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## RPA Antagonizes Microhomology-Mediated Repair of DNA Double-Strand Breaks

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### Abstract

Microhomology-mediated end joining (MMEJ) is a Ku and Ligase IV independent mechanism for repair of DNA double-strand breaks, which contributes to chromosome rearrangements. Here we used a chromosomal end-joining assay to determine the genetic requirements for MMEJ in *Saccharomyces cerevisiae*. We found that end resection influences the ability to expose microhomologies; however, it is not rate limiting for MMEJ in wild-type cells. The frequency of MMEJ increased by up to 350-fold in *rfa1* hypomorphic mutants, suggesting that replication protein A (RPA) bound to the ssDNA overhangs formed by resection prevents spontaneous annealing between microhomologies. *In vitro*, the mutant RPA complexes were unable to fully extend ssDNA and were compromised in their ability to prevent spontaneous annealing. We propose the helix-destabilizing activity of RPA channels ssDNA intermediates from mutagenic MMEJ to error-free homologous recombination, thus preserving genome integrity.

### INTRODUCTION

Chromosomal double-strand breaks (DSBs) are cytotoxic lesions that occur spontaneously during normal cellular processes, by treatment of cells with DNA damaging agents or as intermediates in programmed recombination events. Failure to repair DSBs or inappropriate repair can lead to chromosome loss, deletions, duplications or translocations. Two mechanistically distinct pathways have evolved to repair DSBs: homologous recombination (HR) and non-homologous end joining (NHEJ). HR relies on an intact homologous duplex to serve as a template for repair while NHEJ involves the direct ligation of DSB ends.

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#### AUTHOR CONTRIBUTIONS

S.K.D., B.G., E.C.G. and L.S.S. designed experiments and wrote the paper. Experiments in Figures 1 and 2, and Supplementary Figures were carried out by S.K.D., in Figure 3 by S.K.D. and B.G., and in Figure 4 by S.K.D and M.J.A.

Canonical NHEJ is defined as Ku and Ligase IV dependent and can occur with high fidelity or be associated with small deletions or insertions at the junctions<sup>1</sup>. NHEJ junctions exhibit either no homology or short (1–4 bp) microhomologies (MH). In the absence of Ku or Ligase IV, end joining occurs at a reduced frequency and is characterized by larger deletions with longer MH at the junctions<sup>2</sup>. There may be several distinct alternative end-joining pathways in mammals, but in budding yeast Ku-independent ligation is exclusively by microhomology-mediated end joining (MMEJ)<sup>3,4</sup>.

Mechanistically, MMEJ is similar to single-strand annealing (SSA), a pathway that can be used to repair DSBs formed between long direct repeats<sup>5</sup>. Both processes initiate by nucleolytic degradation of the 5' strands of DSBs to yield 3' single-stranded DNA (ssDNA) tails, a process referred to as 5'–3' resection<sup>6</sup>. Resection is required to expose homologies internal to the DNA ends that are subsequently annealed resulting in loss of one of the repeats and sequence between them.

Studies in budding yeast have shown that the conserved Mre11-Rad50-Xrs2 (MRX) complex (Mre11-Rad50-Nbs1 in mammals), together with Sae2, initiates end resection while extensive processing of the 5' strands requires the 5'–3' exonuclease, Exo1, or the combined activities of the Sgs1 helicase and Dna2 endonuclease<sup>6</sup>. MRX and Sae2 can act directly to initiate resection by endonucleolytic cleavage of the 5' strand resulting in limited end processing, or MRX acts indirectly by recruiting Sgs1, Dna2 and Exo1<sup>7–9</sup>. Although Sae2 and the Mre11 nuclease activity are essential to remove covalent adducts from ends, they are dispensable for resection of endonuclease-induced DSBs and function only to accelerate resection initiation<sup>10</sup>. In contrast, *Schizosaccharomyces pombe* or mammalian cells depleted of the Sae2 ortholog, Ctp1 or CtIP, respectively, show greatly reduced end resection initiated from an endonuclease-induced DSB, similar to loss of the MRN complex<sup>11–13</sup>. Consistent with the requirement for end resection to reveal MH internal to DSB ends, short interfering RNA (siRNA) knockdown of *CtIP*, reduces the frequency of MMEJ<sup>14–16</sup>. Furthermore, siRNA knockdown of CtIP reduces the frequency of DSB-induced translocations in mouse cells, and the translocation breakpoints are associated with shorter deletions and with reduced MH usage<sup>17</sup>. In mouse and yeast cells elimination of Mre11 reduces both NHEJ and MMEJ, but the junctions recovered after Mre11 depletion in mouse cells do not show a change in the length of MH<sup>4,18–21</sup>.

Annealing between exposed homologies is critical for MMEJ and SSA. Rad52 catalyzes annealing of complementary ssDNA *in vitro* and is required for SSA *in vivo*<sup>22,23</sup>; however, its role in MMEJ is controversial. MMEJ between chromosomal MH of  $\leq 4$  bp was reported to be Rad52 independent<sup>24</sup>, whereas annealing of complementary ssDNA overhangs of >8 nt in a plasmid end-joining assay was shown to be partially Rad52 dependent<sup>25</sup>. A recent study in yeast showed the efficiency of MMEJ is exquisitely sensitive to the length of MH, and sequence composition and distance between the DSB and MH also influence repair efficiency<sup>24,25</sup>. These findings suggest annealing between MHs is spontaneous and driven by the thermal stability of the annealed sequence.

RPA is a heterotrimeric ssDNA binding protein (encoded by *RFA1*, *RFA2* and *RFA3* in *S. cerevisiae*), required for multiple DNA transactions that involve ssDNA<sup>26</sup>. RPA prevents

spontaneous annealing between complementary ssDNA *in vitro*; however, this inhibitory effect can be overcome by Rad52<sup>27</sup>. The hypomorphic *rfa1-D228Y* allele was identified as a suppressor of the *rad52Δ* SSA defect<sup>28,29</sup>. Genetic screens identified several other *RFA1* alleles (*rfa1-t11*, *rfa1-t33* and *rfa1-t48*) that impart sensitivity to DNA damaging agents, and reduce mitotic and meiotic recombination<sup>30,31</sup>. Of the *rfa1* alleles tested, none reduce end resection, but the *rfa-t11* mutant is defective for SSA. A recent study showed that complete depletion of RPA from yeast cells prevents long-range resection by Exo1 and Sgs1-Dna2, and short (5–9 bp) inverted repeats within the partially resected ends anneal to form hairpin structures, suggesting one important function of RPA is to prevent spontaneous annealing between MH *in vivo*<sup>32</sup>.

Here we used a chromosomal end-joining assay, to examine the requirement for end resection and strand annealing during MMEJ. We found that resection influences the ability to expose MH but is not rate limiting, especially when MHs are close to the break ends. Furthermore, our studies revealed a role for RPA in preventing MMEJ, suggesting that annealing between MH is spontaneous in budding yeast and this critical step is inhibited by RPA bound to ssDNA.

## RESULTS

### Resection initiation prevents NHEJ repair of DSBs

We developed a chromosomal end-joining assay to elucidate the role of resection initiation in directing repair by MMEJ or NHEJ in yeast. Two inverted 18-bp *I-SceI* endonuclease cleavage sites separated by a 4 bp linker and flanked by 12 bp direct repeats corresponding to *ADE2* coding sequence were inserted within the *ADE2* ORF, inactivating the gene. Inverted *I-SceI* cut sites were used to minimize multiple cycles of repair by accurate ligation and re-cleavage by *I-SceI*<sup>4</sup>. The 12 bp repeats were designed to restore the *ADE2* coding region when repair occurs by MMEJ (Figure 1a). Yeast *ade2* mutants accumulate a red pigment resulting in formation of red colonies, whereas wild type *ADE2* cells form white colonies; thus, repair by MMEJ is directly scored by formation of white *Ade*<sup>+</sup> colonies. Other types of end joining generate red *Ade*<sup>-</sup> colonies. The haploid strains used express the gene encoding *I-SceI* from the galactose inducible *GALI-10* promoter stably integrated at the *lys2* locus. End joining is the only mechanism available to repair the *I-SceI* generated DSB because there is no homologous template and survival is measured by the plating efficiency of cells on medium containing galactose (*I-SceI* on) compared with medium containing glucose (*I-SceI* off).

