

Risk Factors for Malignant Transformation of Oral Lichen Planus

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Abstract

We aimed to identify factors for malignant transformation of oral lichen planus to oral cancer in order to inform the different specialists undertaking their clinical management. A retrospective cohort of biopsy-confirmed oral lichen planus consecutively diagnosed in 1995–2014 and followed-up until 2017 was selected. Demographic, clinical and follow-up information was collected. Multivariate Cox proportional-hazards models were performed to evaluate clinical and pathological factors associated with progression to oral cancer. The study included 257 oral lichen planus of which 5.4% progressed to oral cancer. Men and women differed in tobacco and alcohol consumption, and patients with and without described clinical aspect differed in diagnostic period, alcohol consumption and treatment. Alcohol consumption, tongue site, oldest diagnostic period and surgery as a type of treatment were independent prognostic factors for progression.

This large non-selected retrospective cohort of oral lichen planus underscores the existing limitations of the current standard-of-care of such lesions. Well-designed, robust prospective studies and multidisciplinary treatment guidelines are warranted.

Introduction

Lichen planus (LP) is a mucocutaneous inflammatory disorder potentially affecting skin and/or mucous membranes. The disease has a broad spectrum of clinical manifestations,¹ such as its oral subtype, oral lichen planus (OLP). OLP affects from 1–4% of the worldwide population and is more common among older women.² The disease is characterized by a T-cell mediated response against epithelial basal cells, leading to basal cell degeneration and subepithelial band like infiltration by T-lymphocytes.³ There have been described six clinical forms of OLP: three white forms (reticular, papular, plaque-like), and three red forms (erosive (ulcerated), atrophic (erythematous) and bullous). The most common OLP type is the reticular pattern presenting as fine white striae known as ‘Wickham’s striae’, typically symmetrical and bilateral.⁴

The etiology of OLP is still unknown, but microbiological agents, psychological stress, local and systemic cell-mediated hypersensitivity, and immune response are considered etiopathogenic factors for OLP, among others.³

The World Health Organization (WHO) developed in 1978 a OLP diagnostic criteria based on clinical and histopathological standards.⁵ These criteria were modified in 2003 with the recommendation that both clinical and histological features should be considered for the diagnosis of an OLP.⁶ Later, at a workshop in 2005, WHO suggested the term ‘potentially malignant oral disorders’ (PMOD) for any lesion or condition of the oral mucosa with potential for malignant transformation, including OLP.⁷

Oral squamous cell carcinoma (OSCC) accounts for 90% of all oral cancers and is the most common head and neck cancer. Since the first report of OLP malignant transformation to OSCC was published in 1910,⁸ several studies have showed different rates of OLP malignant transformation, spanning from 0–14.3%.⁹ This variability is largely due to the use of heterogeneous inclusion and exclusion criteria.^{10,11,12} Some studies also found patients with OLP to be at greater risk of developing OSCC, but more precise and internationally agreed-upon criteria for OLP diagnosis need to be established. Risk factors associated with a significantly greater rate of malignant transformation of OLPs are smoking, alcoholism, hepatitis C virus infection,^{9,10} erosive lesions¹³ at tongue site¹⁴ and female gender.¹

This study aimed to identify predictive factors for the malignant transformation of OLP to OSCC in a large, unselected sample of Spanish OLP cases, in order to inform the heterogeneous spectrum of specialists undertaking the clinical management of such lesions.

Material And Methods

Study design and samples

The protocols have been described elsewhere.¹⁵ Briefly, a retrospective cohort study of patients consecutively diagnosed with OLP at Bellvitge University Hospital and Odontological University Hospital of Barcelona (Spain) at 1995–2014 with available sociodemographic, clinical and follow-up data was conducted. Patients were treated at dermatology, odontology, maxillofacial

surgery and otolaryngology/plastic surgery departments, and processed, analyzed and reported by the pathology department. The cases were identified and included in the study on the basis of the histopathological instead of the clinical diagnosis because clinical lesions were diagnosed by different medical specialists while the histopathological study was performed centrally in the same pathology department, according to the current guidelines.^{5,16} The inclusion criteria included: to have a histopathological diagnosis of OLP located at the tongue, gingiva, floor of the mouth, palate, cheek mucosa or oral cavity not specified and to do not have a previous diagnosis of OSCC or oropharyngeal cancer. External lip lesions were excluded since are more related to chronic sun exposure. Medical records were reviewed for all eligible cases and information on demographics, smoking and alcohol consumption, comorbidities, treatment, and follow-up data was collected up to 2017.

