resolution and precision, and based on 1199 Milankovitch climate cycles, has become an essential tool and a prerequisite for evaluating 1200 the aquifer-eustasic hypothesis.

1201 Identifying additional processes, especially those effective during greenhouse climate 1202 phases of the Earth System, and possibly contributing to recent sea-level rise due to 1203 atmospheric greenhouse gase accumulation and associated global warming, is a primary 1204 concern for society. To predict future sea-levels in the Anthropocene, we need a better 1205 understanding of the record of past sea-level change, especially given a shift from icehouse to 1206 greenhouse climate conditions. Calculations of rates of sea-level change during the 1207 Cretaceous greenhouse episode are challenging, but these rates of geologically short-term sea-1208 level change on a warm Earth will help to better evaluate recent global change and, further, to 1209 assess the role of feedback mechanisms on sea level.

1210 Water cycling between the oceans and continental aquifers, which may have exerted 1211 an important control (aquifer-eustasy) on mid-Cretaceous sea level, may also be important for 1212 modern and future, i.e. Anthropocene, sea-level change. For example, groundwater depletion 1213 during the past two decades contributed ~0.4–0.6 mm/a to global sea level rise (Konikow, 1214 2011; Wada et al., 2012). Such rates of groundwater transfer to the oceans are comparable to 1215 rates of water transfer from the cryosphere (Slangen et al., 2014), and induce an elastic 1216 deflection of the solid earth than can be detected geodetically (Jensen et al., 2013). During the 1217 past century, groundwater depletion into the oceans was partially offset by water 1218 impoundment within artificial reservoirs (Chao et al., 2008), which also led to significant 1219 solid earth deformations (Fiedler and Conrad, 2010). However, in the past few decades 1220 accelerating groundwater depletion has overwhelmed a slowing rate of water impoundment 1221 (Pokhrel et al., 2012), resulting in rates of continental water loss that could approach 1 mm/vr 1222 in the coming century (Wada et al., 2012). If perpetuated over millennia, such rates could 1223 eventually raise sea level by several meters. Thus far, groundwater depletion is thought to be 1224 primarily human-induced by groundwater pumping (Wada et al., 2010). However, given the 1225 possibility that the periodic drainage of continental aquifers into the oceans may have been an 1226 important aspect of the mid-Cretaceous greenhouse, it is possible that the same sort of 1227 aquifer-induced sea level variations may be important during future greenhouse conditions. 1228 Such aquifer-eustatic contributions to sea level could add to the thermo-eustasic and glacio-1229 eustasic contributions that are already expected for a warmer future climate, and elevate 1230 projected future sea level beyond current expectations. Such a possibility adds urgency to 1231 understanding the mechanisms that governed sea level change during greenhouse climates in 1232 Earth's geologic past, such as during the mid-Cretaceous.

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1238

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1931 FIGURE CAPTIONS

1932

Fig. 1. Scheme of interrelationships of global-, regional and local-scale processes and factors
that contribute to eurybatic and eustatic sea-level changes (strongly modified after Shennan,
2015), with focus on short-term effects (<3 Ma). (A) Simple relationship scheme.
"Lithospheric movements" comprising all tectonic-related plate movements. B) Complex
relationship scheme. Note that geoid contributions are not included. Abbreviations: MORBs –
Middle ocean ridge basalts; LIPs – Large igneous provinces (here submarine basalt plateaus).

1939

1940

Fig. 2. Water volumes in the Earth system based on estimates by Hay and Leslie (1990).
Water volumes are given in million km³. General average eustatic sea-level change values and
respective water volumes are given for greenhouse and icehouse climate states.

