SAT-Based Synthesis Methods for Safety Specs*

Roderick Bloem[1], Robert Könighofer[1], and Martina Seidl[2]

[1] Institute for Applied Information Processing and Communications (IAIK)
Graz University of Technology, Austria.

[2] Institute for Formal Models and Verification
Johannes Kepler University, Linz, Austria.

Abstract. Automatic synthesis of hardware components from declarative specifications is an ambitious endeavor in computer aided design. Existing synthesis algorithms are often implemented with Binary Decision Diagrams (BDDs), inheriting their scalability limitations. Instead of BDDs, we propose several new methods to synthesize finite-state systems from safety specifications using decision procedures for the satisfiability of quantified and unquantified Boolean formulas (SAT-, QBF- and EPR-solvers). The presented approaches are based on computational learning, templates, or reduction to first-order logic. We also present an efficient parallelization, and optimizations to utilize reachability information and incremental solving. Finally, we compare all methods in an extensive case study. Our new methods outperform BDDs and other existing work on some classes of benchmarks, and our parallelization achieves a super-linear speedup.

Keywords: Reactive Synthesis, SAT-Solving, Quantified Boolean Formulas, Effectively Propositional Logic.

1 Introduction

Automatic synthesis is an appealing approach to construct correct reactive systems: Instead of manually developing a system and verifying it later against a formal specification, reactive synthesis algorithms can compute a *correct-by-construction* implementation of a formal specification fully automatically. Besides the construction of full systems [4], synthesis algorithms are also used in automatic debugging to compute corrections of erroneous parts of a design [29], or in program sketching, where "holes" (parts that are left blank by the designer) are filled automatically [28].

This work deals with synthesis of hardware systems from safety specifications. Safety specifications express that certain "bad things" never happen. This is an important class of specifications for two reasons. First, bounded synthesis approaches [8] can reduce synthesis from richer specifications to safety synthesis

* This work was supported in part by the Austrian Science Fund (FWF) through projects RiSE (S11406-N23 and S11408-N23) and QUAINT (I774-N23), and by the European Commission through project STANCE (317753).

problems. Second, safety properties often make up the bulk of a specification, and they can be handled in a compositional manner: the safety synthesis problem can be solved before the other properties are handled [27].

One challenge for reactive synthesis is scalability. To address it, synthesis algorithms are usually symbolic, i.e., they represent states and transitions using formulas. The symbolic representations are, in turn, often implemented using Binary Decision Diagrams (BDDs), because they provide both existential and universal quantification. However, it is well known that BDDs explode in size for certain structures [2]. At the same time, algorithms and tools to decide the satisfiability of formulas became very efficient over the last decade.

In this paper, we thus propose several new approaches to use satisfiability-based methods for the synthesis of reactive systems from safety specifications. We focus on the computation of the so-called *winning region*, i.e., the states from which the specification can be fulfilled, because extracting an implementation from this winning region is then conceptually easy (but can be computationally hard). More specifically, our contributions are as follows.

1. We present a learning-based approach to compute a winning region as a Conjunctive Normal Form (CNF) formula over the state variables using a solver for Quantified Boolean Formulas (QBFs) [19].
2. We show how this method can be implemented efficiently using two incremental SAT-solvers instead of a QBF-solver, and how approximate reachability information can be used to increase the performance. We also present a parallelization that combines different variants of these learning-based approaches to achieve a super-linear speedup.
3. We present a template-based approach to compute a winning region that follows a given structure with one single QBF-solver call.
4. We also show that fixing a structure can be avoided when using a solver for Effectively Propositional Logic (EPR) [18].
5. We present extensive experimental results to compare all these methods, to each other and to previous work.

Our experiments do not reveal *the* new all-purpose synthesis algorithm. We rather conclude that different methods perform well on different benchmarks, and that our new approaches outperform existing ones significantly on some classes of benchmarks.

Related Work. A QBF-based synthesis method for safety specifications was presented in [29]. Its QBF-encoding can have deep quantifier nestings and many copies of the transition relation. In contrast, our approach uses more but potentially cheaper QBF-queries. Becker et al. [1] show how to compute all solutions to a QBF-problem with computational learning, and how to use such an ALLQBF engine for synthesis. In order to compute all losing states (from which the specification cannot be enforced) their algorithm analyzes all one-step predecessors of the unsafe states before turning to the two-step predecessors, an so on. Our learning-based synthesis method is similar, but applies learning directly to the synthesis problem. As a result, our synthesis algorithm is more "greedy". Discovered losing states are utilized immediately in the computation of new losing

states, independent of the distance to the unsafe states. Besides the computation of a winning region, computational learning has also been used for extracting small circuits from a strategy [9]. The basic idea of substituting a QBF-solver with two competing SAT-solvers has already been presented in [13] and [21]. We apply this idea to our learning-based synthesis algorithm, and adapt it to make optimal use of incremental SAT-solving in our setting. Our optimizations to utilize reachability information in synthesis are based on the concept of incremental induction, as presented by Bradley for the model-checking algorithm IC3 [6]. These reachability optimizations are completely new in synthesis, to the best of our knowledge. Recently, Morgenstern et al. [21] proposed a property-directed synthesis method which is also inspired by IC3 [6]. Roughly speaking, it computes the rank (the number of steps in which the environment can enforce to reach an unsafe state) of the initial state in a lazy manner. It maintains over-approximations of states having (no more than) a certain rank. If the algorithm cannot decide the rank of a state using this information, it decides the rank of successors first. This approach is complementary to our learning-based algorithms. One fundamental difference is that [21] explores the state space starting from the initial state, while our algorithms start at the unsafe states. The main similarity is that one of our methods also uses two competing SAT-solvers instead of a QBF-solver. Templates have already been used to synthesize combinational circuits [15], loop invariants [10], repairs [16], and missing parts in programs [28]. We use this idea for synthesizing a winning region. Reducing the safety synthesis problem to EPR is also new, to the best of our knowledge.

Outline. The rest of this paper is organized as follows. Section 2 introduces basic concepts and notation, and Section 3 discusses synthesis from safety specifications in general. Our new synthesis methods are presented in Sections 4 and 5. Section 6 contains our experimental evaluation, and Section 7 concludes. An extended version [5] of this paper contains an appendix with additional proofs and experimental results.

2 Preliminaries

We assume familiarity with propositional logic, but repeat the notions important for this paper. Refer to [3] for a more gentle introduction.

Basic Notation. In propositional logic, a *literal* is a Boolean variable or its negation. A *cube* is a conjunction of literals, and a *clause* is a disjunction of literals. A formula in propositional logic is in *Conjunctive Normal Form (CNF)* if it is a conjunction of clauses. A cube describes a (potentially partial) assignment to Boolean variables: unnegated variables are true, negated ones are false. We denote vectors of variables with overlines, and corresponding cubes in bold. E.g., $\mathbf{x}$ is a cube over the variable vector $\overline{x} = (x_1, \ldots, x_n)$. We treat vectors of variables like sets if the order does not matter. An *$\overline{x}$-minterm* is a cube that contains all variables of $\overline{x}$. Cube $\mathbf{x}_1$ is a *sub-cube* of $\mathbf{x}_2$, written $\mathbf{x}_1 \subseteq \mathbf{x}_2$, if the literals of $\mathbf{x}_1$ form a subset of the literals in $\mathbf{x}_2$. We use the same notation for *sub-clauses*. Let $F(\overline{x})$ be a propositional formula over the variables $\overline{x}$, and let $\mathbf{x}$ be an $\overline{x}$-minterm.

