

## RIGIDITY OF GRADIENT ALMOST RICCI SOLITONS

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ABSTRACT. In this paper, we show that either, a Euclidean space  $\mathbb{R}^n$ , or a standard sphere  $\mathbb{S}^n$ , is the unique manifold with nonnegative scalar curvature which carries a structure of a gradient almost Ricci soliton, provided this gradient is a non trivial conformal vector field. Moreover, in the spherical case the field is given by the first eigenfunction of the Laplacian. Finally, we shall show that a compact locally conformally flat almost Ricci soliton is isometric to Euclidean sphere  $\mathbb{S}^n$  provided an integral condition holds.

### 1. Introduction and statement of the results

The study of almost Ricci soliton was introduced by Pigola et al. [8], where essentially they modified the definition of Ricci solitons by adding the condition on the parameter  $\lambda$  to be a variable function, more precisely, we say that a Riemannian manifold  $(M^n, g)$  is an almost Ricci soliton, if there exist a complete vector field  $X$  and a smooth soliton function  $\lambda : M^n \rightarrow \mathbb{R}$  satisfying

$$(1.1) \quad \text{Ric} + \frac{1}{2} \mathcal{L}_X g = \lambda g,$$

where  $\text{Ric}$  and  $\mathcal{L}$  stand, respectively, for the Ricci tensor and the Lie derivative. We shall refer to this equation as the fundamental equation of an almost Ricci soliton  $(M^n, g, X, \lambda)$ . It will be called *expanding*, *steady* or *shrinking*, respectively, if  $\lambda < 0$ ,  $\lambda = 0$  or  $\lambda > 0$ . Otherwise, it will be called *indefinite*. When the vector field  $X$  is a gradient of a smooth function  $f : M^n \rightarrow \mathbb{R}$  the manifold will be called a gradient almost Ricci soliton. In this case, the preceding equation becomes

$$(1.2) \quad \text{Ric} + \nabla^2 f = \lambda g,$$

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where  $\nabla^2 f$  stands for the Hessian of  $f$ . Sometimes classical theory of tensorial calculus is more convenient to make computations. Then, we can write the fundamental equation in this language as follows

$$(1.3) \quad R_{ij} + \nabla_i \nabla_j f = \lambda g_{ij}.$$

Moreover, when either the vector field  $X$  is trivial, or the potential  $f$  is constant, the almost Ricci soliton will be called *trivial*, otherwise it will be a *nontrivial* almost Ricci soliton. We notice that when  $n \geq 3$  and  $X$  is a Killing vector field an almost Ricci soliton will be a Ricci soliton, since in this case we have an Einstein manifold, from which we can apply Schur's lemma to deduce that  $\lambda$  is constant. Taking into account that the soliton function  $\lambda$  is not necessarily constant, certainly comparison with soliton theory will be modified. In particular, the rigidity result contained in Theorem 1.3 of [8] indicates that almost Ricci solitons should reveal a reasonably broad generalization of the fruitful concept of classical soliton. In fact, we refer the reader to [8] to see some of these changes.

In the direction to understand the geometry of almost Ricci soliton, Barros and Ribeiro Jr. proved in [1] that a compact gradient almost Ricci soliton with nontrivial conformal vector field is isometric to a Euclidean sphere. In the same paper, they proved an integral formula for compact case, which was used to prove several rigidity results, for more details see [1].

Next, we shall give examples of almost Ricci soliton whose soliton function  $\lambda$  is not constant.

**EXAMPLE 1 (Compact case).** In this case, a simple example appeared in [1]. It was built over the standard sphere  $(\mathbb{S}^n, g_0)$  endowed with the conformal vector field  $X = a^\top$ , where  $a$  is a fixed vector in  $\mathbb{R}^{n+1}$  and  $a^\top$  stands for its orthogonal projection over  $T\mathbb{S}^n$ . We notice that  $a^\top$  is the gradient of the right function  $h_a$ ; for more details see the quoted paper.

It is well known that all compact 2-dimensional Ricci solitons are trivial. However, the previous example gives that there exists a nontrivial compact 2-dimensional almost Ricci soliton. The next example concerns to a noncompact almost Ricci soliton.

**EXAMPLE 2 (Noncompact case).** Let us consider the warped product manifold  $M^{n+1} = \mathbb{R} \times_{\cosh t} \mathbb{S}^n$  with metric  $g = dt^2 + \cosh^2 t g_0$ , where  $g_0$  is the standard metric of  $\mathbb{S}^n$ . Taking  $(M^{n+1}, g, \nabla f, \lambda)$ , where  $f(x, t) = \sinh t$  and  $\lambda(x, t) = \sinh t + n$ , we can prove, by using Lemma 1.1 of [8], that  $(M^{n+1}, g, \nabla f, \lambda)$  is an almost Ricci soliton.

