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# Salivary bacterial signatures in depression-obesity comorbidity are associated with neurotransmitters and neuroactive dipeptides

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#### **Research article**

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#### 1 Title page

# 2 Salivary bacterial signatures in depression-obesity comorbidity are associated with 3 neurotransmitters and neuroactive dipeptides

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#### 27 Abstract

#### 28 Background

Depression and obesity, both of which are highly prevalent and inflammation underlies, often co-29 Microbiome perturbations implicated obesity-inflammation-depression 30 occur. are in interrelationships, but how microbiome alterations contribute to underlying pathologic processes 31 remains unclear. Metabolomic investigations to uncover microbial neuroactive metabolites may 32 33 offer mechanistic insights into host-microbe interactions.

#### 34 Methods

Using 16S sequencing and untargeted mass spectrometry of saliva, and blood monocyte inflammation regulation assays, we determined key microbes, metabolites and host inflammation in association with depressive symptomatology, obesity, and depressive symptomatology-obesity comorbidity.

#### **39 Results**

Gram-negative bacteria with inflammation potential were enriched relative to Gram-positive 40 bacteria in comorbid obesity-depression, supporting the inflammation-oral microbiome link in 41 42 obesity-depression interrelationships. Oral microbiome was highly predictive of depressive symptomatology-obesity co-occurrences than obesity and depressive symptomatology 43 independently, suggesting specific microbial signatures associated with obesity-depression co-44 45 occurrences. Mass spectrometry analysis revealed significant changes in levels of signaling molecules of microbiota, microbial or dietary derived signaling peptides and aromatic amino 46 acids among host phenotypes. Furthermore, integration of the microbiome and metabolomics 47 data revealed that key oral microbes, many previously shown to have neuroactive potential, co-48 occurred with potential neuropeptides and biosynthetic precursors of the neurotransmitters 49 50 dopamine, epinephrine and serotonin.

#### 51 Conclusions

52 Together, our findings offer novel insights into oral microbial-brain connection and potential53 neuroactive metabolites involved.

#### 54 Background

55 Depression and obesity are common, debilitating, and frequently co-occurring chronic conditions with increasing incidences globally [1]. Nearly 39% of the adult population are overweight and 56 13% are obese worldwide (WHO, 2016), while 5% of the world population are affected by mood 57 disorders (WHO, 2017) [2,3]. The relationship between obesity and depression is often 58 bidirectional [4], as prevalence of depression among individuals with obesity is significantly 59 higher than that in the general population [5,6]. Conversely, individuals with depression are more 60 likely to develop obesity compared to non-depressed individuals [7]. Despite the advent of 61 antidepressant drugs and their long-term usage in clinical treatment, the majority of patients with 62 depression are treatment-refractory, and obesity may further reduce the efficacy of 63 antidepressants [8]. Furthermore, comorbid depression and obesity are strongly associated with 64 65 several diseases such as type 2 diabetes mellitus, cardiovascular diseases, chronic kidney disease 66 and cancer, reducing both longevity and quality of life [2,9]. Therefore, obesity and depression, and their co-occurrence, pose a major public health concern worldwide. 67

Inflammatory dysregulation is a common pathogenic mechanism underlying the co-68 occurrence of depression and obesity, as both are associated with chronic low-grade 69 inflammation [10,11]. Individuals with obesity and depression evidence increased concentrations 70 71 of peripheral and central inflammatory cytokines and acute phase reactants, such as interleukin (IL)-6, tumor necrosis factor alpha (TNF- $\alpha$ ), and C-reactive protein (CRP) [11,12]. In obesity, 72 macrophages accumulate in adipose tissue leading to local and systemic inflammation [13,14], 73 74 which can contribute to depressive symptoms via multiple mechanisms, such as by decreasing neurotransmitter availability, and by potentiating neuroinflammatory processes such as 75

76 microglial activation and peripheral monocyte trafficking to the central nervous system (CNS) [10,15,16]. It should be noted, however, that inflammation has been shown to underlie only a 77 subset of depression cases [17], hence the conceptualization of a theoretical immuno-metabolic 78 subtype of major depressive disorder [18]. Nonetheless, inflammatory dysregulation remains a 79 central mechanism underlying the co-occurrence of depression and obesity, and this is likely 80 81 relevant to sub-clinical depressive symptomatology. To this end, our previous work has demonstrated that even in individuals without clinical diagnosis of depression, higher depressive 82 83 symptom scores, obesity, and downregulated glucocorticoid and adrenergic receptor-mediated 84 cellular inflammatory control are interrelated [19-21].

85 Although psychological stress, host genetics and environmental factors have been shown to contribute to obesity and depression, recently, the human microbiome (i.e., collection of 86 diverse microorganisms and their genetic material) and metabolome (i.e., a large collection of 87 structurally diverse metabolites) have been implicated in processes of energy homeostasis, mood 88 89 and behavior, and immune regulation, and may therefore offer a novel mechanism underlying the co-occurrence of depression and obesity [2]. Animal studies of obesity have shown that depletion 90 of members of *Bifidobacterium*, *Lactobacillus*, and *Akkermansia* are associated with weight gain, 91 92 increased inflammation, increased depressive behavior and changes in neural circuitry [22,23]. Animal studies have also shown that increased permeability in the intestinal barrier and the 93 94 blood-brain barrier (BBB) are associated with increased plasma lipopolysaccharide (LPS) levels 95 [22–24] and neuroinflammation [23]. Altogether, these studies suggest that increased intestinal barrier permeability and subsequent translocation of gut bacterial endotoxin, particularly LPS 96 97 from Gram-negative bacterial cell walls, into systemic circulation, is a source of chronic low98 grade inflammation and metabolic endotoxemia, which can potentiate neuroinflammatory 99 processes, and therefore serve as a potential mechanism underlying the occurrence of depressive 100 symptoms in the context of obesity. However, this remains to be established in humans.

It is to be noted that human microbiome studies in depression and obesity, and indeed in 101 health and disease, have focused largely on the ecosystem of the distal gut, while few studies 102 103 have examined the microbial ecology of the oral cavity outside of oral-related conditions such as dental caries (i.e., tooth decay) and periodontitis (i.e., severe gum inflammation). The oral cavity, 104 an entry portal to both the digestive and respiratory tracts, contains the most diverse microbial 105 106 community after the gut, harboring more than 700 unique bacterial species with at least 150 specialized bacterial species per mouth [25,26]. More than 60% of the microbial species found in 107 the oral cavity have been shown to be potentially transmitted to the gut, suggesting that oral 108 cavity is a reservoir for gut microbial strains in shaping the gut microbiome in health and disease 109 [27]. Dysregulation of the unique microbe-microbe and microbe-host interactions in the oral 110 111 ecosystem has been associated with systemic inflammatory diseases such as inflammatory bowel syndrome [28,29] beyond an array of oral diseases. In addition, oral microbiota have also been 112 associated with several neurological diseases, such as Alzheimer's disease (AD) [30], multiple 113 114 sclerosis [31] and Parkinson's disease [32]. Previously, our group found that salivary microbial diversity and diurnal variability were associated with both peripheral proinflammatory cytokine 115 116 levels and psychological distress in this cohort on which this study is based [33]. The intimate 117 link between the oral microbiota and systemic human diseases, as evidenced by aforementioned studies suggests that the oral cavity is likely a promising site for gaining insight into the 118 119 pathophysiology of depression-obesity comorbidity. Moreover, the oral cavity is easily accessible via non-invasive as well as 'on-demand' collection of saliva samples for multi-omicsapplications.