Comorbidities (excluding malignancies) were grouped as follows: cardiovascular diseases; blood, immunological and endocrine disorders; skin disorders; diseases of the respiratory, digestive and genitourinary system; others. OLP's patients Hepatitis C virus (HCV) infection status was not ascertained as it was not indicated in most of the medical records.

Data about topical treatment, surgery, CO₂ laser and oral retinoids was collected. When excisional biopsies of the whole lesion were taken and no further treatment was performed, the patients were considered as surgically treated.

The study was performed in agreement with the Declaration of Helsinki and had formal approval on January 23th, 2014 (ref. PR351/13) by the Ethical Committee for Clinical and Epidemiological research of the Hospital of Bellvitge, Catalan Institute of Oncology (ICO), Odontological University Hospital of Barcelona and Hospital of University of Barcelona (*Comitè Ètic d'Investigació Clínica de l'Hospital Universitari de Bellvitge, L'Hospitalet de Llobregat, Spain*). Adequate measures to ensure data protection, patients' privacy and anonymization were taken into account in compliance with European and Spanish current laws and regulations.

Statistical analyses

Descriptive analyses were performed and differences between OLPs with and without described clinical aspect and between males and females were assessed. Cancer-Free Survival (CFS) was calculated from the date of OLP diagnosis to the date of OSCC diagnosis. Cumulative probability of survival was estimated with Kaplan–Meier analysis. The log-rank test was used to compare different survival curves, and crude (cHR) and adjusted hazard ratios (aHR) and their 95%CI were estimated using proportional hazard regression (Cox) models for CFS. All significant covariates in the univariate analysis were considered in the multivariate analysis. Forward selection of the covariates was used, adding at each step the covariate that best improves the model (significant log-likelihood ratio test and AIC criteria). Statistical significance for all analyses was set at the 2-sided 0.05 level. Data analyses were performed with STATA software v.15.1 (Stata Corp., College Station, TX, USA) and R software.

Results

A total of 257 OLPs consecutive patients diagnosed from July 21st, 1995 to May 21st, 2014 were included in the study. Baseline demographic and clinical characteristics of the cases, overall and by gender and clinical aspect availability, are presented in Table 1. More than half of the patients (150, 58.4%) were women with a mean age at diagnosis of 59.1 (Standard deviation (SD) = 11.7) years. Most patients were non-drinkers (220 patients, 85.6%) and non-smokers (161 patients, 62.6%). Clinical aspect of the OLP was described for 165 (64.2%) patients and was mostly leukoplakia (144, 56%). Previous neoplasia different from head and neck cancer or non-melanoma skin cancer was diagnosed for 11 patients (4.3%). The most common comorbidities were respiratory, digestive and/or genitourinary disorders (79 patients, 30.7%) and buccal mucosa was the most common site of the lesion (58.8%). The most performed treatment was topical treatment (102 patients, 32.7%) followed by clinical control (89 patients, 34.6%) and surgery and/or excisional biopsy (52 patients, 20.2%).

Table 1
Sociodemographic and clinical characteristics of OLP patients by gender and by clinical aspect availability