The survival frequency of wild-type cells was 0.0036 and a majority (~98%) of survivors were *Ade*<sup>-</sup> (Figure 1b, Table 1). DNA sequencing revealed that 95% of the *Ade*<sup>-</sup> survivors repaired the DSB by NHEJ and most used the 2 bp MH within the 3' ATAA overhangs produced by *I-SceI* cleavage (Supplementary Figure 1). The remaining *Ade*<sup>-</sup> events resulted from MMEJ between imperfect 16 bp repeats (2 mismatches within 18 bp) located 5 kb apart (Supplementary Table 1). *Ade*<sup>+</sup> survivors were also sequenced and 31/37 used the 12 bp MH, but, unexpectedly, six events were due to NHEJ using the 2 bp MH within the 3' overhangs associated with a frame-shift, or by deletion of 4 bp, to restore the *ADE2* reading

frame (Supplementary Figure 1). The Ade<sup>+</sup> NHEJ events represent <1% of the total NHEJ events and are combined with the Ade<sup>-</sup> NHEJ class in Table 1.

Survival of *yku70Δ* and *dnl4Δ* mutants was reduced by >24-fold as compared to wild type. The Ade<sup>-</sup> and Ade<sup>+</sup> events due to joining via the 2 bp MH within the I-SceI generated overhangs were eliminated in the *yku70Δ* and *dnl4Δ* mutants consistent with their formation by NHEJ. Although 53.0 and 39.1% of survivors in the *yku70Δ* and *dnl4Δ* mutants, respectively, were Ade<sup>+</sup> due to MMEJ at the 12 bp MH, the absolute frequency of these events was the same as wild type (Figure 1b). The majority of Ade<sup>-</sup> survivors recovered from the *yku70Δ* and *dnl4Δ* mutants were formed by MMEJ using the 16 bp MH, but other MHs were identified at the junctions at a lower frequency (Supplementary Table 1). Consistent with previous studies<sup>4,19,33,34</sup>, we found the frequency of survivors to be greatly reduced in the *mre11Δ* mutant due to loss of NHEJ; however, the frequency of Ade<sup>+</sup> MMEJ was higher than wild type ( $P=0.0001$ ) (Figure 1b).

Survival of the *sae2Δ* mutant was increased by 7.4-fold relative to wild type due to an increased frequency of NHEJ. The absolute frequency of MMEJ using the 12 bp MH was similar to wild type, but no Ade<sup>-</sup> MMEJ events were detected. Only 5 of 100 Ade<sup>-</sup> survivors tested from wild type were due to MMEJ so the failure to detect one event among 120 analyzed from the *sae2Δ* mutant is not unexpected given the large increase in the NHEJ frequency. Similarly, survival and NHEJ increased by 4.7-fold in the *mre11-H125N* mutant (defective for the Mre11 nuclease activity) with no alteration in the frequency of MMEJ. End resection of endonuclease-induced DSBs is delayed in *mre11Δ*, *mre11-H125N* and *sae2Δ* mutants, but still occurs as a result of Exo1 and Sgs1-Dna2 activities. Since the triple mutants are inviable<sup>7</sup>, we could not assess the contribution of Sgs1-Dna2 and Exo1 to MMEJ in the absence of Mre11 or Sae2.

To further assess the role of Sae2 in MMEJ, a plasmid-based end-joining assay was used. Intra-molecular joining between the ends of a PCR-generated DNA fragment containing *TRP1* and the *ARS416* replication origin creates an autonomous replicon, detected by formation of Trp<sup>+</sup> transformants (Supplementary Figure 2a). Primers were designed to create a substrate for blunt end joining (0 MH), or with an embedded direct repeat of 8, 12 or 16 bp to promote joining by MMEJ. We found blunt end joining is infrequent, but incorporation of repeats within the primers resulted in a length dependent increase in transformation frequency. The frequency of Trp<sup>+</sup> transformants from the 0 MH substrate was increased by 5-fold ( $P=0.01$ ) in the *sae2Δ* mutant compared with wild type (Supplementary Figure 2), but no significant change in the frequency of MMEJ was observed. Sequence analysis of junctions derived from the 12 MH substrate in the *sae2Δ* mutant revealed that 23/27 were formed by MMEJ, whereas all were due to MMEJ in wild type, indicating a subtle defect in MMEJ in the absence of Sae2 (Supplementary Figure 2d). Together, these results show that Sae2 prevents NHEJ, but is not essential for MMEJ in yeast.

### Extensive resection is not required for proximal MMEJ

Exo1 and Sgs1-Dna2 act in parallel to degrade the 5' ends of DSBs generating extensive tracts of ssDNA. In the absence of Exo1 and Sgs1-Dna2, resection by MRX-Sae2 removes

nucleotides from the 5' ends in increments of ~100 nt, but the 3' ssDNA tails rarely exceed 700 nt<sup>7,9</sup>. Thus, we predicted the limited MRX-Sae2 dependent resection in the *exo1Δ sgs1Δ* mutant would be sufficient for MMEJ at the 12 bp repeats, but not for the Ade<sup>-</sup> MMEJ events. There was a small, but significant increase in both NHEJ and Ade<sup>+</sup> MMEJ events in the *exo1Δ* mutant (P<0.05), but no alteration in the frequency or spectrum of events in the absence of Sgs1 (Figure 1d). The frequency of Ade<sup>+</sup> MMEJ increased by 24-fold in the *exo1Δ sgs1Δ* mutant indicating that loss of extensive resection promotes MMEJ close to the DSB, and, as anticipated, no Ade<sup>-</sup> MMEJ events were recovered (Figure 1d). Because Ade<sup>-</sup> survivors are primarily due to NHEJ, we created a *yku70Δ* derivative of the *exo1Δ sgs1Δ* mutant to ensure no contribution from NHEJ and found all of the survivors from the triple mutant were Ade<sup>+</sup> as a result of MMEJ using the 12 bp direct repeats (Table 1).

### RPA suppresses MMEJ

As resection is not limiting for end joining via the 12 bp MH, but the frequency of chromosomal MMEJ is very low, we considered the possibility that annealing is the limiting process. RPA removes secondary structures from ssDNA suggesting that it may prevent annealing between MH<sup>27,32</sup>. Furthermore, Smith and Rothstein identified a hypomorphic allele of *RFA1* in a screen for suppressors of the SSA defect of the *rad52Δ* mutant, indicating that Rad52 catalyzed ssDNA annealing is no longer needed when RPA is defective<sup>28,29</sup>. Thus, we predicted the frequency of MMEJ would be elevated in the *rfa1-D228Y* mutant. Indeed, the frequency of Ade<sup>+</sup> and Ade<sup>-</sup> MMEJ events increased by 124-fold and 14-fold, respectively, in the *rfa1-D228Y* background, resulting in higher cell survival in response to the DSB (Figure 2a and Table 1). The frequency of Ade<sup>-</sup> NHEJ remained at a similar level to wild type and no Ade<sup>+</sup> NHEJ events were recovered.

The defect of the *rfa1-D228Y* mutant could be due to reduced abundance of the RPA(D228Y) complex or to an alteration in DNA binding by the mutant complex. Smith and Rothstein showed that over-expression of *rfa1-D228Y* could partially suppress the UV-sensitivity and hyper-recombination phenotype of the *rfa1-D228Y* mutant<sup>28</sup>. We verified that the steady state level of *rfa1-D228Y* is reduced relative to *Rfa1* (Figure 2b and Supplementary Figure 3), but found that over-expression of the *rfa1-D228Y* allele in the *rfa1-D228Y* background did not reduce MMEJ, whereas over-expression of *RFA1* resulted in a full suppression (Figure 2c).

