

# **Root Structural and Functional Dynamics in Terrestrial Biosphere Models – Evaluation and Recommendations**

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# Root Structural and Functional Dynamics in Terrestrial Biosphere Models – Evaluation and Recommendations

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#### Summary

There is wide breadth of root function within ecosystems that should be considered when modeling the terrestrial biosphere. Root structure and function is closely associated with control of plant water and nutrient uptake from the soil, plant C assimilation, partitioning and release to the soils, and control of biogeochemical cycles through interactions within the rhizosphere. Root function is extremely dynamic and dependent on internal plant signals, root traits and morphology, and the physical, chemical and biotic soil environment. While plant roots have significant structural and functional plasticity to changing environmental conditions, their dynamics are noticeably absent from the land component of process-based Earth system models used to simulate global biogeochemical cycling. Their dynamic representation in large-scale models should improve model veracity. Here, we describe current root inclusion in models across scales, ranging from mechanistic processes of single roots to parameterized root processes operating at the landscape scale. With this foundation we discuss how existing and future root functional knowledge, new data compilation efforts, and novel modeling platforms can be leveraged to enhance root functionality in large-scale terrestrial biosphere models by improving parameterization within models, and introducing new components such as dynamic root distribution and root functional traits linked to resource extraction.

> P. Q

#### 1 I. Introduction

2 Roots are key regulators of plant and ecosystem function through their role in water and nutrient 3 extraction from soils, and through the plasticity of their responses to changing resource availability or environmental conditions (Hodge 2004, Schenk 2005). In this capacity, roots act 4 as a key mediator of vegetation evapotranspiration, which dominates the control of land surface 5 energy and water balances. Similarly, through uptake of nitrogen and other nutrients, roots are 6 critical for biogeochemical cycling and the interwoven carbon cycle that regulates C balance 7 (Fig. 1). Our knowledge of root functional processes is extensive and continues to improve with 8 new research initiatives and advanced experimental techniques. 9

10

Notwithstanding the many important roles of roots, dynamic root functions are still largely 11 absent in land surface models (Woodward and Osborne 2000, Ostle et al. 2009, Matamala and 12 Stover 2013, Iversen 2014), hereafter referred to by the more inclusive term Terrestrial Biosphere 13 Models (TBMs). Root representation in TBMs is rudimentary, with carbon allocation, root 14 distribution, water uptake and nutrient (almost solely limited to nitrogen) extraction generally 15 based on fixed parameters or plant demand, independent of dynamic root functionality. Key root 16 attributes that are missing include the capacity of roots to shift distribution under changing 17 environmental conditions, regulate water uptake (e.g., via aquaporins), regulate nutrient uptake 18 (e.g., via enzyme-mediated Michaelis-Menten kinetics), or associate with mycorrhizal fungi. The 19 20 limited representation of roots in TBMs is partially due to a lack of appropriate global root datasets, but also due to the fact that TBM representation of vegetation processes under current 21 22 climatic conditions appears to work fairly well with little or no representation of roots. TBMs use of implicit parameters of bulk water and nutrient uptake independent of roots can correlate to 23 24 total root uptake (Norby and Jackson 2000, Woodward and Osborne 2000, Feddes et al. 2001), and requires minimal root data or computational resources. Yet while the simplified models may 25 be roughly adequate, they do not allow dynamic root functionality, and thereby (we believe) 26 limit application to future environments, and limit mechanistic linkages that establish model 27 28 validity. Without inclusion of root dynamics, the current representation of roots in TBMs may

- 29 not be sufficient to capture their roles in ecosystem function, nor adequate to understand
- 30 potential controls that expressed root function may have in response to environmental change.
- 31

Feddes et al. (2001) argued "that the functioning of roots [...] needs to receive more attention in 32 land surface and climate modeling." Model representation of canopy structure and function has 33 progressed significantly (e.g. Mercado et al., 2007; Bonan et al., 2011; Loew et al., 2013) since 34 the big-leaf approach cited by Feddes et al. (2001). Alternately, and with some notable 35 exceptions (e.g., hydraulic redistribution of water, Lee et al. 2005; multi-process nitrogen uptake, 36 Fisher et al. 2010), the representation of root structure and function in TBMs has seen only 37 limited progress. Improved representation of root water uptake has stalled despite demonstration 38 of model sensitivity to roots in climate and vegetation distribution simulations over a decade ago 39 (Kleidon & Heimann, 1998, 2000; Hallgren & Pitman, 2000, Feddes et al. 2001). 40 41

In contrast to simplified TBM's that must represent the dynamics of roots associated with the entirety of the global land surface, mechanistic models at the scale of single root processes include the necessary complexity to capture water and nutrient uptake functions in response to environmental stimuli at quite high resolution in both space and time (Gardner 1960, Barber 1962, Hillel et al. 1975, Raats 2007). Higher-order model development often makes simplifying assumptions about such processes, potentially missing a fundamental control point for plant function under varying resource availability.

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50 A whole universe of knowledge on root characteristics and functions exists that has not been exercised within TBMs. Novel nondestructive imaging techniques of roots have provided new 51 52 insights in form and function of roots in situ (Fig. 2). Confocal laser microscopy has been used to assess dynamic gene expression of root initiation and cell growth within the root tissues (Busch 53 et al. 2012, Vermeer et al. 2014). Linked studies of gene regulation, growth regulators, 54 intercellular communication and tissue development have led to advances in mechanistic 55 multiscale modeling that can be used to predict root phenotypes (Band et al. 2012). Actively 56 controlled root membrane aquaporins have been identified as implicit control points for water 57

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transfer across roots (Javot and Maurel 2002; Maurel et al. 2008). Next generation 58 minirhizotrons are yielding unprecedented insight into fine-root and mycorrhizal exploration and 59 turnover at high temporal resolution (Allen and Kitajima 2013), and have been paired with  $CO_2$ 60 sensors to allow concurrent measurements of respiration in situ (Vargas and Allen 2008). 61 Neutron imaging has recently been used to assess in situ soil-root-rhizosphere hydration 62 (Carminati et al. 2010) and individual root water uptake and transport dynamics (Warren et al. 63 2013). Soil moisture sensors continue to evolve, and allow for highly precise measurements of 64 root water extraction dynamics and hydraulic redistribution throughout the soil profile (e.g., 65 Warren et al. 2011). Such measurements provide insight into soil, rhizosphere and root 66 resistances, data that can be used to refine models of physical flow of water through the soil-67 plant system (Gardner 1965, Sperry et al. 1998). Other root functional processes including C 68 flux, water and ion uptake, water potential and rhizosphere nutrient competition have been 69 elucidated using novel biosensors (Herron et al. 2010), isotope tracers (Bingham et al. 2000), and 70 *in situ* field observations (Lucash et al. 2007). Despite this extensive knowledge of single root 71 processes, the scaling of such processes spatially within the soil profile and across the landscape 72 73 through time has not been achieved.

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The knowledge gap that exists in mechanistic model representation of root processes across 75 scales (i.e., between roots, individual plants, ecosystems or land surfaces) is in part a 76 77 consequence of inadequate datasets and the difficulty in linking root function to characteristic root traits, root distribution and root growth dynamics across landscapes (Fig. 3). For model 78 veracity, simplified processes modeled in TBMs "...should be based on mechanistic 79 understanding of the processes at lower scales..." (Schulze 2013) – an understanding that has not 80 81 been well-translated for roots or root function. As such, the gap in knowledge transfer across scales leads to decreases in the expression of detailed root function as the predictive scale of the 82 model increases (Ostle et al., 2009). To model climate and the Earth System, TBMs must 83 simulate the land surface energy, water and carbon balances at broad spatial (e.g., km) 84 85 resolutions and at timescales ranging from every 15 minutes to potentially several hundred years (Pitman, 2003). The models must therefore integrate across the microscopic (e.g., sub-mm) and 86

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87 comparably short-term (e.g., seconds to minutes) scales relevant for actual root tissue function.

88 Thus the *microscopic*, mechanistic approach of single root modeling is not readily scaled to the

- 89 landscape, which led to development of *macroscopic*, bulk, sink-based modeling (Skaggs et al.,
- 90 2006) at the plant or ecosystem scales.
- 91

This review considers how root function is represented by models across scales, ranging from 92 single roots to whole land surfaces, and provides recommendations for improved representation 93 of roots in TBMs. The current state of knowledge regarding root structure and function is 94 considered, and the inherent and dynamic plasticity in those characteristics is described. 95 Leveraging this mechanistic knowledge, a focus was placed on identifying aspects of root 96 structure and function that could affect root water and nutrient uptake dynamics in context of 97 carbon cycling within TBMs. Specific targets for model improvement are noted. Since data are 98 required for model parameterization and validation, data availability is examined as a limitation 99 of the application of root function into models across scales. The scope of the review was limited 100 to living root characteristics that directly affect whole plant function, including growth, and ion 101 and water uptake. The indirect implications of root exudation, turnover and rhizosphere ecology 102 (Young 1998, Cheng et al. 2014), while critically important, were not considered in this review. 103 104

## 105 II. Current representation of root function in models

106 1. Single root models of water and nutrient uptake

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Single root water uptake occurs across a diversity of spatial scales requiring different approaches 108 to best model water extraction. The microscopic approach involves physical first-principle 109 110 mechanistic descriptions of radial flow to, and uptake by, individual roots (Hillel et al., 1975). In contrast, the macroscopic approach models uptake with a sink term in the Richards equation that 111 ignores or implicitly averages uptake over a large number of roots (Skaggs et al., 2006). Early 112 experimental and modeling work was carried out by Gardner (1960) where a root was modeled 113 to be an infinitely long cylinder of uniform radius and water uptake characteristics. Although this 114 formulation of root water uptake stimulated much research (Gardner, 1964 and 1965), it was 115

soon emphasized that it was not practical to develop field-scale models of water transport if flow

to each individual root of a complete root system must be considered (Molz and Remson, 1970;

118 Molz, 1981). Thus, various extraction term models have been developed where the fundamental

premise is to describe root water uptake for the rooting zone rather than individual roots. In these

models, soil-root processes are generally reduced to a root sink term that is incorporated into a

detailed description of soil water balance (Doussan et al., 2006).

