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1 **Scale mismatches, conservation planning and the value of social** 2 **network analysis**

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16 **Abstract**

17 Many of the challenges faced by conservation scientists and practitioners can be framed as
18 a *scale mismatch*. The problem of scale mismatch in a conservation setting occurs when the
19 planning for and implementation of conservation actions is at a scale that does not reflect
20 the scale of the conservation problem being addressed. Managing this problem lies not in
21 fitting conservation actions to a single scale, but rather in understanding and negotiating the
22 multi-scale nature of conservation problems so that conservation actions operate at
23 temporal, spatial and functional scales that are appropriate for the problem at hand. We
24 review some of the challenges faced in conservation planning in the context of *scale*
25 *mismatches*, with the objective of understanding the underlying issues and explaining how
26 this problem can manifest and affect conservation outcomes. Networks link organizations
27 and individuals across space (and time) which determines the collective scale of
28 conservation actions. Social network analysis can be used to explore if these network
29 structures constrain or enable key social processes, and how multiple scales of action are
30 linked. Such issues underpin efforts to guide the mitigation of scale mismatches in
31 assessing, planning, implementing, and monitoring conservation projects.

32 **Introduction**

33 The concept of scale mismatch, also referred to as the ‘problem of fit’, has emerged in the
34 broader natural resource management literature and refers to a mismatch between the
35 extent and resolution of management actions and that of the ecological system of interest
36 (Lee 1993; Young 2002; Cumming et al. 2006). The problem of scale mismatch in a
37 conservation setting occurs when conservation actions are undertaken at a scale that does
38 not reflect the scale(s) required to solve a target conservation problem. For example, scale

39 mismatches are common in the problem of successfully managing migratory species (e.g.
40 Berkes 2006), and where the relatively short-time horizons of planners and politicians
41 conflict with longer-term ecological and social changes (Folke et al. 1998b). Cumming et al.
42 (2006) explored the concept of scale mismatch in the management of natural resources,
43 explaining their causes and consequences. The authors highlight that scale mismatches are
44 generated by a wide range of social, ecological, and linked social-ecological processes, and
45 conclude that how best to resolve them remains an open question and a frontier for future
46 research. An understanding of how scale mismatches transpire, and their likely
47 consequences, can be valuable for those committed to attaining on-the-ground
48 conservation outcomes, so that they can devise prompt strategies to deal with or
49 ameliorate them.

50 Conservation planning is evolving from being primarily concerned with the
51 systematic identification of protected areas for the conservation of species diversity
52 (Margules & Pressey 2000), to a process of prioritizing, implementing and managing actions
53 for the conservation of biological diversity and other natural values, both within and outside
54 of protected areas (Wilson et al. 2009). Challenges that hinder the effectiveness of
55 conservation planning include funding not being available or used to support only short-
56 term projects, lack of consideration of ecological processes and dynamic threats that
57 determine the persistence of biological diversity (Pressey et al. 2007), the limited extent to
58 which science and research informs on-the-ground action (Balmford & Cowling 2006;
59 Pressey & Bottrill 2009), along with unacknowledged diversity of value systems (Wondolleck
60 2000; Van Houtan 2006) and non-negotiated agendas that obstruct objective decision
61 making (Biggs et al. 2011). Arguably, many of these challenges emerge as a result of scale
62 mismatches, primarily because conservation problems often require multiple actions, each

63 associated with different ecological and management scales (Sarkar et al. 2006). The
64 problem of scale mismatch lies not in fitting conservation action to the 'right' scale. Instead,
65 the multi-scale nature of conservation problems needs to be understood and negotiated so
66 that strategies and actions are developed and applied at appropriate temporal and spatial
67 scales. Governance and management arrangements that have the capacity to alleviate
68 mismatches across the range of actions are therefore required. However, there is often
69 insufficient institutional diversity (structures or mechanisms) to adapt to the multi-scale
70 nature of conservation problems and effectively manage across scales (Folke et al. 1998a;
71 Young 2002; Wyborn 2011).

