1 **Title**

2 RIVER MORPHODYNAMIC EVOLUTION UNDER DAM-INDUCED BACKWATER: AN3 EXAMPLE FROM THE PO RIVER (ITALY).

4 **Running Title**

5 RIVER MORPHODYNAMIC UNDER DAM-INDUCED BACKWATER

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- 21 Keywords

22 Po River, backwater, drawdown, lateral river migration, meander, gravel-sand transition.

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- 24 Highlights

The Isola Serafini dam interrupts the Po River continuity creating a backwater zone that modifiesriver hydrodynamics for up to 30 km upstream.

27 Lateral migration rates of meanders reduce downstream, and coarse-grained channel-bars are

- 28 progressively drowned and reworked over time.
- 29 The size of river bed sediment decreases downstream across the backwater zone.
- 30 The size of river bedforms increases downstream across the backwater zone.

31 Dam-induced backwater forced an up-flow shift of the gravel-sand transition.

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33 ABSTRACT

34 River systems evolve in response to the construction of dams and artificial reservoirs, 35 offering the possibility to investigate the short-term effects of base level oscillations on fluvial 36 architecture. A major effort has been dedicated to the understanding of river response downstream 37 of large dams, where deep channel incisions occur in response to the removal of sediment that is 38 sequestered within the upstream reservoir. Integrating field observations and numerical modelling 39 results, this work quantifies the sedimentary and morphological changes of the Po River (Italy) 40 upstream of the Isola Serafini dam to investigate the impact of dam-induced backwater on river 41 morphodynamics. The construction of a reservoir generates a new base level that forces an 42 upstream shift of alluvial lithofacies and a change in the planform geometry of the river. The lateral 43 migration rate of the channel is up to 45 m/yr upstream of the influence of backwater flow and ca. 44 10 m/yr at the transition from normal to backwater flow conditions (30 km from the dam). Within 45 this reach, a reduction of the bed shear stress promotes deposition of coarse-grained sediment and 46 the emergence of the gravel-sand transition of the river. The lateral migration of the channel 47 continuously reduces over time, and rates <5 m/yr can be observed within the reservoir backwater 48 zone. This trend is accompanied by the drowning of channel-bars, the reduction of river 49 competence, and an increase in bedform length. Oscillatory backwater and drawdown surface water profiles can be observed closer to the dam, which are associated with varying low and high 50 51 discharge events, respectively. While low-flow conditions, persisting for much of the year, allow 52 the deposition of fine-grained sediment, high discharge events not only promote the resuspension 53 and transport of fine material but also the progressive erosion of channel bars and the overall 54 deepening of the thalweg. This study provides a clear picture of the river evolution in response to 55 the construction of a hydropower dam that may be of help in predicting how other fluvial systems 56 will respond to future human interventions. Moreover, the result of how oscillations in base level 57 and backwater/drawdown profiles control river hydro-morphodynamics and sediment transport may 58 provide new insights when reconstructing ancient fluvial and deltaic sequences.

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INTRODUCTION

61 During the last few decades, increasing attention has been dedicated to understanding how 62 fluvial systems evolve in response to changing external forcing and how such signals could be 63 identified in the rock record (Schumm, 1981; Marchetti, 2002; Miall, 2014). Due to a better 64 knowledge of boundary conditions, field studies from late Quaternary systems offer the possibility 65 of investigating the signature of tectonics, climate and/or eustasy in the evolution of fluvial systems 66 (e.g. Blum and Törnqvist, 2000). The integration of direct observations with numerical simulations, 67 and flume experiments, has allowed for a better understanding and ability to predict how allogenic 68 processes influence river hydro-morphodynamics and, consequently, the evolution of subaerial and 69 submarine landscapes (Paola, 2000; Van Heijst and Postma, 2001; Edmonds and Slingerland, 2009; 70 Jerolmack, 2009; Kim et al., 2014; Bufe et al., 2016).

An important step forward towards more accurately modelling connections between fluvial sedimentation and stratigraphy has been the recognition of backwater and drawdown zones upstream of the river mouth (Parker et al., 2008; Hoyal and Sheets, 2009; Lamb et al., 2012), where non-uniform flows occur in response to the river stage (decelerating and accelerating river flow 75 velocities associated with low and high flow conditions, respectively). Numerical simulations and 76 field observations have recently demonstrated that non-uniform flow exerts an important control on 77 the evolution of sediment routing across a range of depositional environments, from the continental 78 realm to coastal and marine areas (Paola, 2000; Moran et al., 2017). For example, depending on the 79 channel depth and river bed slope (Samuels, 1989; Paola, 2000), non-uniform flow may persist for 80 tens to hundreds of kilometres upstream and influence the morphological evolution of the river by 81 controlling the position of the gravel-sand transition (Venditti and Church, 2014), the rate of lateral 82 migration of meander segments (Nittrouer et al., 2012), the river plume hydrodynamics (Lamb et al., 83 2012), the formation of distributary networks and the evolution of river deltas (Jerolmack and 84 Swenson, 2007; Chatanantavet et al., 2012), and the behaviour of morphodynamic systems (Shaw 85 and McElroy, 2016).

86 Much of this work has recognized that the backwater profile associated with a prograding 87 river delta promotes sediment aggradation, and consequently reduces rived bed slope and sediment 88 transport capacity which, in turn, enhances downstream fining (Paola and Seal, 1995; Wright and 89 Parker, 2005; Ferrer-Boix et al., 2016; Franzoia et al., 2017). This phenomenon is also evident 90 when a delta progrades into a dam-induced reservoir (Petts, 1979; Church, 1995; Snyder et al., 91 2004). The study of reservoir sedimentation and of the impact of dams on the evolution of fluvial 92 channels may reveal important insights regarding morphodynamic responses of rivers to external 93 perturbations, such as changes in the elevation of the receiving basin free surface (i.e., air-water 94 interface), or the sequestration of sediment. In particular, a big effort has been devoted to 95 investigating river reaches downstream of large dams (Williams, 1984; Graf, 2006), where the 96 response of fluvial systems has been quantified by coupling observations of planform, cross-97 sectional and bedform changes with measurements of water and sediment discharge, with the aim to 98 design a set of scenarios for predicting future river evolution (Brandt, 2000; Nones et al., 2013).