Since *rfa1-D228Y* was identified in a screen for mutations that suppressed the *rad52Δ* direct repeat recombination defect, the increase in MMEJ could be due to a specific property of this allele rather than a general perturbation of RPA binding to ssDNA. The *rfa1-t48* (L221P), *rfa1-t33* (S373P), and *rfa1-t11* (K45E) mutations were isolated by screening for *RFA1* alleles that confer a temperature sensitive, UV- or MMS- sensitive phenotype<sup>31</sup>. Like *rfa1-D228Y*, *rfa1-t48* and *rfa1-t33* contain point mutations in the DNA binding domains, while *rfa1-t11* has a mutation in the DNA Polα interaction domain. The *rfa1-t48* and *rfa1-t11* mutants exhibit recombination defects, and *rfa1-t11* is also defective for SSA<sup>30,31</sup>. The SSA defect of the *rfa1-t11* mutant is not due to decreased end resection, and *in vitro* studies demonstrated that Rad51 more slowly displaces RPA(t11) from ssDNA than wild-type RPA<sup>35,36</sup>. The *rfa1-t33* and *rfa1-t48* mutations increased the frequency of Ade<sup>+</sup> MMEJ by

85 and 350-fold, respectively as compared to wild type, while MMEJ was unaffected by the *rfa1-t11* mutation (Figure 2a). The steady state protein level of the mutant *rfa1* proteins was 61–80% of wild type level and did not correlate with the MMEJ frequency (Figure 2b). Since the Ade<sup>-</sup> MMEJ events require resection of 5 kb, and this class of events also increased in the *rfa1-D228Y*, *rfa1-t33* and *rfa1-t48* mutants, it seems unlikely that the increased use of MHs in the *rfa1* mutants is due to an extensive resection defect. However, to address this concern we measured end resection from an HO endonuclease-induced DSB in the *rfa1-D228Y*, *rfa1-t33* and *rfa1-t48* mutants by Southern blot hybridization, and found the mutants to be resection proficient (Supplementary Figure 4). These data suggest that the interaction between RPA and ssDNA is a critical determinant for repair by MMEJ.

In addition to MMEJ mediated by the 12 and 16 bp MHs, we identified other MHs used for repair in the *rfa1* hyper-MMEJ mutants (Supplementary Table 1). In *RFA1* cells, the only MMEJ events detected were mediated by the 12 and 16 bp MH; however, junctions with shorter MHs or MHs with more interruptions and mismatches were recovered from the *rfa1-D228Y*, *rfa1-t33* and *rfa1-t48* mutants. Use of other MH was also observed in the *yku70Δ* and *dnl4Δ* mutants but the frequency was >10-fold lower than found for the *rfa1* mutants. Therefore, mutations that impair Rfa1 DNA binding allow for more promiscuous annealing.

Although end resection is not limiting for MMEJ in wild-type cells, we considered the possibility that Sae2 would be required if the constraint on MH annealing was reduced. Surprisingly, we were unable to generate an *rfa1-D228Y sae2Δ* double mutant (Figure 2d). The *rfa1-D228Y rad51Δ* and *rfa1-D228Y rad52Δ* mutants are viable indicating that the *rfa1-D228Y sae2Δ* lethality is not due to an increased need for HR in the *rfa1-D228Y* background. It is possible that promiscuous annealing in the *rfa1-D228Y* mutant leads to formation of secondary structures within ssDNA that require Sae2 for resolution<sup>37,38</sup>. The *rfa1-t33* mutation confers a temperature-sensitive growth defect and we were able to generate an *rfa1-t33 sae2Δ* double mutant by germinating the spore clones at 23°. The Ade<sup>-</sup> and Ade<sup>+</sup> MMEJ frequencies were both significantly lower for the *rfa1-t33 sae2Δ* double mutant than observed for the *rfa1-t33* single mutant ( $P < 0.001$ ) indicating that resection becomes limiting when the barrier to annealing is lifted (Figure 2e).

The *rfa1-D228Y* mutant was reported to be defective for inter-chromosomal recombination raising the possibility that the increased frequency of MMEJ is due to reduced competition with HR (see below)<sup>28</sup>. To investigate whether *rfa1-D228Y* cells are defective for DSB-induced gene conversion, we used the well-characterized mating type switching system (Supplementary Figure 4). The repair efficiency of the *rfa1-D228Y* mutant was slightly reduced (71% of the level observed for wild type), indicating that the *rfa1-D228Y* mutant is largely proficient for Rad51 loading and gene conversion repair. Thus, we attribute the increased MMEJ frequency of the *rfa1-D228Y* mutant to be due to increased spontaneous annealing rather than defective HR.

### RPA mutant complexes are defective for DNA binding *in vitro*

The increased MMEJ observed for the *rfa1* mutants suggests the mutant complexes are compromised in their ability to prevent spontaneous annealing between short homologies. To test this hypothesis, the RPA(t33) and RPA(t48) mutant complexes were purified



following expression in *E. coli*<sup>39</sup> and then tested for their ability to inhibit annealing of complementary oligonucleotides *in vitro*. We were unable to purify RPA(D228Y) due to instability of the complex. Strand annealing was quantified by formation of duplex product after incubating a <sup>32</sup>P-labeled 48-mer oligonucleotide with an unlabeled complementary oligonucleotide in the absence or presence of RPA. After 8 minutes at 30°, 78% of the ssDNA oligonucleotide spontaneously annealed to form dsDNA product in the absence of added protein (Figure 3a). Consistent with a previous study<sup>27</sup>, less than 10% of the oligonucleotide annealed to its complement in the presence of wild-type RPA, whereas ~30% was annealed when incubated with RPA(t33) or RPA(t48).

To further characterize the interaction of the mutant RPA complexes with ssDNA we used a DNA curtain assay and total internal reflection fluorescence microscopy (TIRFM) to directly visualize the binding of fluorescently-tagged RPA to long ssDNA molecules in real time (Figure 3c)<sup>39,40</sup>. Biotinylated ssDNA was generated by rolling circle replication and anchored to a lipid bilayer on the surface of a microfluidic sample chamber. The ssDNA was not fluorescent and remained highly compacted due to formation of extensive secondary structure. When eGFP-tagged wild-type RPA (RPA-eGFP) is injected it can bind to the tethered ssDNA, allowing it to be visualized and also causing an increase in observed contour length due to removal of secondary structure (Figure 3d, upper panel)<sup>39</sup>. RPA remains bound to the ssDNA with a half-life exceeding 2-hours when free RPA is not present in solution. However, ssDNA-bound RPA can also undergo much more rapid concentration-dependent turnover when free RPA is present in solution through a mechanism consistent with free RPA causing macroscopic dissociation of a microscopically dissociated RPA-ssDNA intermediate; this rapid protein exchange can be visualized as a change in fluorescence color of the ssDNA when switching between RPA-eGFP and RPA-mCherry (Figure 3d, e)<sup>40,41</sup>. The exchange of RPA-eGFP for RPA-mCherry does not coincide with a change in the observed extension of the ssDNA.

RPA(t33)-eGFP and RPA(t48)-eGFP both bound to the ssDNA, however, neither was able to extend the ssDNA to the same extent as was observed for the RPA-eGFP (Figure 3d, lower panels). This defect in removal of ssDNA secondary structure was revealed by allowing the binding reactions to continue for 20 minutes with the mutant RPAs, and then chasing with the same concentration of RPA-mCherry, which resulted in both rapid exchange of the mutant RPA for the RPA-mCherry and a corresponding increase in the extension of the ssDNA substrates. Exchange of RPA(t33)-eGFP and RPA(t48)-eGFP for RPA-mCherry resulted in a ~33% and ~84% increase in the observed ssDNA extension, respectively. The effect was most pronounced with RPA(t48)-eGFP, indicating that this mutant exhibits the most profound defect in secondary structure removal. In addition, quantification of the loss of eGFP signal upon injection of RPA-mCherry revealed that both RPA mutants dissociated from the ssDNA approximately 6-fold more rapidly than wild-type RPA-eGFP (Figure 3f). Taken together, the *in vitro* studies support the hypothesis that RPA(t33) and RPA(t48) allow more spontaneous annealing between MH *in vivo* as a consequence of defective ssDNA binding and removal of secondary structure.

## HR competes with MMEJ repair

After resection initiation, the 3' ssDNA coated by RPA is exchanged for Rad51 via the Rad52 mediator to initiate pairing and strand invasion with homologous duplex DNA<sup>5</sup>. If MMEJ results from delayed or failed initiation of HR we would predict the frequency of MMEJ to increase in *rad51Δ* and *rad52Δ* mutants. Consistent with this hypothesis, the *rad51Δ*, *rad52Δ* and *rad51Δ rad52Δ* mutants exhibited a 3 to 6-fold increase in Ade<sup>+</sup> MMEJ ( $P=0.0001$ ) (Table 1 and Figure 4a). Although a recent report showed MMEJ between repeats of >14 bp is partially *RAD52* dependent<sup>24</sup>, we did not observe a decrease in the frequency of Ade<sup>-</sup> MMEJ in the *rad52Δ* and *rad51Δ rad52Δ* mutants.