In particular, in [8] it was proved that there are complete manifolds that do not support an almost soliton structure; see Example 1.4 in the quoted article.

Now we present a strong characterization to a gradient almost Ricci soliton. Moreover, on the compact case, essentially we have the manifold presented at Example 1.

**THEOREM 1.** *Let  $(M^n, g, \nabla f, \lambda), n \geq 3$ , be a gradient almost Ricci solitons with nonnegative scalar curvature. If  $\nabla f$  is a nontrivial conformal vector field, then we have:*

- (1) *Either,  $M^n$  is isometric to a Euclidean space  $\mathbb{R}^n$ .*
- (2) *Or,  $M^n$  is isometric to a Euclidean sphere  $\mathbb{S}^n$ . In this case, up to constant,  $f$  is a first eigenfunction of the Laplacian and  $\lambda = -\frac{R}{n(n-1)}f + \kappa$ , where  $\kappa$  is a constant.*

As a consequence of this theorem, we obtain the following corollary.

**COROLLARY 1.** *Let  $(M^n, g, \nabla f, \lambda), n \geq 3$ , be a nontrivial compact gradient almost Ricci soliton. Then,  $M^n$  is isometric to a Euclidean sphere  $\mathbb{S}^n$  and, up to constant,  $f$  is a first eigenfunction of the Laplacian and  $\lambda = -\frac{R}{n(n-1)}f + \kappa$ , where  $\kappa$  is a constant, provided:*

- (1)  *$M^n$  has constant scalar curvature.*
- (2)  *$M^n$  is homogeneous.*

Moreover, for a compact gradient almost Ricci soliton surface with non-positive Gaussian curvature we have the following rigidity result.

**THEOREM 2.** *Every compact gradient almost Ricci soliton surface with non-positive Gaussian curvature is trivial.*

In [3], Catino proved that a locally conformally flat gradient almost Ricci soliton, around any regular point of  $f$ , is locally a warped product with  $(n-1)$ -dimensional fibers of constant sectional curvature. Considering such a compact gradient almost Ricci soliton we have the following theorem.

**THEOREM 3.** *Let  $(M^n, g, \nabla f, \lambda)$  be a locally conformally flat compact almost Ricci soliton. If  $dV_g$  denotes the Riemannian volume form of  $M^n$  and*

$$(1.4) \quad -\int_M R\Delta\lambda e^{-f} dV_g \geq n(n-1) \int_M |\nabla\lambda|^2 e^{-f} dV_g,$$

*then  $M^n$  isometric to a Euclidean sphere  $\mathbb{S}^n$ .*

For instance, it is an interesting problem to prove that assumption (1.4) in Theorem 3 can be removed. As a consequence of Theorem 3 we obtain the following corollary.

**COROLLARY 2.** *Let  $(M^n, g, \nabla f, \lambda)$  be a compact almost Ricci soliton satisfying condition (1.4). If  $Y$  is a Killing vector field on  $M$ , then, either  $D_Y f$  is constant or  $M^n$  is isometric to a Euclidean sphere  $\mathbb{S}^n$ .*

### 2. Preliminaries and some basic results

In this section, we shall present some preliminaries that will be useful for the establishment of the desired results. First, taking into account that  $\operatorname{div}(hI)(Y) = \langle \nabla h, Y \rangle$ , where  $h : M^n \rightarrow \mathbb{R}$  is a smooth function and  $Y \in \mathfrak{X}(M)$ , we recall the next identity for an almost Ricci soliton  $(M^n, g, X, \lambda)$ , that was proved by Barros and Ribeiro Jr. in [1]:

$$(2.1) \quad \frac{1}{2} \Delta_X |X|^2 = |\nabla X|^2 - \lambda |X|^2 - (n - 2)g(\nabla \lambda, X),$$

where  $\Delta_X = \Delta - D_X$  is the diffusion operator.

As a consequence of this identity, we obtain the following corollary.

**COROLLARY 3.** *Let us suppose that  $(M^n, g, X, \lambda), n \geq 3$ , is an expanding almost Ricci soliton, for which  $|X|$  achieves its maximum. If  $g(\nabla \lambda, X) \leq 0$ , then  $(M^n, g)$  is an Einstein manifold. In particular, an expanding or steady Ricci soliton, for which  $|X|$  attains its maximum is an Einstein manifold.*

*Proof.* We notice that we can apply the maximum principle to guarantee that  $\nabla X = 0$ . Thus  $\mathcal{L}_X g = 0$ , which gives  $\operatorname{Ric} = \lambda g$ , that is,  $(M^n, g)$  is an Einstein manifold. □

