#### REVIEW



# Rituximab for the treatment of multiple sclerosis: a review

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### Abstract

In the last decades, evidence suggesting the direct or indirect involvement of B cells on multiple sclerosis (MS) pathogenesis has accumulated. The increased amount of data on the efficacy and safety of B-cell-depleting therapies from several studies has suggested the addition of these drugs as treatment options to the current armamentarium of disease modifying therapies (DMTs) for MS. Particularly, rituximab (RTX), a chimeric monoclonal antibody directed at CD20 positive B lymphocytes resulting in cell-mediated apoptosis, has been demonstrated to reduce inflammatory activity, incidence of relapses and new brain lesions on magnetic resonance imaging (MRI) in patients with relapsing–remitting MS (RRMS). Additional evidence also demonstrated that patients with progressive MS (PMS) may benefit from RTX, which also showed to be well tolerated, with acceptable safety risks and favorable cost-effectiveness profile.

Despite these encouraging results, RTX is currently approved for non-Hodgkin's lymphoma, chronic lymphocytic leukemia, several forms of vasculitis and rheumatoid arthritis, while it can only be administered off-label for MS treatment. Between Northern European countries exist different rules for using not licensed drug for treating MS. The Sweden MS register reports a high rate (53.5%) of off-label RTX prescriptions in relation to other annually started DMTs to treat MS patients, while Danish and Norwegian neurologists have to use other anti-CD20 drugs, as ocrelizumab, in most of the cases.

In this paper, we review the pharmacokinetics, pharmacodynamics, clinical efficacy, safety profile and cost effectiveness aspects of RTX for the treatment of MS. Particularly, with the approval of new anti-CD20 DMTs, the recent worldwide COVID-19 emergency and the possible increased risk of infection with this class of drugs, this review sheds light on the use of RTX as an alternative treatment option for MS management, while commenting the gaps of knowledge regarding this drug.

Keywords Multiple sclerosis · Rituximab · Efficacy · Safety

# Introduction

Multiple sclerosis (MS) has been historically considered as an autoimmune disease of the central nervous system (CNS) mediated by CD4<sup>+</sup> T cells reactive to myelin antigens [1]. According to this model, the autoimmunity processes directed to the CNS are induced by the imbalance between CNS-reactive effector T cells of the helper-1 (Th1) and Th17 type and regulatory T cells (Treg) [2, 3].

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<sup>1</sup> Department "GF Ingrassia", Section of Neurosciences, University of Catania, Catania, Italy

<sup>2</sup> Stroke Unit, Department of Medicine, Umberto I Hospital, Siracusa, Italy Although T cells have been considered the major contributors to the inflammatory activity in MS, growing evidences shed light on B-cell role [4–6]. Indeed, it has been demonstrated that B cells are present in MS lesions, meninges and cerebrospinal fluid (CSF) and can contribute to disease progression through several antibody-dependent (i.e., secreting intrathecal IgG) and -independent mechanisms [7]. In addition to their capability to produce antibodies after differentiating into plasma cells B cells can also stimulate T cells activity through antigen presentation [8], production of soluble neurotoxic factors [9] and switch to memory cells, the latter stimulating self-proliferation of CD4<sup>+</sup> T cells [10].

The findings of cerebrospinal fluid oligoclonal IgG bands (OCBs), as well as the meningeal-based ectopic B-cell follicles adjacent to areas of focal cortical demyelination, suggest a more central role for B cells in MS [11–16]. In particular, OCBs, one of the hallmarks of MS, are shown to persist in the CSF in approximately 90% of patients [17]. OCBs produced by intrathecal B cells in the CSF contribute to the inflammation and destruction of the myelin sheath [18, 19]. In vivo and in vitro models showed that IgG leads to demyelination and axonal damage in a complement-dependent manner [20]. These data are indirectly confirmed by the efficacy of plasmapheresis and immunoadsorption in treating steroid-resistant MS relapses [21]. In addition, different antibody targets, such as myelin basic protein (MBP), myelin oligodendrocyte glycoprotein (MOG), neurofilament, spermassociated antigen 16 (SPAG16), coronin-1a, heat shock proteins, etc., have been demonstrated in MS patients [22, 23].

Moreover, B cells are able to migrate to the CNS using surface markers such as C–X–C motif receptor 3 (CXCR3), CXCR5, and CC chemokine receptor 5 (CCR5). In the meninges, these migrated B cells form ectopic B-cell follicular-like structures [24].

B cells are also able to induce antigen-specific T-cell expansion, memory formation and cytokine production, via a highly effective and selective antigen presentation [25]. B cells expressing co-stimulatory molecules, such as CD80, CD86, and CD40, contribute to T-cell activation. Particularly in MS patients, B-cell expression of CD80 and CD86 is higher than in controls [26].

Moreover, B-cell activation factor (BAFF), an important survival factor balancing pro-inflammatory and regulatory B-cell subtypes, is upregulated in MS lesions [27].

In addition, B cells from untreated MS patients (compared to healthy controls) have been demonstrated to secrete more pro-inflammatory interleukines-IL (such as IL-6) and less regulatory ones (such as IL-10) [16, 28].

Finally, B cells host Epstein–Barr virus (EBV), which is strongly related to MS in epidemiological analyses [29, 30].

In light of these findings, several B-cell-targeted therapies have been developed. To date, available B-cell-depleting monoclonal antibodies target specific Fab domains of CD20<sup>+</sup> or CD19<sup>+</sup> B lymphocytes, selectively allowing the depletion of the circulating B-cell population, apart from the mature antibody-secreting plasma cells [31, 32]. CD20 is a transmembrane, non-glycosylated phosphoprotein expressed on the surface of cells of the human B-cell lineage from pre-B cells to naïve and memory B cells. It is involved in the generation of T-cell-independent antibody responses [33]. The most effective therapies employ monoclonal antibodies depleting B cells through NK cell-mediated antibodydependent cellular cytotoxicity (ADCC), complementdependent cytotoxicity (CDC), and antibody-triggered apoptosis [34, 35].

Anti-CD20 monoclonal antibodies currently in use for the treatment of MS are Rituximab (RTX), Ocrelizumab (OCR), Ofatumumab (OFA) and Ublituximab (UTX).

Rituximab (RTX) is a chimeric monoclonal B-cell-depleting anti-CD20 antibody and it was the first anti-CD20 drug licensed for the treatment of B-cell lymphomas, refractory rheumatoid arthritis (RA) and antineutrophil cytoplasmic antibody (ANCA)-associated vasculitis [36]. Two randomized placebo-controlled phase 2 trials, the "Helping to Evaluate Rituxan in Relapsing–Remitting Multiple Sclerosis (HERMES)" and "A Study to Evaluate the Safety and Efficacy of Rituximab in Adults With Primary Progressive Multiple Sclerosis (OLYMPUS)", have demonstrated the efficacy of RTX for the treatment of relapsing–remitting MS (RRMS) and primary progressive MS (PPMS), respectively [31, 37].

In the last years, several observational studies confirmed the high efficacy, relatively benign safety/tolerance profile, low cost and convenient administration regimen contributing to make RTX an interesting option for MS treatment, attracting increasing attention as an escalation and first-line therapy [38].

In this review, we discuss current evidence on pharmacokinetics, mechanisms of action, clinical efficacy, safety profile and cost effectiveness of RTX for the treatment of relapsing MS. With the approval of new anti-CD20 DMTs, the recent worldwide COronaVIrus Disease 19 (COVID-19) pandemic emergency and the possible increased risk of infection with this class of drugs, this review raises considerations regarding the use of RTX as a valid alterative treatment option for MS management.

#### Methods

A search of the relevant literature (up to November 2020) was conducted on MEDLINE (PubMed), PubMed Central, EMBASE and Cochrane Library, applying the medical subject headings (MeSH) terms "multiple sclerosis" and "rituximab" and "efficacy" and "safety" and "cost analysis" and "COronaVIrus Disease 19". The Prisma flow diagram is illustrated in Fig. 1. If publications were not available via open or institutional access, the authors of the papers were contacted.

From the web-based search, we selected peer-reviewed, full-text and English language manuscripts. Randomized controlled trials (RCTs) with their extension trials and substudies, prospective studies, non-randomized clinical trials, retrospective studies, post hoc analyses, meta-analyses, reviews, and studies made from registries were included. We excluded single case studies, pediatric studies, and non-peerreviewed publications. Each selected paper was preliminarily examined by both the authors FP and SA (via abstract reading), downloaded and summarized.