Characteristic	All OLP		Gender				Clinical aspect					
	N	(%) ^a	Male		Female		P-value ¹	Unknown		Known		P-value ¹
			N	(%) ^b	N	(%) ^b		N	(%) ^b	N	(%) ^b	
Age at diagnosis							0.315 ²					0.310 ²
Mean (SD)	59.1	(11.7)	58.2	(11.6)	59.7	(11.8)		58.0	(13.2)	59.7	(10.7)	
Median (Min-Max)	59.7	(24.0-86.3)	59.3	(25.9-82.8)	59.9	(24.0-86.3)		58.4	(24.0-82.8)	60.1	(26.4-86.3)	
Gender							-					0.731
Male	107	(41.6)	107	(100.0)	0	(0.0)		37	(34.6)	70	(65.4)	
Female	150	(58.4)	0	(0.0)	150	(100.0)		55	(36.7)	95	(63.3)	
OLP diagnostic date							0.322					< 0.001
1995–1999	24	(9.3)	11	(45.8)	13	(54.2)		17	(70.8)	7	(29.2)	
2000–2004	43	(16.7)	23	(53.5)	20	(46.5)		25	(58.1)	18	(41.9)	
2005–2009	108	(42.0)	41	(38.0)	67	(62.0)		35	(32.4)	73	(67.6)	
2010–2014	82	(31.9)	32	(39.0)	50	(61.0)		15	(18.3)	67	(81.7)	
Alcohol consumption							< 0.001					0.033
Never	220	(85.6)	76	(34.5)	144	(65.5)		73	(33.2)	147	(66.8)	
Ever	37	(14.4)	31	(83.8)	6	(16.2)		19	(51.4)	18	(48.6)	
Tobacco consumption							< 0.001					0.328
Never	161	(62.6)	44	(27.3)	117	(72.7)		54	(33.5)	107	(66.5)	
Ever	96	(37.4)	63	(65.6)	33	(34.4)		38	(39.6)	58	(60.4)	
Previous Neoplasia							0.717					0.968
No	246	(95.7)	103	(41.9)	143	(58.1)		88	(35.8)	158	(64.2)	
Yes	11	(4.3)	4	(36.4)	7	(63.6)		4	(36.4)	7	(63.6)	
Clinical aspect							0.362					-
Erythroplakia	1	(0.4)	1	(100.0)	0	(0.0)		0	(0.0)	1	(100.0)	
Leukoplakia	144	(56.0)	60	(41.7)	84	(58.3)		0	(0.0)	144	(100.0)	
Ulcer ± Leukoplakia	18	(7.0)	7	(38.9)	11	(61.1)		0	(0.0)	18	(100.0)	
Verrucous lesion	2	(0.8)	2	(100.0)	0	(0.0)		0	(0.0)	2	(100.0)	

OLP: Oral Lichen Planus. N: Number of patients; SD: Standard Deviation; aColumn percentage; bRow percentage; cIncludes mental and nervous system illnesses, ear and eye diseases and musculoskeletal disorders; dIncludes gums, other regions of oral cavity and oral cavity not specified; eIncludes oral retinoid, CO2 laser and unspecified; 1: Chi2 test P-value; 2: ANOVA test P-value.

Characteristic	All OLP		Gender				P-value ¹	Clinical aspect				P-value ¹
			Male		Female			Unknown		Known		
	N	(%) ^a	N	(%) ^b	N	(%) ^b	N	(%) ^b	N	(%) ^b		
Without clinical aspect	92	(35.8)	37	(40.2)	55	(59.8)	92	(100.0)	0	(0.0)		
Associated diseases							0.156					0.163
No disease	32	(12.5)	15	(46.9)	17	(53.1)	16	(50.0)	16	(50.0)		
Cardiovascular diseases	34	(13.2)	18	(52.9)	16	(47.1)	12	(35.3)	22	(64.7)		
Skin diseases	30	(11.7)	6	(20.0)	24	(80.0)	15	(50.0)	15	(50.0)		
Respiratory /digestive/ genitourinary disorders	79	(30.7)	34	(43.0)	45	(57.0)	26	(32.9)	53	(67.1)		
Blood / immunological / endocrine disorders	43	(16.7)	18	(41.9)	25	(58.1)	12	(27.9)	31	(72.1)		
Others ^c	39	(15.2)	16	(41.0)	23	(59.0)	11	(28.2)	28	(71.8)		
Location of the lesion							0.759					0.082
Tongue	47	(18.3)	20	(42.55)	27	(57.45)	46	(30.5)	105	(69.5)		
Buccal mucosa	151	(58.8)	62	(41.1)	89	(58.9)	23	(48.9)	24	(51.1)		
Floor of the mouth	5	(1.9)	1	(20.0)	4	(80.0)	3	(60.0)	2	(40.0)		
Others ^d	54	(21.0)	24	(44.4)	30	(55.6)	20	(37.0)	34	(63.0)		
Treatment							0.125					0.001
No treatment (clinical control)	89	(34.6)	32	(36.0)	57	(64.0)	43	(48.3)	46	(51.7)		
Topical treatment	102	(39.7)	41	(40.2)	61	(59.8)	25	(24.5)	77	(75.5)		
Surgery	52	(20.2)	29	(55.8)	23	(44.2)	22	(42.3)	30	(57.7)		
Others ^e	14	(5.45)	5	(35.7)	9	(64.3)	2	(14.3)	12	(85.7)		
Total	257	(100.0)	107	(41.6)	150	(58.4)		92	(35.8)	165	(64.2)	
OLP: Oral Lichen Planus. N: Number of patients; SD: Standard Deviation; aColumn percentage; bRow percentage; cIncludes mental and nervous system illnesses, ear and eye diseases and musculoskeletal disorders; dIncludes gums, other regions of oral cavity and oral cavity not specified; eIncludes oral retinoid, CO2 laser and unspecified; 1: Chi2 test P-value; 2: ANOVA test P-value.												

