

## SASAKIAN 3-MANIFOLDS ADMITTING A GRADIENT RICCI-YAMABE SOLITON

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ABSTRACT. The object of the present paper is to characterize Sasakian 3-manifolds admitting a gradient Ricci-Yamabe soliton. It is shown that a Sasakian 3-manifold  $M$  with constant scalar curvature admitting a proper gradient Ricci-Yamabe soliton is Einstein and locally isometric to a unit sphere. Also, the potential vector field is an infinitesimal automorphism of the contact metric structure. In addition, if  $M$  is complete, then it is compact.

### 1. Introduction

In 1982, the concept of Ricci flow was introduced by Hamilton [11]. The Ricci flow is an evolution equation for metrics on a Riemannian manifold  $(M^n, g)$  given by

$$\frac{\partial g}{\partial t} = -2S,$$

where  $g$  is the Riemannian metric and  $S$  denotes the  $(0, 2)$ -symmetric Ricci tensor.

The notion of Yamabe flow was proposed by Hamilton [13] in 1989, which is defined on a Riemannian manifold  $(M^n, g)$  as

$$\frac{\partial g}{\partial t} = -rg,$$

where  $r$  is the scalar curvature of the manifold.

In 2019, Güler and Crasmareanu [10] consider a scalar combination of the Ricci flow and the Yamabe flow and introduced the notion of the Ricci-Yamabe flow on a Riemannian manifold  $(M^n, g)$  as

$$\frac{\partial g}{\partial t}(t) + 2\alpha S(t) + \beta r(t)g(t) = 0,$$

where  $g$  is the Riemannian metric,  $S$  is the  $(0, 2)$ -symmetric Ricci tensor,  $r$  is the scalar curvature and  $\alpha, \beta$  are two constants. Since  $\alpha$  and  $\beta$  are arbitrary constants, we can choose the signs of  $\alpha$  and  $\beta$  according to our choice. This freedom of choice of the signs of  $\alpha$  and  $\beta$  is very useful in differential geometry and theory of relativity. Recently

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in [1] and [4], the authors used a bi-metric approach of the space-time geometry. Recently, the notion of  $\eta$ -Ricci-Yamabe soliton [21], Ricci-Yamabe soliton and gradient Ricci-Yamabe soliton [8] were introduced from the Ricci-Yamabe flow. The Ricci-Yamabe soliton is defined on a Riemannian manifold as follows:

DEFINITION 1.1. A Riemannian manifold  $(M^n, g)$ ,  $n > 2$  is said to admit a Ricci-Yamabe soliton (in short, RYS)  $(g, V, \lambda, \alpha, \beta)$  if

$$(1.1) \quad \mathcal{L}_V g + 2\alpha S = (2\lambda - \beta r)g,$$

where  $\lambda, \alpha, \beta \in \mathbb{R}$ .

If  $V$  is gradient of some smooth function  $f$  on  $M$ , then the above notion is called a gradient Ricci-Yamabe soliton (in short, GRYS) and then (1.1) reduces to

$$(1.2) \quad \nabla^2 f + \alpha S = (\lambda - \frac{1}{2}\beta r)g,$$

where  $\nabla^2 f$  is the Hessian of  $f$ .

The GRYS is said to be expanding, steady or shrinking according as  $\lambda < 0$ ,  $\lambda = 0$  or  $\lambda > 0$  respectively. The above notion generalizes a large class of soliton like equations. A GRYS is said to be a

- gradient Ricci soliton (see [12]) if  $\alpha = 1, \beta = 0$ .
- gradient Yamabe soliton (see [13]) if  $\alpha = 0, \beta = 2$ .
- gradient Einstein soliton (see [6]) if  $\alpha = 1, \beta = -1$ .
- gradient  $\rho$ -Einstein soliton (see [7]) if  $\alpha = 1, \beta = -2\rho$ .

The GRYS is said to be proper if  $\alpha \neq 0, 1$ .

