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## Lung cancer models reveal SARS-CoV-2-induced EMT contributes to COVID-19 pathophysiology

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### Running Title

SARS-CoV-2 induces EMT changes in ACE2-positive epithelial cells

### Abstract

COVID-19 is an infectious disease caused by SARS-CoV-2, which enters host cells via the cell surface proteins ACE2 and TMPRSS2. Using a variety of normal and malignant models and tissues from the aerodigestive and respiratory tracts, we investigated the expression and regulation of *ACE2* and *TMPRSS2*. We find that *ACE2* expression is restricted to a select population of highly epithelial cells. Notably, infection with SARS-CoV-2 in cancer cell lines, bronchial organoids, and patient nasal epithelium, induces metabolic and transcriptional changes consistent with epithelial to mesenchymal transition (EMT), including upregulation of *ZEB1* and *AXL*, resulting in an increased EMT score. Additionally, a transcriptional loss of genes associated with tight junction function occurs with SARS-CoV-2 infection. The SARS-CoV-2 receptor, ACE2, is repressed by EMT via TGFbeta, ZEB1 overexpression and onset of EGFR TKI inhibitor resistance. This suggests a novel model of SARS-CoV-2 pathogenesis in which infected cells shift toward an increasingly mesenchymal state, associated with a loss of tight junction components with acute respiratory distress syndrome-protective effects. AXL-inhibition and ZEB1-reduction, as with bemcentinib, offers a potential strategy to reverse this effect. These observations highlight the utility of aerodigestive and, especially, lung cancer model systems in exploring the pathogenesis of SARS-CoV-2 and other respiratory viruses, and offer important insights into the potential mechanisms underlying the morbidity and mortality of COVID-19 in healthy patients and cancer patients alike.

## Introduction

In December 2019, reports of a viral illness with severe respiratory symptoms emerged from Wuhan, China<sup>1,2</sup>. The novel virus was classified as a coronavirus and subsequently named SARS-CoV-2. It exhibited rapid global spread and met the World Health Organization's criteria of a pandemic within three months following the first reported diagnosis (who.int). As of January 27, 2021, more than 99 million individuals have been infected worldwide with over 2.1 million deaths (covid19.who.int). SARS-CoV-2 infection is the cause of the respiratory illness COVID-19, which presents most frequently with symptoms including cough and dyspnea, accompanied by a moderate to high fever<sup>2,3</sup>. The severity of patient symptoms following SARS-CoV-2 infection varies widely from asymptomatic carrier-status to critical illness. Given the specific complications associated with infection, it is not initially surprising that patients with cancer and, specifically, thoracic malignancies seem to experience poorer clinical outcomes<sup>4,5</sup>. However, it is unclear whether these poorer outcomes represent the impact of demographics (e.g. age, smoking status, gender) or cellular and molecular changes associated with the tumor and its microenvironment.

While there are currently no validated molecular biomarkers for susceptibility to, or severity of, SARS-CoV-2 infection, it has recently been described that, as with SARS-CoV, SARS-CoV-2 cell entry requires interactions with angiotensin-converting enzyme 2 (ACE2) and transmembrane serine protease 2 (TMPRSS2) on the surface of the host cell<sup>6</sup>. Specifically, ACE2 binds to a subunit of the SARS-CoV-2 spike (S) protein, while TMPRSS2 is responsible for S-protein cleavage, or priming, to allow fusion of viral and host cellular membranes<sup>6</sup>. Differences in these receptors has been described in certain populations harboring higher allelic frequency of mutations and coding variants associated with higher *ACE2* expression<sup>7</sup>. Notably, ACE2 has previously been shown to exert a protective effect toward the development of acute respiratory distress syndrome (ARDS) – one of the most common and lethal complications of SARS-CoV-2 infection<sup>8,9</sup>. Given the propensity for ARDS in SARS-CoV-2 infected patients, this protective effect initially seems paradoxical, but previous data on SARS-CoV-1 suggest that subsequent to infection ACE2 expression is downregulated, thus tipping the balance in favor of acute lung injury<sup>10</sup>.

As the presence of ACE2 and/or TMPRSS2 may be rate-limiting for SARS-CoV-2 infection, we utilized bulk and single-cell transcriptional data from a combination of normal and malignant tissue samples and models from the aerodigestive and respiratory tracts to explore mechanisms governing the expression of *ACE2* and *TMPRSS2*. Our bulk data suggests that aerodigestive and lung cancer cell lines have a broad range of *ACE2* and *TMPRSS2* expression and would serve as good models for studying SARS-CoV-2 infection, consistent with the observation that SARS-CoV-2 virus has the ability to infect several lung cancer cell lines (e.g., Calu-3 and A549)<sup>6,11</sup>. Furthermore, while the *normal* aerodigestive and respiratory tracts represent key points of viral entry and infection, limiting an investigation to *normal* tissue may unnecessarily limit available samples for analysis and, especially, limit the range of cellular and molecular phenomena represented by those samples.

Meanwhile, single-cell analyses demonstrate that while *TMPRSS2* is widely expressed in normal respiratory epithelium, *ACE2* expression is limited to a small collection of cells<sup>12</sup> and, therefore, may be a limiting factor for infection or drive dependence on other mechanisms, such as putative AXL-mediated cell entry<sup>13-17</sup>. There have been numerous reports of patients

developing loss of chemosensation (taste, smell, etc.) following SARS-CoV-2 infection – an observation that pointed to another small collection of cells<sup>18,19</sup>. Tuft, or brush, cells are rare chemosensory cells present in the aerodigestive and respiratory tracts, among other sites, that mediate taste and smell, along with innate immune response<sup>20,21</sup>. These cells, which are epithelial in nature, strongly express *POU2F3*, a transcription factor that is also highly expressed in certain lung cancers, including a subset of small cell lung cancer<sup>22-25</sup>. Our analyses indeed suggest that *ACE2* expression is enriched in tuft cells compared to non-tuft cells within the respiratory tract. However, this was not absolute and, thus, we considered broader regulatory mechanisms that might govern *ACE2* expression. Previous literature has proposed, inconsistently, both positive and negative associations between *ACE2* expression and epithelial differentiation<sup>26,27</sup>, while tuft cells, in which *ACE2* is enriched in our analysis, are highly epithelial<sup>21</sup>. We assessed the relationship between *ACE2* and epithelial differentiation in numerous aerodigestive and respiratory datasets, and found striking and consistent positive correlations with transcriptional, microRNA, and metabolic signifiers of epithelial differentiation in virtually all datasets analyzed.

Finally, we consider the role that regulators of epithelial to mesenchymal transition (EMT) may play in modulation of *ACE2* expression. EMT is a well-characterized phenomenon critical to normal developmental processes, but co-opted by tumor cells to promote resistance and metastasis<sup>28</sup>. We provide evidence that the miR-200 family – zinc finger E-box-binding homeobox 1 (*ZEB1*) pathway, which is an established regulator of EMT<sup>29,30</sup>, also directly regulates *ACE2* expression, likely via putative *ZEB1* repressor sites located in the *ACE2* promoter. Furthermore, we highlight that SARS-CoV-2 infection both *in vitro* and in patients yields increased expression of EMT-associated genes, including *ZEB1* and *AXL* and metabolic derangements (e.g. a shift away from glutamine and toward glutamate) characteristic of EMT<sup>31,32</sup>. Inhibition of *AXL*, a mesenchymal receptor tyrosine kinase, with bemcentinib both induces *ACE2* and represses *ZEB1* and may serve as a therapy to shift SARS-CoV-2 infected cells away from a mesenchymal phenotype (i.e., reverse EMT). Additionally, viral infection of epithelial cells, which are glutamine-dependent with high *GLUL* expression and presumably more metabolically-primed for replication, promotes rapid replication due to the enhanced dependence on glutamine for nucleotide synthesis and subsequently depletes glutamine stores<sup>32</sup>.

The association between EMT and lung injury/ARDS has been described previously, with the central pathogenesis being the breakdown of the alveolar epithelial barrier<sup>33,34</sup>. Intriguingly, previous data demonstrated that over-expression of miR-200 family members (which inhibit *ZEB1* expression) or direct exogenous silencing of *ZEB1* has a protective effect in a murine, lipopolysaccharide-induced model of ARDS<sup>35</sup>. In this context, our findings support a novel model for the pathogenesis of SARS-CoV-2 in which the virus initially infects a small pool of highly epithelial cells of the aerodigestive and respiratory tracts followed by those infected cells undergoing molecular alterations typical of EMT (i.e., *ZEB1/AXL* upregulation). These EMT-like alterations, in turn, result directly in the down-regulation of genes associated with tight junctions and, in doing so, eradicate the proposed ARDS-protective effect of these epithelial, *ACE2*-positive cells.

