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1 **Robust clinical detection of SARS-CoV-2 variants by RT-PCR/MALDI-TOF multi-target**
2 **approach**

3
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33 **Running head:** Robust detection of SARS-CoV-2 variants

34 **Keywords:** RT-PCR; MALDI-TOF; SARS-CoV-2; B.1.1.7; variants; diagnostic; dropout

35 **Abstract**

36 The COVID-19 pandemic sparked rapid development of SARS-CoV-2 diagnostics. However, emerging
37 variants pose the risk for target dropout and false-negative results secondary to primer/probe binding site
38 (PBS) mismatches. The Agena MassARRAY[®] SARS-CoV-2 Panel combines RT-PCR and MALDI-
39 TOF mass-spectrometry to probe for five targets across *N* and *ORF1ab* genes, which provides a robust
40 platform to accommodate PBS mismatches in divergent viruses. Herein, we utilize a deidentified dataset
41 of 1,262 SARS-CoV-2-positive specimens from Mount Sinai Health System (New York City) from
42 December 2020 through April 2021 to evaluate target results and corresponding sequencing data.
43 Overall, the level of PBS mismatches was greater in specimens with target dropout. Of specimens with
44 N3 target dropout, 57% harbored an A28095T substitution that is highly-specific for the alpha (B.1.1.7)
45 variant of concern. These data highlight the benefit of redundancy in target design and the potential for
46 target performance to illuminate the dynamics of circulating SARS-CoV-2 variants.

47

48

49

50 **Introduction**

51 Molecular diagnostic assays for severe acute respiratory syndrome coronavirus-2 (SARS-CoV-
52 2), the etiologic agent of coronavirus disease 2019 (COVID-19), utilize nucleic acid amplification test
53 (NAAT) methods to assess for presence of viral nucleic acids in clinical specimens. These assays rely on
54 primers and probes targeting one or more viral gene regions including open reading frame 1ab
55 (*ORF1ab*), open reading frame 8 (*ORF 8*), nucleocapsid (*N*), spike (*S*), and envelope (*E*)¹. These targets
56 have been designed primarily based on sequences from virus strains that circulated early in the
57 pandemic, including the reference genome collected from Wuhan, China in January, 2020²⁻⁴.

58 In addition to the quantity of viral nucleic acids in a clinical specimen, the diagnostic and
59 analytic capabilities of NAATs depend on the complementarity of primers and probes to viral genome
60 sequences to reliably amplify targets of interest. As a result, binding of primers and probes can be
61 impacted by progressive accumulation of changes in the viral genomes at primer binding sites (PBSs).
62 Indeed, mismatches in PBSs – particularly the 2-3 nucleotides at the 3' end of the oligonucleotide – can
63 result in reduced binding and subsequent failure to amplify (termed “dropout” in diagnostic NAAT
64 assays)⁵⁻⁸. In fact, SARS-CoV-2 has diversified over the past 18 months, and mutations in the *N*, *S*, and
65 *E* genes have been reported in viruses from specimens with corresponding target dropout during testing
66 on commercial NAAT-based diagnostic platforms⁹⁻¹⁵. Moreover, *in silico* analyses have utilized
67 publicly-available SARS-CoV-2 genome sequences to identify mutations in circulating viral variants
68 that have the potential to interfere with diagnostic targets^{1,5,16-18}. These findings highlight the potential
69 diagnostic challenge as increasingly diverse SARS-CoV-2 lineages (e.g., B.1.1.7) continue to emerge
70 globally^{19,20}.

71 To limit the risk of false-negative results, most NAAT assays for SARS-CoV-2 that currently
72 have emergency use authorization (EUA) from the US Food and Drug Administration (FDA) utilize two

73 or more diagnostic targets ^{21,22}. We recently reported the analytic performance of the Agena
74 MassARRAY[®] SARS-CoV-2 Panel which combines RT-PCR and matrix-assisted laser
75 desorption/ionization time-of-flight (MALDI-TOF) technologies to detect SARS-CoV-2 ²³. The Agena
76 MassARRAY[®] platform probes for five distinct targets in the *ORF1ab* and *N* viral genes ²⁴, providing a
77 robust platform for diagnosis of SARS-CoV-2 in clinical specimens despite the emergence of virus
78 strains that have accumulated mutations that can interfere with some diagnostic targets. We evaluated
79 the pattern of target detections for SARS-CoV-2-positive specimens collected at the Mount Sinai Health
80 System (MSHS) to interrogate the impact of viral genetic variation on this diagnostic platform.

81 To do this, we compared detection of Agena diagnostic targets and genomic sequence data for
82 SARS-CoV-2-positive specimens that were deidentified and banked as part of our Pathogen
83 Surveillance Program (MSHS PSP) at the Icahn School of Medicine at Mount Sinai (ISMMS), which
84 has been previously described ²⁵. Complete viral genomes underwent phylogenetic analyses to
85 characterize emergent evolutionary lineages among the SARS-CoV-2-positive specimens at MSHS
86 (manuscript in preparation). For this analysis, we utilized a dataset comprised of 1,262 viral genomes
87 recovered from deidentified clinical specimens collected from patients seeking care at the Mount Sinai
88 Health System from December 1, 2020 through April 24, 2021. We identified PBS mismatches
89 associated with lineage-specific substitutions in SARS-CoV-2 variants of concern (VOC) that resulted in
90 Agena MassARRAY[®] platform target dropout.

91

92 **Materials and Methods**

93 *Ethics statement*

94 This study was reviewed and approved by the Institutional Review Board of the Icahn School of
95 Medicine at Mount Sinai (HS#13-00981).

96

97 *SARS-CoV-2 specimen collection and testing*

98 Upper respiratory tract (e.g., nasopharyngeal, anterior nares) and saliva specimens collected for
99 SARS-CoV-2 testing underwent diagnostic testing in the MSHS Clinical Microbiology Laboratory
100 (CML), which is certified under Clinical Laboratory Improvement Amendments of 1988 (CLIA), 42
101 U.S.C. §263a and meets requirements to perform high-complexity tests. For this study, we
102 retrospectively utilized deidentified data available for diagnostic specimens tested on the Agena
103 MassARRAY[®] SARS-CoV-2 Panel and MassARRAY[®] System (Agena, CPM384) platform during the
104 study period.

105 As previously described, prior to SARS-CoV-2 testing, saliva specimens underwent an initial
106 processing step involving a 15 minute incubation at 55°C prior to RNA extraction²³. Upper respiratory
107 specimens did not undergo any pre-processing prior to testing. RNA was extracted from 300µL of each
108 specimen using the chemagic[™] Viral DNA/RNA 300 Kit H96 (PerkinElmer, CMG-1033-S) on the
109 automated chemagic[™] 360 instrument (PerkinElmer, 2024-0020) per the manufacturer's protocol. The
110 MS2 phage RNA internal control (IC) was included in all extraction steps. The extracted RNA
111 underwent RT-PCR with iPLEX[®] Pro chemistry to amplify different Agena targets, per the
112 manufacturer's protocol. After inactivation of unincorporated dNTPs by treatment with shrimp alkaline
113 phosphatase (SAP), a sequence-specific primer extension step was performed, in which a mass-modified

114 terminator nucleotide was added to the probe, using supplied extension primers and iPLEX[®] Pro
115 reagents.

