¹ Scaling Potential Evapotranspiration with Greenhouse Warming

Jacob Scheff * and Dargan M. W. Frierson

Atmospheric Sciences, University of Washington, Seattle, WA

2

^{*}*Corresponding author address:* Jacob Scheff, Dept. of Atmospheric Sciences, Box 351640, Seattle, WA 98195-1640.

E-mail: jscheff@uw.edu

ABSTRACT

Potential evapotranspiration (PET) is a supply-independent measure of the evaporative de-4 mand of a terrestrial climate, of basic importance in climatology, hydrology, and agriculture. 5 Future increases in PET from greenhouse warming are often cited as key drivers of global 6 trends toward drought and aridity. The present work computes recent and business-as-usual-7 future Penman-Monteith PET fields at 3-hourly resolution in 13 modern global climate mod-8 els. The %-change in local annual-mean PET over the upcoming century is almost always 9 positive, modally low double-digit in magnitude, usually increasing with latitude, yet quite 10 divergent between models. 11

These patterns are understood as follows. In every model, the global field of PET %-12 change is found to be dominated by the direct, positive effects of constant-relative-humidity 13 warming (via increasing vapor deficit and increasing Clausius-Clapeyron slope.) This direct-14 warming term accurately scales as the PET-weighted (warm-season daytime) local warming, 15 times 5-6% per degree (related to the Clausius-Clapevron equation), times an analytic factor 16 ranging from about 0.25 in warm climates to 0.75 in cold climates, plus a small correction. 17 With warming of several degrees, this product is of low double-digit magnitude, and the 18 strong temperature dependence gives the latitude dependence. Similarly, the inter-model 19 spread in the amount of warming gives most of the spread in this term. Additional spread 20 in the total change comes from strong disagreement on radiation, relative-humidity, and 21 windspeed changes, which make smaller yet substantial contributions to the full PET %-22 change fields. 23

3

²⁴ 1. Introduction

25 a. Why potential evapotranspiration?

Potential evapotranspiration (PET), a basic land climate variable (e.g. Hartmann 1994), 26 is the rate at which a given climate is trying to evaporate water from the soil-vegetation 27 system. In other words, for given atmospheric and radiative conditions, PET is the surface 28 evapotranspiration (ET) rate that would hold if the soil and vegetation were well-watered. 29 Synonymous and near-synonymous concepts include reference evapotranspiration, potential 30 evaporation, evaporative demand, and pan evaporation. Critically, PET may be thought of 31 as the water required to maintain a garden or irrigated crop, or the water "price" a plant 32 must pay to maintain open stomata. A higher-PET climate is thus a more arid, evaporative 33 climate. Therefore, in this study we attempt to understand how local PET will scale with 34 global greenhouse warming, using global climate models (GCMs) as well as basic physical 35 principles. 36

PET is also of interest because it is a key factor explaining other hydrologic and climatic 37 quantities. Several prominent conceptual models of land hydrology, including the Palmer 38 Drought Severity Index (PDSI; Palmer 1965) and the Budyko and Miller (1974) ecohydro-39 logic theory, take precipitation (water supply) and PET (water demand) as climate-supplied 40 forcings, and give soil moisture, actual ET (latent heat) flux, runoff, and/or drought index 41 as land-generated responses. In these sorts of frameworks, understanding precipitation and 42 PET changes are necessary for understanding other land hydroclimatic changes. In par-43 ticular, recent studies using the PDSI to warn of widespread drought increases with future 44 greenhouse warming (e.g. Dai 2013; Burke et al. 2006) cite systematic global PET increases 45 as the main driver of their alarming results. Understanding the nature, magnitude and 46 pattern of these projected increases is the motive of the present work. 47

Additionally, PET is a more natural choice than actual ET for the evaporative component of land "aridity" metrics because changes in actual ET often just reflect supply (precipita-

tion) changes. For example, the well-known study of Seager et al. (2007) uses precipitation 50 minus actual ET (P - E) to quantify modeled aridification due to greenhouse warming in a 51 subtropical, terrestrial region where the model precipitation declines a great deal. The model 52 ET (Seager et al.'s E) in this area also significantly declines, not surprisingly. However, the 53 analysis, by its nature, interprets the ET decline as if it is some other factor helping to offset 54 or mitigate the precipitation decline. In fact, the model climate is probably becoming more 55 evaporative, not less, due to warming and (presumably) cloud-cover and relative-humidity 56 reduction, and this should not mitigate but aggravate the local ecological effect of the pre-57 cipitation reduction, even though the actual evaporative flux necessarily decreases due to 58 the supply decrease (e.g. Brutsaert and Parlange 1998). To avoid this type of pitfall, the 59 aridity of a climate is usually quantified using the ratio P/PET of annual water supply to 60 annual water demand, or similar (e.g. Budyko and Miller 1974; Middleton and Thomas 1997; 61 Mortimore 2009), which has the additional advantage of being dimensionless. P/PET < 0.0562 is then defined as hyperarid, 0.05 < P/PET < 0.2 as arid, 0.2 < P/PET < 0.5 as semiarid, 63 and so forth. Feng and Fu (2013) show that global climate models project systematic fu-64 ture decreases in P/PET (i.e. aridification) over most of Earth's land, again owing to the 65 (projected) systematic PET increases that we attempt to understand in this work. 66