72 It is now recognized that conservation planning needs to include stages dedicated to
73 understanding the social-ecological system in which conservation actions are to be
74 implemented, including the cultural, economic and institutional contexts (Polasky 2008;
75 Pressey & Bottrill 2008), and the norms, values and human factors that underpin
76 opportunities and constraints for effective conservation action (e.g. Cowling & Wilhelm-
77 Rechmann 2007; Guerrero et al. 2010; Knight et al. 2010). In this context the identification
78 and involvement of stakeholders is key to effective conservation planning. It can facilitate
79 the identification of new knowledge, opportunities for and barriers to implementation,
80 engender trust and gain support for implementation (Pierce et al. 2005; Knight et al. 2006a;
81 Pressey & Bottrill 2009).

82 The use of network theory has grown exponentially in the last decade in areas across
83 the physical and social sciences and has been useful for explaining social phenomena across
84 a diversity of disciplines (Borgatti et al. 2009). Networks link organizations and individuals
85 across space (and time), and hence are critical in determining the collective scale of
86 conservation actions, which in turns underpins the magnitude of mismatch in scales. In this

87 paper we apply the concept of scale mismatches to understand different challenges faced
88 throughout the conservation planning process. We explore this issue across multiple scales
89 associated with the different stages of conservation planning. We then discuss emerging
90 conservation planning approaches that are useful in the face of potential scale mismatches,
91 and end with a discussion on how social network analysis can be applied to help guide
92 conservation practitioners who are managing scale mismatch problems.

93

94 **Scale mismatches through the lenses of the conservation planning process**

95 The process of planning and implementing conservation actions (Figure 1) involves
96 continuous decision making, including conservation problem definition, the formulation of
97 actions, and how they are to be implemented on-the-ground. Conservation problems are
98 often complex involving competing objectives, multiple actors, and a diversity of possible
99 conservation actions. Decisions can be made at spatial and temporal scales that are unlikely
100 to match the scale of the ecological patterns or processes relevant to the conservation
101 problem, creating a *scale mismatch*. For example, actions and strategies might be
102 formulated at a regional scale while the conservation problem also requires action at a finer
103 scale (Briggs 2001; Sarkar et al. 2006), or a plan might be formulated at an appropriate scale
104 for action, but the operational capacity for implementation might be deficient.

105 Scale mismatches can manifest in diverse ways at each stage of the conservation
106 planning process, including the assessment, action and strategy formulation,
107 implementation and management, and review and adaptation stages. We show this by
108 applying a modified version of Cumming et al. (2006) classification of scale mismatches
109 (spatial, temporal and functional scale mismatches) (see Table 1). Spatial scale mismatches
110 refer to differences in geographic extent, for example a fine scale, such as patches or

111 landscapes, compared to a broader scale such as regional or global scale (Cash et al. 2006).
112 Temporal scale mismatches relate to different durations of processes (Cash et al. 2006).
113 Both time and space scales also have 'grain', which refers to the resolution with which
114 observations are made (i.e. data resolution). Functional scale mismatches refer to
115 differences in the scope of processes covered by a system (Lee 1993; Folke et al. 1998b), for
116 example a very narrow scale focusing on a few ecological features, compared to a broad
117 scale that considers a diversity of ecosystems and threatening processes.

118

119 *Assessment stage*

120 One of the first decisions made when planning for conservation is defining the extent
121 of the planning region. In some instances regions are defined based solely on institutional
122 boundaries without accounting for ecological boundaries (see example in Table 1). This can
123 result in plans that fail to appropriately define the conservation problem, or that only
124 address part of the problem. A case in point is the Murray-Darling Basin in Australia. For
125 over 100 years the Murray-Darling Basin, one of the most important river systems in
126 Australia, has provided water for irrigation, stock and domestic use and other industries
127 across four Australian states. The growing diversion of water fuelled by the expansion of the
128 irrigation industry in the basin has resulted in a 40 percent reduction in water flow (Cosler et
129 al. 2010). This has led to ecosystem collapses, detrimentally impacting natural features such
130 as native fish, riparian vegetation and wetlands of national significance. Attempts to resolve
131 these issues have been through diverse and unconnected institutions (e.g. separate state
132 legislation), leading to a lack of effective governance of the basin as a whole. This can be
133 interpreted as a spatial scale mismatch at the onset of the planning process where the
134 planning region did not reflect the boundaries of the ecological systems of the basin and

