


Risk Factors for Mortality in Patients with COVID-19 in New York City



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BACKGROUND: New York City emerged as an epicenter of the coronavirus disease 2019 (COVID-19) pandemic.

OBJECTIVE: To describe the clinical characteristics and risk factors associated with mortality in a large patient population in the USA.

DESIGN: Retrospective cohort study.

PARTICIPANTS: 6493 patients who had laboratory-confirmed COVID-19 with clinical outcomes between March 13 and April 17, 2020, who were seen in one of the 8 hospitals and/or over 400 ambulatory practices in the New York City metropolitan area

MAIN MEASURES: Clinical characteristics and risk factors associated with in-hospital mortality.

KEY RESULTS: A total of 858 of 6493 (13.2%) patients in our total cohort died: 52/2785 (1.9%) ambulatory patients and 806/3708 (21.7%) hospitalized patients. Cox proportional hazard regression modeling showed an increased risk of in-hospital mortality associated with age older than 50 years (hazard ratio [HR] 2.34, CI 1.47–3.71), systolic blood pressure less than 90 mmHg (HR 1.38, CI 1.06–1.80), a respiratory rate greater than 24 per min (HR 1.43, CI 1.13–1.83), peripheral oxygen saturation less than 92% (HR 2.12, CI 1.56–2.88), estimated glomerular filtration rate less than 60 mL/min/1.73m² (HR 1.80, CI 1.60–2.02), IL-6 greater than 100 pg/mL (HR 1.50, CI 1.12–2.03), D-dimer greater than 2 mcg/mL (HR 1.19, CI 1.02–1.39), and troponin greater than 0.03 ng/mL (HR 1.40, CI 1.23–1.62). Decreased risk of in-hospital mortality was associated with female sex (HR 0.84, CI 0.77–0.90), African American race (HR 0.78 CI 0.65–0.95), and hydroxychloroquine use (HR 0.53, CI 0.41–0.67).

CONCLUSIONS: Among patients with COVID-19, older age, male sex, hypotension, tachypnea, hypoxia, impaired renal function, elevated D-dimer, and elevated troponin were associated with increased in-hospital mortality and hydroxychloroquine use was associated with decreased in-hospital mortality.

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INTRODUCTION

The coronavirus disease 2019 (COVID-19) is a pandemic that has impacted medical systems, societies, and economies worldwide. The first case of COVID-19, caused by severe acute respiratory syndrome 2 virus (SARS-CoV-2)¹, was reported in China in December 2019². The virus has spread globally at a rapid pace, resulting in more than 2 million confirmed cases as of April 17, 2020³. In recent weeks, New York City has emerged as an epicenter of the pandemic, with over 120,000 confirmed cases and over 13,000 deaths due to confirmed or probable COVID-19 death as of April 17, 2020⁴. Studies of the clinical characteristics and epidemiologic characteristics of COVID-19 have been conducted in countries experiencing outbreaks earlier than the USA^{5–11}. Large-scale observational data of the clinical characteristics and outcomes of COVID-19 in the population of the USA are scarce. In this study, we describe the clinical characteristics of COVID-19 in ambulatory and inpatient settings and identify risk factors associated with mortality in hospitalized patients.

METHODS

Study Design and Participants

A multicenter retrospective cohort study of patients with COVID-19 patients was conducted using the medical records of the Mount Sinai Health System, a large urban health system of 8 hospitals and more than four hundred ambulatory practices in the New York City metropolitan area. Patients with a positive SARS-CoV-2 test result and an encounter with a healthcare provider for COVID-19 between March 12 and April 17, 2020, were included in this study. A confirmed case of COVID-19 was defined as a positive result on reverse-transcriptase–polymerase-chain-reaction (RT-PCR) assay of nasopharyngeal swab specimens. The study population was dichotomized into ambulatory and hospitalized groups. The former included patients whose encounter was an office visit,

emergency department (ED) visit, or telehealth/telemedicine. Inpatients and ambulatory patients who were subsequently admitted to the hospital were included in the hospitalized group.

Both groups were further subdivided into survivors and non-survivors. Ambulatory non-survivors were patients who had expired prior to presentation to the ED, who had expired in the ED prior to admission to the hospital units, or who had an office or telemedicine encounter and were later found out to be deceased. Ambulatory survivors included all other ambulatory patients. Hospitalized non-survivors were patients who had expired as of April 17, 2020. Hospitalized survivors were patients who had been discharged home or to other facilities as of April 17, 2020.

Icahn School of Medicine at Mount Sinai has waived informed consent and Institutional Review Board approval because the study used a de-identified database.

Definitions

The following covariates were extracted from the database: patients' age, sex, ethnicity, race, smoking status, vital signs including temperature, peripheral oxygen saturation (SpO₂), heart rate, respiratory rate (RR), blood pressure (BP), body mass index (BMI), and laboratory results including white blood cell count (WBC), D-dimer, interleukin-6 (IL-6), hemoglobin, estimated glomerular filtration rate (eGFR), alanine aminotransferase (ALT), aspartate aminotransferase (AST), C-reactive protein (CRP), procalcitonin, ferritin, lactate dehydrogenase (LDH), fibrinogen (FBG), interleukin-6 (IL-6), comorbidities, and treatments.

Statistical Analysis

Continuous variables were reported as median with interquartile range. Categorical variables were expressed as proportions. Temporary changes of vital signs and laboratory values in survivors and non-survivors for the first 14 days after admission were assessed. To illustrate the risk associated with changes in the continuous variables, including vital signs and laboratory values, multivariate generalized additive models were used to calculate the odds ratio (OR) for mortality, with each median value set as a reference (i.e., OR = 1). The hazard ratio (HR) of each variable for mortality risk was assessed using univariate Cox proportional hazard regression model. To account for missing data values for laboratory results, we introduced multiple imputation, which is a procedure used to replace missing values with other plausible values by creating multiple filling-in patterns to avert bias caused by missing data. Using the dataset with imputed values, univariate and multivariate Cox model were fit to calculate HR.