116 Extension products (analytes) were desalted, transferred to a SpectroCHIP[®] Array (silicon chip
117 with pre-spotted matrix crystal) and loaded into the MassARRAY[®] Analyzer (a MALDI-TOF mass
118 spectrometer). The analyte/matrix co-crystals were irradiated by a laser inducing desorption and
119 ionization, and positively charged molecules accelerated into a flight tube towards a detector. Separation
120 occurred by time-of-flight, which is proportional to molecular mass. After data processing, a spectral
121 fingerprint was generated for each analyte that characterizes the mass/charge ratio and relative intensity
122 of the molecules. Data acquired by the MassARRAY[®] Analyzer was processed with the MassARRAY[®]
123 Typer software and SARS-CoV-2 Report software. The assay detects five viral targets: three in the
124 nucleocapsid (*N*) gene (N1, N2, N3) and two in the *ORF1ab* gene (ORF1A, ORF1AB). If the IC was
125 detected, results were interpreted as positive if ≥ 2 targets were detected or negative if < 2 targets were
126 detected. If no IC and no targets were detected, the result was invalid and required repeat testing of the
127 specimen before reporting. If IC was detected and no targets were detected, the sample was interpreted
128 as negative.

129 Overall, 86,781 upper respiratory and saliva specimens underwent clinical testing in the CML at
130 MSHS, during the period from December 1, 2020 through April 24, 2021. Of those specimens, 2,062
131 tested positive for SARS-CoV-2. A subset of 1,262 specimens were deidentified, related data was
132 entered in to the MSHS PSP database, and underwent SARS-CoV-2 next-generation sequencing as
133 previously described^{25,26}.

134

135 *SARS-CoV-2 sequencing, assembly and phylogenetic analyses*

136 SARS-CoV-2 viral RNA underwent reverse transcription, PCR amplification and next-
137 generation sequencing followed by genome assembly and lineage assignment using a phylogenetic-
138 based nomenclature as described by Rambaut et al.²⁷ using the PANGOLIN tool, version 2021-04-28²⁸
139 as previously described^{25,26}. Ultimately, this yielded 1,176 complete genomes ($\geq 95\%$ completeness) and
140 86 partial genomes ($< 95\%$ completeness).

141

142 *Agena target sequence alignment*

143 Agena MassARRAY[®] target detection results were matched to the corresponding genome
144 sequences. Primer and probe sequences for each Agena target were obtained from published FDA EUA
145 documentation for the Agena MassARRAY[®] SARS-CoV-2 Panel (**Supplemental Table S1**)²⁴. We
146 generated reverse-complement sequences for reverse primers for all five targets and probes that are
147 designed in the reverse orientation (e.g., N1-N3). An unaligned FASTA file including sequence data for
148 the clinical specimens and the Wuhan-Hu-1 reference sequence (NCBI nucleotide: NC_045512.2
149 (Genbank: MN908947.3)) was generated for each of the fifteen primers/probes. The Multiple Alignment
150 using Fast Fourier Transform (MAFFT) platform^{29,30} which is publicly available for use online
151 (https://mafft.cbrc.jp/alignment/server/add_fragments.html?frommanualnov6) was used to align each
152 file. To enable inclusion of incomplete genomes that had intact regions sequenced at PBSs, we did not
153 remove uninformative sequences (e.g., with ambiguous letters). Otherwise, the default settings were
154 used to align all sequences to the reference genome, which generated a resulting FASTA alignment file
155 for each primer and probe sequence.

156

157 *Sequence variation in primer/probe target regions*

158 To identify mismatches in the primer and probe regions of the viral genomes, FASTA alignment
159 files were processed locally in a Bash environment. Custom Unix-code
160 (https://github.com/AceM1188/SACOV_primer-probe_analyses) was used to identify mismatches at
161 each nucleotide position within each primer and probe sequence ³¹. A tab-delimited output file that
162 identified mismatches by primer/probe nucleotide position across the viral genome sequences was
163 generated for each alignment.

164 Note that for the viral genome sequences with stretches of Ns that corresponded with the PBS,
165 mismatches could not be called, and these sequences were excluded from the mismatch counting for the
166 given primer/probe. In addition, genomes with gaps that spanned the entire region of a PBS were
167 excluded from the analyses for the given primer/probe.

168 Mismatches by position in PBS regions of forward/reverse primer and probe sequences were
169 manually counted on Microsoft Excel v16.48. To account for differences in completeness of consensus
170 genomes, the number of PBS mismatches was normalized to the number of nucleotides in the PBS of
171 each specimen consensus sequence.

172

173 *Statistical analyses*

174 For statistical comparison of fraction of PBS with mismatches in genomes with detected targets
175 versus those with dropout targets, normality was assessed by D'Agostino and Pearson test (GraphPad
176 Prism 9.1.0), which indicated that all distributions were non-parametric; thus, a Mann-Whitney test
177 (two-tailed) was performed (GraphPad). To determine if specific mismatches were associated with
178 specific target dropout results, specimens were grouped by (1) presence or absence of the mismatch of
179 interest (in the setting of no other mismatches) and (2) detection or dropout of the target of interest –
180 which resulted in a 2x2 contingency table which underwent association testing by Fisher's exact test.

181

182 *Display Items*

183 All figures are original and were generated using the GraphPad Prism software 9.1.0, R software

184 package ggplot2, NCBI Multiple Sequence Alignment Viewer v.1.17.0

185 (<https://www.ncbi.nlm.nih.gov/tools/msviewer/>), and finished in Adobe Illustrator 2021 (v.25.2.1).

186

187 **Results**

188 Overall, of the 2,062 SARS-CoV-2-positive specimens, 1,274 (62%) had all five targets detected
189 with the remaining having one (n = 419) or more (n = 369) targets dropout. For the subset of 1,262
190 SARS-CoV-2-positive specimens sequenced in our study, all five diagnostic targets were detected in
191 943 (75%), with the remaining having one (n = 227) or more (n = 92) target dropout (**Supplemental**
192 **Table S2**). When we calculated the target detection rate among these SARS-CoV-2-positive specimens
193 by week, the ORF1AB target had the lowest average detection rate per week (0.87) followed by the N3
194 target (0.88) and the N2 target (0.94) (**Figure 1**). Notably, the N3 detection rate declined over time with
195 the lowest detection occurring during the last four weeks of the timeframe studied (week ending April 3
196 (0.75) – April 24, 2021 (0.79)). Given these observations, we used the diagnostic data and corresponding
197 genome sequences to identify mismatches to each primer/probe utilized by the Agena MassARRAY[®]
198 platform to determine the impact on target detection results.