122

Classical models of nutrient acquisition at the scale of a single root have provided many insights 123 into the complex dynamics that occur at the root-soil interface. Early pioneering research by 124 Barber (1962), Nye (1966), and Nye and Marriott (1969) indicated that nutrient uptake could be 125 modeled as a single cylindrical root in an infinite extent of soil, where diffusion and mass flow 126 supply nutrients to the root absorbing surface (Rengel, 1993). In most models that derive from 127 the Nye-Barber framework, the central hypothesis is that the driving force of nutrient acquisition 128 is the absorption of nutrients by the root, which results in a decrease in nutrient concentration at 129 the surface of the root, leading to a diffusion gradient and movement of nutrients in the soil pore 130 131 water (Hinsinger et al., 2011). Although early models were confirmed by kinetic studies using plants grown in hydroponic culture, the difference between nutrient acquisition between well-132 stirred solution and heterogeneous soil are large (Rengel, 1993). As a result, uptake can be 133 overestimated by these models because nutrient concentrations calculated at the root surface may 134 135 be too high.

136

While the pioneering studies of single-root water and nutrient uptake established the modeling framework for basic root resource acquisition, a wealth of new knowledge from genomic to cellular to whole root scales has emerged over the last several decades and improved our understanding of root structure and function (Fig. 1, 2). These insights offer novel understanding of single root functional plasticity that might be leveraged into better representation in TBMs (as discussed later).

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144 2. Individual plant models of carbon allocation, architecture and resource acquisition

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145 Whole-plant models require more sophisticated approaches and involve a higher level of

146 complexity in the description of root structure and function than single root models. These

147 approaches include an expanded consideration of how photosynthate is allocated to roots given

148 competing sinks, and how the processes of root tip initiation, branching, and geotropism give rise

to three-dimensional patterns of root distribution in soils (e.g., Thaler and Pagès, 1998, Ge et al.

- 150 2000).
- 151

Various models have been developed over the last 25 years to describe the structure and function 152 of whole plant root systems (Clausnitzer and Hopmans, 1994; Jourdan and Rey, 1997; Spek, 153 1997; Dupuy et al., 2007; Dupuy et al. 2009, Schnepf et al., 2012). Five models in particular 154 stand out as addressing the comprehensive suite of processes that govern photosynthate 155 allocation to root growth, root system architecture, and acquisition of water and nutrients from 156 heterogeneous soils (Table 1). These models simulate the production of daily photosynthate and 157 its allocation to plant organs based on general source-sink concepts (Franklin et al., 2012). 158 Growth and respiration of leaves, stems, and roots are often represented as competing sinks for 159 photosynthate. The SPACSYS model (Wu et al., 2007) is an exception in that roots receive 160 photosynthate with the highest priority, followed by leaves then by stems. Interestingly, several 161 models include options for allocation of photosynthate (Table 1). Most notable is the scheme 162 implemented in Root Typ (Thaler and Pagès, 1998), where allocation can be modeled either as a 163 164 function of competing sinks (i.e., without priorities) or where photosynthate is totally allocated to meet the demands of all plant organs. Each of the root growth models described in Table 1 can 165 provide realistic spatial complexity of root system architectures consisting of distinct root 166 classes (Wu et al., 2007; Pagès et al., 2004; Postma and Lynch, 2011a), where each root is 167 168 represented by a growing number of root segments interacting with the soil. Comparison of model results with visual images from excavated plants (Clausnitzer and Hopmans, 1994; Pagès 169 170 et al., 2004; Wu et al., 2007) and measured root density by depth (Somma et al., 1998) provide encouraging support for the realism and utility of these simulations. 171

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173 The ability to model root architecture allows coupling of root distribution with mechanistic descriptions of water and nutrient uptake (Table 1) (Dunbabin et al., 2004; Ho et al., 2004; Janott 174 et al., 2011). For example, the R-SWMS model has been used to simulate the dynamic and 175 spatial patterns of root water extraction (Draye et al. 2010). Results indicated that it was the 176 interplay between root architecture, root axial and radial hydraulic properties, and water 177 distribution in spatially heterogeneous soils that controlled patterns of water extraction. The 178 SimRoot model has been coupled to a phosphorus acquisition and inter-root competition model 179 (Ge et al. 2000). Results indicated that phosphorus acquisition differed across different root 180 system geometries, with greater phosphorus uptake per unit carbon cost for shallow root systems 181 compared to deeper root systems. In similar fashion using ROOTMAP, Dunbabin et al. (2003) 182 found that the optimal root architecture for nitrate capture in sandy soils was one that quickly 183 produces a high density of roots in upper soils to facilitate nitrate uptake during the early season, 184 but also had a vigorous taproot growth for nitrate acquisition later in the season. 185 186 Two or three-dimensional modeled root architecture frameworks could be further refined to 187

allow differential plasticity in growth and function that might be incorporated into future models, 188 especially if dynamic root water and nutrient uptake capacity could be assigned based on root 189 age, root order, or differential hydraulic conductivity (Valenzuela-Estrada et al. 2008). Indeed, 190 two-dimensional bulk soil water uptake has been successfully modeled as a series of resistances 191 192 through the soil, root, plant and atmosphere continuum, regulated by water potential gradients and verified with field data (Sperry et al. 1998, Hacke et al. 2000, Wang et al. 2002, Manzoni et 193 194 al. 2013). Manoli et al. (2014) introduced a three-dimensional model based on pathway resistances that includes hydraulic redistribution and that allows root systems of multiple trees to 195 196 compete for water extraction from different soil layers. Such models are noteworthy in that they retain first principle, physics-based Darcian water flow at the stand level, while allowing 197 198 dynamic root functionality under drying conditions, a feature often lost in ecosystem models. 199

200 3. Ecosystem models 201 While root and individual plant models are highly-detailed, they usually do not have the appropriate temporal and spatial resolution to simulate plant interactions with the surrounding 202 203 soil at the ecosystem level (Agren et al., 1991). Ecosystem process models were developed to simulate feedbacks and linkages among ecosystem components (plants, microbes, and resource 204 pools) to assess whole ecosystem C, water, and nutrient cycling across biomes such as forest 205 stands (Running & Coughlan, 1988) or grasslands (Parton et al., 1988). While ecosystem process 206 207 models encompass spatial scales and processes ranging from the plot level (Running & Coughlan, 1988) to the global land surface (Hopkins and Bristow, 2002), they are distinct from 208 TBMs in that they are not generally intended to be scaled to the global land surface or informed 209 with products of remote sensing (Running & Coughlan, 1988). However, many ecosystem 210 process models were developed to interface with TBMs (Parton et al., 1988; Riley et al., 2009; 211 Fisher et al., 2010), often at a specific spatial, temporal, or process-level scale, depending on the 212 question of interest (Ostle et al., 2009). Some ecosystem models were later linked with TBMs in 213 order to understand vegetation patterns under current and future conditions (Pan et al., 2002). 214

215

In order to represent the interaction of roots with aboveground plant parts and the surrounding 216 soil environment (Fig. 1), ecosystem models must represent the functional balance of carbon 217 partitioning belowground to root growth, the distribution of roots throughout the soil, active root 218 functions, and the changes in partitioning and root distribution in response to changing 219 220 environmental conditions (Grant, 1998). Accurate model representation of root function and its importance to land surface fluxes of carbon, water and nutrients is dependent on how many roots 221 222 there are, *where* roots are in the soil profile, and *which* roots are active. Unfortunately, the different approaches taken with plant- and ecosystem-scale models appear to have created a gap 223 224 through which the representation of roots, and in particular, root function, has fallen. Some ecosystem-scale process models and TBMs do not explicitly represent fine roots (Hanson et al. 225 2004), while in others, root representation is cursory, or solely to extract water from the soil. 226 Figure 4 describes model inclusion of various root processes, including root production and 227 228 structure, and if structure is linked to water or nutrient uptake.