We write $\mathbf{x} \models F(\overline{x})$ to denote that the assignment $\mathbf{x}$ satisfies $F(\overline{x})$. We will omit the brackets listing variable dependencies if they are irrelevant or clear from the context (i.e., we often write F instead of $F(\overline{x})$).

Decision Procedures. A *SAT-solver* is a tool that takes a propositional formula (usually in CNF) and decides its satisfiability. Let $F(\overline{x}, \overline{y}, \ldots)$ be a propositional formula over several vectors $\overline{x}, \overline{y}, \ldots$ of Boolean variables. We write $\mathsf{sat} := \textsc{PropSat}(F)$ for a SAT-solver call. The variable sat is assigned true if and only if F is satisfiable. We write $(\mathsf{sat}, \mathbf{x}, \mathbf{y}, \ldots) := \textsc{PropSatModel}(F(\overline{x}, \overline{y}, \ldots))$ to obtain a satisfying assignment in the form of cubes $\mathbf{x}, \mathbf{y}, \ldots$ over the different variable vectors. Let $\mathbf{a}$ be a cube. We write $\mathbf{b} := \textsc{PropUnsatCore}(\mathbf{a}, F)$ to denote the extraction of an unsatisfiable core: Given that $\mathbf{a} \wedge F$ is unsatisfiable, $\mathbf{b} \subseteq \mathbf{a}$ will be a sub-cube of $\mathbf{a}$ such that $\mathbf{b} \wedge F$ is still unsatisfiable. *Quantified Boolean Formulas (QBFs)* extend propositional logic with universal ($\forall$) and existential ($\exists$) quantifiers. A QBF (in Prenex Conjunctive Normal Form) is a formula $Q_1 \overline{x} \,.\, Q_2 \overline{y} \,. \ldots F(\overline{x}, \overline{y}, \ldots)$, where $Q_i \in \{\forall, \exists\}$ and F is a propositional formula in CNF. Here, $Q_i \overline{x}$ is a shorthand for $Q_i x_1 \ldots Q_i x_n$ with $\overline{x} = (x_1 \ldots x_n)$. The quantifiers have their expected semantics. A *QBF-solver* takes a QBF and decides its satisfiability. We write $\mathsf{sat} := \textsc{QbfSat}(Q_1 \overline{x} \,.\, Q_2 \overline{y} \,. \ldots F(\overline{x}, \overline{y}, \ldots))$ or $(\mathsf{sat}, \mathbf{a}, \mathbf{b} \ldots) := \textsc{QbfSatModel}(\exists \overline{a} \,.\, \exists \overline{b} \ldots Q_1 \overline{x} \,.\, Q_2 \overline{y} \ldots F(\overline{a}, \overline{b}, \ldots, \overline{x}, \overline{y}, \ldots))$ to denote calls to a QBF-solver. Note that $\textsc{QbfSatModel}$ only extracts assignments for variables that are quantified existentially on the outermost level.

Transition Systems. A *controllable finite-state transition system* is a tuple $\mathcal{S} = (\overline{x}, \overline{i}, \overline{c}, I, T)$, where $\overline{x}$ is a vector of Boolean state variables, $\overline{i}$ is a vector of uncontrollable input variables, $\overline{c}$ is a vector of controllable input variables, $I(\overline{x})$ is an initial condition, and $T(\overline{x}, \overline{i}, \overline{c}, \overline{x}')$ is a transition relation with $\overline{x}'$ denoting the next-state copy of $\overline{x}$. A *state* of $\mathcal{S}$ is an assignment to the $\overline{x}$-variables, usually represented as $\overline{x}$-minterm $\mathbf{x}$. A formula $F(\overline{x})$ represents the set of all states $\mathbf{x}$ for which $\mathbf{x} \models F(\overline{x})$. Priming a formula F to obtain F' means that all variables in the formula are primed, i.e., replaced by their next-state copy. An *execution* of $\mathcal{S}$ is an infinite sequence $\mathbf{x}_0, \mathbf{x}_1 \ldots$ of states such that $\mathbf{x}_0 \models I$ and for all pairs $(\mathbf{x}_j, \mathbf{x}_{j+1})$ there exist some input assignment $\mathbf{i}_j, \mathbf{c}_j$ such that $\mathbf{x}_j \wedge \mathbf{i}_j \wedge \mathbf{c}_j \wedge \mathbf{x}'_{j+1} \models T$. A state $\mathbf{x}$ is *reachable* in $\mathcal{S}$ if there exists an execution $\mathbf{x}_0, \mathbf{x}_1 \ldots$ and an index j such that $\mathbf{x} = \mathbf{x}_j$. The execution of $\mathcal{S}$ is controlled by two *players*: the *protagonist* and the *antagonist*. In every step j, the antagonist first chooses an assignment $\mathbf{i}_j$ to the uncontrollable inputs $\overline{i}$. Next, the protagonist picks an assignment $\mathbf{c}_j$ to the controllable inputs $\overline{c}$. The transition relation T then computes the next state $\mathbf{x}_{j+1}$. This is repeated indefinitely. We assume that T is *complete* and *deterministic*, i.e., for every state and input assignment, there exists exactly one successor state. More formally, we have that $\forall \overline{x}, \overline{i}, \overline{c} \,.\, \exists \overline{x'} \,.\, T$ and $\forall \overline{x}, \overline{i}, \overline{c}, \overline{x_1}', \overline{x_2}' \,.(T(\overline{x}, \overline{i}, \overline{c}, \overline{x_1}') \wedge T(\overline{x}, \overline{i}, \overline{c}, \overline{x_2}')) \Rightarrow (\overline{x_1}' = \overline{x_2}')$. Let $F(\overline{x})$ be a formula representing a certain set of states. The mixed pre-image $\mathsf{Force}_1^p(F) = \forall \overline{i} \,.\, \exists \overline{c}, \overline{x}' \,.\, T \wedge F'$ represents all states from which the protagonist can enforce to reach a state of F in exactly one step. Analogously, $\mathsf{Force}_1^a(F) = \exists \overline{i} \,.\, \forall \overline{c} \,.\, \exists \overline{x}' \,.\, T \wedge F'$ gives all states from which the antagonist can enforce to visit F in one step.

Synthesis Problem. A (memoryless) *controller* for $\mathcal{S}$ is a function $f : 2^{\overline{x}} \times 2^{\overline{i}} \rightarrow 2^{\overline{c}}$ to define the control signals $\overline{c}$ based on the current state of $\mathcal{S}$ and the uncontrollable inputs $\overline{i}$. Let $P(\overline{x})$ be a formula characterizing the set of safe states in a transition system $\mathcal{S}$. An execution $\mathbf{x}_0, \mathbf{x}_1 \ldots$ is *safe* if it visits only safe states, i.e., $\mathbf{x}_j \models P$ for all j. A controller f for $\mathcal{S}$ is *safe* if all executions of $\mathcal{S}$ are safe, given that the control signals are computed by f. Formally, f is safe if there exists no sequence of pairs $(\mathbf{x}_0, \mathbf{i}_0), (\mathbf{x}_1, \mathbf{i}_1), \ldots$ such that (a) $\mathbf{x}_0 \models I$, (b) $\mathbf{x}_j \wedge \mathbf{i}_j \wedge f(\mathbf{x}_j, \mathbf{i}_j) \wedge \mathbf{x}'_{j+1} \models T$ for all $j \geq 0$, and (c) $\mathbf{x}_j \not\models P$ for some j. The problem addressed in this paper is to synthesize such a safe controller. We call a pair $(\mathcal{S}, P)$ a *specification* of a safety synthesis problem. A specification is *realizable* if a safe controller exists. A *safe implementation* $\mathcal{I}$ of a specification $(\mathcal{S}, P)$ with $\mathcal{S} = (\overline{x}, \overline{i}, \overline{c}, I(\overline{x}), T(\overline{x}, \overline{i}, \overline{c}, \overline{x}'))$ is a transition system $\mathcal{I} = (\overline{x}, \overline{i}, \emptyset, I(\overline{x}), T(\overline{x}, \overline{i}, f(\overline{x}, \overline{i}), \overline{x}'))$, where f is a safe controller for $\mathcal{S}$.