Sasakian geometry is an odd dimensional analogue of the Kaehler geometry. The notion of Sasakian manifolds were firstly studied by Sasaki [20]. The Kaehler cone over a Sasakian Einstein manifolds has application in superstring theory (see [5], [16]). Since then, Sasakian geometry has been widely studied as it perceived relevance in string theory. In [18], Sharma showed that a  $K$ -contact metric satisfying a gradient Ricci soliton is Einstein. Further in [19], the author studied a 3-dimensional Sasakian metric as Yamabe soliton and proved that either the manifold has constant curvature 1 or the potential vector field is an infinitesimal automorphism of the contact metric structure. In 2019, Venkatesha and Naik [23] studied the notion of the Yamabe soliton on 3-dimensional contact metric manifolds under certain condition. In [9], Ghosh and Sharma studied Sasakian 3-metric as a Ricci soliton and identify the Sasakian metric on the Heisenberg group as a non-trivial solution. Motivated by the above studies, we consider a proper GRYS in the framework of three dimensional Sasakian manifolds with constant scalar curvature and proved some related results.

## 2. Preliminaries

An odd dimensional differentiable manifold  $M$  is said to be an almost contact metric manifold if it admits a structure  $(\phi, \xi, \eta, g)$  satisfying

$$(2.1) \quad \phi^2 X = -X + \eta(X)\xi, \quad \eta(\xi) = 1, \quad \phi\xi = 0, \quad \eta \circ \phi = 0$$

$$(2.2) \quad g(\phi X, \phi Y) = g(X, Y) - \eta(X)\eta(Y)$$

for any vector fields  $X, Y$  on  $M$ , where  $\phi$  is a  $(1, 1)$ -tensor field,  $\xi$  is a unit vector field called the Reeb vector field,  $\eta$  is a 1-form defined by  $\eta(X) = g(X, \xi)$  and  $g$  is the Riemannian metric. Using (2.2), we can easily see that

$$(2.3) \quad g(\phi X, Y) = -g(X, \phi Y).$$

The fundamental 2-form  $\Phi$  on an almost contact metric manifold is defined by  $\Phi(X, Y) = g(X, \phi Y)$  for any vector fields  $X, Y$  on  $M$ . An almost contact metric manifold with  $d\eta = \Phi$  is called a contact metric manifold. If the Reeb vector field  $\xi$  is Killing type, then a contact metric manifold is called a  $K$ -contact manifold and if the structure  $(\phi, \xi, \eta, g)$  is normal, then a contact metric manifold is called Sasakian. Also, an almost contact metric manifold is Sasakian if and only if

$$(2.4) \quad (\nabla_X \phi Y) = g(X, Y)\xi - \eta(Y)X$$

for any vector fields  $X, Y$  on  $M$ . A Sasakian manifold is  $K$ -contact but the converse holds only in dimension 3. It may not be true for higher dimension (see [14]). On a 3-dimensional Sasakian manifold, the following relations are well known:

$$(2.5) \quad \nabla_X \xi = -\phi X,$$

$$(2.6) \quad (\nabla_X \eta)Y = g(X, \phi Y),$$

$$(2.7) \quad R(X, Y)\xi = \eta(Y)X - \eta(X)Y,$$

$$(2.8) \quad R(\xi, X)Y = g(X, Y)\xi - \eta(Y)X,$$

$$(2.9) \quad S(X, \xi) = 2\eta(X), \quad Q\xi = 2\xi,$$

where  $R, Q$  and  $S$  denotes the Riemann curvature tensor, the Ricci operator and the Ricci tensor respectively which is defined as  $S(X, Y) = g(QX, Y)$ . Since a 3-dimensional Riemannian manifold is conformally flat, it's curvature tensor can be expressed as

$$(2.10) \quad \begin{aligned} R(X, Y)Z &= [S(Y, Z)X - S(X, Z)Y + g(Y, Z)QX - g(X, Z)QY] \\ &\quad - \frac{r}{2}[g(Y, Z)X - g(X, Z)Y], \end{aligned}$$

where  $r$  is the scalar curvature defined by  $r = \sum S(e_i, e_i) = \sum g(Qe_i, e_i)$  for any orthonormal basis  $\{e_i\}$  of the tangent space at any point of  $M$ . Now, the Ricci tensor for a Sasakian 3-manifold can be obtained from here as

$$(2.11) \quad S(X, Y) = \frac{1}{2}[(r - 2)g(X, Y) + (6 - r)\eta(X)\eta(Y)].$$

For further details on Sasakian geometry, we refer the reader to go through the references ([2], [3], [19]).