99 This study focuses on a 70-km-long reach of the Po River (Italy) to investigate the upstream
100 effect of a large hydropower dam (Isola Serafini, Fig. 1) on river evolution. The basic idea is to use

101 this trunk of the Po River as a natural laboratory to investigate the short-term effects of base level 102 change and backwater hydrodynamics on fluvial architecture, usually studied in delta distributary 103 channels (Jerolmack and Swenson, 2007). The planform geometry and river bed elevation of the 104 reaches upstream of the dam have been monitored over time since the dam construction, allowing 105 investigations of the river response to such perturbations. By integrating surface observations with 106 sedimentological data (derived from river bed sediment samples and boreholes), hydrological 107 measurements (water elevation and discharge) and numerical simulations, the present study, the 108 first of this genre, aims to quantify how backwater and drawdown flows influence the system 109 morphodynamics and affect sediment partitioning along the channel axis. The results obtained are 110 discussed in the light of understanding the impact of human interventions on fluvial systems and the 111 influence of backwater hydrodynamics on riverine sedimentology, sediment transport processes, 112 channel kinematics and dune dynamics.

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STUDY AREA

The Po River arises in the Western Alps and flows roughly West-East for 652 km towards the Northern Adriatic Sea, draining an area of ca. 74,500 km² (Fig. 1). The watershed can be divided into three parts on the basis of lithology and maximum elevation: an Alpine sector of crystalline and carbonate rocks (maximum relief 4,500 m above mean sea level, amsl), an Apennine sector mostly composed of sedimentary rocks with high clay content (maximum relief 2,000 m amsl) and a central alluvial area including the Po Plain and the delta (Fig. 1, Amorosi et al., 2016).

The annual hydrograph shows two peaks in discharge, normally in autumn and spring, generated by rainfall and snowmelt, respectively. The mean annual water discharge recorded at the Piacenza gauging station is 959 m³/s (period 1924-2009; Montanari, 2012), while the total annual sediment and freshwater discharges to the Northern Adriatic Sea are about $13x10^9$ kg and 40-50 km³, respectively (Syvitski and Kettner, 2007; Cozzi and Giani, 2011). On decadal timescales, the water discharge variability mostly reflects a change in the precipitation pattern that bears out a 127 sharpening of the extreme events, as observed in recent years (Zanchettin et al., 2008). For the time 128 interval investigated in this study, an important impact on river dynamics are engineering structures, 129 including embankments for flood control, rip-rap and longitudinal groins (to constrict the channel 130 and facilitate navigation). A direct correlation with the changes observed in the planform geometry 131 and these anthropogenic modifications is difficult to discern (Zanchettin et al., 2008). Moreover, 132 looking over the last five decades, there no evidence of a statistically significant change in the flood 133 hazards along the Po River (Domeneghetti et al., 2015), indicating stationarity of the hydrological 134 series during the evaluated period. Zanchettin et al. (2008) show that extreme discharge events for the Po River (4,800 m^3/s) have a return period of around 50 years, while geomorphologically 135 136 effective discharges (see Biedenharn et al., 1999), considered to have a return time of approximately one year range from 1,000-2,100 m³/s for the Po River. Over the time period 137 138 investigated for this study, there is a noted decrease of the dominant yearly discharge from ca. 2,500 $m^{3} s^{-1}$ to 1,500-1,000 $m^{3} s^{-1}$ (Guerrero et al., 2013). 139

140 The Isola Serafini dam, built for hydroelectricity production and completed in 1962, 141 interrupts the continuity of the Po River at ca. 300 km upstream of its mouth (Figs. 1 and 2). The 142 dam has eleven floodgates (width: 30 m each) designed to control the flow through the spillway and 143 to maintain a normal retention level of about 41.5 m amsl (Fig. 3 A), thus forcing the river water 144 surface to oscillate between backwater and drawdown profiles during low and high flows, 145 respectively. Depending on flood intensity, the floodgates may open so as to prevent the river from 146 overflowing, thereby allowing the downstream discharge of water and sediment (Fig. 3 B and C). 147 The construction of the dam affected both upstream and downstream river hydrology, morphology 148 and, consequently, the flux of sediment, nutrients, and dissolved material to the sea (Davide et al., 149 2003; Surian and Rinaldi, 2003; Bernardi et al., 2013).

In the 250 km reach upstream of the dam (watershed of about 45×10^3 km²), the Po River course changes from a multi-channel braided to single thread meandering, with a bed slope reduction from 1.4‰ to 0.22‰ (Fig. 1). There is an associated fining of river bed sediments from coarse gravel to medium/fine sand (Colombo and Filippi, 2010; Lanzoni, 2012). The present study
focuses on the 70 km of river course upstream of the dam, where the modern channel morphology
is characterized by a sequence of meander bends both upstream- and downstream-skewed (Fig. 2).
This portion of the river is characterized by mean bed slope of 0.215 m/km, sinuosity index of 1.82,
water surface elevation between 45 m and 41.5 m amsl, mean and maximum thalweg depths of ca.
m and 22 m, respectively, and mean and maximum channel widths of 250 m and 550 m,
respectively.

160 Today, river bathymetry (Fig. 2) is the result of interactions between natural processes and 161 anthropic activities, as the Po River has been significantly affected by human interventions during 162 the last century (Surian and Rinaldi, 2003; Lanzoni et al., 2012). In detail, gravel and sand mining 163 from the river bed, particularly intense in the years between 1960 and 1970, and the construction of 164 hydropower dams in the Alpine tributaries have promoted river bed degradation and a strong 165 decrease in the sediment load, while the creation of longitudinal embankments to prevent flooding 166 of the surrounding alluvial plain and structures used to maintain channel navigation downstream of 167 the Isola Serafini dam have focused flow during dominant discharge events to a single channel 168 (Lamberti and Schippa 1994; ADBPO, 2008). The modern gravel-sand transition has been 169 recognized between the confluence of the Po River with the Tidone and Trebbia tributaries (see 170 Figure 2 for the location of the rivers), where a subtle decrease in slope was created by the 171 deformation of the pre-Quaternary substrate (ADBPO, 2005).