3 Synthesis from Safety Specifications

This paper presents several approaches for synthesizing a safe controller for a fine-state transition system $\mathcal{S}$. The synthesis problem can be seen as a game between the protagonist controlling the $\overline{c}$-variables and the antagonist controlling the $\overline{i}$-variables during an execution [21]. The protagonist wins the game if the execution never visits an unsafe state $\mathbf{x} \not\models P$. Otherwise, the antagonist wins. A safe controller for $\mathcal{S}$ is now simply a strategy for the protagonist to win the game. Standard game-based synthesis methods can be used to compute such a winning strategy [30]. These game-based methods usually work in two steps. First, a so-called *winning region* is computed. A winning region is a set of states $W(\overline{x})$ from which a winning strategy for the protagonist exists. Second, a winning strategy is derived from (intermediate results in the computation of) the winning region. Most of the synthesis approaches presented in the following implement this two-step procedure. For safety synthesis problems, the following three conditions are sufficient for a winning region $W(\overline{x})$ to be turned into a winning strategy.

I) Every initial state is in the winning region: $I \Rightarrow W$.
II) The winning region contains only safe states: $W \Rightarrow P$.
III) The protagonist can enforce to stay in the winning region: $W \Rightarrow \mathsf{Force}_1^p(W)$.

A specification is realizable if and only if such a winning region exists. Hence, it suffices to search for a formula that satisfies these three constraints. Deriving a winning strategy $f : 2^{\overline{x}} \times 2^{\overline{i}} \rightarrow 2^{\overline{c}}$ from such a winning region is then conceptually easy: f must always pick control signal values such that the successor state is in W again. This is always possible due to (I) and (III). We therefore focus on approaches to efficiently compute a winning region that satisfies (I)-(III), and leave an investigation of methods for the extraction of a concrete controller to future work[1]. First, we will briefly discuss an attractor-based approach which

[1] In our implementation, we currently extract circuits by computing Skolem functions for the $\overline{c}$ signals in $\forall \overline{x}, \overline{i} \,.\, \exists \overline{c}, \overline{x}' \,.(\neg W) \vee (T \wedge W')$ using the QBFCert [22] framework. However, there are other options like learning [9], interpolation [14], or templates [15].

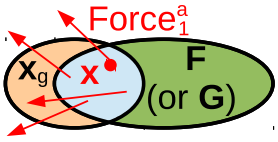


Fig. 1. LearnQbf: working principle.

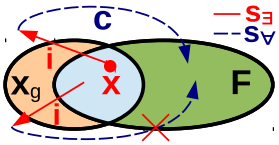


Fig. 2. LearnSat: working principle.

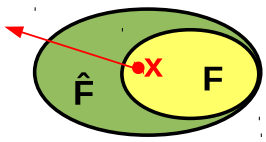


Fig. 3. LearnSat: Using $\hat{F}$ for incremental solving.

is often implemented with BDDs [30]. Then, we will present several new ideas which are more suitable for an implementation using SAT- and QBF-solvers.

3.1 Standard Attractor-Based Synthesis Approach

The synthesis method presented in this section can be seen as the standard textbook method for solving safety games [30]. Starting with all safe states P, the SafeSynth algorithm reduces F to states from which the protagonist can enforce to go back to F until F does not change anymore. If an initial state is removed from F, false is returned to signal unrealizability. Otherwise, F will finally converge to a fixpoint, which is a proper winning region W ($W = \nu F.P \wedge \mathsf{Force}_1^p(F)$ in μ-calculus notation). SafeSynth is well suited for an implementation using BDDs because the set of all states satisfying $\mathsf{Force}_1^p(F)$ can be computed with just a few BDD operations, and the comparison to decide if F changed can be done in constant time. A straightforward implementation using a QBF-solver maintains a growing quantified formula to represent F (i.e, $F_0 = P$, $F_1 = \exists \overline{x} \,.\, \forall \overline{i} \,.\, \exists \overline{c}, \overline{x}' \,.\, P \wedge T \wedge P'$, and so on), and calls a QBF-solver to decide if F changed semantically from one iteration to the next one. This approach is explained in [29]. In iteration n, F contains n copies of the transition relation and $2n$ quantifier alternations. This means that the difficulty of the QBF queries increases significantly with the number of iterations, which may be prohibitive for large specification. The resulting winning region W is a quantified formula as well. An alternative QBF-based implementation [1] eliminates the quantifiers from F in every iteration by computing all satisfying assignments of F. The next section explains how this idea can be improved.

```
1: procedure SafeSynth(S, P),
   returns: W or false
2:   F := P
3:   while F changes do
4:     F := F ∧ Force_1^p(F)
5:     if I ⇏ F then
6:       return false
7:   return F
```

4 Learning-Based Synthesis Approaches

Becker et al. [1] show how SafeSynth can be implemented with a QBF-solver by eliminating the quantifiers in F with computational learning. This gives a CNF representation of every F-iterate. However, we are only interested in the final value W of F. This allows for a tighter and more efficient integration of the learning approach with the SafeSynth algorithm.

4.1 Learning-Based Synthesis using a QBF-Solver

The following algorithm uses computational learning to compute a winning region in CNF using a QBF-solver. It returns false in case of unrealizability.

1: **procedure** LearnQbf($(\overline{x}, \overline{i}, \overline{c}, I, T), P$), **returns**: W or false
2: $F := P$
3: // Check if there exists an $\mathbf{x} \models F \wedge \mathsf{Force}_1^a(\neg F)$:
4: **while** sat with $(\mathsf{sat}, \mathbf{x})$:=QbfSatModel($\exists \overline{x}, \overline{i} \,.\, \forall \overline{c} \,.\, \exists \overline{x}' \,.\, F \wedge T \wedge \neg F'$) **do**
5: // Find a sub-cube $\mathbf{x}_g \subseteq \mathbf{x}$ such that $(\mathbf{x}_g \wedge F) \Rightarrow \mathsf{Force}_1^a(\neg F)$:
6: $\mathbf{x}_g := \mathbf{x}$
7: **for** $l \in$ literals($\mathbf{x}$) **do**
8: $\mathbf{x}_t := \mathbf{x}_g \setminus \{l\}$, **if** $\mathsf{optimize}$ **then** $G := F \wedge \neg \mathbf{x}_g$ **else** $G := F$
9: **if** $\neg$QbfSat($\exists \overline{x} \,.\, \forall \overline{i} \,.\, \exists \overline{c}, \overline{x}' \,.\, \mathbf{x}_t \wedge G \wedge T \wedge G'$) **then**
10: $\mathbf{x}_g := \mathbf{x}_t$
11: **if** PropSat($\mathbf{x}_g \wedge I$) **then return** false
12: $F := F \wedge \neg \mathbf{x}_g$
13: **return** F
14: **end procedure**