67 b. Quantifying PET

Except where an evaporation pan, lysimeter or other direct method is available, PET 68 cannot be measured in the field, so it is usually estimated from its meteorological and/or 69 radiative causes. Several estimation methods are in wide use. All of the above studies of 70 greenhouse-driven future drought or aridity expansion (Dai 2013; Burke et al. 2006; Feng and 71 Fu 2013) use the Penman-Monteith equation, a fundamental physics-based method (Penman 72 1948; Monteith 1981). Given some near-surface air temperature T_a , water-vapor pressure e_a , 73 windspeed |u|, and net downward broadband radiation R_n , this equation simply gives the 74 latent heat flux LH (equivalent to ET) that solves the system 75

$$SH = \frac{\rho_a c_p \left(T_s - T_a\right)}{r_a} \tag{1}$$

$$LH = \frac{\rho_a c_p \left(e^* \left(T_s \right) - e_a \right)}{\gamma \left(r_s + r_a \right)} \tag{2}$$

$$R_n - G = SH + LH \tag{3}$$

for the three unknowns SH (sensible heat flux), LH, and T_s (skin temperature that would 76 hold under well-watered conditions.) Here, (1) is the bulk formula for SH, (2) is the bulk 77 formula for LH under well-watered conditions, (3) is the surface energy budget, $e^{*}(T)$ is the 78 saturation vapor pressure at a given temperature T, $r_a = 1/(C_H |u|)$ is the aerodynamic 79 resistance between the canopy surface and the level where T_a and e_a are measured, C_H is a 80 scalar transfer coefficient, r_s is the bulk stomatal resistance under well-watered conditions, 81 G is the heat flux into the ground or soil (usually parameterized or ignored), ρ_a is the air 82 density, c_p is the air specific heat, $\gamma = (c_p p_s) / (\varepsilon L_v)$ is the collection of constants from having 83 written (2) in a manner analogous to (1), p_s is the surface air pressure, $\varepsilon \approx 0.622$ is the ratio 84 of molar masses of water vapor and dry air, and L_v is the latent heat of vaporization of 85 water. 86

The solution to this system proceeds by noting that if $T_s - T_a$ isn't too large, then $e^*(T_s) \approx e^*(T_a) + \frac{de^*}{dT}(T_a)(T_s - T_a)$, which allows T_s to be cleanly eliminated between (1) and (2), giving (with the help of (3))

$$LH = \frac{\Delta (R_n - G) + \rho_a c_p (e^* (T_a) - e_a) C_H |u|}{\Delta + \gamma (1 + r_s C_H |u|)},$$
(4)

the surface latent heat flux that would hold under well-watered conditions with the given meteorology and radiation. Here $\Delta := de^*/dT(T_a)$ is the standard shorthand for the local slope of the Clausius-Clapeyron curve, which will be used from now on. By definition, this flux (divided by L_v) is the potential evapotranspiration. The resulting formula (5) is the Penman-Monteith equation:

$$PET = \left(\frac{\Delta \left(R_n - G\right) + \rho_a c_p e^* \left(T_a\right) \left(1 - \text{RH}\right) C_H \left|u\right|}{\Delta + \gamma \left(1 + r_s C_H \left|u\right|\right)}\right) / L_v.$$
(5)

The first term in the numerator of (5) is known as the *radiative term*, and the second 95 is called the *aerodynamic term*. Note that in the latter we have rewritten $e^*(T_a) - e_a$, the 96 vapor pressure deficit appearing in (4), as $e^*(T_a)(1 - RH)$ where RH is the near-surface 97 relative humidity. This allows changes in the vapor pressure deficit to be separated into 98 constant-RH changes in e^* (from T_a changes), and constant- T_a changes in RH. (From here 99 on we are dropping the (T_a) and simply writing e^* for $e^*(T_a)$, since T_s has been eliminated.) 100 Many of the input variables in (5) will change with significant greenhouse warming. Most 101 immediately, the surface net radiation R_n will tend to increase (absent any cloud feedbacks) 102 because of the extra longwave emitters in the atmosphere, sending more longwave energy 103 back at the surface. This alone would tend to increase PET (5). However, the warming 104 itself will also directly change PET through e^* and Δ , which both increase with T_a by the 105 Clausius-Clapeyron law. Constant-RH increases in e^* will increase PET by widening the 106 vapor pressure deficit, especially where and when RH is low. (The discussion in the review 107 paper of Roderick et al. (2009) omitted this mechanism.) Increases in Δ may increase or 108 decrease PET depending on the magnitudes of various terms in (5). It is not clear a priori 109 whether the radiation changes or these direct-warming changes will dominate. 110

In addition, RH might change in either direction, through a common theoretical expectation for RH is that it should remain roughly constant (e.g. Held and Soden 2000), as generally observed thus far (e.g. Held and Soden 2006). This is the main motivation for considering constant-RH e^* changes separately from changes in RH.