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MATERIAL AND METHODS

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Field data acquisition and analysis

The planform geometry evolution of the Po River within the study area is quantified by using a combination of orthophotos (years 1954, 1962, 1991) and satellite images (years 2004, 2005, 2014), with the year 1954 (before the construction of the Isola Serafini dam) as a reference for the quantification of river migration rates. Orthophotos were acquired by the IGM (Military 179 Cartographic Institute) and are available online (http://www.igmi.or/voli). Each photo, at 1:33,000 180 scale, is rectified as WGS84 UTM33 N in Global Mapper by using 150 control points. Satellite 181 images came from Landsat TM (years 2004 and 2005) and Landsat 8 (year 2014), both with 30 m 182 of spatial resolution (data available at http://earthexplorer.usgs.gov). The river surface area for each 183 vear is obtained through image analysis in ArcGIS® by determining the pixel values associated 184 with water and then measuring the total water surface area. This approach may neglect small 185 planimetric variations associated with the river stage, allowing for larger channel widths during 186 high-flow conditions. The position of the river centerline is located by tracking the midpoint 187 perpendicular to river banks derived from the water surface area. Therefore, the centerline is 188 positioned with horizontal errors in the order of few meters, depending on the resolution of the 189 images available. The lateral migration rates are derived by comparing shifts in the river centerline. 190 All the distances presented in this study are reported as kilometres upstream of the Isola Serafini 191 dam.

192 The thalweg depths for the years 1954 and 2000 presented in figure 2 are derived from the 193 regional topographic surveys of the river channel and the alluvial plain executed by the 194 Interregional Agency for the Po River (AIPO, 2005). The data, referenced to the mean sea level, 195 consists of 14 cross sections ca. 5 km spaced, comprised between the main levees (data available at 196 http://geoportale.agenziapo.it). The modern thalweg depth and the 3D views of the river bed came 197 from a multibeam bathymetric survey performed by AIPO in the years 2004-2005 by using a 198 multibeam echosounder Kongsberg 3002 equipped with a DGPS Racal Landstar (Colombo and 199 Filippi, 2010). Dune lengths are calculated manually from the multibeam bathymetry by measuring 200 the linear distance of two consecutive dune crests; the values are then averaged along 300-m-long 201 sections.

Synthetic borehole logs are derived from the core repository of the Emilia-Romagna Region and Lombardia Region (data available at http://ambiente.regione.emilia-romagna.it and http://www.territorio.regione.lombardia.it, respectively). Samples of river bed sediment, collected 205 by using a Van Veen grab, have been acquired during two campaigns in July and August 2014, both 206 during low flow conditions (Fig. 4). The positioning of the samples has been obtained by using 207 Trimble Marine SPS461 GPS receiver equipped by an internet-based (via GSM) VRS RTK for real-208 time corrections that provides vertical and horizontal resolutions of 10 cm. Grain-size analyses have 209 been performed with a set of sieves for the $>63 \,\mu\text{m}$ fraction (from mesh no. 7 to no. 230) and with 210 SediGraph Micromeritics 5120 for finer fractions at ISMAR-CNR sedimentary laboratory. All the 211 samples are treated with 30% diluted H₂O₂ to remove organic matter and washed with distilled 212 water to dissolve salts. Before SediGraph analyses the samples are dispersed in sodium 213 hexametaphosphate and flocculation has been further avoided using mechanical agitation. Grain-214 size distributions were interpreted using the software Gradistat and are presented via the software 215 graphics (Blott and Pye, 2001).

Water surface elevation data (Fig. 4), converted in water discharge by using the rating curve presented in Cesi (2004), derive from the Piacenza gauging station (source: http://arpa.emr.it, see location in figure 2). The comprehensive information on the water levels measured along the Po River is reported in the Annali Idrologici (http://www.isprambiente.gov.it/it/progetti/acque-internee-marino-costiere-1/progetto-annali).

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Numerical model

223 The 70-km-long reach of the Po River investigated in the present study has been simulated 224 by implementing a one-dimensional model from the US Army Corps of Engineers' River Analysis 225 System (US Army Corps of Engineers, 2015). HEC-RAS is a computer model developed by the 226 Hydrological Engineering Center (HEC) that simulates the flow of water in rivers and has been 227 applied in the study area to simulate the impact of backwater zones on the flow velocity and 228 associated bed shear stresses. Fundamental hydraulics handled by the model is the water volume 229 continuity equation and energy equation (De Saint-Venant, 1871), solved using an implicit finite 230 difference scheme (Sturm, 2010). The transport capacity for each grain-size class has been computed by using the Meyer Peter Müller formula and the Van Rijn particles fall velocity (White et al., 1975; Van Rijn, 1984; Gibson et al., 2010). A two-layer approach describing the river bed is applied to simulate armouring and sediment hiding/exposure coefficients, and the resulting particle mobility has been calculated using the active-layer approach (Hirano, 1971). Water flux entrains sediment particles from the active layer composed of coarse particles, which hide the substratum (i.e. inactive layer) of finer sediment, eventually resulting in grains having a reduced mobility.

237 The starting river profile is described using the 1954 regional topographic survey of the river 238 channel and alluvial plain (AIPO, 2005), interpolating the field measurements to have a maximum 239 fixed spatial resolution of 300 m, necessary to avoid model instability but also to adequately 240 reproduce the hydro-morphodynamics (i.e., celerity of the water flow and changes of the bed 241 elevation) of this reach. With geometry, water depths have been computed assuming changing 242 Manning coefficients between the main channel (n=0.035, quite clean bed) and the floodplains (n 243 =0.025, short grass), based on previous applications (Guerrero et al., 2013; Nones et al., 2018). 244 HEC-RAS computes cross-section averaged shear stresses (Chow, 1959; US Army Corps of 245 Engineers, 2015), while the critical values (Table 1) are computed following the approach proposed 246 by Shields (1936).