Now we claim that

$$(2.2) \quad \begin{aligned} \Delta R_{ik} &= \langle \nabla R_{ik}, \nabla f \rangle + \lambda R_{ik} - 2R_{ijks}R^{js} + R_{is}R_k^s \\ &\quad + \nabla_k \nabla_i \left( \frac{R}{2} - \lambda \right) - \nabla_k R_{si} \nabla^s f + \Delta \lambda g_{ik}. \end{aligned}$$

In fact, since  $\Delta R_{ik} = g^{jk} \nabla_k \nabla_j R_{ik} = \nabla^j \nabla_j R_{ik}$  we have

$$\begin{aligned} \Delta R_{ik} &= \nabla^j (\nabla_i R_{jk} + R_{ijks} \nabla^s f + \nabla_j \lambda g_{ik} - \nabla_i \lambda g_{jk}) \\ &= \nabla^j \nabla_i R_{jk} + \nabla^j R_{ijks} \nabla^s f + R_{ijks} \nabla^j \nabla^s f + \Delta \lambda g_{ik} - g^{js} \nabla_s \nabla_i \lambda g_{jk} \\ &= \nabla^j \nabla_i R_{jk} + \nabla R_{ijks} \nabla^j \nabla^s f + \Delta \lambda g_{ik} - \nabla_k \nabla_i \lambda \\ &= \nabla_i \nabla^j R_{jk} + R_{ijs}^j R_k^s + R_{ikj}^j R_s^s - \nabla_k R_{si} \nabla^s f + \nabla_s R_{ki} \nabla^s f \\ &\quad + R_{ijks} \nabla^j \nabla^s f + \Delta g_{ik} - \nabla_k \nabla_i \lambda \\ &= \nabla_i \nabla^j R_{jk} + R_{is} R_k^s + R_{ikj}^j R_s^s - \nabla_k R_{si} \nabla^s f + \nabla_s R_{ki} \nabla^s f \\ &\quad + R_{ijks} \nabla^j \nabla^s f + \Delta \lambda g_{ik} - \nabla_k \nabla_i \lambda \\ &= \frac{1}{2} \nabla_i \nabla_k R + R_{is} R_k^s + R_{ikj}^j R_s^s - \nabla_k R_{si} \nabla^s f + \langle \nabla R_{ik}, \nabla f \rangle \\ &\quad - R_{ijks} R^{js} + \lambda R_{ik} + \Delta \lambda g_{ik} - \nabla_k \nabla_i \lambda \\ &= \langle \nabla R_{ik}, \nabla f \rangle + \lambda R_{ik} - 2R_{ijks} R^{js} + R_{is} R_k^s + \frac{1}{2} \nabla_k \nabla_i R \\ &\quad - \nabla_k R_{si} \nabla^s f + \Delta \lambda g_{ik} - \nabla_k \nabla_i \lambda, \end{aligned}$$

which completes our claim.

The next proposition can be found in [1].

PROPOSITION 1. *For a gradient almost Ricci soliton  $(M^n, g, \nabla f, \lambda)$  the following formulae hold:*

- (1)  $R + \Delta f = n\lambda$
- (2)  $\nabla_i R = 2R_{ij} \nabla^j f + 2(n - 1)\nabla_i \lambda$
- (3)  $\nabla_j R_{ik} - \nabla_i R_{jk} - R_{ijks} \nabla^s f = (\nabla_j \lambda)g_{ik} - (\nabla_i \lambda)g_{jk}$
- (4)  $\nabla(R + |\nabla f|^2 - 2(n - 1)\lambda) = 2\lambda \nabla f$ .

It is important to point out that assertion (4) is a generalization of a main equation derived by Hamilton in [4], that was used by Perelman in [7] to prove that a compact Ricci soliton is always gradient. We notice that assertion (2) of Proposition 1 yields for any  $Z \in \mathfrak{X}(M)$

$$(2.3) \quad g(\nabla R, Z) = 2\text{Ric}(\nabla f, Z) + 2(n - 1)g(\nabla \lambda, Z).$$

As a consequence of this proposition, we shall prove the following lemma.

LEMMA 1. *For a gradient almost Ricci soliton  $(M^n, g, \nabla f, \lambda)$  the following formula holds:*

$$\Delta R_{ij} = \langle \nabla R_{ij}, \nabla f \rangle + 2\lambda R_{ij} - 2R_{ikjs} R^{ks} + (n - 2)\nabla_j \nabla_i \lambda + \Delta \lambda g_{ik}.$$

*Proof.* Using once more assertion (2) of Proposition 1, we infer

$$0 = \frac{1}{2} \nabla_k (\nabla_i R - 2R_{is} \nabla^s f - 2(n - 1)\nabla_i \lambda),$$

which gives

$$\frac{1}{2} \nabla_k \nabla_i R - \nabla_k R_{is} \nabla^s f = (n - 1)\nabla_k \nabla_i \lambda + R_{is} \nabla^s \nabla_k f.$$