The multivariate Cox model was adjusted for the following variables assessed in the univariate Cox model: patients' age, sex, race, cigarette use history, past medical history of asthma, hypertension, diabetes, or cancer, systolic BP, RR, SpO₂, BMI, initial laboratory values (lymphocyte proportion, D-

dimer, IL-6), and hydroxychloroquine use. For this Cox regression analysis, we excluded variables from the univariable analysis if their between-group differences were not significant, if the number of events was too small to calculate hazard ratios, or if they had collinearity with other significant values. Each hospital was considered by the clustering term in the Cox proportional hazard model analysis where the clustering effect associated with hospitals was accounted for by the robust sandwich estimator. Preliminary confirmation of predictability of the Cox proportional hazard model demonstrated the area under the curve (AUC) to be 0.808 (95% CI, 0.790–0.825, Supplementary Figure 1). To investigate the effect of hydroxychloroquine while addressing the imbalance among treatment groups, we introduced inverse probability weighting (IPTW) based on propensity scoring to control for observed differences in baseline characteristics between treatment group and control group. IPTW was calculated based on the same variables as used in the Cox regression models, except for hydroxychloroquine use. We then fitted an IPTW-adjusted Cox with doubly robust methods. Survival curves with stratification for hydroxychloroquine were constructed using the Kaplan-Meier method. All statistical analyses were performed using version 3.6.2 of the R programming language (R Project for Statistical Computing; R Foundation).

RESULTS

Demographic and Clinical Characteristics

Between March 13 and April 17, there were 6493 confirmed COVID-19 cases, including 2785 (42.9 %) ambulatory patients and 3708 (57.1%) hospitalized patients. The demographics, clinical characteristics, and laboratory findings are shown in Table 1. The median age of the group was 59 (interquartile range [IQR] 43 to 72) with 66.6% of the patients older than 50 years of age. 45.5% of the patients were female. Based on patients' self-reported race, 26.9% were white, 24.1% were African American, 4.4% were Asian, and 44.7% were other. Based on self-reported ethnicity, 57.5% were Non-Hispanic, 25.4% were Hispanic, and the rest were unknown or not reported.

Ambulatory and Hospitalized Comparison

The median age was 47 years old in the ambulatory group (IQR 34 to 60) and 66 years old in the hospitalized group (IQR 55 to 78). 858 patients died (13.2%): 52 patients in the ambulatory group (1.9%) and 806 patients in the hospitalized group (21.7%). Among ambulatory patients, 69% were emergency room encounters without hospital admission, 18.2% were office-based encounters, and 1.4% were telemedicine encounters.

Compared with that of ambulatory patients, a higher proportion of hospitalized patients were older, were male, or had a history of cigarette use. Hospitalized patients were more likely

Table 1 Clinical Characteristics of the Patients with COVID-19

	Total (n = 6493)	Ambulatory (n = 2785)	Hospitalized (n = 3708)
Demographics			
Age (median [IQR])	59 [43, 72]	47 [34, 60]	66 [55, 78]
Age—no./total no. (%)			
< 50 years old	2169/6493 (33.4)	1531/2785 (55.0)	638/3708 (17.2)
50–74 years old	2996/6493 (46.1)	1081/2785 (38.8)	1915/3708 (51.6)
≥ 75 years old	1328/6493 (20.5)	173/2785 (6.2)	1155/3708 (31.1)
Female—no./total no. (%)	2955/6493 (45.5)	1362/2785 (48.9)	1593/3708 (43.0)
Race—no./total no. (%)			
White	1745/6493 (26.9)	817/2785 (29.3)	928/3708 (25.0)
African American	1564/6493 (24.1)	650/2785 (23.3)	914/3708 (24.6)
Asian	283/6493 (4.4)	127/2785 (4.6)	156/3708 (4.2)
Others	2901/6493 (44.7)	1191/2785 (42.8)	1710/3708 (46.1)
Ethnicity—no./total no. (%)			
Non-Hispanic	3734/6493 (57.5)	1543/2785 (55.4)	2191/3708 (59.1)
Hispanic	1652/6493 (25.4)	635/2785 (22.8)	1017/3708 (27.4)
Unknown	1107/6493 (17.0)	607/2785 (21.8)	500/3708 (13.5)
History of cigarette use—no./total no. (%)	1338/6493 (20.6)	429/2785 (15.4)	909/3708 (24.5)
Body mass index (kg/m ²) (median [IQR])	27.7 [24.3, 32.4]	27.4 [24.1, 31.9]	27.9 [24.3, 32.6]
Body mass index ≥ 30 kg/m ² —no./total no. (%)	1557/4399 (35.4)	359/1119 (32.1)	1198/3280 (36.5)
Encounter type—no./total no. (%)			
Hospital	5631/6493 (86.7)	1923/2785 (69.0)	3708/3708 (100.0)
Clinic/office	506/6493 (7.8)	506/2785 (18.2)	0/3708 (0.0)
Phone/telemedicine	318/6493 (4.9)	318/2785 (11.4)	0/3708 (0.0)
Others	38/6493 (0.6)	38/2785 (1.4)	0/3708 (0.0)
Past medical history—no./total no. (%)			
Asthma	271/6493 (4.2)	98/2785 (3.5)	173/3708 (4.7)
Chronic pulmonary obstructive disease	176/6493 (2.7)	26/2785 (0.9)	150/3708 (4.0)
Hypertension	1637/6493 (25.2)	365/2785 (13.1)	1272/3708 (34.3)
Obesity	418/6493 (6.4)	130/2785 (4.7)	288/3708 (7.8)
Diabetes	1151/6493 (17.7)	250/2785 (9.0)	901/3708 (24.3)
Chronic kidney disease	525/6493 (8.1)	94/2785 (3.4)	431/3708 (11.6)
Human immunodeficiency virus infection	98/6493 (1.5)	34/2785 (1.2)	64/3708 (1.7)
Cancer	413/6493 (6.4)	159/2785 (5.7)	254/3708 (6.9)
Vital signs—no./total no. (%)			
Temperature ≥ 39 °C	1215/6039 (20.1)	113/2332 (4.8)	1102/3707 (29.7)
Peripheral oxygen saturation (SpO ₂)			
> 92%	4938/5702 (86.6)	2140/2201 (97.2)	2798/3501 (79.9)
88–92%	502/5702 (8.8)	39/2201 (1.8)	463/3501 (13.2)
≤ 87%	262/5702 (4.6)	22/2201 (1.0)	240/3501 (6.9)
Heart rate > 120 beats per min	539/5973 (9.0)	116/2265 (5.1)	423/3708 (11.4)
Respiratory rate			
≤ 24 per min	5155/5811 (88.7)	2050/2103 (97.5)	3105/3708 (83.7)
25–30 per min	390/5811 (6.7)	36/2103 (1.7)	354/3708 (9.5)
> 30 per min	266/5811 (4.6)	17/2103 (0.8)	249/3708 (6.7)
Systolic blood pressure < 90 mmHg	121/5834 (2.1)	26/2138 (1.2)	95/3696 (2.6)
Diastolic blood pressure < 60 mmHg	664/5834 (11.4)	155/2138 (7.2)	509/3696 (13.8)
Laboratory results			
WBC (× 10 ³ /μL) (median [IQR])	7.30 [5.40, 10.30]	6.20 [4.66, 8.36]	7.60 [5.50, 10.6]
< 4.0 × 10 ³ /μL—no./total no. (%)	380/4353 (8.7)	106/703 (15.1)	274/3650 (7.5)
4.0–8.0 × 10 ³ /μL—no./total no. (%)	3246/4353 (74.6)	538/703 (76.5)	2708/3650 (74.2)
> 12.0 × 10 ³ /μL—no./total no. (%)	727/4353 (16.7)	59/703 (8.4)	668/3650 (18.3)
Neutrophil			
Count (× 10 ³ /μL) (median [IQR])	5.60 [3.80, 8.20]	4.20 [2.90, 6.12]	5.80 [4.00, 8.50]
Percentage (median [IQR])	78.3 [70.0, 85.0]	72.0 [63.2, 79.8]	79.4 [71.8, 85.8]
Percentage > 78—no./total no. (%)	1196/2345 (51.0)	116/388 (29.9)	1080/1957 (55.2)
Lymphocyte			
Count (× 10 ³ /μL) (median [IQR])	0.90 [0.60, 1.30]	1.10 [0.80, 1.40]	0.90 [0.60, 1.20]
Percentage (median [IQR])	12.3 [7.90, 19.0]	17.4 [11.3, 25.0]	11.7 [7.40, 17.6]
Percentage ≤ 12—no./total no. (%)	1242/2345 (53.0)	131/388 (33.8)	1111/1957 (56.8)
Hemoglobin (g/dL) (median [IQR])	13.3 [11.97, 14.5]	13.8 [12.7, 14.9]	13.2 [11.8, 14.5]
< 12 g/dL—no./total no. (%)	1626/2204 (73.8)	273/321 (85.0)	1353/1883 (71.9)
Platelet count (× 10 ³ /μL) (median [IQR])	211.0 [160.8, 272.3]	204.0 [164.0, 268.0]	211.0 [160.0, 273.0]
> 200 × 10 ³ /μL—no./total no. (%)	1299/2344 (55.4)	208/385 (54.0)	1091/1959 (55.7)
eGFR (mL/min/1.73m ²) (median [IQR])	68.8 [40.1, 94.3]	78.1 [57.28, 99.6]	66.3 [37.3, 93.40]
> 60 mL/min/1.73m ² —no./total no. (%)	2505/4295 (58.3)	490/687 (71.3)	2015/3608 (55.8)
30–60 mL/min/1.73m ² —no./total no. (%)	1023/4295 (23.8)	139/687 (20.2)	884/3608 (24.5)
< 30 mL/min/1.73m ² —no./total no. (%)	767/4295 (17.9)	58/687 (8.4)	709/3608 (19.7)
Alanine aminotransferase (U/L) (median [IQR])	30.0 [19.0, 51.0]	29.0 [19.0, 46.0]	30.0 [19.0, 52.0]
> 40 U/L—no./total no. (%)	1370/4009 (34.2)	146/490 (29.8)	1224/3519 (34.8)
Aspartate aminotransferase (U/L) (median [IQR])	43.0 [29.0, 69.0]	35.0 [24.0, 54.0]	44.0 [29.0, 71.0]
> 40 U/L—no./total no. (%)	2111/3952 (53.4)	187/458 (40.8)	1924/3494 (55.1)
C-reactive protein (mg/L) (median [IQR])	125.4 [60.3, 215.3]	89.1 [37.1, 159.6]	127.8 [62.1, 218.9]
> 150 mg/L—no./total no. (%)	623/1491 (41.8)	34/109 (31.2)	589/1382 (42.6)
Procalcitonin (ng/mL) (median [IQR])	0.20 [0.08, 0.65]	0.10 [0.05, 0.31]	0.21 [0.08, 0.68]