The working principle of LearnQbf is illustrated in Figure 1. It starts with the initial guess F that the winning region contains all safe states P. Line 4 then checks for a counterexample to the correctness of this guess in form of a state $\mathbf{x} \models F \wedge \mathsf{Force}_1^a(\neg F)$ from which the antagonist can enforce to leave F. Assume that $\mathsf{optimize} = \mathsf{false}$ in line 8 for now, i.e., G is always just F. The inner loop now generalizes the state-cube $\mathbf{x}$ to $\mathbf{x}_g \subseteq \mathbf{x}$ by dropping literals as long as $\mathbf{x}_g$ does not contain a single state from which the protagonist can enforce to stay in F. During and after the execution of the inner loop, $\mathbf{x}_g$ contains only states that must be removed from F, or have already been removed from F before. Hence, as an optimization, we can treat the states of $\mathbf{x}_g$ as if they were removed from F already *during* the cube minimization. This is done with $\mathsf{optimize} = \mathsf{true}$ in line 8 by setting $G = F \wedge \neg \mathbf{x}_g$ instead of $G = F$. This optimization can lead to smaller cubes and less iterations. If the final cube $\mathbf{x}_g$ contains an initial state, the algorithm signals unrealizability by returning false. Otherwise, it removes the states of $\mathbf{x}_g$ from F by adding the clause $\neg \mathbf{x}_g$, and continues by checking for other counterexamples. If P is in CNF, then the final result in F will also be in CNF. If T is also in CNF, then the query of line 9 can be constructed by merging clause sets. Only for the query in line 4, a CNF encoding of $\neg F'$ is necessary. This can be achieved, e.g., using a Plaisted-Greenbaum transformation [23], which causes only a linear blow-up of the formula.

Heuristics. We observed that the generalization (the inner loop of LearnQbf) is often fast compared to the computation of counterexamples in Line 4. As a heuristic, we therefore propose to compute not only one but all (or several) minimal generalizations $\mathbf{x}_g \subseteq \mathbf{x}$ to every counterexample-state $\mathbf{x}$, e.g., using a hitting set tree algorithm [24]. Another observation is that newly discovered clauses can render earlier clauses redundant in F. In every iteration, we therefore "compress" F by removing clauses that are implied by others. This can be done

cheaply with incremental SAT-solving, and simplifies the CNF for $\neg F'$ in line 4. Iterating over existing clauses and trying to minimize them further at a later point in time did not lead to significant improvements in our experiments.

4.2 Learning-Based Synthesis using SAT-Solvers

LearnQbf can also be implemented with SAT-solving instead of QBF-solving. The basic idea is to use two competing SAT-solvers for the two different quantifier types, as done in [13]. However, we interweave this concept with the synthesis algorithm to better utilize incremental solving capabilities of modern SAT-solvers.

```
 1: procedure LearnSat((x̄, ī, c̄, I, T), P), returns: W or false
 2:   F := P, F̂ := P, U := true, precise := true
 3:   while true do
 4:     (sat, x, i) := PropSatModel(F ∧ U ∧ T ∧ ¬F̂′)
 5:     if ¬sat then
 6:       if precise then return F
 7:       U := true, F̂ := F, precise := true
 8:     else
 9:       (sat, c) := PropSatModel(F ∧ x ∧ i ∧ T ∧ F′)
10:       if ¬sat then
11:         x_g := PropUnsatCore(x, F ∧ i ∧ T ∧ F′)
12:         if PropSat(x_g ∧ I) then return false
13:         F := F ∧ ¬x_g
14:         if optimize then precise := false else F̂ := F, U := true
15:       else
16:         U := U ∧ ¬PropUnsatCore(x ∧ i, c ∧ F ∧ U ∧ T ∧ ¬F̂′)
17: end procedure
```

Data Structures. Besides the current guess F of the winning region W, LearnSat also maintains a copy $\hat{F}$ of F that is updated only lazily. This allows for better utilization of incremental SAT-solving, and will be explained below. The flag precise indicates if $\hat{F} = F$. The variable U stores a CNF formula over the $\overline{x}$ and $\overline{i}$ variables. Intuitively, U contains state-input combinations which are not useful for the antagonist when trying to break out of F.

Working Principle. The working principle of LearnSat is illustrated in Figure 2. For the moment, let optimize be false, i.e., $\hat{F}$ is always F. To deal with the mixed quantification inherent in synthesis, LearnSat uses two competing SAT-solvers, $s_\exists$ and $s_\forall$. In line 4, $s_\exists$ tries to find a possibility for the antagonist to leave F. It is computed as a state-input pair $(\mathbf{x}, \mathbf{i})$ for which some $\overline{c}$-value leads to a $\neg F$ successor. Next, in line 9, $s_\forall$ searches for a response $\mathbf{c}$ of the protagonist to avoid leaving F. If no such response exists, then $\mathbf{x}$ must be excluded from F. However, instead of excluding this one state only, we generalize the state-cube $\mathbf{x}$ by dropping literals to obtain $\mathbf{x}_g$, representing a larger region of states for which input $\mathbf{i}$ can be used by the antagonist to enforce leaving F. This is done by computing the unsatisfiable core with respect to the literals of $\mathbf{x}$ in line 11. Otherwise, if $s_\forall$ finds a response $\mathbf{c}$, then the state-input pair $(\mathbf{x}, \mathbf{i})$ is not helpful

for the antagonist to break out of F. It must be removed from U to avoid that the same pair is tried again. Instead of removing just $(\mathbf{x}, \mathbf{i})$, we generalize it again by dropping literals as long as the control value $\mathbf{c}$ prevents leaving F. This is done by computing an unsatisfiable core over the literals in $\mathbf{x} \wedge \mathbf{i}$ in line 16.
As soon as F changes, U must be reset to true (line 14): even if a state-input pair is not helpful for breaking out of F, it may be helpful for breaking out of a smaller F. If line 4 reports unsatisfiability, then the antagonist cannot enforce to leave F, i.e., F is a winning region ($\mathsf{precise} = \mathsf{true}$ if $\mathsf{optimize} = \mathsf{false}$). If an initial state is removed from F, then the specification is unrealizable (line 12).

Using $\hat{F}$ to Support Incremental Solving. Now consider the case where $\mathsf{optimize}$ is true. In line 13, new clauses are added only to F but not to $\hat{F}$. This ensures that $F \Rightarrow \hat{F}$, but F can be strictly stronger. See Figure 3 for an illustration. Line 4 now searches for a transition (respecting U) from F to $\neg\hat{F}$. If such a transition is found, then it also leads from F to $\neg F$. However, if no such transition from F to $\neg\hat{F}$ exists, then this does not mean that there is no transition from F to $\neg F$. Hence, in case of unsatisfiability, we update $\hat{F}$ to F and store the fact that $\hat{F}$ is now accurate by setting $\mathsf{precise} = \mathsf{true}$. If the call in line 4 reports unsatisfiability with $\mathsf{precise} = \mathsf{true}$, then there is definitely no way for the antagonist to leave F and the computation of F is done. The reason for not updating $\hat{F}$ immediately is that solver $s_\exists$ can be used incrementally until the next update, because new clauses are only added to F and U. Only when reaching line 7, a new incremental session has to be started. This optimization proved to be very beneficial in our experiments. Solver $s_\forall$ can be used incrementally throughout the entire algorithm anyway, because F gets updated with new clauses only.