## Results

### *Expression of ACE2 by cancer epithelial cells*

*ACE2* is widely expressed in normal tissues from Genotype-Tissue Expression (GTEx) Project (<http://www.gtexportal.org>) and was highest in testis and small intestine and lowest in spleen and blood with moderate expression in lung and salivary glands, which are sites of SARS-CoV-2 transmission and/or infection (Supplemental Figure 1a). Transcriptional data is shown as normalized relative gene expression. High levels of *ACE2* in the small intestine may explain reports of gastrointestinal distress in COVID-19 patients<sup>36</sup>. To leverage cancer cell lines and patient tumors to SARS-CoV-2 research, we explored expression of the receptors, *ACE2* and *TMPRSS2*, and discovered a negative correlation with EMT. This was validated in SARS-CoV-2-infected samples and led to investigation of ways to manipulate EMT in an effort to minimize infection and/or ARDS in patients (Figure 1a). *ACE2* expression in aerodigestive and respiratory cancer cell lines (Figure 1b) and both normal and tumor specimens (Figure 1c) is consistently higher in specimens with a low EMT score, suggesting that *ACE2* is primarily expressed by epithelial cells in these cancers<sup>37-48</sup>. The EMT score uses 76 genes to define the degree to which cells or tissues have undergone EMT and has been applied in TCGA projects<sup>49-51</sup>. This observation is confirmed by a consistent negative correlation of *ACE2* and EMT score in all nine cell line and tumor data sets (Table 1). *TMPRSS2*, the protease also required for SARS-CoV-2 infection, is similarly expressed in models with epithelial gene signatures (Figure 1b,c) and highly correlated with *ACE2* expression in cancer models (Table 1). To determine whether expression levels of *ACE2* were different in normal tissues and tumors, we compared expression in TCGA tumor datasets with paired normal, adjacent samples. Both normal and lung adenocarcinoma (LUAD) tissues have similar *ACE2* expression (TCGA LUAD P=0.21) and EMT score (TCGA LUAD P=0.87) (Supplemental Figure 1b), although a greater range of expression (both higher and lower) could be observed in tumors<sup>52-54</sup>. Interestingly, and in contrast to some previous reports, no difference in *ACE2* expression was detected in normal lung tissue (adjacent to TCGA LUAD) with regards to smoking status, gender or age (Supplemental Figure 1c).

In addition to *ACE2* mRNA expression, we screened a cohort of NSCLC and SCLC cell lines for *ACE2* protein levels by reverse phase protein array (RPPA; Figure 1d) and within a subset of NSCLC cell lines we analyzed cell surface expression of NSCLC cell lines by flow cytometry (Figure 1e). Similar to gene expression, *ACE2* levels negatively correlate with mesenchymal proteins, including vimentin (Supplemental Figure 1d). To go beyond the quantitative, gene-based EMT score, we investigated expression of *ACE2* mRNA compared to specific epithelial (i.e., E-cadherin, RAB25, beta-catenin) or mesenchymal (i.e., vimentin, ZEB1) proteins by RPPA analysis. *ACE2* was higher in non-small cell lung cancer (NSCLC) cell lines (P<0.008) and TCGA tumors (P<0.001; Figure 1f) with higher levels of epithelial proteins, consistent with the mRNA associations and EMT score.

*ACE2* is only expressed by a small subset of cells (0-4.7%) at the single-cell transcriptional level in normal respiratory tissues, as well as in oral cavity tumors and lung cancer xenograft models (Table 2)<sup>55-59</sup>. However, in all datasets, the rare, *ACE2*-positive cells consistently have a low, or more epithelial, EMT score. In both fibrotic and donor lung samples, *TMPRSS2* is more widely expressed than *ACE2*, while *ACE2* expression is found more frequently in *TMPRSS2*-positive cells (Figure 1g, Supplemental Table 1) relative to *TMPRSS2*-negative cells. As studies suggest both are required for infection, these data, indicate that *ACE2* expression is more limiting than *TMPRSS2* expression for SARS-CoV-2 infection within respiratory epithelium.

*POU2F3* is a marker of tuft cell expression and is correlated with *ACE2* expression in many aerodigestive and lung cancer data sets (Table 1). *ACE2* protein levels also correlate with *POU2F3* in both NSCLC (Rho=0.221, P=0.01) and SCLC (Rho=0.673, P<0.0001) cell lines. Similar to the observation for co-expression with *TMPRSS2*, *ACE2* expression is more often detected in *POU2F3*-positive than negative cells (Figure 1g, Supplemental Table 1). This suggests that tuft cells may be preferentially targeted by SARS-CoV-2 infection in aerodigestive tissues. These rare, *ACE2*-positive cells can be visualized in tSNE space (Supplemental Figure 2a) and demonstrate a low EMT score on a cell-by-cell basis in both donor and fibrotic lung samples (Figure 1h) and oral cavity tumors (Supplemental Figure 2b). These *ACE2*-positive cells are localized within epithelial cell clusters with low EMT score that primarily consist of alveolar type II, ciliated and club cells (Supplemental Figure 2c) based on expression of cell-type specific markers including (*SFTPC* [alveolar type II cells], *FOXJ1* [ciliated cells], *SCGB1A1* [club cells]).

### *SARS-CoV-2 infection induces EMT*

We next sought to determine the impact of SARS-CoV-2 infection on the EMT status of the infected cell. We analyzed RNAseq data from NSCLC cell lines (A549 and Calu-3) infected for 24h with SARS-CoV-2 (GSE147507)<sup>60</sup>. Since A549 cells have low endogenous levels of *ACE2* expression, the authors additionally transduced these cells with a vector over-expressing human *ACE2* to improve infection. Expression of the epithelial gene *EPCAM* was downregulated following viral infection (Calu-3 P=0.03, A549+*ACE2* P=0.01 Figure 2a; A549 P=0.04 Supplemental Figure 3a), suggesting that infection is inducing a shift away from an epithelial phenotype. This was confirmed by *ZEB1* upregulation in all three cell lines (Calu-3 P=0.01, A549+*ACE2* P=0.05 Figure 2a; A549 P=0.003 Supplemental Figure 3a) following infection.

An alternative EMT regulator, *AXL*, which is a TAM (Tyro3, *AXL*, Mer) family receptor tyrosine kinase strongly associated with a mesenchymal phenotype, has emerged as a key determinant of therapeutic resistance in NSCLC and other cancer types<sup>49,61</sup>. Similar to *ZEB1*, *AXL* is inversely correlated with *ACE2* in cell line and tumor samples (Table 1). Furthermore, infection of cells with SARS-CoV-2 upregulated *AXL* expression in all three cell lines (Calu-3 P=0.004, A549+*ACE2* P=0.02 Figure 2a; A549 P<0.001 Supplemental Figure 3a). A similar induction of mesenchymal genes with viral infection was observed for *SNAI1* (Calu-3: FC=1.84, P=0.009; A549+*ACE2*: FC=7.43, P=0.01) and *ZEB2* (Calu-3: FC=6.74, P=0.002; A549+*ACE2*: FC=5.17, P=0.0001). However, EMT score was not different between mock or SARS-CoV-2 infected Calu-3 (FC= -1.09, P=0.14) or A549+*ACE2* cells (FC= -1.03, P=0.48) and this may be due to the relatively short period of viral infection (24h).

EMT is also known to downregulate tight junction components, including several members of the Claudin protein family<sup>62</sup>. Claudins are integral membrane proteins localized at tight junctions that are involved in regulating epithelial cell polarity and paracellular permeability. COVID-19 patients with ARDS suffer from pulmonary edema due in part to disruption of tight junctions within alveolar-epithelial barrier<sup>63</sup>. *CLDN2* is expressed in respiratory epithelium, but a role in mediating alveolar edema has not been described<sup>64</sup>. However, out of all Claudin family members, *CLDN2* was downregulated in all three cell lines (Calu-3 P=0.004, A549+*ACE2* P=0.02 Figure 2a; A549 P=0.008 Supplemental Figure 3a), suggesting a change in epithelial and endothelial cell permeability that may contribute to ARDS in patients with COVID-19. Similarly, there was a reduction in *TJP3*, the gene encoding a scaffolding protein that links tight

junction transmembrane proteins, such as claudins, to the actin cytoskeleton (Calu3: FC= -1.48, P=0.04; A549+ACE2: FC=-2.89, P=0.10) following viral infection. Evidence of a metabolic shift away from glutamine was observed post-infection, as *GLUL* expression was reduced in *ACE2*-high cell lines, but not in non-transfected A549 (Calu-3 P=0.01, A549+ACE2 P=0.01 Supplemental Figure 3b, A549 P=0.56). The *ZEB1* and *AXL* increase and *EPCAM* and *GLUL* decrease point toward a SARS-CoV-2 induced shift from an epithelial to mesenchymal phenotype.

To determine whether longer exposure to virus may induce additional EMT changes, we analyzed RNAseq data from human bronchial organoids developed from commercially available, cryopreserved adult bronchial epithelial cells infected with SARS-CoV-2 for five days (GSE150819)<sup>65</sup>. There was a subtle increase in both *ZEB1* (P=0.12) and *AXL* (P=0.14), as well as other mesenchymal genes, including *VIM* (FC=1.56, P=0.01), *ZEB2* (FC=3.23, P=0.22), *SNAI1* (FC=4.75, P=0.05), *CDH2* (FC=2.06, P=0.02). Importantly, longer SARS-CoV-2 infection increased the EMT score (P=0.05; Figure 2b). While these shifts are not as strong as those seen in the cell lines, there is a clear pattern for expression of the epithelial and mesenchymal genes making up the EMT score by heatmap (Figure 2c). Similar to the NSCLC cell lines, SARS-CoV-2 infection downregulated expression of tight junction-related genes, including *CLDN8* (FC=-2.66, P=0.009) and *CLDN17* (FC=-1.62; P=0.05).