The proportion of ever-smokers and ever-drinkers was higher among male than female patients ($p < 0.001$). Patients diagnosed at the most recent periods (2010–2014) had more clinical aspect availability than those diagnosed at older periods (1995–1999) ($p < 0.001$). Never-drinkers had also more commonly described clinical aspect of their lesion than ever-drinkers ($p = 0.003$) whereas treatment also differed by clinical aspect availability ($p < 0.001$).

After 11 years of follow-up, OLP patients' CFS was 92% and no more progressions to cancer were observed up to the end of the 21 years of follow-up.

Figure 1 and Fig. 2 show Kaplan–Meier curves and log-rank tests for 5-year CFS of all OLPs by gender, age, alcohol and tobacco consumption, OLP location, associated diseases, clinical aspect and treatment. Cox proportional Hazard multivariate models for CFS showed that alcohol consumption (aHR = 5.29, 95%CI 1.41–19.85), tongue site (aHR = 5.51, 95%CI 1.30-23.37), surgery as type of treatment (aHR = 9.34, 95%CI 1.86–46.84) and oldest diagnostic periods were prognostic factors for progression to OSCC during follow-up (Table 2). Tobacco use and unknown clinical aspect were also found to increase the risk of progression to OSCC, although the result did not reach statistical significance ($p = 0.107$ and $p = 0.149$, Table 2). Other variables such as gender, comorbidities and previous neoplasia did not show any independent prognostic value.

Table 2

OLP patients progressing to invasive cancer during follow-up for each sociodemographic and clinical characteristic and crude and adjusted hazard ratios for progression

Characteristics	OLP patients			Crude HR			Adjusted* HR		
	n/N	(%) ^a	P-value [§]	cHR	[95%CI]	P-value [#]	aHR	[95%CI]	P-value [#]
Age at diagnosis			0.357 ^{&}			0.263			
Mean (SD)	61.9 (10.5) / 59.1 (11.7)			1.03	[0.98–1.08]				
Range	42–81 / 24–86								
Age at diagnosis (in quartiles)			0.607			0.562			
< 51	2/62	(3.2)		0.33	[0.06–1.70]				
51–60	4/71	(5.6)		0.59	[0.16–2.18]				
60–69	3/66	(4.5)		0.52	[0.12–2.18]				
69+	5/58	(8.6)		Ref.					
Gender			0.020			0.025			
Male	10/107	(9.3)		3.48	[1.09–11.09]				
Female	4/150	(2.7)		Ref.					
OPMDs diagnostic date			0.045			0.055			0.037
1995–1999	3/24	(12.5)		Ref.			Ref.		
2000–2004	3/43	(7.0)		0.60	[0.12–2.97]		0.18	[0.03–1.23]	
2005–2009	8/108	(7.4)		0.78	[0.20–3.01]		0.56	[0.10–3.04]	
2010–2014	0/82	(0.0)		\$_	-		\$_	-	
Alcohol consumption			< 0.001			0.001			0.011
Never	7/220	(3.2)		Ref.			Ref.		
Ever	7/37	(18.9)		6.25	[2.19–17.88]		5.29	[1.41–19.85]	
Tobacco consumption			0.115			0.107			
Never	6/161	(3.7)		Ref.					
Ever	8/96	(8.3)		2.38	[0.82–6.85]				