## Pharmacokinetics

Considering that RTX was widely studied in hematological diseases, most pharmacokinetic data do not come from studies concerning MS. Historically, apart from hematological

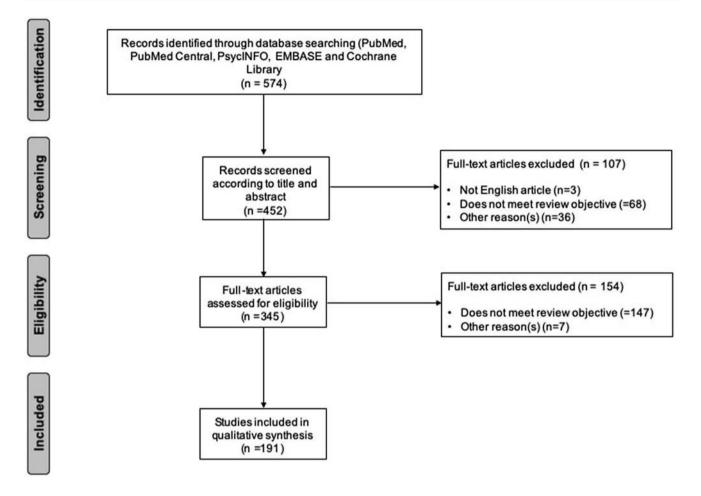


Fig. 1 PRISMA diagram of the literature search

diseases, RTX was studied in other immune-mediated diseases, like RA [39]. It has been demonstrated that the average half-life for standard intravenous administration of RTX  $(2 \times 1000 \text{ mg } 2 \text{ weeks apart})$  is nearly 20 days, but it can vary depending on sex, body mass index (BMI) and renal clearance [40]. A smaller study in MS reproduced comparable results [41]. It has been supposed that RXT could negatively interfere with the activity of meningeal B-cell follicles driving the inflammatory cascade behind a closed blood brain barrier (BBB) [42]. However, big molecules (e.g., antibodies like RXT) do not easily overcome the BBB. This was confirmed by a study evaluating three RRMS patients treated with RTX, whereby the Positron Emission Tomography Computed Tomography (PET-CT) showed very low levels of RTX inside the CNS [43]. Another paper reported that RXT peak concentration was 400- to 1000-fold lower compared to serum concentrations until 4 weeks after intravenous administration [44]. Some studies also examined the administration of RTX through lumbar puncture (1-25 mg) or intraventricular catheter, demonstrating a rapid CSF clearance of the drug, probably depending on the Fc-receptor-mediated immunoglobulin efflux [45–47]. In a study involving 27 secondary progressive MS (SPMS) patients, intrathecal RTX administration showed a 20-fold higher bioavailability compared to intravenous infusion (2 vs 0.1% after intravenous administration) [44, 45, 48]. The authors concluded that the intrathecal RTX administration might be effective on intrathecal B cells and it could be adopted to reduce systemic doses, thus reducing risks. In accordance with these data, it has been highlighted that a low-dose intrathecal administration of RTX led to a profound peripheral B-cell depletion for up to 12 months, supporting the hypothesis that lower intravenous RTX doses might be sufficient to proficiently control peripheral B-cell levels [45, 49].

## Pharmacodynamics

The RTX mechanism of action consists of binding a specific overlapping core epitope (170ANPS173) on the extracellular CD20 loop. The adjacent amino acids also contribute to binding. Consequently, the bond stability

may vary [50]. RTX induces cell death through apoptosis, ADCC, antibody-dependent cell-mediated phagocytosis, and CDC mechanisms [50]. Compared to OCR, RTX binds weaker to the low-affinity variant of FcVRIIIa, which is present in over 80% of MS patients. These data may explain why RTX induces more CDC (and less ADCC) [50-54] and displays a lower incidence of infusion-related reactions (IRR) when compared to OCR [37]. As showed in pharmacokinetics studies, the intravenous administration of RTX causes rapid and complete depletion of B cells both in blood [55] and, with a lesser degree, in the CSF [56]. The exact mechanism by which the depletion of B and T cells contrasts the inflammatory activity in MS patients is not fully understood. It has been speculated that it could be linked to the indirect effects depending on B cells, such as cytokine production (i.e., inflammation-driving granulocyte-macrophage colonystimulating factor-GM-CSF-or modulators of T-cell activity) [57, 58]. RTX also induces apoptosis in small subgroups of pro-inflammatory CD3<sup>+</sup> T cells expressing CD20 [59, 60]. Moreover, another hypothesis sheds light on Epstein-Barr virus (EBV) that, according to several studies, may be considered implicated in MS development [61]. With this regard, some effects of anti-CD20 drugs could be related to the clearing of the viral pool (Fig. 2) [62].

# **Clinical efficacy**

### Phase I and pivotal studies

The preliminary safety, tolerability and efficacy profiles of RTX were initially established in a phase I open-label study of RRMS patients receiving a double course (2 weeks apart) of 1000 mg of RTX at baseline and after 6 months, with a follow-up of a total of 72 weeks [41]. Main clinical outcomes of efficacy included the proportion of patients experiencing a confirmed relapse and the number of relapses per patient during the study. MRI imaging outcomes were the total number of new gadolinium (Gd)-enhancing T1 lesions, of new T2 lesions and the cumulative volume of T2 brain lesions. This study demonstrated that in active MS patients, peripheral B-cell depletion was associated with sustained reductions in the number of relapses (annualized relapse rates [ARR] 0.18 on week 72 compared to 1.27 in the year before the study), while the majority of the subjects (80.8%)remained relapse free. Concomitantly, Gd-enhancing lesions were completely suppressed by week 72 (from a mean number of lesions of 1.31 per patient at baseline) and the mean number of new T2 lesions decreased over the course of the study (from 0.92 at week 4 to 0 at week 72) [41].

In 2008, a pivotal randomized double-blind placebocontrolled multicentre phase 2 clinical trial (HERMES) was carried out to evaluate the effects of RTX in a cohort

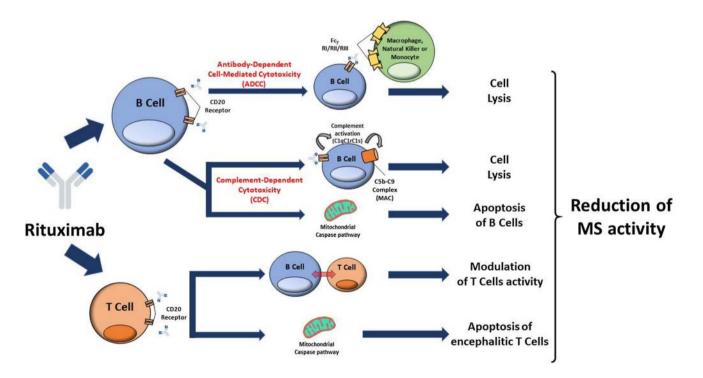


Fig. 2 Rituximab mechanisms of action. MS multiple sclerosis, MAC membrane attack complex

of 104 RRMS patients over 48 weeks. A total of 69 patients were randomized to receive 1000 mg of intravenous RTX, while 35 patients were assigned to placebo on days 1 and 15, respectively. In the RTX group, the proportion of patients reporting clinical relapses was significantly lower compared to placebo at week 24 (14.5 vs. 34.3%, p=0.02) and week 48 (20.3 vs. 40.0\%, p=0.04). Moreover, patients who received RTX had a pronounced reduction (91%) in the cumulative number of Gd-enhancing lesions at weeks 12, 16, 20, and 24 (p < 0.001), and these results were sustained at week 48 (p < 0.001) [31].

#### Phase II/III studies

RTX was also evaluated in a double-blind, placebo-controlled, multicentre phase II/III clinical trial investigating efficacy, safety and tolerability in 439 patients with PPMS (OLYMPUS) [37]. Patients were randomized to receive two intravenous infusions (2 weeks apart) of 1000 mg of RTX (n=292) or placebo (n=147) every 24 weeks, throughout 96 weeks. This study considered, as primary efficacy outcome measure, the time-to-confirmed disease progression, defined as a sustained Expanded Disability Status Scale (EDSS) increase of 1.0 point from baseline if the baseline EDSS was between 2.0 and 5.5 points, or an EDSS increase of 0.5 point if the baseline EDSS was > 5.5 points. MRI outcomes included the change in the volume of T2 lesions and in brain volume from baseline to week 96. Although this trial failed to demonstrate any significant effect on disease progression in patients with PPMS, sub-group analyses suggested a possible beneficial impact in younger patients  $(aged \leq 51 \text{ years})$  with active inflammatory lesions, as denoted by Gd-enhancing lesions on cranial MRI. As for the secondary endpoint, patients treated with RTX had less increase in T2 lesion volume (p = 0.010), while the brain volume change was similar to placebo (p = 0.62).

In a Sweden class IV evidence study evaluating the safety and efficacy profile of RTX in a large multicentre cohort (n=822), a remarkably low annualized relapse ratio of 0.044 and a constant median EDSS score over the follow-up period were observed in the RRMS population treated with a dose of 500–1000 mg of RTX every 6–12 months [36].