Finally, to evaluate whether viral-induced EMT also occurs in patients, we analyzed metagenomic next-generation sequencing data of human nasopharyngeal swabs from 430 PCR-confirmed SARS-CoV-2 and 54 negative control individuals (GSE154770)<sup>66</sup>. SARS-CoV-2 infection downregulated expression of *EPCAM* (P<0.0001) and upregulated *ZEB1* (P<0.0001) and *AXL* (P<0.0005; Figure 2d). Similar patterns were found with other epithelial genes, including *RAB25* (FC=-2.35; P<0.0001) and *CTNNB1* (FC=-3.38; P<0.0001) or mesenchymal genes, including *ZEB2* (FC=8.14, P<0.0001). As expected, viral infection was associated with an increased EMT score (P<0.0001; Figure 2d) and *TGFB1* expression (FC=2.75, P<0.0001). Interestingly, EMT gene expression was not impacted by viral load (Supplemental Figure 3c), as defined by the cycle threshold (Ct) of the SARS-CoV-2 nucleocapsid gene region 1 (N1) target during diagnostic PCR<sup>66</sup>. This suggests that EMT is induced in cells, regardless of the viral burden in the patient. Consistent with the previous metabolic data, SARS-CoV-2 infection induces expression of *GLS*, indicating a shift away from glutamine production (P=0.009; Supplemental Figure 3d). Similar to the cell lines and bronchial organoids, SARS-CoV-2 infection reduces expression of genes associated with tight junctions (Figure 2e), including *CLDN4* (FC=-6.63, P<0.0001), *CLDN7* (FC=-6.59, P<0.0001), *CLDN3* (FC=-5.14; P<0.0001), *CLDN9* (FC=-1.72; P<0.0001), *CLDN23* (FC=-1.95, P=0.0002), *TJP2* (FC=-1.58, P=0.05), and *TJP3* (FC=-2.22, P<0.0001).

#### *Induction of EMT represses ACE2 expression*

To connect differences in *ACE2* expression to a more specific EMT regulatory program, we investigated miRNAs in both lung cancer and head and neck cell lines and tumor samples. Interestingly, miRNAs from the miR-200 family were consistently positively correlated with *ACE2*<sup>67</sup>. As expected, given their known role as inhibitors of EMT, high miR-200 family expression was seen in cells and tumors with high *CDH1* (an epithelial-specific marker) and low EMT score and *ZEB1*, the latter a transcriptional promoter of EMT and target of miR-200 family (Figure 3a; Supplemental Figure 3e). The miR-200 family were correlated with *ACE2* expression

in NSCLC<sup>68</sup>, SCLC and HNSCC cell lines ( $P < 0.01$ ; Figure 3b; Supplemental Figure 3e)<sup>69</sup> and both TCGA LUAD and HNSC tumors (Figure 3b). However, forced miR-200 expression in 344SQ lung adenocarcinoma cells with high metastatic potential was not sufficient to alter *ACE2* expression (Supplemental Figure 3f2e)<sup>70</sup>. miR-200 family members repress *ZEB1* expression, so unsurprisingly *ACE2* is negatively correlated with *ZEB1* in cancer cell lines and tumors (Table 1). *ACE2* similarly is negatively correlated with a number of other EMT-associated genes, including *ZEB2*, *SNAI1*, *SNAI2*, *TWIST1*, and *CDH2* (Supplemental Table 2). While these correlations are almost invariably negative, as expected, none of these genes demonstrate a negative correlation as robust and consistent across datasets between *ACE2* as EMT score or *ZEB1*.

To determine whether transformation from an epithelial to mesenchymal phenotype (EMT) directly alters *ACE2* expression, we utilized various methods to induce EMT, including treatment with TGF $\beta$ , overexpression of *ZEB1*, and EGFR TKI resistance. Two highly epithelial NSCLC cell lines cultured with TGF $\beta$  for 3 to 5 weeks<sup>71</sup>, increased the EMT score (Supplemental Figure 4a). Induction of EMT resulted in a loss of *ACE2* in H358 and HCC827 ( $P = 0.03$  and  $P = 0.06$ , respectively; Figure 3c). Additionally, both cell lines exhibited increased *ZEB1* following EMT induction ( $P < 0.0001$ ; Figure 3d). The experiment also included EMT induction in A549 cells, but this line is more mesenchymal with low endogenous *ACE2* expression at baseline and TGF $\beta$  was unable to reduce already minimal *ACE2* ( $P = 0.23$ , data not shown).

Accordingly, NSCLC and head/neck squamous cell carcinoma (HNSCC) cell lines demonstrate an inverse correlation between *ACE2* and *ZEB1* (Figure 3e). Computational investigation of the *ACE2* promoter using the JASPAR database revealed five putative *ZEB1* binding sites, suggesting that *ZEB1* may directly repress *ACE2* expression<sup>72</sup>. Two of these predicted binding sites (*ZEB1*-EBOX3, *ZEB1*-EBOX4) were confirmed by chromatin immunoprecipitation (ChIP)seq to be functional binding sites for *ZEB1* in the *ACE2* promoter in HepG2, a hepatocellular cell line (Supplemental Figure 4b). Consistent with this, induction of EMT via over-expression of *Zeb1* in 393P murine lung adenocarcinoma cells results in a more than 2-fold reduction in *Ace2* expression ( $P = 0.091$ ; Figure 3f)<sup>68</sup>. Furthermore, constitutive overexpression of *ZEB1* in HCC827 cells<sup>68,73,74</sup> reduced cell surface expression of *ACE2* compared to either the parental cell line ( $P = 0.05$ ) or the vector control ( $P = 0.07$ ; Figure 3g). As expected, overexpression of *ZEB1* increased vimentin levels (compared to parental,  $P < 0.0001$  or vector control,  $P = 0.002$ ; Figure 3h). *ACE2* is repressed by EMT and this is mediated, at least in part, by *ZEB1*.

*EGFR*-mutated NSCLCs are routinely and effectively treated with EGFR TKIs, but resistance inevitably develops via characteristic mechanisms including secondary *EGFR* resistance mutations (i.e. T790M) and, notably, EMT<sup>75</sup>. Thus, we evaluated expression of *ACE2* in *EGFR*-mutated NSCLC cells (HCC4006 and HCC827) treated with the EGFR TKI erlotinib until resistance occurred<sup>76</sup>. *ACE2* and *TMPRSS2* were downregulated in EGFR TKI resistant lines that had undergone EMT in comparison to parental (EGFR TKI sensitive cells) (Figure 3i). Separately, PC-9 cells which also harbor *EGFR* activating mutations and display high baseline levels of *ACE2* were treated with the EGFR TKI gefitinib for three weeks until resistance emerged through EMT<sup>77</sup> or though acquired T790M resistance mutations<sup>78</sup>. *ACE2* was downregulated in gefitinib-resistant cells that had undergone an EMT but not in resistant T790M+ resistant cells that maintained an epithelial phenotype. (Figure 3i). These findings are



consistent with the notion of *ACE2* repression by the EMT-regulator ZEB1. In xenograft models, mice bearing PC-9 xenograft tumors treated with vehicle or the EGFR TKI osimertinib for three weeks to induce resistance through EMT were transcriptionally profiled by single-cell RNAseq<sup>59</sup>. *ACE2* was only detectable in vehicle-treated tumor cells, suggesting that *ACE2* expression was lost in tumors that had undergone EMT.

#### *Metabolic signifiers of ACE2-positive cells*

In order for a virus to efficiently replicate, it must hijack the host cell's mechanism for obtaining macromolecules including amino acids and nucleotides<sup>79,80</sup>. Previously, several viruses have been identified to induce host cell metabolic reprogramming to satisfy the biosynthetic and energy requirements needed for replication<sup>81-84</sup>. To identify metabolic features of cells able to be infected by SARS-CoV-2, metabolites associated with *ACE2* expression were investigated. *ACE2* expression correlates with glutamine in upper aerodigestive tract cell lines ( $P < 0.01$ ; Supplemental Figure 4d)<sup>85</sup> and *ACE2* levels correlate with glutamine in a subset of NSCLC cell lines ( $\rho = 0.89$ ,  $P = 0.02$ ; Supplemental Figure 4e). Consistent with this finding, *ACE2* expression correlation with a list of 253 metabolism-associated genes and *GLUL*, which encodes an enzyme (glutamine synthetase) responsible for conversion of glutamate to glutamine, was identified in NSCLC, HNSCC, and SCLC cell lines (Supplemental Figure 4f) and was confirmed in the tumor data sets (Table 1). In addition to *GLUL*, *DERA* (deoxyribose-phosphate aldolase) and *SLC6A14* (solute carrier family 6 member 14) were identified and are implicated in nucleotide metabolism and amino acid (including glutamine) transport, respectively (Supplemental Figure 4f). Interestingly, *GLS*, which encodes the enzyme (glutaminase) that catalyzes the opposing reaction, in which glutamine is converted to glutamate, is negatively correlated with *ACE2* expression (Table 1). Next, we evaluated whether ZEB1 is a regulator of metabolism. Unlike *Ace2*, *Zeb1* is unable to directly regulate *Glul* expression in murine 393P cells overexpressing *Zeb1* ( $P = 0.23$ , Supplemental Figure 4g). This was confirmed by *ZEB1* gene silencing and overexpression analyses (data not shown), suggesting that the metabolic changes are not directly controlled by ZEB1. Interestingly, *GLUL* was downregulated in EGFR TKI gefitinib-resistant cells that had undergone an EMT but not in resistant T790M+ resistant cells that maintained an epithelial phenotype. (Figure 3i). Similarly, *GLUL* expression was reduced following osimertinib-resistance that occurred through EMT (Supplemental Figure 4c). Taken together, glutamine synthetase-catalyzed conversion of glutamate to glutamine in epithelial cells aims to increase production of amino acids and nucleotides, while in mesenchymal cells, glutaminase converts glutamine to glutamate to preferentially shift toward the tricarboxylic acid (TCA) cycle, thus priming epithelial cells for viral infection.