135 instead was defined as the area of the basin occurring within each state. Linked to this was a
136 functional mismatch, where the full scope of features and ecological processes, including
137 patterns of river flow, and the health of wetlands, native fish, forest and water bird
138 populations, occurring across the basin were not accounted for (Murray–Darling Basin
139 Authority 2011). More recently, attempts to manage these scale mismatches include the
140 creation of institutions operating at a Federal level such as the Commonwealth *Water Act*
141 *2007*, and the formation of the Murray-Darling Basin Authority. The Authority is responsible
142 for the formulation of an integrated management plan to set the water diversion limits for
143 the basin as a whole (Water Act 2007), and for the development of specific conservation
144 programs in conjunction with state governments such as the Rivers Environmental
145 Restoration program and the Native Fish Strategy program. The current challenge for the
146 Authority is to formulate an integrated plan that sets water diversion limits in a manner that
147 is consistent with the characteristics and needs of the entire social-ecological system (Young
148 & McColl 2009; Cosler et al. 2010), not only at the whole-of-basin level but also across
149 scales, whilst retaining a local-scale perspective.

150 When identifying areas for conservation action, decisions about data resolution
151 influence which and how many areas are selected (Pressey & Logan 1995; Rouget 2003). A
152 spatial scale mismatch can occur when the resolution of the data that is used to understand
153 the ecological and social setting fails to reflect the heterogeneity of the area (Table 1), which
154 can limit the effectiveness of planning decisions (e.g. Rouget 2003). The limited availability
155 of fine-resolution data across a planning region, and limited resources for acquiring new
156 data (Margules et al. 2002), will result in the inevitable use of coarse-resolution data (Mills
157 et al. 2010).

158 Most spatial conservation planning exercises involve representation of species
159 diversity patterns, but relatively few consider ecological processes or dynamic threats to
160 biological diversity (Pressey et al. 2007; Pressey & Bottrill 2009). Lack of consideration of
161 key ecological processes that sustain biological diversity at the assessment stage can lead to
162 functional mismatches where actions fail to prevent disruption of some of these key
163 ecological processes, thus jeopardizing their existence and of the species they sustain (See
164 Pressey et al. 2007).

165

166 *Formulation of actions and strategies*

167 When conservation actions are not formulated at appropriate scales, the threats,
168 risks, constraints, opportunities, complexities and dynamics of the social-ecological system
169 that affect the success of conservation actions may not be accounted for. An example of
170 scale mismatch is when actions are formulated at a particular governance level, such as a
171 state or county level, but are applied to an ecosystem or ecological process that transcends
172 governance boundaries. For instance, in the conservation of migratory species, actions
173 might be developed for cross-country migration of species but can fail to develop actions for
174 migration within country or within region migration (e.g. Gilmore et al. 2007). Another
175 example relates to wintering waterbirds in the United Kingdom where recreational use of
176 inland waters are based on short-term behavioral responses of birds to disturbance that are
177 averaged across sites and habitats (O'Connell et al. 2007). This generalized approach to
178 planning does not account for site and time specific impacts, resulting in spatial and
179 temporal mismatches. For example, disturbance activities by humans may only happen at
180 particular times of the year or may only affect specific locations, and birds may use a range
181 of lakes for different needs (O'Connell et al. 2007).

182 Threats to biological diversity operate at diverse spatial and temporal scales.
183 Therefore effective conservation planning requires the scheduling of multiple actions that
184 can operate at these diverse scales. In addition, some actions might need to be threat-
185 specific (Salafsky et al. 2002; Pressey et al. 2007) – addressing relevant ecological processes
186 such as those associated with connectivity, population dynamics in fragments, and
187 maintenance of patch dynamics (Carwardine et al. 2008) – thereby ameliorating the
188 potential for mismatches at the functional scale.

