RIGHT-ORDERABLE DECK TRANSFORMATION GROUPS F. THOMAS FARRELL

0. Introduction. Let $p: E \to B$ be a regular covering space such that E is path connected, and B is a Hausdorff, paracompact space with a countable fundamental group. Also let R denote the real line, and $q: B \times \mathbb{R} \to B$ be projection onto the first factor.

QUESTION. Does there exist an embedding $f: E \rightarrow B \times \mathbb{R}$ such that the composite of f with q is p?

We show that the answer to this question is yes, if and only if $\pi_1 B/p_{\#}\pi_1 E$ is a right-orderable group.

In addition, if B happens to be a manifold and $\pi_1 B/p_{\#}\pi_1 E$ is right orderable, then we show that $B \times \mathbf{R}$ can be foliated so that at least one of its leaves is a one-to-one continuous image of E, and the remaining leaves are one-to-one continuous images of intermediate covering spaces of B.

Rubin [10] had previously answered an important case of this Question. Namely he considered the universal cover of any space homotopically equivalent to a countable wedge of circles. Rubin's covering space result played a key role in the proof by R. D. Edwards and R. T. Miller [3] that cell-like closed-0-dimensional decompositions of R^3 are R^4 factors. Also Edwards and Miller extended Rubin's result to answer the above Question when $\pi_1 B/p_{\#}\pi_1 E$ is a countable free group.

1. Preliminary facts about right-orderable groups.

1.1. DEFINITION. A right-ordered group is a pair (G, >) where G is a group, and > is a total order on G, such that for all x, y, and z in G, x > y implies that xz > yz. A group G is right-orderable, if there exists an order > such that (G, >) is a right-ordered group.

The following basic facts about right-orderable groups can be found in [1] and [4].

1.2. Right-orderable groups are torsion-free.

1.3. Any free group is right-orderable. Also any free abelian group is right-orderable.

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1.4. Any extension of a right-orderable group by a right-orderable group is right-orderable.

1.5. EXAMPLE. By 1.3 and 1.4, the fundamental group of any closed 2-dimensional manifold, other than the sphere and the projective plane, is a right-orderable group.

We will need the next result in § 2.

1.6. LEMMA. If S is a countable, totally ordered set, then there is an order-preserving injection $f: S \rightarrow \mathbf{R}$, such that the image of f is a discrete subspace of \mathbf{R} .

PROOF. By adjoining two extra elements $\pm \infty$ to S, we can form a totally ordered set S', with maximal element $+\infty$ and minimal element $-\infty$, into which S order-preservingly injects. Hence we may as well assume that S has both a maximal and a minimal element.

Let x_0, x_1, x_2, \cdots be an enumeration of the elements of S, such that x_0 is its minimal element, and x_1 is its maximal element; let $S_n = \{x_i \mid i \leq n\}$. For each integer $n \geq 2$, let x_n^- be the largest element in S_n which is smaller than x_n , and let x_n^+ be the smallest element in S_n which is larger than x_n .

Denote the Cantor middle-third set by C. Then [0, 1] - C is the disjoint union of a collection \mathcal{I} of open intervals. If I is in \mathcal{I} , we denote its length by |I| and its midpoint by b_I . For each integer $n \ge 2$, let

$$A_n = \{I \in \mathcal{I} \mid |I| = 3^{1-n}\} \text{ and } B_n = \{b_I \mid I \in A_n\}.$$

In addition, define

$$B_0 = \{-2\}, B_1 = \{2\}, \text{ and } B = \bigcup_{n \ge 0} B_n$$

Note that B is a discrete subset of R. Also notice that if n > 1, and

$$x, y \in \bigcup_{n>i} B_i \text{ with } x > y,$$

then there exists an element z in B_n such that x > z > y.

We now inductively define an order-preserving function $f: S \to B$ such that $f(x_n) \in B_n$. Start by putting $f(x_0) = -2$ and $f(x_1) = 2$. If $f(x_0), f(x_1), \dots, f(x_{n-1})$ have already been defined, then let $f(x_n)$ be the smallest element b in B_n such that $f(x_n^+) > b > f(x_n^-)$.

The remainder of this section will be used only in § 3.

1.7. DEFINITION. An order > on a set S is said to be fine if, for each pair of points x > y, there exists a third point z such that x > z > y, and S contains neither a first nor a last point.