#### *EGFR/HER family link to ACE2*

NSCLC encompasses a heterogeneous group of lung cancers with unique molecular features. To determine whether any previously characterized NSCLC subsets were linked to differential *ACE2* expression, we compared common driver mutation subsets of the TCGA LUAD tumors and found that *EGFR*-mutant LUAD tumors had significantly higher *ACE2* expression ( $P = 0.001$ ; Supplemental Figure 4h). *EGFR*-mutated tumors have constitutive activation of the epidermal growth factor receptor (EGFR) and downstream signal transduction pathway. Accordingly, higher *ACE2* expression was associated with increased sensitivity to EGFR tyrosine kinase inhibitors (TKIs), as visualized by drug target constellation (DTECT) map (Supplemental Figure 3i). Moreover, *ACE2* expression was broadly correlated with increased expression of several EGFR/HER family members, including *EGFR*, *ERBB2* and *ERBB3* in cell lines and tumors

(Supplemental Figure 3j), consistent with its preferential expression in epithelial cells. A similar link between EGFR inhibition and COVID-19 patients has been described previously<sup>86</sup>.

### *Reversal of EMT*

We hypothesized that bemcentinib (BGB324, or R428), an inhibitor of the mesenchymal receptor tyrosine kinase AXL with a known anti-viral effect, may offer therapeutic benefit through a reversal of EMT<sup>87</sup>. Bemcentinib downregulated ZEB1 expression in mesenchymal cell lines, 393P overexpressing Zeb1 (P=0.003) and Calu-1 (P=0.21) (Figure 4a). In Calu-1 cells, bemcentinib also downregulated vimentin and upregulated both E-cadherin and ACE2. Similarly, 48h of bemcentinib-treatment in HCC827 parental, vector control, and ZEB1 constitutive overexpressing lines<sup>68,73,74</sup> induced ACE2, as confirmed by densitometry normalized to vinculin controls (Figure 4b). In contrast, hydroxychloroquine treatment was unable to consistently alter ACE2 or GLUL levels in a range of NSCLC cell lines (Figure 4c), indicating that this treatment is unable to alter EMT.

Together, these data suggest that SARS-CoV-2 infects rare, epithelial, ACE2- and TMPRSS2-positive cells in the aerodigestive and respiratory tracts that are metabolically-primed for glutamine synthesis and rapid replication (Figure 4d). Once a cell is infected with SARS-CoV-2, there is a shift to a more mesenchymal phenotype characterized by high ZEB1 and AXL, putatively lower levels of miR-200, and a decreased dependence on glutamine synthesis. Viral infection and the resultant mesenchymal shift and ZEB1 increase may have devastating implications on the risk and pathogenesis of ARDS in COVID-19 patients (Figure 4d).

### **Conclusion**

The ultimate public health impact of the SARS-CoV-2 pandemic has not yet been fully realized. While increased molecular understanding of the virus-host interactions is sorely needed, the impact of the pandemic itself has created practical limitations on laboratory-based research due to wide-ranging institutional restrictions. Despite these restrictions, an unprecedented collaborative research effort has ensued, with little regard for geographic and public-private boundaries that so often impose their own limitations. In the study above, we present an effort that not only incorporates our own unique cancer data sets, but also utilizes a plethora of publicly available data from basic scientists, clinicians, and bioinformaticians around the world. The linkage of regulation of *ACE2* expression and EMT, the latter an uncommon phenomenon in *normal* tissue, but well-defined in cancer cells, also highlights the value of considering malignant tissue and models for the investigation of SARS-CoV-2.

In this study, we describe a novel model for the regulation of ACE2, which others have shown is essential for the host cell entry of SARS-CoV-2<sup>6</sup>. In contrast to reports of patient susceptibility to SARS-CoV-2 due to age, gender, smoking history, or thoracic malignancies<sup>4,5,88,89</sup> we were unable to identify consistent differences in ACE2 expression on the basis of these variables. Our data suggest that *ACE2* expression is restricted in both normal and malignant aerodigestive and respiratory tissues almost exclusively to epithelial cells, including highly specialized POU2F3-positive tuft cells, and that this restriction reflects regulatory mechanisms shared with EMT. While others have observed a putative relationship in other contexts between ACE2 and EMT, these observations were inconsistent, supporting roles for ACE2 as an inducer and an inhibitor of EMT<sup>26,27</sup>. In virtually all explored datasets, ranging from cancer cell lines to normal respiratory tissue, we observed a strong and consistent negative correlation between *ACE2*

expression and EMT. This relationship is observed even on a cell-by-cell basis, as scRNAseq illustrates that virtually every cell with detectable *ACE2* expression is epithelial based on our published EMT score<sup>50</sup>.

The negative correlation between EMT and *ACE2* was not limited to expression analyses, as metabolomic analysis revealed that *ACE2* expression is strongly correlated with high levels of glutamine and the expression of *GLUL*, the enzyme responsible for the conversion of glutamate to glutamine. High levels of glutamine are characteristic of epithelial differentiation, while a shift from glutamine to glutamate is associated with EMT. We propose that SARS-CoV-2 targets these *ACE2*-expressing, metabolically-primed epithelial cells, in order to exploit the abundant nucleotides for rapid replication and viral spread. The consequence of SARS-CoV-2 infection is induction of EMT and subsequent upregulation of GLS, resulting in a depletion of glutamine following SARS-CoV-2 infection in patients<sup>32</sup>.

Our subsequent analyses demonstrate that, beyond mere correlations, various strategies to manipulate EMT result in predictable alterations in the expression of *ACE2*. For example, treatment of cells with TGF $\beta$  or overexpression of ZEB1 induces EMT and decreased expression of *ACE2*. Similarly, in *EGFR*-mutant lung adenocarcinoma, in which there is relatively higher *ACE2* expression, prolonged treatment with an *EGFR*-targeting TKI may result in multiple mechanisms of therapeutic resistance, of which EMT is a common one. Our results demonstrate that *ACE2* is downregulated when EMT is the underlying resistance mechanism, but not when resistance emerges due to development of secondary resistance mutations in *EGFR*. Coincident with the loss of *ACE2* expression is the downregulation of *GLUL*, to promote glutamate and enable production of TCA intermediates and energy.

EMT is a complex process regulated by an intricate molecular network and we hoped to discern whether any of the specific pathway(s) that regulate EMT also mediate *ACE2* expression. An investigation into one of the transcriptional mechanisms underlying EMT and its association with *ACE2* revealed consistent positive correlations across tissue and sample types with the miR-200 family of microRNAs. The miR-200 family has a well-established role as an inhibitor of EMT via the downregulation of the transcriptional repressor ZEB1. Predictably, a strong negative correlation was observed between *ACE2* and *ZEB1*. The repression of *ACE2* by ZEB1 is mediated via two repressor binding sites for within the *ACE2* promoter and forced over-expression of *ZEB1* results in a more than two-fold decrease in *ACE2* expression, but not *GLUL*. ZEB1 regulation occurs via SNAI1 and/or TWIST1 while SNAI1 induces nuclear translocation of ETS1, which is required for ZEB1 expression<sup>90</sup>. It is likely that EMT induction of these genes by SARS-CoV-2 results in increased ZEB1. Finally, we observed that SARS-CoV-2 infected lung cancer cell lines, bronchial organoids and patient nasopharyngeal swabs demonstrate significant shifts toward several EMT-like features including upregulation of *ZEB1* and downregulation of *EPCAM*.

Together, our data, in the context of the ever-growing literature on SARS-CoV-2, suggest that *ACE2* expression is necessary for initial viral entry and, therefore, is relatively high in those cells infected by the virus. These cells are epithelial and, in our data sets, unexpectedly rare, considering the devastating impact of this infection. Following viral entry, however, SARS-CoV-2 infection induces molecular changes within the cells that are reminiscent of EMT – especially the increased expression of *ZEB1*. These SARS-CoV-2-induced changes, compounded by the

downregulation of genes, including tight junction components, which play a critical role in restricting epithelial and endothelial permeability, exposes respiratory cells to increased risk of edema and ARDS. Interestingly, previous reports with SARS-CoV(-1) demonstrate that lung cells, including alveolar epithelial cells, express higher levels of TGF $\beta$ , a key regulator of EMT<sup>91</sup>. It is not surprising that a similar mechanism would occur with a similar coronavirus, such as SARS-CoV-2.