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230 In ecosystem models, plant water and nutrient uptake is usually empirically-derived from functional or allometric drivers rather than mechanistically propagated based on tissue function 231 232 and energy expenditures (Hopmans & Bristow, 2002). N uptake from the soil profile is rarely modeled in a way that depends on root properties (Table 2), although for some models N uptake 233 234 requires respiratory energy (Hopmans & Bristow, 2002, Fisher et al. 2010) that indicates linkages to C partitioning belowground to fulfill root demand. Mycorrhizae have a large role in nutrient 235 acquisition by plants but their inclusion in root models is rare, although they are explicitly 236 represented in the detailed ecosys model (Grant, 1998), and implicitly represented in the Fixation 237 and Uptake of Nitrogen (FUN) root module as an extension of the root system (e.g., Fisher et al., 238

239 2010), and now explicitly represented in FUN 2.0 (Brzostek et al. in review).

240

There are several distinct types of ecosystem models that vary in their treatment of root function:

(1) Simple modules focused on one aspect of the ecosystem that might be incorporated into 243 TBMs. For example, the Radix model estimates growth and turnover for various root classes in 244 context of internal C partitioning (Riley et al., 2009; Gaudinski et al. 2010) – such a model might 245 be leveraged to allow water and nutrient uptake dynamics from roots of different functional ages. 246 Another module, the FUN model simulates N availability and uptake based on internal C and N 247 availability, root microbial associations, water use and environmental conditions (Fisher et al., 248 249 2010). This N module includes passive and active ion uptake kinetics, requiring substantial respiratory energy. The model framework applies detailed ecophysiological processes to simulate 250 251 N uptake and internal cycling. FUN can be run as a stand-alone module or applied within TBMs (e.g., JULES; Fisher et al., 2010), and ongoing work will leverage FUN into additional TBMs 252 253 including CLM 4.5, Noah-MP and LPJ.

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(2) Whole-ecosystem models that vary in the complexity of their representation of ecosystem
processes (e.g., *Ecosys* (Grant 1998), G'DAY (McMurtrie et al. 2000), SPA (Williams et al.
1996) and TEM (Raich et al. 1991)). These four ecosystem models include representation of a
range of root-specific processes, based in large part on the initial ecosystem and questions

259 devised by the developers (detailed in Table 2). The models include the highly complex ecosys 260 model that has detailed root architecture, production and mycorrhizal colonization that can 261 respond to changing water and nutrient availability (Grant, 1998). Root water uptake in *ecosys* is a function of water content, and root radial and axial resistances - the latter allows for expression 262 of dynamic root function (resistance) that can control water uptake (Grant, 1998). The ecosys 263 model can also differentiate nitrogen sources (NH<sub>4</sub>-N and NO<sub>3</sub>-N) and includes phosphorus (P) 264 cycling, whereas most other models focus solely on nitrogen. At the opposite end of the 265 spectrum, the TEM model operates at coarse temporal and spatial scales, with focus on C and N 266 balance in soils and vegetation (Reich et al. 1991) (Table 2). There are no roots or root functions 267 present in the model. Water use is based on a water balance sub-model that includes broad site 268 characteristics including vegetation type, soils and climate. N uptake is based primarily on 269 availability, and C:N uptake costs. 270

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(3) Optimization models attempt to avoid the pitfalls of extensive parameterization (e.g., May,
2004) by focusing on a few analytic expressions. One example is *MaxNup*, which optimizes the
vertical distribution of root biomass throughout the soil profile to maximize annual N supply to
aboveground plant organs (McMurtrie et al., 2012). This type of annual optimization is apparent
in other 'demand' based models, which provides a limited framework for addition of root
functional dynamics.

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279 4. Terrestrial biosphere models (TBMs)

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TBMs were designed to be linked into Earth system models to provide broad predictive
capabilities of C cycling, energy balance and climate in context of shifting natural and
anthropogenic forcing of the system. As with ecosystem models, TBMs must align select
mechanistic processes into a framework that is conducive for scaling, relying on bulk, landscapelevel ecosystem components and fluxes (Fig. 3). Roots, when present in a model, must be scaled
up from empirical data collected for specific species, or the relevant plant functional types (PFT).

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288 Constrained by the structure of TBMs, root distribution must be represented in a single vertical dimension, generally as the proportion of root mass in each of a number of soil layers, or simply 289 290 as a maximum rooting depth. These tend to be fixed parameters which do not exhibit dynamic functionality. Root function is not usually linked with root biomass. There are some exceptions 291 such as O-CN (Zaehle & Friend, 2010) and LPJ-GUESS (Smith et al. 2013) that allow root 292 biomass to be dynamic, although even in those models, the fraction of functional: non-functional 293 root biomass is not dynamic. Table 3 describes how 10 commonly-used TBMs represent root 294 distribution, water and nutrient uptake. 295

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297 Water uptake in TBMs As in the ecosystem models, water uptake in TBMs operates at the macroscopic scale, determined by supply and demand. Uptake is described by a sink term in the 298 volumetric mass balance (Raats, 2007) rather than explicitly simulating the root-soil interface as 299 described in the *single root* and *individual plant* scale model sections. Plant water demand is 300 calculated as a function of atmospheric vapor pressure deficit and a series of water transport 301 resistances caused by stomata, leaf and atmospheric boundary layers, and in a some cases 302 303 includes modeled root and stem resistances (Table 3) (e.g., SPA (Williams et al. 2001), CLM4.5 (GB Bonan, unpublished)). When sufficient water is available, water uptake is simulated based 304 305 on the plant water demand with rooting distribution or absolute rooting depth used to determine the location within the soil column of water taken up by the plant. Substantial amounts of data on 306 307 global root distributions are available (e.g., Jackson et al. 1996, Schenk and Jackson 2002), and root distribution is the most widely included root component in TBMs. 308

309

When insufficient water is available to meet demand, TBMs model uptake as a function of water supply, rather than allowing for mechanistic reduction in root conductivity. Most often, supply limited uptake is simulated by multiplying physiological variables with a soil water stress scalar (0-1, often referred to as  $\beta$ ), which serves to reduce demand (Feddes et al. 1978, Verhoef and Egea 2014). The ' $\beta$ ' soil water limitation factor can be represented as a piecewise linear function of soil water matric potential, matric potential at wilting point (e.g.,  $\psi_{wp} = -1.5$  MPa) and matric potential at a critical point below which supply limitation begins (e.g.,  $\psi_{fc} = -0.033$  MPa). Some

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317 TBMs (e.g. CLM, Oleson et al. 2010) simulate  $\beta$  as a function of matric potential in relation to when stomata are fully open or closed, while others (e.g. JULES (Clark et al. 2011) and CABLE 318 (Wang et al., 2010)) simulate  $\beta$  as function of soil water content ( $\theta$ ). Due to the strongly non-319 linear relationship between  $\psi$  and  $\theta$  (soil water retention curves), the two formulations allow for 320 very different supply limitation of soil water uptake. In addition, since the retention curves can 321 vary dramatically within a single profile due to changes in soil physical characteristics, relative 322 soil water availability for heterogeneous soils is not well expressed by a single relationship 323 (Warren et al. 2005), indicating a need for model parameterization of multiple soil layers 324 simultaneously where data exist. 325

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327 The  $\beta$  term has a direct link to water uptake, thus is an obvious avenue for novel introduction of dynamic root function in future TBMs. Various alternate formulations of  $\beta$  exist (reviewed by 328 Verhoef and Egea 2014). One of the most interesting is the inclusion of root: shoot chemical 329 (especially abscisic acid; ABA) and hydraulic signaling to control stomatal aperture and thereby 330 regulate root water uptake (Dewer 2002, Verhoef and Egea 2014). Inclusion of this ABA-based 331 water stress function provided the best fit to experimental data, although it requires additional 332 333 and accurate soil and plant parameter datasets – data not readily obtained at the landscape scale, which limits the application and refinement of this function in TBMs. Another expression of  $\beta$ 334 allows for a decrease in root function under saturated, hypoxic conditions due to oxygen 335 limitation in the rhizosphere (Feddes et al. 1978), though most TBMs only consider a reduction 336 in root function in response to drying soils. 337

338

Nitrogen uptake in TBMs Root nitrogen (N) uptake in TBMs is also simulated at the macroscopic scale by using available soil N concentrations. N uptake is simulated primarily as a function of supply and often demand, as in CLM or CABLE (Thornton et al., 2007; Wang et al., 2010), though the implementation varies across models far more than the implementation of water uptake. Most TBMs integrate soil carbon and N cycling throughout the entire soil profile, thus N uptake is from bulk soil regardless of root or N distributions within the profile, although new multi-layer biogeochemical cycling algorithms are becoming available for some models
(e.g. CLM4.5; Koven et al., 2013).