While mechanisms linking the oral microbiota to the brain (i.e. "oral-brain axis") remain 122 largely unknown [34,35], recent studies have speculated several transmission routes of how oral 123 bacteria may reach the brain and influence neuro-immune activity and inflammation [36]. For 124 125 instance, routine dental procedures such as flossing, brushing and cleaning may cause oral bacteria to enter the blood circulation and cause bacteremia [37], and some of these microbes 126 127 may traverse the BBB. Alteration in the permeability of the BBB may also expose the brain to 128 bacterial metabolites triggering an inflammatory response, which in turn alters functioning of the CNS. For example, Porphyromonas gingivalis, a resident oral bacterium and a keystone 129 pathogen in periodontitis has been found in the brain of AD patients [30] as well as neurotoxic 130 proteases i.e., gingipains produced by *P. gingivalis* [30]. 131

A recent study has shown that human gut bacteria encode at least 56 gut-brain metabolic 132 133 pathways, which encompass both known and novel microbial pathways for synthesis and degradation of a number of neurotransmitters that have potential to cross the intestinal barrier 134 and BBB [35]. A subset of these gut-brain pathway effectors, for instance dopamine, glutamate, 135 136 tryptophan and gamma-aminobutyric acid (GABA) were either enriched or depleted in patients with major depression [35]. In particular, tryptophan metabolic pathways have been shown to be 137 138 widely distributed across human gut bacterial species [35]. Intriguingly, the majority of these gut 139 bacterial species with neuroactive potential are also found to be residents of the oral cavity [25]. However, to what extent these bacterial species can truly biosynthesize neurotransmitters within 140 141 the host, either in the gut or the oral cavity, remains unknown. Thus, utilization of metabolomics

offers a functional readout of both host and microbial phenotypes encoded in the genome 142 [38,39], and in conjunction with microbiome analyses, can provide mechanistic insights, yet 143 current knowledge is greatly limited. In particular, microbial specialized metabolites have been 144 shown to be canonical mediators of microbe-microbe and microbe-host interactions, and the 145 most predominant specialized metabolites are of great interest for understanding the mechanisms 146 147 of these interactions at the molecular level [38–40]. In this regard, the vast and highly diverse array of short peptides shown to play key roles in bacterial cell signaling [41], immune 148 modulation, and neuroactive metabolism [42-44] remains largely unexplored. A recent study has 149 150 shown that depletion of a variety of structurally uncharacterized dipeptides are associated with inflammatory bowel disease, a chronic inflammatory condition of the gastrointestinal tract [45]. 151 These observations prompted us to hypothesize that neurotransmitters and dipeptides likely have 152 pivotal roles in obesity-inflammation-depression interrelationships. 153

In this study we aimed to investigate whether oral microbiota and small-molecule mediators of key microbe-microbe and microbe-host interactions differ by depressive symptomatology and obesity as well as their co-occurrence, and are influenced by inflammatory processes. We performed 16S rRNA gene-based sequencing of the oral microbiome and untargeted mass spectrometry of small-molecules from saliva, as well as host inflammation regulation profiles in blood from 60 participants.

#### 160 Methods

#### 161 **Participants**

A total of 60 lean to obese participants (20-65 years old) with a range of subclinical depressive 162 symptoms, participating in a larger study investigating the impact of obesity on vascular 163 164 inflammation and immune cell activation in normotension versus stage 1 hypertension (Basal systolic blood pressure (BP): 130-140 mmHg and diastolic BP: 80-90 mmHg), were included in 165 this study and provided saliva samples. Participant inclusion/exclusion criteria were previously 166 167 described in detail [33]. Briefly, participants were excluded if they had diabetes, recent history of smoking or substance abuse, history of cardiovascular disease, history of bronchospastic 168 pulmonary disease, inflammatory disorders or health-related factors affecting immune function, 169 170 psychosis, major depressive disorder, and stage 2 clinical hypertension or with average BP  $\geq$ 145/90 mmHg measured at the lab visit from six measurements on two separate days, using a 171 Dinamap Compact BP monitor (Critikon, Tampa, FL). Sociodemographic characteristics (i.e., 172 age, sex, and race) and anthropometrics (i.e., height, weight, hip and waist circumference) data 173 were collected. 174

#### 175 **Obesity characterization**

BMI was calculated based on height and weight measurements (kg/m<sup>2</sup>), and individuals were dichotomized into two groups, based on our prior findings of little notable differences in inflammatory or depressive symptoms state between lean and overweight individuals (ref): nonobese (BMI <30 kg/m<sup>2</sup>) and obese (BMI  $\geq$ 30 kg/m<sup>2</sup>). For further adiposity characterization dual x-ray absorptiometry was performed to calculate %total and trunk body fat.

#### 181 Depressive symptomatology assessment

Depressive symptoms were assessed using the Beck Depression Inventory (BDI-Ia), a comprehensive and clinically robust self-report 21-item questionnaire (Beck et al., 1996). Each question was scored from 0-3, summed to a BDI total score (BDI-T), and then subcategorized into cognitive-affective (BDI-C) and somatic (BDI-S) depression scores based on the items such as BDI-C: guilt, pessimism and BDI-S: fatigue, sleep disruption [46].

Based on obesity status and mean BDI-T scores, participants were categorized into 4groups: non-obese and lower-depressive controls (N=10 participants; n=43 samples; "controls"), obese and lower-depressive (N=18; n=74; "Ob/lower-Dep"), non-obese and higher-depressive symptoms (N=5; n=22; "Non-ob/higher-Dep"), and obese and higher-depressive symptoms (N=27; n=122; "Ob/higher-Dep").

#### 192 Blood collection and cellular inflammation assay

For detailed protocol, see Supplementary Materials and Methods section. Briefly, LPS-194 stimulated blood was incubated with beta-adrenergic receptor agonist isoproterenol and 195 evaluated for intracellular monocyte TNF- $\alpha$  production using flow cytometry, as previously 196 described [47]. Monocyte beta-adrenergic receptor-mediated inflammation control (i.e., 197 "BARIC", a measure of systemic inflammation) was calculated as the arithmetic difference in 198 %TNF- $\alpha$ -producing monocytes between LPS + media-treated and LPS + isoproterenol-treated 199 samples.

#### 200 Saliva collection, DNA extraction and 16S sequencing

For detailed protocols of saliva collection procedure and 16S analysis, see Supplementary Materials and Methods section. Saliva from each participant was collected at five time points across a single day: waking, mid-morning (10:00 hrs), midday (12:00 hrs), afternoon (14:00 hrs), and evening (17:00 hr).