189

190 *Implementation and management*

191 The need for more effective implementation of conservation actions is increasingly
192 recognized as a key challenge in conservation planning (Balmford & Cowling 2006; Knight et
193 al. 2008; Pressey & Bottrill 2009). Many of the challenges faced in implementation stem
194 from a disjointed planning process, where early stages in the process are not integrated into
195 a broader planning framework that focuses upon the implementation of conservation
196 actions. This occurs, for example, when spatial prioritization analyses do not account for the
197 constraints and opportunities for implementation (Pierce et al. 2005; Knight et al. 2008), or
198 when planning units used in the prioritization of areas are dissimilar to areas where
199 management will be implemented – making it difficult to translate plans into implemented
200 actions (Pierce et al. 2005).

201 Spatial scale mismatches in implementation lead to on-ground activities undertaken
202 at scales that cannot resolve the conservation issue (see Table 1). This can sometimes be
203 driven by a lack of resources for implementation or because key organizations or individuals
204 have not been engaged (e.g. Waudby et al. 2007). An example of spatial scale mismatch
205 relates to conservation efforts for Australia’s endangered bridled nailtail wallaby

206 (*Onychogalea fraenata*) (*Environment Protection and Biodiversity Conservation Act 1999*),
207 where a centralized state program, unable to effectively implement actions at a local scale
208 and over the long-temporal scales required for maintaining subpopulations, has failed to
209 stop the decline of the species (Kearney et al. in press).

210 Temporal scale mismatches at the implementation stage occur for example when
211 funding does not match the long-term nature of ecological processes relevant to the
212 conservation problem, resulting in partly attained or unattained conservation objectives
213 (e.g. Waudby et al. 2007). Temporal scale mismatches can also occur when actions are
214 implemented at a rate that does not reflect the rate of change of the ecological system of
215 interest, for example when actions are delayed due to political timeframes, or for the
216 pursuit of scientific certainty (e.g. Grantham et al. 2009).

217 Another temporal scale mismatch relates to lack of continuity of personnel
218 throughout the planning and implementation process (Pierce et al. 2005; Walters 2007;
219 Pressey & Bottrill 2009). The implementation of actions is an incremental and often lengthy
220 process, requiring the long-term presence of stakeholders to adapt plans to reflect changes
221 in the ecological and social system (Pierce et al. 2005; Pressey & Bottrill 2009; Grantham et
222 al. 2010). Such changes include changes in areas of interest, new data on threats and
223 species diversity, changes in funding or changes in the interests of local communities where
224 implementation is to occur (Pressey & Bottrill 2009). In addition, the continued presence of
225 stakeholders is important for mainstreaming plans into the activities of organizations
226 responsible for planning and development (Pressey & Bottrill 2009), therefore facilitating
227 implementation. There are already examples of conservation plans accounting for this
228 temporal mismatch by ensuring long-term involvement of implementing stakeholders (e.g.
229 Green et al. 2009; Henson et al. 2009).

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Review and adaptation

Monitoring is key to evaluate outcomes, and to facilitate learning and inform adaptation decisions (Stem et al. 2005; Ferraro & Pattanayak 2006; Field et al. 2007; Lindenmayer & Likens 2010). Scale mismatches at the review and adaptation stage of the conservation process manifest when ecological changes occur at scales smaller or larger (or longer or shorter) than the scale of monitoring operations and are not detected (Table 1). Consequently, such mismatches limit the ability to respond to changes, which can limit an adaptive approach to conservation.

Decisions related to monitoring activities include the ecological metrics to be used, the locations where monitoring activities will be undertaken, and the duration and frequency of monitoring activities (Spellerberg 1994; Lindenmayer & Likens 2010). All these decisions can result in some level of spatial, temporal or functional mismatch with respect to the scale of the conservation problem. For example, choosing appropriate indicators for monitoring activities (Lambeck 1997; Carignan & Villard 2002; Tulloch et al. 2011) is an uncertain decision process that bears the risk of choosing indicators that do not provide a whole-of-systems view of the problem (Simberloff 1998), and can fail to account for the multi-scale requirements of the species or ecological features for which the indicator is assumed to be a surrogate (Lindenmayer et al. 2002). Insufficient data, the cost of monitoring activities, as well as the potential difficulties of applying the most appropriate indicator (Tulloch et al. 2011), are obstacles that can sustain this type of scale mismatch problem (Lindenmayer et al. 2002; Lindenmayer & Likens 2010).