347

Some TBMs use root mass as a proxy for root length density, and formulate N uptake as a linear 348 function of root mass (e.g., LM3 (Gerber et al., 2010), LPJ-GUESS (Smith et al., 2013) and O-349 CN (Zaehle & Friend, 2010)). The linear dependence of N uptake on root mass contrasts with the 350 351 optimality formulation of McMurtrie et al. (2012), whereby a saturating relationship of N uptake to root mass results from over-lapping nutrient depletion zones vertically within the soil profile 352 as root mass increases. Models use of biomass only, without knowledge of root anatomical or 353 functional distribution has limited ability to indicate differences between species within a plant 354 functional type (PFT). Linking biomass to function through structure is thus a key area for 355 improvement. 356

357

The LM3 and O-CN models employ a Michaelis-Menten kinetic function of N uptake, but one that saturates as N supply increases. Thomas et al. (2013) modified the N dynamics of CLM4, improving model accuracy at simulating N addition experiments. They showed that a key model development leading to the improvement was the implementation of Michaelis-Menten kinetics saturating with N supply and linearly dependent on root mass.

363

A number of models, e.g., LPJ-GUESS (Smith et al., 2013), O-CN (Zaehle & Friend, 2010), CLM4 (Thomas et al. 2013), also simulate N uptake as a function of temperature to account for the effect of temperature on metabolic rates. However, none of the models surveyed simulate N uptake as a function of soil water content despite the importance of water for rhizosphere nutrient cycling, for mass flow and diffusion of N to the root surface (de Willigen and van Noordwijk, 1994; Cardon et al. 2013), and for oxygen dependence of metabolic rates.

370

**Root production in TBMs** Root growth, production and activity are dependent on carbon
partitioning belowground. There are a variety of different approaches to model C partitioning
within plants (Table 3) (Franklin et al. 2012). One promising approach (functional balance)

374 recently best represented temperate forest carbon partitioning in two Fee Air CO<sub>2</sub> Enrichment (FACE) experiments (DeKauwe et al. 2014). Functional balance approaches partition carbon to 375 376 various tissues to balance resource acquisition (Franklin et al., 2012), thus mechanistic model improvements to allow root functional nutrient or water uptake would be dependent on 377 partitioning of carbon belowground. Representation of root function will also be necessary to 378 implement optimization schemes for partitioning in TBMs, similar to that developed by 379 McMurtrie & Dewar (2013). Flexible partitioning schemes allow vegetation turnover to vary due 380 to the different turnover times of different tissues. 381

382

Model inclusion of carbon allocation through roots to mycorrhizae and exudates may be a 383 parameter that could allow model plasticity of belowground functional dynamics, since these 384 rhizosphere processes have direct linkages to water and nutrient uptake and carbon cycling. For 385 example, observed increases in N uptake in response to elevated CO<sub>2</sub> were not explained by 11 386 ecosystem models (Zaehle et al. 2014) suggesting the need for additional processes by which 387 plants can stimulate N uptake through expanded effective root surface area, deeper soil mining 388 (Iversen et al. 2010, McMurtrie et al. 2012) and 'priming' of nutrient cycling (Drake et al. 2011, 389 Cheng et al. 2014). Focused root 'modules' incorporated into TBMs may allow a pathway for 390 dynamic root allocation and uptake. Indeed, the FUN nitrogen fixation module indicates 391 increased root production under elevated CO<sub>2</sub> FACE studies (J. Fisher, personal communication), 392 393 in agreement with observations, while balancing the C cost of root N uptake with other respiratory and growth demands. 394

395

## 396 Integration of detailed soil hydrologic and biogeochemical transport models into TBMs

While ecosystem models and TBMs were developed with a strong plant functional component, there has also been significant model development of sub-surface reactive transport dynamics in the absence of vegetation (and roots). Modeling unsaturated water flow within the vadose zone is achieved by mathematical approximations of one- three dimensional Richard's equations (similar in structure to Darcy's Law describing saturated flow in soils and plant xylem). More recently root water extraction has been added as a sink term into these detailed, highly computational

numerical models (Vrugt et al. 2001; Javaux et. al. 2008), which allows them to be linked into 403 404 TBMs. In these sub-surface hydrology models the flow of water from soil to root xylem 'tubes' is often modeled as simple one dimensional radial flow (Amenu and Kumar 2008; Schneider et. 405 al 2010), although since hydraulic conductivity changes at the soil-root interface (e.g., Carminati 406 et al. 2010) more accurate models have included an interfacial conductivity within the 407 rhizosphere (e.g., Katul et al. 2012). Modeling efforts that include rhizosphere resistance as a 408 microscopic soil-root hydraulic conductivity drop function can improve modeled dynamics of 409 water transport into roots, while actually reducing the computational time (Schroder et. al, 2008, 410 2009). 411

412

There are encouraging efforts to pair these detailed numerical reactive transport models with 413 vegetation models at the landscape level. The models have primary focus on improving surface 414 and subsurface hydrological components and often include detailed soil characteristics, 415 topography and differential water table depths (e.g., Rihani et al. 2010, Shi et al. 2013). 416 Sivandran and Bras (2013) implemented multi-layered dynamic root distribution within a 417 418 vegetation model (VEGGIE) coupled with a hydrologic model (tRIBS). The model dynamically allocates carbon to roots at different soil layers to maximize transpiration. Simulations agreed 419 with catchment data at hourly timescales, indicating the utility for inclusion of detailed numerical 420 models in TBMs. PIHM (Qu and Duffy 2007) is a fully coupled 2-D hydrological model that has 421 422 been validated with extensive data at the Shale Hills Critical Zone Observatory and paired with a land surface model based on the Noah LSM (Shi et al. 2013). These models include root 423 424 biomass-weighted water extraction by layer, and successfully simulate soil hydraulic parameters and watershed discharge. Another reactive transport model, PFLOTRAN (Mills et al. 2007) has 425 426 been specifically designed to scale 3-D numerical hydrological modeling using parallel supercomputing. PFLOTRAN is currently being linked to the CLM TBM to achieve fully-427 coupled detailed hydrological dynamics at the land surface scale. Despite a similar lack of root 428 functional attributes in these hydrological models, they greatly improve mechanistic modeling of 429 430 the subsurface environment, which allows for expanded knowledge of spatial dynamics of water availability. In turn, roots overlaid across the heterogeneous two-dimensional grids or three-431

432 dimensional voxels in these models could be allowed step-wise increases in dynamic

433 functionality, which would greatly expand their role as a critical control point in subsurface and

434 surface ecosystem functions. The coupling of detailed subsurface models with TBMs is expected

435 continue to evolve as computational limitations diminish.

436

## 437 III. Recommendations for leveraging root knowledge into models

438

We have shown that there are a number of existing root models and many known root functions 439 that could be used to better represent the role of roots within TBMs. While high-resolution 440 spatial and temporal dynamics of individual roots may not be amenable for application to TBMs, 441 inclusion of specific mechanistic processes is critical to establishing a processed-based 442 representation of root functionality that can be used to improve predictive capacity. Key root 443 functions that should be included in future model development include root water and nutrient 444 uptake, and carbon partitioning belowground to production, respiration, exudates and turnover. 445 Knowledge of root traits related to these functions (e.g., morphology, chemistry, mycorrhizal 446 associations) will allow those functions to be scaled into TBMs (Fig 3). Specifically, knowledge 447 of root architectural display and distribution, proportion of highly-active ephemeral or less active 448 woody roots (i.e., based on diameter, length, order, age), mycorrhizal associations, and root 449 production and turnover should be included. While some of these parameters are already 450 451 included in TBMs, most are not well represented (e.g., Fig. 4), indicating dynamic functionality could be improved or added. Dynamics to consider include plasticity of roots to environmental 452 conditions - especially increased root water and nutrient uptake kinetics and root proliferation in 453 resource rich areas, and reduction in root activity in resource poor areas. These dynamics should 454 455 be linked to spatial and temporal changes in environmental conditions through both theoretical and empirical studies that intersect process- and trait-based parameterization. 456 457 Unfortunately, there is not a good understanding of TBM model sensitivity to root

458 function; i.e., if inclusion of mechanistic root functions in models could improve model

459 performance within the current model framework, although studies that have included more root

parameters have yielded better results (e.g., inclusion of dynamic root area (Schymanski et al.,
2008) or hydraulic redistribution (Lee et al. 2005)).