1944

1945 Fig. 3. Overview of mechanisms influencing regional (local/relative or eurybatic) and global 1946 (eustatic) sea levels and sea-level changes and their operative timescales, equivalent water or 1947 water displacement volumes, respectively, and the orders of magnitude of corresponding sea-1948 level changes, potential extent of related sea-level changes, and considered relevance of each 1949 respective mechanism to the Cretaceous period (modified from Cloetingh and Haq, 2015; 1950 compiled including data from Jacobs and Sahagian, 1993; Miller et al., 2011; Hay and Leslie 1951 1990; Dewey and Pitman, 1997; Conrad, 2013). All these estimates are continuously debated and remain object to change to different degrees. Values and value ranges given are 1952 1953 estimations for recent and geologic times, except for water volume equivalents for 1954 "continental glaciations/deglaciations" and "continental water-storage and -release", which 1955 are recent estimates only (cf. Chapter 2 also). The amplitude estimation for "continental 1956 glaciations/deglaciations" considers the whole Phanerozoic. Operative timescales column: a) 1957 All climate-related changes in ocean water volume (thermal expansion, continental 1958 glaciation/deglaciation, continental water storage and release) could operate on much longer 1959 timescales as well (10-100 Ma) as climate also fluctuates on those timescales 1960 (transition/changes between climate modes); b) The solid-Earth components given 1961 (mantle/lithosphere interactions, intraplate deformation, dynamic topography) are for 1962 eurybatic sea level, higher values (additional cipher in brackets) for eustatic sea level; c) 1963 Elastic rebound of lithosphere is instantaneous and relevant timescales are the rate of mass 1964 loading, which are associated with climate change (decades or Milankovitch-cycle scales). 1965 Abbreviations for units of time follow the "IUPAC-IUGS Recommendations 2011" (Holden 1966 et al., 2011) in that the same units (a = year, ka = 1000 years, Ma = 1 million years) are 1967 applied to express both absolute time and time duration. Magnitude of eustatic sea-level is in 1968 meters (m). Abbreviations: GIA - Glacial Isostatic Adjustment; MORB - Middle Ocean 1969 Ridge Basalts; LIP - Large Igneous Provinces (here submarine basalt plateaus). (*) 1970 insufficient temporal resolution.

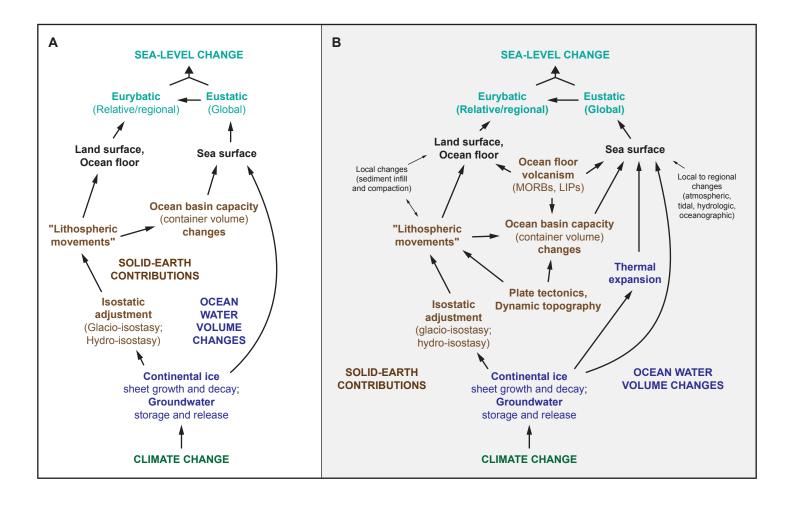
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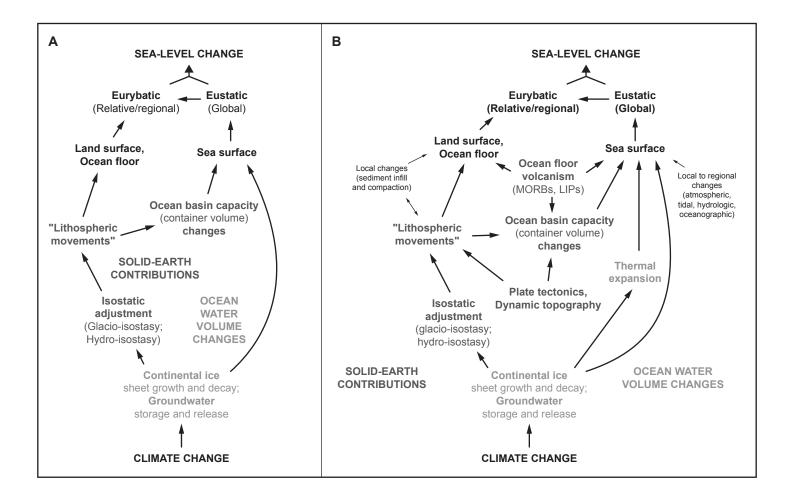
1972 Fig. 4: Comparative log-scale diagram sketches (!) of the timing and amplitudes of major 1973 geologic mechanisms for driving eustatic sea-level changes during icehouse (left) and 1974 greenhouse (right) climate modes, respectively (modified from Miller et al., 2005a; based on 1975 data from various authors including, among others, Hay and Leslie, 1990; Jacobs and 1976 Sahagian, 1993; J. Wendler, unpublished; Wendler and Wendler, this volume; see also Figs. 1977 1, 2 and 3 herein). The focus here is on short-term processes in relation to cyclic climate 1978 change (3rd- to 4th-order cycles). Note that these diagrams are rough sketches to illustrate (1) 1979 eustatic sea-level change efficacy (amplitude) of selected factors relative to each other in the 1980 two different climate regimes, and (2) ranges of their main relevance in the geologic record (timing vs. amplitude), i.e. at short-term (4th- and 3rd-order cycles) or long-term (2nd-order cycles) scales. These are sketches intended to give important dimensions of mechanisms and processes, not to be read as a true graphical representation of measured or calculated data (in which case all components also would have to start at the point of origin). Dashed lines give dimensions of efficacy that are of lesser relevance in the Cretaceous. The dominant processes for short-term eustatic sea-level change are glacio-eustasy during icehouse phases on the one hand and aquifer-eustasy during warm greenhouse phases on the other hand.