Based on these promising results, in 2010, another small MRI-blinded phase II trial for RTX was performed on 30 subjects with RRMS who had experienced a relapse within the past 18 months despite the use of an injectable DMT, and with at least 1 Gd-enhancing lesion on any of the 3 pre-treatment MRI scans [63]. The trial evaluated the safety, efficacy and tolerability of add-on RTX administered at a regimen of four times 375 mg/m<sup>2</sup> intravenously (i.v.) weekly. Considering the primary endpoint concerning radiological disease activity, 74% of post-treatment MRI scans did not show any Gd-enhancing lesions compared with 26% at

baseline (p < 0.0001). Importantly, the combination of RTX with standard DMTs was overall well tolerated, with few adverse effects. Several studies were performed to compare efficacy and safety profiles of RTX versus other DMTs.

#### **Observational studies**

The Swedish group has carried out a clinical comparison of RTX versus fingolimod (FTY), used as exit strategy in patients discontinuing natalizumab (NTZ) due to positive JCV status, in a multicentre, observational, cohort study of 256 RRMS patients at three MS centers in Sweden based upon the Swedish MS register [64]. This study demonstrated that patients who switched to RTX displayed significantly less MRI lesions (number of new Gd-enhancing lesions: 1% on RTX vs. 16% on FTY), clinical relapses (2% of patients relapsing on RTX vs 18% on FTY) and adverse events (5% on RTX vs 21% on FTY), along with a better overall drug survival compared with FTY, as a result of a reduced discontinuation rate and a better tolerability.

Efficacy and safety of RTX were also tested in an observational study from Southern Switzerland involving 453 patients, of which 82 (43 (52.4%) RRMS and 39 (47.6%) PPMS, undergoing a RTX induction regimen first and a maintenance regimen then [65]. Number of relapses, EDSS worsening, MRI lesion accrual and "evidence of disease activity" (EDA) status were the main outcomes. The most common DMTs used before RTX were NTZ and FTY and a comparison between therapies was performed. Compared with NTZ-treated patients, those treated with RTX showed reduced disease activity and a similar time to EDA (HR = 1.64, 95% CI 0.46-5.85, p = 0.44), further supporting a comparable efficacy between these two monoclonal antibodies. Moreover, no relapses occurred in patients who switched from NTZ to RTX because of positive JCV serology, as previously suggested in another study [64], highlighting that RTX may represent a valid treatment option in this context as well.

Moreover, in a recent real-world retrospective comparative Swedish study in newly diagnosed RRMS patients treated with a first line DMT, RTX showed to have a lower discontinuation rate and a better clinical efficacy compared to injectable DMTs and dimethyl-fumarate (DMF). In comparison with FTY and NTZ, relapse rates and Gd-enhancing lesions were numerically lower but did not reach statistical significance in all analyses [66].

Given the importance of starting treatment in RRMS patients as early as possible to reduce disability accumulation, RTX was evaluated as induction therapy in a study testing if RTX followed by glatiramer acetate (GA) monotherapy was more effective than GA alone for the treatment of active forms of RRMS [67]. The study results indicate that induction therapy with RTX followed by GA was superior to placebo induction and GA monotherapy in reaching NEDA (44.4% of participants in the R-GA arm vs 19.2% in the P-GA arm) in patients with active MS, although the effect appeared to be temporally limited.

Data about efficacy of RTX in MS population are summarized in Table 1.

# Safety and tolerability

## Infusion-related reactions (IRR)

The most common adverse events (AEs) described during the use of RTX in MS populations were the IRRs [38, 68]. In two randomized clinical trials, IRRs appeared in 67.1% (placebo: 23.1%) and 78.3% of patients (placebo: 40.0%) respectively, after the first infusion [31, 37]. IRRs levels decreased to those observed in placebo arms with subsequent infusions [31, 37]. Two smaller studies reported 25–26% of patients being affected by infusion reactions [68, 69]. The vast majority of these reactions are mild-to-moderate and include fever, rush, and chills. Other frequent IRRs include nausea, vomiting, pruritus, angioedema, throat irritation, bronchospasm, hypotension, rhinitis, urticaria, headache, myalgia, dizziness, and hypertension. The IRRs typically arise 30-120 min after initiating the first infusion and usually resolve with slow withdrawal, infusion discontinuation or symptomatic treatment. Premedication with paracetamol, prednisone and antihistaminic drugs may reduce the probability of infusion-related adverse effects [70, 71].

In a recent study, the incidence of IRRs was similar in RTX compared to OCR-treated patients, suggesting that switching between them is safe and that the mechanism behind the IRRs may be related at least in part to B-cell levels [72].

Allergic anaphylactic reactions are less commonly observed. The incidence of severe hypersensitivity reactions is < 10% in cancer patients treated with RTX [73] and they rarely necessitate treatment discontinuation. The risk can be reduced by pre-medication with corticosteroids, antihistamines and antipyretics [71].

#### Susceptibility to infections

In general, treatment with RTX, especially after longer treatment periods, is associated with an increased risk of infections [37, 74–76]. A recent register-based study in MS population reported that RTX treatment was associated with the highest rate of serious infections compared with NTZ, FTY, interferon beta, and GA [77]. In rand-omized clinical trials of RTX in PPMS, serious infections occurred in 4.5% of RTX-treated patients and in < 1.0% in the placebo [37], with no clear association to the number

of infusions, which corroborates findings from large trials [78]. Other studies have reported an increased risk for different types of infections, mainly affecting respiratory and urinary systems [79–83].

Safety data of 56 patients treated with RTX for MS and neuromyelitis optica (NMO) did not report any signs of infection after 6 months from the last infusion in 53 patients (94.6%); a mild infection was reported in one patient and severe infections in two patients [82]. In particular, the infections/year per patient in this study was lower that one demonstrated in other neurological diseases [84].

Overall, there were similar incidences of infections in the placebo (71.4%) and RTX group (69.7%) in the first phase II study in MS; however, an increased incidence of urinary tract infections and sinusitis was found in RTX arm compared to placebo [31]. In a recent retrospective observational study of 84 relapsing MS treated with RTX, 36 infections were reported (among 53 non-infusion-related adverse events), of which four were serious, including a case of pneumonia with concomitant late-onset neutropenia [85].

Reactivation of tuberculosis, hepatitis and HIV have been reported in patients treated with anti-CD20 medications. Consequently, all patients should be screened for latent infections before starting treatment [86, 87]. Indeed, especially in endemically affected areas or populations, the risk of tuberculosis reactivation should be considered through specific prescreening and active surveillance with latent tuberculosis testing.

In patients treated with RTX for onco-hematological diseases, 67-85% of surface antigen of the hepatitis B virus (HBsAg)-positive patients not under antiviral therapy may experience a flare of hepatitis, due to reactivation of hepatitis B virus (HBV) in up to 25% of patients [88, 89]. Clinically, the reactivation of latent HBV infection can range from a subclinical increase of HBV DNA levels to elevated liver enzymes or more serious clinical pictures of acute severe hepatitis and liver failure, with a significant risk of death, ranging from 4 to 60% [89]. Hence, to prevent the risk of HBV reactivation, the U.S. Food and Drug Administration (FDA) has approved changes to the prescribing information of RTX and has added a new Boxed Warning information about the risk of reactivation of HBV infection [90]. FDA recommends to screen all patients for HBV infection before starting treatment with RTX by measuring HBsAg and hepatitis B core antibody (anti-HBc) [91]. These recommendations are not largely applied in all the European countries with some exceptions: for example, in Germany, before starting RTX, hepatitis testing is mandatory.

On the other hand, there are several case reports and retrospective investigations suggesting that RTX may induce viral replication in patients with HCV infection and oncohematological diseases.

Table 1 Efficacy dat	Table 1 Efficacy data of RTX for the treatment of MS	ment of MS						
Study characteristics			Clinical data				MRI data	
Author	Study design and population enrolled	Dosing regimen and duration*	ARR	EDSS	Relapses	Other	CELs	New T2 lesions
Bar-Or et al. 2008 [41]	Open label phase 1 RRMS	Rituxan <sup>®</sup> : 1000 mg i.v. at weeks 1–3, and at weeks 24–26 72 weeks	0.25 vs 0.18	1	$1.27 \pm 0.72$ vs $0.23 \pm 0.51$		1.31 vs 0	No. of lesions 0.92 vs 0
Hauser et al. 2008 [31]	Double-blind phase 2, multicenter, placebo-con- trolled- HERMES study 104 RRMS (69 RTX, 35 placebo)	RTX: 1000 mg i.v. at weeks 1–3 48 weeks	0.37  vs  0.72, p = 0.08	1	$0.30 \pm 0.67 \text{ vs}$ $0.54 \pm 0.82$ , p = 0.04	~	$0.5 \pm 2.0 \text{ vs}$ $5.5 \pm 1.5$ , p < 0.001	~
Hawker et al. 2009 [37]	Randomized, multicenter double-blind, pla- cebo-controlled- OLYMPUS study 439 PPMS (292 RTX, 147 pla- cebo)	RTX: 1000 mg i.v. every 24 weeks (4 courses) 96 weeks	~	0.33 vs 0.45, p = 0.34	~			Lesion volume ( $mm^3$ ) 1.5 vs 2.2, $p < 0.001$
Salzer et al. 2016 [79]	Retrospective, multicenter uncontrolled observational RRMS, SPMS, PPMS	MabThera®: 500 mg or 1000 mg i.v. twice, 14 days apart and after 6 months 2 years	0.044 (RRMS) 0.038 (SPMS) 0.015 (PPMS)		~		Total number 636 vs 75 Percentage of patients with CELs:26.2 vs 4.6	
Naismith et al. 2010 [63]	MRI, blinded, add- on therapy RRMS	RTX: 375 mg/m <sup>2</sup> i.v. 4 times in weekly intervals plus β-IFN s.c. every other day 52 weeks	~		~	~	Median 1.0 vs 0 Mean 2.81 $\pm$ 0.41 vs 0.33 $\pm$ 0.1 p <0.001 (88% reduction)	7
Alping et al. 2016 [64]	Prospective, unblinded, multi- center RRMS who suspended NTZ because of PML risk	RTX: 0.5 or 1 gr every 6 months i.v. vs FTY 0.5 mg 1 tablet a day 1.5 years	0.02 vs 0.16	_	Percentage of patients with relapses: 1.8 vs 17.6	_	Percentage of patients with CELs: 0.01 vs 0.24	_