While these data demonstrate an intriguing mechanism for the molecular virus-host interaction, it is critical to consider how these observations may be harnessed to develop strategies to improve patient outcomes. This, as always, is complex. Would a therapeutic effort focused on prevention of the initial infection by decreasing ACE2 levels, for example with an inhibitor of the miR-200 family, have unforeseen consequences such as increased risk of ARDS? Similarly, would therapeutic efforts post-infection aimed at preventing SARS-CoV-2 induced ACE2 downregulation, for example with a miR-200 mimetic, serve to place adjacent, newly ACE2-positive cells at risk for infection? Several large cohort observational studies on ACE inhibitors and angiotensin II receptor blockers (ARBs), which upregulate ACE2 expression, did not show association with either SARS-Cov-2 infection or risk of severe COVID-19<sup>92</sup>. Interestingly, a human recombinant soluble ACE2 which has already been tested in phase 1 and phase 2 clinical trials for ARDS showed inhibition of SARS-CoV-2 infection in human capillary organoids and human kidney organoids<sup>93</sup>.

Based on the findings in our study, an attractive alternative therapeutic strategy would be to reverse EMT with an AXL inhibitor. AXL is overexpressed in several solid and hematologic malignancies, including non-small cell lung cancer (NSCLC), acute myeloid leukemia (AML), breast and prostate cancer, among others. In the context of malignancy, AXL overexpression can drive EMT, tumor angiogenesis, and decreased antitumor immune response<sup>61</sup>. Furthermore, AXL inhibitor, gilteritinib, was identified to have anti-viral activity against SARS-CoV-2<sup>94</sup>. Bemcentinib, a highly selective and potent inhibitor of AXL, currently being tested in phase 2 trials in various malignancies including NSCLC, AML and breast cancer. In preclinical models, bemcentinib has already demonstrated potent anti-viral activity in Ebola and Zika viruses<sup>14,95</sup>. Recent data suggests that bemcentinib inhibits SARS-CoV-2 viral entry into cells and also prevents inhibition of type I interferon, which is the host cells' innate antiviral immune response. In contrast to AXL inhibition, hydroxychloroquine, an alternative treatment for COVID-19 patients, does not demonstrate any ability to reverse EMT. Accordingly, bemcentinib was recently fast-tracked as the first potential treatment for assessment in the United Kingdom's ACcelerating COVID-19 Research & Development (ACCORD) multicenter, randomized Phase II trial<sup>17</sup>. However, the trial has ceased in the UK due to low numbers of COVID-19 patients and the company is seeking regulatory approval to conduct a similar trial in a country with high COVID-19 incidence. Nevertheless, these data reveal EMT, along with associated proteins such as ZEB1 and AXL, as novel therapeutic targets of interest for combating COVID-19.

## Methods

### *Transcriptional sequencing datasets*

RNAseq analysis datasets from normal tissues were obtained from GTEx Portal (<http://www.gtexportal.org>) and tumor samples were retrieved from published and unpublished datasets in multiple human tissues, including TCGA LUAD<sup>43</sup>, TCGA HNSC<sup>44</sup>, TCGA LUSC, PROSPECT<sup>45</sup>, BATTLE 1<sup>46</sup>, and BATTLE 2<sup>47</sup>. Cell line RNAseq data were obtained from

multiple sources, including CCLE upper aerodigestive tract (n=32), LCNEC cell lines (n=15)<sup>42</sup>, as well as NSCLC (n=88)<sup>37,38</sup>, HNSCC (n=70)<sup>40</sup>, and SCLC (n=62)<sup>48</sup>.

Transcriptional data from experimental datasets were publicly available, including EMT induction by three weeks of TGF $\beta$ <sup>71</sup>, 393P murine cells with Zeb1 overexpression<sup>68</sup>, erlotinib-resistance via EMT in *EGFR*-mutated HCC4006 and HCC827 NSCLC cell lines<sup>76</sup>, gefitinib-resistant PC-9 cells via EMT<sup>77</sup>, T790M-mediated gefitinib-resistance in PC-9 cells<sup>78</sup>, Calu-3 or A549 transduced with a vector expressing human ACE2 were mock infected or infected with SARS-CoV-2 (USA-WA1/2020)<sup>60</sup>, forced miR-200 expression in 344SQ lung adenocarcinoma cells with high metastatic potential<sup>70</sup>, human bronchial organoids were infected with SARS-CoV-2 for five days<sup>65</sup>, and nasopharyngeal swabs from patients with positive or negative SARS-CoV-2 PCR results<sup>66</sup>.

Single-cell RNAseq data were obtained from publicly available sources, including bronchial epithelial cells obtained by bronchial brushings from six never smokers and six current smokers<sup>96</sup>, oral cavity tumors from 18 tumors<sup>55</sup>, five normal donor and eight fibrotic lungs<sup>57</sup>, freshly resected parenchymal lung tissue from three patients<sup>58</sup>, bronchial biopsy, nasal brushing, nasal turbinate specimens (GSE121600), and PC-9 *EGFR*-mutant NSCLC cell xenograft tumors treated with vehicle or osimertinib for three weeks<sup>59</sup>.

ChIPseq analysis of ZEB1 binding in the *ACE2* promoter of HepG2, human hepatocyte carcinoma cells, as previously described<sup>97</sup>.

#### *Flow Cytometry*

One million cells each for HCC2302, H3255, HCC827, H1944, H441, H2023, HCC364, A549, H1355, HCC827 vector, and HCC827 ZEB1 were surfaced stained with ACE2 (Santa Cruz; sc-390851) then fixed in 2% PFA and permeabilized using 1X Intracellular Staining Perm Wash Buffer (BioLegend; 421002) prior to intracellular staining with Vimentin (BD Pharmingen; 562338). Samples were analyzed on a BD LSRFortessa Flow Cytometer and data was analyzed using FlowJo 10.7.1. Graphs and statistical analysis were done using GraphPad Prism 8.

#### *Metabolite Assay*

One million cells each for 393P ZEB1 (DMSO 16h), 393P ZEB1 (DOX 16h), H441, H1944, HCC2302, HCC827, H2023, and H1355 were harvested. Cell lysates were prepared by addition of 0.3N hydrochloric acid then 450nM Tris (pH=8) and metabolites were analyzed using the Glutamine/Glutamate Glo Assay (Promega; J8021) per manufacturer's instruction.

#### *Drug treatment of cells*

393P-Zeb1 inducible murine NSCLC cells were treated with AXL inhibitor, bemcentinib (0.5  $\mu$ M), or DMSO for total 24h (8h pretreatment with bemcentinib or DMSO, followed by induction of Zeb1 with doxycycline (2  $\mu$ g/mL) and an additional 16h drug treatment). Five NSCLC cell lines with varying levels of ACE2 were treated with hydroxychloroquine sulfate (Sigma) for 48h. Cell lysates were harvested for western blot or RPPA analysis. Antibodies used for western analysis include ACE2 (MA5-32307, ThermoFisher), GLUL (80636, Cell Signaling Technology), Vimentin

(3932, Cell Signaling Technology), ZEB1 (3396, Cell Signaling Technology), and vinculin (Sigma, V9131) as a loading control.

### *RPPA*

Protein lysates from TCGA LUAD, NSCLC cell lines, and Calu-1 or 393P cells treated with bemcentinib were quantified and protein arrays were printed and stained<sup>98</sup>. Images were quantified with MicroVigene 4.0 (VigeneTech, Carlisle, MA). The spot-level raw data were processed with the R package SuperCurve suite, which returns the estimated protein concentration (raw concentration) and a quality control score for each slide, as described previously<sup>98</sup>. Only slides with a quality control score of >0.8 were used for downstream analysis. The raw concentration data were normalized by median-centering each sample across all the proteins to correct loading bias.

### *microRNA Arrays*

Total RNA from 55 NSCLC, 30 SCLC, and 49 HNSCC cell lines were analyzed with Affymetrix miRNA 4.0 arrays. The expression data of a curated list of miR-200 family members (hsa-miR-200b-5p, hsa-miR-200b-3p, hsa-miR-200c-5p, hsa-miR-200c-3p, hsa-miR-200a-5p, hsa-miR-200a-3p, hsa-miR-429, hsa-miR-141-5p, hsa-miR-141-3p) known to be involved with EMT in NSCLC<sup>67</sup> were compared with ACE2 expression data.

### *Metabolite analyses*

A total of 225 metabolites were profiled in CCLE upper aerodigestive tract cell lines using liquid chromatography–mass spectrometry (LC-MS)<sup>85</sup>. We compared ACE2 mRNA expression to abundance of metabolites.

### *Computational prediction of binding sites*

To generate the predicted ZEB1 (E-Box) binding sites on the ACE2 promoter, the promoter sequence for human ACE2 was downloaded and used in the matrix profile search on the JASPAR web portal<sup>72</sup>. For the search, the vertebrate database selecting ZEB1 binding motifs were selected to search through the promoter sequence of ACE2 with a relative profile score threshold score cutoff of 80% or higher. The resulting sites were ranked and accordingly the highest-scoring motifs were annotated on the promoter segment using Snapgene.