462

In the following section we assess how our current mechanistic knowledge of root function 463 interacts with and determines ecosystem function, and suggest what should be taken into 464 consideration when modeling roots in TBMs. Areas of discussion include root distribution and its 465 utility for scaling, linking root traits to root functions, key regulatory factors such as water 466 uptake kinetics (including hydraulic redistribution) and nutrient uptake kinetics, data availability, 467 and strategies for model improvement. Figure 5 provides a framework for root data and model 468 assessment, and how we might proceed towards improved models or novel stand-alone root 469 modules that could be embedded within TBMs. 470

471

472 1. Scaling root function using root architecture

473

Root distribution within the soil profile provides the basic foundation for root function, and is the 474 characteristic most frequently included in large-scale TBMs as a regulator of water uptake (Fig 4, 475 5). Data are widespread and readily obtained destructively through soil coring and excavation 476 (e.g., Nadezhdina and Cermak 2003), or through in situ observations (rhizotrons, minirhizotrons) 477 (Pierret et al. 2005, Iversen et al. 2012). Specific root structural traits can then be overlaid on this 478 distribution, with allowance for environmental gradients and biotic signals to shift trait functions 479 within that distribution (Fig 5). For example, during a period when upper soils dry, the upper 480 481 roots become less functional, only to rapidly increase in function following precipitation inputs (e.g. Warren et al. 2005). Root proliferation can decrease total root system hydraulic resistance 482 483 under environmental stress, increasing capacity for water uptake and increasing the root:shoot ratio (Steudle 2001). Inclusion of a dynamic root:shoot ratio in TBMs could bound C and water 484 485 flux at the landscape level for a specific set of resources, as demonstrated with a plant scale model by Sperry et al. (1998). 486

487

488 Shifts in actual or *functional* root distributions within the soil profile represent a dynamic functionality of the root system that is difficult to include in TBMs, although several research 489 490 directions linked to root function are quite promising, including linking function to root class and characteristic root traits, and consideration of water stress and hydraulic redistribution through 491 492 the soil profile (e.g., Valenzuela-Estrada et al. 2008). For example, Schymanski et al. (2008) used an optimality function to meet canopy demands for water uptake by allowing root surface area to 493 494 be dynamic and thereby able to shift into moister soil as necessary. The model ran on a one day time step, and while this may not accurately represent new root growth, it does represent shifts in 495 root functionality within an existing root system. Results including this dynamic functionality 496 improved estimates of water flux from a tropical savanna as compared with a static root system. 497 Inclusion of such plasticity of root function provides a significant step toward better mechanistic 498 representation of roots in models that could improve model performance. 499

500

Different plant functional types (PFTs) vary in root display (presence of taproot, lateral spread, 501 dimorphism), maximum depth, and morphological traits that affect their interaction with the soil 502 (Canadell et al. 1996, Schenk 2005, Pohl et al. 2011). Root distribution varies across biomes and 503 does not necessarily depend on soil depth. A global synthesis indicates mean maximum rooting 504 depths range from 2.6 m for herbs to 7.0 m for trees (Canadell 1996); although root distributions 505 across biomes tend to be only as deep as necessary to supply evapotranspirational demand, 506 507 allowing prediction of community root distribution based primarily on precipitation and potential evapotranspiration (Schenk 2008). While simplified distributions of roots are readily 508 incorporated into models, Feddes (2001) suggested the need to continue modeling efforts from a 509 bottom up mechanistic approach, as well as a top-down approach, in order to provide process-510 511 level understanding to these simplified models.

512

513 2. Linking root function to traits

514

515 Plant species responses to resource availability vary due to differences in competitive strategies

516 (Hodge et al. 2004). In context of drought, some species have adapted growth of deep roots to

517 tap groundwater (Meinzer 1927), in some cases up to 50 m (Canadell 1996), while others with shallower root systems close stomata to limit water use and tolerate arid conditions. Such 518 variation reiterates the necessity to include root traits within plant functional type (PFT) 519 classifications in order to adequately scale functionality of root architecture into the models. At 520 the landscape scale, the distribution of root traits, specialized root structures (cluster roots, root 521 hairs) and mycorrhizal associations reflect resource availability (Lambers et al. 2008). Root 522 523 function can be linked to characteristic root traits that vary across species (e.g., Comas and Eissenstat 2009, Kong et al. 2014) and PFTs (especially annual versus perennial), although other 524 than root distribution, few, if any root traits are included in PFT classifications (Wullschleger et 525 al. 2014), or TBMs. Currently, TBMs use static plant parameters for each PFT, even though 526 phenotypic expression of traits is strongly affected by variations in environmental conditions; 527 inclusion of photosynthetic traits that were allowed to vary linearly with climate within PFTs 528 shifted simulated biomass estimates and PFT cover-type by 10-20% for forests compared with 529 the default simulations (Verheijen et al. 2013). Root turnover rates are a key root trait linked to 530 ecosystem function that can have substantial variation across species within PFT; modeled inter-531 species shifts in root turnover within PFT under climate change had substantial implications at 532 the landscape level (McCormack et al. 2013). Efforts to understanding gene linkages to turnover 533 and other root traits provide a pathway for screening of individual species' root characteristics, 534 an effort particularly advanced for crop systems where traits are being linked to gross primary 535 536 production and drought resistance (Comas et al. 2013). Further phenotyping research is required in natural ecosystems to create the database necessary for inclusion of variable, dynamic root 537 538 traits into TBMs. A trait-based, mechanistic representation of roots in TBMs will have significant impacts on model outputs. 539

540

Key root functional traits to consider for models are root morphology, chemistry and microbial
associations, since they control dynamics of water and nutrient ion flux through the soil into
roots under varying environmental conditions (Figs 1, 5). The white, ephemeral first and second
order roots are the predominant pathway for water and nutrient uptake (Steudle 2000, Guo et al.
2008, Rewald et al. 2011), although coarser suberized woody roots also provide a persistent, yet

by lower uptake pathway that may be important for seedlings (Hawkins et a. 2014), or seasonally

547 during periods of low fine root growth or activity (Van Rees and Comerford 1990, Lindenmair et

al. 2004), and which may be associated with sustained root rhizosphere hydration through

549 hydraulic redistribution (Rewald et al. 2011). Root hairs and mycorrhizal associations can

enhance the effective surface area of the root system and increase the potential for resource

extraction in many species (Read & Boyd 1986; Augé, 2001, Segal et al. 2008).

552

Refinement of the 'fine:coarse' root ratios used in some models should reflect root function, not 553 just root size, which varies by species. Root orders, and their function can be characterized 554 indirectly by relative degree of mycorrhizal colonization, root density or root C:N ratio 555 (Valenzuela-Estrada et al. 2008). Root lifespan is another key root attribute that might be 556 correlated with these and other root traits such as diameter, depth (Pritchard and Strand 2008), 557 specific root length (McCormack et al. 2012) or root and aboveground traits together (root 558 diameter and plant growth) as found in twelve temperate tree species (McCormack et al. 2012). 559 Knowledge of root traits can be used to improve models of water or nutrient uptake kinetics 560 (e.g., refining active root absorbing area, or classifying root function in the FUN N uptake 561 module), add functionality to existing modules of root turnover (e.g., Radix), and to provide 562 scalable trait data for novel root functional representation in TBMs (Fig. 3). 563

564

565 3. Water uptake

566

567 The process of root water uptake includes some regulatory steps that could be included in TBMs.

568 Under moist soil conditions, radial resistance limits root water uptake and is actively controlled

569 by membrane bound transport proteins (aquaporins) that respond to osmotic gradients

570 (Chrispeels et al. 1999, Steudle 2000, Aroca et al. 2012). Under drying conditions water uptake is

regulated by varying soil and plant resistances to water movement (Blizzard and Boyer 1980,

572 Sperry et al. 1998, Hacke et al. 2000). Radial hydraulic conductivity through aquaporin

- regulation can be rapidly increased or decreased based on perceived environmental stimuli
- including mycorrhizal colonization (Lehto and Zwiazek 2011) or suboptimal environmental

575 conditions (e.g., drought, temperature, anoxia; Siemens and Zwiazek 2004). Indeed, deep roots in wet soils upregulated aquaporins during drought, increasing hydraulic conductivity 576 577 substantially as shallow root conductivity declined (Johnson et al. 2014). Root stress responses are often reflected in production and accumulation of abscisic acid (ABA) or other plant growth 578 regulators (Davies and Zhang 1991; Wilkinson and Davies 2002; Aroca et al. 2012). Root 579 derived plant regulators or mycorrhizal-derived inorganic ions can be transported through the 580 581 xylem to elicit a response in the leaves, particularly stomatal closure (Davies et al. 1994). Similarly, two-way hydraulic signaling also connects root and shoot functions allowing 582 coordinated whole plant response to changing soil or atmospheric conditions (e.g., Blackman and 583 Davies 1985, Comstock 2002, Meinzer 2002, Vandeleur et al. 2014). Pathway resistances are 584 included in some TBMs, however, none to our knowledge have active regulation based on 585 aquaporin expression, which could provide a mechanistic control on water use and improve 586 model performance, similar to application of a dynamic ABA parameter on the water stress 587 588 scalar,  $\beta$ , as described earlier.  $\beta$  is an obvious target for providing dynamic, albeit indirect, functionality to water uptake since it already exists in many models, and would be particularly 589 useful if weighted by root *functional* class (e.g., age, order, morphology) within each soil layer. 590

591

592 4. Hydraulic redistribution

593

Hydraulic redistribution (HR) can maintain fine root function (Domec et al. 2004), extend root 594 life (Bauerle et al. 2008), rehydrate the rhizosphere (Emerman and Dawson 1996), enhance 595 nutrient availability (Cardon et al. 2013) and acquisition (Matimati et al. 2014), and should 596 597 prolong soil-root contact under dry conditions. HR's contribution to total site water use is known to vary widely depending on the ecosystem (Neumann and Cardon 2012); yet even minor HR 598 599 can provide significant benefits for continued root and mycorrhizal function during drying conditions. HR has been represented by variation in water transport between soil layers, dynamic 600 601 soil-plant-atmosphere resistances, radial/axial conductivity *big root* models, and root optimality models (Neumann and Cardon 2012). Results indicate that the inclusion of HR can help explain 602 603 patterns of soil and plant water flux for individual trees (e.g., David et al. 2013), resulting in