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Table 1. (continued)

	Total (n = 6493)	Ambulatory (n = 2785)	Hospitalized (n = 3708)
> 0.5 ng/mL—no./total no. (%)	3143/3143 (100.0)	212/212 (100.0)	2931/2931 (100.0)
Ferritin (ng/mL) (median [IQR])	748 [339, 1769]	518.0 [259, 1347]	759 [351, 1797]
> 400 ng/mL—no./total no. (%)	2278/3234 (70.4)	128/221 (57.9)	2150/3013 (71.4)
Interleukin-6, serum (pg/mL) (median [IQR])	68.2 [32.8, 145.8]	37.4 [21.2, 57.3]	68.5 [33.0, 146.1]
> 100 pg/mL—no./total no. (%)	414/1150 (36.0)	3/13 (23.1)	411/1137 (36.1)
Lactate dehydrogenase (U/L) (median [IQR])	429.0 [322.0, 583.0]	361.5 [282.0, 488.0]	435.0 [326.0, 585.5]
> 440 U/L—no./total no. (%)	1495/3143 (47.6)	66/212 (31.1)	1429/2931 (48.8)
Fibrinogen (mg/dL) (median [IQR])	633.0 [512.0, 755.0]	595.0 [486.5, 691.5]	634.0 [512.3, 758.0]
> 400 mg/dL—no./total no. (%)	1540/1705 (90.3)	52/55 (94.5)	1488/1650 (90.2)
D-dimer (μ g/mL) (median [IQR])	1.53 [0.85, 3.01]	1.12 [0.61, 2.29]	1.56 [0.88, 3.04]
> 2 μ g/mL—no./total no. (%)	1172/2984 (39.3)	59/214 (27.6)	1113/2770 (40.2)
Troponin (ng/dL) (median [IQR])	0.03 [0.02, 0.10]	0.02 [0.01, 0.05]	0.03 [0.02, 0.10]
> 0.03 ng/dL—no./total no. (%)	1397/2805 (49.8)	85/279 (30.5)	1312/2526 (51.9)
Medications—no./total no. (%)			
Hydroxychloroquine	2863/6493 (44.1)	50/2785 (1.8)	2813/3708 (75.9)
Azithromycin	2785/6493 (42.9)	193/2785 (6.9)	2592/3708 (69.9)
Death (median [IQR])	858/6493 (13.2)	52/2785 (1.9)	806/3708 (21.7)

IQR, interquartile range; WBC, white blood cell count; eGFR, estimated glomerular filtration rate

to have coexisting medical conditions including asthma, chronic obstructive pulmonary disease (COPD), hypertension, obesity, diabetes mellitus (DM), chronic kidney disease (CKD), and cancer. Hospitalized patients were more likely to have abnormal vital signs and abnormal laboratory values including higher WBC count, lymphocyte, and neutrophil counts, higher levels of AST, CRP, procalcitonin, ferritin, IL-6, LDH, D-dimer, and troponin, and lower levels of eGFR and hemoglobin. Clinical characteristics of hospitalized patients stratified by age group, gender, race, and hydroxychloroquine use are shown in Supplementary Tables 2, 3, 4, and 5, respectively.