Eliminating HR increases MMEJ by only 3 to 6-fold, whereas the *rfa1-D228Y* mutant exhibits a 124-fold increase in the frequency of Ade<sup>+</sup> MMEJ indicating that the increase caused by dysfunctional RPA is not due simply to defective HR. If the slight HR defect of the *rfa1-D228Y* mutant contributed to the increased frequency of MMEJ we would predict the *rfa1-D228Y* mutation to be epistatic to *rad51Δ* and *rad52Δ*. The frequencies of MMEJ increased by 250 and 272-fold in the *rad51Δ rfa1-D228Y* and *rad52Δ rfa1-D228Y* double mutants, respectively, and were significantly higher than the *rfa1-D228Y* single mutant ( $P<0.005$ ), consistent with independent functions.

## DISCUSSION

Chromosomal DSBs can be repaired by several distinct mechanisms with different mutagenic potential. Interest in MMEJ has grown with the discovery that breakpoints of chromosome rearrangements frequently show MH implicating MMEJ as the underlying mechanism<sup>42–44</sup>. Here we used a chromosomal assay to monitor repair by NHEJ or by MMEJ in budding yeast and found the frequency of MMEJ to be very low (0.006%), even though a perfect 12 bp MH flanks the I-*SceI* cut site. Repair of a chromosomal DSB by homologous recombination, or SSA between long (>1 kb) repeats, occurs with close to 100% efficiency in *S. cerevisiae*<sup>45</sup>, indicating that MMEJ is rarely used to repair DSBs. By contrast, the frequency of MMEJ in mammalian cells using a substrate similar to the one we describe, but with only 8–9 bp repeats flanking the I-*SceI* cut site, occurs at a much higher frequency (0.5–1%) than we observe in yeast<sup>14,16</sup>. In a direct comparison of MMEJ and HR using a reporter that can detect both classes of events, the frequency of HR was only 5 to 10-fold higher than MMEJ, indicating that MMEJ plays a substantial role in DSB repair in mammalian cells<sup>16</sup>. The large difference in the frequency of MMEJ could be due to an active mechanism to prevent MMEJ in yeast, or to the presence of dedicated MMEJ synapsis and/or annealing proteins in mammalian cells. PARP-1 has been shown to synapse DNA ends *in vitro* and is required for end joining in the absence of Ku<sup>46–48</sup>. DNA ligase III functions with PARP-1 to catalyze alternative NHEJ *in vitro*, and promotes chromosome translocations in mouse cells<sup>46,49–51</sup>. PARP-1 and DNA ligase III are both absent from yeast and this could explain the low frequency of MMEJ observed. In addition, we propose that a critical step during MMEJ is annealing between MH, which is normally restricted by RPA.

In contrast to a previous report in budding yeast<sup>19</sup>, we found no MMEJ defect in the *sae2Δ* mutant. The system used by Lee and Lee detects MMEJ at naturally occurring MHs near the native HO cut site by sequencing the junctions present in colonies surviving HO induction<sup>19</sup>.



In agreement with our findings they reported a 10-fold increase in the frequency of NHEJ in the *sae2Δ* mutant, but of the sequenced events none utilized MH of >5 nt. A later study using the same strain reported the same frequency of MMEJ in wild type and *sae2Δ* strains<sup>52</sup>. In the plasmid end-joining assay, similar frequencies of MMEJ were observed in *sae2Δ* and wild-type cells, but some aberrant products due to blunt end joining or partial loss of the MH were recovered from the *sae2Δ* mutant, whereas all of the products from wild type utilized the MH. Thus, a subtle MMEJ defect may be apparent in *sae2Δ* mutants depending on the assay used.

The most striking phenotype of the *sae2Δ* mutant is the increase in canonical NHEJ, a phenotype also observed following CtIP depletion from irradiated G2 cells<sup>53</sup>. Ku persists at DNA ends for longer in the absence of Ctp1/Sae2 suggesting that delayed resection initiation and presence of Ku allow more time for NHEJ<sup>8,12,54</sup>. An increased use of NHEJ to repair breaks in *cis* could potentially contribute to the decreased frequency of translocations reported for mouse cells depleted for CtIP. However, even in Ku deficient cells CtIP depletion results in reduced usage of MH at the junctions, consistent with the important role for CtIP in resection initiation and MMEJ in mammalian cells<sup>13,17</sup>.

As anticipated, we found that extensive resection is not required for MMEJ using the MH close to the break site but is needed for use of the distal MH. The frequency of Ade<sup>+</sup> MMEJ increased by 24-fold in the *exo1Δ sgs1Δ* mutant suggesting that loss of extensive resection stabilizes the partially resected 3' overhangs to provide increased opportunity for MMEJ to occur between the proximal MH. Ade<sup>+</sup> MMEJ events were increased in the *mre11Δ* mutant, and the frequency of Ade<sup>-</sup> MMEJ was decreased relative to wild type, consistent with the requirement for the MRX complex to recruit the extensive resection nucleases<sup>8</sup>. If extensive resection and MMEJ via more distal MH, resulting in loss of essential genes, contributed to the low viability of wild type cells after DSB induction we might have expected an even higher frequency of survival for the *exo1Δ sgs1Δ* mutant. However, cell survival of the *exo1Δ sgs1Δ* mutant was only 0.44%, similar to wild type. Previous studies have shown that the DNA damage checkpoint is impaired in *exo1Δ sgs1Δ* cells, consequently, cell division might occur with an unrepaired DSB leading to mis-segregation of the acentric fragment<sup>9,55</sup>. *De novo* telomere addition at DSB ends is increased in the *exo1Δ sgs1Δ* mutant and could also contribute to cell death<sup>56</sup>.

A systematic study of MMEJ in *S. cerevisiae* revealed the end joining frequency to be sensitive to the length of MH<sup>24</sup>. Similarly, our results suggest that a critical step during MMEJ is the ability of MH to spontaneously anneal. RPA has been shown to inhibit annealing between oligonucleotides *in vitro* and to prevent the formation of DNA secondary structures *in vivo*<sup>27,32</sup>. By using hypomorphic alleles of *RFA1* to perturb the interaction between RPA and ssDNA, we show the frequency of MMEJ can be increased by up to 350-fold, and purified RPA(t33) and RPA(t48) are less effective in removal of secondary structure from ssDNA and preventing spontaneous annealing *in vitro*. Furthermore, the *rfa1* mutants exhibiting the greatest increase in MMEJ show a greater diversity in the sequences used for repair, with use of shorter MH and MH with more mismatches than observed in wild-type cells. Complete depletion of RPA does not prevent the initiation of end resection, but the ssDNA tails formed undergo intramolecular pairing between short inverted repeats to

form hairpin capped ends<sup>32</sup>. If such structures formed in the *rfa1* hypomorphic mutants they would not give rise to viable products. The only *rfa1* allele tested that did not confer increased MMEJ frequency was *rfa1-t11*. *In vitro* RPA(t11) forms stable complexes with ssDNA and would be expected to inhibit strand annealing<sup>35</sup>.

A previous study showed *rfa1* hypomorphic alleles confer greatly elevated rates of spontaneous gross chromosomal rearrangements (GCRs) as compared to wild type<sup>57,58</sup>. Moreover, most GCRs were due to chromosome truncation and *de novo* telomere addition in wild-type cells, while translocations and inversions mediated by MH were more frequently observed in the *rfa1-t33* mutant. The GCR product spectrum was not determined for the *rfa1-t11* and *rfa1-t48* mutants. The *rfa1-t33* and *rfa1-t48* mutants both show elevated rates of spontaneous GCRs and increased frequencies of DSB-induced MMEJ. While the >100-fold increase in the GCR rate is most likely due to the generation of more initiating lesions when RPA is impaired, our results suggest the more promiscuous use of MMEJ contributes to the genome instability of *rfa1* hypomorphic mutants. The *rfa1-t48* allele, which confers a 350-fold increase in Ade<sup>+</sup> MMEJ, causes embryonic lethality in the mouse when homozygous and increased chromosome instability and cancer predisposition when heterozygous<sup>59</sup>. The breakpoints of chromosome rearrangements formed in the *Rfa1*<sup>+t48</sup> mouse have not been analyzed and it would be of interest to determine whether there is increased usage of MH.