247 A synthetic approach describing the water discharge input at the upstream boundary has 248 been applied aiming to speed up the simulation. This consisted in reproducing the typical annual 249 oscillation that yields two wet and dry periods, as observed in the Po River catchment (Montanari, 250 2012), and in comparing the numerical outcomes with the observed values. Monthly discharges and 251 their durations at the hydrological station of Piacenza are analysed for the period 1954-2005 and 252 used to calibrate the bed roughness. A sensitivity analysis spanning the period 2000-2005 has been 253 performed to compare the modelling results when applying the synthetic hydrograph (1-month 254 resolution) with the values of water discharge measured at the Piacenza station. The reconstructed 255 hydrograph, spanning the period 1954-2005, points out an overall decreasing in the monthly discharges during wet periods, which reduced from ca. 6,000 to 2,000 m³ s⁻¹ when passing from the 256

257 first 30 years to the last decades, in accordance with other studies regarding the dominant 258 discharges affecting the Po River morphodynamics (Guerrero et al., 2013). In the simulation, the 259 water level at the downstream end is maintained fixed at 41.5 m amsl in order to reproduce the 260 operation of the gates of the Isola Serafini dam (Fig. 3). The sediment input has been simulated 261 proportional to the flow discharge and adjusted to obtain a 4-5 m lowering of bed level in the first 262 30 years of the simulated period (i.e., 1954-1984), in agreement with historical data and with the 263 results of previous modelling simulations (Lamberti and Schippa 1994; Guerrero and Lamberti, 264 2011; Guerrero et al., 2013; Lanzoni et al., 2015).

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RESULTS *River bed elevation and channel dynamics*

268 A comparison between thalweg elevations measured in 1954, 2000 and 2005 highlights that 269 the river bed elevation decreases through time in the upstream portion of the study area, between 70 270 km and ca. 45 km (Fig. 2). Previous studies documented how the lowering of the river bed in this 271 area has been produced by a combination of reduced sediment supply from tributaries and 272 subsequent river bed mining (Marchetti, 2002; Colombo and Filippi, 2010). Between 45 km and 25 273 km upstream the dam, the bed elevation is generally stable, with slight aggradation observed in 274 some locations (e.g., Section 7 in Fig. 2). Between 25 km and the dam, the thalweg incision 275 progressively increases in the downstream direction: section 14, located just downstream of the dam, 276 shows the highest magnitude of incision, with up to 10 m of river bed degradation (Fig. 2).

A combination of orthophotos and satellite images provide the opportunity to quantify the planform geometry evolution of the Po River after the construction of the dam, highlighting the lateral mobility of channel bars, the stability of river banks and the progressive changes in the water surface area of the channel (Fig. 5). Lateral migration of few hundred meters is recorded in the upstream portion of the river (between 70 and 30 km), where the position of the river centerline changed continuously through time (Fig. 5). In this area, the lateral migration is not accompanied 283 by an increase in channel width, which shows a constant value of ca. 180 m. A closer look at the 284 data highlights that the centerline migration rates are lower in the upstream portion of the river (i.e., 285 up to 25 m/yr between 70 and 55 km), and increase to values of up to 45 m/yr between ca. 55 and 286 30 km in the years just after dam construction (1954-1962, Fig. 6). Lateral migration rates diminish 287 between 30 and 25 km upstream of the dam, where the river flows near the city of Piacenza, and in 288 its final part upstream of the dam, where the thalweg maintained a consistent position (Fig. 6). In 289 this section the channel, water surface area progressively increases, starting from 30 km upstream 290 of the dam and progressing downstream; the surface area also increased for this section of the river 291 over time, from 1954 to 2014 (Fig. 5). Close to the dam, in meander loop 4, the channel width 292 attains a maximum value of ca. 550 m. Migration rates decrease over time along this 25-km-long 293 section, reaching extremely low values in the final reaches (Fig. 6). The condition of river bank 294 stability is detectable along the 25 km of river course upstream of the dam, which persisted during 295 the entire period investigated, namely between 1954 and 2014 (Figs. 5 and 6).

296 The planimetric evolution has been accompanied by morphological changes of channel bars, 297 as detected in four snapshots between 1954 and 2004/2005 (Fig. 5). The upstream portion of the 298 river, meander loop 1, shows the most dynamic conditions, with side bars that start to accumulate 299 between 1954 and 1962 (yellow arrows in figure 5), soon after the construction of the dam, with 300 continued growth since then. The point bar, furthermore, is progressively reworked and reshaped, 301 testifying to the dynamism of this reach (orange arrows in figure 5). Moving downstream to 302 meander loop 2, a gradual drowning of the side bars can be observed (between 1962 and 1991, red 303 arrows in figure 5), accompanied by a low degree of point bar reshaping, with banks strengthened 304 by riparian vegetation (blue arrows in figure 5). Farther downstream, side bars disappear soon after 305 dam construction (between 1954 and 1962, in both meander loops 3 and 4, red arrows in figure 5), 306 whereas sandy point bars are drowned faster close to the dam: between 1962 and 1991 in meander 307 loop 3, and between 1951 and 1962 in meander loop 4 (red arrows in figure 5).

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River bed sediment and bedforms