Finally, raw observations indicate that |u| decreased in most land areas over the past several decades (McVicar et al. 2012), in sufficient magnitude to overcome the concurrent T_a increases in (5) and explain the widespread observations of declining pan evaporation, i.e.

declining PET (McVicar et al. 2012; Roderick et al. 2009; Wang et al. 2012). However, it is 118 still unclear whether or not this terrestrial wind "stilling" is a measurement artifact, as it does 119 not appear in reanalyses (e.g. Pryor et al. 2009; McVicar et al. 2008) or marine observations 120 (McVicar et al. 2012), and some of the pan-evaporation declines themselves are also raw 121 and unadjusted for observing-system changes. Even if real, it is highly unclear whether the 122 stilling is due to global warming (McVicar et al. 2012), and it may have reversed course after 123 about 1998 (Wang et al. 2012). Therefore, in this study we take the future model output 124 of |u| at face value, which contains no such systematic declines. However, if any real global 125 stilling trend of the proposed magnitude were to continue unabated into future decades, 126 PET would presumably continue declining and the conclusions of our study (as well as those 127 mentioned above) would not apply. 128

Other, non-Penman methods of estimating PET are also in use, as mentioned at the 129 beginning of this subsection. The Thornthwaite (1948) method and other temperature-proxy 130 methods empirically relate PET to T_a alone, for a given location and time of year. This 131 simplicity has encouraged their frequent use for variability in the current climate (e.g. Palmer 132 1965), which has led some studies to use them, or models containing them, to assess future 133 climate change (e.g. Wehner et al. 2011; Price and Rind 1994); see also references in Lofgren 134 et al. (2011). However, within a given climate (especially during warm, high-PET parts of the 135 year), anomalous warmth is associated with anomalous sunshine (higher R_n), and often also 136 with anomalous low RH, significantly enlarging the positive response of (5). By contrast, 137 future climate change should warm T_a without the sunshine and RH changes that might 138 accompany a similar warm anomaly in year-to-year variability. Thus one would expect from 139 (5) that an empirically determined dependence of PET on T_a from year-to-year variation 140 would overestimate the greenhouse climate change response. Indeed, several studies (e.g. 141 McKenney and Rosenberg 1993; Hobbins et al. 2008) have found that the same long-term 142 climatic changes can imply large increases in Thornthwaite PET but much smaller increases, 143 or even slight decreases in Penman-Monteith PET. Similarly, negative PDSI responses to 144

future global climate model output are 2-3 times stronger using the default Thornthwaite
PET than using Penman-Monteith PET (A. Dai, pers. comm.) Thus, studies that use a
simple temperature-proxy method to assess future PET changes may be severely flawed.

Other studies of future climate change (Lofgren et al. 2011; Arora 2002) simply estimate PET as R_n/L_v , which we will call the *energy-only method*. While this works reasonably well for spatial differences in the present climate (Budyko and Miller 1974), one would presume that it *underestimates* future PET increases because it doesn't include the independent physical effects of T_a through the Clausius-Clapeyron law, discussed above.

Still other studies of PET change in global climate models (e.g. Rind et al. 1990) have 153 directly used an internal land-model field that is also called "potential evapotranspiration." 154 However, this field is (quite confusingly) not the same concept as what we have been dis-155 cussing: it is what would *instantaneously* start evaporating if the surface were to be suddenly 156 wettened, without any chance to cool down the skin temperature T_s and establish energy 157 balance (3) with R_n . In other words, this field is directly computed using the bulk LH 158 formula (2), where r_s is still the well-watered "open" stomatal resistance but T_s is now the 159 actual skin-temperature output of the model instead of the well-watered skin-temperature 160 used above, which is often much cooler. Indeed, Rind et al. (1990) (and references therein) 161 found that this model "PET" achieved summertime climatological values averaged over the 162 United States of $\sim 40 \text{ mm day}^{-1}$ in the climate models of their day. (The observed sum-163 mertime PET maxima in, e.g., Hartmann (1994) are almost an order of magnitude lower.) 164 So this quantity, while interesting perhaps, is not the object of our study (and also it is not 165 publicly archived in CMIP5 by any of the models.) 166

¹⁶⁷ Therefore, in this study we use (5) to quantify and understand the PET response to ¹⁶⁸ future greenhouse warming.

$_{169}$ 2. Methods

Penman-Monteith PET (5) is usually many times larger in magnitude during the day 170 than at night, because of both R_n and the vapor-pressure deficit. Thus, daytime climate 171 changes may affect time-integrated PET much more than nighttime climate changes, so it 172 is desirable to examine diurnally resolved climate and PET. In the recent fifth phase of 173 the Coupled Model Intercomparison Project (CMIP5; Taylor et al. 2012), sub-daily surface 174 output is conveniently accessible for the first time, at 3-hourly resolution. 16 of the CMIP5 175 global climate models archive all of the necessary information (surface energy budget terms 176 and near-surface temperature, moisture, and wind) at this resolution for years 2081-99 in 177 the business-as-usual "rcp8.5" scenario and 1981-99 in the historical scenario. However, in 178 3 of these, the meteorological fields are given at, say, 10 m above the soil surface, instead of 179 10 m above the canopy top (M. Watanabe, pers. comm.), making them inapplicable to (1) 180 and (2) (and thus (5)) in forest areas. So, we use the remaining 13 models, which we list 181 in Table 1 along with any model-specific exceptions to our procedures. We use output from 182 run 1 ("r1i1p1" in CMIP5 filenames) only. 183