Table 1 (continued)								
Study characteristics	S		Clinical data				MRI data	
Author	Study design and population enrolled	Dosing regimen and duration*	ARR	EDSS	Relapses	Other	CELs	New T2 lesions
Scotti et al. 2018 [65]	Retrospective, observational, propensity score matched 43 RRMS 39 PMS	RTX: 500 mg (n = 7) or 1 gr (n = 74) on days 1 and 15, at 9 months and then every 6 months; NTZ: 300 mg i.v. monthly $(n = 83)$ 36 months	~	Percentage of patients with EDSS progres- sion: 16.3 in RRMS vs 20.5 in PMS	5 vs 9, <i>p</i> =0.99	Time to NEDA between RTX and NTZ (HR = $1.64$ , 95%CI = $0.46$ - 5.58, $p = 0.44$ )	2 in RRMS vs 1 in PMS, $p = 0.99$	12.5% vs 2.6%
Granqvist et al. 2018 [66]	Retrospective, multicenter 494 RRMS	RTX: 1000 mg i.v. twice, 14 days apart and after 6 months 3 years		~	Percentage of patients with relapses RTX: 5 INJ: 27 DMF: 11.6 FTY: 17.6 NTZ: 20		Percentage of patients with CELs RTX: 7% vs INJ 12.6% $p < 0.01$ , vs DMF 12.8%, p = 0.05, vs FTY 5.9%, $p = 0.46$ , vs NTZ 6%, $p = 0.07$	
Honce et al. 2019 [67]	Randomized, double-blind, pla- cebo-controlled RRMS	RTX:1000 mg i.v. at days 1 and 15, followed by GA 20 mg s.c. Placebo: normal saline i.v. at days 1 and 15 followed by GA 20 mg s.c. 4 years	~		Percentage of patients with relapses: 74 vs 50, $p = 0.07$	~	Percentage of patients with CELs: 25.9 vs $61.5\%$ , $p=0.009$	0.48  vs  1.96, p = 0.027
RTX rituximab, AR $\beta$ -IFN beta-interfert relapsing-remitting *Brand name was re	<i>RTX</i> rituximab, <i>ARR</i> annualized relapse rate, <i>EDSS</i> expanded disability status scale, <i>CEL</i> contrast-enhanced lesion, <i>MRI</i> magnetic resonance imaging, <i>INJ</i> injectable disease modifying drugs, $\beta$ - <i>IFN</i> beta-interferon, <i>DMF</i> dimethyl-fumarate, <i>FTY</i> fingolimod, <i>NTZ</i> natalizumab, <i>GA</i> glatiramer acetate <i>NEDA</i> no evidence of disease activity, <i>i.v.</i> intravenously, <i>s.c.</i> subcutaneously, <i>RRMS</i> relapsing-remitting multiple sclerosis, <i>PMS</i> progressive multiple sclerosis, <i>SPMS</i> secondary progressive multiple sclerosis activity, <i>i.v.</i> intravenously, <i>s.c.</i> subcutaneously, <i>RRMS</i> relapsing-remitting multiple sclerosis, <i>PMS</i> progressive multiple sclerosis, <i>SPMS</i> secondary progressive multiple sclerosis	rate, <i>EDSS</i> expanded c marate, <i>FTY</i> fingolimo <i>AS</i> progressive multiple	disability status scal ad, <i>NTZ</i> natalizumab e sclerosis, <i>SPMS</i> se	sability status scale, <i>CEL</i> contrast-enhanced lesion, <i>MRI</i> , <i>NTZ</i> natalizumab, <i>GA</i> glatiramer acetate <i>NEDA</i> no evid sclerosis, <i>SPMS</i> secondary progressive multiple sclerosis	rced lesion, <i>MRI</i> mag te <i>NEDA</i> no evidenci multiple sclerosis	șnetic resonance imag e of disease activity, i	ing, <i>INJ</i> injectable dis :.v. intravenously, s.c. s	ease modifying drugs, ubcutaneously, <i>RRMS</i>

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Although less frequently reported, other possibly RTXassociated infections include cytomegalovirus (CMV), herpes simplex virus (HSV), varicella zoster virus (VZV), and West Nile virus [76]. Particularly, CMV disease is an uncommon adverse event in RTX-treated patients, except in those with HIV infection or following allogenic transplant; indeed, there are several reports showing CMV disease in patients with hematologic malignancies treated with combined chemotherapy [92]. Cases of HSV and VZV reactivation have also been reported in patients administered RTX for lymphoma [76]. Cases of *Pneumocystis jirovecii* pneumonia has been reported among patients treated with RTX for aggressive B-cell lymphoma as part of specific chemotherapy regimens including other immunosuppressant such as cyclophosphamide [76, 93].

In the last years, the risk of progressive multifocal leukoencephalopathy (PML) by JCV has gained ever greater importance in the management of several of the current MS DMTs, in particular NTZ. PML cases have been reported in patients with lymphoma treated with RTX; however, the JC viral reactivation was due to the immunosuppression related to the disease [94]. Recent observational data from over 100,000 MS patients in the FDA Adverse Event Reporting System database have indicated that RTX-treated patients have an increased PML risk with an adjusted odds ratio = 3.22; 95% confidence interval (CI) = 1.07-9.72 [95]. Recently, in the nationwide register-based cohort study conducted in Sweden, one case of RTX-related PML was described (the patient had switched from NTZ within 6 months before the diagnosis of PML). No deaths due to infections were recorded among the patients treated for MS [77].

The pathophysiology of RTX-associated PML remains unclear. Data about the JCV reactivation and development of PML in patients with congenital disorders of humoral immunity have suggested that B lymphocytes may play a role in the JCV immune responses [96]. However, the mechanisms underlying viral reactivation after RTX treatment could also involve the changes in T-lymphocyte activity after B-lymphocyte depletion due to the alteration of T-lymphocyte cytokine profiles [97]. In a review of PML cases among RTX-treated patients referring to the 1997-2008 FDA database, 52 patients with B-cell lymphoproliferative disorder, 2 patients with systemic lupus erythematosus, one patient with RA, one patient with an idiopathic autoimmune pancytopenia, and one patient with immune thrombocytopenia developed PML after RTX with a median time to death after PML diagnosis of 2.0 months and a mortality rate of 90% [94].

In MS settings, as showed in NTZ-treated patients, RTXassociated PML is more frequently seen in immune-compromised patients. A recent population-based Swedish study reported that after two decades of stable PML incidence of 0.026/100,000 person-years, the incidence has increased to 0.11 in 2011–2013, apparently related to the use of monoclonal antibodies therapies [98]. Considering that all patients treated with NTZ regardless of duration of therapy, the incidence of PML exceeded one in 250 (4.22/1000 with confidence intervals of 3.91–4.51/1000) [99]. The incidence of PML was very low in the first 12 months of infusion, though it has been observed within 8 months of drug initiation [100].

The incidence of PML due to RTX treatment is estimated to be one case per 32,000 [101]. However, even if there are no specific recommendations to screen patients for JCV prior to administration of RTX, it is important for clinicians to keep in mind that RTX may be associated to PML, and it is crucial to suspend therapy in the event of signs and symptoms suggestive of PML, and urgently carry out a specific workup in order to reduce morbidity and mortality.

#### Laboratory test abnormalities

One of the most reported laboratory values alterations in MS patients is hypogammaglobulinemia, especially with long-term RTX treatment [102]. The underlying mechanism for the development of hypogammaglobulinemia is unknown; however, it could be hypothesized that the diminished B-cell-secreted cytokines, such as BAFF [103] and interleukin 6 [104], may determine a reduction in the formation of plasma cells from precursors.