Thus, using Equation (2.2), we have

$$\begin{aligned} \Delta R_{ik} &= \langle \nabla R_{ik}, \nabla f \rangle + \lambda R_{ik} - 2R_{ijks} R^{js} + R_{is} R_k^s \\ &\quad + R_{is} \nabla^s \nabla_k f + (n - 1)\nabla_k \nabla_i \lambda + \Delta \lambda g_{ik} - \nabla_k \nabla_i \lambda \\ &= \langle \nabla R_{ik}, \nabla f \rangle + \lambda R_{ik} - 2R_{ijks} R^{js} + R_{is} R_k^s \\ &\quad + R_{is} g^{sj} \nabla_j \nabla_k f + (n - 1)\nabla_k \nabla_i \lambda + \Delta \lambda g_{ik} - \nabla_k \nabla_i \lambda \\ &= \langle \nabla R_{ik}, \nabla f \rangle + \lambda R_{ik} - 2R_{ijks} R^{js} + R_{is} R_k^s + \lambda R_{is} \\ &\quad - R_{is} R_k^s + (n - 1)\nabla_k \nabla_i \lambda + \Delta \lambda g_{ik} - \nabla_k \nabla_i \lambda \\ &= \langle \nabla R_{ik}, \nabla f \rangle + 2\lambda R_{ik} - 2R_{ijks} R^{js} \\ &\quad + (n - 2)\nabla_k \nabla_i \lambda + \Delta \lambda g_{ik}. \end{aligned}$$

From where we deduce

$$(2.4) \quad \Delta R_{ij} = \langle \nabla R_{ij}, \nabla f \rangle + 2\lambda R_{ij} - 2R_{ikjs} R^{ks} + (n - 2)\nabla_j \nabla_i \lambda + \Delta \lambda g_{ik},$$

which finishes the proof of the lemma. □

In particular, taking trace of both members of identity (2.4), we have

$$(2.5) \quad \Delta R = \langle \nabla R, \nabla f \rangle + 2\lambda R - 2|\text{Ric}|^2 + 2(n - 1)\Delta\lambda.$$

This equation already appeared in [8], but by a different argument. By using a maximum principle and this last identity, we obtain the following corollary.

**COROLLARY 4.** *Let  $(M^n, g, \nabla f, \lambda)$  be a gradient almost Ricci soliton for which the following inequality holds:  $\lambda R + (n - 1)\Delta\lambda \geq |\text{Ric}|^2$ . Then  $R$  is constant in a neighborhood of any local maximum.*

*Proof.* In fact, using the assumption in Equation (2.5), we deduce

$$\frac{1}{2}\Delta_f R \geq 0.$$

Therefore, by the maximum principle for elliptic PDE’s, we conclude that  $R$  is constant in a neighborhood of any local maximum. □

Taking into account assertion (1) of Proposition 1 and the diffusion operator  $\Delta_f = \Delta - \nabla f$ , we can rewrite (3.4) as follows:

$$(2.6) \quad \frac{1}{2}\Delta_f R = (n - 1)\Delta\lambda + \left(\lambda - \frac{R}{n}\right)R - \left|\text{Ric} - \frac{R}{n}g\right|^2.$$

Using Equation (2.6), we obtain the following proposition.

**PROPOSITION 2.** *Every steady almost Ricci soliton whose scalar curvature achieves its minimum is Ricci flat.*

*Proof.* First, we notice that at a minimum point of  $R$ , we can use Equation (2.6) to conclude

$$0 \leq \Delta_f R = -\frac{R^2}{n} - \left|\text{Ric} - \frac{R}{n}g\right|^2 \leq 0.$$

Thus  $R = 0$  and  $\text{Ric} = 0$ , therefore  $(M^n, g)$  is Ricci flat. □

Proceeding we obtain the following lemma.

**LEMMA 2.** *Let  $(M^n, g, \nabla f, \lambda)$  be a gradient almost Ricci soliton. Then the following formulae hold:*

- (1)  $(\text{div } Rm)_{jkl} = R_{lkjs}\nabla^s f + (\nabla_l\lambda)g_{kj} - (\nabla_k\lambda)g_{jl}$
- (2)  $\nabla_i(R_{ijkl}e^{-f}) = ((\nabla_l\lambda)g_{kj} - (\nabla_k\lambda)g_{lj})e^{-f}$
- (3)  $\nabla_i(R_{ik}e^{-f}) = ((n - 1)\nabla_k\lambda)e^{-f}$ .

