

RINGS WITH ZERO INTERSECTION PROPERTY ON ANNIHILATORS: ZIP RINGS

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Abstract

Zelmanowitz [12] introduced the concept of ring, which we call *right zip rings*, with the defining properties below, which are equivalent:

(ZIP 1) If the right annihilator X^\perp of a subset X of R is zero, then $X_1^\perp = 0$ for a finite subset $X_1 \subseteq X$.

(ZIP 2) If L is a left ideal and if $L^\perp = 0$, then $L_1^\perp = 0$ for a finitely generated left ideal $L_1 \subseteq L$.

In [12], Zelmanowitz noted that any ring R satisfying the d.c.c. on annihilator right ideals ($= dcc \perp$) is a right zip ring, and hence, so is any subring of R . He also showed by example that there exist zip rings which do not have $dcc \perp$.

In §1 of this paper, we characterize a right zip by the property that every injective right module E is divisible by every left ideal L such that $L^\perp = 0$. Thus, $E = EL$. (It suffices for this to hold for the injective hull of R .)

In §2 we show that a left and right self-injective ring R is zip iff R is pseudo-Frobenius ($= PF$). We then apply this result to show that a semiprime commutative ring R is zip iff R is Goldie.

In §3 we continue the study of commutative zip rings.

Introduction

Zip rings appear in various guises:

1. Beachy and Blair [4] study rings that satisfy the condition that every faithful right ideal I is co-faithful in the sense that $I_1^\perp = 0$ for a finite subset $I_1 \subseteq I$, equivalently, $R \hookrightarrow I^n$ for $n < \infty$. Right zip rings have this property, and conversely for commutative R .

Moreover,

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A. Theorem. (*Beachy-Blair*) *If faithful ideals of R are co-faithful then the same is true of $R[x]$, for any commutative ring R , and any set x of variables.*

B. Corollary. *If R is a commutative zip ring then any polynomial ring over R is a zip ring.*

Proof: Obvious from the Beachy-Blair Theorem. ■

2. Vámos [13] characterized a ring R with the property that for any collection $\{I_i\}_{i \in \Lambda}$ of right ideals, there exists a finite subset $\Lambda_1 \subseteq \Lambda$ such that

$$\bigcap_{i \in \Lambda} I_i = 0 \Rightarrow \bigcap_{i \in \Lambda_1} I_i = 0$$

This happens iff R is right semi-Artinian (i.e. has finite essential right socle). Trivially, such rings are right zip. Moreover:

C. Proposition. *Any right essential subring of a right semi-Artinian ring is right zip.*

Proof: This is an application of the following. ■

D. Lemma. *If R is a right essential subring of a right zip ring S , then R is right zip.*

Proof: Let $X \subseteq R$ have zero right annihilator in R . Then X has zero right annihilator in S since $R \subseteq S$ as a right R -module. Then $X_1^\perp = 0$ in S for a finite subset X_1 of X , which is what was to be proved.

A ring R is *left Kasch* if every maximal left ideal has a non zero right annihilator; equivalently, every simple left module embeds in R . Every left Kasch ring is right zip, and conversely if finitely generated left ideals are annihilators (Proposition 1.G). A right \aleph_0 -injective ring is thereby right zip iff left Kasch (Corollary 1.7).

A right PF ring (see Theorem 1.3) is right semi-Artinian (and right Kasch) hence right zip, and by Lemma C, so is any right essential subring, we characterize these rings via Propositions 1.10 and its Corollary. Furthermore, by a theorem of Kato [6], right PF \rightarrow left Kasch.

We also study Utumi zip rings in §2, and prove *inter alia* that they are Goldie rings.

A commutative ring R is zip iff its classical quotient ring $Q = Q_c(R)$ is zip (Corollary 3.2). When Q is Bezout then R is zip iff Q is Kasch (Corollary 3.6). This holds in particular for any ring R , with Q a chain ring. A similar theorem holds for an FPF zip ring local Q . (Theorem 3.7).