### *Single-cell RNAseq Analysis*

Raw data for single cell datasets were downloaded from GEO data base and processed using the Seurat Package v2.3.1<sup>99</sup>. First the raw read counts were normalized and scaled using “NormalizeData” and “ScaleData” function. The most variable genes were selected using the “FindVariableGenes” function with default parameters, and principle component analysis (PCA) were performed on these variable genes. We selected the first N principle components that account for at least 80% of the total variances to perform the tSNE transformation. For each genes, the expression status is defined as positive if the cell has non-zero expression value, or negative otherwise. For GSE122960, samples were grouped in to donor samples (including GSM3489182, GSM3489187, GSM3489189, GSM3489191 and GSM3489193) and fibrotic samples (including GSM3489183, GSM3489184, GSM3489188, GSM3489190, GSM3489192, GSM3489194, GSM3489196 and GSM3489198). Each group was subsampled to 20,000 total cells. EMT score was calculated based on the EMT signature as described previously<sup>49,100</sup>. Cell-specific expression of *SFTPC* (alveolar type II cells), *FOXJ1*, *P1FO* (ciliated cells), and

*SCGB1A1* (club cells) were visualized in tSNE feature plots to identify epithelial clusters containing *ACE2*-positive cells.

### *Statistical Analyses*

All statistic and bioinformatics analyses were performed using R. Paired and un-paired two-sample t-tests were used for two group comparisons on paired and unpaired experimental design experiments. Pearson and Spearman correlations were used for correlating genomic and proteomic measurements, as well as correlating drug-screening data. In all the analyses,  $p < 0.05$  was considered statistically significant.

The drug-target constellation map (DTECT map) was generated based on drug screening data using a suite of R packages, including ggplot2, ggraph and igraph. A list of drugs was selected based on Spearman coefficient ( $> 0.3$ ) and p value ( $< 0.1$ ) and only targets with more than 5 associated drugs were shown in the figure.

### *Data Set Availability*

Publicly available data were obtained from GEO datasets: GSE122960, GSE131391, GSE103322, GSE42127, GSE122960, GSE130148, GSE138693, GSE121600, GSE49644, GSE61395, GSE114647, GSE75602, GSE147507, GSE15741, GSE150819, GSE154770, GSE32465 (GSM1010809).

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### **Author Contributions**

C.A.S., C.M.G., and L.A.B. conceived the project, analyzed and interpreted the data, and wrote the manuscript; K.R. and K.R.C. performed experiments and interpreted results; R.J.C., M.N., E.M.P, C.M.D.C., K.K., interpreted results; S.H., S.K., L.D., Q.W., L.S., Y.X., and J.W. contributed to the analysis and interpretation of data; C.P., F.M.J., J.Z., H.K., J.M., D.L.G, and J.V.H. contributed to the acquisition of data, administrative, and/or material support. All authors contributed to the writing, review, and/or revision of the manuscript.

### **Competing Interests Statement**

L.A.B. serves on advisory committees for AstraZeneca, AbbVie, GenMab, BergenBio, Pharma Mar SA, Sierra Oncology, Merck, Bristol Myers Squibb, Genentech, and Pfizer and has research support from AbbVie, AstraZeneca, GenMab, Sierra Oncology, Tolero Pharmaceuticals. J.V.H. serves on advisory committees for AstraZeneca, Boehringer Ingelheim, Exelixis, Genentech, GSK, Guardant Health, Hengrui, Lilly, Novartis, Spectrum, EMD Serono, and Synta, has research support from AstraZeneca, Bayer, GlaxoSmithKline, and Spectrum and royalties and licensing fees from Spectrum. D.L.G. has served on scientific advisory committees for AstraZeneca, GlaxoSmithKline, Sanofi and Janssen and has received research support from Janssen, Takeda, Ribon Therapeutics, Astellas and AstraZeneca. C.M.G. received research funding from AstraZeneca. Otherwise, there are no pertinent financial or non-financial conflicts of interest to report.

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## Figures

Figure 1. The SARS-CoV2 receptor, *ACE2*, is expressed by epithelial cells in cancer models. a, Schematic depicting an overview of the strategy to investigate SARS-CoV-2 receptor biology in the context of EMT using cancer cell lines and tumor samples before applying to SARS-CoV-2-infected samples to develop our hypotheses. b, *ACE2* and *TMPRSS2* expression and EMT score in aerodigestive and lung cancer cell lines (*ACE2* vs. EMT score,  $P < 0.0001$  for all) and c, in patient tumor biopsies (*ACE2* vs. EMT score,  $P < 0.0001$  for all). Rho values are listed in Table 1. d, *ACE2* protein levels in NSCLC cell lines. e, Cell surface *ACE2* in a subset of NSCLC cell lines. f, NSCLC cell lines and TCGA LUAD tumor biopsies were ranked by *ACE2* mRNA expression and demonstrate protein expression of common epithelial (E-cadherin, RAB25, beta-catenin) or mesenchymal (vimentin, *ZEB1*, fibronectin) markers. g, Frequency of *ACE2*-positive cells in *TMPRSS2*-positive or -negative cells and *POU2F3*-positive or negative cells as determined by single-cell RNAseq of donor or fibrotic lungs. h, EMT score values for each *ACE2*-positive cell present in five donor and eight fibrotic lungs. Each color represents cells from an individual lung sample.

Figure 2. SARS-CoV-2 induces EMT. a, Effects of SARS-CoV-2 infection of Calu-3 or A549+*ACE2* for 24h on *EPCAM*, *ZEB1*, *AXL*, and *CLDN2* expression. b, Effects of SARS-CoV-2 infection on human bronchial organoids for 5 days on *ZEB1* and *AXL* expression and EMT score. c, Heatmap demonstrating differences in gene expression between mock and SARS-CoV-2 infected bronchial organoids in EMT-associated genes. d, Effects of SARS-CoV-2 infection in nasal swab samples from 430 individuals with PCR-confirmed SARS-CoV-2 and 54 negative controls on *EPCAM*, *ZEB1*, *AXL* expression and EMT score. e, Effects of SARS-CoV-2 infection in nasal swabs on genes associated with tight junctions. RNAseq data is shown as normalized relative gene expression.

Figure 3. *ACE2* repression by EMT in lung cancer cells. a, *ACE2*, EMT score, EMT group (epithelial or mesenchymal), *CDH1*, and *ZEB1* expression with miR200 family miRNAs in NSCLC, SCLC and HNSCC cell lines. b, Venn diagrams demonstrating miRNAs correlated with *ACE2* expression in both NSCLC and SCLC cell lines (upper) and TCGA LUAD and HNSC tumor biopsies (lower). RNAseq data is shown as normalized relative gene expression. c,d,, Expression of *ACE2* (c) and *ZEB1*(d) following induction of EMT by TGF $\beta$  treatment in H358 and HCC827 NSCLC cell lines. e, Expression of *ACE2* and *ZEB1* in NSCLC and HNSCC cell lines. f, Expression of *Ace2* in 393P murine lung adenocarcinoma cells with *Zeb1* overexpression. g,h, Cell surface expression of *ACE2* (g) and Vimentin (h) in HCC827 cells with constitutive overexpression of *ZEB1*. i, *ACE2*, *TMPRSS2* and *GLUL* expression in NSCLC cell lines with acquired resistance to EGFR TKIs that occurred through EMT but not when resistance occurred through EGFR T790M resistance mutations. RNAseq data is shown as normalized relative gene expression.

Figure 4. EMT modulation for treatment of SARS-CoV-2. a, *ZEB1* expression following 24h of 0.5  $\mu$ M bemcentinib (Bem) treatment in mesenchymal Calu-1 cells or following over-expression of *Zeb1* in 393P murine cells, as well as vimentin, E-cadherin and *ACE2* in Calu-1 cells. b,

ACE2 levels following 24h and 72 of 1  $\mu$ M Bem treatment in HCC827 cells with constitutive overexpression of ZEB1. c, ACE2, GLUL, and Vimentin levels in a subset of NSCLC cell lines following 48h treatment with 100  $\mu$ M hydroxychloroquine. d, Working model demonstrating aerodigestive/respiratory cells with ACE2 expression are epithelial and metabolically primed for replication [left] while infected cells demonstrate a shift to a mesenchymal phenotype with reversal of glutamine production, a leaky cell membrane and increased ZEB1 to induce EMT. [right]. Bem = bemcentenib.

Table 1. ACE2 rho correlation values in aerodigestive and respiratory tract cell line and tumor biopsy specimens. \*P<0.05, \*\*P<0.01, \*\*\*P<0.001.

Table 2. Total number of ACE2-positive cells and EMT score.

### Supplemental Figures

Supplemental Figure 1. ACE2 expression in select populations and cell-types. a, ACE2 mRNA expression in a panel of non-malignant tissue types, including lung and salivary gland. b, Expression of ACE2 and EMT score in TCGA LUAD biopsies and normal adjacent tissue. c, Forest plot demonstrating no difference in ACE2 expression based on smoking status, gender or age. d, Vimentin expression by flow cytometry in a subset of NSCLC cell lines.

Supplemental Figure 2. ACE2 expression is regulated by mechanisms similar to those governing EMT, which are co-opted by SARS-CoV-2 infection. a, EMT score expression for each ACE2-positive cell present in pooled donor or fibrotic lungs. b, EMT score values for each ACE2-positive cell present in oral cavity tumors indicated by color. c, tSNE visualization of epithelial cells from normal and fibrotic lungs demonstrating expression of cell type-specific genes, as well as ACE2. RNAseq data is shown as normalized relative gene expression.