River bed sediment samples, collected along the 70 km of the study area, with more detailed 310 311 sampling in meander loops 1, 3 and 4 (Fig. 7), show the presence of coarse-grained material (D_{50} = 312 100 mm) only in the upstream portion of the river (along about 40 km): a pebble to cobble river bed 313 develops with patchy sediment distribution and alternate with a more sandy bed, where the average 314 D_{50} is 0.6 mm. Pebbles and cobbles noticeably accumulate where flow velocity increases (i.e., flow 315 thalweg), and finer grained particles are inhibited from depositing (meander loop 1 in figure 7), or 316 at the confluence with the main tributaries entering from the south. At this location, cobbles 317 (sourced from the Apennines) remain in place without transport by the modern Po River (ADBPO, 318 2005). Cobbles of different lithologies are also found in a few samples farther downstream and are 319 probably materials accumulated during the operations for streambank stabilization. Approaching 320 meander loop 3, sediment samples are almost entirely characterized by sand with a D_{50} between 0.5 321 mm and 0.3 mm. Finer deposits, with high clay content, are found in low-velocity zones, for 322 example on the inner side of the meander towards the drowned point bar (Fig. 7). Farther 323 downstream, within the final reaches of the river next to the dam (meander loop 4, Fig. 7), bed 324 sediment becomes finer and dominated by silt-size fractions, with an average D₅₀ between 0.2 mm 325 and 0.01 mm. This trend is well highlighted by the grain size distribution of the river bed sediment 326 sampled in the thalweg along the final 15 km (Fig. 8). A comparison between aerial views of this 327 final river reach, acquired before and 50 yr after the construction of the dam (1954 and 2004-2005, 328 during low flow conditions), highlights how the downstream decrease in particles size is 329 accompanied by the drowning of the system and the disappearance of coarse-grained channel bars. 330 The stratigraphy of the study area, as highlighted by a series of boreholes (Fig. 9), is characterized 331 by a layer of anthrosol above thick gravel deposits, often amalgamated or alternated with more 332 sandy or clayey sediment. The borehole data support the hypothesis of a gravel-bed river along the 333 entire study area, even where fine-grained sediments are accumulating nowadays, and therefore the 334 presence of coarse-grained channel bars down to the location of the dam (Fig. 9).

335 The three-dimensional views of the river bed, derived from the multibeam bathymetry, show 336 a variety of depositional and erosional features, both active and remnant. Figure 10 provides a 337 summary of the most common features encountered progressing along the flow direction. Where 338 the river is characterized by shallow depths and coarse-grained material (between 70 km and 45 339 km), the river bed is almost flat and few detectable bedforms develop ("alpha" and "beta" in figure 340 10). Farther downstream, fields of transverse, slightly sinuous, sand dunes develop, often showing 341 bifurcation of the crests ("gamma" in figure 10). In the proximity of the dam ("delta" in figure 10), 342 the largest dunes are detected, with heights and lengths on the order of 1 meter and 100 m, 343 respectively. A summary of the mean grain sizes of river bed sediment and mean dune lengths 344 plotted with distance upstream of the dam highlights a progressive change approaching the 345 structure: a decrease in sediment grain size and an increase in dune size (Fig. 11).

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Bed shear stresses

348 The 1D numerical model implemented in HEC-RAS simulated water surface profiles and 349 bed shear stress for different river stage conditions (Fig. 12). At low discharge, the river exhibits a 350 typical backwater profile of the M1 type (for mild channel slopes). The water surface slope break 351 during low flows (350 m³ s⁻¹) occurs at a distance of ca. 20 km upstream of the dam (Fig. 12, left). At higher discharges (i.e., 2,100-4,800 m³ s⁻¹, return period of 10-50 years), an accelerated M2 352 353 profile can be recognized, and a drawdown of the water profile happens close to the dam (Fig. 12, 354 left). The M1 and M2 profiles observed at different river discharges are linked to different 355 conditions of bottom shear stress: while backwater conditions force a strong reduction in shear 356 stress, and consequently a spatial decrease in sediment transport capacity approaching the dam, 357 drawdown promotes enhanced shear stress for increasing water discharge conditions (Fig. 12, right). 358 Farther upstream, the model shows that the highest bed shear stress is located ca. 45 km for a discharge of 4,800 m³ s⁻¹ (black curve in Fig. 12, right) because of a local increase of the bed slope, 359 360 followed by a strong reduction moving downstream to 30 km that continues with a low value until

361 20 km upstream of the dam. In the upper part of the study area, between 70 and 40 km, the model 362 gives values of the shear stress lower than the critical shear stress for gravel-size particles that 363 reflects scarce mobility during low flow conditions. In this trunk of the river, field observations 364 show the presence of cobbles and pebbles alternated with sandy beds (Fig. 7).

In the time interval investigated in this study, a decrease of the yearly dominant discharge from ca. 2,500 m³ s⁻¹ to 1,500-1,000 m³ s⁻¹ has been observed (Guerrero et al., 2013). Given that the dominant (or effective) discharge is the flow that cumulates most of the channel bed sediments (Biedenharn et al., 1999), the intermediate profiles of figure 12 (green and blue) are critical for the long-term watercourse morphodynamics, as reproduced by the HEC-RAS model.

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DISCUSSION

372 The downstream hydrodynamic changes associated with a river entering a standing water 373 body (a reservoir, a lake or the sea) have fundamental implications for sediment transport processes 374 and channel dynamics. In recent years, many efforts have been made to understand how, how much, 375 and how far upstream, the presence of a standing body of water affects the behaviour of the fluvial 376 system itself (Fernandes et al., 2016). The study of anthropogenically modified rivers entering 377 reservoirs and of natural systems flowing into lakes and seas helped in the understanding of the 378 response of rivers to external perturbations (Collier et al., 1996; Grant et al., 2003), the 379 morphodynamics of deltas and estuaries (Jerolmack and Swenson, 2007; Edmonds et al., 2009; 380 Chatanantavet et al., 2012; Lamb et al., 2012; Bolla Pittaluga et al., 2015), and the evolution of 381 continental and coastal landscapes (Edmonds and Slingerland, 2006; Geleynse et al., 2011). Here 382 we use a trunk of the Po River where a backwater/drawdown zone is produced by a reservoir 383 maintained after the construction of a dam; this can be considered a natural laboratory to investigate 384 the morphodynamic evolution under gradually-varied flow conditions, in comparison with the 385 observations made in river systems towards their outlets into oceans (Nittrouer et al., 2012).