1. PF, Kasch, and Zip Rings

A homomorphism $f : I \rightarrow E$ of a right ideal I into a module E is a *Baer homomorphism* if there exists $m \in E$ such that $f(x) = mx \forall x \in I$.

Baer's criterion for injectivity of E states that every homomorphism of any right ideal of R into E is a Baer homomorphism.

A module E is \aleph_0 -*injective* provided every homomorphism of a finitely generated right ideal into E is Baer. The ring R is *right \aleph_0 -injective* if the canonical right module R is.

1.1 Theorem. (*Ikeda-Nakayama [5]*). Consider the conditions:

- (a) Every homomorphism $f : I \rightarrow E$ of a right ideal into E is Baer.
- (b) $\text{ann}_E(I \cap J) = \text{ann}_E I + \text{ann}_E J$, where I and J are right ideals.
- (c) $\text{ann}_E K^\perp = EK$, where K is a left ideal.

Moreover, let (a^*) denote the restriction of (a) to finitely generated I , and (a^{**}) the restriction to principal I . Similarly for (b^*) , (b^{**}) , (c^*) , and (c^{**}) . (Thus, in (c^*) , K is a finitely generated left ideal). Then:

- i. $(a^{**}) \Rightarrow (c^{**})$.
- ii. $(a^*) \Leftrightarrow (b^*), (c^{**})$.
- iii. $(a) \Rightarrow (b), (c^*)$.

Thus: E is \aleph_0 -injective iff (b^*) and (c^{**}) both hold.

Proof: This is proved in [5] for the case $E = R$, and it is easy to prove that this holds for a general module E . (This is made explicit in [3c, p. 189, 23.21]).

A module E is *FP-injective* iff for all short exact sequences

$$(1) \quad 0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

of finitely generated modules A, B and C , it is true that the canonical sequence

$$(2) \quad 0 \longrightarrow \text{Hom}_R(C, E) \longrightarrow \text{Hom}_R(B, E) \longrightarrow \text{Hom}_R(A, E) \longrightarrow 0$$

is exact. \aleph_0 -injectivity is that statement that (1) exact implies (2) exact for $B = R$ and A finitely generated.

Any injective module is FP-injective. A coherent \aleph_0 -injective is FP-injective (Stenstrom [8b]-Jain [14]) but in general \aleph_0 -injective does not imply FP-injective. By Jain [14] R is right FP-injective iff every finitely presented left R -module is torsionless. ■

1.2 Proposition. R is a right zip ring iff the injective hull $E = E(R)$ of R in $\text{mod-}R$ is divisible by any left ideal I having $I^\perp = 0$. In this case I divides any injective right R -module.

Proof: If R is right zip, and I a left ideal with $I^\perp = 0$, then $I_1^\perp = 0$ for a finitely generated left ideal $I_1^\perp \subseteq I$, then $E = EI_1$ by the Ikeda-Nakayama theorem, so $EI = E$.

Conversely, if $E = E(R)$, then $EI = E$, implies that there exists finitely many $y_i \in E$, $r_i \in I$, $i = 1, \dots, n$ such that $1 = \sum_{i=1}^n y_i r_i$. But this implies $\bigcap_{i=1}^n r_i^\perp = 0$, so R is right zip. ■

Let $\text{mod-}R$ be the category of all right R -modules, and let $R\text{-mod}$ denote the left-right symmetry.

In general, a module E is a cogenerator of $\text{mod-}R$ iff the injective hull $E(V)$ of every simple right R -module V embeds in E (see, e.g. [3], [9]). A ring R is *right Kasch* if every simple right module $\hookrightarrow R$, or equivalently, ${}^\perp I \neq 0$ for any right ideal $I \neq R$. Thus, a ring R is an injective cogenerator of $\text{mod-}R$ iff R is right self-injective and right Kasch. Other characterizations:

1.3 Theorem. (Azumaya [1], Osofsky [7], Utumi [9]). *A ring R is right PF (pseudo-Frobenius) provided the following equivalent conditions hold:*