*Proof.* In order to obtain identity (1) it is enough to use the Ricci identity and assertion (3) of Proposition 1. Indeed, we have

$$\begin{aligned} (\text{div } Rm)_{jkl} &= \nabla_i(R_{ijkl}) = \nabla_i R_{kl ij} \\ &= -\nabla_k R_{li ij} - \nabla_l R_{ik ij} \end{aligned}$$

$$\begin{aligned} &= -\nabla_k R_{lj} + \nabla_l R_{kj} \\ &= R_{lkjs} \nabla^s f + (\nabla_l \lambda) g_{kj} - (\nabla_k \lambda) g_{lj}, \end{aligned}$$

which gives the first assertion. Next, using this identity, we obtain

$$\begin{aligned} \nabla_i (R_{ijkl} e^{-f}) &= \nabla_i (R_{ijkl}) e^{-f} - (\nabla_i f) R_{ijkl} e^{-f} \\ &= ((\nabla_l \lambda) g_{kj} - (\nabla_k \lambda) g_{jl}) e^{-f}. \end{aligned}$$

Finally, taking trace of both members of the first identity, we derive

$$\begin{aligned} \nabla_i (R_{ik} e^{-f}) &= (\nabla_i R_{ik}) e^{-f} - (\nabla_i f) R_{ik} e^{-f} \\ &= (R_{ki} \nabla^i f + (n-1) \nabla_k \lambda - \nabla_i f R_{ik}) e^{-f} \\ &= (n-1) (\nabla_k f) e^{-f}, \end{aligned}$$

which completes the proof of the lemma. □

As a consequence of Lemma 2, we obtain the following integral formula.

**COROLLARY 5.** *Let  $(M^n, g, \nabla f, \lambda)$  be a gradient almost Ricci soliton. Then we have*

$$\begin{aligned} &\frac{1}{2} \int_M |\operatorname{div} Rm|^2 e^{-f} dV_g \\ &= - \int_M R \langle \nabla \lambda, \nabla f \rangle e^{-f} dV_g - \int_M R_{lkjs} \nabla_l \nabla^s f R_{kj} e^{-f} dV_g \\ &\quad - (n-1) \int_M |\nabla \lambda|^2 e^{-f} dV_g + \int_M \langle \nabla \lambda, \nabla R \rangle e^{-f} dV_g. \end{aligned}$$

*Proof.* Using Lemma 2 and item (2) of Proposition 1, we have

$$\begin{aligned} &\int_M |\operatorname{div} Rm|^2 e^{-f} dV_g \\ &= \int_M R_{lkjs} \nabla^s f (-\nabla_k R_{lj} + \nabla_l R_{kj}) e^{-f} dV_g \\ &\quad + \int_M (\nabla_l \lambda g_{kj} - \nabla_k \lambda g_{lj}) (-\nabla_k R_{lj} + \nabla_l R_{kj}) e^{-f} dV_g \\ &= - \int_M R_{lkjs} \nabla^s f \nabla_k R_{lj} e^{-f} dV_g + \int_M R_{lkjs} \nabla^s f \nabla_l R_{kj} e^{-f} dV_g \\ &\quad + \int_M (\nabla_l \lambda g_{kj} - \nabla_k \lambda g_{lj}) (-\nabla_k R_{lj} + \nabla_l R_{kj}) e^{-f} dV_g \\ &= - \int_M \nabla_l (R_{lkjs} e^{-f}) \nabla^s f R_{kj} e^{-f} dV_g + \int_M \nabla_k (R_{lkjs} e^{-f}) \nabla^s f R_{lj} e^{-f} dV_g \\ &\quad - \int_M R_{lkjs} \nabla_l \nabla^s f R_{kj} e^{-f} dV_g - \int_M R_{lkjs} \nabla_k \nabla^s f R_{lj} e^{-f} dV_g \\ &\quad + \int_M \langle \nabla \lambda, \nabla R \rangle e^{-f} dV_g \end{aligned}$$

$$\begin{aligned}
 &= -2 \int_M R_{lkjs} \nabla_l \nabla^s f R_{lj} e^{-f} dV_g - 2 \int_M \nabla_l (R_{lkjs} e^{-f}) \nabla^s f R_{kj} e^{-f} dV_g \\
 &\quad + \int_M \langle \nabla \lambda, \nabla R \rangle e^{-f} dV_g \\
 &= -2 \int_M R \langle \nabla \lambda, \nabla f \rangle e^{-f} dV_g - 2 \int_M R_{lkjs} \nabla_l \nabla^s f R_{kj} e^{-f} dV_g \\
 &\quad + 2 \int_M \text{Ric}(\nabla f, \nabla \lambda) e^{-f} dV_g + \int_M \langle \nabla \lambda, \nabla R \rangle e^{-f} dV_g \\
 &= -2 \int_M R \langle \nabla \lambda, \nabla f \rangle e^{-f} dV_g - 2 \int_M R_{lkjs} \nabla_l \nabla^s f R_{kj} e^{-f} dV_g \\
 &\quad - 2(n-1) \int_M |\nabla \lambda|^2 e^{-f} dV_g + 2 \int_M \langle \nabla \lambda, \nabla R \rangle e^{-f} dV_g,
 \end{aligned}$$

which concludes the proof of the corollary. □

Now, recall that for any Riemannian manifold, we have

$$(2.7) \quad \nabla_i \nabla_j R_{ik} - \nabla_j \nabla_i R_{ik} = R_{jm} R_{mk} - R_{ijkm} R_{im},$$

for more details see [2]. Using Equation (2.7) and Corollary 5, we obtain the following lemma.