n: Number of patients progressing to invasive cancer during follow-up; N: Number of patients; SD: Standard Deviation; SCC: Squamous Cell Carcinoma; HR: Hazard ratio; ^aPercentage of cases progressing to invasive cancer during follow-up; ^bOthers: includes mental and nervous system illnesses, ear and eye diseases and musculoskeletal disorders; ^cOthers: includes gums, other regions of oral cavity and oral cavity not specified; ^dOthers: Includes oral retinoid and CO2 laser; \$: Chi2 test P-value; &: ANOVA test P-value; #: Log-likelihood ratio test P-value; *: Adjusted by alcohol consumption, period of diagnosis and treatment.

Characteristics	OLP patients			Crude HR			Adjusted* HR		
	n/N	(%) ^a	P-value [§]	cHR	[95%CI]	P-value [#]	aHR	[95%CI]	P-value [#]
Previous Neoplasia			0.416			-			
No	14/246	(5.7)		Ref.					
Yes	0/11	(0.0)		₹	-				
Clinical aspect			0.076			0.149			
Erythroplakia	0/1	(0.0)		₹	-				
Leukoplakia	4/144	(2.8)		0.31	[0.10–0.99]				
Ulcer ± Leukoplakia	0/18	(0.0)		₹	-				
Verrucous lesion	0/2	(0.0)		₹	-				
Without clinical aspect	10/92	(10.9)		Ref.					
Associated diseases			0.465			0.005			
No disease	4/32	(12.5)		Ref.					
Cardiovascular diseases	0/34	(0.0)		₹	-				
Skin diseases	1/30	(3.3)		0.24	[0.03–2.12]				
Respiratory /digestive/ genitourinary disorders	5/79	(6.3)		0.51	[0.14–1.91]				
Blood / immunological / endocrine disorders	4/43	(9.3)		0.86	[0.21–3.46]				
Others ^b	0/39	(0.0)		₹	-				
Location of the lesion			0.017			0.022			0.065
Buccal mucosa	3/151	(2.0)		Ref.			Ref.		
Tongue	6/47	(12.8)		6.39	[1.60–25.58]		5.51	[1.30–23.37]	
Floor of the mouth	0/5	(0.0)		₹	-		₹	-	
Others ^c	5/54	(9.3)		4.79	[1.14–20.06]		3.28	[0.72–14.96]	
Treatment			< 0.001			< 0.001			< 0.001
No treatment (clinical control)	0/89	(0.0)		₹	-		₹	-	
Topical treatment	2/102	(2.0)		Ref.			Ref.		

n: Number of patients progressing to invasive cancer during follow-up; N: Number of patients; SD: Standard Deviation; SCC: Squamous Cell Carcinoma; HR: Hazard ratio; ^aPercentage of cases progressing to invasive cancer during follow-up; ^bOthers: includes mental and nervous system illnesses, ear and eye diseases and musculoskeletal disorders; ^cOthers: includes gums, other regions of oral cavity and oral cavity not specified; ^dOthers: Includes oral retinoid and CO2 laser; §: Chi2 test P-value; &: ANOVA test P-value; #: Log-likelihood ratio test P-value; *: Adjusted by alcohol consumption, period of diagnosis and treatment.