In an observational retrospective study on MS patients, 25 out of 822 patients (3%) had IgG levels below the lower normal reference value (< 6.2 g/L) at some point during treatment. There was, however, no difference in IgG levels between 500 and 1000 mg RTX [36]. In another study on NMO spectrum disorder (NMOSD) patients, 11 out of 15 patients (73%) developed hypogammaglobulinemia (IgG < 7 g/L) and three patients (20%) had severe hypogammaglobulinemia (<4 g/L) [105]. In RA, 1.5–5.9% out of 1039 patients had hypogammaglobulinemia (IgG < 5 g/L) [106]. None of the studies assessing the safety profile of cumulative doses of RTX in RA demonstrated a higher risk of hypogammaglobulinemia with increasing numbers of RTX cycles [107, 108]. Moreover, low gamma-globulin baseline levels may be more relevant than treatment duration/cumulative RTX doses in predicting the development of hypogammaglobulinemia. Furthermore, sustained hypogammaglobulinemia ( $\geq 4$  months) was associated with an increased risk for serious infections in open extension studies [105, 109]. For all these reasons, the measurement of total serum immunoglobulins before starting RTX and at least yearly during treatment is strongly recommended.

Late-onset neutropenia (LON) is defined as an absolute neutrophil count of  $< 1.5 \times 10$  to the power of 9/L occurring > 4 weeks following the last dose and was described as a rare complication during RTX treatment. About 5–27% of RTX-treated lymphoma patients may present LON [110]. A similar incidence is reported in patients with autoimmune diseases, with a higher infection rate during the neutropenic period [111]. Recently, it has been hypothesized that host-related factors, such as polymorphisms in FCGR3, may play a role in the development of LON [111].

The incidence of LON is likely to be much lower in MS patients. In a retrospective analysis of 385 patients treated with RTX for NMOSD, MOG-antibody-associated disease (MOGAD) and MS, LON was found in 10 (2.6%) patients; in particular, 16% were affected by MOGAD, 10% were NMOSD, and only 1.2% were MS patients [112].

Moreover, in an observational study, 1 of 90 MS patients developed agranulocytosis 3 months after the RTX infusion [113]. The mechanism by which RTX induces LON/agranulocytosis is still unknown; however, it is probably immune mediated, involving the anti-granulocyte antibody production and the neutrophil apoptosis by the large granular lymphocyte population [111].

#### Malignancies

Sporadic cases of malignancies in RTX-treated MS patients have been reported [83, 114]. In a large Swedish nationwide study, no higher risk of malignancies was found in RTX patients compared to the general population [115]. Moreover, in MS setting, a low frequency of all types of malignancies was reported, which did not differ significantly from the general population (26.6 [15.2–43.3] in RTX vs 28.9 [25.3–32.7] in the general population) [116].

#### **Other AEs**

Studies of RTX in MS and non-MS populations have reported several AEs involving cardiovascular system (i.e., angina pectoris, cardiac arrhythmias, heart failure and/or myocardial infarction), upper and lower airways (i.e., bronchospasm, chest pain, dyspnoea, cough, rhinitis), gastrointestinal system (i.e., vomiting, abdominal pain, dysphagia, stomatitis, constipation, dyspepsia, anorexia, reflux disease, abdominal pain, diarrhea, gastritis, pharyngolaryngeal pain), musculoskeletal and connective (i.e., myalgias, arthralgias, arthritis; hypertonia, pain), nervous system (paraesthesia, hypoesthesia, agitation, insomnia, vasodilatation, dizziness, anxiety, fatigue, neuropsychiatric disorders), skin (i.e., rash, itching, pruritus, alopecia) and endocrine system [31, 37, 45, 117–125].

Data about safety profile of RTX are summarized in Table 2.

#### Anti-drug antibodies (ADAs)

In recent years, as the evidence suggesting the high clinical efficacy of RTX have increased its off-label use in MS, several studies explored the degree of immunogenicity and, in particular, the possible interference of anti-drug antibodies (ADAs) with RTX efficacy. ADAs were identified in a third of MS patients treated with RTX, with a title higher than that recorded in other diseases treated with the same drug. Moreover, a lower frequency of ADAs was seen in PMS, compared to RRMS [69]. In two randomized trials, 24.6 and 29% of RRMS patients had developed ADAs at 48 weeks [31, 41]. In a study involving PPMS patients, 7.5% under RTX treatment versus 3.4% under placebo were found positive for ADAs 1 year after the last infusion [37]. Recently, a large cross-sectional real world using a more sensitive technique showed ADA in 38% of the RRMS patients and in 27% of PPMS [69].

However, the role of ADAs in treatment failure is uncertain. However, such a failure could relate to the higher level of immunological activity found in the earlier relapsing stage of the disease. Interestingly, a negative relationship found between the number of infusions and the frequency of ADAs suggests that the risk of developing ADAs and experiencing a lack of effect might diminish over time [69]. Nevertheless, outside of trials, the detection of ADAs could be technically difficult, poorly standardized and hard to apply in routine use.

Hence, although ADAs are present in a large proportion of RTX-treated patients, the existing evidence does not support a clinically relevant role for anti-RTX antibodies. Indeed, several studies have demonstrated that the presence of ADAs does not correlate with a higher incidence of infusion reactions, adverse events, or lack of clinical effect [31, 37, 41]. However, larger prospective studies are needed to confirm these data.

#### Vaccinations

Data from the oncology and rheumatology literature have shown that the response to vaccination may be ineffective in patients receiving RTX [126]. In particular, no protective serologic responses to a single-dose influenza A vaccination were achieved in lymphoma patients within 6 months of RTX treatment [127]. In another study evaluating the effects of RTX on the antibody and cellular responses to *Streptococcus pneumonia* polysaccharide vaccine and *Haemophilus influenza* type b (Hib) conjugate vaccine in patients with immune thrombocytopenia, antibody responses were impaired for at least 6 months after RTX treatment [128].

However, a study of patients with autoimmune blistering skin diseases previously treated with RTX reported a robust recall response to the seasonal influenza vaccination,

Organ systems affected	Adverse event(s)		Frequency*	References
Systemic	Immediate-type adverse reactions	Infusion- related reactions, angioedema, infusion reactions	Very common	[31, 37, 38, 68–72]
		Hypersensitivity	Common	
	Late-type immune-mediated adverse reactions	Serum sickness	Very rare	[73]
		Anaphylaxis cytokine release syndrome	Rare	
	Infections	Bacterial and viral infections, bronchitis	Very common	[31, 37, 74-101]
		Sepsis, pneumonia, febrile infection, herpes zoster, fungal infections, acute bronchitis, sinusitis, hepatitis B (including reactivation)	Common	
		Serious viral infection, Pneumocystis jirovecii	Rare	
		PML	Very rare	
	Neoplasms	Worsening or reactivation of Kaposi sarcoma (in Castleman's disease)	Rare	[83, 114–116, 125]
		Increased risk of cutaneous melanoma	Rare	
Cardiovascular	Myocardial infarction, arrhythmia, atrial fibrillation, hypertension, orthostatic hypotension, hypotension	Myocardial infarction, arrhythmia, atrial fibrillation, tachycardia, cardiac disorder, venous thrombotic events, hypertension, orthostatic hypotension, hypotension	Common	[31, 37, 117, 118, 125]
	Left ventricular failure, supraventricular tachycardia, bradycardia	Left ventricular failure, supraventricular tachycardia, ventricular tachycardia, angina, myocardial ischemia, bradycardia	Uncommon	
	Severe cardiac dysfunction, heart failure		Rare, very rare	
Pulmonary	Bronchospasm, chest pain, dyspnoea, cough, rhinitis		Common	[31, 37, 45, 118–120, 125]
	Asthma, bronchiolitis obliterans, lung disorder, hypoxia	xia	Uncommon	
	Interstitial lung disease		Rare	
	Respiratory failure, lung infiltration, hemorrhagic alveolitis (single case reported)	veolitis (single case reported)	Very rare	
Gastrointestinal or hepatic	Nausea		Very common	[31, 117, 121, 125]
	Vomiting, abdominal pain, dysphagia, stomatitis, constipation, dyspepsia, and pain, diarrhea, gastritis, pharyngolaryngeal pain, reactivation of hepatitis B	Vomiting, abdominal pain, dysphagia, stomatitis, constipation, dyspepsia, anorexia, reflux disease, abdominal pain, diarrhea, gastritis, pharyngolaryngeal pain, reactivation of hepatitis B	Common	
	Abdominal enlargement		Rare	
	Appendicitis, gastrointestinal perforation, acute liver bulinemia)	Appendicitis, gastrointestinal perforation, acute liver failure (single case reported of hepatitis C-related cryoglo- bulinemia)	Very rare	
Hematologic	Neutropenia, leucopenia, thrombocytopenia		Very common	[36, 102–111, 125]
	Anemia, pancytopenia, granulocytopenia, hypogammaglobulinemia	naglobulinemia	Common	
	Coagulation disorders, aplastic anaemia, hemolytic anaemia, lymphadenopathy	uaemia, lymphadenopathy	Uncommon	
	Transient increase in serum IgM levels		Very rare	
Musculoskeletal and connective	Myalgias, arthralgias, arthritis, hypertonia, pain		Common	[118, 121, 125]
	Done freetines		Vory nore	