Survivors and Non-Survivors

Clinical characteristics of the 2014 survivors and 806 non-survivors in the hospitalized group are shown in Table 2 (Supplementary Table 1 for the ambulatory group). The median number of days to discharge for survivors was 5 days (IQR, 3 to 9 days). The median number of days to death for non-survivors was also 5 days (IQR, 3 to 9 days). Compared with survivors, non-survivors were older and the higher proportion were male. Non-survivors were more likely to have a history of cigarette use and coexisting medical conditions including COPD, hypertension, DM, and CKD.

Temporal changes of vital signs and laboratory values in survivors and non-survivors during hospitalization are shown in Figure 1. Throughout hospitalization, non-survivors had higher heart rate and respiratory rate and lower oxygen saturation compared with survivors. Initial laboratory findings of non-survivors demonstrated higher WBC count and higher levels of D-dimer, IL-6, AST, CRP, procalcitonin, ferritin, LDH, fibrinogen, and troponin. Throughout hospitalization, non-survivors had higher WBC count, neutrophil proportion, LDH, and ferritin levels, and lower eGFR and lymphocyte proportion. Non-survivors also had higher levels of CRP, D-dimer, and IL-6 in the first week of hospitalization. Non-

survivors showed a marked increase in LDH, CRP, D-dimer, AST, ALT, and procalcitonin on day 1 after admission. Both groups had a trend of decreasing hemoglobin levels and increasing platelet counts during hospitalization; however, a more pronounced decrease in hemoglobin levels was seen in non-survivors, while an increase in platelet counts was greater for survivors. The generalized additive models demonstrated correlations between laboratory values and increased odds of in-hospital mortality which are similar to the difference observed between hospitalized survivors and non-survivors (Supplementary Figure 3).

Treatment

The majority of hospitalized patients received hydroxychloroquine (74.6% of survivors and 71.3% of non-survivors) and azithromycin (67.4% of survivors and 71.3% of non-survivors). Fewer hospitalized patients received other medications such as remdesivir, anakinra, tocilizumab, or sarilumab (Table 2). The majority of ambulatory patients did not receive hydroxychloroquine or azithromycin. Kaplan-Meier estimate showed lower mortality in hospitalized patients who received hydroxychloroquine (log rank P value < 0.001) (Supplementary Figure 4).

Risk Factors Associated with Mortality in Hospitalized Patients

The results of multivariate Cox proportional hazard regression models are shown in Table 3 (univariate models are shown in Supplementary Table 6). Of 3708 hospitalized patients, 888 patients remained hospitalized as of April 7 and were not included in the analysis. In the multivariate analysis, factors associated with a higher risk of in-hospital mortality included age over 50, systolic blood pressure less than 90 mmHg, a respiratory rate greater than 24 per min, SpO₂ less than 92%, eGFR less than 60 mL/min/1.73m², IL-6 greater than 100 pg/