4.3 Utilizing Unreachable States

This section presents an optimization of LearnQbf to utilize (un)reachability information. It works analogously for LearnSat, though. Recall that the variable G in LearnQbf stores the current over-approximation of the winning region W (cf. Section. 4.1). LearnQbf generalizes a counterexample-state $\mathbf{x}$ to a region $\mathbf{x}_g$ such that $G \wedge \mathbf{x}_g \Rightarrow \mathsf{Force}_1^a(\neg G)$, i.e., $G \wedge \mathbf{x}_g$ contains only states from which the antagonist can enforce to leave G. Let $R(\overline{x})$ be an over-approximation of the states reachable in $\mathcal{S}$. That is, R contains at least all states that could appear in an execution of $\mathcal{S}$. It is sufficient to ensure $G \wedge \mathbf{x}_g \wedge R \Rightarrow \mathsf{Force}_1^a(\neg G)$ because unreachable states can be excluded from G even if they are winning for the protagonist. This can lead to smaller cubes and faster convergence.

There exist various methods to compute reachable states, both precisely and as over-approximation [20]. The current over-approximation G of the winning region W can also be used: Given that the specification is realizable (we will discuss the unrealizable case below), the protagonist will enforce that W is never left. Hence, at any point in time, G is itself an over-approximation of the reachable states, not necessarily in $\mathcal{S}$, but definitely in the final implementation $\mathcal{I}$ (given that $\mathcal{I}$ is derived from W and $W \Rightarrow G$). Hence, stronger reachability information can be obtained by considering only transitions that remain in G.

In our optimization, we do not explicitly compute an over-approximation of the reachable states, but rather exploit ideas from the property directed reachability algorithm IC3 [6]: By induction, we know that a state $\mathbf{x}$ is definitely unreachable in $\mathcal{I}$ if $\mathbf{x} \not\models I$ and $\neg\mathbf{x} \wedge G \wedge T \Rightarrow \neg\mathbf{x}'$. Otherwise, $\mathbf{x}$ could be reachable. The same holds for sets of states. By adding these two constraints, we modify the generalization check in line 9 of LearnQbf to

$$\begin{aligned} &\textsc{QbfSat}(\exists \overline{x}^*, \overline{i}^*, \overline{c}^* \,.\, \exists \overline{x} \,.\, \forall \overline{i} \,.\, \exists \overline{c}, \overline{x}' \,. \\ &\qquad (I(\overline{x}) \vee G(\overline{x}^*) \wedge \neg \mathbf{x}_g(\overline{x}^*) \wedge T(\overline{x}^*, \overline{i}^*, \overline{c}^*, \overline{x})) \wedge \\ &\qquad \mathbf{x}_g(\overline{x}) \wedge G(\overline{x}) \wedge T(\overline{x}, \overline{i}, \overline{c}, \overline{x}') \wedge G(\overline{x}')). \end{aligned} \tag{1}$$

We will refer to this modification as optimization RG (which is short for "reachability during generalization"). Only the second line is new. Here, $\overline{x}^*$, $\overline{i}^*$, and $\overline{c}^*$ are the previous-state copies of $\overline{x}$, $\overline{i}$, and $\overline{c}$, respectively. Originally, the formula was true if the region $\mathbf{x}_g \wedge G$ contained a state from which the protagonist could enforce to stay in G. In this case, the generalization failed, because we cannot safely remove states that are potentially winning for the protagonist. The new formula is true only if $\mathbf{x}_g \wedge G$ contains a state $\mathbf{x}_a$ from which the protagonist can enforce to stay in G, and this state $\mathbf{x}_a$ is either initial, or has a predecessor $\mathbf{x}_b$ in $G \wedge \neg\mathbf{x}_g$. This situation is illustrated in Figure 4. States that are neither initial nor have a predecessor in $G \wedge \neg\mathbf{x}_g$ are unreachable and, hence, can safely be removed. Note that we require $\mathbf{x}_b$ to be in $G \wedge \neg\mathbf{x}_g$, and not just in G and different from $\mathbf{x}_a$. The intuitive reason is that a predecessor in $G \wedge \mathbf{x}_g$ does not count because this region is going to be removed from G. A more formal argument is given by the following theorem.

Theorem 1. *For a realizable specification, if Eq. 1 is unsatisfiable, then $G \wedge \mathbf{x}_g$ cannot contain a state $\mathbf{x}_a$ from which (a) the protagonist can enforce to visit G in one step, and (b) which is reachable in any implementation $\mathcal{I}$ derived from a winning region $W \Rightarrow G$ with $W \Rightarrow \mathsf{Force}_1^p(W)$.*

A proof can be found in [5]. Theorem 1 ensures that the states removed with optimization RG cannot be necessary for the protagonist to win the game, i.e., that the optimization does not remove "too much". So far, we assumed realizability. However, optimization RG also cannot make an unrealizable specification be identified as realizable. It can only remove more states, which means that unrealizability is detected only earlier.

Similar to improving the generalization of counterexamples using unreachability information, we can also restrict their computation to potentially reachable states. This is explained as optimization RC in [5]. However, while optimization RG resulted in significant performance gains (more than an order of magnitude for some benchmarks; see the columns SM and SGM in Table 3 of [5]), we could not achieve solid improvements with optimization RC. Sometimes the computation became slightly faster, sometimes slower.

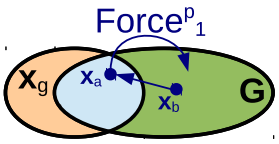


Fig. 4. Optimization RG: A counterexample to generalization.

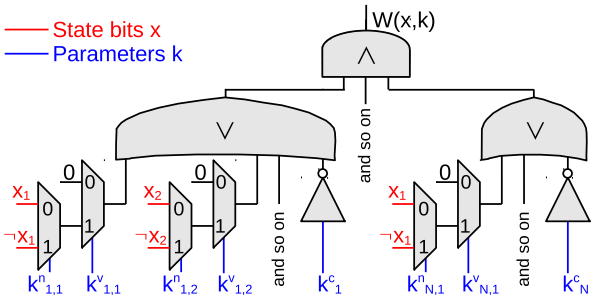


Fig. 5. A CNF template for the winning region.

4.4 Parallelization

The algorithms LearnQbf and LearnSat compute clauses that refine the current over-approximation F of the winning region. This can also be done with multiple threads in parallel using a global clause database F. Different threads can implement different methods to compute new clauses, or generalize existing ones. They notify each other whenever they add a (new or smaller) clause to F so that all other threads can continue to work with the refined F.

In our implementation, we experimented with different thread combinations. If two threads are available, we let them both execute LearnSat with optimization RG but without RC. We keep the LearnSat-threads synchronized in the sense that they all use the same $\hat{F}$. If one thread restarts solver $s_\exists$ with a new $\hat{F}$, then all other LearnSat-threads restart their $s_\exists$-solver with the same $\hat{F}$ as well. This way, the LearnSat-threads can not only exchange new F-clauses, but also new U-clauses. We use different SAT-solvers in the different threads (currently our implementation supports Lingeling, Minisat, and PicoSat). This reduces the chances that the threads find the same (or similar) counterexamples and generalizations. Also, the solvers may complement each other: if one gets stuck for a while on a hard problem, the other one may still achieve significant progress in the meantime. The stuck solver then benefits from this progress in the next step. We also let the LearnSat-threads store the computed counterexample-cubes in a global counterexample-database. If three threads are available, we use one thread to take counterexample-cubes from this database, and compute all possible generalizations using a SAT-solver and a hitting set tree algorithm [24]. We also experimentally added threads that minimize existing clauses further using a QBF-solver, and threads implementing LearnQbf. However, we observed that threads using QBF-solvers can not quite keep up with the pace of threads using SAT-solvers. Consequently, they only yield minor speedups.