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Morphological change within the backwater zone

388 A combination of morphological and sedimentological evidence, coupled with numerical 389 simulations, shows that the backwater zone associated with the Isola Serafini dam extends upstream 390 for ca. 30 km; this value may also be confirmed by applying the backwater length-scale equation 391 $L\approx H/S$ (S: channel bed slope, H: flow depth at the river mouth; Paola, 2000) to the study area, 392 where H=6 m and S=0.000215. Upstream of backwater influence (between 70 km and 30 km, 393 approximately), the lateral migration rates of the river are high; modelling results show that the 394 shear stresses calculated for this sub-reach are sufficient to transport coarse sand also during moderate flow conditions (1,000-2,000 m³ s⁻¹, Fig. 12). The transition from normal to backwater 395 396 flow conditions is marked by a sudden drop in the rates of lateral migration for the meanders (Fig. 397 6) and by a progressive reduction in the size of river bed sediment (Fig. 11). Modelling results demonstrate that the water surface slope break during low flow (at 350 m³s⁻¹, Fig. 12) occurs at ca. 398 399 22 km upstream of the dam, in agreement with the field observations. Along the final reaches of the 400 Po River and, in particular, close to the Isola Serafini dam, lateral migration rates decrease to 401 extremely low values (< 5m/yr), sandy channel bars are progressively drowned, and the river erodes 402 its bed along the thalweg (Figs. 5, 6 and 7).

403 To fully understand the morphodynamics of this reach, it is necessary to evaluate the 404 hydrodynamic conditions during both low flow and high discharge events. During low flows, with a water discharge less than 1.000 m³ s⁻¹ that persists for much of the year, a typical M1 water surface 405 406 profile is observed (Fig. 12, left). This condition generates a drop in the bed shear stress to values 407 below the critical threshold of bed-load transport for silt-sized sediments (Table 1), and is 408 associated with the accumulation of fine-grained material in the channel axis and along channel 409 bars (Figs. 7 and 8). During floods and high-discharge events, conversely, the river transport 410 capacity changes drastically: the normal retention level is maintained at a constant elevation 411 through the opening of the floodgates, producing an M2 water surface profile and the downstream increase in river flow velocity (i.e., 2,100 m³ s⁻¹ and 4,800 m³ s⁻¹, Fig. 12, right). As shear stress 412

413 increases, the river becomes erosional, removing fine-grained sediment accumulated during low 414 flow river stages and partially reworking the antecedent coarse-grained channel bed sediment. 415 Modelling simulations highlight that the drawdown condition is reached for water discharges 416 $>2.000 \text{ m}^3 \text{ s}^{-1}$, and generates high bed shear stress close to the dam, which promotes coarse-grained 417 sediment transport as bedload (Fig. 12). The condition of low flow discharge and associated 418 backwater profiles persists for much of the year, generating the overall downstream fining of river 419 bed sediment observed in the model simulations, while pulses of coarse-grained material are 420 associated with episodic high discharge events, characterized by a drawdown of the water surface 421 profile.

422 The backwater/drawdown oscillations occurring during different river stages, first observed 423 by Lane (1957), may help explain the reduction in lateral river migration, the deep incision of the 424 channel thalweg, the formation of armoured beds, and the partial removal of sediment accumulated 425 along the channel bars. Similar processes have been observed in the Teshio River (Ikeda, 1989) and 426 in the Mississippi River (Nittrouer et al., 2012). In this latter example, lateral migration rates 427 decrease from more than 120 m/yr to less than 20 m/yr along the last 600 km upstream of its outlet 428 (Hudson and Kesel, 2000); this has been related to the backwater morphodynamics generated by the 429 impact of the Holocene sea level rise on the river (Nittrouer et al., 2012). In the Lower Mississippi 430 River, a 100-fold increase in sand transport has been observed during high-discharge events 431 associated with an increase in shear stress by a factor of thirteen, compared to low flow conditions 432 (Nittrouer et al., 2011). A decrease in lateral migration rates (Fig. 6) favoured the growth of riparian 433 vegetation on streambanks and channel bars (Fig. 5), promoting stabilization of the river course. In 434 detail, the effect of vegetation on bank stability is twofold, as it increases soil strength through the 435 network of roots and reduces soil moisture content through canopy interception and 436 evapotranspiration (Thorne, 1990; Simon and Collison, 2002; Wickert et al., 2013). Embankment 437 construction for flood protection, introduced during the last few decades, has further increased 438 bankline stability. The reduction of lateral migration coupled with the deepening of the channel towards its outlet may promote a narrowing of the channel belt, which could be preserved in the stratigraphic record of the fluvial deposit. This concept can be useful when interpreting ancient fluvial-deltaic systems, as low values of the width-to-thickness ratio of the channel belt, associated with limited lateral migration rate (e.g., up to few channel widths), may be diagnostic of distributary channels (Gibling, 2006; Blum et al., 2013; Colombera et al., 2016).

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Sedimentological changes within the backwater zone

446 The hydrodynamic behaviour of the Po River throughout backwater/drawdown zones can be 447 quantified not only by considering spatial information such as lateral river migration and channel 448 deepening and widening, but also by examining the morphological evolution of channel bars and 449 the grain size partitioning along the channel axis. Consecutive aerial surveys of the Po River during 450 the last 60 years, since dam-induced backwater was created, show that the point bars and side bars, 451 continuously evolving through time between 70 km and 30 km (Fig. 5), are often accompanied by a 452 lateral migration of the channel through cutbank erosion. Farther downstream, in particular along 453 the 30 km of river upstream of the dam, the establishment of a backwater zone coincides with 454 channel deepening; this arises as repeated oscillations between M1 and M2, associated with the 455 transition between discharge conditions, progressively erodes channel bars and the thalweg (Figs. 2 456 and 5).

457 The morphological changes associated with the variation of the river transport capacity are 458 reflected in river-bed grain size data: coarse sediments characterize the upstream portion of the 459 system, with a progressive downstream fining trend observed along the 30 km river reach upstream 460 of the dam. Interestingly, the upstream limit of the backwater zone nearly overlaps with the area 461 where the gravel-sand transition of the river is observed. Pebbles and coarse sand that characterize 462 the river bed in the upstream portion of the study area are gradually veneered by fine sand and silt 463 moving downstream and, close to the dam, where the flow velocity is extremely low, by clay. River bed samples from the drowned point bars in meander loops 3 and 4 (Fig. 7) show, in detail, a 464

465 coarsening trend towards deeper water, with clay-rich sediment accumulating at the inner point bar 466 (Fig. 7). This scenario is in marked contrast with the morphology of both the meanders observed in 467 1954 (before the dam), where coarse-grained point bars are subaerially exposed (Fig. 8), and the 468 presence of a gravel-bed river can be deduced by the stratigraphy of the boreholes (Fig. 9). Based 469 on the observations made in meander loops 3 and 4, it is possible to conclude that a fining upward 470 trend, with more frequent mud-drapes, should characterize the vertical sedimentary succession of a 471 point bar where the river enters the backwater zone. This finding may be of consideration when 472 interpreting ancient river deposits at outcrop or sediment-core scales (Shanley et al., 1992; 473 Labrecque et al., 2011).