1.8. LEMMA. If S is a countable set and > is a fine total order on S, then there exists an order-preserving injection $f: S \rightarrow R$ whose image is dense in R.

The proof of this fact is left to the reader. It is similar to and easier than the proof of Lemma 1.6.

1.9. LEMMA. If (G, >) is a countable, right-ordered group, then there exists an order-preserving monomorphism $f: G \to H$ where (H, >) is a countable right-ordered group and > is a fine order.

PROOF. Let Q be the additive group of rational numbers, then H can be chosen to be the direct sum $G \oplus Q$ lexicographically ordered, i.e., (a, b) > (c, d) if and only if either a > c, or a = c and b > d. And we can take f(x) to be (x, 0).

In the next lemma, G has the discrete topology.

1.10. LEMMA. If G is a countable, right-orderable group, then \mathbf{R} has a right G-space structure with at least one of its isotropy subgroups trivial.

PROOF. Let > be an order on G so that (G, >) is right-ordered. By Lemma 1.9, it suffices to consider the case when > is fine. Also, by Lemma 1.8, we can identify G with a dense subset of **R**. We proceed to define, for each x in G, a homeomorphism $f(\ , x) : \mathbf{R} \to \mathbf{R}$. For r in G, define f(r, x) to equal rx. Since $f(\ , x) : \mathbf{G} \to \mathbf{G}$ is an orderpreserving bijection, and G is dense in **R**, $f(\ , x)$ has a unique extension to a homeomorphism of **R**. And it is easy to check that $f: \mathbf{R} \times \mathbf{G} \to \mathbf{R}$ is a G-space.

2. The main result. We begin by fixing some notation and assumptions to be used throughout this section. Let E be a path connected space with base point e_0 , and $p: E \to B$ a regular covering space; i.e., a principal bundle with discrete structure group. (See [11], page 70, for this definition.) Assume that B is a Hausdorff paracompact space with a countable fundamental group and base point $b_0 = p(e_0)$. Use G to denote $\pi_1(B, b_0)/p_{\#}\pi_1(E, e_0)$. Then we identify G with the group of deck transformations as follows. Let T be a deck transformation and choose a path α from e_0 to $T(e_0)$. Then p composed with α is some closed curve γ in B based at b_0 . The map which sends T to the equivalence class represented by γ in G is our posited isomorphism. Finally, if $x \in G$ and S is either a subset or a point of E, then xS denotes the image of S under the action of x.

2.1. LEMMA. If there exists a continuous function $h: E \to \mathbb{R}$ such that the map $f: E \to B \times \mathbb{R}$ defined by f(a) = (p(a), h(a)) is an injection, then G is right-orderable. If, in addition, the image of f is a closed subset of $B \times \mathbb{R}$, then G is either trivial or infinite cyclic.

PROOF. Define a total order on G as follows: x > y, if and only if $h(xe_0) > h(ye_0)$. We proceed, via proof by contradiction, to show that (G, >) is a right-ordered group. Thus assume that x, y, and z are elements in G such that x > y, but yz > xz.

Let γ be a loop in B based at b_0 whose equivalence class in $\pi_1(B, b_0)/p_{\#}\pi_1(E, e_0)$ is z. Lift γ to paths γ_1 and γ_2 in E such that $\gamma_1(0)$ is xe_0 and $\gamma_2(0)$ is ye_0 ; then $\gamma_1(1)$ is xze_0 and $\gamma_2(1)$ is yze_0 .

Consider the function $\ell : [0,1] \to R$ defined by $\ell(t) = h\gamma_2(t) - h\gamma_1(t)$. Since $\ell(1) > 0 > \ell(0)$, there exists a real number t_0 such that $\ell(t_0)$ is zero. Therefore $f\gamma_1(t_0)$ equals $f\gamma_2(t_0)$; hence, $\gamma_1(t_0)$ equals $\gamma_2(t_0)$. But two liftings of γ which agree at one point must agree everywhere. This implies that x equals y, which is the desired contradiction.

Now we continue under the added assumption that the image of f is closed. Therefore $\varphi: G \to \mathbf{R}$ defined by $\varphi(x) = h(xe_0)$ is an orderpreserving bijection onto a closed subset S of **R**. Hence, either > is fine, or the positive elements of G form a well-ordered set. The second possibility can occur only when G is either trivial or infinite cyclic. On the other hand, the first possibility implies that S is perfect. And S cannot be perfect since it is a countable set.