199

200 *Nucleotide mismatches across diagnostic targets*

201 We aligned each forward primer, reverse primer, and probe sequence of the Agena
202 MassARRAY[®] SARS-CoV-2 Panel to the set of 1,262 SARS-CoV-2 genome sequences.

203 To examine the impact of mismatches on target results, we measured the number of mismatches
204 (normalized to the number of nucleotides in the PBS; see methods) in specimens with detected and
205 undetected target results (**Figure 2**). Detection of each of four targets (N1, N3, ORF1A, ORF1AB) was
206 associated with perfect complementarity (0 mismatches) between the genome sequence and the
207 respective target PBSs. Specifically, > 96% of specimens with either detectable N1 or N3 targets had
208 perfect complementarity to the respective forward/reverse/probe PBS, and > 95% of specimens with
209 detectable ORF1A or ORF1AB targets had perfect complementarity to the respective

210 forward/reverse/probe PBS. The remaining specimens had – at most – only one mismatch to each of the
211 target PBSs. The exception to this was the N2 target, for which, more specimens with detectable N2
212 target had mismatches to N2 forward (43%) and N2 reverse (39%) PBSs (**Figure 2B**). Indeed, up to four
213 mismatches to the N2 forward and up to two mismatches to the N2 reverse PBSs were found in the
214 specimens for which the N2 target was detected.

215 When compared across target result groups, the number of mismatches was significantly higher
216 in specimens with N1, N2, N3 and ORF1A target dropout (**Figure 2A-D**). In addition, we found the
217 fraction of N1 probe PBS with mismatches was significantly higher in specimens with N1 target dropout
218 than in those with detectable N1 (**Figure 2A**).

219 Because the position of mismatches within PBSs affect primer binding capabilities^{1,6-8}, we
220 characterized the mismatch frequency by position of each primer/probe. Specifically, we measured the
221 proportion of specimen genomes with a mismatch at each independent position along the full length of
222 each target's primer/probe (**Figure 3, Supplemental Figure S1**). From 5' to 3' direction, we found that
223 among 15 specimens with N1 target dropout, 10 harbored single mismatches to the 4th – 14th basepair
224 (bp) (SARS-CoV-2 genome positions 28714 – 28704) of the 17-bp-long N1 probe PBS (**Figure 3A**).
225 Specifically, these mismatches reflected the following substitutions: G28714A (n = 1 specimens),
226 G28713A (n = 2), C28709T (n = 2), C28706T (n = 1), G28704C (n = 3), G28704T (n = 1).

227 By contrast, mismatches in the 5' end of the 22-bp-long N2 primer PBSs (forward, 1st – 3rd bp
228 (28881 – 28883); reverse, 3rd bp (28977) and 5th bp (28975)) were identified in sequences that yielded
229 both N2 target detection and dropout (**Figure 3B**). In 340 specimens with any one mismatch to the first
230 3 bp of the N2 forward primer, 336 (99%) harbored the concurrent substitutions G28881A, G28882A,
231 and G28883C in the *N* gene. Of the 72 specimen genomes with N2 target dropout, 34 (47%) had this
232 substitution trio. Although, this polymorphism was found in 304 (26%) of the 1,163 specimen genomes

233 with N2 target detection, statistically, this represents a significant association of the GGG-to-AAC
234 substitution with N2 target dropout (Fisher's exact, $p = 0.0002$).

235 In addition, specimens that harbor mismatches to the 5' end of the N2 reverse primer are the
236 result of the C28977T or G28975A substitutions. However, of 466 specimens that harbor either
237 substitution, only 1 had both suggesting these substitutions occur independently of one another. When
238 grouped by N2 target detection result, neither substitution was significantly associated with N2 target
239 dropout (Fisher's exact, $p \geq 0.1351$).

240 Interestingly, we found that of the 110 specimen genomes with N3 target dropout, 63 (57%) had
241 a mismatch at the penultimate nucleotide towards the 3' end in the 20-bp-long N3 forward primer
242 (**Figure 3C**). All mismatches at this position are the result of a specific adenine-to-thymine substitution
243 in *ORF8* (A28095T) of the SARS-CoV-2 genome. Of the 1,102 genomes with detected N3 target, only
244 two harbored this mismatch; overall, this represents a statistically significant association of this
245 positional mismatch with N3 target dropout (Fisher's exact, $p < 0.0001$).

246 We also assessed whether the association of these mismatches with target dropout is maintained
247 when the quantity of virus in the specimen is controlled. Although the Agena platform yields a
248 qualitative diagnostic result, we have demonstrated previously that the number of detected targets is
249 proportional to the quantity of virus in a given specimen²³. When we limit our dataset only to specimens
250 for which all other (e.g., non-N3) targets are detected, the association of the A28095T substitution with
251 N3 target dropout remains statistically significant (Fisher's exact, $p < 0.0001$), indicating that N3 target
252 dropout due to the A28095T substitution is independent of differences in virus concentration.

253

254 *Lineage-specific variation and target dropout*

255 In order to assess whether target dropout was due to lineage-specific variation, we examined the
256 phylogenetic lineages of genomes harboring distinct substitutions in our dataset. Among the 34
257 specimens with the concurrent GGG-to-AAC tri-nucleotide substitution and N2 target dropout, the
258 earliest was from December 29, 2020 (PV24926) which belonged to the B.1.1.434 lineage. This
259 polymorphism did not demonstrate bias to any one lineage in specimens that yielded N2 target dropout
260 as it was found in specimens that mapped to 15 different lineages including B.1.1.7 (alpha, n = 11),
261 B.1.1.434 (n = 6), and B.1.1 (n = 4) lineages.

262 We next examined the phylogenetic lineage of genomes harboring the A28095T substitution in
263 our dataset to assess whether N3 target dropout was due to lineage-specific variation. We found that the
264 earliest specimen with this substitution was from January 8, 2021 (specimen PV25263) and belonged to
265 the B.1.1.7 lineage. Indeed, the substitution appeared in a subset of genomes of the B.1.1.7 lineage.
266 Interestingly, of the 127 B.1.1.7 genomes, approximately half (n = 65) harbored the A28095T
267 substitution while the remaining maintained the adenine at the position (**Figure 4**). Ninety-seven percent
268 (63/65) of the B.1.1.7 specimens with the A28095T substitution demonstrated N3 target dropout.
269 Furthermore, the converse was also true as almost all B.1.1.7 specimens with N3 target dropout (63/64
270 (98%)) had the A28095T substitution (**Figure 4A**).