In summary, we demonstrate that in wild-type cells, MMEJ is limited by RPA bound to ssDNA. The role of resection, previously thought to drive MMEJ, is necessary to reveal MH but does not guarantee annealing and completion of repair. Our studies reveal important roles for Sae2 and RPA in repair pathway choice (Figure 5). The initiation of end resection by Sae2 prevents repair by NHEJ by creating 3' ssDNA overhangs that are poor substrates for Ku binding<sup>60</sup>, but are of sufficient length for RPA to bind and promote more extensive resection by Sgs1-Dna2 or Exo1<sup>32</sup>. While ssDNA is essential for homology-directed repair, resection has the potential to reveal MH internal to the break site that can be used to align ends for mutagenic MMEJ repair. We suggest RPA plays an important role at this step to remove secondary structure from ssDNA, facilitating Rad51 nucleoprotein filament assembly, and at the same time prevents spontaneous annealing that can give rise to MMEJ. The role of RPA in binding ssDNA is highly conserved and is likely to play a role in preventing MMEJ and accompanying translocations in mammals<sup>59</sup>.

## ONLINE METHODS

### Yeast Strains

*Saccharomyces cerevisiae* strains used in this study are listed in Table S2. All strains are in the W303 background and were generated by PCR fragment-mediated gene targeting or by crossing appropriate haploid strains. To generate the MMEJ chromosomal system, a PCR generated *ade2* fragment with two copies of the 18-bp I-SceI cut site in an inverse orientation flanked by a 12 bp MH (*ade2-ISIR-12MH*) was used to transform a strain with *ADE2* replaced with *K. lactis URA3*, selection for 5-fluoroorotic acid (5-FOA) resistance and confirmed by DNA sequencing. The *ade2-ISIR-12MH* PCR fragment was synthesized by two-step PCR: the 5' and 3' fragments were amplified individually and then joined by

overlap PCR. The strains used for the plasmid ligation assay were made by PCR-mediated gene replacement of the *TRP1* locus of LSY1099 with *kanMX6*, removing sequences from 400 bp 5' to the ORF, the complete coding region and associated *ARS416* within the 3' non-coding region.

The *rfa1-t11*, *rfa1-t33* and *rfa1-t48* mutations were introduced into LSY0678 or LSY0679 by transformation with *NheI*-linearized pRDK514, pRDK517 and pRS-t48/RDK4128, respectively<sup>30,57</sup>. Integration was selected for by the plasmid-borne *URA3* marker, followed by selection on medium containing 5-FOA for clones that had lost *URA3*. Transformants that had replaced the *RFA1* allele with desired mutant allele were identified by gamma-radiation sensitivity (*rfa1-t11*) or methyl methanesulfonate (MMS) sensitivity (*rfa1-t33* and *rfa1-t48*). Positive clones were further confirmed by sequencing at the *rfa1* locus. A clonNAT resistance marker (*natMX4*) was inserted 254 bp downstream of the *RFA1* stop codon by transformation. Integration was selected by nourseothricin resistance and confirmed by PCR using primers internal to *natMX4* cassette and *RFA1*. *rfa1::natMX4* strains were then crossed to the *ade2-ISIR-12MH* strain. To ensure the *natMX4* insertion does not interfere with *RFA1* function, the untagged *rfa1* alleles were also generated with the *ade2-ISIR-12MH* reporter and the resulting strains were shown to have a similar phenotype to the tagged versions (S.K.D., unpublished). *RFA1* and *rfa1-D228Y* expressed from 2 $\mu$  vectors (pWJ583 and pWJ585, respectively) were used for over-expression experiments.

### Chromosomal MMEJ assay

Cells were grown to mid-log phase in YPL (1% yeast extract; 2% peptone; 3% lactic acid, pH 5.5), serial dilutions were then plated on YPL with 1% glucose or YPL with 1% galactose. Plates were incubated at 30°C for 3–5 days. The frequency of survival was determined by the number of colony forming units (CFU) on galactose containing plates divided by the number of CFUs on the glucose containing plates. Ade<sup>+</sup> events were scored by the appearance of white colonies. DNA sequencing was initially used to distinguish between Ade<sup>+</sup> MMEJ and NHEJ events and later a PCR assay was used because the two classes of events result in products of different lengths. Ade<sup>-</sup> MMEJ and NHEJ events were differentiated by three-primer PCR. PCR products were purified and verified by DNA sequencing. The other classes of Ade<sup>-</sup> end joined events recovered from the *yku70 $\Delta$* , *dnl4 $\Delta$*  and *rfa1* strains were amplified using nested primers flanking the *ade2* locus and sequenced to identify the junctions. Because the Ade<sup>-</sup> MMEJ events could only be detected by PCR and represent only ~5% of the Ade<sup>-</sup> products in the wild-type strain we could not accurately calculate their frequency, except in the NHEJ defective and hyper-MMEJ *rfa1* mutants, and no error bars are shown in Table 1 or the Figures. To compare the Ade<sup>-</sup> MMEJ events from strains with similar frequencies of Ade<sup>-</sup> survivors the Fisher exact test was used taking the total number of events analyzed from multiple trials.

For *RFA1* and *rfa1-D228Y* over-expression, cells were grown in synthetic complete medium lacking leucine (SC-LEU) with 2% raffinose substituted for glucose and plated on SC-LEU + 2% glucose or SC-LEU + 2% galactose. Cells were grown for 3–5 days at 30°C. Classes of repair events were categorized as described above.

### Plasmid end joining assay

The linear end joining substrate was amplified from the pLL111 plasmid using primers that anneal to sequences 320 bp upstream and 340 bp downstream of the *TRP1* ORF and associated *ARS416*<sup>61</sup>. The primers were designed with the desired length of microhomology at the ends of the PCR fragment or with no homology at the ends<sup>62</sup>. Phusion polymerase (New England Biolabs) was used to avoid 3' dA overhangs. PCR products were gel purified prior to transformation of yeast cells. 100 ng of each linear substrate or circular pLL111 was used to transform mid-log phase cells selecting for Trp<sup>+</sup> transformants. The frequency of end joining was determined by the number of Trp<sup>+</sup> transformants derived from the linear fragment relative to pLL111. The junctions formed by end joining were amplified by PCR from at least 16 independent Trp<sup>+</sup> colonies from the blunt end or 12 bp MH substrates and then sequenced.

### Mating type switching and end resection assays

The mating type switching assay was performed as previously described<sup>7</sup>. Briefly, HO-endonuclease expression was induced by addition of 2% galactose to cells grown in YPL. After one hour, cells were collected and resuspended in YPL + 2% glucose to prevent HO expression and allow repair by gene conversion. Samples were collected at indicated time points and genomic DNA was extracted and digested by *StyI* for Southern blot analysis. To detection of the HO-cut fragment and repaired products, a probe was generated by PCR amplification of *MAT* sequences distal to the HO-cut site (coordinates 201176–201580 on chromosome III sequence). The assays were performed twice and a representative gel shown in Supplementary Figure 3. End resection was measured by a similar protocol except the strains used lack the *HML* and *HMR* loci preventing gene conversion repair and HO was expressed continuously through the time course. The *MAT*-2.6 kb probe was used (coordinates 204184–204893 on chromosome III) was used to detect the fragment 2.6 kb distal to the HO-cut site, A *POX1* probe (coordinates 108631–109001 on chromosome XII) was used for normalization of band intensities using Image J (NIH). DSB end resection for each time point was estimated as a ratio of the signal intensity corresponding to that before induction and represents the mean of 3 independent experiments.

### Western blot analysis

Whole cell extracts prepared by TCA precipitation were analyzed by SDS-PAGE and western blot with anti-S.c.Rfa1 (Agrisera) and anti- $\alpha$ -tubulin (Sigma Aldrich) as a loading control.

### Purification of wild type and mutant RPA

The *rfa1-t33*, *rfa1-t48* and *rfa1-D228Y* mutations were generated using the Q5 site-directed mutagenesis kit (New England Biolabs) with RPA-eGFP (p11d-tscRPA\_30gfphis6) and unlabeled RPA (p11d-tscRPA\_30MxeHis6) plasmids as templates. The mutations were verified by DNA sequencing.