#### 205 Statistical analyses

Statistical analyses were conducted using R software (version 3.6.3) in RStudio (version 206 1.2.5019). First, associations among continuous and categorical metadata variables i.e., age, 207 208 obesity (BMI, %total body fat and trunk fat), BARIC, BDI scores (BDI-T, BDI-C and BDI-S) were assessed using univariate Spearman correlations across all participants using *psych* package 209 210 in R software. We applied a simple linear mixed-effects model (LMM) fit to model two alpha diversity measures (Shannon index and Faith's PD) using restricted maximum likelihood 211 (REML) with a random intercept by participant to account for repeated measurements across the 212 213 day, and main effects of obesity status, depressive symptom status, and BARIC. Age, sex, race were included as covariates in the model. Beta-diversity between groups was tested using non-214 parametric PERMANOVA with 999 permutations constrained by participant to adjust for 3-5 215 216 samples per participant, and a test of homogeneity of dispersion was conducted with the same constraints using *PERMDISP2* in *vegan* package to test overall species composition differences 217 218 within the groups. Next, post-hoc pairwise comparison was performed using *pairwiseAdonis*.

#### 219 Random forest classifications

A random forest sample classifier was trained based on the 16S data with tuned hyperparameters 220 (num.trees=500, mtry=45) in the 20-time repeated, stratified 5-fold cross-validation using *caret* 221 package in R software. The dataset was repeatedly split into five groups with similar class 222 distributions, and we trained the classifier on 80% of the data, and made predictions on the 223 remaining 20% of the data in each fold iteration. We next evaluated the performance of the 224 225 classifier on predicting the four groups (i.e. controls, Ob/lower-Dep, Non-ob/higher-Dep, Ob/higher-Dep) using both area under the receiver operating characteristic curve (AUROC) and 226 area under the precision-recall curve (AUPRC) based on the samples' predictions in the holdout 227 228 test set using *PRROC* package in R. To account for multiple samples per-participant, we next performed 20-time repeated group 3-fold cross-validation, where each participant is in a different 229 230 testing fold and also samples from the same subjects are never in both testing and training folds.

#### 231 Small molecule metabolite detection through mass spectrometry

Saliva was dried and resuspended in 80% MeOH-20% water and submitted to untargeted 232 LC/MS/MS analysis. For a detailed protocol, see Supplementary Materials and Methods section. 233 To examine the metabolic potential in the oral ecosystem and understand the intimate link 234 235 between salivary microbiota and metabolome in obesity-depressive symptom relationships, we conducted untargeted liquid chromatography-tandem mass spectrometry (LC-MS/MS) analysis 236 237 of the saliva samples from the same participants who were first investigated for taxonomic 238 profiling in the above analyses [48,49]. By integrating feature based molecular networking [50] with an automated chemical classification [51] and reference frame based differential 239

abundance analysis [52] approaches, we revealed differential representation of the key molecular

241 features in obesity and depressive symptom conditions.

## Feature based mass spectral molecular networking (FBMN) and chemically-informed comparison of metabolomic profiles

A data matrix of MS1 features that triggered MS2 scans were uploaded along with the metadata file to Global Natural Product Social Molecular Networking (GNPS) (https://gnps.ucsd.edu) [49]. Feature-based molecular networking (version release\_20) [50] was performed, and library IDs were generated (see Supplementary Materials and Methods section). To further gain a broad overview of the chemistry of salivary metabolomes from MS/MS data, utilizing an automated chemical classification approach [51], available via GNPS platform, we performed a chemicallyinformed comparison of untargeted metabolomic profiles across the four groups.

#### 251 Differential ranking of taxa and metabolomic features

Differential ranks of taxa and metabolomic features were calculated using Songbird [52], which 252 uses reference frames. Age, sex, race and time of day of saliva collection were provided as 253 254 covariates in generating a multinomial regression model based on microbial features. 255 Differential microbial features were visualized alongside *de novo* phylogenetic tree constructed 256 from the representative sequences of amplicon sequence variants (ASVs) obtained in this study 257 using EMPress [23]. Statistical significance was tested by applying LMMs on log-ratios of the top-and bottom-20 ranked microbes for each group obtained using Qurro rank plots [53]. We 258 259 applied a linear regression model by utilizing log-ratios of bacterial features and BARIC

260 inflammatory scores to test interactions between obesity-depressive symptoms and inflammation261 relationships.

To mitigate the inter-batch effect often observed in the metabolomics data due to 262 technical limitations in the number of samples processed in a batch, relative abundances were 263 adjusted for batch specific-effect along with age, sex, race and time of day, utilizing the 264 265 multivariate model in the reference frame-based approach [52]. We chose cluster 1 (90 features) as the denominator ("reference frame") for the log-ratio calculations due to its high prevalence 266 across samples, and moreover, GNPS analyses groups structurally similar molecules into a 267 268 cluster. Statistical significance was tested by applying Friedman test to account for repeated measurements, prior to multiple pairwise comparison analysis using Wilcoxon rank-sum tests. 269

270

#### 271 Microbe-metabolite interactions through their co-occurrence probabilities

Permutation based differential abundance testing was performed using discrete false-discovery 272 273 rate correction method [54] in Calour (https://github.com/biocore/calour) to remove batchspecific MS1 molecular features. Annotated features that were not identified as batch-specific 274 were included in the co-occurrence analysis. Using ASV (N=1516) and annotated molecular 275 276 features (N=155) as inputs to train neural networks [55] in QIIME 2 [56], we estimated the conditional probability that each molecule is present given the presence of a specific 277 278 microorganism. The resulting conditional probability matrix representing microbe-metabolite 279 interactions was visualized as an EMPeror biplot [55].

#### 280 Results

#### 281 Participant characteristics

A total of 261 saliva samples collected from five time points across the day from 60 participants 282 were analyzed (20 - 65 years): 50 participants had five; 51 had four, and 54 had three samples 283 which were adjusted in analyses (See Statistical Analyses). Participants were categorized into the 284 following four groups: non-obese (BMI <30 kg/m2) and lower-depressive controls (N=10 285 286 participants; n=43 saliva samples; "controls"), obese (BMI  $\geq$ 30 kg/m2) and lower-depressive (N=18; n=74; "Ob/lower-Dep"), non-obese and higher-depressive symptoms (N=5; n=22; "Non-287 ob/higher-Dep"), and obese and higher-depressive symptoms (N=27; n=122; "Ob/higher-Dep"). 288 289 Sociodemographic characteristics are presented across participant groups (Table 1).

#### 290 Obesity is associated with depressive symptomatology and inflammation

Given that individuals with a clinical diagnosis of depression and/or use of antidepressants were excluded from the study to focus on inflammation-related subclinical depressive symptoms in relation to obesity among otherwise healthy adults, BDI total scores (BDI-T) on average were low (median=3; sd=5; range=0-22). The median value of BDI-T of  $\geq$ 3 was used to divide participants with relatively 'higher' or 'lower' depressive symptoms in this non-clinical sample.

In all individuals, BMI was positively correlated with BDI-T scores (r=0.29, p=0.04), as well as cognitive-affective (r=0.27, p=0.03) and somatic symptom scores with small to medium effects (r=0.22, p=0.08) (Figure S1). BARIC values, an indicator of neuro-inflammation regulation, were negatively correlated with BMI (r=-0.38, p=0.009), and an estimation of adipose tissue volume indicated by %trunk fat (r=-0.25, p=0.034) across all participants (Figure S1). Age did not moderate any of these relationships, which is in agreement with previous findings [20]. Altogether, obesity was significantly associated with both inflammation regulation
and depressive symptoms. However, no significant associations were observed between BARIC
and BDI scores in this study (Figure S1).