A prominent version of (5) is the recent ASCE (American Society of Civil Engineers) 184 Standardized Reference Evapotranspiration Equation (Allen et al. 2005), which was explicitly 185 developed for the purpose of standardizing the computation of reference or potential ET for 186 all users. The development included the systematic intercomparison and testing of numerous 187 operationally used Penman-type methods. Our full method closely based on Allen et al. 188 (2005) is given in section a of the Appendix. Briefly, we fix C_H and r_s as universal constants 189 corresponding to "alfalfa" values as specified by Allen et al. (2005), with $C_H \approx 5.7 \times 10^{-3}$, 190 and r_s varying between 30 s m⁻¹ (day) and 200 s m⁻¹ (night). (We will see in section 5 that 191 our conclusions are not very sensitive to these vegetation parameters.) We also compute 192 $(R_n - G)$ as LH + SH (3) because the models do not output G, and we let e^* , Δ and ρ_a 193 depend on T_a as specified in Allen et al. (2005). 194

¹⁹⁵ Using these procedures and values, for each of the 13 CMIP5 models in Table 1 we

compute Penman-Monteith PET (5) for every model grid cell that is at least 80% ice-196 free land and for every 3-hour interval comprising the 19-year epochs 1981-99 and 2081-99 197 (except those that fall on 29 Feb in models which use the full gregorian calendar.) For each 198 interval in the calendar year, we average over the 19 years to obtain a diurnally and annually 199 varying PET climatology of each epoch. Averaging over the calendar then gives annual-mean 200 climatologies of PET. These are shown for 1981-99 in Figure 1 along with their multimodel 201 means, and appear quite reasonable with higher modeled PET in sunnier, lower-RH and/or 202 warmer locations. As an additional reality check, Figure 2 scatterplots these against the 203 corresponding model climatologies of actual ET; each dot is one grid cell. In almost all the 204 models, our computed PET is a fairly clean, efficient upper bound on the model's actual ET. 205 as expected from the definition. That is, the most well-watered model grid cells are actually 206 evapotranspiring at rates quite close to our independently computed PET. This success is 207 a rather pleasant surprise considering the very different origins of the two quantities, the 208 models' use of full Monin-Obukhov surface layer dynamics for C_H , and the potentially large 209 contrast between ASCE-standard alfalfa and the vegetation specified in the model grid cells. 210

²¹¹ 3. Model results

212 a. Full PET change

For each of the 13 models and for the multimodel mean, Figure 3 maps the raw % change 213 in climatological annual-mean PET (5) between the 1981-99 and 2081-99 epochs. At each 214 location PET always or almost always increases; that is, ambient conditions become more 215 evaporative with greenhouse warming. This more careful calculation confirms the similar 216 results of Burke et al. (2006), Dai (2013), and Feng and Fu (2013) who quantified this future 217 PET increase only for the mean (or for a single model), and did not resolve the diurnal cycle. 218 In some models a few largely high-latitude regions do see PET decreases or little change in 219 PET, but these are quite localized, and even in these places most models (and the mean) 220

show increases in PET.

Furthermore, the magnitude of the projected PET increases is usually in the low double-222 digits of percent, on the order of 10-45%. In many models certain northern and/or moun-223 tainous locations see more than this, but over very broad swaths of land these sorts of values 224 are typical. For the multimodel mean, the first row of Table 2 summarizes this by averaging 225 the %-change values over various latitude bands (and subsequent rows similarly average sub-226 sequent figures.) The magnitudes in Figure 3 agree well with those in Feng and Fu (2013) 227 despite the differing methods. They are also comparable to change magnitudes for annual 228 precipitation P (e.g. Meehl et al. 2007). This further confirms the importance of using 229 P/PET or similar when thinking about the land aridity response to global warming, instead 230 of just P (and/or actual evapotranspiration E, which often contains the same information 231 as P as discussed in section 1a.) 232

In most models and in the mean, there is also a clear tendency toward greater %-increases in PET at higher latitudes (as alluded to above and seen in Table 2), and again Feng and Fu (2013) obtain a similar structure. As far as we know, this basic property has not been explicitly noted in the literature before. (We will see in section 4 that the main reason for this is *not* Arctic amplification of warming.)

Yet despite all of these broad commonalities, the models also disagree a great deal, on both the detailed spatial patterns and on the overall magnitude. We will see how these disagreements arise from differences in the climate changes projected by the models.

241 b. PET changes due to individual factors

Figures 4, 5, 6, and 7 show the %-changes in climatological annual-mean PET (5) that result from perturbing $(R_n - G)$, T_a , RH, and |u| one at a time to 2081-99 levels while keeping the other variables at 1981-99 levels, as explained in detail in section b of the Appendix. One can immediately see here and in Table 2 that the always-positive PET change due to the T_a increase (Figure 5) dominates the other factors in most locations, and explains most

of the overall 10-45% magnitude in Figure 3. This is why PET increases are so much more 247 common than decreases. Again, the physical mechanisms here are widening of the vapor-248 pressure deficit by constant-RH increases in e^* , and lowering of the saturated Bowen ratio 249 by increases in Δ (plus isobaric lowering of ρ_a to a small extent). RH also changes, but the 250 resulting PET changes (Figure 6) are of both signs, are inconsistent from model to model, 251 are very weakly positive in the multimodel mean, and are only sporadically (nowhere, in the 252 mean) negative enough to cancel the T_a -induced increases in Figure 5. This validates the 253 constant-RH baseline idea, and justifies our decision to think of the vapor-pressure deficit as 254 $e^*(1 - RH)$ rather than the more customary $(e^* - e_a)$. (An alternative null assumption of 255 constant vapor pressure deficit would imply systematically increasing RH, which we do not 256 see.) However, the RH-driven changes can still be very important locally in some models, 257 explaining the east African PET decrease in BNU-ESM in Figure 3 (for example.) 258