271 Among the other 45 specimens with N3 target dropout, 10 harbored mismatches in the N3 PBS
272 (**Supplemental Figure S2**). Of the 10 genomes, only one (specimen PV36946) had the A28095T
273 substitution, but the sequence recovered was incomplete (42% completeness) and a lineage could not be
274 assigned. The other 9 genomes represented B.1.2 (n = 3), B.1.36.18 (n = 1), B.1.427 (n = 2), B.1.575 (n
275 = 2), and B.1.621 (n = 1) lineages. Among these non-B.1.1.7 genomes, three mismatches were identified
276 at the 1st (G28077T; n = 1), 11th (C28087T; n = 3) and 17th (C28093T; n = 5) bp of the N3 forward PBS
277 which were encoded by viruses from multiple lineages. Of note, these non-B.1.1.7 genomes did not have

278 any other mismatches in the N3 reverse or probe PBS. Furthermore, the two mismatches closest to the 3'
279 end of the N3 forward primer – C28087T and C28093T – were significantly associated with N3 target
280 dropout (Fisher's exact, $p = 0.0407$ and $p < 0.0001$, respectively), but the mismatch at the first position
281 was not significantly associated with N3 target dropout (Fisher's exact, $p = 0.0914$).

282

283 Discussion

284 Molecular assays for the diagnosis of COVID-19 developed early in the pandemic utilize primers
285 and probes based on conserved regions in the then-available SARS-CoV-2 genome sequences. Now,
286 more than 18 months later, circulating SARS-CoV-2 variants have accumulated numerous nucleotide
287 substitutions in response to evolutionary pressures. These genomic variations can be associated with
288 increased infectivity, transmissibility, and disease pathogenesis³²⁻³⁶, warranting accurate and quick
289 surveillance efforts. However, genome variation can also be a challenge for detection of these variants
290 of interest (VOIs) or VOCs if mismatches to PBSs in diagnostic targets are present. A number of studies
291 have described substitutions in the *ORF1ab*, *S*, *E*, and *N* genes that may interfere with specific RT-PCR
292 targets^{1,5,9,11,12,14-16,18}, but these studies have inherent limitations. Several are *in silico* analyses that do
293 not reflect diagnostic performance in the clinical setting^{1,5,16,18}, whereas others do not definitively
294 demonstrate target dropout due to substitutions as they utilize platforms for which primer/probe
295 sequence information is not publicly available^{9,11,14,15}. In addition, the later studies are based on assays
296 that interrogate up to 3 diagnostic targets, and are limited by the number and diversity of viral sequences
297 surveyed over finite timeframes, some prior to the emergence and expansion of VOCs.

298 In the current study, we describe a robust evaluation of the impact of PBS mismatches on Agena
299 MassARRAY[®] SARS-CoV-2 Panel target results for over 1,200 specimens over a five-month time
300 period that corresponds with the rapid emergence of viral VOIs/VOCs (December 2020 through April
301 2021). This large dataset enabled direct correlation of detection of each of five different Agena
302 diagnostic viral targets with genomic sequence data

303 Additionally, by using publicly available primer/probe sequences to map lineage-specific
304 substitutions, we were able to further evaluate the impact of mismatches on target results and to
305 demonstrate an association between variation in SARS-CoV-2 PBSs and target dropout. Our analysis

306 revealed that several mutations result in N1 and N3 target dropout. Interestingly, although specimens
307 from other lineages harbor mismatches in the N3 target region, we identified a distinct association
308 between the B.1.1.7-associated A28095T substitution and dropout of the N3 diagnostic target on the
309 Agena MassARRAY[®] SARS-CoV-2 Panel. This finding represents the first description of a lineage-
310 specific substitution that introduces a mismatch to a publicly available primer sequence and yields
311 diagnostic target dropout. This underscores the utility of publicly available sequences to further monitor
312 their diagnostic ability as SARS-CoV-2 continues to evolve and new lineages emerge.

313 The B.1.1.7 lineage (alpha) has been designated as a variant of concern by the World Health
314 Organization and the US Centers for Diseases Control and Prevention due to its increased
315 transmissibility^{20,33–35,37}. This lineage was first reported in > 1,100 cases in the United Kingdom (UK)
316 on December 14, 2020³⁸, but it has been estimated to have emerged in September 2020^{20,35}. Since then,
317 the B.1.1.7 lineage spread rapidly, comprising > 90% of new SARS-CoV-2 infections in the UK by
318 March 2021³⁵. This lineage also spread globally, including in the US^{39,40}, where recent epidemiological
319 reports indicate B.1.1.7 variants caused > 60% of the new infections as of May 6, 2021⁴¹.

320 These characteristics further underscore the urgency to update and continually develop robust
321 screening modalities to capture VOCs like B.1.1.7. Sequencing of these variants remains the ‘gold
322 standard’ of surveillance, but not all diagnostic laboratories have the infrastructure or capacity to readily
323 utilize this technology. *S* gene target failure (SGTF) on commercial RT-PCR platforms has been
324 proposed as a screening alternative to detect the S protein deletion H69-V70 (Δ H69/ Δ V70)^{14,15,42,43}
325 which is found in B.1.1.7 and, to a lesser extent, in other circulating lineages (e.g., B.1.525, B.1.620
326 (NextStrain, build June 23, 2021))¹⁵.

327 In this study, we describe diagnostic target dropout that can be utilized to promptly identify
328 specimens of interest for whole genome sequencing and variant classification. Notably detection of the

329 B.1.1.7 variant containing the A28095T substitution is associated with the Agena N3 target dropout.
330 This substitution is characteristic of 50% of the circulating B.1.1.7 specimens in our dataset and reflects
331 a unique snapshot of genomic variation occurring within the circulating B.1.1.7 variants in New York
332 City. The A28095T substitution introduces a stop codon in the *ORF8* gene producing a truncated version
333 with potential functional changes in encoded protein (K68stop). Among a dataset of >2 million publicly
334 available viral genomes from global surveillance efforts (GISAID, June 23, 2021), 309,050 genomes
335 harbor this substitution. Nearly all (99.9%) of these A28095T-genomes belong to the B.1.1.7 lineage
336 which, in turn, represent a sub-population (33.9%) of all B.1.1.7 genomes. Therefore, continued
337 diagnostic surveillance of the Agena N3 target dropout and subsequent genomic surveillance can be
338 exploited to monitor the spread of the B.1.1.7 variant as well as other VOCs. Indeed, based on publicly
339 available genomes, other VOCs harbor substitutions that result in mismatches to Agena target PBSs. For
340 example, 73% of delta (B.1.617.2) variant genomes have the non-synonymous substitution, G28916T in
341 the *N* gene (amino acid change, G215C) which introduces a mismatch to the terminal bp of the N2 probe
342 (GISAID, June 23, 2021). Given its position in the probe, this mismatch likely impacts N2 target
343 performance. This warrants further study, particularly as the B.1.617.2 VOC continues to expand
344 globally⁴⁴⁻⁴⁶ since its parent lineage was first identified in India in October 2020⁴⁷⁻⁴⁹.