LEMMA 3. *Let  $(M^n, g, \nabla f, \lambda)$  be a compact gradient almost Ricci soliton. Then*

$$\begin{aligned}
 \int_M |\text{div } Rm|^2 e^{-f} dV_g &= \int_M |\nabla \text{Ric}|^2 e^{-f} dV_g \\
 &\quad - \int_M R \Delta \lambda e^{-f} dV_g - n(n-1) \int_M |\nabla \lambda|^2 e^{-f} dV_g.
 \end{aligned}$$

*Proof.* First, using (1.3), we deduce

$$\begin{aligned}
 &-2 \int_M \nabla_k R_{jl} \nabla_l R_{jk} e^{-f} dV_g \\
 &= 2 \int_M R_{jk} \nabla_l \nabla_k R_{jl} e^{-f} dV_g - 2 \int_M R_{jk} \nabla_k R_{jl} \nabla_l f e^{-f} dV_g \\
 &= 2 \int_M R_{jk} \nabla_i \nabla_j R_{ik} e^{-f} dV_g - 2 \int_M R_{jk} \nabla_j R_{ik} \nabla_i f e^{-f} dV_g.
 \end{aligned}$$

Next, using item (2.7) and Lemma 2, we have

$$\begin{aligned}
 &-2 \int_M \nabla_k R_{jl} \nabla_l R_{jk} e^{-f} dV_g \\
 &= 2 \int_M R_{jk} (\nabla_j \nabla_i R_{ik} + R_{jm} R_{mk} - R_{ijkm} R_{im}) e^{-f} dV_g \\
 &\quad + 2 \int_M \nabla_j (R_{jk} e^{-f}) R_{ik} \nabla_i f + 2 \int_M R_{jk} R_{ik} \nabla_j \nabla_i f e^{-f} dV_g
 \end{aligned}$$



$$\begin{aligned}
&= -2 \int_M \nabla_j (R_{jk} e^{-f}) \nabla_i R_{ik} dV_g + 2 \int_M R_{jk} R_{jm} R_{mk} e^{-f} dV_g \\
&\quad - 2 \int_M R_{ijkm} R_{im} R_{jk} e^{-f} dV_g + 2 \int_M \nabla_j (R_{jk} e^{-f}) R_{ik} \nabla_i f dV_g \\
&\quad + 2 \int_M R_{jk} R_{ik} \nabla_j \nabla_i f e^{-f} dV_g.
\end{aligned}$$

Taking into account item (2) of Proposition 1 and the twice contracted second Bianchi identity, we obtain

$$\begin{aligned}
&-2 \int_M \nabla_k R_{jl} \nabla_l R_{jk} e^{-f} dV_g \\
&= 2 \int_M R_{jk} R_{ik} (R_{ij} + \nabla_i \nabla_j f) e^{-f} dV_g \\
&\quad - \int_M \nabla_j (R_{jk} e^{-f}) \nabla_k R dV_g - 2 \int_M R_{ijkm} R_{im} R_{jk} e^{-f} dV_g \\
&\quad + 2 \int_M \nabla_j (R_{jk} e^{-f}) \left( \frac{1}{2} \nabla_k R - (n-1) \nabla_k \lambda \right) dV_g \\
&= 2 \int_M \lambda |\text{Ric}|^2 e^{-f} dV_g - 2 \int_M R_{ijkm} R_{im} R_{jk} e^{-f} dV_g \\
&\quad - 2(n-1)^2 \int_M |\nabla \lambda|^2 e^{-f} dV_g.
\end{aligned}$$

On the other hand, comparing the previous equation and Corollary 5 we have

$$\begin{aligned}
&\int_M |\text{div } Rm|^2 e^{-f} dV_g \\
&= \int_M |-\nabla_k R_{lj} + \nabla_l R_{kj}|^2 e^{-f} dV_g \\
&= 2 \int_M |\nabla \text{Ric}|^2 e^{-f} dV_g - 2 \int_M \nabla_k R_{jl} \nabla_l R_{jk} e^{-f} dV_g \\
&= 2 \int_M |\nabla \text{Ric}|^2 e^{-f} dV_g + 2 \int_M \lambda |\text{Ric}|^2 e^{-f} dV_g \\
&\quad - 2 \int_M R_{ijkm} R_{im} R_{jk} e^{-f} dV_g - 2(n-1)^2 \int_M |\nabla \lambda|^2 e^{-f} dV_g.
\end{aligned}$$