Supplemental Figure 3. SARS-CoV-2 induced changes. a, Effects of 24h SARS-CoV-2 infection of A549 cells on ZEB1, AXL, EPCAM and CLDN2 expression in A549 cells with undetectable ACE2 levels at the time of infection. b, Effects of SARS-CoV-2 infection of Calu-3 or A549+ACE2 for 24h on GLUL expression. c, Effects of SARS-CoV-2 infection in patient nasal swabs for EPCAM, ZEB1, AXL and EMT score broken down by viral load. d, Effects of SARS-CoV-2 infection on GLS expression. \*P<0.10, \*\*P<0.01, \*\*\*P<0.005, \*\*\*\*P<0.0001. e, ACE2 mRNA correlation with miRNAs in SCLC and NSCLC cell lines. Blue boxes surround the miR200 family members. f, ACE2 expression in forced miR-200 expression in 344SQ lung adenocarcinoma cells. RNAseq data is shown as normalized relative gene expression.

Supplemental Figure 4. Regulation of ACE2 by EMT. a, EMT score following EMT induction by TGF $\beta$ . b, ZEB1 binding sites present in the promoter of ACE2 in HepG2 cells by ChIPseq. c, ACE2- and GLUL-positive cells are reduced in PC-9 cells with EGFR TKI resistance via EMT. d, ACE2 expression is correlated with specific metabolites in aerodigestive tract cells. e, ACE2 protein is correlated with glutamine in NSCLC cell lines. f, Venn diagrams demonstrating metabolic genes correlated with ACE2 in NSCLC, HNSCC, and SCLC cell lines. g, Glu expression following overexpression of Zeb1 in 393P murine lung adenocarcinoma cells. h, ACE2 expression is higher in TCGA LUAD tumor biopsies with EGFR mutations. i, ACE2

expression is correlated with response to a large number of EGFR TKIs, including those that are FDA approved (underlined). j, High *ACE2* is associated with high expression of EGFR/HER family members, including *EGFR*, *ERBB2*, *ERBB3*, in a number of aerodigestive and respiratory tract cell lines.

Supplemental Table 1. *ACE2* co-expression with *TMPRSS2* and *POU2F3* in normal and fibrotic lungs. \*P<0.05, \*\*P<0.01, \*\*\*P<0.001.

Supplementary Table 2. *ACE2* rho correlation values in aerodigestive and respiratory tract cell line and tumor biopsy specimens. \*P<0.05, \*\*P<0.01, \*\*\*P<0.001.

Table 1. ACE2 rho correlation values in aerodigestive and respiratory tract cell line and tumor

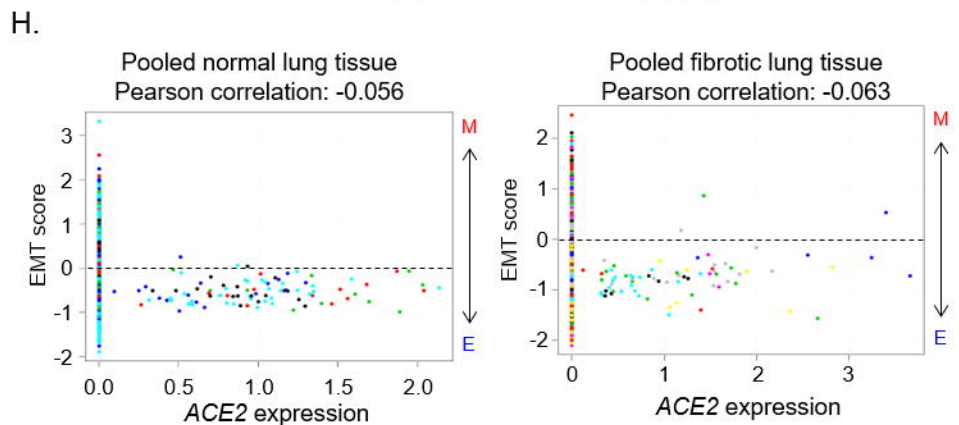
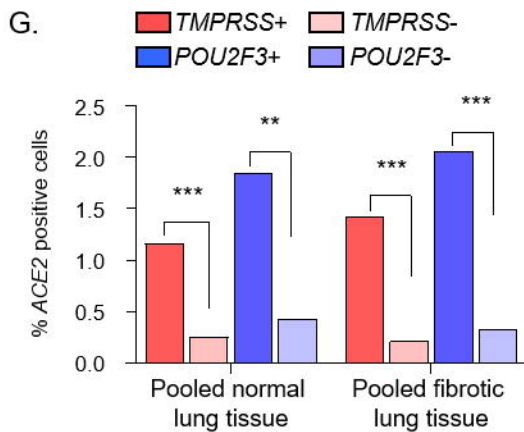
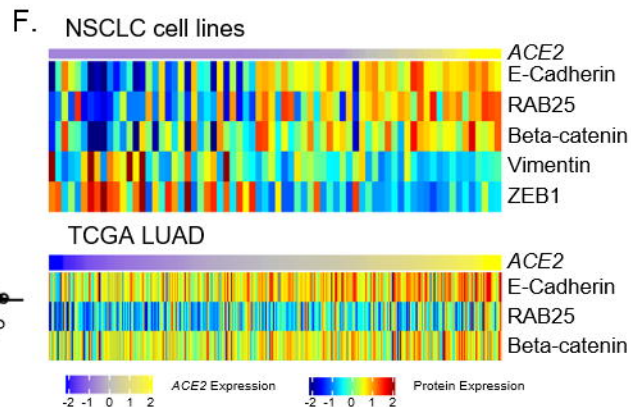
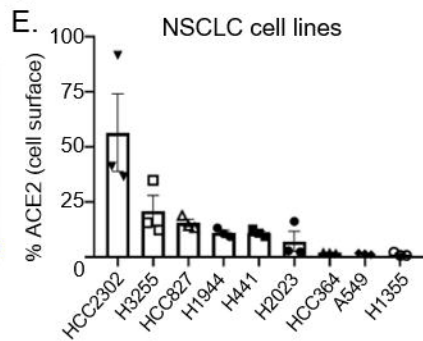
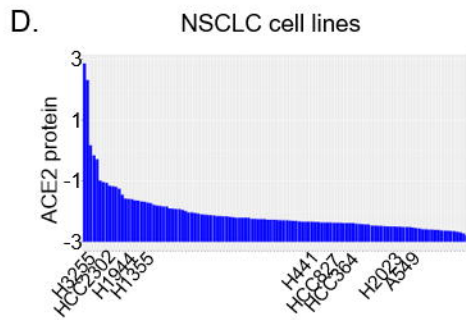
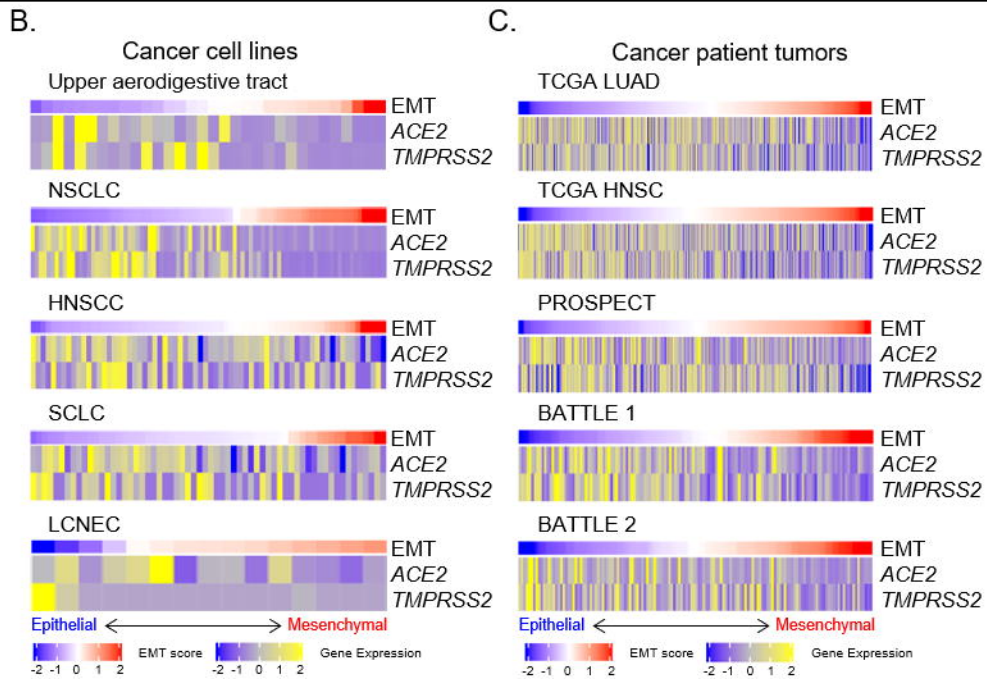
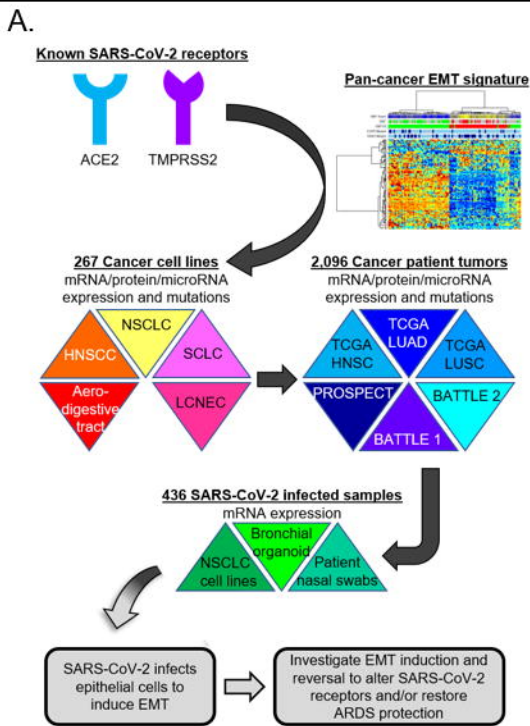
Dataset	ACE2 expression correlated with:						
	<i>TMPRSS2</i>	<i>POU2F3</i>	EMT score	<i>ZEB1</i>	<i>AXL</i>	<i>GLUL</i>	<i>GLS</i>
<b>NSCLC cell lines</b>	0.565***	0.668***	-0.694***	-0.801***	-0.410***	0.394***	-0.202*
<b>HNSCC cell lines</b>	0.230*	0.188	-0.408***	-0.388***	-0.078	0.306**	-0.042
<b>SCLC cell lines</b>	0.069	0.394**	-0.401***	-0.429***	-0.351*	0.284*	-0.305*
<b>TCGA LUAD</b>	0.228***	0.328***	-0.286***	-0.28***	-0.083	0.296***	-0.197***
<b>TCGA HNSC</b>	0.082	0.159***	-0.402***	0.238***	-0.239***	0.037	-0.071
<b>TCGA LUSC</b>	0.242***	0.033	-0.239***	-0.070	-0.121**	0.404***	-0.179***
<b>PROSPECT</b>	0.195***	0.065	-0.279***	-0.117*	-0.090**	-0.091	0.126*
<b>BATTLE 1</b>	0.437***	0.134	-0.367***	-0.166*	-0.222**	0.339***	-0.082
<b>BATTLE 2</b>	0.373***	0.249**	-0.337***	-0.196*	-0.235**	0.208**	-0.064