Table 2 Clinical Characteristics of the Hospitalized Patients with COVID-19

	Survivors (n = 2014)	Non-survivors (n = 806)	In-hospital (n = 888)
Demographics			
Age (median [IQR])	62 [49, 73]	76 [65, 85]	68 [58, 78]
Age—no./total no. (%)			
Age < 50 years old	505/2014 (25.1)	30/806 (3.7)	103/888 (11.6)
Age: 60–79 years old	1086/2014 (53.9)	343/806 (42.6)	486/888 (54.7)
Age ≥ 75 years old	423/2014 (21.0)	433/806 (53.7)	299/888 (33.7)
Female—no./total no. (%)	886/2014 (44.0)	323/806 (40.1)	384/888 (43.2)
Race—no./total no. (%)			
White	496/2014 (24.6)	243/806 (30.1)	189/888 (21.3)
African American	502/2014 (24.9)	194/806 (24.1)	218/888 (24.5)
Asian	81/2014 (4.0)	36/806 (4.5)	39/888 (4.4)
Others	935/2014 (46.4)	333/806 (41.3)	442/888 (49.8)
Ethnicity—no./total no. (%)			
Non-Hispanic	1180/2014 (58.6)	502/806 (62.3)	509/888 (57.3)
Hispanic	594/2014 (29.5)	171/806 (21.2)	252/888 (28.4)
Unknown	240/2014 (11.9)	133/806 (16.5)	127/888 (14.3)
History of cigarette use—no./total no. (%)	455/2014 (22.6)	229/806 (28.4)	225/888 (25.3)
Body mass index (kg/m ²) (median [IQR])	28.07 [24.6, 32.6]	27.6 [23.9, 32.5]	27.5 [24.0, 32.61]
Body mass index ≥ 30 kg/m ² —no./total no. (%)	678/1828 (37.1)	237/662 (35.8)	283/790 (35.8)
Past medical history—no./total no. (%)			
Asthma	97/2014 (4.8)	31/806 (3.8)	45/888 (5.1)
Chronic pulmonary obstructive disease	60/2014 (3.0)	46/806 (5.7)	44/888 (5.0)
Hypertension	606/2014 (30.1)	324/806 (40.2)	342/888 (38.5)
Obesity	164/2014 (8.1)	57/806 (7.1)	67/888 (7.5)
Diabetes	436/2014 (21.6)	221/806 (27.4)	244/888 (27.5)
Chronic kidney disease	186/2014 (9.2)	131/806 (16.3)	114/888 (12.8)
Human immunodeficiency virus infection	38/2014 (1.9)	11/806 (1.4)	15/888 (1.7)
Cancer	125/2014 (6.2)	69/806 (8.6)	60/888 (6.8)
Vital signs—no./total no. (%)			
Temperature ≥ 39 °C	536/2014 (26.6)	294/805 (36.5)	272/888 (30.6)
Peripheral oxygen saturation (SpO ₂)			
> 92%	1700/1978 (85.9)	518/705 (73.5)	580/818 (70.9)
88–92%	204/1978 (10.3)	121/705 (17.2)	138/818 (16.9)
≤ 87%	74/1978 (3.7)	66/705 (9.4)	100/818 (12.2)
Heart rate > 120 beats per min	205/2014 (10.2)	97/806 (12.0)	121/888 (13.6)
Respiratory rate			
≤ 24 per min	1847/2014 (91.7)	605/806 (75.1)	653/888 (73.5)
25–30 per min	105/2014 (5.2)	104/806 (12.9)	145/888 (16.3)
> 30 per min	62/2014 (3.1)	97/806 (12.0)	90/888 (10.1)
Systolic blood pressure < 90 mmHg	33/2008 (1.6)	36/804 (4.5)	26/884 (2.9)
Diastolic blood pressure < 60 mmHg	227/2008 (11.3)	158/804 (19.7)	124/884 (14.0)
Laboratory results			
WBC (× 10 ³ /μL) (median [IQR])	7.00 [5.30, 9.41]	8.80 [6.19, 12.2]	8.30 [5.80, 11.9]
< 4.0 × 10 ³ /μL—no./total no. (%)	172/1967 (8.7)	47/796 (5.9)	55/887 (6.2)
4.0–8.0 × 10 ³ /μL—no./total no. (%)	1545/1967 (78.5)	542/796 (68.1)	621/887 (70.0)
> 12.0 × 10 ³ /μL—no./total no. (%)	250/1967 (12.7)	207/796 (26.0)	211/887 (23.8)
Neutrophil			
Count (× 10 ³ /μL) (median [IQR])	5.20 [3.60, 7.50]	7.10 [4.90, 10.5]	6.30 [4.20, 9.30]
Percentage (median [IQR])	77.7 [70.0, 84.1]	81.8 [74.0, 87.7]	82.0 [75.2, 87.1]
Percentage > 78—no./total no. (%)	533/1103 (48.3)	249/393 (63.4)	298/461 (64.6)
Lymphocyte			
Count (× 10 ³ /μL) (median [IQR])	0.90 [0.70, 1.30]	0.80 [0.50, 1.10]	0.80 [0.50, 1.10]
Percentage (median [IQR])	13.0 [8.60, 19.2]	9.20 [5.70, 15.0]	10.1 [6.30, 15.5]
Percentage ≤ 12—no./total no. (%)	542/1103 (49.1)	266/393 (67.7)	303/461 (65.7)
Hemoglobin (g/dL) (median [IQR])	13.4 [12.2, 14.5]	12.9 [11.1, 14.4]	13.4 [11.6, 14.4]
< 12 g/dL—no./total no. (%)	708/903 (78.4)	313/506 (61.9)	332/474 (70.0)
Platelet count (× 10 ³ /μL) (median [IQR])	212.0 [166.0, 267.0]	197.0 [146.0, 252.0]	225.0 [164.0, 296.0]
> 200 × 10 ³ /μL—no./total no. (%)	629/1105 (56.9)	184/393 (46.8)	278/461 (60.3)
eGFR (mL/min/1.73m ²) (median [IQR])	76.8 [49.5, 102.0]	45.8 [24.3, 70.7]	61.4 [32.5, 89.6]
> 60 mL/min/1.73m ² —no./total no. (%)	1289/1925 (67.0)	264/796 (33.2)	462/887 (52.1)
30–60 mL/min/1.73m ² —no./total no. (%)	383/1925 (19.9)	282/796 (35.4)	219/887 (24.7)
< 30 mL/min/1.73m ² —no./total no. (%)	253/1925 (13.1)	250/796 (31.4)	206/887 (23.2)
Alanine aminotransferase (U/L) (median [IQR])	29.0 [18.0, 52.0]	32.0 [20.0, 54.0]	29.0 [18.0, 53.0]
> 40 U/L—no./total no. (%)	634/1869 (33.9)	288/773 (37.3)	302/877 (34.4)
Aspartate aminotransferase (U/L) (median [IQR])	40.0 [28.0, 62.0]	56.0 [35.0, 90.0]	46.0 [31.0, 73.0]
> 40 U/L—no./total no. (%)	891/1856 (48.0)	522/762 (68.5)	511/876 (58.3)
C-reactive protein (mg/L) (median [IQR])	93.9 [44.6, 172.7]	174.4 [95.3, 254.6]	154.04 [82.7, 239.5]
> 150 mg/L—no./total no. (%)	203/657 (30.9)	178/315 (56.5)	208/410 (50.7)
Procalcitonin (ng/mL) (median [IQR])	0.13 [0.06, 0.37]	0.47 [0.18, 1.53]	0.27 [0.11, 0.82]
> 0.5 ng/mL—no./total no. (%)	1545/1545 (100.0)	581/581 (100.0)	805/805 (100.0)
Ferritin (ng/mL) (median [IQR])	637.5 [287.8, 1479.0]	938.0 [432.0, 2186.0]	928.0 [434.0, 2051.5]
> 400 ng/mL—no./total no. (%)	1017/1556 (65.4)	490/625 (78.4)	643/832 (77.3)
Interleukin-6, serum (pg/mL) (median [IQR])	45.8 [23.3, 82.4]	152.4 [79.1, 303.8]	78.4 [40.7, 152.1]
> 100 pg/mL—no./total no. (%)	117/582 (20.1)	187/287 (65.2)	107/268 (39.9)

(continued on next page)

Table 2. (continued)