#### 305 Oral microbiota differ based on obesity-depressive symptom groups and inflammation

306 status

Principal coordinates analysis (PCoA) and post-hoc pairwise comparisons of unweighted-307 UniFrac distances of samples revealed that oral microbiota composition was distinct by obesity 308 309 (PERMANOVA pseudo-F=0.004, p=0.001, Figure 1A, Table 2), BDI-T (PERMANOVA pseudo-F=0.001, p=0.0, Figure 1B, Table 2) and across the four obesity-depressive symptom 310 comorbid groups (i.e, Ctrl, Ob/lower-Dep, Non-ob/higher-Dep, Ob/higher-Dep) (Figure 1C, 311 Table 2 and Table 3). Beta-diversity was also significantly differentiated based on the host 312 inflammation across all participants (PERMANOVA pseudo-F=4.71, p<0.001, Figure 1D and 313 Table 2). Significant beta-diversity differences were also observed by age, sex, and race but not 314 by sampling time of day (Table 2). Phylogenetic alpha-diversity increased with inflammation 315 (Faith's PD: t=-2.312, p=0.025). Inflammation had slightly larger effects (R<sup>2</sup>=0.02) on 316 microbiome composition than obesity ( $R^2=0.008$ ) and depressive symptomatology ( $R^2=0.01$ ) 317 318 (Table 2).

#### 319 Oral microbiota is predictive of the host obesity-depressive symptomatology

320 To assess the predictive capacity of the oral microbiome in stratifying individuals with 321 depressive symptoms, obesity and depressive symptomatology-obesity co-occurrence status, we

utilized supervised random forest classification. The prediction performance of the model 322 indicated by both area under the receiver operating characteristic curve (AUROC) and area under 323 precision recall curve (AUPRC), revealed high prediction accuracy (AUROC=0.75 and 324 AUPRC=0.74) for obesity-depressive symptom status (Ob/higher Dep) than other groups when 325 multiple samples per-participant were taken into account (Figure 2A and 2B). The Ctrl group 326 327 was predicted with AUROC=0.75 and AUPRC=0.58; Ob/lower Dep status with AUROC=0.70 and AUPRC=0.49; Non-ob/higher Dep with AUROC=0.70 and AUPRC=0.46. However, at 328 sample-level both AUROC and AUPRC ranged from 0.93 to 0.97, across all groups (Figure S2A 329 330 and S2B). Altogether, oral microbiome was highly predictive of depressive symptomatologyobesity co-occurrences than obesity and depressive symptomatology independently. 331

#### 332 Key oral bacterial taxa are associated with specific host phenotype

Next, we identified the most differentially ranked microbes (99 unique taxa) associated with host 333 334 phenotypes (Figure 2C). Linear mixed-effects model revealed significant differences in the relative abundances of microbes associated with Ob/higher-Dep (t=6.5, p=5.07e-08), 335 Non-ob/higher-Dep (t=-4.2, p=0.0002) and Ob/lower Dep (t=-4.5, p=5.07e-05) in comparison to 336 337 Ctrl group, and with inflammation status (t=-4.83, p=3.03e-05). Most differentially represented taxa (84 unique taxa) were assigned to Gram-negative bacteria such as Prevotella, 338 339 Aggregatibacter, Pseudomonas, Campylobacter, Clostridia (Selenomonas, Butyrivibrio, 340 Veillonella, Megasphaera and Schwartzia), Leptotrichia, Capnocytophaga, and periodontal pathogens such as Treponema, Veillonella, Porphyromonas and Fusobacterium. Gram-positive 341 342 (15)unique taxa) were assigned to Peptostreptococcaceae, Clostridia (Catonella,

Mogibacteriaceae), Staphylococcus, Corvnebacterium, Rothia. 343 Actinomyces, and beneficial/probiotic genera Bifidobacterium and Lactobacillus (Figure 2C, log-fold change 344 abundances for each microbe are shown in Table S1). The Ob/higher-Dep group exhibited a 345 slightly higher abundance of Gram-negative bacteria relative to Gram-positive compared to the 346 Ctrl group (Wilcoxon test: p=0.004) (Figure 2D), which were not significantly associated with 347 348 BARIC scores (data not shown).

## 349 Small molecules detected in saliva are associated with obesity-depressive symptom-350 inflammation relationships

Untargeted LC-MS/MS analysis of the saliva samples was performed to examine the metabolic potential in the oral ecosystem and understand the intimate link between salivary microbiota and metabolome in obesity-depressive symptom relationships.

The most predominant chemical classes identified from automated chemical 354 classification [51] of our samples via GNPS [49] platform were terpenoids, indoles, 355 carbohydrates and carbohydrate conjugates, amino acids, peptides, derivatives of purines and 356 pyrimidines, eicosanoids and linoleic acids (Figure S3). Particularly, molecular structures of 357 358 diazines, benzotraizoles, imidazopyrimidines and azides were batch-specific (Figure S3). Feature-based mass spectral molecular networking of 7,818 total MS1 molecular features (which 359 360 included retention time and relative quantitative information) enabled the annotation of 248 that 361 had matches against all publicly available reference spectra [57]. It should be noted that these are level 2 or 3 annotations according to the 2007 metabolomics standards initiative [58]. A 362 363 reference-frame based approach enabled the identification of 155 features distinctly associated

with specific categories relative to Ctrl group (i.e., Non-Ob/lower-dep) (Figure 3). Key 364 molecules involved in host-microbiota interactions such as the annotation as tyrosine (level 2), a 365 366 precursor of catecholamine, dopamine and serotonin, and tryptophan (level 2, cluster 14 and 26 in Figure 3), a precursor of the neurotransmitter serotonin, were depleted in Ob/higher-Dep and 367 Ob/lower-Dep groups (Figure 2B). The amino acid, phenylalanine (Level 2, cluster 2 Figure 3), a 368 369 biosynthetic precursor of tyrosine, catecholamine, dopa and dopamine was less abundant in the Ob/higher-Dep and Non-ob/higher-Dep groups, but increased with inflammation status (Figure 370 371 4A).

372 Within the molecular network, we also identified 41 molecular clusters primarily associated with quorum sensing molecules of microbiota, products of microbial transformation 373 of dietary components or host molecules, and essential aromatic amino acids (Figure 3). Most 374 intriguingly, we identified 34 structurally distinct dipeptides across groups, making it the most 375 prevalent molecular cluster within the network (molecular features of clusters 2, 3, 5, 9, 12, 17, 376 19, 30, 31, 32 and 34 in Figure 3). Of these, molecular features of cluster 2 (present in 60 377 participants) were differentially represented in Ob/higher-Dep and Non-ob/higher-Dep 378 individuals, while features of cluster 34 (present in 58 participants) were differentially 379 380 represented in Ob/higher-Dep and Ob/lower Dep individuals, when compared to controls (see left panels in Figure 4A). Moreover, clusters 2, 14 and 26 were depleted in the Ob/higher-Dep 381 382 and non-ob/higher-Dep groups, while cluster 34 was depleted in the Ob/higher-Dep and 383 Ob/lower Dep groups. Other differentially represented molecular clusters included clusters 14 (detected in 56 participants) and 26 (detected in 58 participants), which encompassed two of the 384 385 essential aromatic amino acids i.e. tryptophan and tyrosine molecules (see clusters 14 and 26 in