1988

1989 Fig. 5. Log-scale diagram of timing vs. rates (with minima and maxima if avalable from the 1990 same authors) of sea-level changes as inspired by a figure of Matt Hall (2011 in the "Agile 1991 Geoscience" http://www.agilegeoscience.com/blog/2011/4/11/scales-of-sea-level-Blog, 1992 change.html, accessed 08-10-2015). Rates calculated from respective sea-level amplitudes 1993 divided by duration and converted to meters per 1000 years, based on data compiled after 1994 Emery and Aubry (1991: 1), Conrad (2013: 2) and Cloetingh and Haq (2015: 3), herein: 4, 1995 Wendler and Wendler (this volume: 5). Overlaping circles have the same values (center of 1996 overlap). "Recent" refers to an average of the last 20–30 years.

Figure 1





EARTH'S TOTAL WATER: 1386

Greenhouse climate sea-level fluctuation

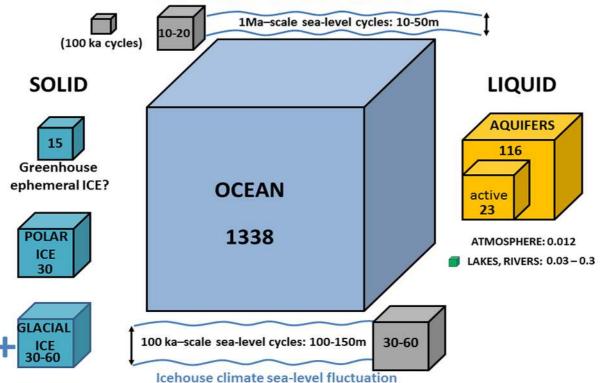


Figure 3

| MECHANISMS | Operative timescales | Water volume equivalents | Orders of magnitude in eustatic sea level | Potential extent | Cretaceous relevance |
|---|-------------------------|--|---|------------------|----------------------|
| Changes in ocean water volume | | | | | |
| Thermal expansion (thermo-steric effect) | 1 to 10.000 a | ~ 0.2 × 10 ⁶ km³/1°C | ~ 5 to 10 m | Global | ? (*) |
| Continental glaciations/deglaciations | <0.01 to 0.1 Ma | ~ 25 × 10 ⁶ km ³ | ~ 50 m to 250 m | Global | ? |
| Continental water storage and release | <0.01 Ma | 24 to 30 × 10 ⁶ km ³ | 10 to 50 (80?) m | Global | ? |
| Water exchange with (deep) mantle | 0.1? to 1 Ma/1 Ga | Unknown | Unknown | Global? | moderate |
| Changes in container volume (capacity) of ocean basins | | | | | |
| GIA: Elastic rebound of lithosphere | instantaneous | n/a | Up to 100 m | Regional | ? |
| GIA: Viscous mantle flow | 0.0001 to 0.1 Ma | n/a | Up to 100 m | Regional | ? |
| Mean age of oceanic crust | 50 to 100 Ma | n/a | 100 to 300 m | Global | high |
| MORB production rate changes | 50 to 100 Ma | Up to 30 × 10 ⁶ km ³ | 100 to 300 m | Global | high |
| Ocean floor volcanism (LIPs) | 1(0) to 10(0) Ma | n/a | 50 to 100 (500? to 1000?) m | Global | high |
| Mantle/lithosphere interactions | 1(0) to 10(0) Ma | ? | 10 to 30/100 (<1000) m | Regional/global | high |
| Intraplate deformation | 1(0) to 10(0) Ma | ? | 10 to 30/100 (<1000) m | Regional/global | high |
| Dynamic topography | >5 (10 to 100) Ma | ? | 100 to 300 (<1000) m | Regional/global | high |
| Sediment infill | 50 to 100 Ma | ~ 25 × 10 ⁶ km ³ | 50 to 100 m | Global | low |

Figure 4

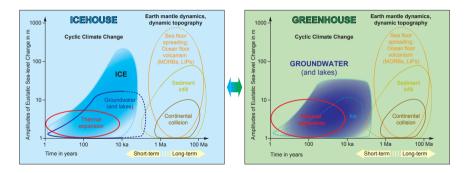


Figure 5