PET changes due to the surface energy supply $(R_n - G)$ (Figure 4) are also usually 259 positive, confirming the physical intuition from section 1b. However, with modal values of 260 less than 10% (e.g. Table 2) they are generally of secondary importance to the Clausius-261 Clapeyron-driven changes (Figure 5) just described. This was not clear a priori - in fact, 262 some studies in the literature had used radiation changes alone to infer PET changes, as 263 discussed above in section 1b. As with RH, though, some models have localized regions 264 where radiation-induced change becomes dominant, e.g. the Amazon Basin in MRI-CGCM3 265 (and several other models) or the Tibetan Plateau in INM-CM4. (Compare Figures 4, 5 and 266 3.)267

In contrast, PET responses to |u| changes (Figure 7) are only rarely important compared to the other changes. In the multimodel mean and in some individual models (the two BCC models, CNRM-CM5, and INM-CM4), they are hardly noticeable, usually no larger than $\pm 5\%$. Like the RH responses (Figure 6) they have no strongly preferred sign, though decreases are perhaps slightly more common than increases. This is all in stark contrast to the dominant "stilling" role posited for |u| in the putative recent PET declines, discussed in ²⁷⁴ section 1b.

Finally, subtracting the sum of these attributed pieces (Figures 4 through 7) from the 275 full PET change (Figure 3) gives the residual PET change due to nonlinearities, covariance 276 changes, and changes in neglected inputs like p_s . This residual is shown in Figure 8 and is 277 quite weak (0-10%) compared to the T_a -driven or even $(R_n - G)$ -driven changes, though it is 278 usually positive. (The GFDL-CM3 residual at high northern latitudes is a major exception to 279 both of these statements, perhaps because the changes there in Figures 3-6 are all so large.) 280 In any case, we can clearly claim success in our attribution exercise, since the residuals are 281 much smaller than the full changes in Figure 3, and are close to zero for the multimodel 282 mean. 283

Having now examined all of the pieces, we can see that the constant-RH PET response 284 to temperature change (Figure 5) not only explains the general positivity and low-double-285 digit magnitude of the full PET change, but is also largely responsible for the high-latitude 286 amplification noted in the previous subsection. The response to $(R_n - G)$ (Figure 4) is also 287 polar-amplified, but the temperature response still seems to contain most of the latitudinal 288 contrast shown in Figure 3, as can be clearly seen in Table 2. As for the inter-model 289 disagreement in PET change, responsibility seems to lie with almost all of the terms, but 290 disagreement in the T_a -driven term alone is still large, especially on the overall magnitude. 291 This makes sense given the well-known disagreement between global climate models on the 292 magnitude of warming in response to an emissions scenario, i.e. transient climate sensitivity 293 (e.g. Meehl et al. 2007).] 294

Therefore, we now attempt a detailed quantitative understanding of the structure and magnitude of this model PET response to ambient temperature change as depicted in Figure 5.

²⁹⁸ 4. Analytic scaling for the PET response to tempera-²⁹⁹ ture

300 a. Basic idea

How, exactly, is Penman-Monteith PET (5) sensitive to T_a with all else constant? First, one can note that in the numerator of (5) both the aerodynamic term *and* the radiative term increase roughly like Clausius-Clapeyron (C-C) with T_a at constant RH, because $e^*(T)$ is a roughly exponential function and so $\Delta := de^*/dT$ has roughly the same fractional rate of increase with T as e^* does. More precisely (and using the empirical form from Allen et al. (2005) and the Appendix for consistency),

$$e^* = 610.8 \exp\left(\frac{17.27T}{T + 237.3}\right) \tag{6}$$

$$\Delta = \frac{de^*}{dT} = \frac{17.27 \cdot 237.3 \cdot e^*}{\left(T + 237.3\right)^2} \tag{7}$$

and so,

$$\frac{d\Delta}{\Delta dT} = \frac{d\ln\Delta}{dT} = \frac{d\left[\ln\left(17.27 \cdot 237.3\right) + \ln e^* - 2\ln\left(T + 237.3\right)\right]}{dT}$$
$$= \frac{de^*}{e^* dT} - \frac{2}{T + 237.3}$$
(8)

where T is in °C and e^* is in Pa. At Earthlike temperatures $de^*/(e^*dT)$ is around 6-7 % deg⁻¹ but 2/(T + 237.3) is only 0.7-0.8 % deg⁻¹, so (8) means that $d\Delta/(\Delta dT)$ is not far from $de^*/(e^*dT)$ at all. (These values still hold using the physical C-C equation in place of the empirical (7).) So, all else constant we can expect the entire numerator of PET (5) to increase at a C-C-like rate with warming of T_a , regardless of the relative importance of the radiative and aerodynamic terms. This is why we did not further split the response to T_a into responses to e^* and Δ in section 3b above. However, the denominator of (5) cannot necessarily increase so fast: though Δ increases at roughly C-C as demonstrated, $\gamma (1 + r_s C_H |u|)$ does not depend on T_a at all. This term stops the denominator from fractionally increasing as fast as the numerator, and apparently is the key reason why PET always increases with T_a (Figure 5) despite the ambiguous sign of the Δ -driven response discussed in section 1b. If not for the presence of $\gamma (1 + r_s C_H |u|)$, the denominator would increase about as fast as the numerator, and PET might not be very sensitive to T_a at all.