Our parallelization approach does not only exploit hardware parallelism, it is also a playground for combining different methods and solvers. We only tried a few options; a thorough investigation of beneficial combinations remains to be done.

5 Direct Synthesis Methods

This section presents completely different approaches for computing a winning region. Instead of refining an initial guess in many iterations, we simply assert the constraints for a proper winning region and compute a solution in one go.

5.1 Template-Based Synthesis Approach

We define a generic template $W(\overline{x}, \overline{k})$ for the winning region $W(\overline{x})$, where $\overline{k}$ is a vector of Boolean variables acting as template parameters. Concrete values $\mathbf{k}$ for the parameters $\overline{k}$ instantiate a concrete formula $W(\overline{x})$ over the state variables $\overline{x}$. This reduces the search for a Boolean formula (the winning region) to a search for Boolean parameter values. We can now find a winning region that satisfies the three desired properties (I)-(III) with a single QBF-solver call:

$$\begin{aligned}(sat, \mathbf{k}) = \textsc{QbfSatModel}(\exists \overline{k} \,.\, \forall \overline{x}, \overline{i} \,.\, \exists \overline{c}, \overline{x}' \,.\ & (I \Rightarrow W(\overline{x}, \overline{k})) \wedge \\ & (W(\overline{x}, \overline{k}) \Rightarrow P) \wedge \\ & (W(\overline{x}, \overline{k}) \Rightarrow (T \wedge W(\overline{x}', \overline{k})))\end{aligned} \tag{2}$$

The challenge in this approach is to define a generic template $W(\overline{x}, \overline{k})$ for the winning region. Figure 5 illustrates how a CNF template could look like. Here, $W(\overline{x})$ is a conjunction of clauses over the state variables $\overline{x}$. Template parameters $\overline{k}$ define the shape of the clauses. First, we fix a maximum number N of clauses in the CNF. Then, we introduce three vectors of template parameters: $\overline{k^c}$, $\overline{k^v}$, and $\overline{k^n}$. We denote their union by $\overline{k}$. If parameter k^c_i with $1 \leq i \leq N$ is true, then clause i is used in $W(\overline{x})$, otherwise not. If parameter $k^v_{i,j}$ with $1 \leq i \leq N$ and $1 \leq j \leq |\overline{x}|$ is true, then the state variable $x_j \in \overline{x}$ appears in clause i of $W(\overline{x})$, otherwise not. Finally, if parameter $k^n_{i,j}$ is true, then x_j can appear in clause i only negated, otherwise only unnegated. If $k^v_{i,j}$ is false, then $k^n_{i,j}$ is irrelevant. This gives $|\overline{k}| = 2 \cdot N \cdot |\overline{x}| + N$ template parameters. Figure 5 illustrates this definition of $W(\overline{x}, \overline{k})$ as a circuit. A CNF encoding of this circuit to be used in the QBF query shown in Eq. 2 is straightforward. Choosing N is delicate. If N is too low, we will not find a solution, even if one exists. If it is too high, we waste computational resources and may find an unnecessarily complex winning region. In our implementation, we solve this dilemma by starting with $N = 1$ and doubling it upon failure. We stop if we get a negative answer for $N \geq 2^{|\overline{x}|}$ (because any Boolean formula over $\overline{x}$ can be represented in a CNF with $< 2^{|\overline{x}|}$ clauses). The CNF template explained in this paragraph is just an example. Other ideas include And-Inverter Graphs with parameterized interconnects, or other parameterized circuits [15].

The template-based approach can be good at finding simple winning regions quickly. There may be many different winning regions that satisfy the conditions (I)-(III). The algorithms SafeSynth, LearnQbf and LearnSat will always find the largest of these sets (modulo unreachable states, if used with optimization RG or RC). The template-based approach is more flexible. As an extreme

example, suppose that there is only one initial state, it is safe, and the protagonist can enforce to stay in this state. Suppose further that the largest winning region is complicated. The template-based approach may find $W = I$ quickly, while the other approaches may take ages to compute the largest winning region. On the other hand, the template-based approach can be expected to scale poorly if no simple winning region exists, or if the synthesis problem is even unrealizable. The issue of detecting unrealizability can be tackled just like in bounded synthesis [11]: in parallel to searching for a winning region for the protagonist, one can also try to find a winning region for the antagonist (a set of states from which the antagonist can enforce to leave the safe states in some number of steps). If a winning region for the antagonist contains an initial state, unrealizability is detected.

5.2 EPR Reduction Approach

The EPR approach is based on the observation that a winning region $W(\overline{x})$ satisfying the three requirements (I)-(III) can also be computed as a Skolem function, without a need to fix a template. However, the requirement (III) concerns not only W but also its next-state copy W'. Hence, we need a Skolem function for the winning region and its next-state copy, and the two functions must be consistent. This cannot be formulated as a QBF problem with a linear quantifier structure, but only using so-called Henkin Quantifiers[2] [12], or in the *Effectively Propositional Logic (EPR)* [18] fragment of first-order logic. Deciding the satisfiability of formulas with Henkin Quantifiers is NEXPTIME-complete, and only a few tools exist to tackle the problem [12]. Hence, we focus on reductions to EPR. EPR is a subset of first-order logic that contains formulas of the form $\exists \overline{A} \,.\, \forall \overline{B} \,.\, \varphi$, where A and B are disjoint vectors of variables ranging over some domain $\mathbb{D}$, and φ is a function-free first-order formula in CNF. The formula φ can contain predicates, which are (implicitly) existentially quantified.

Recall that we need to find a formula $W(\overline{x})$ such that $\forall \overline{x}, \overline{i} \,.\, \exists \overline{c}, \overline{x}' \,.(I \Rightarrow W) \wedge (W \Rightarrow P) \wedge (W \Rightarrow T \wedge W')$. In order to get a corresponding EPR formula, we must (a) encode the Boolean variables using first-order domain variables, (b) eliminate the existential quantification inside the universal one, and (c) encode the body of the formula in CNF. Just like [26], we can address (a) by introducing a new domain variable Y for every Boolean variable y, a unary predicate p to encode the truth value of variables, constants $\top$ and $\bot$ to encode true and false, and the axioms $p(\top)$ and $\neg p(\bot)$. The existential quantification of the $\overline{x}'$ variables can be turned into a universal one by turning the conjunction with T into an implication, i.e., re-write $\forall \overline{x}, \overline{i} \,.\, \exists \overline{c}, \overline{x}' \,.\, W(\overline{x}) \Rightarrow T(\overline{x}, \overline{i}, \overline{c}, \overline{x}') \wedge W(\overline{x}')$ to $\forall \overline{x}, \overline{i} \,.\, \exists \overline{c} \,.\, \forall \overline{x}' \,.\, W(\overline{x}) \wedge T(\overline{x}, \overline{i}, \overline{c}, \overline{x}') \Rightarrow W(\overline{x}')$. This works because we assume that T is both deterministic and complete. We Skolemize the $\overline{c}$-variables $c_1, \ldots, c_n$ by introducing new predicates $C_1(\overline{X}, \overline{I}), \ldots, C_n(\overline{X}, \overline{I})$. For W, we also introduce a

[2] A winning region is a Skolem function for the Boolean variable w in the formula $\forall \overline{x} \,.\, \exists w \,.\, \forall \overline{i} \,.\, \exists \overline{c} \,.\, \forall \overline{x}' \,.\, \exists w' \,.\, (I \Rightarrow w) \wedge (w \Rightarrow P) \wedge ((\overline{x} = \overline{x}') \Rightarrow (w = w')) \wedge (w \wedge T \Rightarrow w')$.