474 The change in river hydraulics and bed material composition observed throughout the 475 backwater zone is also reflected by a change in bedform geometry (Fig. 10), specifically, showing a 476 downstream increase in length (Fig. 11). This trend may be connected to a combination of the 477 general fining of bed sediments, and the occurrence of high flow velocity during high-discharge 478 events, as both factors contribute to increasing dune size (Southard and Boguchwal, 1990). It is 479 possible that the large-scale dunes form only during high-discharge events and remain as relict 480 features (i.e., not in flow equilibrium) during subsequent low flow conditions; this type of pattern 481 has been observed along the Yangtze River (Chen et al., 2012). The general trend of downstream 482 increase in dune height, highlighted in figure 10, could also be linked to increasing water depth 483 progressing into the backwater segment. Moreover, the multibeam bathymetric data available in the 484 study area show that the river bed is normally mantled by dunes along straight reaches and within 485 the inner portion of meander bends, while pools and outer banks lack bedforms and are dominated 486 by erosional forms, particularly in the deeper portions. This evidence agrees with the observations 487 from the lowermost Mississippi River, which indicate a lack of bedforms in meander bends where 488 faster flows generate sediment suspension and inhibit bedform development (Nittrouer et al., 2008). 489 Future investigations supported by repeated multibeam bathymetric surveys could help provide a

490 detailed understanding of how backwater and drawdown hydrodynamics control the evolution of

491 the river bed, including the formation of bedforms as is coupled to downstream sediment transport.

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Implications for the gravel-sand transition of the river

494 It is widely recognized that the size of bed sediments in alluvial rivers decreases 495 downstream (Church and Kellerhals, 1978; Frings, 2008; Franzoia et al., 2017), and among such 496 variations the transition from gravel to sand is a fundamental boundary in the fluvial 497 geomorphology, as it marks key changes in river planform geometry. Two processes have been 498 proposed to explain sediment downstream fining and the emergence of a gravel-sand transition in a 499 river (i.e., grain breakdown and selective transport; Schumm and Stevens, 1973; Hoey and 500 Ferguson, 1994; Ferguson et al., 1996; Ferguson, 2003), and several studies pointed out the 501 influence of backwater on river capacity as a fundamental mechanism (Sambrook Smith and 502 Ferguson, 1995; Venditti and Church, 2014). The gravel-sand transition in the Po River has been 503 recognized between the confluences with the Tidone and the Trebbia tributaries (Fig. 2), and has 504 been associated to a slight decrease in river bed slope due to the deformation of the pre-Quaternary 505 substrate (ADBPO, 2005). Interestingly, modelling results highlight a strong reduction of the bed 506 shear stress between 40 and 20 km upstream of the dam (Fig. 12), where river hydrodynamics start 507 conveying the backwater effect.

508 Orthophotos acquired in 1954 indicate that the river, within the study area and farther 509 downstream of the Isola Serafini dam, was characterized by the presence of large point bars, side 510 bars and both vegetated and un-vegetated mid-channel bars (Fig. 8). Conversely, none of these bars 511 are present in the 2004-2005 satellite image along the 30 km upstream of the dam (Fig. 8). The 512 stratigraphy derived from the boreholes shows armoured gravel beds below the modern anthrosol 513 across the entire study area (see ADBPO, 2005 and Fig. 9), suggesting that the channel bars in 1954 514 (Fig. 8) were probably coarse-grained. Combining such information, it is possible to argue that before the construction of the dam, when the base level of the river was at sea level, the gravel-sand 515

516 transition was located kilometres downstream with respect to the modern position. Therefore, the 517 new base level created by the reservoir forced retrogradation of alluvial lithofacies and a change in 518 the channel pattern (Schumm, 1993), as suggested by the erosion and drowning of the channel bars 519 (Fig. 8). In addition, the emergence of the gravel-sand transition at the upstream limit of the 520 backwater zone, where a strong decrease in bed shear stress promotes the deposition of coarse-521 grained sediment, suggests the dam-induced backwater as a primary control on its location. This 522 result adds value to the importance of the backwater effect in establishing not only the downstream 523 evolution of the river impacting the genesis of the distributary channel network of the delta 524 (Jerolmack and Swenson, 2007; Edmonds and Slingerland, 2009; Chatanantavet et al., 2012; Lamb 525 et al., 2012; Bolla Pittaluga et al., 2015), but also the river morphodynamics and associated 526 lithofacies for tens of kilometres upstream. The data presented, moreover, may be further discussed 527 in the light of better understanding the time-response of alluvial river systems to external 528 perturbation and how changes of the river dynamics may be preserved in the sedimentary record.

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CONCLUSIONS

531 The combination of field observations and numerical modelling simulations show that the 532 effect of the dam-induced backwater on river hydro-morphodynamics propagates upstream for up to 533 30 km. The dam interrupts the river continuity and generates a new base level, forcing a 534 retrogradation of alluvial lithofacies and a change in the planform geometry. At the transition from 535 normal to backwater flow, a strong decrease in water surface slope and associated bed shear stress 536 promotes the deposition of coarse-grained material and the emergence of the gravel-sand transition 537 of the river. Along the 30-km-long reach of the river affected by backwater, lateral migration rate of 538 the meanders progressively reduces approaching the dam and is accompanied by a general fining of 539 river bed sediment and an increase in dune length. Closer to the dam, M1 and M2 water surface 540 profiles are modelled in response to low-flow and high-discharge events, respectively. During low-541 flows, which persist for much of the year, the bed shear stress is low enough to promote deposition

of fine-grained sediment (up to clay size), and during high-flow events, a significant drawdown can
be observed, which enhances bed shear stress and promotes the removal of the fine-grained material,
resulting in the progressive erosion of the channel bars and the overall deepening of the river
thalweg.