- (PF₁) *R is right self-injective and semiperfect with essential right socle. (The socle is the largest semisimple submodule).*
- (PF₂) *R is right self-injective with finite essential right socle.*
- (PF₃) *R is a finite direct sum. $R = \sum_{i=1}^n \oplus e_i R$, where $e_i^2 = e_i \in R$ and $e_i R$ is a projective injective right ideal with simple socle, $i = 1, \dots, n$.*
- (PF₄) *R is an injective cogenerator in $\text{mod-}R$.*
- (PF₅) *R is right self-injective and right Kasch.*

1.4 Theorem. (Kato [6]). *Any right PF ring R is left Kasch.*

A ring R is *left (finitely) annular* if every (finitely generated) left ideal L is an annihilator, that is, $L = {}^\perp(L^\perp)$.

- 1.5 Theorem.** (1) *A right \aleph_0 -injective ring is left finitely annular.*
 (2) *A right cogenerator ring R is right annular.*
 (3) *A right PF ring is right annular and left finitely annular.*

Proof: (1). In (iii) of Theorem 1.1, take $E = R$, and then $EK = K = \text{ann}_R K^\perp = {}^\perp(K^\perp)$ is an annihilator, for any finitely generated K .

(2) A cogenerator E of $\text{mod-}R$ has the property

$$I = \text{ann}_R \text{ann}_E I$$

for any right ideal I (see, for instance [4, p.184, 23.13]). Then (2) follows when $E = R$.

(3) R is right annular by 2, and since injective, left finitely annular by 1. ■

1.6 Proposition. *Any left Kasch ring R is right zip. If R is finitely left annular, then conversely.*

Proof: R left Kasch implies $L^\perp \neq 0$ for all left ideals $\neq R$, hence R is right zip.

Conversely, if R is left finitely annular, then right zip implies for any left ideal L with $L^\perp = 0$ the existence of a finitely generated left ideal $L_1 \subseteq L$ with $L_1^\perp = 0$. But then $L_1^\perp = {}^\perp(L_1^\perp) = R$, so $L = R$, and R is therefore left Kasch. ■

1.7 Corollary. *A right \aleph_0 -injective ring R is right zip iff left Kasch.*

1.8 Corollary. *A right PF ring R is right and left zip.*

Proof: R is right annular and left finitely annular by Theorem 1.5, and right and left Kasch by Theorem 1.3 and 1.4, hence right and left zip by Proposition 1.6. ■

1.9 Corollary. *A left and right self-injective ring R is right zip iff right and left PF. (In this case R is left zip).*

Proof: R is left Kasch by Corollary 1.7, hence left PF by Theorem 1.3. However, then R is right Kasch by Theorem 1.4, so R is right PF. (Left zip follows by Corollary 1.8).

Conversely, if R is right and left PF, Corollary 1.8 yields R is zip. ■

1.10 Proposition. *If R is right zip, and if $Q = Q_{\max}^r(R)$ is also a left quotient ring of R (equivalently, $Q \subseteq Q_{\max}^l(R)$), then Q is right zip. Conversely, if Q is right zip, then so is R .*

Proof: Let L be a left ideal of Q such that $L^\perp = 0$ in Q . We shall show that $(L \cap R)^\perp = 0$ in R . Suppose not and let $a \in R$ be such that $(L \cap R)a = 0$ and $a \neq 0$. By the assumption $Q \subseteq Q_{\max}^l(R)$, R is dense in Q as a left R -module, and this implies a contradiction, namely that $La \neq 0$. To prove this, suppose that $x \in L$, and $xa \neq 0$ then there corresponds $r \in R$ with $rx \in R$ and $rx a \neq 0$ ([3b, p.79 Theorem 19.23]). But $rx \in L \cap R$, contradicting $(L \cap R)a = 0$. Therefore by right zip in R , there is a finitely generated left ideal L_1 of R with $L_1 \subseteq L \cap R$ and $L_1^\perp = 0$. Then QL_1 is the desired finitely generated left ideal of Q contained in L with $(QL_1)^\perp = 0$.