new predicate $W(\overline{X})$. This gives

$$\forall \overline{X}, \overline{I}, \overline{X}' .\ (I(\overline{X}) \Rightarrow W(\overline{X})) \quad \wedge \quad (W(\overline{X}) \Rightarrow P(\overline{X})) \quad \wedge \\ (W(X) \wedge T(\overline{X}, \overline{I}, \overline{C}(\overline{X}, \overline{I}), \overline{X}') \Rightarrow W(X'))$$

The body of this formula has to be encoded in CNF, but many first-order theorem provers and EPR solvers can do this internally. If temporary variables are introduced in the course of a CNF encoding, then they have to be Skolemized with corresponding predicates. Instantiation-based EPR-solvers like iProver [17] can not only decide the satisfiability of EPR formulas, but also compute models in form of concrete formulas for the predicates. For our problem, this means that we cannot only directly extract a winning region but also implementations for the control signals from the $C_j(\overline{X}, \overline{I})$-predicates. iProver also won the EPR track of the Automated Theorem Proving System Competition in the last years.

6 Experimental Results

This section presents our implementation, benchmarks and experimental results.

6.1 Implementation

We implemented the synthesis methods presented in this paper in a prototype tool. The source code (written in C++), more extensive experimental results, and the scripts to reproduce them are available for download[3]. Our tool takes as input an AIGER[4] file, defined as for the safety track of the hardware synthesis competition, but with the inputs separated into controllable and uncontrollable ones. It outputs the synthesized implementation in AIGER format as well. Several back-ends implement different methods to compute a winning region. At the moment, they all use QBFCert [22] to extract the final implementation. However, in this paper, we evaluate the winning region computation only. Table 1 describes some of our implementations. Results for more configurations (with different optimizations, solvers, etc.) can be found in the downloadable archive. The BDD-based method is actually implemented in a separate tool[5]. It uses dynamic variable reordering, forced re-orderings at certain points, and a cache to speedup the construction of the transition relation. PDM is a re-implementation of [21]. These two implementations serve as baseline for our comparison. The other methods are implemented as described above. BloqqerM refers to an extension of the QBF-preprocessor Bloqqer to preserve satisfying assignments. This extension is presented in [25].

[3] `www.iaik.tugraz.at/content/research/design_verification/demiurge/`.
[4] See `http://fmv.jku.at/aiger/`.
[5] Is was created by students and won a competition in a lecture on synthesis.

Table 1. Overview of our Implementations

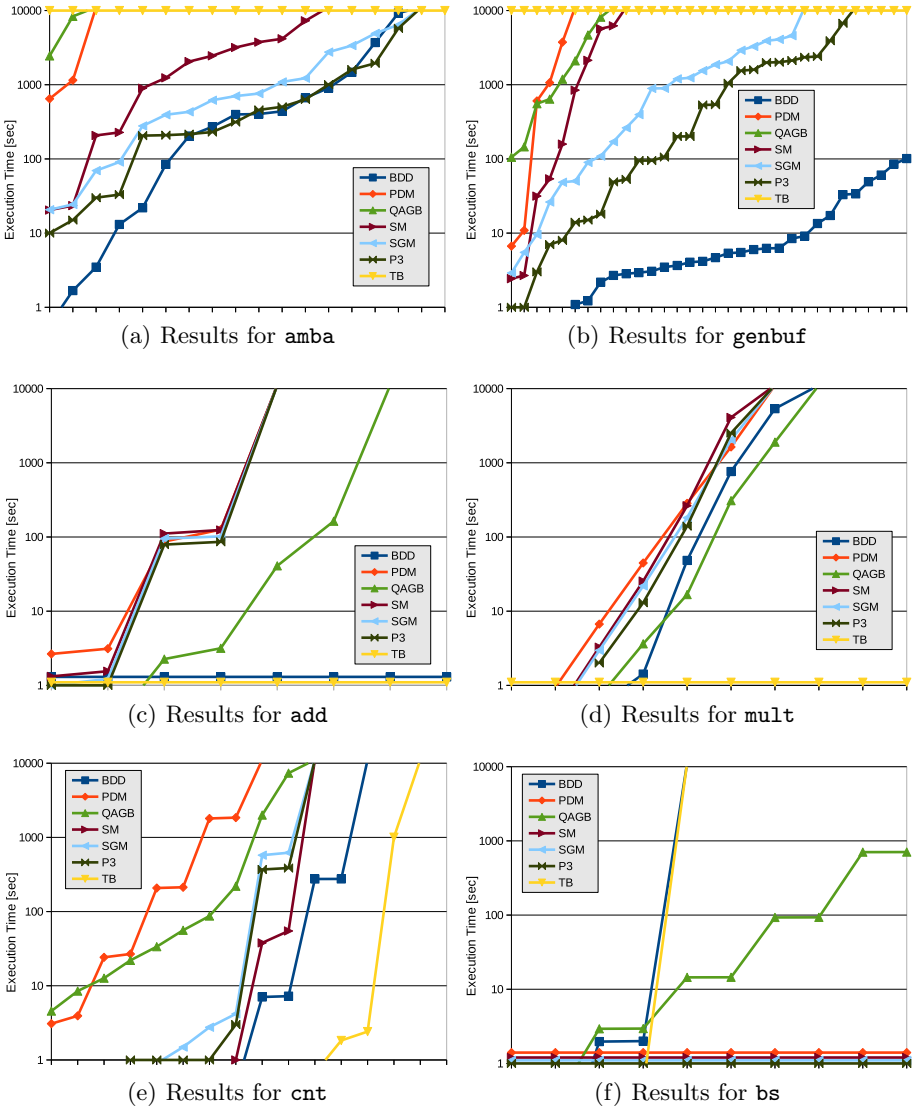
Name	Techn.	Solver	Description
BDD	BDDs	CuDD	SafeSynth (Sect. 3.1)
PDM	SAT	Minisat	Property directed method [21]
QAGB	QBF	BloqqerM + DepQBF	LearnQbf + opt. RG + comp. of all counterexample generalizations (Sect. 4.1)
SM	SAT	Minisat	LearnSat (Sect. 4.2)
SGM	SAT	Minisat	Like SM but with optimization RG
Pi	SAT	various	Multi-threaded with i threads (Sect. 4.4)
TB	QBF	BloqqerM + DepQBF	CNF-template-based (Sect. 3.1)
EPR	EPR	iProver	EPR-based (Sect. 5.2)

6.2 Benchmarks

We evaluate the methods on several parametrized specifications. The first one defines an arbiter for ARM's AMBA AHB bus [4]. It is parametrized with the number of masters it can handle. These specifications are denoted as `amba`ij, where i is the number of masters, and $j \in \{\mathtt{c}, \mathtt{b}\}$ indicates how the fairness properties in the original formulation of the specification were transformed into safety properties (see [5] for details). The second specification is denoted by `genbuf`ij, with $j \in \{\mathtt{c}, \mathtt{b}\}$, and defines a generalized buffer [4] connecting i senders to two receivers. Also here, liveness properties have been reduced to safety properties. Both of these specifications can be considered as "control-intensive", i.e., contain complicated constraints on few signals. In contrast to that, the following specifications are more "data-intensive", and do not contain transformed liveness properties. The specification `add`io with $o \in \{\mathtt{y}, \mathtt{n}\}$ denotes a combinational i-bit adder. Here o=`y` indicates that the AIGER file was optimized with ABC [7], and o=`n` means that this optimization was skipped. Next, `mult`i denotes a combinational i-bit multiplier. The benchmark `cnt`io denotes an i-bit counter that must not reach its maximum value, which can be prevented by setting the control signals correctly at some other counter value. Finally, `bs`io denotes an i-bit barrel shifter that is controlled by some signals. The tables 2 and 3 in the extended version of this paper [5] list the size of these benchmarks.