546 In essence, this study shows the evolution of the upstream portion of a river after the 547 construction of a dam and highlights the effects of base level rise, backwater and drawdown 548 processes in controlling fluvial hydro-morphodynamics and sediment transport processes. These 549 concepts, applied to source to sink studies of continental margin evolution, may provide better 550 understanding of how far upstream a change in base level may affect fluvial architecture and facies, 551 and of how M1 and M2 oscillations in the backwater zone govern the evolution of channel belts, 552 control sediment yield to the receiving basin and, ultimately, disperse material to depositional 553 basins.

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791 **Figure Captions**

Figure 1. Po River course and its catchment basin, with reported the main tributaries entering the river in the study area (black square). Point B marks the location of the Isola Serafini dam. DEM derived from SRTM 90m (available at http://www.cgiar-csi.org/data/srtm-90m-digital-elevationdatabase-v4-1).

797 Figure 2. Top: Aerial view of the study area derived from a combination of two images (date 798 7/21/2004 and 5/8/2005, left and right sides of the white dashed line, respectively) acquired during 799 low flow conditions, see figure 4 for details. Blue lines (numbered from 1 to 14) represent the 800 location of river cross sections acquired in 1954. Note that section 14 is just downstream of the dam. 801 Red dots (named from A to V) mark the location of the boreholes presented in figure 9. Orange 802 squares (named from "alpha" to "delta") highlight the location of the multibeam bathymetric 803 sections presented in figure 10. The blue star marks the location of the gauging station near the city 804 of Piacenza. Bottom: thalweg elevation derived from the 2005 multibeam bathymetric survey (MB, 805 red line; source AIPO, 2005), from the 1954 river cross sections (blue squares) and from the 2000 806 river cross sections (green square). The numbers in blue refer to the cross sections, as in the map. 807 Yellow arrows mark the location of the four main tributaries (Tidone, Lambro, Trebbia and Nure 808 rivers).

809

Figure 3. Schematic representation of the Isola Serafini dam (A, front view), with later views
during low flow discharge events (backwater river profile and the gates are closed, B) and during
high discharge events (drawdown river profile and the gates open depending of the discharge, C).

813

814 *Figure 4.* Top: water surface elevation (blue) and water discharge (red) data during June-September 815 2014; cruise time is reported below. Bottom: water surface elevation of the Po River during the 816 acquisition of the aerial views presented in Figure 2; both images are acquired during low flow 817 conditions (black squares).

818

Figure 5. Top: extent of the Po River surface area obtained from five aerial surveys since 1954 (before the construction of the Isola Serafini dam). Bottom: close view (age reported in years) of four-selected meander loops (1 to 4 moving downstream). In detail: orange and yellow arrows in meander loop 1 indicate channel bar and point bar accretion over time, respectively; blue arrows in 823 meander loop 2 mark river banks with increasing vegetation cover; red arrows in meander loop 2, 3

and 4 highlight channel bars that are progressively eroded until disappear.

825

Figure 6. Lateral migration rate of the Po River centerline, calculated in four time intervals, plottedto distance upstream.

828

Figure 7. Detailed view of meander loops 1, 3 and 4 (see location in figure 4) showing the grainsize variability of river bed sediment. Blue (sediment < 0.063mm), yellow (sediment between 0.063-2 mm) and red (>2 mm).

832

Figure 8. Morphology of the Po River along the final reaches located upstream of the Isola Serafini
dam in 1954 and 2005. River bed samples acquired in this study are represented with coloured dots
and are reported on the 2005 satellite image (each colour refers to a specific sediment sample). Red
dots (named from K to V) mark the location of the boreholes presented in figure 9.

837

Figure 9. Schematic representation of the lithology of the boreholes available in the area (see
location in figure 2). Note the presence of extensive coarse-grained deposit for the entire section
investigated.

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Figure 10. 3-D images of the river bed derived from the multibeam bathymetric survey of AIPO and
2-D bathymetric sections (red lines). The multibeam data are located in figure 2. The 3-D images
are selected to highlight the bedforms pattern observed in different river reaches.

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Figure 11. Variability of river bed sediment along the 70-km-long reach upstream of the Isola Serafini dam (blue dots, dimensions scale with D_{50} grain sizes). Vertical blue bars represent the range of grain sizes, while horizontal bars their spatial distribution along the river. Red diamonds represent the average dune length derived from 300 m long 2D profiles extracted from the
multibeam bathymetry. Vertical red bars represent the maximum and minimum dune length in each
transect.

- 853 Figure 12. Simulated water surface profiles (left) and bottom shear stresses (right) calculated at
- different water discharges along the 70-km-long river reach of the study area.





























particle classification	particle diameter	Shield parameter	critical shear stress
	[mm]	[-]	[Pa]
coarse cobble	128 - 256	0.054 - 0.054	112 – 223
fine cobble	64 – 128	0.052 - 0.054	53.8 - 112
very coarse gravel	32 - 64	0.05 - 0.052	25.9 - 53.8
coarse gravel	16 – 32	0.047 - 0.05	12.2 – 25.9
medium gravel	8 – 16	0.044 - 0.047	5.7 – 12.2
fine gravel	4 - 8	0.042 - 0.044	2.7 - 5.7
very fine gravel	2 - 4	0.039 - 0.042	1.3 – 2.7
very coarse sand	1 – 2	0.029 - 0.039	0.47 – 1.3
coarse sand	0.5 - 1	0.033 - 0.029	0.27 - 0.47
medium sand	0.25 - 0.5	0.048 - 0.033	0.194 - 0.27
fine sand	0.125 - 0.25	0.072 - 0.048	0.145 - 0.194
very fine sand	0.0625 - 0.125	0.109 - 0.072	0.110 - 0.145
coarse silt	0.0310 - 0.0625	0.165 - 0.109	0.0826 - 0.110
medium silt	0.0156 - 0.0310	0.25 - 0.165	0.0630 - 0.0826
fine silt	0.0078 - 0.0156	0.3 – 0.25	0.0378 - 0.0630

Table 1. Critical shear stress by particle-size classification (modified from Julien, 1995).