Using again item (2) of Proposition 1, we have

$$\begin{aligned}
(2.8) \quad &\int_M |\text{div } Rm|^2 e^{-f} dV_g \\
&= \int_M |\nabla \text{Ric}|^2 e^{-f} - \int_M R \langle \nabla \lambda, \nabla f \rangle e^{-f} dV_g \\
&\quad + \int_M \langle \nabla R, \nabla \lambda \rangle e^{-f} dV_g - n(n-1) \int_M |\nabla \lambda|^2 e^{-f} dV_g.
\end{aligned}$$

By using the divergence theorem, we have

$$\begin{aligned} \int_M \langle \nabla R, \nabla \lambda \rangle e^{-f} dV_g &= \int_M \langle \nabla R, e^{-f} \nabla \lambda \rangle dV_g \\ &= \int_M R \langle \nabla f, \nabla \lambda \rangle e^{-f} dV_g - \int_M R \Delta \lambda e^{-f} dV_g. \end{aligned}$$

Now we compare the last equation with (2.8) to finish the proof of the lemma. □

For any Riemannian manifold  $(M^n, g)$ , let us consider the Weyl tensor as well as the Cotton tensor, which are given respectively, by

$$\begin{aligned} W_{ijkl} &= R_{ijkl} + \frac{R}{(n-1)(n-2)}(g_{il}g_{jk} - g_{ik}g_{jl}) \\ &\quad - \frac{1}{n-2}(R_{il}g_{jk} + g_{il}R_{jk} - R_{ik}g_{jl} - g_{ik}R_{jl}) \end{aligned}$$

and

$$(2.9) \quad C_{ijk} = \nabla_i R_{jk} - \nabla_j R_{ik} - \frac{1}{2(n-1)}(\nabla_i R g_{jk} - \nabla_j R g_{ik}).$$

It is easy to check that for  $n \geq 4$ , if the Weyl tensor of  $(M^n, g)$  vanishes, then the Cotton tensor also vanishes. Moreover, a classical result gives that  $W_{ijkl}$  is conformally invariant and  $(M^n, g), n \geq 4$ , is locally conformally flat if and only if  $W_{ijkl} = 0$ .

### 3. Proofs of the results

#### 3.1. Proof of Theorem 1.

*Proof.* First, we notice that for an almost Ricci soliton  $(M^n, g, \nabla f, \lambda)$  it holds

$$(3.1) \quad R + \Delta f = n\lambda.$$

Since  $\nabla f$  is nontrivial it follows that  $\mathcal{L}_{\nabla f} g = 2\rho g$ , where  $\rho \neq 0$ . Moreover, from  $\frac{1}{2}\mathcal{L}_{\nabla f} g = \frac{\Delta f}{n}g$  we have that  $\Delta f \neq 0$ . Now, using that  $\nabla f$  is a conformal vector field we deduce  $\text{Ric} = (\lambda - \rho)g$ . In particular, Schur's lemma gives that  $(\lambda - \rho)$  is constant, which yields  $R = n(\lambda - \rho)$  is also constant. Supposing  $R = 0$  we have that  $(M^n, g)$  is Ricci flat and by using Theorem 2 due to Tashiro [9] and fundamental Equation (1.2) we deduce that  $(M^n, g)$  is isometric to a Euclidean space  $\mathbb{R}^n$ . On the other hand, if  $R \neq 0$ , we can invoke Theorem 1 due to Nagano and Yano [5] to conclude that  $(M^n, g)$  is isometric to a Euclidean sphere  $\mathbb{S}^n$ . Now we invoke a well-known formula (see, e.g., [6, p. 56]), which gives

$$(3.2) \quad \Delta \rho + \frac{R}{n-1} \rho = 0.$$

On the other hand, we also have  $\rho = \frac{1}{n}\Delta f$ . Hence, we can use identity (1) of Proposition 1 to deduce  $\lambda = \rho + \frac{R}{n}$ . Taking into account that  $\text{Ric} = \frac{R}{n}g$ , we can use Lichnerowicz's theorem jointly with Equation (3.2) to deduce that the first eigenvalue of the Laplacian of  $M^n$  is  $\lambda_1 = \frac{R}{n-1}$ . Then,  $\rho$  is a first eigenfunction of the Laplacian of  $M^n$ . In particular, we also have  $\Delta(\Delta f + \lambda_1 f) = 0$ . Hence,  $\Delta f + \lambda_1 f = c$ , where  $c$  is constant. Now a straightforward computation gives  $\lambda = -\frac{\lambda_1}{n}f + \kappa$ , which completes the proof of the theorem.  $\square$