2.2 LEMMA. If B is a locally finite simplicial complex and G is a right-orderable group, then there exists a continuous function $h: E \rightarrow \mathbf{R}$ such that the map $f: E \rightarrow B \times \mathbf{R}$ defined by f(a) = (p(a), h(a)) is an embedding.

PROOF. Put on *E* the simplicial structure induced from the one of *B* via p, i.e., the simplexes are the liftings to *E* of the simplexes in *B*, and p becomes a simplicial map. For each vertex v of *B*, choose a point in $p^{-1}(v)$ and denote it by v'.

Let > be an order on G such that (G, >) is right-ordered. Then, by Lemma 1.6, there is an order-preserving injection $\varphi : G \rightarrow \mathbf{R}$ whose image is a discrete subset of **R**.

We define h on the vertices of E as follows. For each vertex v of B and each element x in G, let h(xv') be $\varphi(x)$. Then we linearly extend h to the rest of E. To be specific, consider the barycentric representation of a point c in E, i.e.,

$$c = t_0 x_0 v_0' + t_1 x_1 v_1' + \cdots + t_n x_n v_n',$$

where $t_i \in [0, 1]$, $x_i \in G$, and the v_i are vertices in B, such that $t_0 + t_1 + \cdots + t_n = 1$ and $x_0v_0', x_1v_1', \cdots, x_nv_n'$ are the vertices of a simplex in E containing c. Then define h(c) to equal

$$t_0\varphi(x_0) + t_1\varphi(x_1) + \cdots + t_n\varphi(x_n).$$

We will show that f is an injection. (And leave the reader to check that f is an embedding.) To do this, suppose that c and d are distinct elements in E such that p(c) = p(d). And let σ be a simplex of B containing p(c). Then denote by σ_1 and σ_2 the simplexes in E such that $c \in \sigma_1$, $d \in \sigma_2$, and $p(\sigma_1) = p(\sigma_2) = \sigma$. Thus there exists an element x in G such that $x\sigma_1 = \sigma_2$ and $x^- \sigma_2 = \sigma_1$. Let e denote the identity element of G, then either x > e or $x^{-1} > e$; hence, by symmetry, we may assume that x > e.

Let v_0, v_1, \dots, v_n be the vertices of σ , then there exists elements x_i in G such that the points x_iv_i' are the verticies of σ_1 ; since $x\sigma_1 = \sigma_2$, the vertices of σ_2 are the points xx_iv_i' where $i = 0, 1, \dots, n$. Thus c can be written in barycentric co-ordinates as

$$c = t_0 x_0 v_0' + t_1 x_1 v_1' + \cdots + t_n x_n v_n',$$

where each $t_i \in [0, 1]$ and $t_0 + t_1 + \cdots + t_n = 1$; consequently,

$$d = t_0 x x_0 v_0' + t_1 x x_1 v_1' + \cdots + t_n x x_n v_n',$$

since p(c) = p(d). Therefore,

$$h(c) = t_0\varphi(x_0) + t_1\varphi(x_1) + \cdots + t_n\varphi(x_n),$$

while

$$h(d) = t_0 \varphi(xx_0) + t_1 \varphi(xx_1) + \cdots + t_n \varphi(xx_n).$$

But x > e implies that $xx_i > x_i$, and $\varphi(xx_i) > \varphi(x_i)$ for $i = 0, \dots, n$; hence h(d) > h(c). And this shows that f is an injection.

2.3. THEOREM. There exists a continuous function $h: E \to \mathbb{R}$ such that the map $f: E \to B \times \mathbb{R}$ defined by f(a) = (p(a), h(a)) is an embedding, if and only if G is a right-orderable group.

PROOF. There exists a connected, locally finite simplicial complex X whose universal covering space $p': X' \to X$ classifies principal G-bundles over Hausdorff, paracompact base spaces. (To see this, use [8, Th. 5.1] together with [2, Th. 7.5] and [9, Th. 1].) Thus there exist continuous functions $l: B \to X$ and $l': E \to X'$, such that p'l' = lp, and such that the function $k: E \to B \times X'$ defined by k(a) = (p(a), l'(a)) is an embedding onto a closed subset of $B \times X'$.