Characteristics	OLP patients			Crude HR			Adjusted* HR		
	n/N	(%) ^a	P-value [§]	cHR	[95%CI]	P-value [#]	aHR	[95%CI]	P-value [#]
Surgery	11/52	(21.2)		11.24	[2.49–50.71]		9.34	[1.86–46.84]	
Others ^d	1/14	(7.1)		3.66	[0.33–40.34]		2.58	[0.19–35.04]	
Total	14/257	(5.4)							

n: Number of patients progressing to invasive cancer during follow-up; N: Number of patients; SD: Standard Deviation; SCC: Squamous Cell Carcinoma; HR: Hazard ratio; ^aPercentage of cases progressing to invasive cancer during follow-up; ^bOthers: includes mental and nervous system illnesses, ear and eye diseases and musculoskeletal disorders; ^cOthers: includes gums, other regions of oral cavity and oral cavity not specified; ^dOthers: Includes oral retinoid and CO2 laser; [§]: Chi2 test P-value; &: ANOVA test P-value; [#]: Log-likelihood ratio test P-value; *: Adjusted by alcohol consumption, period of diagnosis and treatment.

Discussion

The malignant transformation of OLP is still a controversial health topic and there are yet considerable gaps in knowledge on the most effective treatment for such lesions, as well as on factors related to their progression to OSCC. Moreover, in the clinical practice, OLP - as well other OPMDs - are managed by a broad range of specialists but there are not consensus guidelines for classification and treatment of OLP.

In our study, 5.4% of the patients diagnosed with OLP developed OSCC during follow-up, while selective pooling of studies using the WHO 2003 diagnostic criteria showed a pooled malignant transformation rate considerably lower, 1.1%.⁸ Nevertheless, most included studies were heterogeneous in terms of design, inclusion criteria and lengths of follow up and malignant transformation rates ranged from 0.0 to 14.3%.

Both active treatment and surveillance are the current standards-of-care of OLPs although those approaches may not prevent their malignant transformation to OSCC. The rationale behind remains uncertain but possible explanations like the field of cancerization or genetic changes have been discussed.¹⁷ Although some molecular studies assessing the risk of progression of OLP have been performed, the biomarkers evaluated in these studies are not currently being used in the clinical practice.¹⁸

We found that alcohol consumption was the most important prognostic factor for progression of OLP to OSCC, which is supported by the results of a recent systematic review.⁸ The review also pointed out the significant increase of malignant transformation risk among smokers and HCV-infected patients, but these results were not observed in our study. We also found higher aHRs for progression to OSCC in OLP located at the tongue, as previously observed.¹

Oldest diagnostic periods were also found to be a prognostic factor for progression to OSCC during follow-up, which may be due to the improvement over time in the accuracy of the clinical diagnosis of the lesions.

Surgery as a type of treatment was also an independent prognostic factor for progression to OSCC in our cohort. Of note, many medical records did not make distinction between complete surgical excision, or biopsy excision with the intention to remove all the lesion, and incisional biopsy. Moreover, international guidelines do not recommend surgical excision of OPL lesions. Other authors have also found higher rates of malignant transformation among patients treated with surgery,¹⁹ but these results must be interpreted with caution and could be explained by the fact that apparently most severe or widespread lesions will more likely be surgically treated. Clinicians decide the most appropriate treatment according to their own experience given the lack of general recommendations or treatment guidelines, which should be developed from a multidisciplinary approach.

Gender and age were not prognostic factors of malignant transformation in our cohort, but female gender seemed to slightly increase the transformation risk of OLP in a recent systematic review.¹

Our study has several limitations. The retrospective nature of our cohort limited the thorough characterization of the patients in terms of risk factors such as tobacco-alcohol use since this information could only be partially obtained from medical records. The histopathological diagnosis of each case was not reconfirmed after the initial diagnosis of the lesion. Yet, this is consistent with the real-world practice. Aspect and size of the lesions have not been herein evaluated as those were not reported at most clinical records. Last, as this study involves different medical specialists, decision making about treatment and interventions are not homogeneous and depend on clinical management or experience and knowledge of each physician. On the other hand, the main strengths of our study were the non-selected consecutive inclusion of cases and the large sample size herein evaluated. Moreover, our analyses were based on the original interpretation of histologic findings by pathologists at the time of diagnosis, reflecting thus a real-world clinical practice. Although randomized clinical trials would be the best model to analyze if surgical management and other factors are predictive for malignant transformation of OLPs, those would be somehow unethical and thus unfeasible.

In conclusion, our results have clinical implications and underscore the need to homogenize the diagnosis and treatment of OLP across the different medical specialties undertaking the management of these lesions, beside well-designed, robust prospective studies to corroborate our findings.