253 **How can scale mismatches be dealt with in conservation planning?**

254 *Emerging planning approaches*

255 For conservation planning to operate at diverse spatial, functional and temporal
256 scales, conservation practitioners need to apply tools that take into account the multi-scalar
257 nature of conservation problems. Planning approaches that account for functional scale
258 mismatches at the assessment and formulation stages are emerging. For example, Pressey
259 *et al.* (2007) discuss approaches for planning for physical and biological processes that
260 require management over large or specially configured areas. Such approaches include
261 moveable conservation areas, variable representation targets, and the use of specific design
262 criteria (e.g. Briers 2002; Nicholson *et al.* 2006; Leroux *et al.* 2007). Threats are also starting
263 to be considered, firstly when scheduling conservation actions so that threatened areas or
264 species are given priority and areas with non-abatable threats are avoided (e.g. Burgman *et*
265 *al.* 2001; Game *et al.* 2008), and secondly through the explicit consideration of the impacts
266 of multiple threats (e.g. Evans *et al.* 2011). Developments in conservation planning
267 approaches also have the potential to deal with spatial and temporal mismatches that
268 characterize the more traditional conservation planning methods, which only account for
269 static views of the ecological, human, and social characteristics of the area of interest
270 threats. Recent advancements include methods for balancing divergent priorities at multiple
271 spatial scales (Moilanen & Arponen 2011), and prioritizing actions through time in the face
272 of dynamic threats, uncertainty, and changing costs of activities (Costello & Polasky 2004;
273 Meir *et al.* 2004; Wilson *et al.* 2006).

274 New quantitative planning methods such as those discussed above are an attempt to
275 deal with the multi-scale nature of conservation problems. They are useful for dealing with
276 scale mismatches that arise at the assessment and action formulation stages of the

277 conservation planning process (Figure 1), where species diversity and other biological data
278 are compiled, conservation targets are set, and priority conservation areas or actions are
279 identified (See Margules & Pressey 2000). However, scale mismatches at the
280 implementation, management and adaptation stages can still transpire. In addition, the
281 need for embedding quantitative planning methods in a social process that facilitates
282 effective implementation is increasingly recognized (Knight et al. 2006a; Pressey & Bottrill
283 2009; Reyers et al. 2010), and there are examples of this already happening (e.g. Pierce et
284 al. 2005; Knight et al. 2006b; Game et al. 2010). It is therefore timely to explore tools and
285 approaches that can help deal with scale mismatches that impede effective implementation.

286

287 *Social network analysis as a conservation planning tool*

288 Social network analysis (SNA) could prove useful in the conservation planning
289 process by providing insights into how implementation might be approached such that
290 guidance can be given to those managing problems of scale mismatch. Some authors in the
291 conservation planning literature have suggested integrating ecological assessments with
292 social assessments of the region (Cowling & Wilhelm-Rechmann 2007) to facilitate an
293 understanding of the social-ecological system dynamics affecting valued nature, and of the
294 opportunities and constraints for implementation.

295 Such social assessments could include an examination of the social networks that
296 exist in relation to conservation in the area of interest, including *who* affects conservation
297 outcomes (either through their involvement with conservation activities, or with economic,
298 subsistent and other types of activities that have a direct effect on conservation outcomes);
299 *how* they are connected to each other through partnerships for action, or other types of
300 collaborations (e.g. Prell et al. 2009; Vance-Borland & Holley 2011); and *what* their spatial,

301 temporal or functional scales of operation, or influence, are. Social network theory can then
302 be applied to understand how this network of collaborations and social relations is
303 characterized and helps facilitate multi-scalar conservation. For example, it can help
304 uncover specific links between actors (individuals, groups or organizations) that could be
305 used to promote cooperation and coordination of key activities at particular and required
306 scales of action (e.g. Gass et al. 2009).