### 3. Gradient Ricci-Yamabe Solitons

We now consider the notion of a proper GRYS in the framework of Sasakian 3-manifolds with constant scalar curvature. For existence of Sasakian 3-manifolds with constant scalar curvature, see example in [15]. To prove our first theorem regarding a GRYS, we need the followings:

DEFINITION 3.1. ([22]) A vector field  $X$  is said to be an infinitesimal automorphism of the contact metric structure if it leaves all the structure tensors  $\phi$ ,  $\xi$ ,  $\eta$ ,  $g$  invariant.

LEMMA 3.2. On a Sasakian 3-manifold  $M$  with constant scalar curvature, the following relation holds

$$\begin{aligned} & (\nabla_Z S)(X, Y) - (\nabla_X S)(Y, Z) - (\nabla_Y S)(X, Z) \\ &= (6 - r)[\eta(Y)g(\phi X, Z) + \eta(X)g(\phi Y, Z)]. \end{aligned}$$

*Proof.* Differentiating (2.11) covariantly along any vector field  $Z$ , we obtain

$$(\nabla_Z S)(X, Y) = \frac{1}{2}(6 - r)[\eta(Y)(\nabla_Z \eta)X + \eta(X)(\nabla_Z \eta)Y].$$

Using (2.6) in the foregoing equation yields

$$(3.1) \quad (\nabla_Z S)(X, Y) = \frac{1}{2}(6 - r)[\eta(Y)g(Z, \phi X) + \eta(X)g(Z, \phi Y)].$$

In a similar manner, we obtain

$$(3.2) \quad (\nabla_X S)(Y, Z) = \frac{1}{2}(6 - r)[\eta(Z)g(X, \phi Y) + \eta(Y)g(X, \phi Z)].$$

$$(3.3) \quad (\nabla_Y S)(X, Z) = \frac{1}{2}(6 - r)[\eta(Z)g(Y, \phi X) + \eta(X)g(Y, \phi Z)].$$

Using (3.1)-(3.3) and (2.3), we compute

$$(3.4) \quad \begin{aligned} & (\nabla_Z S)(X, Y) - (\nabla_X S)(Y, Z) - (\nabla_Y S)(X, Z) \\ &= (6 - r)[\eta(Y)g(\phi X, Z) + \eta(X)g(\phi Y, Z)]. \end{aligned}$$

This completes the proof.  $\square$

THEOREM 3.3. Let  $(g, V, \lambda, \alpha, \beta)$  be a proper GRYS on a Sasakian 3-manifold  $M$  with constant scalar curvature. Then

- (1)  $M$  is Einstein.
- (2)  $M$  is locally isometric to a unit sphere.
- (3) the potential vector field  $V$  is an infinitesimal automorphism of the contact metric structure.
- (4) if  $M$  is complete, then it is compact.

*Proof.* Let  $V$  be the gradient of a non-zero smooth function  $f : M \rightarrow \mathbb{R}$ , that is,  $V = Df$ , where  $D$  is the gradient operator. Then from (1.2), we can write

$$(3.5) \quad \nabla_X Df = (\lambda - \frac{1}{2}\beta r)X - \alpha QX$$

for any vector field  $X$  on  $M$ . With the help of (3.5), we can easily obtain

$$(3.6) \quad R(X, Y)Df = \alpha[(\nabla_Y Q)X - (\nabla_X Q)Y].$$

Substituting  $X = \xi$  in (3.6) and then taking inner product with  $\xi$  yields

$$g(R(\xi, Y)Df, \xi) = \alpha[(\nabla_Y S)(\xi, \xi) - (\nabla_\xi S)(Y, \xi)].$$