The converse derives from Lemma D. ■

1.11 Corollary. *Let R be right zip. If $Q = Q_{\max}^r(R) = Q_{\max}^l(R)$ is injective (both sides), then Q is PF (both sides). Conversely.*

Proof: By Proposition 1.10, Q is right zip hence right and left PF by Corollary 1.9. Conversely, if Q is (right) PF, or zip, then R is (right) zip by 1.8 and 1.10. ■

2. Utumi zip rings are Goldie

A ring R is a *right Utumi* ring provided that R is right nonsingular and the following three equivalent conditions hold:

U_1 : Every complement (= essentially closed) right ideal is an annihilator.

U_2 : Every nonzero left ideal L of $Q = Q_{\max}^r(R)$ meets R , that is, $L \cap R \neq 0$.

U_3 : ${}^\perp I = 0$. In R for a right ideal I implies that I is essential in R .

U_3 is called *cononsingular*, and implies that R is left nonsingular (proof omitted).

2.12 Theorem. (*Utumi [10]*). *A nonsingular ring R is right and left Utumi (equivalently right and left cononsingular) iff $Q_{\max}^r(R) = Q_{\max}^l(R)$.*

Utumi rings were named by Stenstrom [8].

A ring R is *right Goldie* if R has $acc \perp$ and finite right Goldie dimension in the sense that any direct sum $\sum_{a \in A} \oplus X_a$ of right modules embeddable in R has only finitely many $X_a \neq 0$. The latter condition is denoted by $acc \oplus$, and is equivalent to the acc on complement right ideals.

If R is right nonsingular then $Q = Q_{\max}^r(R)$ is right self-injective and von Neumann regular. Moreover any annihilator right ideal is a right complement, and the right complements have the form $eQ \cap R$, where $e = e^2 \in Q$. Moreover, the contraction map $I \rightarrow I \cap R$ induces bijection between complement right ideals of Q and those of R . Since Q is regular, then the f.a.e.c.'s:

(13.1) R has $(acc) \oplus$.

(13.2) Q has $(acc) \oplus$.

(13.3) Q is semisimple Artin.

Inasmuch contraction induces a surjection between the annihilator right ideals of any ring Q and those of a subring R , then any subring of an Artin (Noether) ring has $dcc \perp$ (resp. $acc \perp$), hence for right nonsingular R , we see that (13.3) implies that

(13.4) R has $acc \perp$ and $dcc \perp$ (equivalently $\perp acc$ and $\perp dcc$) and

(13.5) R is right Goldie.

2.14 A Theorem. *An Utumi right zip ring R is right Goldie, hence satisfies both $\perp dcc$ and $dcc \perp$, so is both right and left zip.*

Proof: Any right nonsingular ring R has injective (and regular) $Q_{\max}^r(R)$, so by the Utumi assumption, $Q = Q_{\max}^r(R) = Q_{\max}^l(R)$ is injective on both sides, and the theorem follows from Corollary (1.11), since any PF ring Q is semiperfect. Thus, regularity of Q implies that Q is semisimple Artin, so apply (13.4–5).

If left zip is assumed, we can get the same conclusion assuming Utumi.

2.14 B Theorem. *Any Utumi left zip ring is right Goldie, hence has \perp dcc and dcc \perp .*

Proof: Let $I = \sum_{a \in A} \oplus X_a$ be a maximal direct sum of right ideals contained in R . Then I is an essential right ideal, so ${}^{\perp}I = 0$, and left zip implies a finitely generated right ideal $I_1 \subseteq I$ with ${}^{\perp}I_1 = 0$. But then cononsingularity means that I_1 is an essential right ideal. But a direct sum $\oplus_{a \in A} X_a$, has a finitely generated essential submodule iff $X_a \neq 0$ for just finitely many $a \in A$. Thus R has $(acc)\oplus$, so Q has $(acc)\oplus$, i.e. (13.1)–(13.5) hold, proving the theorem. ■

3. Commutative Zip Rings

We now apply earlier results to commutative rings.

3.1 Proposition. *If $R \rightarrow S$ is an embedding of rings such that*

$$\begin{cases} \text{ideals } R \rightarrow \text{ideals } S \\ I \rightarrow IS \end{cases}$$

is surjective, then R zip implies that S is zip.