biopsy specimens. \*P<0.05, \*\*P<0.01, \*\*\*P<0.001.

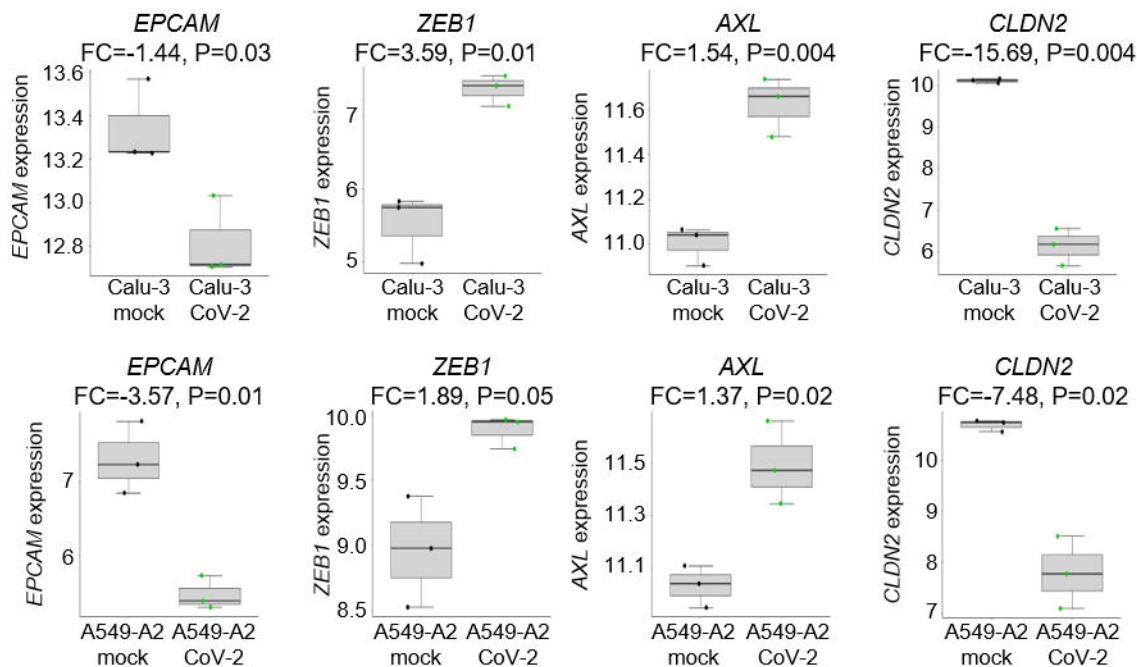


Table 2. Total number of *ACE2*-positive cells and EMT score.

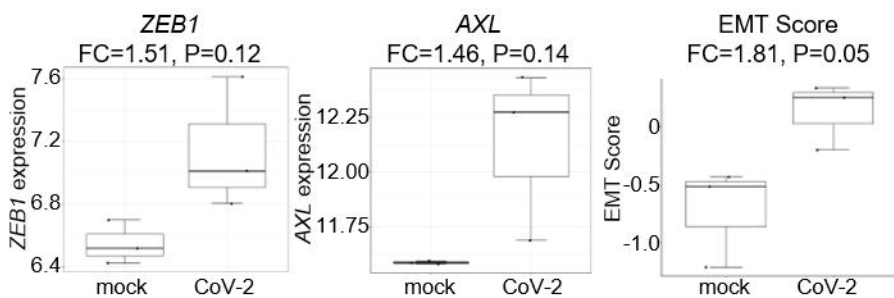
<b>Datasets</b>	<b>Total # of cells/samples</b>	<b><i>ACE2</i>+ cells (%)</b>	<b>EMT score in <i>ACE2</i>+ cells</b>
Bronchial brushings (GSE131391)	1146 cells (12 patients)	54 (4.71%)	-0.13
Oral cavity tumors (GSE103322)	5902 cells (18 tumors)	87 (1.47%)	-0.54
Donor lungs (GSE122960)	20,000 cells (5 lungs)	94 (0.47%)	-0.52
Fibrotic lungs (GSE122960)	19,587 cells (8 lungs)	72 (0.37%)	-0.75
Parenchymal lung (GSE130148)	10,360 cells (3 lungs)	14 (0.14%)	-0.26
PC9 xenograft DMSO treated (GSE138693)	3766 cells (1 xenograft)	29 (0.77%)	-0.40
PC9 xenograft osimertinib treated (GSE138693)	2,332 cells (1 xenograft)	0 (0%)	N/A
Bronchial biopsy/Nasal brushing/Turbinates (GSE121600)	12,000 cells (3 specimens)	4 (0.03%)	-0.49



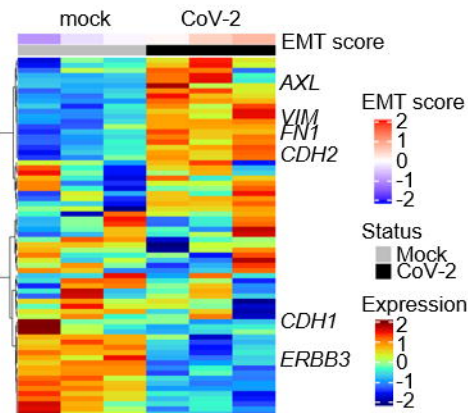
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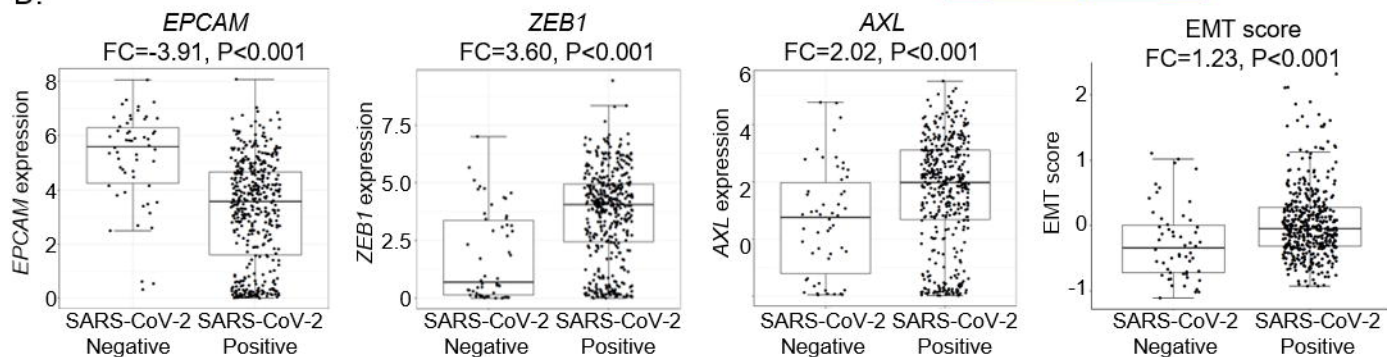
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