	Survivors (n = 2014)	Non-survivors (n = 806)	In-hospital (n = 888)
Lactate dehydrogenase (U/L) (median [IQR])	391.0 [303.0, 500.0]	511.0 [382.0, 758.0]	478.0 [364.0, 650.0]
>440 U/L—no./total no. (%)	586/1545 (37.9)	372/581 (64.0)	471/805 (58.5)
Fibrinogen (mg/dL) (median [IQR])	616.0 [506.0, 727.0]	634.0 [506.0, 777.0]	664.0 [521.8, 790.0]
> 400 mg/dL—no./total no. (%)	696/761 (91.5)	302/349 (86.5)	490/540 (90.7)
D-dimer (µg/mL) (median [IQR])	1.25 [0.73, 2.35]	2.29 [1.29, 4.00]	1.79 [1.05, 3.51]
> 2 µg/mL—no./total no. (%)	426/1407 (30.3)	315/548 (57.5)	372/815 (45.6)
Troponin (ng/dL) (median [IQR])	0.02 [0.01, 0.06]	0.07 [0.03, 0.21]	0.04 [0.02, 0.12]
> 0.03 ng/dL—no./total no. (%)	410/1108 (37.0)	504/718 (70.2)	398/700 (56.9)
Interleukin-1b (pg/mL) (median [IQR])	0.50 [0.30, 0.80]	0.60 [0.40, 1.20]	0.50 [0.40, 0.80]
> 5 pg/mL—no./total no. (%)	6/354 (1.7)	0/100 (0.0)	2/168 (1.2)
Interleukin-8 (pg/mL) (median [IQR])	34.6 [22.2, 54.6]	60.2 [37.9, 112.5]	46.2 [32.0, 75.2]
> 5 pg/mL—no./total no. (%)	423/424 (99.8)	115/115 (100.0)	197/198 (99.5)
Tumor necrosis factor alpha (pg/mL) (median [IQR])	20.60 [15.9, 28.3]	25.9 [19.7, 38.3]	23.9 [16.7, 36.7]
> 22 pg/mL—no./total no. (%)	194/423 (45.9)	75/115 (65.2)	115/198 (58.1)
Medications			
Treatments			
Hydroxychloroquine—no./total no. (%)	1502/2014 (74.6)	575/806 (71.3)	736/888 (82.9)
Initiation (day) (median [IQR])	0.74 [0.43, 1.15]	0.89 [0.51, 1.65]	0.57 [0.32, 0.96]
Azithromycin—no./total no. (%)	1357/2014 (67.4)	575/806 (71.3)	660/888 (74.3)
Initiation (day) (median [IQR])	0.11 [0.00, 0.45]	0.11 [0.01, 0.49]	0.10 [−0.02, 0.42]
Remdesivir—no./total no. (%)	11/2014 (0.5)	2/806 (0.2)	43/888 (4.8)
Initiation (day) (median [IQR])	4.04 [2.23, 5.56]	4.04 [3.56, 4.53]	2.84 [2.50, 3.66]
Anakinra—no./total no. (%)	0/2014 (0.0)	2/806 (0.2)	1/888 (0.1)
Initiation (day) (median [IQR])	NA	3.67 [3.41, 3.92]	2.13 [2.13, 2.13]
Tocilizumab—no./total no. (%)	47/2014 (2.3)	60/806 (7.4)	57/888 (6.4)
Initiation (day) (median [IQR])	2.25 [1.37, 4.26]	3.16 [2.05, 5.83]	3.61 [1.95, 6.02]
Sarilumab—no./total no. (%)	10/2014 (0.5)	12/806 (1.5)	10/888 (1.1)
Initiation (day) (median [IQR])	4.29 [2.15, 5.19]	5.23 [3.90, 8.06]	3.11 [1.79, 5.67]
Anticoagulation			
Heparin—no./total no. (%)	1233/2014 (61.2)	546/806 (67.7)	486/888 (54.7)
Enoxaparin—no./total no. (%)	766/2014 (38.0)	248/806 (30.8)	581/888 (65.4)
Apixaban—no./total no. (%)	189/2014 (9.4)	106/806 (13.2)	219/888 (24.7)
Rivaroxaban—no./total no. (%)	34/2014 (1.7)	17/806 (2.1)	13/888 (1.5)
Tissue plasminogen activator—no./total no. (%)	4/2014 (0.2)	40/806 (5.0)	36/888 (4.1)
Initiation of anticoagulation (day) (median [IQR])	0.40 [0.20, 0.74]	0.43 [0.24, 0.81]	0.33 [0.15, 0.67]
Other variables			
Length of stay (median [IQR])	5 [3, 9]	5 [3, 10]	NA

IQR, interquartile range; WBC, white blood cell count; eGFR, estimated glomerular filtration rate

mL (6.5 times upper limit of normal [ULN]), D-dimer greater than 2 mcg/mL (4 times ULN), and troponin greater than 0.03 ng/mL. Factors associated with a lower risk of in-hospital mortality included female sex, African American race, and hydroxychloroquine use. The adjustment with IPTW did not lead to a significant change in the HR of hydroxychloroquine (without IPTW: HR 0.53, CI 0.41–0.67; with IPTW: HR 0.53, CI 0.41–0.68).

DISCUSSION

We report a large retrospective cohort study of both ambulatory and hospitalized patients with COVID-19 from across the New York City metropolitan area. The clinical characteristics described here represent the first large retrospective cohort study from the US population in a city at the epicenter of the pandemic.

Early reports showed that COVID-19 had a mortality rate among all confirmed cases of 2%¹² which is significantly lower compared with that of 34% with MERS¹³ and 10% with SARS¹⁴. The mortality rate in hospitalized patients reported previously ranged from 4 to 28%^{2, 7–9, 11}. The mortality rate of

25.9% among hospitalized patients in our study may be explained by more severe disease in our total cohort, by a different reporting method, or by geographic variation.

We identified several risk factors associated with mortality in hospitalized patients with COVID-19 that have been previously reported including older age and male sex. We report additional risk factors associated with in-hospital mortality including low SBP, tachypnea, low SpO₂, low eGFR, and higher levels of IL-6, D-dimer, and troponin levels.

The severity of coronavirus infection in humans has been previously described to increase during viral clearance suggesting pathogenicity arising from host immune response¹⁵. Our study confirmed again that older patients with COVID-19 hospitalization are at significantly higher risk of mortality. We did not observe any independent association between in-hospital mortality and some of the common coexisting medical conditions including hypertension, diabetes, or cancer. However, using calculated GFR as a surrogate for CKD, we observed that decreased renal function was a risk factor for in-hospital mortality, a finding that is consistent with previous studies¹⁶.