322 b. Derivation and exposition of the scaling

To quantify all of this, we now take the relative partial derivative of (5) with respect to T_a , repeatedly using the rules

$$\frac{d(a+b)}{a+b} = \frac{da}{a}f_a + \frac{db}{b}f_b \tag{9}$$

325 where $f_a := a/(a+b)$ and $f_b := b/(a+b)$, and

$$\frac{d\left(a/b\right)}{a/b} = \frac{da}{a} - \frac{db}{b},\tag{10}$$

³²⁶ plus the chain rule, to yield

$$\frac{d\text{PET}}{\text{PET}} = dT_a \left[\frac{d\Delta}{\Delta dT} f_{rad} + \left(\frac{de^*}{e^* dT} + \frac{d\rho_a}{\rho_a dT} \right) f_{aero} - \frac{d\Delta}{\Delta dT} f_\Delta \right].$$
(11)

Here f_{rad} is the fraction of the numerator of (5) made up by the radiative term, as in (9). Similarly, f_{aero} is the fraction of the numerator made up by the aerodynamic term, and f_{Δ} is the fraction of the denominator of (5) made up by Δ .

We then use (8) to write $de^*/(e^*dT)$ in terms of $d\Delta/(\Delta dT)$:

$$\frac{d\text{PET}}{\text{PET}} = dT_a \left[\frac{d\Delta}{\Delta dT} f_{rad} + \left(\frac{d\Delta}{\Delta dT} + \frac{2}{T_a + 237.3} \right) f_{aero} + \frac{d\rho_a}{\rho_a dT} f_{aero} - \frac{d\Delta}{\Delta dT} f_\Delta \right].$$
(12)

Using $f_{rad} + f_{aero} = 1$ and the ideal-gas-law formula for ρ_a , this reduces to

$$\frac{d\text{PET}}{\text{PET}} = dT_a \left[\frac{d\Delta}{\Delta dT} \left(1 - f_\Delta \right) + \left(\frac{2}{T_a + 237.3} - \frac{1}{T_a + 273.15} \right) f_{aero} \right],$$
(13)

the main equation that we will use to understand the constant-RH PET response to T_a as depicted in Figure 5.

The first term in the bracket in (13) tells the story laid out in the previous subsection: 334 the numerator of PET (5) scales like C-C $\left[\frac{d\Delta}{\Delta T} \cdot 1\right]$ or about 5-6 % deg⁻¹, but the 335 denominator $\Delta + \gamma (1 + r_s C_H |u|)$ scales closer and closer to C-C the more important Δ is 336 in it $\left[-d\Delta/(\Delta dT) \cdot f_{\Delta}\right]$, weakening the net response. Since Δ is an increasing function of 337 T_a , this cancellation should occur more (f_{Δ} should be larger and the denominator should be 338 more C-C-like) in warmer base climates, so the % sensitivity of PET to T_a should be less 339 in warmer base climates. We will see in subsection d that this explains the polar-amplified 340 response pattern in Figure 5. (Similarly, the sensitivity should be greater in windier climates, 341 in which f_{Δ} is reduced.) 342

The second term in the bracket in (13) contains the small, miscellaneous departures from the above: the 0.7-0.8 % deg⁻¹ discrepancy between the scalings of e^* and Δ in the numerator, and the -0.3-0.4 % deg⁻¹ isobaric dependence of air density on temperature. The partial cancellation between these two effects makes the net even smaller, ~ 0.4 % deg⁻¹ at the most since f_{aero} can only range between 0 and 1. Therefore, from here on we define ϵ (T) := 2/(T + 237.3) - 1/(T + 273.15) and write

$$\frac{d\text{PET}}{\text{PET}} = dT_a \left[\frac{d\Delta}{\Delta dT} \left(1 - f_\Delta \right) + \epsilon \left(T_a \right) f_{aero} \right], \tag{14}$$

³⁴⁹ for convenience.

350 c. From instantaneous to annual-mean scaling

Our equation (14) may be a theory for PET sensitivity at a particular instant. However, 351 the results from section 3 and Figure 5 that we wish to understand are about annually-352 averaged PET. So, to test (14) it is not immediately clear what inputs we should use. For 353 example, we might use the annual-mean warming $\overline{dT_a}$. (From here on, an overbar will denote 354 the annual mean.) But if the warming in some place is, say, 6 deg at night but 2 deg during 355 the day, then using the mean value of 4 deg will overestimate the response because the vast 356 majority of PET is concentrated during the day when the warming is only 2 deg. So we need 357 to carefully consider the scaling of the annual mean, $\overline{\text{PET}}$, in addition to the instantaneous 358 PET considered earlier in this section. 359