307 We define *conservation social networks* as the networks of relationships that link
308 actors involved in conservation activities across space. These networks form the basis of
309 social norms and community learning; hence they also link actors across time. Networks can
310 be formal or informal. Informal networks will be present in the region where conservation
311 planning is to occur – for example self-organized groups of concerned citizens mobilizing
312 around specific issues (e.g. Newman & Dale 2007; Vance-Borland & Holley 2011), which can
313 take many forms such as farmer advice networks (e.g. Isaac et al. 2007). On the other hand,
314 formal networks (e.g. Carlsson & Sandstrom 2008) can be formed during the conservation
315 planning process through the establishment of formal relationships such as agreements or
316 partnerships between NGOs or government agencies around a particular conservation
317 objectives (e.g. Bode et al. 2010). The different patterns of interactions between actors in a
318 network give rise to different network structures (Borgatti & Foster 2003) that can inhibit or
319 enable a suite of social processes often needed in conservation planning, such as
320 cooperation, knowledge generation and learning, leadership and conflict resolution (e.g.
321 Hahn et al. 2006; Olsson et al. 2007; Bodin & Crona 2009). SNA is used for analyzing the
322 behavior of actors in a network based on its structure (or pattern of relations) (Emirbayer &
323 Goodwin 1994). For example, one can study the density of ties within a network (the extent
324 to which all actors are connected) to understand the capacity of integration and sharing of

325 knowledge within that network (Bodin & Crona 2009), while the level of fragmentation of a
326 network (presence or lack of presence of distinct subgroups) can be useful for
327 understanding capacity for collaboration within the network (Granovetter 1973), as well as
328 access to new knowledge (Newman & Dale 2007; Bodin & Crona 2009). Structural analyses
329 of conservation social networks can help inform implementation strategies. For example, a
330 network that is connected through a few key actors (Figure 2a) might tell us that the best
331 strategy is to engage with these few key actors, so that they can then coordinate action
332 through their own networks. Alternatively, a network that is quite fragmented (Figure 2b)
333 might require engagement with many different actors, and thus require greater financial
334 investment at the implementation stage.

335 Analyzing network structures can help understand the degree to which multiple
336 scales of action are linked or being coordinated, for example through identifying bridging
337 actors (e.g. Olsson et al. 2007), or scale-crossing brokers, that link those operating at
338 different scales who would otherwise be disconnected (Bodin et al. 2006). SNA can help
339 identify different subgroups of actors in the network that might relate to particular required
340 scales of action, and thus could drive implementation at those particular scales. For
341 example, in the recovery plan process for the endangered Australian glossy black-cockatoo
342 (*Calyptorhynchus lathami*) (Environment Protection and Biodiversity Conservation Act 1999)
343 a variety of agencies, community groups, landowners and volunteers operating at different
344 scales were effectively engaged for the implementation of the actions required for the
345 persistence of this species (Waudby et al. 2007). Although, to our knowledge, a social
346 network analysis was not performed as part of this recovery plan, this is an example of how
347 the identification and engagement of key groups as part of the implementation strategy,
348 through SNA or another stakeholder identification method, plays a key role in the successful

349 implementation of actions. The added benefit of SNA as a method for stakeholder
350 identification is that it allows for a more targeted approach for stakeholder selection (Prell
351 et al. 2009).

352 SNA tools could be most useful when combining them with other information about
353 the social-ecological system of interest. It is useful not only to understand how each actor
354 relates to others, but also how they relate to the ecological features of interest (Figure 2c)
355 (Janssen et al. 2006). For example, different fishermen harvest different fish species, at
356 different fishing locations, and some of those species and locations will be of greater
357 importance for achieving conservation outcomes. It is not only important to identify key
358 actors who can help connect to all other relevant actors – and other scales – but also those
359 actors who can help connect to the most important ecological features, thereby enabling
360 the targeting of actions to spatial scales that have the greatest potential for achieving
361 conservation outcomes.

362 There are added benefits of applying SNA to conservation planning. Engagement is
363 an expensive process and SNA can help minimize related costs by identifying either well
364 connected actors, or specifically those who are linked to others who might prove difficult or
365 costly to engage with directly (e.g. Prell et al. 2009). It can also help identify those actors
366 who could help maximize understanding of the system complexity, due to their connections
367 to actors who hold different types of knowledge. Or it can help uncover particular
368 collaboration gaps that, if addressed, might connect key groups or actors who can
369 collectively enhance conservation success (Vance-Borland & Holley 2011).