604 significant implications for stand- (Domec et al. 2010) and landscape-scale (Lee et al. 2005, Wang et al. 2011) carbon uptake and water release. Application to the large-scale models 605 606 included HR as an additional water flux term in the NCAR Community Atmospheric Model Version 2 (CAM2) coupled with the Community Land Model (CLM) (Lee et al. 2005) and in 607 CLM3 coupled with a dynamic global vegetation model (CLM3-DGVM) (Wang et al. 2011). 608 Results suggest inclusion of HR can increase dry season water use in the Amazon forests by 40% 609 610 (Lee et al. 2005), but may exacerbate plant water stress under extended drought if soil water is exhausted (Wang et al. 2011) – both efforts illustrate how a small change in root function can 611 result in substantial implication for global scale. HR is a process that should be included in large-612 scale models, but it will require consideration of depth specific soil-plant water dynamics, 613 internal competition for water within the plant vascular system (Sperry et al. 1998), plant water 614 capacitance (Scholtz et al. 2007) and nocturnal transpiration (Caird et al. 2007, Dawson et al. 615 2007, Fisher et al. 2007, Zeppel et al. 2012) to account for concurrent uptake and release 616 dynamics (Neumann and Cardon 2012). 617

618

#### 619 5. Ion uptake kinetics

620

Mineral ions are transported into the root cortex via mass flow, diffusion, or through mycorrhizal 621 absorption, which is particularly important for uptake of immobile nutrients such as phosphorus. 622 623 Movement through the plasma membrane of root endodermal cells is facilitated by a variety of passive or active transport proteins, including ATP-fueled ion pumps (Chrispeels et al. 1999). Ion 624 absorption kinetics vary by species depending upon the nutrient concentration, with multiple low 625 and high affinity mechanisms controlled by environmental conditions (Epstein 1966, Chapin 626 627 1980, Chrispeels et al. 1999, BassiriRad 2000). Root nutrient uptake kinetics are often measured on intact or excised roots under well hydrated conditions, i.e., not under water stress. In drought 628 629 tolerant woody sagebrush, nitrogen and phosphorus uptake rates were maintained or even increased under laboratory water potential stress, illustrating the uncoupling of water and 630 631 nutrient flux into the root (Matzner and Richards 1996). Under drying conditions, in situ nutrient absorption does not appear to be limited by uptake kinetics, but rather by diffusion of ions 632

through the soil to the root surface (Chapin 1980). Mycorrhizae can span soil-root gaps and helpto maintain a viable transport pathway from soil to root under drying conditions.

635

Absolute uptake kinetics for specific ions are thus a function of a variety of control points. 636 Improved mechanistic representation of ion uptake in models will require inclusion and 637 expanded consideration of Michaelis-Menten kinetics used in some TBMs (Fig. 4). One key 638 improvement would be to allow the kinetics to vary by depth in response to environmental 639 640 conditions such as temperature or soil water content (i.e., through the  $\beta$  stress scalar), weighted by specific root traits and root functional classes. Root hydraulic conductivity (i.e., aquaporin 641 function) is often upregulated by soil ion concentrations such as nitrate, resulting in whole plant 642 hydraulic signaling (Gorska et al. 2008, Cramer et al. 2009), increased root uptake kinetics 643 (Jackson et al. 1990) and proliferation of roots in resource rich areas (reviewed in Hodge et al. 644 2004). Such plasticity in function might require a multicomponent ion uptake kinetic model that 645 includes the appropriate regulatory and substrate parameters. One modeling framework to 646 647 consider involves a modification of the HYDRUS reactive transport model. The model was modified to allow a 'root adaptability factor' which compensates for reduced water and nutrient 648 uptake by stressed roots in resource poor areas by increasing uptake of roots in unstressed soil 649 (Simunek and Hopmans 2009). Such efforts to refine existing models through use of dynamic 650 scalars allows improved approximation of the processes inherent in more complex models, 651 without the necessity of novel modeling frameworks and collection of additional data. 652

653

## 654 6. Available Root data – a Serious Limitation

655

A fine balance exists between accurately representing ecological processes, and the added
uncertainty that comes with model complexity in terms of appropriate and accurate
parameterization, which may require regional or global data sets (Fisher et al., 2010). A
concentrated effort needs to be made to fill the gaps in the trait database to obtain accurate
representation of the trait space of terrestrial plants and ecosystems. There is a need for
development of databases across PFTs of both root distribution, root structure and root functional

traits that are linked to specific plant responses to environmental conditions. Recent investigation

of root traits of 96 subtropical angiosperm trees illustrates the broad variation and plasticity in

traits within a single PFT (Kong et al. 2014), as well as the necessity to identify trait covariance

and linkages to function (Iversen 2014). Key root traits to compile into databases include length,

diameter, order, display, age, C:N and mycorrhizal associations.

667

A wealth of belowground datasets exist globally – including detailed soil and physical 668 characteristics (described in Feddes 2001), and estimates of minimum, mean and maximum 669 rooting depths (e.g., Canadell 1996; Schenk and Jackson 2002) and root biomass, length and 670 nutrient content (Jackson et al. 1997) for different biomes. Characteristics of the root system 671 most amenable to use in TBM's include root biomass, depth distribution, production and 672 turnover, fine:coarse root ratios and nutrient content (Feddes 2001). Information on dynamic root 673 functioning under varied environmental conditions, however, remains disparate, non-674 standardized and dispersed. Certainly, there is an immense amount of data regarding root 675 phenotypic plasticity to water, nutrient and temperature treatments for different species, different 676 root anatomies and at various ontogenetic stages. For future application to TBMs, root functional 677 data should be linked with scalable root traits whenever possible (Iversen 2014), including 678 covariate plant traits (e.g., height, leaf area)(McCormack et al. 2012, Wullschleger et al. 2014), 679 and correlated to concurrent data collection of environmental conditions that regulate root 680 681 function (e.g., root depth, soil temperature, texture, water content and nutrient availability, atmospheric vapor pressure deficit, etc.) 682

683

684 Scaling root traits to the landscape level can be facilitated by leveraging the expansive research

and data derived from existing (e.g., Fluxnet, LTER, Critical Zone Observatories) and new (e.g.,

NEON, AnaEE) long term ecological research sites (described by Peters et al. 2014).

687 Observational studies can be nested in plots within an ecosystem (Bradford et al. 2010), within a

watershed (Anderson et al. 2010), or within the footprint of eddy covariance towers (Law et al.

689 2006) to provide scaling across the landscape. Such nested studies provide a valuable framework

to allow scaling of discrete mechanistic knowledge of root function to realized fluxes at the landsurface.

692

693 7. Novel modeling platforms

694

Many TBMs have guite complex interlinked source files and algorithms that when paired with 695 earth system models makes testing of specific mechanistic process simulations slow and difficult 696 (Wang et al. 2014). In addition, the structure is not easy to assess or comprehend by non-697 modelers, thereby excluding experimentalists from model development and improvement efforts. 698 However, new initiatives to pull out specific functional parameters from TBMs are promising. 699 700 For example, a new functional testing platform has been developed for CLM (the land component of the Community Earth System Model), which has successfully extracted the 701 photosynthetic sub-unit from CLM for testing and modification, and includes a user-friendly 702 GUI (Wang et al. 2014). Both extraction of belowground functional modules in current TBMs, 703 and addition of new modules (e.g. FUN, RADIX) provide a pathway for inclusion of novel or 704 refined root components that can lead to model improvements. In addition, TBMs can be run at 705 the 'point' scale, using site-specific parameters to inform model PFTs, to understand processes 706 operating in a plot or experimental manipulation (e.g., Ostle et al., 2009, De Kauwe et al., 2013; 707 Zaehle et al., 2014; Walker et al., in press). 708

709

An essential component to improve model representation of root functional processes is to

711 partition function throughout the soil profile, similar to how some models treat the leaf canopy.

712 Some TBMs are being improved to include more than energy or water dynamics in each soil

713 layer by addition of C and N dynamics through the soil profile (e.g., CLM4.5; Koven et al.,

2013). Root dynamics should be progressively integrated into those multilayered soil

formulations by moving beyond just a parameterized value of root distribution.

716

717 Specific model improvements might include the addition of spatial and temporal dynamics of

root production and turnover, and water/nutrient uptake kinetics linked to refined functional

classes of roots (i.e., based on traits such as length, diameter, order, display, age, C:N and
mycorrhizal associations ) that vary in their functional response to environmental conditions or
internal signals. The distribution of roots might be seasonally and annually dynamic to
proliferate (or upregulate function) into resource rich areas, and diminish in stressful, resource
poor areas (e.g., Schymanski et al. 2008). The differential root activity and turnover reflected by
such a model could further be linked to rhizosphere microbial carbon and nutrient cycling
processes.