Organ systems affected	Adverse event(s)	Frequency*	References
Nervous system	Paraesthesia, hypoesthesia, agitation, insonnia, vasodilatation, dizziness, anxiety, fatigue	Common	[31, 37, 123, 125]
	Dysgeusia, depression, neuropsychiatric disorders,	Uncommon	
	Peripheral neuropathy, facial nerve palsy	Very rare	
Renal	Renal failure (described in ANCA-associated vasculitis)	Very rare	[120]
Skin	Rash, itching, pruritus, alopecia	Very common	Very common [31, 37, 123–125]
	Urticaria, sweating, night sweats, other skin disorder, purpura	Common	
	Severe bullous skin reactions, Stevens-Johnson Syndrome, toxic epidermal necrolysis (Lyell's Syndrome)	Very rare	
Metabolism and endocrine system	Metabolism and endocrine system Hyperglycaemia, weight decrease, peripheral oedema, face oedema, increased LDH, hypocalcaemia	Common	[118–122, 125]

comparable to healthy controls, both at a cellular and a sero-logical level [129].

Notably, RTX is known to target all B cells, except the early precursor pro-B cells and long-lived plasma cells that do not express CD20, with little or no effect on pre-existing serum antibody titers produced by long-lived plasma cells, such as antibodies against childhood vaccines, including tetanus or meningitis [130]. Moreover, after the reduction of peripheral B-cell count typically lasting for 6–9 months, the recovery of total B-cell numbers generally occurs after 12 months; in particular, the repopulated B-cell compartment mostly includes naïve cells, while the depletion of memory B cells (MBCs) may persist in peripheral blood even 5 years after treatment [131].

According to these data, it is recommended to wait at least 6 months after RTX for vaccination, while patients should be advised to complete any required vaccinations at least 6 weeks prior to RTX initiation. Particularly, vaccinations for hepatitis B, pneumococcus, tetanus toxoid every 10 years and for influenza annually should be undertaken for patient considered for RTX therapy. Live-attenuated or live vaccines are not recommended during RTX treatment and until B-cell recovery since, currently, there are no sufficient data on the potential risk of vaccination with this kind of vaccines [132].

## **Pregnancy and breastfeeding**

The European Medicines Agency (EMA) and the FDA recommend that pregnant women should not receive RTX infusion, unless the possible benefit outweighs the potential risk [133, 134]. Indeed, not enough data are available about B-cell levels in human neonates following maternal exposure to RTX. However, some infants born to mothers exposed to RTX during pregnancy presented transient B-cell depletion and lymphocytopenia [135].

Two cases of MS patients with a highly disabling disease form, and treated with RTX during pregnancy, were reported [136]. The first patient was treated with RTX during the third trimester, showing a clinical improvement over several weeks, while no complications were reported in her infant. The second patient received RTX in the early second trimester, also showing a clinical improvement and no complications. It has been suggested that RTX during pregnancy may be safe and effective when used in an appropriate context. Moreover, it has been demonstrated that RTX may be detected only in minimal concentrations in the breast milk of breastfeeding patients with MS (six samples of breast milk from four lactating patients) [137]. Thus, due to the lack of largest and longitudinal studies, the choice of resuming RTX treatment should be carefully evaluated in breastfeeding patients after risk/benefit considerations.

## **COVID-19 infection risk**

Nowadays, the therapeutic management of MS patients during COVID-19 pandemic is one of the most relevant concerns, also raised by the possible role of white matter lesions as a virus reservoir, as for other corona-viruses [138].

MS patients are thought to be at higher risk than the normal population and the question of whether to continue or stop DMTs has been raised, in the absence of formal guidelines and a plethora of recommendations [139].

The severe pulmonary complications of COVID-19 infection, in particular the acute respiratory distress syndrome (ARDS), are demonstrated to be immune-mediated [140]. This is also confirmed by several data showing that immunosuppression (or at the least the moderate immunosuppression induced by DMTs), may exert a protective effect against the development of severe COVID-19 infection [141]. Indeed, the emerging knowledge of the biology of the ARDS to the COVID-19 and of the role of the immune mechanisms contributing to the disease have suggested that viral-specific CD8<sup>+</sup> T-cell responses seem to eliminate the virus, while viral-specific antibodies could probably be able to prevent re-infection and create long-lasting immunity [142].

Nevertheless, data about the early phase of the pandemic highlighted that the most important independent risk factor associated with COVID-19 infection, aside from having close contact with people with upper respiratory symptoms, was the DMT category and in particular therapies with a B-cell depleting profile [143].

More recently, the Italian "Multiple Sclerosis and COVID-19" (MuSC-19) study also demonstrated an increased frequency of anti-CD20 treatments in COVID-19-infected MS patients compared with the expected frequency based on Italian data. Anti-CD20 were also associated with a more severe clinical course compared to other DMTs [144].

Accordingly, the Society of Italian Neurologists (SIN), the Association of British Neurologists (ABN) MS and Neuroimmunology Advisory Group practical guidance recommended to delay further infusions of anti-CD20 drugs, as that anti-CD20 therapies may probably increase the risk of COVID-19 infection [145].

Another study described the lethal disease course in two severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infected patients with hematological malignancies after RTX therapy. Complete B-cell depletion and the decrease of immunoglobulin G (IgG) level in both patients and the persistent viremia in blood samples could be correlated with increased morbidity, suggesting that B-cell function might be one important mechanism in resolving SARS-CoV-2 infection [146]. On the other hand, a case of complete recovery from COVID-19 has been reported in a MS patient treated with RTX for 3 years despite having a 0% B-lymphocyte count and not developing SARS-CoV-2 IgG antibodies. These observations shed light on possible immuno-mechanisms behind COVID-19 infection, considering that patients with mild disease symptoms have low antibody levels, whereby weak IgG responses have been associated with a faster virus clearance. [147].

Moreover, it was also hypothesized that while B-cell depletion may not necessarily expose people to severe SARS-CoV-2-related issues, it could inhibit protective immunity following infection and vaccination [148]. In fact, drug-induced B-cell subset inhibition would not influence innate and CD8 T-cell responses, which are central to SARS-CoV-2 elimination, nor the hypercoagulation and innate inflammation causing severe morbidity, but it would slow down the production of antibodies. The protective neutralizing antibody and vaccination responses are predicted to be blunted until naïve B cells repopulate, based on B-cell repopulation kinetics and vaccination responses from published RTX (NCT00676715) and unpublished OCR (NCT02545868) trial data [149].

Particularly referring to OCR, it has been recommended to consider the initiation of this drug only if a high-efficacy drug is required and the use of NTZ is contraindicated. During OCR treatment, it has been recommended to delay further infusions [145]. Despite these stringent recommendations, published data have contradicted the assumption that patients treated with immunosuppressive drugs could be at risk for severe complications of COVID-19. Indeed, a case report of an OCR-treated PPMS patient who developed COVID-19 showed that, despite complete B-cell depletion, the infection has been resolved in few days after hospitalization, and no new symptoms occurred after 14 days [141]. A very recent study analyzed the frequency and severity of COVID-19 in patients treated with anti-CD20 in a tertiary hospital in Madrid, Spain, one of the most affected countries by the COVID-19 pandemic [150]. In this study, COVID-19 infection was reported in 9 (15%) cases in the whole population, 7 (12.9%) in patients treated with RTX, and 2 (33.3%) in patients on OCR, with no apparent relationship with the time of the therapy administration. All patients reporting COVID-19 did not show serious complications and only one of them required hospital admission. Thus, the authors suggested that patients treated with anti-CD20 could not be particularly at risk for severe complications of COVID-19.

# **Cost-effectiveness**

RTX used at a single dose of 500 or 1000 mg twice yearly results in lower treatment costs, even in comparison to platform MS therapies. However, the status of being an off-label drug, subjected to variable insurance regulations in countries other than Sweden, remains as a potential barrier for its use in MS patients [151].

A study showed that in 2015 the use of RTX for the treatment of RA at the dosage of two infusions of 1000 mg doses totally given 2 weeks apart every 6 months, costs about \$30,000 annually [152]. Although costs may vary by region and may change over time, the current cost for the off-label use of RTX (1000 mg spread over 2 doses) in Italy is approximately  $\notin$ 1,400. In Sweden, the cost of a yearly RTX course including two doses of 500 mg costs is about  $\notin$ 2,400 [152, 153].