6.3 Results

Figure 6 summarizes the performance results of our synthesis methods on the different parameterized specifications with cactus plots. The vertical axis shows the execution time for computing a winning region using a logarithmic scale. The horizontal axis gives the number of benchmark instances that can be solved within this time limit (per instance). Roughly speaking this means that the steeper a line rises, the worse is the scalability of this method. In order to make the charts more legible, we sometimes "zoomed" in on the interesting parts. That is, in some charts we omitted the leftmost part were all methods terminate within fractions of a second, as well as the rightmost part where (almost) all methods



(a) Results for `amba`

(b) Results for `genbuf`

(c) Results for `add`

(d) Results for `mult`

(e) Results for `cnt`

(f) Results for `bs`

Fig. 6. Cactus plots summarizing our performance evaluation.

timeout. We set a timeout of 10 000 seconds, and a memory limit of 4 GB. The memory limit was only exceeded by the EPR approach. The EPR approach did so for quite small instances already, so we did not include it in Figure 6. The detailed execution times can be found in the tables 2 and 3 of [5]. All experiments were performed on an Intel Xeon E5430 CPU with 4 cores running at 2.66 GHz, and a 64 bit Linux. Figure 7 illustrates the speedup achieved by our parallelization (see Section 4.4) on the `amba` and `genbuf` benchmarks in a scatter plot. The x-axis carries the computation time with one thread. The y-axis shows the corresponding execution time with two and three threads. Note that the scale on both axes is logarithmic.

6.4 Discussion

Figure 7 illustrates a parallelization speedup mostly between a factor of 2 and 37, with a tendency to greater improvements for larger benchmarks. Only part of the speedup is due to the exploitation of hardware parallelism. Most of the speedup actually stems from the fact that the threads in our parallelization execute different methods and use different solvers that complement each other. Even if executed on a single CPU core in a pseudo-parallel manner, a significant speedup can be observed. In our parallelization, we experimented with only a few combinations of solvers and algorithms. We think that there is still a lot of room for improvements, requiring a more extensive investigation of beneficial algorithm and solver combinations.

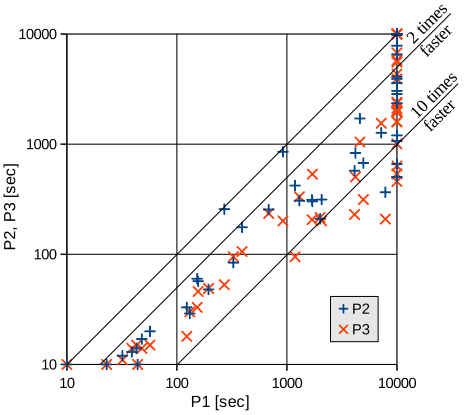


Fig. 7. Parallelization speedup.

For the `amba` benchmarks, our parallelization P3 slightly outperforms BDDs (Figure 6(a)). For `genbuf`, BDDs are significantly faster (Figure 6(b)). The template-based approach does not scale at all for these benchmarks. The reason is that, most likely, no simple CNF representation of a winning region exists for these benchmarks. For instance, for the smallest `genbuf` instance, P3 computes a winning region as a CNF formula with 124 clauses and 995 literal occurrences. By dropping literals and clauses as long as this does not change the shape of the winning region, we can simplify this CNF to 111 clauses and 849 literal occurrences. These numbers indicates that no winning region for these benchmarks can be described with only a few clauses. Instantiating a CNF template with more than 100 clauses is far beyond the capabilities of the solver, because the number of template parameters grows so large (e.g., 4300 template parameters for the smallest `genbuf` instance with a template of 100 clauses for the winning region). The situation is different for `add` and `mult`. These designs are mostly

combinational (with a few states to track if an error occurred). A simple CNF-representation of the winning region (with no more than 2 clauses) exists, and the template-based approach finds it quickly (Figure 6(c) and 6(d)).

In Figure 6(b), we observe a great improvement due to the reachability optimization RG (SM vs. SGM). In some plots, this improvement is not so significant, but optimization RG never slows down the computation significantly. Similar observations can be made for QAGB (but this is not shown in the plots to keep them simple).

The SAT-based back-end SGM outperforms the QBF-based back-end QAGB on most benchmark classes (all except for `add` and `mult`). It has already been observed before that solving QBF-problems with plain SAT-solvers can be beneficial [13, 21]. Our experiments confirm these observations. One possible reason is that SAT-solvers can be used incrementally, and they can compute unsatisfiable cores. These features are missing in modern QBF-solvers. However, this situation may change in the future.

The barrel shifters `bs` are intractable for BDDs, even for rather small sizes. Already when building the BDD for the transition relation, the approach times out because of many and long reordering phases, or runs out of memory if reordering is disabled. In contrast, almost all our SAT- and QBF-based approaches are done within fractions of a second on these examples. We can consider the `bs`-benchmark as an example of a design with complex data-path elements. BDDs often fail to represent such elements efficiently. In contrast, the SAT- and QBF-based methods can represent them easily in CNF. At the same time, the SAT- and QBF-solvers seem to be smart enough to consider the complex data-path elements only as far as they are relevant for the synthesis problem.

On most of the benchmarks, especially `amba` and `genbuf`, our new synthesis methods outperform our re-implementation of [21] (PDM in Figure 6) by orders of magnitude. Yet, [21] reports impressive results for these benchmarks: the synthesis time is below 10 seconds even for `amba`16 and `genbuf`16. We believe that this is due to a different formulation of the benchmarks. We translated the benchmarks, exactly as used in [21], into our input language manually, at least for `amba`16 and `genbuf`16. Our PDM back-end, as well as most of the other back-ends, solve them in a second. This suggests that the enormous runtime differences stem from differences in the benchmarks, and not in the implementation. An investigation of the exact differences in the benchmarks remains to be done.

In summary, none of the approaches is consistently superior. Instead, the different benchmark classes favor different methods. BDDs perform well on many benchmarks, but are outperformed by our new methods on some classes. The template-based approach and the parallelization of the SAT-based approach seem particularly promising. The reduction to EPR turned out to scale poorly.

7 Summary and Conclusion

In this paper, we presented various novel SAT- and QBF-based methods to synthesize finite-state systems from safety specifications. We started with a learning-

based method that can be implemented with a QBF-solver. Next, we proposed an efficient implementation using a SAT-solver, an optimization using reachability information, and an efficient parallelization that achieves a super-linear speedup by combining different methods and solvers. Complementary to that, we also presented synthesis methods based on templates or reduction to EPR. From our extensive case study, we conclude that these new methods can complement BDD-based approaches, and outperform other existing work [21] by orders of magnitude.

In the future, we plan to fine-tune our optimizations and heuristics using larger benchmark sets. We also plan to research and compare different methods for the extraction of circuits from the winning region.

Acknowledgments

We thank Aaron R. Bradley for fruitful discussions about using IC3-concepts in synthesis, Andreas Morgenstern for his support in re-implementing [21] and translating benchmarks, Bettina Könighofer also for providing benchmarks, and Fabian Tschiatschek and Mario Werner for their BDD-based synthesis tool.

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