726

# 727 IV. Conclusions

728

Interactions between plant roots and the surrounding soil environment (especially gradients, 729 distributions, and functions with depth) are required to accurately represent root uptake of 730 nutrients and water under changing environmental conditions, as well as plant C release to soils 731 (Grant, 1998). Current model distribution of roots is usually static and discrete and thus is not 732 representative of actual dynamic root exploration, function or turnover, nor linked to mechanistic 733 biotic and biogeochemical cycling within the rhizosphere. Despite substantial mechanistic 734 knowledge of root function, data assimilation, oversimplification and scaling issues continue to 735 limit detailed representation of roots in TBMs. Development of well-documented, error-checked 736 databases of root, soil and environmental dynamics are a priority that will be critical to porting 737 738 mechanistic function into TBMs – key examples include the successful plant trait-based TRY(Kattage et al. 2011) and photosynthetic *LeafWeb* (Gu et al. 2010) databases. Emphasis should be 739 placed on assessing model sensitivity to root processes, then development and refining the root 740 modules and functional testing platforms that can lead to improved mechanistic representing of 741 742 root processes in TBMs (Fig. 5). Promising root processes that might be included in future modeling activities include addition of dynamic root distribution, production and turnover, 743 proportions of highly active, ephemeral roots, mycorrhizal associations, dynamic water and ion 744 extraction, and hydraulic redistribution. Paired with new data compilation efforts, new model 745 746 tools, and new model development, the representation of roots in TBMs is expected to continue

to evolve and lead to advances in predictive capacity of carbon, water and energy fluxes at theland surface.

749

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#### 1281 Figure Titles

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1283 Figure 1. Diagram of the structural and functional characteristics of fine roots of plant root systems, and their interaction with the soil rhizosphere. Developing fine roots contain zones of 1284 active growth and function and zones where changes in anatomical tissue reduces root functions 1285 such as water or nutrient uptake. Water and solutes can move passively through the apoplast of 1286 the epidermis, cortex and young developing endodermis to the central vascular tissue. As the root 1287 tissue matures endodermal cell walls become suberized, at which point water and nutrients 1288 uptake into the symplast is regulated by passive or active transport proteins, such as aquaporins 1289 (water) or ion-pumps (mineral nutrients). Functionality of fine roots varies with characteristic 1290 morphological traits that are specific to species, and that respond to soil biotic and abiotic 1291 signals, such as mycorrhizae or soil drying.. In this diagram functions associated with nutrient 1292 uptake are presented in orange text, water transport in blue text, and carbon transport in green 1293 1294 text.

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**Figure 2.** Advanced techniques illustrate novel insight into root structure and dynamic root processes, such as (a) Ericaceous shrub roots and associated mycorrhizal hyphae and (b) a fungal rhizomorph from an automated minirhizotron system deployed in a peatbog (scale  $\sim 2.5 \times 3$  mm); (c) scanning electron micrograph of  $\sim 30-50$  µm-long root hairs of *Quercus rubra*; (d-g) neutron imaging time-series of water uptake and internal transport (orange colors) through corn seedlings over  $\sim 12$  hours following a pulse of water below the roots (blue). Such data can be used to validate model simulations of root structure, production, turnover and water uptake.

Figure 3. Root, whole plant, and terrestrial biosphere models (TBMs) in relation to spatial and temporal scales at which they operate. Mechanistic root processes are readily modeled for single roots, but process-based knowledge is dramatically lost for higher-order models, resulting in more static and less complex representation as spatial scale increases. Landscape-level bulk root distribution, water and nutrient uptake are estimated and not dynamic in most TBMs. Root traits can provide a framework for scaling dynamic root functions (such as fine root proliferation, loss of root conductivity, or hydraulic redistribution) into TBMs to improve model veracity – a
pathway indicated by the large arrow.

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Figure 4. Key root structural and functional attributes and their inclusion in several well-known
ecosystem and terrestrial biosphere models (TBMs) – filled circles represent model inclusion.
Dynamic root functions such as Michaelis-Menten (M-M) nutrient uptake kinetics, hydraulic
redistribution of water (HR) and downregulation due to low oxygen (Anoxia) are rarely included
in the models. Other functions such as water uptake are widely represented when linked
specifically to root depth, but rarely consider actual root biomass. Model references as in Tables
2, 3.

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Figure 5. Framework for assessment of root data, and its importance in scaling ecosystem 1321 function through root traits for modeling the terrestrial biosphere. (left) Root distribution is the 1322 most common dataset available, and is used in many TBMs to regulate water use (Fig 4). 1323 Improved modeling will include root structural traits (e.g., size, age, order, display, C:N, 1324 1325 mycorrhizal associations), and their associated functions (e.g., water and nutrient uptake, and carbon release through respiration, exudation and turnover). (right) Model evaluation should first 1326 assess the presence of roots or root functions, including both direct (e.g., water uptake based on 1327 root distribution) and indirect (e.g., nitrogen uptake based on plant demand) functions. Efforts 1328 1329 must be made to understand the role of roots for specific processes at the appropriate spatial and temporal scales (Fig 3). Key root functions should be prioritized based on current mechanistic 1330 1331 knowledge of root processes and dynamic biotic/abiotic regulation of those processes, as well as by their relative importance to the model. Addition of new root functionality to a model will 1332 1333 require development of trait databases that can be scaled across landscapes based on species and plant functional type (PFT) characteristics, soil and environmental conditions. 1334

Table 1.	Five individual pl	lant models that	represent c	arbon allo	ocation, re	oot architecture	and uptake	of water and	l nutrients.
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<b>Model</b> ROOTMAP	Allocation Calculates balance between plant demand and the capacity of individual roots to supply soil resources, to drive allocation of assimilates and resultant growth of root tips and branching	Architecture Basic attributes affecting growth are elongation rate, branching density, direction, initiation times, and duration of apical non-branching with sensitivities to temperature and soil density	Acquisition Water uptake is based on a sink term; nitrate uptake is an approximate solution to the convection–dispersion equation using Michaelis-Menten kinetics	Reference Diggle, 1988; Dunbabin et al., 2002; Dunbabin et al., 2003
Root Typ	Allocation to growth occurs at a potential rate for all sinks when sufficient carbohydrate is available; else, reduced growth is determined <i>with</i> or <i>without</i> competing source-sink priorities	Root tips interact with soil temperature, mechanical impedance, and oxygen status to determine root elongation, direction, branching, radial growth, decay, and abscission	Water transfer into and along the root is represented by a set of connected hydraulic axial conductances and radial conductivities distributed within the root system	Pagès et al., 1989; Thaler and Pagès, 1998; Pagès et al., 2004; Doussan et al., 2006
R-SWMS	Root growth is described in three ways; most complex application root growth is a function of dynamic allocation of assimilate to shoot and root (Level 3)	Root axes are generated at defined times; branching and spacing are a function of root age; sensitive to temperature, soil strength, and solute concentration	Water transfer represented by axial and radial conductances as a function of root age and root type; nutrient transport described by convection-diffusion equation	Somma et al., 1998; Javaux et al., 2008; de Willigen et al. 2012
<i>Sim</i> Root	Carbon allocation rules based on a hierarchical binary partitioning method where sink strength, priority, and limits determine the carbon allocated to competing sinks	Spatial patterns determined by types of root branches, branch angles, growth velocities, and sensitivities to temperature, nutrient stress, and carbon availability	Nutrient (N, P, K) uptake is a function of root class, root development, root hair development, and intra-root competition; water uptake not represented in current model	Nielsen et al., 1994; Lynch et al., 1997; Postma and Lynch, 2011a, b
SPACSYS	Roots receive photosynthate with the highest priority; allocation is dependent on plant developmental stage; elongation and volume expansion depend on carbohydrate supply	Root system develops based on elongation rates of various root types, growth direction, branching, and mortality; processes are sensitive to soil temperature, soil strength, and solute concentration	N uptake depends on the concentration of nutrient at the root surface and the kinetics of uptake; water uptake is determined by a localized extraction function modified by soil water potential	Wu and McGechan, 1998; Wu et al., 2007

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Model	Time step	Allocation		Architecture/ Distribution	Acquisition /Ecosystem Function			
		Carbon	Phenology	By depth	Water uptake	N uptake	Root Turnover & C loss	
ECOSYS <sup>1</sup>	Hourly	Functional balance of N, P Demand adjusted so that allocation increases when root storage C:N/C:P > than that required to support new growth	Remaining C from R – MR is available for GR subject to water and N&P status; resistance from soil and root and myco turgor. Allocated to each root by comparative conductance	Controlled by primary root growth, distribution by primary root length and secondary root lengths	<i>f</i> (root radial and axial resistances, soil water content) Uptake (Q) = (psi <sub>shoot</sub> – psi <sub>soil</sub> ) / (sum of radial and axial resistances)	f(root N, P) diffusion, mass transport, adsorption, microbial immobilization so that uptake = solution concentration at root surface. Demand adjusted so that uptake is inhibited when root storage C:N/C:P > than that required to support new growth	Maintenance respiration (MR, priority): $f(soil)$ temperature, $O_2$ )Growth respiration (GR): $f(water, N, P)$ Nutrient uptake respiration (NuR): Exudation Turnover: if(MR < M + GR) M&GR = f(T,O2 status,comparative C conductance,turgor)	
G'DAY <sup>2</sup>	Daily / Weekly	Fixed fraction of NPP	None	None	Assumed non-limiting; no specific uptake function Updated model version will have two layers with root proportion linked to uptake	Not root-specific: fixed fraction of net soil N mineralization	<b>Respiration:</b> Fixed fraction of GPP; not root- specific <b>Exudation:</b> Fixed fraction of NPP <b>Turnover:</b> Equal to 1.0	
SPA <sup>3</sup>	30 minutes	Prescribed	None	Maximum root biomass per unit soil volume prescribed; exponential decline in biomass with depth to a prescribed maximum rooting depth. Dmax input parameter as is max root mass in soil volume	f(root and soil hydraulic) resistance, root biomass and distribution, soil water content) Emax = (psi <sub>shoot</sub> – psi <sub>soil</sub> ) / (sum of plant resistances) capacitance accounted for psi <sub>soil</sub> is weighted by root distribution and soil resistance	None	None	

 Table 2. The representation of carbon allocation, root architecture and uptake of water and nutrients in a subset of ecosystem models.