370 Structural analyses of networks can provide insights into how social networks affect
371 planned outcomes, through their enabling or constraining of key social processes needed in
372 the planning and implementation of conservation actions. However, acquiring a deep

373 appreciation of the role of social networks will likely require not only an understanding of
374 structural aspects, such as the presence or absence of links between two or more key actors
375 or groups, but also information on the value or effectiveness of such links. For example,
376 engaging an actor that is well connected to many other actors operating at different scales
377 (a structural characteristic) might not be of benefit if that actor is perceived as distrustful by
378 the actors they are connected to (e.g. Gass et al. 2009), or if the actor lacks legitimacy (Tyler
379 2006), their presence in the network over time is uncertain (McAllister et al. 2008), or
380 cultural, institutional and other contextual aspects affects the actor's willingness to act (e.g.
381 Bodin & Crona 2008).

382

383 **Conclusions**

384 Strategic decisions at the onset of a conservation project can be informed by an
385 understanding of some of the challenges that can arise during the process of development
386 and implementation of conservation actions, which include potential mismatches in spatial,
387 temporal and functional scales. We have discussed how scale mismatches can manifest at
388 each stage of the conservation planning process, which can lead to a plan that does not
389 account for the threats, risks, constraints, opportunities, and the complexities and dynamics
390 of the social-ecological system, and limited or no implementation. In addition, scale
391 mismatches can also affect the adaptive capacity of conservation institutions during project
392 development and implementation, due to an impeded ability to detect – and therefore
393 learn from, ecological changes occurring at scales other than the scale of operation.

394 An understanding of how these scale mismatches manifest at the various stages of
395 project development and implementation can inform a pre-emptive diagnosis of the
396 likelihood of success of conservation initiatives. This information could be employed in

397 prioritization analyses to develop estimates of the likelihood of success of conservation
398 actions in the context of particular locations, species or threats to be prioritized.
399 Anticipating the potential for scale mismatches can inform the development of strategies
400 for action, implementation and evaluation that can effectively deal with the mismatch
401 problem. These strategies might involve trade-offs across a spectrum that spans (a)
402 addressing the mismatch and ensuring strategies and actions are developed and applied at
403 time and spatial scales that are appropriate for the problem at hand and (b) doing nothing
404 to address the mismatch and rely on the likelihood (however reduced) that some positive
405 conservation outcomes might still transpire. Such trade-offs might often depend on the
406 resources available, on competing considerations that shape decisions about scale (Mills et
407 al. 2010) and on the viability of strategies and actions that could address the mismatch.

408 The importance of social networks to solving conservation problems stems from how
409 most environmental problems are characterized, as explained by Newman and Dale (2007):
410 First, environmental problems are multi-scaled and thus require local actors to have
411 connections to broader levels of society (and vice versa). Second, they are constantly
412 evolving and require a flexible and open engagement process. Third, they require trans-
413 disciplinary processes involving experts, government and local stakeholders. In this paper
414 we have considered how social network analysis can be applied to conservation planning so
415 as to improve its effectiveness on the ground, specifically through its usefulness as a tool
416 that can help guide how conservation actions can be applied at the required spatial,
417 temporal and functional scales.

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419

420

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425

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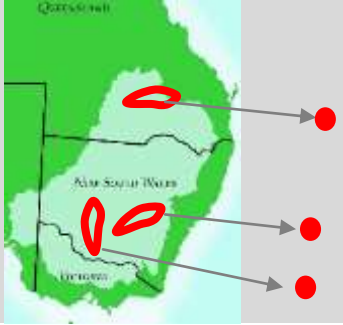
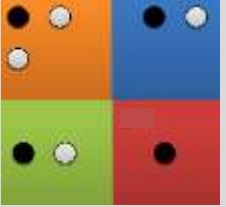
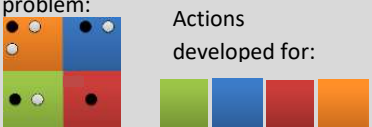
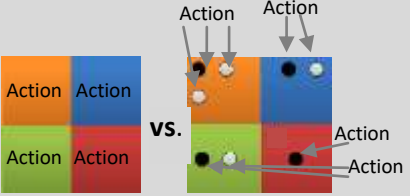