The relative change in PET turns out to be the *PET-weighted average* of the relative change in instantaneous PET. This is because, again, the more PET is concentrated at a particular time, the more a % change in PET at that time matters to the % change in PET. Mathematically,

$$\frac{d\overline{\text{PET}}}{\overline{\text{PET}}} = \frac{\overline{d}\overline{\text{PET}}}{\overline{\text{PET}}} = \frac{\overline{(d\overline{\text{PET}}/\overline{\text{PET}})\overline{\text{PET}}}}{\overline{\overline{\text{PET}}}} := \overline{\overline{(d\overline{\text{PET}}/\overline{\text{PET}})}}$$
(15)

where from here on a double overbar denotes a PET-weighted annual average, $\overline{\overline{a}} := \overline{a \cdot \text{PET}}/\overline{\text{PET}}$ for any variable *a*. So, using (14):

$$\frac{d\overline{\text{PET}}}{\overline{\text{PET}}} = \overline{dT_a \left[\frac{d\Delta}{\Delta dT} \left(1 - f_\Delta \right) + \epsilon \left(T_a \right) f_{aero} \right]}.$$
(16)

Essentially, we need to evaluate (14) at times of the day and year when PET is large. This suggests the following simple approximation to (16):

$$\frac{d\overline{\text{PET}}}{\overline{\text{PET}}} \approx \overline{d\overline{T_a}} \left[\frac{d\Delta}{\Delta dT} \left(\overline{\overline{T_a}} \right) \left(1 - \overline{\overline{f_\Delta}} \right) + \epsilon \left(\overline{\overline{T_a}} \right) \overline{\overline{f_{aero}}} \right].$$
(17)

³⁶⁸ d. Testing the annual-mean scaling

To test this scaling theory (17), we compute $\overline{\overline{dT_a}} = \overline{dT_a \cdot \text{PET}} / \overline{\text{PET}}, \overline{\overline{f_\Delta}} = \overline{f_\Delta \cdot \text{PET}} / \overline{\text{PET}} / \overline{T_\Delta} = \overline{f_\Delta \cdot \text{PET}} / \overline{$ 369 and so forth for each model grid-cell. For the base-state variables PET, f_{Δ} , and T_a , we just 370 use diurnally and annually varying 1981-99 climatologies computed as in section 2, not the 371 full 19-year time series. Similarly, for the change dT_a , we use the same smoothed diurnally 372 and annually varying climatological difference that we used to produce Figure 5, as detailed 373 in section b of the Appendix. (Note that $\overline{\overline{f_{aero}}}$ turns out to simply be the fraction of annual-374 total PET that comes from the aerodynamic term, so we compute aerodynamic and radiative 375 PET separately, and directly use this fraction.) 376

Since $d\Delta/(\Delta dT)$ is not that dependent on temperature and $\epsilon \overline{\overline{f_{aero}}}$ is small, the main sensitivity wild-card in (17) should be $\overline{\overline{f_{\Delta}}}$, the fraction of the denominator of (5) made up by Δ at high-PET times of day and year. $\overline{\overline{f_{\Delta}}}$ determines whether the denominator will keep up with the numerator's Clausius-Clapeyron pace and curtail the PET increase with warming, or lag behind it and allow a large PET increase.

So, in Figure 9 we map $\overline{\overline{f_{\Delta}}}$ for each model, as well as the multimodel mean of $\overline{\overline{f_{\Delta}}}$ (sum-382 marized in Table 2, as above.) One can see that it dramatically varies from as low as ~ 0.25 383 in the cool-summer climates of the coastal high latitudes, to ~ 0.75 in the warm climates 384 of the tropics. Apparently the strong dependence of Δ on temperature is in control of this 385 fraction, even though it also depends on quantities in the denominator's other term (|u|) and 386 our daylength-dependent r_s .) Indeed, Figure 10 shows that the PET-weighted (i.e. daytime, 387 warm-season) basic-state temperature $\overline{\overline{T_a}}$ has a strikingly similar spatial pattern to this $\overline{\overline{f_{\Delta}}}$, 388 often even at very fine spatial scales. Essentially, in cool, low- Δ climates the denominator 389 of (5) is mainly made up of $\gamma (1 + r_s C_H |u|)$ which stays fixed with T_a and lets (5) increase, 390 while in warm climates it is dominated by Δ , which scales like C-C and cancels most of the 391 numerator's attempt to increase PET. 392

Figure 11 then maps the entire bracketed term from (17), i.e. our scaling estimate of the % sensitivity of $\overline{\text{PET}}$ to PET-weighted warming. As guessed, its pattern is nearly the ³⁹⁵ same as that of $\overline{f_{\Delta}}$ (Figure 9) [and thus $\overline{T_a}$ (Figure 10)], varying from around 1.5 % deg⁻¹ ³⁹⁶ over large areas of the planet's warm, high- $\overline{f_{\Delta}}$ tropics, to nearly C-C in the coolest-summer ³⁹⁷ regions where $\overline{f_{\Delta}}$ is small and the numerator in (5) can increase nearly unopposed. The ³⁹⁸ models agree on all of these fields much more than they agree on the gross response to T_a ³⁹⁹ change depicted in Figure 5. This is not surprising, since these are only based on properties ⁴⁰⁰ of the models' 1981-99 base climates, which can be tuned to match observations.