IL-6 and other pro-inflammatory cytokines production are felt to be due to immune dysregulation rather than normal

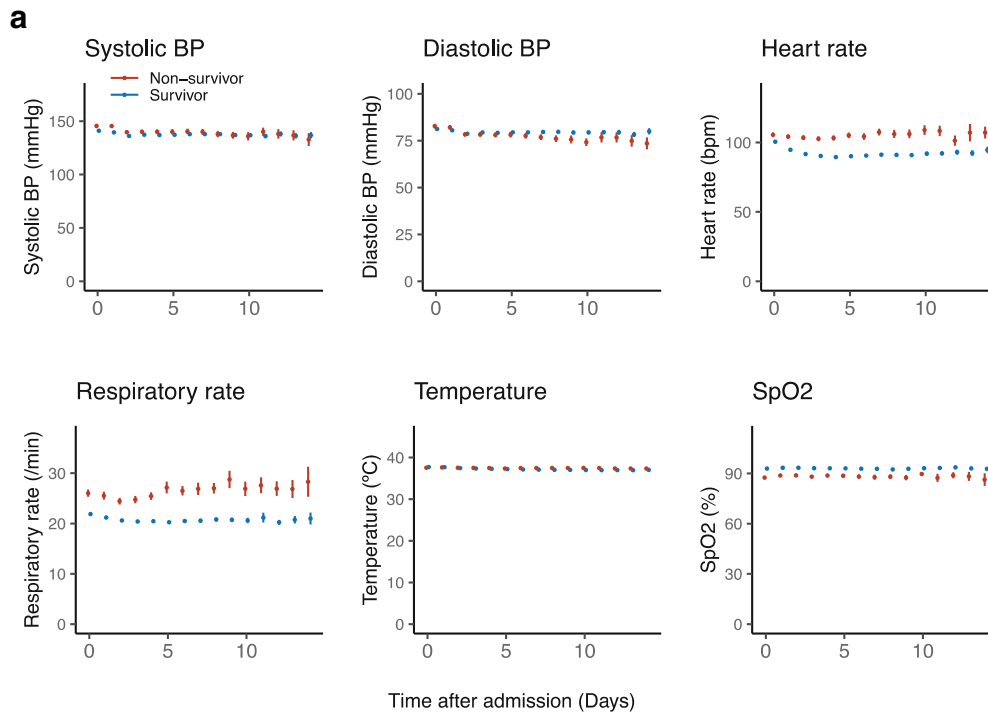


Figure 1 a Temporal change of vital signs in patients with COVID-19. BP, blood pressure; SpO₂, peripheral oxygen saturation. **b** Temporal change of laboratory values in patients with COVID-19. WBC, white blood cell count; eGFR, estimated glomerular filtration rate; ALT, alanine aminotransferase; AST, aspartate aminotransferase; CRP, C-reactive protein; IL-6, interleukin-6; LDH, lactate dehydrogenase.

response to SARS-CoV infection^{17, 18}. Our findings are consistent with this theory, and we observed elevated IL-6 as an independent prognostic risk factor, with higher levels in non-survivors. In hospitalized patients, we saw fluctuating IL-6 levels, with a significant increase seen on day 1 of admission and an increasing level trend that was more pronounced in non-survivors.

Thrombocytosis was associated with disease activity in SARS and was thought to be secondary to the direct effect of the virus or effect of inflammatory cytokines¹⁹. We observed a greater thrombocytosis during hospitalization in survivors than in non-survivors. A previous study of IL-6 in primates revealed that there is a dose-dependent response of thrombocytosis induced by IL-6²⁰. The discrepancy between high IL-6 levels and lack of thrombocytosis in non-survivors could be explained by endothelial damage and subsequent platelet consumption from viral infection, impaired platelet release from megakaryocytes in the lung, or direct impairment of hematopoiesis²¹. This may suggest that the absence of reactive thrombocytosis may portend a poor response to SARS-CoV-2 infection.

Elevated D-dimer in COVID-19 patients has been described previously^{22, 23}. We report in this study its independent association with an increased risk of in-hospital mortality. Abnormal D-dimer alone is non-specific; however, the higher elevation in non-survivors suggests that coagulopathy, particularly disseminated intravascular coagulation (DIC), may contribute to mortality in COVID-19.

One of the functional receptors for pathogenic human coronavirus such as SARS-CoV is angiotensin-converting enzyme 2 (ACE2)²⁴, and these receptors are expressed in heart tissues²⁵. This suggests that SARS-CoV-2 virus could directly affect the heart. Similar to the previous finding that showed an association of cardiac injury and a higher risk of in-hospital mortality²⁶, we observed elevated troponin levels in hospitalized patients as a risk factor for increased mortality.

Hydroxychloroquine is an analog of chloroquine, a widely used anti-malarial with immunomodulatory effects²⁷. In vitro studies have shown that hydroxychloroquine has activity against SARS-CoV-2²⁸. The clinical data of hydroxychloroquine in patients with COVID-19 come from small studies that have shown mixed results. Chen et al. randomized 30 hospitalized patients with COVID-19 to receive hydroxychloroquine 400 mg daily for 5 days or placebo and found that 86.7% of the hydroxychloroquine group and 93.3% of the control group had negative throat swabs²⁹. Chen et al. randomized 62 patients to hydroxychloroquine or placebo and reported shortened time to clinical recovery, fever resolution, and cough improvement in the hydroxychloroquine group³⁰. Mahevas et al. reviewed 181 hospitalized patients with COVID-19 data who received hydroxychloroquine 600 mg daily and reported no difference in outcomes, including in ICU admission and/or death at 7 days follow-up³¹. Another randomized trial of 150 hospitalized patients by Tang et al. did not show symptomatic improvement at 28 days or clearance of