Assume that G is right-orderable, then Lemma 2.2 is applicable to $p': X' \to X$. Thus we obtain a continuous function $h': X' \to \mathbf{R}$ such that the map $f': X' \to X \times \mathbf{R}$ defined by f'(a) = (p'(a), h'(a)) is an embedding. Let h be the composite of \mathfrak{l}' with h', then it is easily verified that f is an embedding.

The other half of Theorem 2.3 is an immediate consequence of Lemma 2.1.

2.4. COROLLARY. There exists a continuous function $h : E \to \mathbf{R}$, such that the map $f : E \to B \times \mathbf{R}$ defined by f(a) = (p(a), h(a)) is a homeomorphism onto a closed subset of $B \times \mathbf{R}$, if and only if G is either trivial or infinite cyclic.

PROOF. If G is trivial, then h can be chosen to be identically zero. If G is infinite cyclic, then the space X used in the proof of Theorem 2.3 can be taken to be the circle S^1 . In which case, X' is **R**, and we can choose h to be ℓ' .

The other half of Corollary 2.4 is a consequence of Lemma 2.1.

3. A foliation of $M \times R$. We recall the definition of a codimension one foliation from [7]. (Lawson's paper is a good general reference on foliations.)

3.1. DEFINITION. By a topological codimension one foliation of an *m*-dimension manifold W we mean a decomposition of W into a union of disjoint connected subsets $\{\mathcal{L}_i\}_{i \in I}$, called the leaves of the foliation, with the following property: Every point in W has a neighborhood U and a system of local coordinates $x = (x^1, \dots, x^m) : U \to \mathbb{R}^m$ such that for each leaf \mathcal{L}_i , each component of $U \cap \mathcal{L}_i$ is described by an equation of the form $x^m = \text{constant}$.

Let $p: E \to M$ be a regular covering space, where M is a manifold, E is connected, and $G = \pi_1 M / p \# \pi_1 E$ is right-orderable. Also let $q: M \times \mathbf{R} \to M$ denote projection onto the first factor.

3.2. THEOREM. There is a topological codimension one folidation of $M \times \mathbf{R}$ whose leaves \mathcal{L}_i are indexed by some set I such that, to each $i \in I$, there corresponds an intermediate covering space $p_i : E_i \to M$, and a continuous bijection $f_i : E_i \to \mathcal{L}_i$ with $p_i = qf_i$; furthermore, there is at least one index i with $p_i : E_i \to M$ equal to $p : E \to M$.

PROOF. Put on **R** the right G-space structure posited in Lemma 1.10. (Since M is a connected manifold, G is countable; hence, Lemma 1.10 is applicable.) Then form the bundle $p': E' \to M$ with fibre **R** associated to the principal G-bundle $p: E \to M$. Note that E' is the quotient space of $\mathbf{R} \times E$ via the identifications (rx, a) = (r, xa), where

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 $r \in \mathbf{R}, x \in G$, and $a \in E$. (Here we have reversed, for convenience, the customary procedure in which the group of a principal bundle acts on the right side of its total space and on the left side of its associated fibre. See Chapter 4 of [6] for basic material on principal bundles.) It is easily seen that E' has a foliation possessing the properties described in Theorem 3.2. (The leaves of this foliation are in 1-1 correspondence with the orbits of the action of G on \mathbf{R} .)

Let Top **R**, Top(0, 1), and Top[0, 1] denote the order-preserving homeomorphisms of **R**, (0, 1), and [0, 1] respectively. Put on Top[0, 1] the topology of uniform convergence and topologize Top(0, 1) by the natural identification of Top(0, 1) with Top[0, 1]. Fix a homeomorphism $f: \mathbf{R} \to (0, 1)$; identify Top **R** to Top(0, 1) via conjugation with f; and thus induce a topology on Top **R**. (This topology is independent of f.) Since G acts on **R** via elements from Top **R**, we can *enlarge* the structure group of $p': E' \to M$ from G to Top **R**. But by Theorem 1.1.1 of [5] Top **R** is contractible, hence $p': E' \to M$ is topologically trivial; i.e., there exists a homeomorphism $k: E' \to M \times \mathbf{R}$ with p' = qk. Thus the foliation of E' induces, via k, the desired foliation of $M \times \mathbf{R}$.

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