With the help of (3.2) and (3.3), we can easily see that

$$(3.7) \quad g(R(\xi, Y)Df, \xi) = 0.$$

Since  $g(R(\xi, Y)Df, \xi) = -g(R(\xi, Y)\xi, Df)$ , then using (2.7), we obtain

$$(3.8) \quad g(R(\xi, Y)Df, \xi) = (Yf) - (\xi f)\eta(Y).$$

Equating (3.7) and (3.8), we have

$$(Yf) - (\xi f)\eta(Y) = 0,$$

which implies

$$(3.9) \quad V = Df = (\xi f)\xi.$$

This shows that  $V$  is pointwise collinear with  $\xi$ . For simplicity, we write  $V = b\xi$ , where  $b = (\xi f)$  is some smooth function. Now using (2.3) and (2.5), we obtain

$$(3.10) \quad (\mathcal{L}_V g)(X, Y) = (\mathcal{L}_{b\xi} g)(X, Y) = (Xb)\eta(Y) + (Yb)\eta(X).$$

Using (3.10), we get from (1.1)

$$(3.11) \quad (Xb)\eta(Y) + (Yb)\eta(X) + 2\alpha S(X, Y) = (2\lambda - \beta r)g(X, Y).$$

Substituting  $X = Y = \xi$  in (3.11) and using (2.9), we obtain

$$(3.12) \quad 2(\xi b) = 2\lambda - \beta r - 4\alpha.$$

Let  $\{e_i\}$  be an orthonormal basis of the tangent space at any point of  $M$ . Now, substituting  $X = Y = e_i$  in (3.11) and then summing over  $i$  yields

$$(3.13) \quad 2(\xi b) = 3(2\lambda - \beta r) - 2\alpha r.$$

Equating (3.12) and (3.13), we get

$$(3.14) \quad (2\lambda - \beta r - 4\alpha) + \alpha(6 - r) = 0.$$

Now from (1.1), we have

$$(3.15) \quad (\mathcal{L}_V g)(X, Y) + 2\alpha S(X, Y) = [2\lambda - \beta r]g(X, Y).$$

Differentiating the previous equation covariantly along any vector field  $Z$ , we obtain

$$(3.16) \quad (\nabla_Z \mathcal{L}_V g)(X, Y) = -2\alpha(\nabla_Z S)(X, Y).$$

Due to Yano [24], the following commutation formula

$$(\mathcal{L}_V \nabla_X g - \nabla_X \mathcal{L}_V g - \nabla_{[V, X]} g)(Y, Z) = -g((\mathcal{L}_V \nabla)(X, Y), Z) - g((\mathcal{L}_V \nabla)(X, Z), Y).$$

leads to

$$\begin{aligned} g((\mathcal{L}_V \nabla)(X, Y), Z) &= \frac{1}{2}(\nabla_X \mathcal{L}_V g)(Y, Z) + \frac{1}{2}(\nabla_Y \mathcal{L}_V g)(X, Z) \\ &\quad - \frac{1}{2}(\nabla_Z \mathcal{L}_V g)(X, Y). \end{aligned}$$

Using (3.16) in the forgoing formula and then applying lemma 3.2, we obtain

$$g((\mathcal{L}_V \nabla)(X, Y), Z) = \alpha(6 - r)[\eta(Y)g(\phi X, Z) + \eta(X)g(\phi Y, Z)],$$

which implies

$$(3.17) \quad (\mathcal{L}_V \nabla)(X, Y) = \alpha(6 - r)[\eta(Y)\phi X + \eta(X)\phi Y].$$

Putting  $Y = \xi$  in (3.17), we get

$$(3.18) \quad (\mathcal{L}_V \nabla)(X, \xi) = \alpha(6 - r)\phi X.$$

Differentiating (3.18) covariantly along any vector field  $Z$ , then using (3.17)-(3.18) and (2.1)-(2.5) in (3.12), we obtain

$$(3.19) \quad (\nabla_Y \mathcal{L}_V \nabla)(X, \xi) = \alpha(6 - r)[g(X, Y)\xi - 2\eta(X)Y + \eta(X)\eta(Y)\xi].$$