The RPA mutants, both eGFP and untagged versions, were expressed in BL21DE3 cells overnight at 16 °C. Pellets from 3L of cells were resuspended in Ni-Lysis buffer (50mM

NaKPO<sub>4</sub> pH 8.0, 150mM NaCl, 10mM Imidazole) and frozen at –80 °C. Purification of RPA-eGFP, RPA(t33)-eGFP and RPA(t48)-eGFP was performed as described<sup>39,40</sup>. Briefly, the clarified lysate after sonication and centrifugation was applied to a 8 ml Ni-NTA column and washed with 40 ml of Ni-Wash buffer (50mM NaKPO<sub>4</sub> pH 8.0, 150 mM NaCl, 20 mM imidazole). The protein was eluted in approximately 25 ml of Ni-Elution buffer (50 mM NaKPO<sub>4</sub> pH 8.0, 150 mM NaCl, 200 mM imidazole) and dialyzed overnight against 1L buffer (40 mM NaCl, 20 mM Tris-HCl pH 7.4, 1 mM DTT, 0.5 mM EDTA). The dialyzed protein was applied to MonoQ (5/50 GL: GE Healthcare) and developed with a gradient from 4–30% Buffer B (1 M NaCl, 20 mM Tris-HCl pH 7.4, 1 mM DTT, 0.5 mM EDTA) over 100 column volumes (CV). MonoQ fractions containing RPA were pooled and the MonoQ chromatography step repeated to increase protein purity. The pooled fractions corresponding to RPA-eGFP heterotrimeric complex were pooled, concentrated, dialyzed into storage buffer (100 mM NaCl, 20 mM Tris-HCl pH 7.4, 1 mM DTT, 50% glycerol), and aliquotted for storage at –80 °C.

Unlabeled RPA, RPA(t33) and RPA(t48) purification was identical to the RPA-eGFP tagged versions until the after Ni-NTA elution. The Ni-elution was directly applied to a 10 ml Chitin column, and washed with 4 CVs of chitin wash buffer (CWB: 20 mM Tris-HCl pH 8.0, 250 mM NaCl, 1 mM EDTA). The column was then exchanged into CWB containing 50 mM DTT and incubated overnight at 4 °C to allow for intein-mediated cleavage. The cleaved protein was collected as flow through and concentrated to approximately 5 ml. The concentrated protein was applied to a Superdex 200 16/60 column (GE Healthcare) and run with 40 mM Tris-HCl pH 7.4, 150 mM NaCl, 1 mM EDTA, 1 mM DTT buffer. Fractions corresponding to pure heterotrimeric complex were pooled, concentrated, dialyzed into storage buffer, and aliquotted for storage at –80 °C. Concentrations of unlabeled RPA and RPA-eGFP variants were determined using the extinction coefficients  $8.8 \times 10^4$  and  $1.16 \times 10^6$ , respectively, at 280 nM.

### Strand Annealing Assays

Annealing of <sup>32</sup>P-labeled 48-mer oligonucleotide (oligo-25) with the complementary unlabeled oligonucleotide (oligo-26) was performed as previously described<sup>27,63</sup>. Briefly, the reaction buffer contained 30 mM Tris-Cl (pH 7.5), 5 mM MgCl<sub>2</sub>, and 1 mM DTT. DNA concentrations were 200 nM and RPA concentration was 30 nM in all reactions. Reactions were initiated by the addition of unlabeled oligo-26 and quenched by the addition of excess unlabeled oligo 25. Annealing was monitored by separation through 12% polyacrylamide in 1x TBE buffer. Results were visualized by phosphoimager and quantified with the ImageJ software.

### Flow Cells and DNA Curtains

Chromium barriers were fabricated on fused silica microscope slides using electron-beam lithography, as described previously<sup>39,64</sup>. Flowcells and lipid bilayers were prepared as described<sup>39,64</sup>. Single-stranded DNA substrates were generated by rolling circle replication, as described<sup>39</sup>. The ssDNA was coupled to the bilayer through a biotin-streptavidin linkage and aligned at the barriers by application of buffer flow.

DNA curtain experiments were performed using a prism-type TIRF microscope (Nikon) with two back-illuminated iXon EMCCDs (Andor Technology). Illumination was provided by a 200 mW, 488-nm laser and a 200 mW, 561-nm laser (Coherent, Inc.). Intensity at prism face was ~14 mW and ~25 mW for the 488-nm and 561-nm lasers, respectively. Fluorescence signals were separated by a filter cube equipped with a dichroic mirror (ZT561rdc), band pass filter (ET525/50m), and long pass filter (ET575lp)(Chroma Technology Corp.).

For visualizing the RPA-ssDNA complexes, RPA-eGFP or mutant RPAs (100 pM) were injected at 30 °C in HR buffer (30 mM Tris-acetate (pH 7.5), 5 mM Mg-acetate, 50 mM KCl, 1 mM DTT, 2.5 mM ATP and 200 µg ml<sup>-1</sup> BSA). Reactions were allowed to continue for 20 minutes, and then chased with RPA-mCherry (100 pM). Throughout the experiments, 100 msec images were captured at 2-second intervals, and data collection continued for a period of 40 minutes. The resulting videos were used to generate kymographs, and integrated signal intensities were measured over the entire length of the ssDNA molecules. For quantitation, all data were normalized and corrected for background using a region of the slide surface without any ssDNA.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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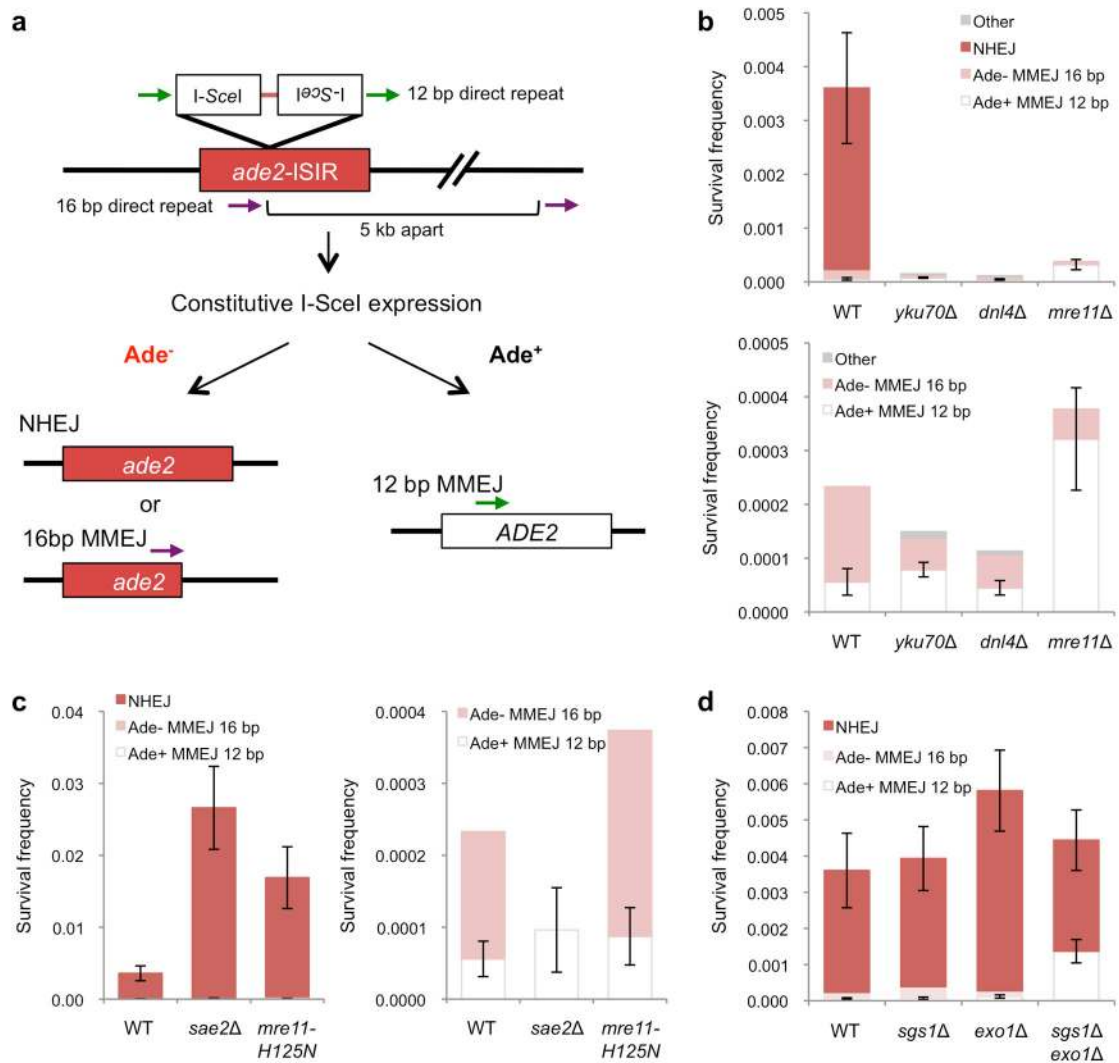
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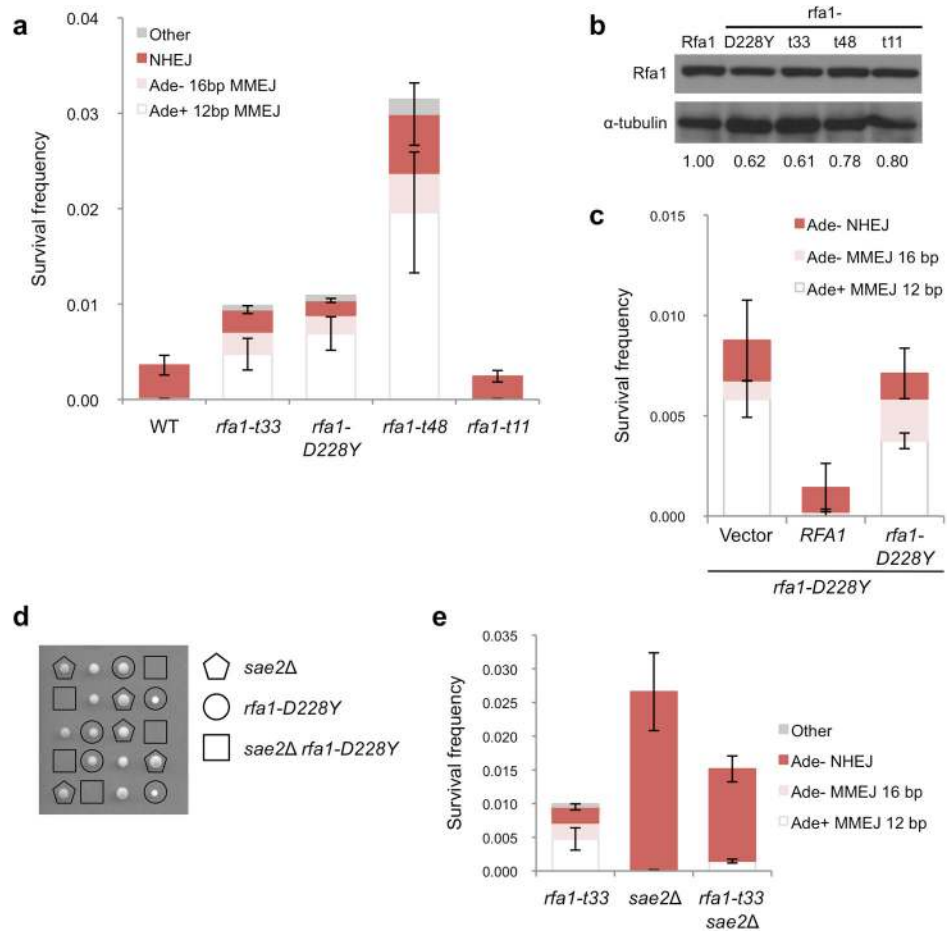
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**Figure 1. Role of resection initiation and extensive resection in end-joining repair**