Thus, RTX costs seem to be well below the average wholesale acquisition costs of the standard DMTs, including the first-line drugs, which are currently estimated to cost approximately \$70,000–\$80,000 or more annually [16, 152].

An American pharmacoeconomic study demonstrated that the off-label use of RTX is less expensive than most of the currently available FDA-approved DMTs, confirming the results from randomized trials and observational studies showing that RTX infusions of 1–2 g annually are cost effective, with a Weighted Average Cost of Capital (WACC) of \$16,704 per 1000 mg [151, 154].

In addition, even if the stated price of the recently approved OCR is within the range or less than other current approved DMTs with an annual cost of twice-a-year infusions of \$65,000, it remains significantly more expensive than RTX [155].

Finally, with a price ranging from 15 to 30% lower than the originator molecule (MabThera®), the development of RTX biosimilars may also significantly contribute to cost savings for healthcare systems [156, 157].

# **Dosing regimens**

Although the US Food and Drug Administration (FDA) approved RTX only for use in non-Hodgkin's lymphoma (NHL) and RA, it is commonly used as off-label treatment for severe MS. However, due to the absence of formal head-to-head trials of therapy regimen comparisons for RTX in MS, there are neither consensus nor treatment guidelines on dosing regimens.

At the beginning, basic dosing and interval strategies for RTX in MS have been adopted from RTX usage in oncology and RA, giving 375 mg once weekly for 4 weeks or two infusions of 500–1000 mg given a fortnight apart [158]. Then, in the clinical trials RTX has been administered as 1000 mg i.v. twice 2 weeks apart in patients with RRMS [31] and as 1000 mg i.v. twice 2 weeks apart every 24th week in four cycles in patients with PMS [37]. Nowadays, in European countries (and for most of the neuro-immunological diseases including MS) RTX doses of 500 mg are typically administered every 6–9 months. Indeed, the currently used dosing strategy in Sweden consists of one i.v. dose of 500–1000 mg RTX every 6 months [66, 69, 159], since a similar degree of CD19<sup>+</sup> B-cell suppression at 6 months after infusion has been observed with 500 and 1000 mg [60].

High-dose RTX therapy often results in B-cell depletion for approximately 12 months [160]. However, the development of PML in patients treated could be a fearful complication, so it remains unclear whether high doses of RTX are safe or necessary for sustained clinical efficacy in inflammatory diseases [161, 162]. In 2011, an open-label study investigated the effects of a low dose of RTX on B cells CD19 level in RRMS patients [163]. The study design was based on the treatment of 12 patients who were refractory to conventional DMTs with methylprednisolone 500 mg intravenously followed by a 100 mg infusion of RTX. The findings suggested that a single 100 mg infusion of RTX adequately depleted peripheral B cells for at least 6 weeks, with CD19<sup>+</sup> lymphocytes recovering at levels above the 25% of baseline at 12 weeks (27%) and at 24 weeks (45%). During the follow-up, there was a reduction in the number of clinical relapses (21 in the year prior to first infusion vs 7 in the year following) and a fewer number of baseline cumulative Gd-enhanced lesions on brain MRI (23 at baseline vs 3,2, and 0 at weeks 12, 24, and 52, respectively). Thus, the authors raised the question whether the use of minimal doses of RTX could maintain the clinical effects in the treatment of RRMS through a sustained B-cell depletion [163].

Growing evidence supports the hypothesis that the progressive phase of MS might be associated with intrathecal compartmentalization of inflammatory cells; thus, several studies investigated the effect of intrathecal administration of immunosuppressants as a new therapeutic approach in MS [164]. A single case report of intrathecal use of RTX was performed in 2014 to evaluate the central and peripheral effects of repeated intrathecal administrations of RTX in a patient with severe PMS [165]. The investigators demonstrated the marked reduction of peripheral CD20<sup>+</sup> B cells, several central pro-inflammatory cytokines and markers of neurodegeneration, with no effect on oligoclonal bands.

An open-label phase 1b study on the efficacy of intrathecal administration of RTX for the treatment of PMS was also conducted, which involved the monitoring B lymphocytes in peripheral blood and CSF up to 1 year post-treatment [166]. This study demonstrated that the intrathecal administration of ultra-low doses of RTX was able to completely deplete peripheral B lymphocytes, thus confirming the potential effects in both the CNS and systemic compartments. A randomized placebo-controlled phase II trial of combined IV and intrathecally administered RTX in patients with SPMS is currently ongoing (ClinicalTrials.gov Identifier: NCT01212094). It has been shown that an almost complete B-cell depletion occurs within a fortnight of infusion, usually persisting for 6–12 months. For this reason, treatment courses have commonly been repeated at regular six-month intervals. However, the initial RTX dose required to achieve B-cell depletion and the time to B-cell repopulation may considerably vary [167] with a reported prolonged B-cell depletion lasting over 3 years following a single dose of RTX [168].

Dosing and interval strategies for RTX commonly used in onco-hematology setting and for RA treatment have been also applied in MS patients [158]. In randomized clinical trials, RTX has been administered as 1000 mg intravenous twice 2 weeks apart in RRMS [31] and 1000 mg intravenous twice 2 weeks apart every 24th week in four cycles in PPMS patients [37]. Two studies have measured blood CD19<sup>+</sup> B cells to schedule the RTX re-infusion [113, 169]. It has been suggested that monitoring circulating memory B cells (CD19<sup>+</sup> and CD27<sup>+</sup>) could be a viable strategy to control relapsing NMO [170], which may be similarly pertinent to MS, in order to schedule a personalized treatment regimen [32]. These considerations explain why in Sweden the most common approach consists of one intravenous dose of 500 mg RTX every 6 months [36, 64, 66, 69, 159], since it was demonstrated that this regimen can determine a CD19<sup>+</sup> B-cell suppression at 6 months comparable to the 1000 mg dose one [60]. On the other side, the refill of B cells has a significant individual variability. In a study involving 439 PPMS patients, about 40% of them had recovered peripheral B cells 48 weeks after their last dose (in the 1000 mg intravenous twice 2 weeks apart regimen) [37]. In another study 26 RRMS patients had a reconstitution to a mean of 35% of baseline counts by week 72 (48 weeks after  $2 \times 1000$  mg 2 weeks apart), in particular with a greater amount of naïve B cells rather than memory B cells [41], producing less proinflammatory and more regulatory cytokines [58]. Dosing regimens more frequently used in MS population are summarized in Table 3.

#### **Biosimilars**

RTX's patent expired in Europe in February 2013 and in the US in September 2016 [171]. Since 2015, FDA and EMA have approved several biosimilars of RTX, such as while other biosimilars are to date in the pipeline [172, 173].

CT-P10 (Truxima®) is the first biosimilar approved for use in all indications reported for the originator RTX, including follicular lymphoma (FL), diffuse large B-cell non-Hodgkin's lymphoma, chronic lymphocytic leukemia, RA, granulomatosis with polyangiitis and microscopic polyangiitis. CT-P10 shares similar physicochemical and pharmacodynamic characteristics, comparable tolerability, immunogenicity and safety profiles with reference RTX, and switching to CT-P10 has no impact on safety or efficacy [174].

Another bioequivalent, GP2013 (Rixathon®), has been compared to the originator RTX in patients with a diagnosis of FL and active RA and has shown high similarity from a biochemical point of view [175–177].

Since a biosimilar is not the exact copy of the originator, its efficacy and safety may significantly differ. For these reasons, a recent study tested the equivalence of the RTX biosimilar CT-P10 and its originator RTX used for MS treatment in terms of efficacy, safety, and tolerability [178]. Concerning efficacy, similar CD19<sup>+</sup> lymphocyte depletion, relapse rate and evolution of MRI activity were observed between the two groups of treatment. Results suggest that CT-P10 could represent a relatively cheaper and safe therapeutic alternative and could improve access to a highly efficient therapy for MS in low- or middleincome countries. Recently, a prospective study demonstrated the equivalence of the RTX biosimilar Truxima® compared to its originator MabThera® in terms of efficacy, safety, and tolerability in a MS population [178].

Table 3	Different treatment	
dosing	regimens used in MS	
populat	ion	

Dosing regimen	Schedule	Interval	MS population	References
375 mg/m <sup>2</sup> i.v	Once weekly	Every 4 weeks	RRMS	[49, 115]
500 mg i.v	Once weekly	2 weeks apart	RRMS	[115]
1000 mg i.v	Once weekly	2 weeks apart	RRMS	[6]
1000 mg i.v	Once weekly	2 weeks apart every 6 months	PMS	[9]
500 or 1000 mg i.v	Once	Every 6 months	RRMS	[52, 54, 65, 116]
100 mg e.v. + 500 mg methylprednisolone	Once	Every 6 months	RRMS	[120]
10 mg IT	Once	2 months apart	RRMS	[121]
25 mg IT	Once weekly	Every week for 3 weeks	PMS	[122]

*MS* multiple sclerosis, *i.v.* intravenously, *IT* intrathecal, *RRMS* relapsing–remitting multiple sclerosis, *PMS* progressive multiple sclerosis

RTX has been approved for the treatment of B-cell lymphomas (i.e., non-Hodgkin's lymphoma, chronic lymphatic leukemia) in 1997. Nowadays, RTX is being deployed for a multitude of chronic inflammatory diseases apart from MS, representing an attractive alternative to conventional immunomodulatory medications because of growing evidence of its efficacy and tolerability.