386 Figure 3, Figure 4A). Molecular features from these clusters are positively associated with inflammation (right panels in Figure 4A). Abundance of features from the remaining clusters did 387 not significantly vary across groups (data not shown). Other molecular features included 388 previously reported microbiota-derived dipeptides (Phe-Val and Tyr-Val) (see clusters 2 and 30 389 in Figure 3) [42,59,60]. Dipeptide (Phe-Phe) reported to be synthesized by *Clostridium* (cluster 2 390 391 Figure 3) [61] was predominant in the Ob/higher-Dep group. Other molecules such cyclic dipeptides (Val-Pro and Val-Leu), commonly found to be made by microbes, were also 392 identified (see cluster 2 and 12 Figure 3, Figure 4A, Table S2) [59,60]. The majority of the other 393 394 dipeptides identified were potentially related to host dietary metabolism (i.e. enzymatic digest of food proteins) [43,44]. Among these, Tyr-Leu, Phe-Leu and Ile-Tyr (cluster 2 Figure 3), were 395 significantly more abundant in the Ctrl group compared to the other Ob/higher-Dep and 396 Ob/lower-Dep groups (Figure 4A) among which, Tyr-Pro (cluster 34 Figure 3) was also depleted 397 (Figure 4A). 398

# Key oral microbes co-occurred with biosynthetic precursors of the neurotransmitters and dipeptide signaling molecules

Integration of the microbiome and metabolomics data revealed associations between oral microbial metabolism and key oral microbes such as *Prevotella*, Clostridia, *Selenomonas*, *Aggregatibacter*, *Oribacterium*, *Corynebacterium*, and periodontal pathogens such as *Tannerella* and *Porphyromonas* (Figure 4B). Dipeptide signaling molecules (Phe-Phe, Phe-Val and Tyr-Val) co-occurred with Clostridia, *Prevotella* and *Porphyromonas*, corroborating known associations of dipeptides produced by *Clostridium* spp. [42,59–61]. Members of Clostridia also co-occurred with phenylalanine, a potential biosynthetic precursor of dopamine, epinephrine and tryptophan.
Intriguingly, *Oribacterium* belonging to *Clostridium* and *Tannerella* co-occurred with
tryptophan, shown to encompass tryptophan biosynthetic pathways. Our findings further
corroborate known microbial-derived cyclic dipeptides (Val-Leu and Val-Pro) associations with *Selenomonas*, *Aggregatibacter* and *Clostridium* spp. (Figure 4B) [59,60]. Potential dietary
dipeptides (Phe-Leu, Tyr-Pro and Tyr-Leu) co-occurred with *Tannerella*, *Selenomonas*, *Prevotella*, *Porphyromonas* and Clostridia [43,44].

#### 414 Discussion

We previously reported that obesity is significantly associated with both inflammation and 415 depressive symptoms [20,21,47]. Growing evidence also suggests that gut bacterial composition 416 and their specialized metabolites may trigger chronic systemic inflammation in obesity-417 depression co-occurrences [2], highlighting the importance of the host immune and microbial 418 419 interplay. In this study, we showed that the composition of salivary microbiota differ in cooccurring obesity-depressive symptoms and in relation to obesity, depression, and inflammation. 420 We also showed that individual bacterial taxa were linked to specific host obesity-depressive 421 422 symptoms 'phenotype', and small-molecule mediated microbe-microbe and microbe-host 423 interactions likely play a critical role in these host phenotypes. While effects of obesity, 424 inflammation and depression phenotypes on gut microbiome have been studied previously, this 425 study extends our previous work [33] that identified relationships between oral microbial composition, host stress profile and inflammatory status, by providing further evidence that oral 426 427 microbial composition and metabolic profiles are also influenced by the specific host

428 phenotypes, and are likely characterized by significant alterations in the biosynthetic precursors 429 of neurotransmitters and signaling dipeptides. These findings highlight a potential link between 430 oral microbiota and the brain (i.e. oral-brain axis), adding to known gut microbiota-brain 431 interactions [34–36], as well as biomarker utility of oral microbiome in studying brain and 432 behavioral outcomes.

Examining the composition of the oral microbiome revealed significant differences based on obesity, depressive symptomatology and comorbid obesity-depressive symptomatology. At the same time, the oral microbiome composition differed by the host inflammatory processes beyond the effects of obesity or depression. This emphasizes the need of further scrutinizing the central role of microbiome-mediated inflammation in obesity-depressive symptomatology interrelationship and is closely aligned with the existing literature in chronic low-grade inflammation at the intersection of depression and obesity.

Random forest classification indicated that oral microbiota is highly predictive of 440 obesity-depressive symptom co-occurrences, suggesting specific microbial signatures associated 441 with obesity-depression co-occurrences. Corroborating these findings, abundances of several 442 microbes were differentially represented across the obesity-depressive symptomatology groups 443 444 as revealed by the differential abundance analysis. Gram-negative microbes have been shown to be associated with inflammation due to their LPS cell wall, the hallmark trait of Gram-negative 445 446 bacteria. We found that Gram-negative microbes Prevotella, Aggregatibacter, Pseudomonas, 447 Campylobacter, Selenomonas, Leptotrichia, Capnocytophaga, and Gram-negative periodontal pathogens such as Treponema, Veillonella, Porphyromonas and Fusobacterium are enriched in 448 449 Ob/higher-dep group. However, we found no significant correlation with BARIC scores that

measured monocytes' responsiveness to a  $\beta$ -AR agonist during an inflammatory response to LPS, 450 indicating inflammation regulatory processes [47]. Increased abundance of Prevotella in the 451 human oral cavity has been previously ambiguously associated with both health and disease 452 conditions [26,62,63]. Pathogenic Campylobacter has been shown to increase anxiety-like 453 behavior in mice [64] and Aggregatibacter has been reported to be associated with inflammation. 454 455 Notably, Gram-positive beneficial microbes Bifidobacterium and Lactobacillus depleted in Ob/higher-Dep group are in line with their activity as they are reported to exhibit antidepressant 456 and anti-obesity effects, and reduced levels of TNF- $\alpha$  in both clinical and animal studies [65–67]. 457 458 All of these differentially abundant oral taxa present potential biomarkers in obesity-depression co-occurrences, however, more studies are needed to further confirm these findings, as our study 459 460 did not find significant differences in the abundances of microbes at genera-level.

We also found differences in relative abundance patterns in many molecules across the 461 obesity-depression symptoms groups, including quorum sensing molecules of microbiota, 462 products of microbial transformation of dietary components or host molecules and aromatic 463 amino acids. Importantly, metabolites of aromatic amino acids tryptophan and tyrosine, both of 464 which are precursors of the neurotransmitter serotonin, have been mechanistically implicated in 465 466 obesity-depression associations [68], and play signaling roles in host-microbe interactions in the gut [69], were depleted in obese individuals compared to the control group. Host dietary 467 468 dipeptides (Tyr-Leu and Phe-Leu) that were significantly less abundant among the obese 469 individuals compared to the control group in this study are shown to display anti-depressant-like activity as greater abundance of Tyr-Leu activates serotonin, dopamine and gamma aminobutyric 470 471 acid (GABA) receptors in mice [43,44]. Tyr-Pro and Ile-Tyr, which were also depleted in the

obese individuals in our study, are an inhibitor of angiotensin I-converting enzyme (ACE) with
antihypertensive activity [70] and affect catecholamine (e.g. dopamine and noradrenaline)
metabolism in the mouse brain [71], respectively. These findings offer initial mechanistic insight
into comorbid obesity and depression, albeit complex.