Declarations

DISCLOSURE

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Figures

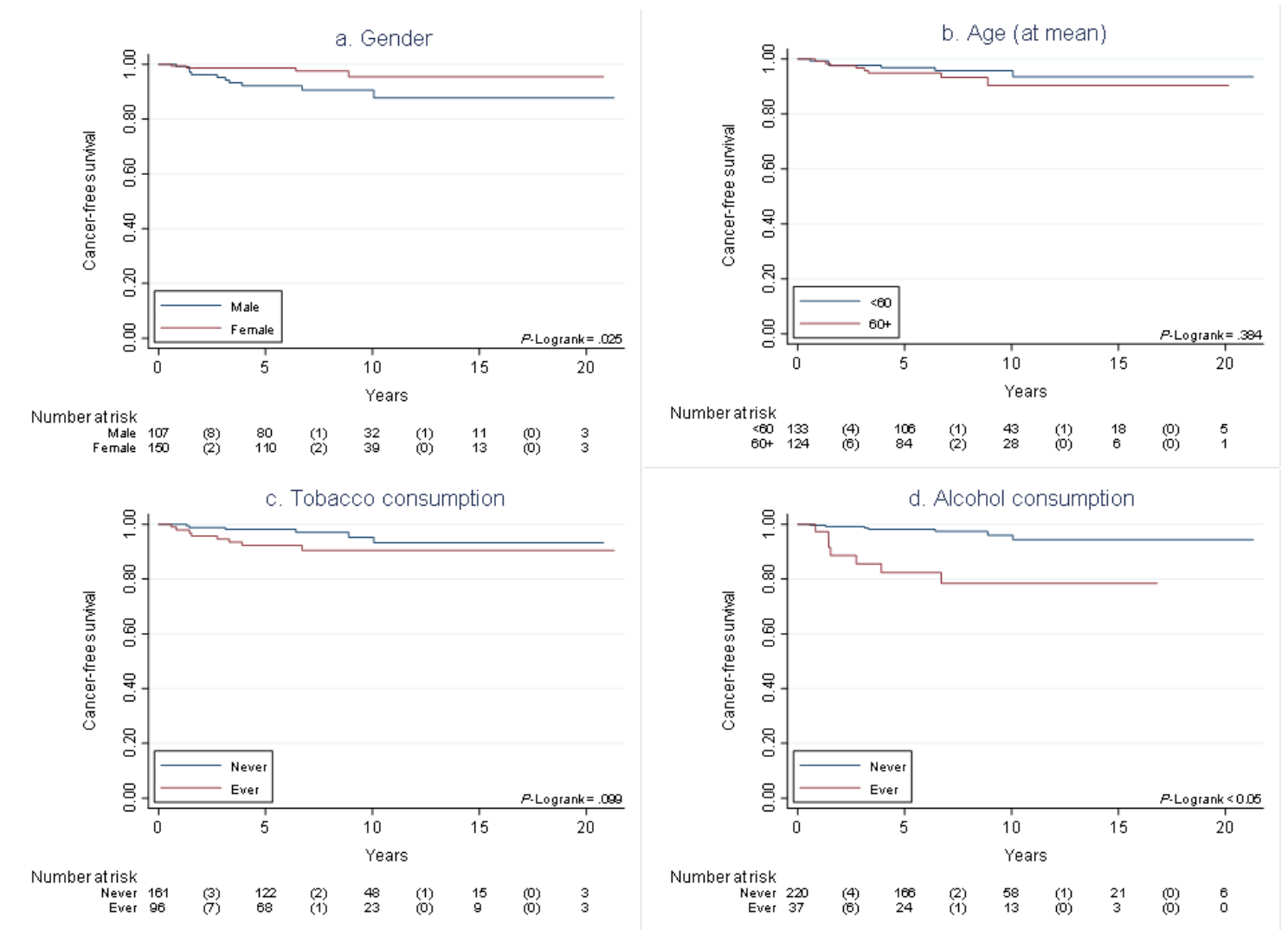


Figure 1

Kaplan–Meier curves and log-rank tests for 5-year cancer free-survival of OLPs by gender, age, alcohol and tobacco consumption.