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644 **Table 1**

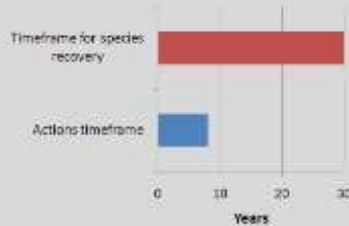
	Assessment*	Formulation of actions and strategies*	Implementation & management*	Review and adaptation*
<i>Spatial mismatch (example 1)</i>	<p>The extent of the planning region is not defined according to ecological boundaries (A) but governance systems (state boundaries).</p> 	<p>Different plans, actions and strategies directed to the same ecosystem, which might be in conflict. No coordination between them might mean a lack of capacity for solving the conservation problem.</p> 	<p>The operational scale of the organizations involved for implementation may not be sufficient to drive on-ground implementation at the full scale of the conservation problem.</p> 	<p>Monitoring is undertaken at a scale at which involved organizations operate, which might not cover the full scale of the conservation problem. Consequently information for adaptation decisions can be misleading.</p> 
<i>Spatial mismatch (example 2)</i>	<p>The resolution of data (squares) may not reflect the heterogeneity of the socio-ecological system (circles)</p> 	<p>Actions and strategies are developed at a scale (square) that does not reflect the threats, risks, complexities and dynamics of the social-ecological system affecting the success of conservation actions (circles). Conservation problem: Actions developed for:</p> 	<p>Implementation may not occur at an adequate scale, with actions implemented to broadly or too narrowly to effectively address the issue.</p> 	<p>Monitoring operations might not detect ecological changes that occur at wider or finer scales, limiting the ability to respond and adapt to changes.</p> <p>Monitoring occurs at this scale:  Not detecting changes at this scale: </p>

Temporal mismatch example

Limited data collection and quick assessments, driven by the time horizons of organizations and funding bodies, do not cover the socio-ecological system in sufficient detail.



Actions are formulated for a short time horizon, which do not address long-term ecosystem changes.



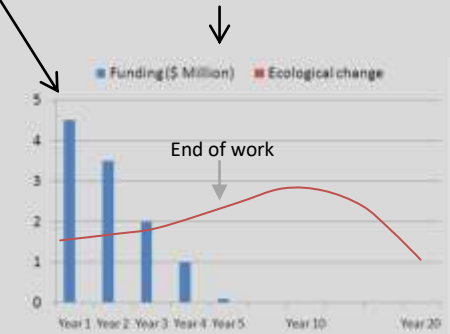
Alternatively, actions and strategies take time to be formulated missing critical short-term ecosystem changes (e.g. climate change).

Actions are implemented at timeframes that do not reflect the timeframe of ecological change.

Lack of continuity of personnel throughout the planning process can result in ineffective implementation of conservation actions.



Duration of monitoring activities is not enough to appropriately evaluate the effectiveness of conservation actions, or is not scaled to the frequency of the event being evaluated.



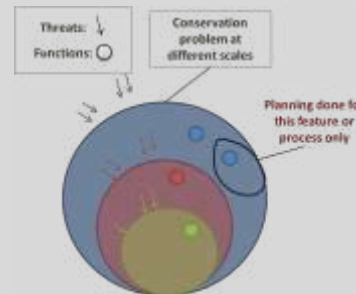
Functional mismatch example

- **Mismatch:** The full scope of features, processes and threats to the ecological system are not accounted for as it is limited to the interests of funding bodies and their institutional frameworks.

- **Mismatch:** Actions that address only a limited subset of features, processes and threats affecting the ecological system.

- **Mismatch:** Actions outside of the scope of implementing organizations are not selected, resulting in a partly implemented plan.

- **Mismatch:** Indicators chosen for monitoring activities do not provide a whole-of-systems view of the problem.



* Stages of project development and implementation (see Figure 1)

647 **Table Legends**

648 **Table 1** –Examples of scale mismatches at each stage of the conservation planning process.

649 **Figure Legends**

650 **Figure 1** – A generalized model of a conservation planning process (adapted from Knight et al.
651 2006a; Pressey & Bottrill 2009).

652 **Figure 2** – Different networks suggesting different strategies for engagement and collaboration.