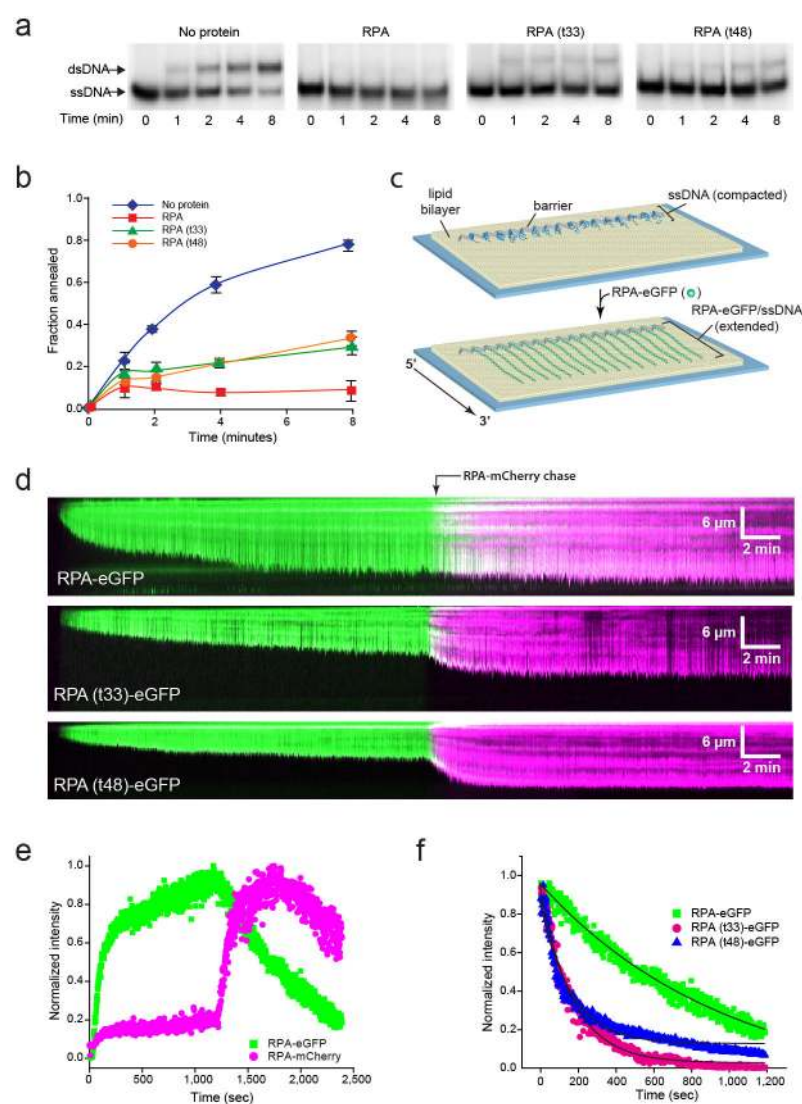
**a**, Schematic representation of the chromosomal end-joining assay. Most of the *Ade*<sup>+</sup> survivors use the 12 bp direct repeats flanking the DSB to restore the *ADE2* coding region. *Ade*<sup>-</sup> end joining products result from NHEJ, or from MMEJ between naturally occurring imperfect 16 bp repeats located 5 kb apart. **b**, Graphs showing survival frequencies (CFU galactose/CFU glucose) of the indicated strains. The upper panel shows all classes of events and the lower panel shows the distribution of MMEJ events. **c**, Graphs showing survival frequencies (left) and distribution of MMEJ products (right) in resection-initiation defective mutants. **d**, Graph showing survival frequency and distribution of end joined products for the *exo1* $\Delta$  and *sgs1* $\Delta$  mutants. Mean values are shown and error bars represent standard deviation (s.d.) from the mean, significance was determined by a 2-tailed Student's *t* test. The number of trials for each strain is presented in Table 1. WT refers to wild type, other refers to *Ade*<sup>-</sup> MMEJ events which used MH other than the 16 bp interrupted MH (Supplementary Table 2).