### 3.1.1. Proof of Corollary 1.

*Proof.* First we integrate formula (3.4) to obtain

$$(3.3) \quad \int_M \left| \text{Ric} - \frac{R}{n}g \right|^2 d\mu = -\frac{n-2}{2n} \int R\Delta f d\mu.$$

Now, we notice that under the assumptions of Corollary 1,  $R$  is constant. Therefore, we conclude from (3.3) that  $\text{Ric} = \frac{R}{n}g$ . By using (1.2), we deduce  $\nabla^2 f = (\lambda - \frac{R}{n})g$ , which gives that  $\nabla f$  is a conformal vector field. So, we can invoke Theorem 1 to complete the proof of the corollary.  $\square$

## 3.2. Proof of Theorem 2.

*Proof.* In [1] it was proved that for a gradient almost Ricci soliton the following equation is satisfied

$$(3.4) \quad \frac{1}{2}\Delta R + \left| \text{Ric} - \frac{R}{n}g \right|^2 = (n-1)\Delta\lambda + \frac{R}{n}\Delta f + \frac{1}{2}\langle \nabla R, \nabla f \rangle,$$

for more details, see Corollary 3 there.

Next, we notice that  $\text{Ric} = \frac{R}{2}g$ . So, the previous identity gives

$$(3.5) \quad \Delta\left(\frac{1}{2}R - \lambda\right) = \frac{1}{2}(R\Delta f + \langle \nabla R, \nabla f \rangle).$$

From where we have

$$\Delta(\Delta f) + R\Delta f + \langle \nabla R, \nabla f \rangle = \text{div}(\nabla\Delta f + R\nabla f) = 0.$$

In particular,

$$\text{div}(f(\nabla\Delta f + R\nabla f)) = \langle \nabla f, \nabla\Delta f \rangle + R\langle \nabla f, \nabla f \rangle.$$

On integrating this last identity, we obtain

$$(3.6) \quad \int_M R|\nabla f|^2 d\mu = \int_M (\Delta f)^2 d\mu.$$

Since  $R \leq 0$ , we use (3.6) to conclude that  $f$  is constant, which finishes the proof of the theorem.  $\square$

**3.3. Proof of Theorem 3.**

*Proof.* Since  $(M^n, g, \nabla f, \lambda)$  is a locally conformally flat gradient almost Ricci soliton, it follows from (2.9) that

$$(3.7) \quad |\operatorname{div} Rm|^2 = \frac{|\nabla R|^2}{2(n-1)}.$$

On the other hand, comparing the assumption of the theorem with Lemma 3, we obtain the following inequality

$$(3.8) \quad \int_M |\operatorname{div} Rm|^2 e^{-f} dV_g \geq \int_M |\nabla \operatorname{Ric}|^2 e^{-f} dV_g.$$

Moreover, from Cauchy–Schwarz inequality we have  $|\nabla \operatorname{Ric}|^2 \geq \frac{|\nabla R|^2}{n}$ , which allows us to deduce jointly with (3.7) and (3.8) the inequality

$$\frac{1}{2(n-1)} \int_M |\nabla R|^2 e^{-f} dV_g \geq \frac{1}{n} \int_M |\nabla R|^2 e^{-f} dV_g,$$

giving that  $R$  is constant. Therefore, we may apply Corollary 1 to conclude that  $M^n$  is isometric to a Euclidean sphere  $\mathbb{S}^n$ , which finishes the proof of the theorem. □

*3.3.1. Proof of Corollary 2.*

*Proof.* Since  $Y$  is a Killing field, we have  $\mathcal{L}_Y g = 0$ . Taking into account that the flow associated to  $Y$  generates isometries, we also have  $\mathcal{L}_Y \operatorname{Ric} = 0$ . Therefore, we deduce

$$\operatorname{Hess} \mathcal{L}_Y f = \mathcal{L}_Y \operatorname{Hess} f = \mathcal{L}_Y \lambda g,$$

which gives

$$(3.9) \quad \Delta \mathcal{L}_Y f = n \mathcal{L}_Y \lambda.$$

Consequently, we conclude

$$\operatorname{Hess}(\mathcal{L}_Y f) = \frac{\Delta \mathcal{L}_Y f}{n} g.$$

Now we are in conditions to apply Theorem 6.3 (p. 28 of Yano [10]) to conclude that, either  $D_Y f$  is trivial, or  $M^n$  is conformally equivalent to a Euclidean sphere  $\mathbb{S}^n$ . Therefore, we conclude that  $M^n$  is locally conformally flat. Since we are supposing that relation (1.4) holds, we may apply Theorem 3 to conclude the proof of the corollary. □

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