Now, it is well known that (see [24])

$$(\mathcal{L}_V R)(X, Y)Z = (\nabla_X \mathcal{L}_V \nabla)(Y, Z) - (\nabla_Y \mathcal{L}_V \nabla)(X, Z),$$

We now use (3.19) in the foregoing equation to obtain

$$(3.20) \quad (\mathcal{L}_V R)(X, \xi)\xi = -2\alpha(6 - r)(X - \eta(X)\xi).$$

Now, substituting  $Y = \xi$  in (3.15) and using (2.9), we get

$$(\mathcal{L}_V g)(X, \xi) = [2\lambda - \beta r - 4\alpha]\eta(X),$$

which implies

$$(3.21) \quad (\mathcal{L}_V \eta)X - g(X, \mathcal{L}_V \xi) = [2\lambda - \beta r - 4\alpha]\eta(X).$$

Setting  $X = \xi$  in (3.21), we obtain

$$(3.22) \quad \eta(\mathcal{L}_V \xi) = -\frac{1}{2}[2\lambda - \beta r - 4\alpha].$$

From (2.7), we write

$$R(X, \xi)\xi = X - \eta(X)\xi.$$

Lie differentiating the above equation and using (3.21)-(3.22) and (2.7)-(2.8), we obtain

$$(3.23) \quad (\mathcal{L}_V R)(X, \xi)\xi = [2\lambda - \beta r - 4\alpha](X - \eta(X)\xi).$$

Equating (3.20) and (3.23), we infer that

$$(3.24) \quad (2\lambda - \beta r - 4\alpha) + 2\alpha(6 - r) = 0.$$

Using (3.14) in (3.24) yields

$$(3.25) \quad \alpha(6 - r) = 0.$$

Since the GRYS is proper, then  $\alpha \neq 0$  and hence  $r = 6$ . Therefore, from (2.11), we get

$$(3.26) \quad S(X, Y) = 2g(X, Y),$$

which implies that the manifold  $M$  is Einstein. This proves (1).

Now using (3.26) in (2.10), we can easily obtain

$$(3.27) \quad R(X, Y)Z = g(Y, Z)X - g(X, Z)Y.$$

This shows that the manifold is of constant curvature 1, that is, locally isometric to a unit sphere. This proves (2).

Using (3.25) in (3.24), we get

$$(3.28) \quad 2\lambda - \beta r - 4\alpha = 0.$$

Using (3.26) and (3.28) in (3.15), we obtain  $(\mathcal{L}_V g)(X, Y) = 0$ , for any vector fields  $X, Y$  on  $M$ . This proves that  $V$  is a Killing vector field or  $V$  leaves the metric tensor invariant. Applying (3.28) in (3.12) yields  $(\xi b) = 0$ . Using  $\mathcal{L}_V g = 0$  and  $(\xi b) = 0$  in (3.10), we obtain  $(Xb) = 0$ , for any vector field  $X$  on  $M$ , which implies  $b$  is a constant. Therefore,  $V$  is a constant multiple of  $\xi$ . Now, it can be easily calculated that  $\mathcal{L}_V \xi = 0$ , that is,  $V$  leaves the Reeb vector field invariant. Applying (3.28) and  $\mathcal{L}_V \xi = 0$  in (3.21) yields  $(\mathcal{L}_V \eta)X = 0$  for any vector field  $X$  on  $M$ . This shows that

$V$  leaves  $\eta$  invariant or  $V$  is a strict infinitesimal contact transformation. Also, using (2.5), we can easily obtain  $\mathcal{L}_V\phi = 0$ , that is,  $V$  leaves  $\phi$  invariant. Hence,  $V$  leaves the structure  $(\phi, \xi, \eta, g)$  invariant. This proves (3).

Since the Ricci curvature  $r = 6 > 0$ , then by Myers theorem [17], if  $M$  is complete, then it is necessarily compact. This proves (4).  $\square$

REMARK 3.4. We have obtained  $r = 6$  and  $2\lambda - \beta r - 4\alpha = 0$ . These two together implies  $\lambda = 2\alpha + 3\beta$ . Therefore, the GRYS is expanding, steady or shrinking according as  $(2\alpha + 3\beta)$  is negative, zero or positive respectively.

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