Furthermore, we identified several structurally distinct dipeptides that were positively 476 477 associated with inflammation. To our knowledge, it is the first time that microbial-derived dipeptide (Phe-Val, Tyr-Val and Phe-Phe) and cyclic dipeptides signaling molecules (Val-Pro 478 and Val-Leu) were detected in salivary metabolomes. Biosynthetic gene clusters and the 479 480 production of dipeptides (Phe-Val and Tyr-Val) have been recently identified in the human microbiome [42,59,60]. These molecules are known to play key roles in quorum sensing (cell-to-481 482 cell communication to maintain cell density) and virulence, and promote growth of beneficial Bifidobacterium [41]. A previous study showed that Phe-Phe derived from Clostridium sp. can 483 inhibit host proteins by chemical modification of the host cellular proteins, especially by 484 targeting cathepsins in human cell proteomes [61]. Given our findings that Phe-Phe was highly 485 abundant in the Ob/higher-Dep group, its biological role in the cellular inflammatory process 486 which likely underlie obesity-depression comorbidity warrants further investigation. 487

Our findings of specific microbe-metabolite interactions with potential to influence host's brain functioning offer potentially significant insight into the role of host immune-microbiome interplay in comorbid obesity-depression and is likely through microbial neurotransmitters. Metabolic pathways for biosynthesis of neuroactive molecules in the genomes of humanassociated genera *Clostridium* and *Tannerella* have been recently reported [35]. Intriguingly, members of *Clostridium* and *Tannerella* co-occurred with tryptophan and have been detected/reported to harbor genes for tryptophan biosynthesis [35]. Members of Clostridia cooccurred with phenylalanine, a potential biosynthetic precursor of dopamine, epinephrine and tryptophan, have been shown to be key species in neuropsychiatric disorders and shown to produce dopamine in mice [36,72]. Many of these molecules including the dipeptides, shown to have potential to cross the intestinal barrier and blood brain barrier, may modulate the oral-brain connection through neurotransmitter signaling pathways [35,72]. Such neurotransmitters and their biosynthetic precursors may offer promising targets for therapeutics.

There is a caveat in this study that merits caution: in an effort to recruit individuals with 501 502 subclinical levels of depressive mood co-occurring with a range of obesity without antidepressant intake or heterogeneous clinical depression, the participants exhibited low levels 503 504 of BDI scores on average which may limit the applicability of our findings to clinical depression. At the same time, it is notable that host-microbiome-metabolome signatures and their 505 interactions appear to be salient in pathophysiology of subclinical depression symptomatology. 506 507 We also acknowledge a small sample size of the study participants, in spite of the expanded specimen sample size owing to multiple saliva collections. 508

#### 509 Conclusions

510 Despite these limitations, our study significantly expands the evidence for microbial specialized 511 metabolites and peptides with neuroactive potential, adding further research avenues into 512 microbiome-host physiology interactions and there is a great deal of clinical potential in 513 understanding and modifying these interactions. Furthermore, it provides initial evidence for a 514 foundation of the microbial oral-brain axis in addition to the gut-brain axis in the context of 515 obesity-depression-inflammation interrelationships.

#### 516 **Declarations**

#### 517 Ethics approval and consent to participate

All participants provided informed consent to the protocol prior to the commencement of the study. The Ethics Committee of the University of California, San Diego, CA, USA, approved the study design as well as the procedure for obtaining informed consent (IRB reference number: 171027). All experiments were performed in accordance with the approved guidelines of UCSD Human Research Protections Program.

#### 523 **Consent for publication**

524 Not applicable

#### 525 Availability of data and material

Sample metadata, the raw and processed 16S sequencing data and their associated feature tables, 526 527 and preparation metadata are available in Oiita Study ID 11259 (https://giita.ucsd.edu/study/description/11259). Mass spectral files and LC-MS/MS preparation 528 metadata are accessible from the MassIVE repository accession ID MSV000083077 529 530 (ftp://massive.ucsd.edu/MSV000083077). The GNPS feature based molecular networking job is

#### 531 available

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#### 533 Competing interests

PCD serves as a scientific advisor to Sirenas, Cybele and Galileo. PCD is also a founder and
scientific advisor of Ometa and Enveda with approval by UC San Diego.

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#### 541 Authors' contributions

Hong designed and obtained funding for the study. GA performed the data analysis. JNK, KW,
AT, ADS and Huang assisted with the data analysis. GA, Hong, PCD, ADS and RK interpreted
the results. GA, ET and Hong wrote the original manuscript. GA, JNK, ET, KW, AT, Huang,
ADS, Hong, PCD and RK reviewed and edited the manuscript.

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547 Not applicable

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#### 749 Figures

**Figure 1.** Principal coordinates analyses (PCoA) of oral bacterial communities in (A) non-obese and obese (B) low depressive and higher depressive (C) non-obese low-depressive, non-obese high-depressive, obese, and co-occurring obesity and depressive symptom groups, and (D) in inflammation status. Unweighted-UniFrac distances among samples were visualized using EMPeror. Significance of separation between the groups and further post-hoc pairwise comparisons between groups was tested by applying PERMANOVA test on the principal coordinates.



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Figure 2. Oral microbiota is distinctly impacted by the host status in co-occurring obesity-757 depressive status. (A) Receiver operating characteristic curves (AUROC) illustrating 758 classification accuracy of the random forest model across all groups (i.e. controls, Ob/lower Dep, 759 Non-ob/higher-Dep, Ob/higher-Dep). (B) Area under precision recall curves (AUPRC) 760 illustrating performance of the random forest model across all groups. (C) Phylogenetic 761 distribution of the most differentially ranked taxa across the groups. Branches of the *de novo* 762 phylogenetic tree and the innermost ring are colored by phyla. Each barplot layer represents log-763 fold change abundances of taxa within the group in comparison to the healthy controls i.e. Non-764 765 ob/lower-Dep. A multinomial regression model was employed for regressing log-fold change abundances against BARIC values. (D) Log-fold change abundances of Gram-negative microbes 766 relative to Gram-positive microbes across host phenotypes. 767





768 Figure 3. Feature-based molecular network of the ions detected in salivary metabolomes of obese-depressive group. The molecular network was generated by 293 nodes with 41 molecular 769 clusters, which are sub-networks of a larger network generated via Global Natural Products 770 771 Social Molecular Networking (GNPS). Nodes (small circles with m/z values) represent unique 772 tandem mass spectrometry (MS/MS) consensus spectra and edges (lines) drawn between the nodes correspond to similarity (cosine score) between MS/MS fragmentation. Annotation is 773 performed by MS/MS spectral library matching in GNPS platform. Pie charts within the 774 individual nodes qualitatively represent specific ion presence across groups: non-obese and non-775 776 depressive, obese, depressive, and both obese and depressive symptom groups, as well as blank samples. Molecular clusters 2, 3, 4, 5, 9, 17, 19, 30 and 34 represent structural diversity of 777 dipeptides. Molecular clusters 2, 14 and 26 represent aromatic amino acids tryptophan, tyrosine 778 and phenylalanine. 779