Proof: Let R be zip, and let I be a faithful ideal of S . Let I_0 be ideal of R such that $I_0 S = I$. Then I_0 is faithful in R , so $I_1^{\perp} = 0$ in R for a finitely generated ideal I_1 of R . Thus,

$$\text{ann}_S(I_1 S) = 0$$

and $I_1 S$ is a finitely generated ideal of S .

This proves that S is zip. ■

3.2 Corollary. *A commutative ring R is zip iff its classical quotient ring $Q = Q_c(R)$ is zip.*

Proof: R zip implies Q zip by the proposition. Conversely, R right essential in Q , Lemma *D* applies: if Q is right zip so is R .

3.2 also follows from Lemma *D* and Proposition 1.10. ■

3.3 Corollary. *If R is zip, so is RS^{-1} , for any multiplicative semigroup $S \subseteq R^*$, and conversely.*

Proof: Same as Corollary 3.2 ■

By Proposition 1.6, any commutative Kasch ring is zip this yields:

3.4 Corollary. *If $Q_c(R)$ is Kasch, then R is zip.*

Definition. A commutative ring R is *Bezout* provided that all finitely generated ideals are principal.

Trivially principal ideal rings and chain rings (= rings with linearly ordered ideal lattices) are Bezout, and so is any finite product of Bezout rings. Also any factor ring of a Bezout ring.

R is a *(*)-Bezout ring* if every finitely generated faithful (or dense) ideal is principal. If R is reduced (= semiprime = non-singular) then an ideal I is dense iff I is essential. Since every ideal is a direct summand of an essential ideal (in any ring), then R is *(*)-Bezout* iff Bezout when R is reduced.

3.5 Proposition. *Let R be a *(*)-Bezout ring.**

- (1) R is zip iff every faithful ideal contains a regular element.
- (2) In this case, the classical quotient ring $Q = Q_c(R)$ is *(*)-Bezout Kasch ring.*

Proof: (1) If I is a faithful ideal of a zip ring, and if I is finitely generated faithful ideal contained in I , then I is principal, hence generated by a regular element.

(2) Q is also *(*)-Bezout*, and by (1) every faithful ideal contains a unit, that is, Q is the only faithful ideal. This implies that Q is Kasch. ■

3.6 Corollary. *For a *(*)-Bezout ring R the f.a.e.**

- (1) $Q = Q_c(R)$ is zip.
- (2) Q is Kasch.

Proof: Apply Corollary 3.4 and Proposition 3.5. ■

A ring R is *FPF* iff every finitely generated faithful module generates mod- R . By [20], a commutative ring R is *FPF* iff there holds.

(FPF1) Every finitely generated faithful ideal is projective, and (FPF 2) $Q = Q_c(R)$ is self-injective.

In this case Q is *FPF*.

3.7 Theorem. *If R has a local quotient ring $Q = Q_c(R)$, and if R is an *FPF zip ring*, then Q is *PF*.*

Proof: If Q is *PF*, then Q is Kasch by Theorem 3.1, hence Q and R are zip by Corollary 3.4 (without assuming Q local).

Conversely, if R is zip, then so is Q by Proposition 3.2. Also R *FPF* implies Q *FPF*, hence if an ideal I is finitely generated and faithful in Q , then I is projective by *FPF*, and free by Kaplansky's theorem on projective modules over local rings. But in a commutative ring, every free ideal is principal, so Q is *(*)-Bezout*. By Theorem 3.6, Q is Kasch, hence *PF* by Theorem 1.3. ■

Added in Proof. See [3c] for inter alia a study of zip rings, and of an example of a zip ring E with $Q_c(R)$ not Kasch.

The question of when a noncommutative right zip ring R has right zip polynomial ring $R[X]$ is presently open.

In [3c], it is shown that a right zip ring R has the property right ξ min, i.e., every annihilator right ideal $\neq 0$ contains a minimal annihilator right ideal $\neq 0$.

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