Clinical use in other neurological disorders

A recent review has summarized indications and evidence for RTX use in neurological disorders depending on the type and course of the disease [179].

RTX is usually adopted as a second-line acute therapy in anti-NMDAR encephalitis [180] and in other autoimmune encephalitis [181] to maximise neurological recovery. The most commonly used dosing regimen is 375 mg/  $m^2$  weekly for four doses. Favorable outcomes as acute therapy have also been reported in small case series of patients with diagnosis of primary angiitis of the CNS [182].

Use of RTX in NMOSD is supported by numerous studies demonstrating consistent reductions in ARR [183, 184]. No consensus exists about the exact efficient dose. Usually, dosing regimen of 375 mg/m<sup>2</sup> weekly for four cycles is adopted, but in small series of patients, NMOSD doses as low as 100 mg weekly for 3–4 weeks have been successfully used [185, 186].

Two randomized controlled trials have shown non-inferiority of RTX to cyclophosphamide in inducing remission in patients with ANCA-associated vasculitis [187]. In particular, RTX in association with steroids is recommended by the National Institute for Health and Care Excellence (NICE) as an option for inducing remission of severe disease when cyclophosphamide has failed or is contraindicated [188].

The treatment of immune-mediated peripheral neuropathies with RTX showed modest benefits; however, there may be circumstances in which RTX could be helpful, as in patients with diagnosis of chronic inflammatory demyelinating polyradiculoneuropathy (CIDP) with an inadequate response to conventional therapy (corticosteroids, intravenous immunoglobulin and plasma exchange) [181].

Finally, evidences of benefits with RTX use in patients with refractory myasthenia gravis have been showed in some retrospective case series, with much greater efficacy in muscle-specific tyrosine kinase (MuSK)-associated than acetylcholine receptor (AChR)-associated forms [189, 190].

### Discussion

An increasing body of evidence supports the high efficacy and the low drug discontinuation rate of B-cell-depleting anti-CD20-antibody RTX for the treatment of MS. Longterm observation of RTX therapy in RA and in NMOSD suggests that RTX is highly effective, safe and well tolerated [38, 41, 70, 81, 85]. Two large randomized clinical trials and results from large real-world studies have confirmed its safety and efficacy in both RRMS and PMS [31, 37]. Furthermore, the evidence from long-term use of RTX in other clinical conditions, such as RA, has supported its favorable safety profile. However, it should be noted that careful monitoring is needed, in particular considering the infection risk. For this reason, it is recommended to measure total serum immunoglobulins before starting RTX and during the follow-up to carry out specific vaccination at least 6 weeks before starting RTX treatment.

In addition to the efficacy and safety data, the off-label use of RTX is less expensive than most of the currently available FDA-approved DMTs, confirming the results from randomized trials and observational studies showing that RTX infusions of 1–2 g annually are cost-effective [151, 154]. However, although the annual cost of RTX is lower than that of most MS drugs, its access is not universal because its cost remains high for some patients and healthcare services. In that respect, the introduction of cheaper biosimilars may further reduce costs and also represents a highly efficient therapeutic option for MS in low- or middle-income countries. Moreover, it is unknown whether the introduction of new anti-CD20 monoclonal antibodies, particularly those SC administered such as ofatumumab, could also impact the price competition.

The encouraging results emerging from the trials and observational studies have raised the question if RTX may represent an acceptable and valid alternative to OCR. This was also highlighted by the significant disparity in cost between these two anti-CD20 drugs, with RTX being markedly less expensive. Notably, RTX use for MS is variably covered depending on the health system in the European countries and according to the insurance companies in USA. Nevertheless, it should be considered that RTX has a more pronounced immunogenicity with a higher production of ADAs compared to OCR, since the unique molecular structure of OCR allows eliminating B cells though a more direct path. Thus, in the absence of head-to-head trials, the choice of RTX or OCR should be made carefully on the basis of efficacy and safety issues.

A further knowledge gap is represented by the use of RTX for the treatment of PPMS; indeed, even if data showed that younger PPMS patients, particularly those with inflammatory lesions, may benefit from RTX, the effectiveness of RTX in PPMS needs to be further explored also taking into account specific clinical variables, such as age, disease duration, comorbidities and evidence of inflammatory activity defined by clinical relapses, progression rate and MRI data [37].

Another open issue is the lack of no formal dose-finding trials of different RTX therapy regimens. Thus, further studies are needed to optimize dosing regimen, to identify the administration interval, possibly individualized by adjustment to immunological parameters and disease activity. Moreover, in the light of the higher incidence of infections reported in the trials, future researches may investigate if a reduced dosing schedule may be able to reduce the risk of infections, preserving the efficacy and also the favorable safety profile [31, 37]. In our MS center of the University Hospital of Catania, MS and NMO patients are treated with 1000 mg i.v. twice 2 weeks apart and the RTX re-treatment is usually scheduled based on the refill of the CD20<sup>+</sup> and CD19<sup>+</sup> lymphocytes (over 1%). However, the B-cell repopulation may individually vary. Hence, dose-finding studies should be carried out to identify "early re-populators" at risk of disease relapse in order to retreat them before disease progression and avoid the overtreatment of patients with sustained B-cell depletion over time [167].

To date, a major concern during the COVID-19 pandemic has been represented by the use of immunosuppressive therapies for MS treatment. This was particularly true for Italy, as it was the first European country to face the COVID-19 pandemic. Several data have shown encouraging results, suggesting that immunosuppression, or at the least the moderate immunosuppression induced by DMTs, may have a protective effect against the development of severe COVID-19 infection [141].

This is not surprising, as ARDS are demonstrated to be immune mediated [140]. Besides, evidences on the immunopathogenesis of ARDS due to the COVID-19 infection have suggested that viral-specific CD8<sup>+</sup> T-cell responses are involved in the virus clearance [142]. Notably, most MSrelated DMTs, probably except alemtuzumab, do not seem to target the innate immune system, whereas only few of them have any significant long-term effect on CD8<sup>+</sup> T-cell counts. More importantly, MS DMTs in general do not usually target the immature B-cell development, thus allowing antibody production preventing (re)infection, as well as response to vaccines. In the light of these findings, numerous trials with immunosuppressive therapies, such as FTY (NCT04280588), Tocilizumab (NCT04331795), Anakinra (NCT04341584) and Emapalumab (NCT04324021) are currently being tested as treatments for COVID-19-associated ARDS [191].

However, the recent Italian report of higher risk of COVID infection in MS patients treated with anti-CD20 drugs has risen some concerns about the use of these class of drugs [144]. In this study, no association between time to last infusion of OCR and COVID-19 risk was found, supporting the hypothesis that the immunological effects of these drugs may last longer than 6 months. Similar to the NTZ extendeddose strategy for reducing PML risk, this may suggest that different RTX schedules, reducing the frequency of dosing, or adjusting it according to the monitoring of B-cell refill, may maintain efficacy while limiting the risk of infection.

# Conclusion

Despite the status of being an off-label drug, and therefore being subjected to variable regulations in countries other than Italy, RTX is a valid treatment option for MS patients considering the growing evidence about the high efficacy and the safety profile. In this time of pandemic crisis, the recent concern raised by the recent findings showed the higher risk of COVID-19 infection in patients treated with anti-CD20 drugs should not be ignored, especially for naïve patients, during treatment-decision making. This new clinical insight needs to be confirmed in other autoimmune diseases. The analysis of the increasing amount of real-world data being collected in several registries may shed new light on the pathophysiology of the COVID-19 infection and confirm or reject the hypothesis regarding the higher susceptibility to develop severe COVID-19 of MS patients treated with B-cell depleting therapies.

#### **Compliance with ethical standards**

**Conflicts of interest** Clara Grazia Chisari received grant for congress participation from Almiral, Biogen, Merck Serono, Novartis, Roche, Sanofi and TEVA. Eleonora Sgarlata declares no conflict of interest. Sebastiano Arena declares no conflict of interest. Simona Toscano declares no conflict of interest. Maria Luca declares no conflict of interest. Francesco Patti has received honoraria for speaking activities by Bayer Schering, Biogen, Merck Serono, Novartis and Sanofi Aventis; he also served as advisory board member the following companies: Bayer Schering, Biogen Idec, Merck Serono, Novartis; he was also funded by Pfizer and FISM for epidemiological studies; finally he received grant for congress participation from Bayer Schering, Biogen Idec, Merck Serono, Novartis, and TEVA.

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