345 An important potential limitation of our study is that target performance can be affected when
346 the quantity of viral nucleic acids in diagnostic specimens is at or near the assay limit of detection, and
347 that the limit of detection varies for different targets. We have demonstrated previously that Agena
348 MassARRAY[®] target detection is proportional to quantity of viral nucleic acids²³. Thus, detection of
349 other targets can be used as a control to evaluate the performance of an individual target, such as N3
350 target dropout in the setting of the B.1.1.7 A28095T variant. In addition, we have also identified other
351 mutations in this study that are associated with N1 and N3 target dropout. However, unlike the B.1.1.7

352 A28095T/A genomes in our dataset, these are fewer in number and further comprehensive evaluation is
353 needed to determine the definitive impact on target performance.

354 Assay platforms that incorporate testing of multiple targets within the virus genome are more
355 likely to retain diagnostic sensitivity as SARS-CoV-2 continues to diversify and new variants emerge.
356 Diagnostic target performance patterns on these redundant platforms have the potential to accommodate
357 unfolding genomic variation in a timely manner, and highlight the potential of diagnostic results to serve
358 as a robust system for detection of these emergent SARS-CoV-2 variants. These qualities demonstrate
359 the importance of these platforms to capture the evolutionary consequences of the ongoing pandemic to
360 inform public health and infection prevention measures.

361 **Code availability**

362 To generate genome sequences, sequencing data were analyzed using a custom reference-based
363 (MN908947.3) pipeline, https://github.com/mjsull/COVID_pipe⁵⁰. To analyze mismatches to diagnostic
364 target PBSs, genome sequences were analyzed using a custom Unix-code
365 https://github.com/AceM1188/SACOV_primer-probe_analyses³¹.

366

367 **Data availability**

368 SARS-CoV-2 sequencing read data for all study genomes were deposited in GISAID [www.gisaid.org]
369 (accessions pending).

370

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434 [of-an-emergent-sars-cov-2-lineage-in-the-uk-defined-by-a-novel-set-of-spike-mutations/563](https://virological.org/t/preliminary-genomic-characterisation-of-an-emergent-sars-cov-2-lineage-in-the-uk-defined-by-a-novel-set-of-spike-mutations/563).
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538

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548

549 **Author contributions**

550 M.M.H., R.B., P.S., H.A., S.F., A.A., A.E.PM., M.R.G., M.D.N., and E.M.S. provided clinical samples
551 for the study. M.M.H., R.B., P.S., L.C., F.C., H.S., and A.E.PM. accessioned clinical samples. A.S.GR.,
552 A.v.d.G., Z.K., B.A., and H.v.B. performed NGS experiments. R.S. provided NGS services. A.S.GR.,
553 and A.O. performed genome assembly, data curation and genotyping. M.M.H, L.H.P, and J.D.R.
554 performed alignments and mismatch analyses. M.M.H., R.B., L.H.P, J.D.R., M.R.G., M.D.N., C.C.C.,
555 T.E.S., V.S., H.v.B., E.M.S., and A.E.PM analyzed, interpreted, or discussed data. M.M.H., E.M.S., and
556 A.E.PM. wrote the manuscript. M.M.H., E.M.S., and A.E.PM. conceived the study. E.M.S. and A.E.PM.
557 supervised the study. H.v.B., V.S., and E.M.S. raised financial support.

558 M.M.H. and A.E.PM are the guarantors of this work and, as such, had full access to all of the data in the
559 study and take responsibility for the integrity of the data and the accuracy of the data analysis.

560

561

562 **Competing Interests**

563 Robert Sebra is VP of Technology Development and a stockholder at Sema4, a Mount Sinai Venture.

564 This work, however, was conducted solely at Icahn School of Medicine at Mount Sinai. Otherwise, the

565 authors declare no competing interests.

566

567 **Figure Legends**

568

569 **Figure 1. Agena target detection rate in SARS-CoV-2-positive specimens by week.** Heatmap

570 depicting the proportion of sequenced SARS-CoV-2-positive specimens that have detectable N1, N2,

571 N3, ORF1A, or ORF1AB targets by week from December 1, 2020 through April 24, 2021. International,

572 national, and global statistics are indicated by dates in purple font. NYC statistics are indicated by dates

573 in red font. Data for epidemiologic events obtained from ^{38,39,41,44,46}. The number of sequenced SARS-

574 CoV-2-positive specimens per week is indicated above each week (column).

575 **Figure 2. Impact of SARS-CoV-2 primer/probe binding site mismatches on Agena target detection**
576 **results.** Number of mismatches normalized to the number of nucleotides in primer/probe binding sites
577 (PBS length) across five Agena MassARRAY[®] diagnostic targets: **A:** N1, **B:** N2, **C:** N3, **D:** ORF1A, **E:**
578 ORF1AB. Each point represents the calculated mismatches per specimen consensus genome for each
579 target PBS. Violin plots represent the distribution as density of the points grouped by primer/probe
580 sequence (forward (For), reverse (Rev), Probe) and by target detection result (detected (magenta),
581 dropout (turquoise)). The number of genome sequences analyzed for mismatches are depicted above
582 each violin plot. Medians are depicted as yellow lines. Bars above distributions reflect statistical
583 comparison of underlying distributions by Mann-Whitney test. Asterisks reflect p-values (*, $p < 0.05$;
584 ****, $p < 0.0001$).
585

586 **Figure 3. SARS-CoV-2 positional mismatches at target primer/probe binding sites.** Line graphs
587 depict the percentage of specimen genomes with mismatches at individual basepair positions across
588 Agena MassARRAY[®] target PBSs: **A:** N1, **B:** N2, **C:** N3, **D:** ORF1A. There are three plots for each
589 target that correspond with the forward (For), reverse (Rev), and Probe binding sites. Two line plots are
590 depicted for each binding site to depict mismatches in genomes from specimens that yielded a detected
591 target result (magenta) or target dropout (turquoise). The percentage represents the number of genomes
592 with mismatches at each position relative to the number of genome sequences detected or not detected
593 by each target (annotated in each graph).