780 Figure 4. Differentially abundant molecular clusters and microbe-metabolite co-occurrences in obesity-inflammation-depressive and inflammation status. (A) Sample plot showing log-ratio of 781 differential molecular features relative to cluster 1 (see left panel). The corresponding right 782 panels represent a scatterplot of samples showing log-ratio of differential features versus 783 inflammation status. Individual samples are colored by health status. Statistical significance of 784 785 the log-ratios was evaluated by pairwise comparisons using Wilcoxon rank sum test. A linear regression model was employed for regressing log-ratios against BARIC values. (B) 786 Visualization of microbe-metabolite co-occurrences. Arrows represent microbes and dots 787 788 represent metabolites. The x and y axes represent principal components of the microbemetabolite conditional probabilities as determined by the neural network. Distances between 789 790 arrow tips quantify co-occurrence strengths between microbes, while directionality of the arrows indicates which microbes and metabolites have a high probability of co-occurring. Only known 791 microbiota-derived molecules are labeled. Microbial abundances are estimated using differential 792 793 abundance analysis via multinomial regression.





#### 794 Tables

Variable	Non-obese low depressive <sup>a</sup>	Obese low depressive <sup>b</sup>	Non-obese high depressive <sup>c</sup>	Obese high depressive <sup>d</sup>
Age	39±12.2	38.9±17.2	42.7±10.5	43.5±10.9
Sex (%female)	44	50	61.1	73.3
Race(%C/AA/Asn/NS)	72/16/12/0	37.5/37.5/12.5/12.5	55.6/16.7/27.8/0	46.7/40/13.3/0
BARIC	$32.1 \pm 10.2^{d}$	21.9±6.2°	31.8±9 <sup>cd</sup>	25.3±7.5 <sup>ac</sup>
BMI (kg/m2)	25.1±2.9 <sup>bd</sup>	35.5±4.7 <sup>ac</sup>	$26.6 \pm 2.9^{bd}$	36±4.7 <sup>ac</sup>
BDI-T	$0.5{\pm}0.8^{cd}$	$0.6 \pm 0.7^{cd}$	7.9±5.4 <sup>ab</sup>	7.9±5 <sup>ab</sup>

795 **Table 1.** Demographic and clinical characteristics of participants.

796 Values presented as mean  $\pm$  SD. Significant differences between groups were evaluated by

797 Mann-Whitney test and presented as superscripts. Abbreviations: C = Caucasian; AA = African-

American; Asn = Asian; NS = Mixed or not specified; BARIC = monocyte beta-adrenergic

receptor-mediated inflammation control; BMI = body mass index; BDI-T = Beck Depression

800 Inventory (BDI-Ia) total score.

	Unweighted-UniFrac	Unweighted-UniFrac	
Variable	$\mathbb{R}^2$	F	
Age	0.01	3.88***	
Sex	0.01	2.54***	
Race	0.03	2.92***	
Time of day	0.01	0.98	
BARIC	0.02	4.71***	
Obesity	0.008	0.004**	
Depressive symptomatology	0.01	0.001***	
Obesity-depressive symptomatology co-occurrences	0.03	2.48***	

#### Table 2. Beta-diversity analysis of 16S derived ASVs across groups.

Asteriks indicate statistical significance of PERMANOVA test, p<0.05.

Pairwise contrasts	Unweighted- UniFrac R <sup>2</sup>	Unweighted- UniFrac F
Obese high-depressive x Non-obese low-depressive	0.02	2.57***
Obese low-depressive x Non-obese low-depressive	0.02	1.91**
Non-obese high-depressive x Non-obese low-depressive	0.04	2.4**
Obese low-depressive x Non-obese high-depressive	0.02	2.05**
Obese low-depressive x Obese high-depressive	0.01	2.19***
Obese high-depressive x Non-obese high-depressive	0.02	2.2***

#### **Table 3.** Post-hoc pairwise comparisons of beta-diversity between groups.

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Asteriks indicate statistical significance of PERMANOVA test, p<0.05.

#### **Supplementary Materials and Methods**

#### 2 Blood collection and cellular inflammation assay

1

3 Blood samples were obtained for all participants after 12h of fasting except for plain water and collected in heparin anti-coagulant vacutainers (BD, Franklin Lakes, NJ). Cellular inflammation 4 5 regulation assays were performed on heparinized whole blood within 1h of collection. Briefly, 6 200 pg/mL of lipopolysaccharide (LPS) (E.coli 0111:B4, catalog #L4391, Sigma-Aldrich, St. Louis, MO) was added to 300 µL of blood in sterile 96-well polypropylene cell culture plates and 7 incubated for 30 min at 37°C with 5% CO<sub>2</sub>. Media-treated samples served as controls. This 8 9 exogenous LPS dose was previously determined to elicit significant activation of monocytes, 10 with 30-90% producing TNF- $\alpha$  [1]. Monocyte beta-adrenergic receptor-mediated inflammation 11 control (i.e., "BARIC") was determined based on the inhibitory effect of isoproterenol (Iso), a non-specific  $\beta 1/2AR$  agonist, on monocytic intracellular TNF- $\alpha$  production in LPS-stimulated 12 blood as aforementioned. Briefly, LPS-stimulated blood was incubated with isoproterenol in 10<sup>-8</sup> 13 M final concentration and evaluated for intracellular monocyte TNF-α production using flow 14 cytometry, as previously described [1]. The proportion of CD14<sup>+/dim</sup>HLA-DR<sup>+</sup> (CD14: cat. 15 16 #301808; HLA-DR: cat. #307606, BioLegend, San Diego, CA) cells that were TNF- $\alpha^+$  was determined using FlowJo software (v10, TreeStar, Ashland, OR), and gates adjusted for TNF-a-17 18 stained sample via fluorescence-minus-one controls [2,3]. Ultimately, BARIC was calculated as 19 the arithmetic difference in  $%TNF-\alpha+$  monocytes between LPS-treated and LPS+isoproterenoltreated samples. Greater BARIC values indicate greater  $\beta$ -AR responsivity, and thus, better 20 21 Iso/β-AR-mediated inflammation regulation. Smaller BARIC values may indicate impairment in

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23 cellular pathways that regulate inflammatory responses mediated by  $\beta$ -ARs (e.g., diminished 24 receptor sensitivity to agonists). BARIC measures monocytes responsivity to a  $\beta$ -AR agonist 25 during an inflammatory response to LPS. Reduced BARIC has been associated with 26 hypertension, cardiovascular disease risk factors, obesity, and higher serum cytokine levels [2,3].