**Figure 2. Annealing between MH is prevented by RPA**

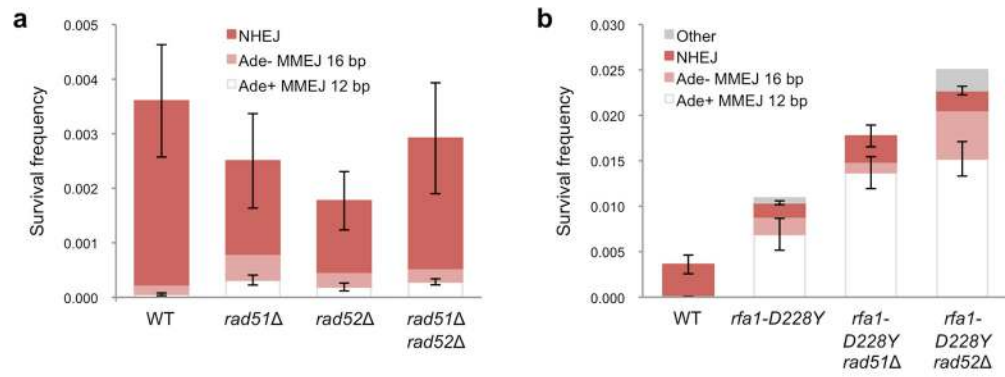
**a**, Graph showing survival frequencies and distribution of end joining events in *rfa1* hypomorphic mutants. All NHEJ events detected in the *rfa1-D228Y*, *rfa1-t33* and *rfa1-t48* mutants were Ade<sup>-</sup>. **b**, Western blot of steady-state protein levels of the indicated *rfa1* mutants. **c**, Graph showing survival frequencies and distribution of end joining events in strains over-expressing *RFA1* or *rfa1-D228Y* in the *rfa1-D228Y* background. **d**, Viability and genotype of haploid spores derived from diploids heterozygous for *sae2* $\Delta$  and *rfa1-D228Y*. The genotype of dead spores is inferred by the segregation pattern of *sae2* $\Delta$  and *rfa1-D228Y*. **e**, Graph showing distribution of end joined products in the *sae2* $\Delta$  *rfa1-t33* mutant. Mean values are shown and error bars represent s.d. from the mean, significance was determined by a 2-tailed Student's *t* test. The number of trials for each strain is presented in Table 1.





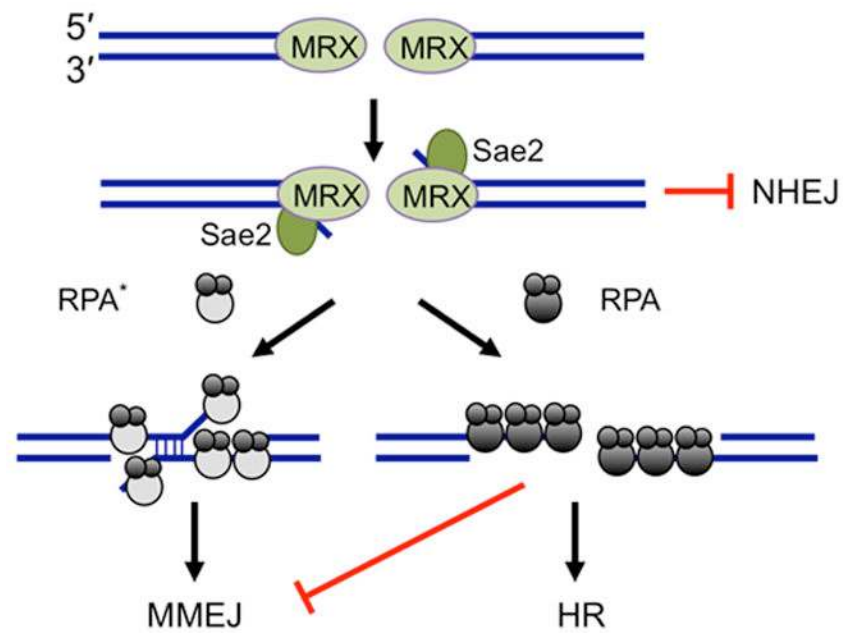
**Figure 3. RPA mutants are defective for ssDNA binding and disruption of secondary structure**  
**a**, Polyacrylamide gel electrophoresis to separate substrates (ssDNA) and products (dsDNA) of the strand annealing reaction. Each gel shows time points (0–8 min) from the annealing reaction in the absence of protein or in the presence of 30 nM RPA, RPA(t33) or RPA(t48).  
**b**, Quantification of the annealed dsDNA product. Fraction of annealing was calculated as the radioactivity in the dsDNA band divided by the sum of two bands. Values are a mean of three trials and errors bars indicate s.d.  
**c**, Schematic of an ssDNA curtain assay showing ssDNA before and after RPA-eGFP binding.  
**d**, Kymographs showing ssDNA binding by RPA-eGFP, RPA(t33)-eGFP or RPA(t48)-eGFP (100 pM each), as indicated, followed by exchange with RPA-mCherry (100 pM).  
**e**, Graph of normalized signal intensity for both RPA-eGFP and RPA-mCherry over time.  
**f**, Graph comparing the dissociation kinetics of RPA-eGFP, RPA(t33)-eGFP as RPA(t48)-eGFP following the injection of RPA-mCherry (not shown). The solid black lines are single exponential fits approximating the dissociation of the eGFP-tagged RPA's during exchange with RPA-mCherry, yielding rates of  $\sim 0.006 \text{ sec}^{-1}$  for both RPA mutants and  $\sim 0.001 \text{ sec}^{-1}$  for RPA-eGFP.





**Figure 4. HR and MMEJ are competing mechanisms**

**a.** Graph showing survival frequency and distribution of end joining products for *rad51Δ*, *rad52Δ* and *rad51Δ rad52Δ* mutants. **b.** Frequencies of NHEJ and MMEJ in *rfa1-D228Y* derivatives. Mean values are shown and error bars represent s.d. from the mean, significance was determined by a 2-tailed Student's *t* test. The number of trials for each strain is presented in Table 1.



**Figure 5. Regulation of repair pathway choice by Sae2 and RPA**

Sae2 is required for resection initiation and prevention of NHEJ repair in S and G2 phases of the cell cycle. End resection creates ssDNA, the substrate for HR and MMEJ. RPA bound to ssDNA inhibits MMEJ between MH internal to the break ends and promotes HR. When RPA binding to ssDNA is perturbed (RPA\*) annealing between MH is increased and the efficiency of HR is reduced.

**Table 1**

Frequency of end joining in wild type and mutant strains

Relevant genotype	Frequency NHEJ <sup>1</sup> (x10 <sup>-4</sup> )	Frequency Ade <sup>-</sup> MMEJ <sup>2</sup> (x10 <sup>-4</sup> )	Frequency Ade <sup>+</sup> MMEJ (x10 <sup>-4</sup> )	# trials
WT	33.7±10.0	1.77	0.56±0.25	12
<i>yku70Δ</i>	ND <sup>3</sup>	0.59	0.79±0.14	5
<i>dnl4Δ</i>	ND	0.63	0.45±0.14	4
<i>sae2Δ</i>	265±57.7	ND	0.96±0.58	6
<i>mre11-H125N</i>	165±43.0	2.86	0.87±0.40	4
<i>mre11Δ</i>	ND	0.55	3.22±0.95	5
<i>exo1Δ</i>	55.4±11.2	1.58	1.16±0.45	4
<i>sgs1Δ</i>	35.4±8.86	3.21	0.68±0.32	5
<i>exo1Δ sgs1Δ</i>	30.7±8.36	ND	13.7±3.23	4
<i>exo1Δ sgs1Δ yku70Δ</i>	ND	ND	15.4±3.53	3
<i>rad51Δ</i>	17.1±8.65	4.78	3.16±0.91	4
<i>rad52Δ</i>	13.1±5.35	2.72	1.90±0.75	4
<i>rad51Δ rad52Δ</i>	23.9±10.2	2.47	2.84±0.56	4
<i>rfa1-D228Y</i>	15.6±2.10	19.1	69.2±17.5	5
<i>rfa1-t33</i>	23.3±4.07	23.3	47.5±16.5	5
<i>rfa1-t48</i>	61.8±32.6	41.2	196±63.3	4
<i>rfa1-t11</i>	24.0±6.10	ND	0.45±0.26	4
<i>rfa1-D228Y rad51Δ</i>	28.6±11.9	11.8	137±17.6	3
<i>rfa1-D228Y rad52Δ</i>	21.8±4.75	53.3	152±18.9	3
<i>rfa1-t33 sae2Δ</i>	137±19.3	ND	14.6±2.86	6

<sup>1</sup> Ade<sup>-</sup> and Ade<sup>+</sup> NHEJ events are combined

<sup>2</sup> The frequency of Ade<sup>-</sup> MMEJ could not be accurately assessed in most strains because it represents a minor class of the Ade<sup>-</sup> survivors as determined by PCR analysis.

<sup>3</sup> ND: Not detected.