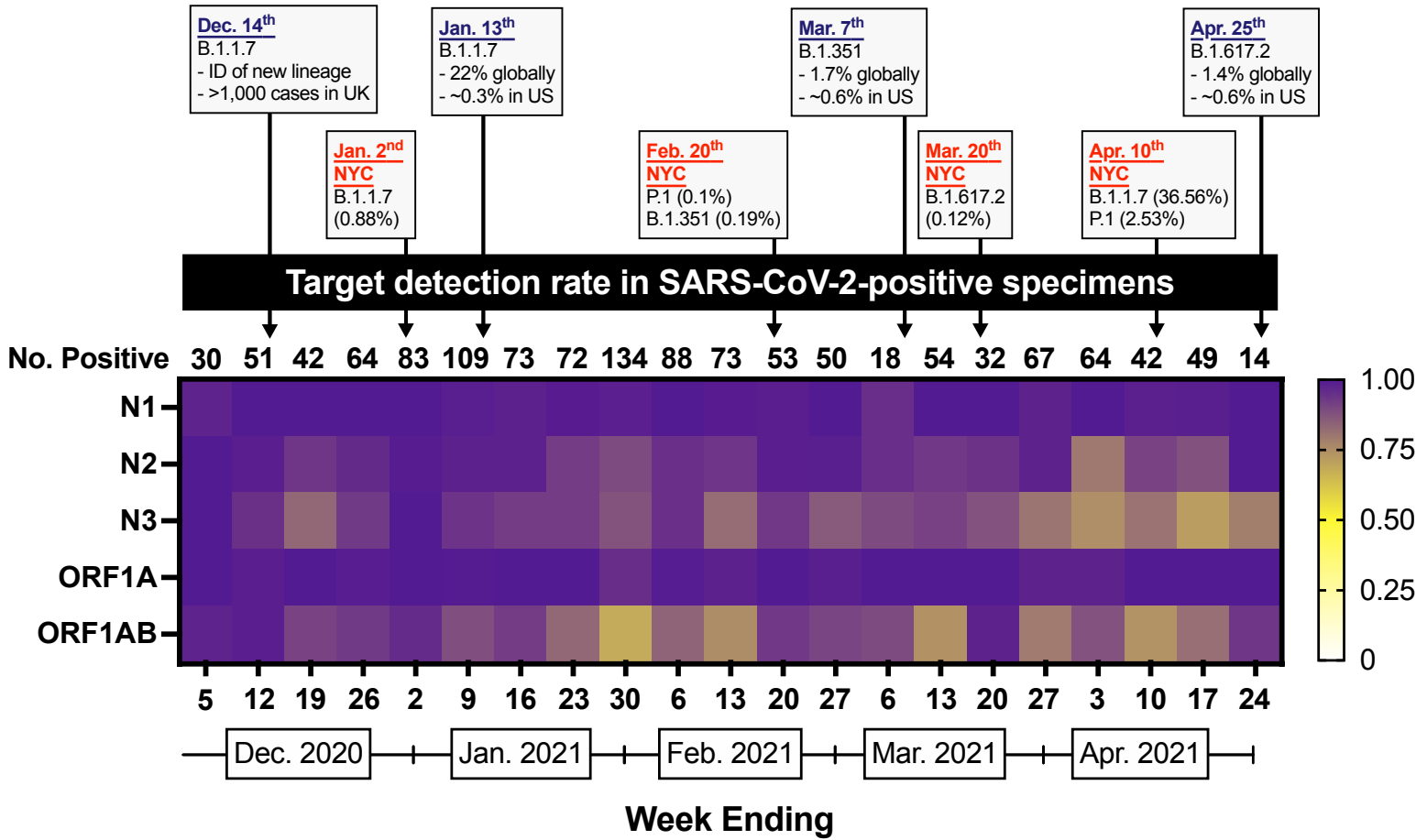
594

595

596 **Figure 4. Lineage-specific substitution interferes with SARS-CoV-2 diagnostic target detection. A:**
597 Alignment of B.1.1.7 genomes associated with N3 target dropout. View is magnified to display
598 mismatches across the N3 forward (For) primer binding site. Sixty-four specimen genomes are indicated
599 by laboratory identifiers (Genome ID) and mismatches to the Wuhan-Hu-1 reference sequence
600 (NC_045512.2) and the N3 For primer (orange) are highlighted in green. Substitutions that correspond
601 with each of the mismatches are annotated below each panel. The lineage specific A28095T substitution
602 that is associated with N3 target dropout is highlighted in red with white typeface font. **B:** Alignment of
603 B.1.1.7 genomes associated with N3 target detection. Sixty-three individual genomes are indicated by
604 laboratory identifiers and mismatches are highlighted and annotated as in **A**. Note for substitutions that
605 are shared across both target results (e.g., dropout and detected), annotations are in boldface font.
606

Figure 1

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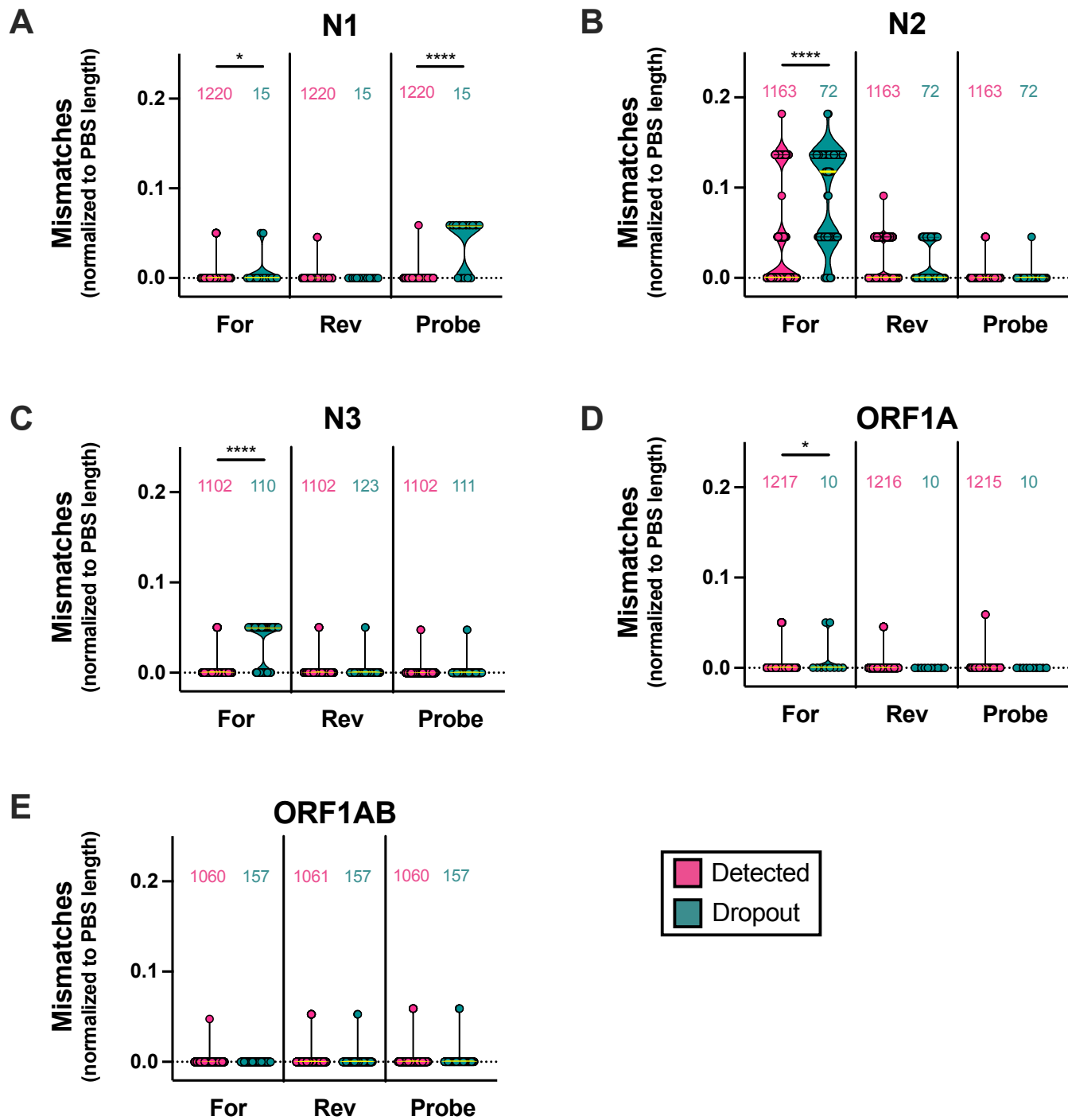