#### 27 Saliva collection, DNA extraction and 16S sequencing

28 Saliva collection procedure and 16S sequencing data was published previously [2]. However, obesity-depressive symptom relationships were not previously investigated, and instead had 29 30 focused on temporal variation of the oral microbiota. Briefly, participants were provided with 31 Salivette (Sarstedt, #51.1534, Nümbrecht, Germany) to roll the cotton Salivette inside the mouth 32 to stimulate salivation without chewing. Saturated Salivette was placed back into the tube by 33 mouth. Salivettes from each participant were collected at five time points across a single day: waking, mid-morning (10:00 hrs), midday (12:00 hrs), afternoon (14:00 hrs), and evening (17:00 34 35 hr). All waking samples were collected prior to oral hygiene activity, and ingestion of food or drink. In addition, participants were instructed to abstain from consuming food or drinks other 36 than plain water for 30 min and to rinse their mouth with water prior to collection at all other 37 38 time points. Next, saliva was recovered from Salivette tubes by centrifuging at 1,000 x g for 2 minutes at 4°C and stored at -80°C. DNA from saliva samples was extracted by employing 39 40 Qiagen PowerSoil DNA kit as previously described [4]. V4 region of the 16S gene was amplified 41 according to the Earth Microbiome Project protocol [5,6] and sequenced on the Illumina MiSeq sequencing platform with a MiSeq Reagent Kit v2 and paired-end 150 bp cycles. 42

#### 43 16S sequencing data processing

Sequences were demultiplexed based on the barcode associated with each sample and sequence 44 quality control and ASV (Amplicon Sequence Variants) feature table construction was 45 conducted using the Deblur algorithm in QIIME2 (v.2018.4) [7]. Next, 223 potential sequencing 46 47 contaminants that appeared in both true and blank samples were removed from the ASV table 48 using *decontam* in R [8]. Low abundance features with fewer than 10 reads across samples and 49 singleton features present only in one sample were excluded. Taxonomy assignment was performed by employing QIIME2 feature-classifier plugin with a pre-fit classifier [9] for the 50 51 99% reference tree of Greengenes 13 8 database. The output feature table contained an average 52 of  $19,412 \pm 9,187$  sequences per sample after removal of mitochondrial and chloroplast-derived 53 sequences. Multiple rarefactions were computed to a minimum depth of 1,122 reads to mitigate 54 uneven sequencing depth across samples. This resulted in 257 samples with 1,516 unique features/ASVs and 455 unique taxa. Next, alpha-diversity indices Shannon diversity index and 55 56 Faith's Phylogenetic Diversity were calculated. Beta-diversity, was calculated using unweighted UniFrac distance, which reflects presence-absence of taxa. We performed ordination on output 57 58 distance matrices using principal coordinates analysis (PCoA) and following visualization using 59 EMPeror plugin in QIIME2 [10].

#### 60 Small molecule metabolites detection through mass spectrometry

Saliva was dried and resuspended in 80% MeOH–20% water (Optima LC-MS grade; Fisher
Scientific, Fair Lawn, NJ, USA). Untargeted metabolomics was conducted with an ultrahighperformance liquid chromatography (Vanquish; Thermo Fisher Scientific, Waltham, MA, USA)

64 system coupled to an orbitrap mass spectrometer (QExactive, Thermo Fisher Scientific). A C18 reversed-phase UHPLC column (Kinetex, 1.7-µm particles size, 50 x 2.1 mm) (Phenomenex, 65 Torrance, CA, USA) was used for chromatographic separation. A linear gradient was applied as 66 follows: 0 to 0.5 min, isocratic at 5% mobile phase (MP) B; 0.5 to 8.5 min, 100% MP B; 8.5 to 67 11 min, isocratic at 100% MP B; 11 to 11.5 min, 5% MP B; 11.5 to 12 min, 5% MP B, where 68 69 mobile phase A is water with 0.1% formic acid (vol/vol) and mobile phase B is 70 acetonitrile-0.1% formic acid (vol/vol) (LC-MS grade solvents; Fisher Chemical). Electrospray 71 ionization in the positive mode was used. MS spectra were acquired in the mass range of m/z 10072 to 2,000.

#### 73 MS1 feature finding and data processing

74 Raw QExactive files were converted to .mzXML format using ProteoWizard tool MSConvert [11] software. Data quality was assessed by evaluating the m/z error and retention time of the 75 76 LC-MS standard solution (i.e., mixture of six compounds). MS1 feature finding was performed in MZmine2 preprocessing workflow (MZmine-2.37.corr17.7 kai merge2 version) available at 77 78 (https://github.com/robinschmid/mzmine2/releases) [12]. The mzMINE parameters used for 79 feature finding are as follows: mass detection (centroid; MS1, 1.5E3; MS2, 90); ADAP 80 Chromatogram builder (minimum group size in number of scans, 4; group intensity threshold, 81 5E3; minimum highest intensity, 2E3; m/z tolerance, 0.001 m/z to 20 ppm); chromatogram 82 deconvolution (local minimum search, chromatographic threshold of 96%, search minimum in retention time [RT] range [minutes] of 0.03, minimum relative height of 5%, minimum absolute 83 84 height of 2E3, minimum ratio of peak top/edge of 1 and peak duration range [minutes] of 0 to

2;m/z center calculation set to auto; m/z range for MS2 scan pairing (daltons) of 0.02 and RT range for MS2 scan pairing (minutes) of 0.15); isotope peaks grouper (m/z tolerance set to 0.0015 m/z or 10 ppm; retention time tolerance of 0.05, maximum charge of 3; and representative isotope set to most intense); order peak lists; join aligner (m/z tolerance set at 0.0015 m/z or 15 ppm; weight for m/z of 2; retention time tolerance of 0.2 min; weight for RT of 1. A filter was used such that only features present in at least two samples were included.

#### 91 Feature based mass spectral molecular networking (FBMN)

92 The output of aforementioned workflow, a data matrix of MS1 features that triggered MS2 scans 93 by sample (.mgf and .csv quant table), were uploaded along with the metadata file to Global 94 Natural Product Social Molecular Networking (GNPS) (https://gnps.ucsd.edu) [13,14]. Feature-95 based molecular networking (version release 20) [15] was performed, and library IDs were generated. Molecular networking parameters were set as follows: precursor ion mass tolerance 96 97 and fragment ion tolerance of 0.02 Da to cluster consensus spectra; the minimum score between a pair of MS2 consensus spectra was set at 0.7 and 6 as the minimum number of ions matched as 98 99 described https://gnps.ucsd.edu/ProteoSAFe/status.jsp? at 100 task=f192a0030f694224a0ba8f08223a1323. The molecular network output from GNPS was then uploaded to Cytoscape (version 3.5.1 http://www.cytoscape.org/) [16], for advanced 101 102 visualization. Nodes were labelled with spectral matches to GNPS with m/z values, and edge 103 thickness is proportional to the cosine score.

#### 104 Supplementary figures

**Figure S1**. Matrix of plots illustrating Pearson correlations among obesity, depressive symptoms, inflammation and sex, across participants. Histograms of the variables displayed along the matrix diagonal represent distribution of samples and scatter plots of variable pairs are displayed in the off diagonal. Correlation coefficients displayed represent the slopes of the leastsquares reference lines in the scatter plots.



Figure S2. Per sample based RF analysis. (A), Receiver operating characteristic curves (AUROC) illustrating classification accuracy of the random forest model across all groups (i.e. controls, Ob/lower Dep, Non-ob/higher-Dep, Ob/higher-Dep) and (B), Area under precision recall curves (AUPRC) illustrating performance of the random forest model across all groups.



**Figure S3**. Chemical diversity captured in salivary metabolomes. Branches in the circular chemical tree are colored according to the class type and branch labels represent putatively annotated chemical features at subclass level based on chemical taxonomy. Bar graphs at the leaf tips illustrate relative abundance of molecules across groups.



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## Supplementary Files

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