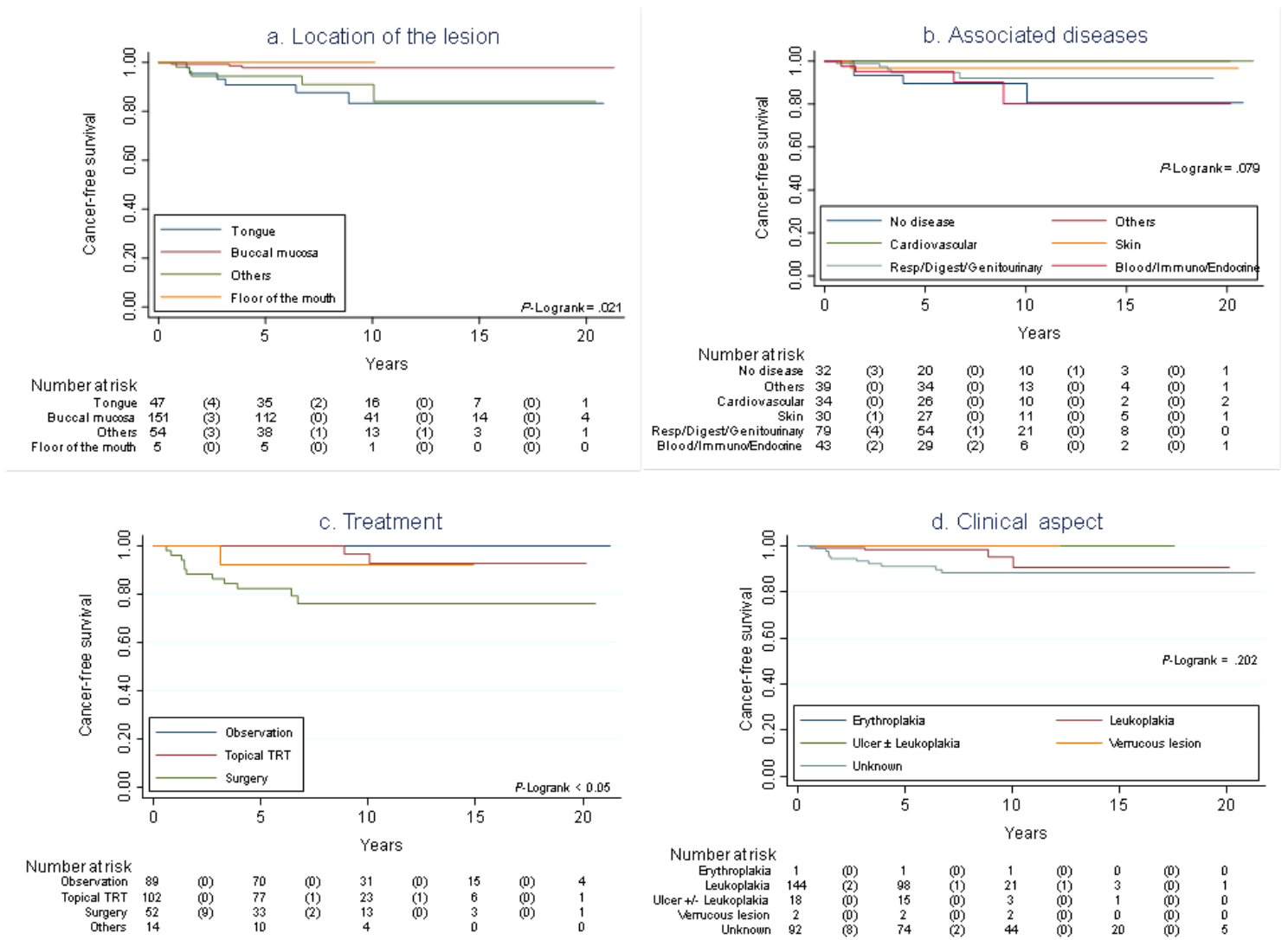


Figure 2

Kaplan–Meier curves and log-rank tests for 5-year cancer free-survival of OLPs by location, associated diseases, clinical aspect and treatment.