Figure 3

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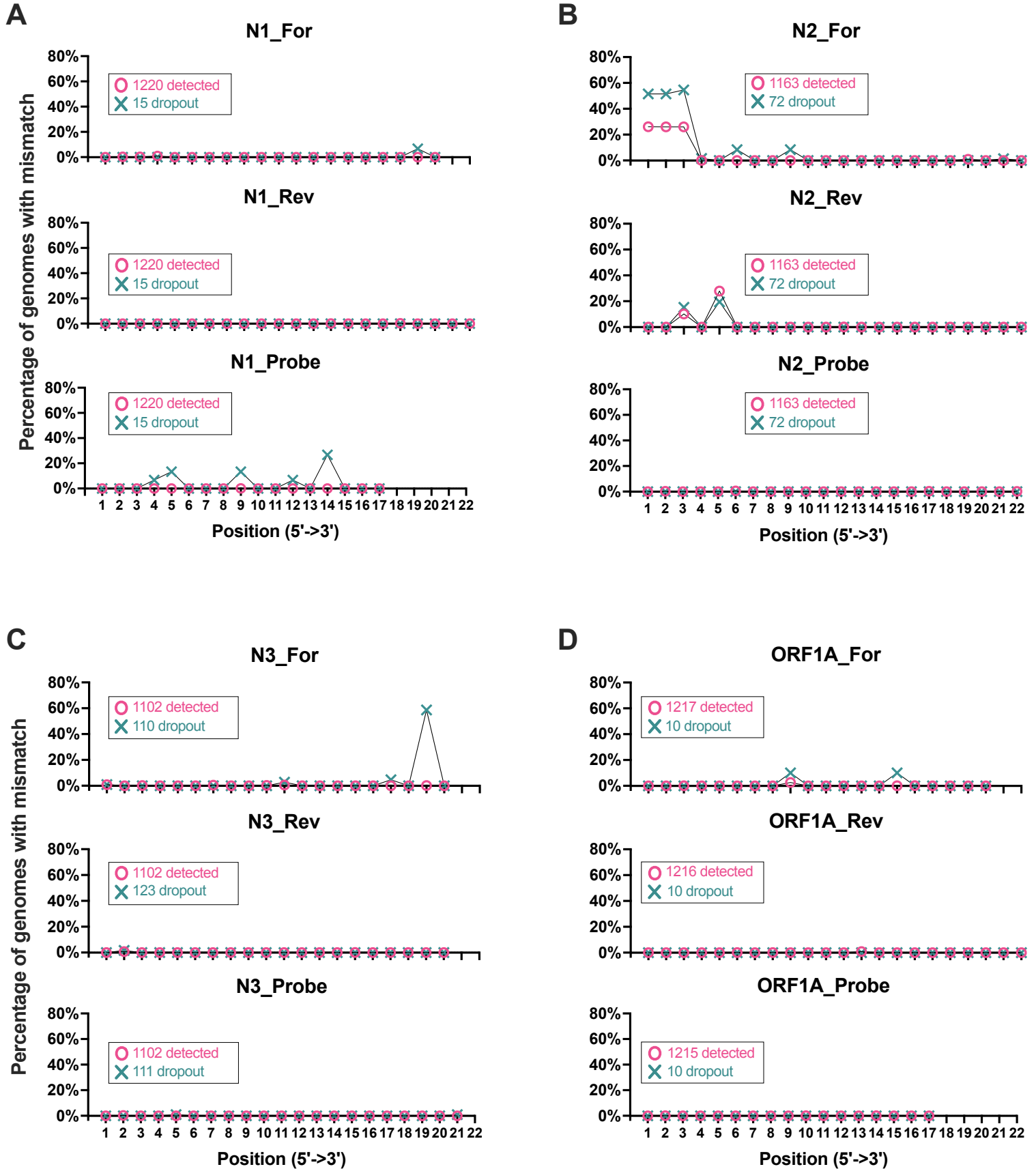
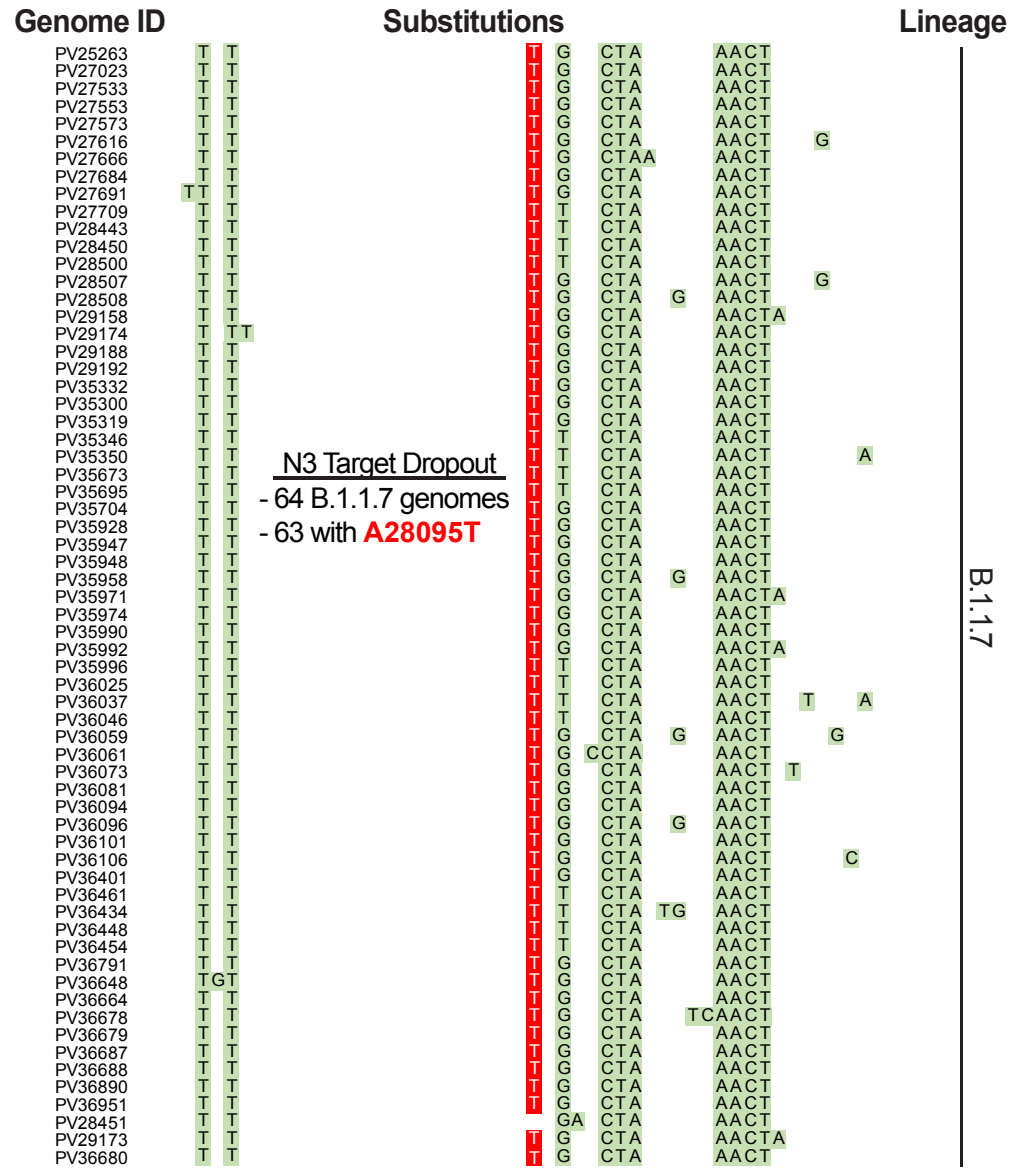