Model	Time step	Allocation		Architecture/ Distribution	Acquisition /Ecosystem Function				
TEM <sup>4</sup>	1 month	none	none	Max rooting depth used to estimate water availability	<i>f</i> (ET demand, soil properties, SWC)	<i>f</i> (soil available N, SWC, C:N energy balance)	f (NPP), above and belowground C loss is single term		

<sup>1</sup>Grant 1998, <sup>2</sup>McMurtrie et al. 2000, <sup>3</sup>Williams et al. 1996, <sup>4</sup>Raich et al. 1991

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Model Architecture/ Time Step Allocation Acquisition /Ecosystem Function Distribution Carbon N uptake Root Turnover & C Phenology By depth Water uptake loss CLM4.0<sup>1</sup> If supply > demand, Fixed fraction (1:1 leaf Same as leaf CLM4.0 Linked 1:1 to leaf 30 minutes f (plant demand, CLM4.5<sup>2</sup> allocation) Double-exponential root distribution, N uptake = demand turnover for water (PFT soil matric to meet growth specific) potential) requirements CLM4.5 If supply < demand, Double-exponential N uptake = f(soil)for water; mineral N, plant exponential for C demand . microbial inputs (PFT demand) specific) (no root dependence) CABLE<sup>3</sup> Phased, opposite to leaf 30 minutes Fixed fraction (varied Decreasing f (plant demand, f(soil mineral N, Fixed fraction by phenological phase) proportion with root proportion, phenology plant demand) depth SWC) LM3<sup>4</sup> 30 minutes Functional balance: to Same as leaf Michaelis-Menten maintain root:shoot kinetics f (soil ratio, root:shoot ratio mineral N, root *f*(water stress) mass) JULES<sup>5</sup> 30 minutes Fixed fraction (1:1 leaf Growth: same as leaf Exponential f (plant demand, Fixed fraction na 0.15-0.25 yr<sup>-1</sup> allocation) Turnover: fixed fraction root proportion, SWC) O-CN<sup>6</sup> 30 minutes Functional balance: to balance between allocation Decreasing with f (plant demand, Michaelis-Menten *f*(age) mean turnover rate of 0.7 yr<sup>-1</sup> depth (2 soil layers) to 1 day maintain root:shoot and turnover root proportion, kinetics f (soil ratio, root:shoot ratio SWC) mineral N, root mass, *f*(water or N stress) plant demand, temperature) SDGVM<sup>7</sup> 1 day Fixed fraction: 0.0015 If GPP > 0Fixed proportions f (plant demand, f (age) and selff(soil C)

**Table 3.** The representation of carbon allocation, root architecture and uptake of water and nutrients in a subset of terrestrial biosphere models (TBMs) and dynamic global vegetation models.

Model	Time Step	Allocation		Architecture/ Distribution	Acquisition /Ecosystem Function		
		of labile C pool		through 4 soil layers. 0.5,0.3,0.15,0.05	root proportion, SWC)		thinning mortality
LPJ-GUESS <sup>8</sup>	1 day	Functional balance: to maintain root:shoot ratio, root:shoot ratio <i>f</i> (water or N stress)	None	Decreasing with depth (2 soil layers)	<i>f</i> (plant demand, root proportion, SWC)	f (soil mineral N, root mass, plant demand, soil T)	Fixed fraction 0.5–0.7 yr <sup>-1</sup>
MBL-GEM III <sup>9</sup>	1 month	Functional balance	Result of allocation	None	na	f (root N content, air T)	Fixed fraction 0.164 yr <sup>-1</sup>
DVM-DOS- TEM <sup>10</sup>	1 month	Fixed fraction	Same as leaf	Exponential to max rooting depth	<i>f</i> (plant demand, root proportion, SWC)	<i>f</i> (plant demand, root proportion and mass, root respiration, air T, SWC, available soil N)	f (standing crop, production) 0.25–1 yr <sup>-1</sup>

<sup>1</sup>Thornton et al. 2007, Oleson et al. 2010, <sup>2</sup>Koven et al. 2013, Oleson et al. 2013, <sup>3</sup>Wang et al. 2010, <sup>4</sup>Gerber et al. 2010, <sup>5</sup>Clark et al. 2011, <sup>6</sup>Zaehle and Friend 2010, <sup>7</sup>Woodward and Lomas 2004, <sup>8</sup>Smith et al. 2013, <sup>9</sup>Rastetter et al. 1991, <sup>10</sup>Euskirchen et al. 2009



Figure 1. Diagram of the structural and functional characteristics of fine roots of plant root systems, and their interaction with the soil rhizosphere. Developing fine roots contain zones of active growth and function and zones where changes in anatomical tissue reduces root functions such as water or nutrient uptake. Water and solutes can move passively through the apoplast of the epidermis, cortex and young developing endodermis to the central vascular tissue. As the root tissue matures endodermal cell walls become suberized, at which point water and nutrients uptake into the symplast is regulated by passive or active transport proteins, such as aquaporins (water) or ion-pumps (mineral nutrients). Functionality of fine roots varies with characteristic morphological traits that are specific to species, and that respond to soil biotic and abiotic signals, such as mycorrhizae or soil drying.. In this diagram functions associated with nutrient uptake are presented in orange text, water transport in blue text, and carbon transport in green text. 746x477mm (72 x 72 DPI)



Figure 2. Advanced techniques illustrate novel insight into root structure and dynamic root processes, such as (a) Ericaceous shrub roots and associated mycorrhizal hyphae and (b) a fungal rhizomorph from an automated minirhizotron system deployed in a peatbog (scale ~2.5 × 3 mm); (c) scanning electron micrograph of ~30-50 µm-long root hairs of Quercus rubra; (d-g) neutron imaging time-series of water uptake and internal transport (orange colors) through corn seedlings over ~12 hours following a pulse of water below the roots (blue). Such data can be used to validate model simulations of root structure, production, turnover and water uptake.

344x195mm (150 x 150 DPI)



Figure 3. Root, whole plant, and terrestrial biosphere models (TBMs) in relation to spatial and temporal scales at which they operate. Mechanistic root processes are readily modeled for single roots, but processbased knowledge is dramatically lost for higher-order models, resulting in more static and less complex representation as spatial scale increases. Landscape-level bulk root distribution, water and nutrient uptake are estimated and not dynamic in most TBMs. Root traits can provide a framework for scaling dynamic root functions (such as fine root proliferation, loss of root conductivity, or hydraulic redistribution) into TBMs to improve model veracity – a pathway indicated by the large arrow.

340x290mm (150 x 150 DPI)



Figure 4. Key root structural and functional attributes and their inclusion in several well-known ecosystem and terrestrial biosphere models (TBMs) – filled circles represent model inclusion. Dynamic root functions such as Michaelis-Menten (M-M) nutrient uptake kinetics, hydraulic redistribution of water (HR) and downregulation due to low oxygen (Anoxia) are rarely included in the models. Other functions such as water uptake are widely represented when linked specifically to root depth, but rarely consider actual root biomass. Model references as in Tables 2, 3.

963x861mm (81 x 81 DPI)



Figure 5. Framework for assessment of root data, and its importance in scaling ecosystem function through root traits for modeling the terrestrial biosphere. (left) Root distribution is the most common dataset available, and is used in many TBMs to regulate water use (Fig 4). Improved modeling will include root structural traits (e.g., size, age, order, display, C:N, mycorrhizal associations), and their associated functions (e.g., water and nutrient uptake, and carbon release through respiration, exudation and turnover). (right) Model evaluation should first assess the presence of roots or root functions, including both direct (e.g., water uptake based on root distribution) and indirect (e.g., nitrogen uptake based on plant demand) functions. Efforts must be made to understand the role of roots for specific processes at the appropriate spatial and temporal scales (Fig 3). Key root functions should be prioritized based on current mechanistic knowledge of root processes and dynamic biotic/abiotic regulation of those processes, as well as by their relative importance to the model. Addition of new root functionality to a model will require development of trait databases that can be scaled across landscapes based on species and plant functional type (PFT) characteristics, soil and environmental conditions.

239x210mm (150 x 150 DPI)