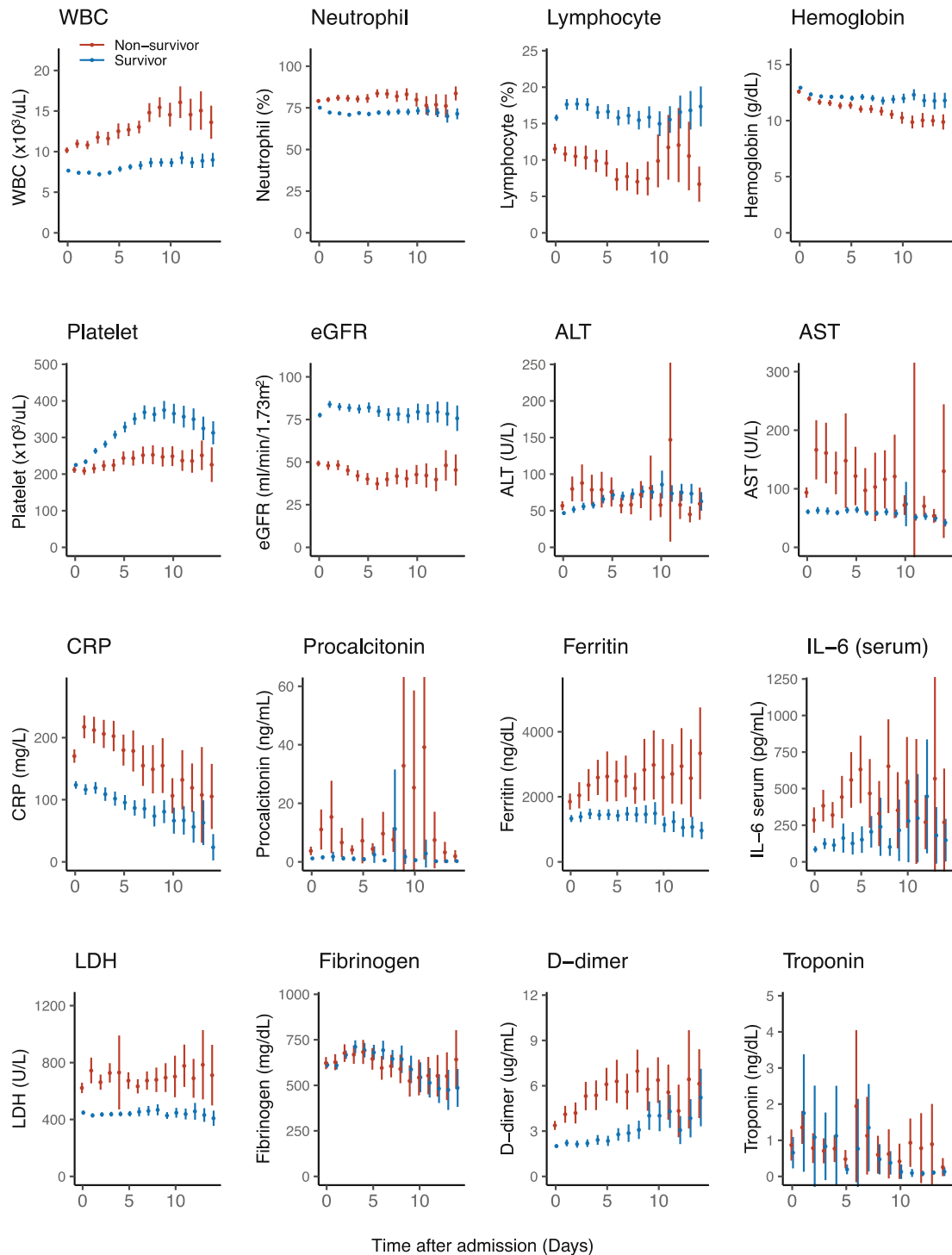


Fig. 1 (continued)

SARS-CoV-2 with hydroxychloroquine use³². We attempted to adjust for all known confounders between the groups who did and did not receive hydroxychloroquine using multivariate regression analyses and the IPTW method, which revealed that hydroxychloroquine use was associated with decreased risk of in-hospital mortality. Due to the inherent limitations of our retrospective study design, there was no conclusive determination on the efficacy of hydroxychloroquine in patients

with COVID-19. More robust studies such as randomized clinical trials are needed.

Our study has several limitations. First, we have no long-term follow up data for ambulatory and discharged patients; hence, the clinical outcome observed may not be reflective of the true eventual outcome, particularly in the ambulatory group. Second, we have patients who remained hospitalized at the time of our analyses and did not have our outcomes,

Table 3 Risk Factors Associated with In-Hospital Death

	Hazard ratio (95% CI)	P value
Age (reference: < 50 years old)		
50–74 years old	2.34 (1.47–3.71)	< 0.001
≥ 75 years old	4.85 (2.75–8.56)	< 0.001
Sex (Female)	0.82 (0.75–0.90)	< 0.001
Race (reference: White)		
African American	0.78 (0.65–0.95)	0.011
Asian	0.94 (0.83–1.08)	0.397
Others	1.00 (0.83–1.19)	0.971
Cigarettes use history (reference: never smoker)	1.01 (0.90–1.13)	0.916
Hypertension	0.91 (0.79–1.07)	0.250
Diabetes	0.92 (0.73–1.16)	0.481
Cancer	1.08 (0.84–1.40)	0.550
Systolic blood pressure < 90 mmHg	1.38 (1.06–1.80)	0.017
Respiratory rate		
25–30 per min	1.43 (1.13–1.83)	0.004
> 30 per min	1.68 (1.19–2.36)	0.003
Peripheral oxygen saturation ≤ 92%	2.12 (1.56–2.88)	< 0.001
Lymphocyte ≤ 12%	1.12 (0.97–1.29)	0.110
Estimated glomerular filtration rate		
31–60 mL/min/1.73m ²	1.80 (1.60–2.02)	< 0.001
< 30 mL/min/1.73m ²	2.20 (1.83–2.65)	< 0.001
C-reactive protein >150 mg/L	1.03 (0.78–1.36)	0.815
Interleukin-6, serum >100 pg/mL	1.50 (1.12–2.03)	0.007
Lactate dehydrogenase >440 U/L	1.25 (0.86–1.81)	0.240
D-dimer >2 μL/mL	1.19 (1.02–1.39)	0.031
Troponin >0.03 ng/dL	1.41 (1.23–1.62)	< 0.001
Hydroxychloroquine use	0.53 (0.41–0.67)	< 0.001

such as discharge or mortality, and were excluded for our comparison of survivors and non-survivors. Third, due to limitations and local testing policy during the study duration, there are an unknown number of patients who were not diagnosed with COVID-19 because of a lack of severe symptoms and/or hospitalization. Fourth, we are not able to adjust for unknown confounders that may affect the true treatment effect. These limitations prevent any definitive conclusions on the efficacy of any treatment.

CONCLUSIONS

In this retrospective study of over 6000 ambulatory and hospitalized patients with COVID-19 in the New York City metropolitan area, age, male sex, tachypnea, low systolic blood pressure, low peripheral oxygen saturation, impaired renal function, elevated IL-6, elevated D-dimer, and elevated troponin were found to be risk factors for mortality. Hydroxychloroquine use was associated with decreased mortality.

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Authors' Roles: TM and ES had the idea for and designed the study and had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis. TM and ES drafted the paper. TM, ES, HM, and TY did the analysis, and all authors critically revised the manuscript for important intellectual content and gave final approval for the version to be published. TM

and ES collected the data. All authors agree to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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Compliance with Ethical Standards:

Informed consent was waived because of the **de-identified and retrospective** nature of the data. We confirm that we have read the Journal's position on issues involved in ethical publication and affirm that this work is consistent with those guidelines.

Conflict of Interest: The authors declare that they do not have a conflict of interest.

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