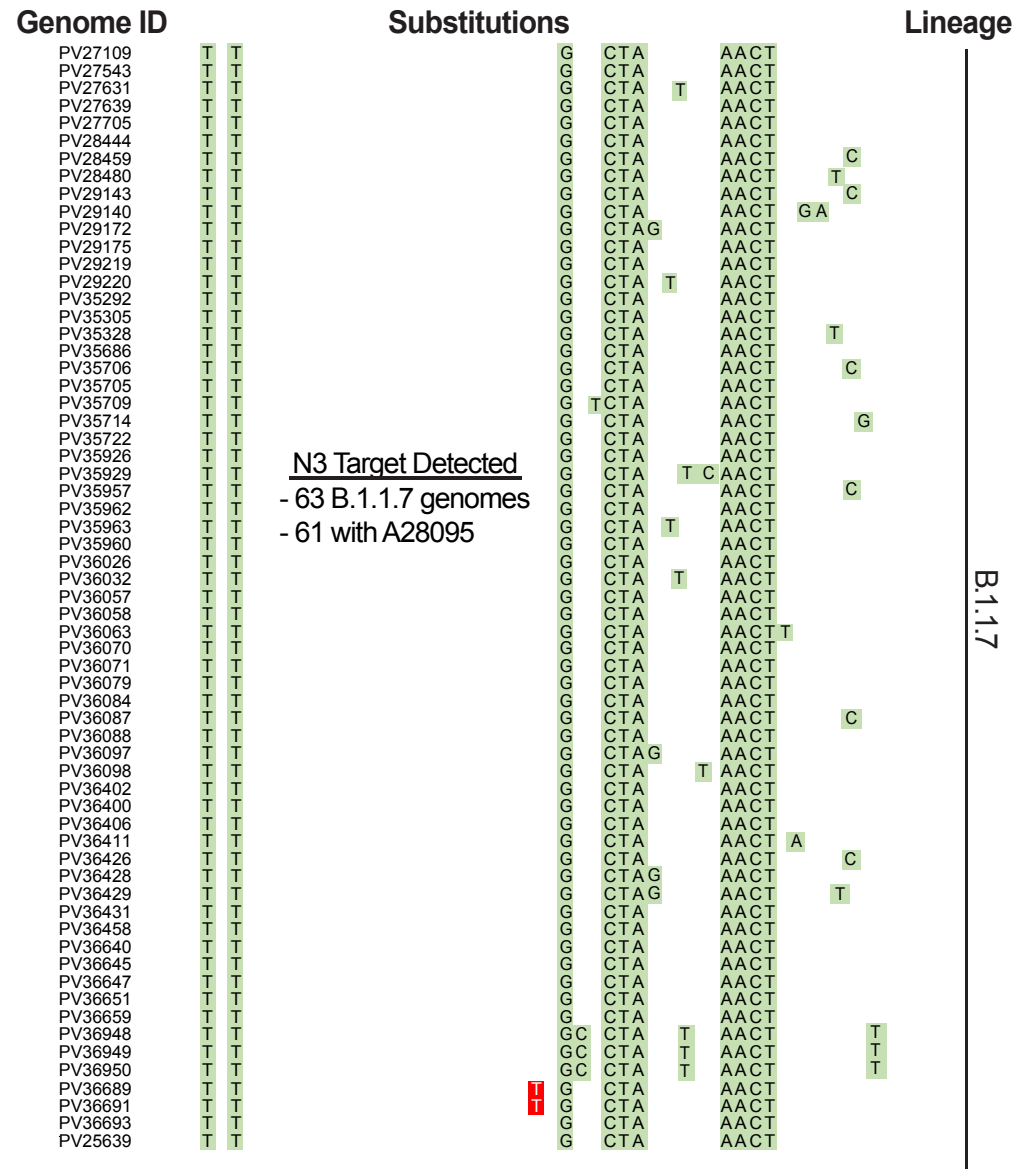
Figure 4

A



C27960T	A28095T	G28280C	C28744T	G28881A	G29254T
C27972T	A28111G/T	A28281T	A28804G	G28882A	C29272T
T28014G	G28134A	T28282A	A28877T	G28883C	T29685G
G28048T	G28221C	G28713A	G28878C	C28977T	C29686G
G28075T				G29044A	C29751C
					G29764A

B



C27972T	A28095T	G28280C	C28657T	G28881A	C29438G
G28048T	A28111G	A28281T	C28695T	G28882A	G29440A
	T28137C	T28282A	G28739T	G28883C	C29446T
	C28201T	A28295G	G28817T	C28977T	T29524C
		C28411T	A28877T	G29332T	C29686G
		C28432T	G28878C	C29386A	G29734T