On the other hand, the PET-weighted projected warming $\overline{dT_a}$ (the other factor in (17)) 401 might vary considerably from model to model, since the models do not agree on the warming 402 response to a given greenhouse-gas forcing scenario (e.g. Meehl et al. 2007). Figure 12 maps 403 $\overline{\overline{dT_a}}$ for each model and for the mean, confirming that the spread in modeled warming is 404 much larger than the spread in estimated sensitivity to that warming (Figure 11). Taking 405 the end members, $\overline{\overline{dT_a}}$ over land seems to be almost 3 times stronger in GFDL-CM3 than in 406 INM-CM4! Thus it appears that the main reason for the inter-model spread in the magnitude 407 of the PET change due to warming (Figure 5), noted in section 3b, is indeed the inter-model 408 spread in the warming itself. 409

We are also now in a position to evaluate the source of the high-latitude amplification of 410 the PET %-change pattern in Figure 5, and thus in Figure 3. Figure 12 shows that the PET-411 weighted warming $\overline{\overline{dT_a}}$ is indeed strongly Arctic-amplified in some models, e.g. BNU-ESM 412 and GFDL-CM3. However, in many other models this pattern is absent, even though it is 413 well known that the Arctic amplification of the annual-mean warming $\overline{dT_a}$ is robust across 414 climate models (e.g. Meehl et al. 2007). For example, in ACCESS1.0 $\overline{\overline{dT_a}}$ maximizes in 415 mid-latitude North America and Europe and in the Amazon Basin, and in GFDL-ESM2G 416 and GFDL-ESM2M $\overline{\overline{dT_a}}$ maximizes in the subtropics. So $\overline{\overline{dT_a}}$ does not consistently show 417 high-latitude amplification, and in the multimodel mean any such amplification is quite 418 weak (Figure 12; Table 2). This is probably because high-latitude warming amplification is 419 more of a cold-season than a warm-season phenomenon (Meehl et al. 2007), while a PET-420 weighted mean is largely over the warm season. In contrast, the sensitivity factor in (17), 421

depicted in Figure 11, shows strong and systematic high-latitude amplification because of the strong control of $\overline{f_{\Delta}}$ (Figure 9) by the basic-state temperature $\overline{\overline{T_a}}$ (Figure 10), as discussed above. Thus it appears that $d\overline{\text{PET}}/\overline{\text{PET}}$ (Figures 5 and 3) is polar-amplified not because the warming is polar-amplified, but largely because colder climates with Δ less important in the denominator of PET are inherently more sensitive. (Compare the last two lines of Table 22.)

Finally, we can confirm this picture by evaluating (17) and comparing to the model PET responses to T_a changes in Figure 5. Before displaying the result, we need to note that if the sensitivity factor in (17) is, e.g., 4 % deg⁻¹ and the projected warming $\overline{dT_a}$ is 9 deg, the expected \overline{PET} change should be noticeably larger than 36% because $1.04^9 \approx \exp(0.36) >$ 1.36. To account for this simple nonlinearity, we exponentiate (17) and subtract 1 to arrive at our final scaling guess for what Figure 5 should look like.

This estimate is shown in Figure 13, and is strikingly close to the model response in 434 Figure 5. In fact, the summary values in Table 2 differ from the actual values on the line 435 above by only about +1% (of the basic state; about 10% of the changes.) Thus, we can 436 claim success in understanding the magnitude, structure and inter-model spread in Figure 437 5. The low double-digit % magnitude of $d\overline{\text{PET}}/\overline{\text{PET}}$ comes from the mid-single-digit °C 438 greenhouse warming (Figure 12) times the sub-Clausius-Clapeyron, 1-4.5% deg⁻¹ sensitivity 439 of (5) at constant RH (Figure 11 and sections 4a-b). The structure of $d\overline{\text{PET}}/\overline{\text{PET}}$ comes 440 mainly from the structure of the base-climate temperature $\overline{\overline{T_a}}$ (Figure 10) via $\overline{\overline{f_{\Delta}}}$ (Figure 9) 441 and the sensitivity, and also somewhat from the structure of the warming. The inter-model 442 spread comes from the inter-model spread in the warming. 443

5. Sensitivity of results to imposed vegetation

One might wonder whether the above holds for parameter choices in (5) other than the ones presented in section 2 and the Appendix. In particular, the transfer coefficient ⁴⁴⁷ C_H and bulk stomatal resistance r_s could potentially modulate the T_a -independent term ⁴⁴⁸ $\gamma (1 + r_s C_H |u|)$ in the denominator of (5), and therefore alter $\overline{f_{\Delta}}$ and the bracketed sen-⁴⁴⁹ sitivity in (17). So, we also compute results using a few alternative choices for these two ⁴⁵⁰ parameters.

We first examine the effect of setting $r_s \equiv 0$, i.e. neglecting the relatively small but 451 appreciable stomatal resistance of well-watered transpiring leaves, as in many formulations 452 of Penman-Monteith PET including those used by Burke et al. (2006), Dai (2013), and Feng 453 and Fu (2013), as well as in the case of pan evaporation. This gives an expression more in 454 the spirit of Penman (1948) than Monteith (1981): the denominator of (5) simply becomes 455 $\Delta + \gamma$. This choice should systematically increase f_{Δ} and thus reduce the % change in $\overline{\text{PET}}$ 456 (by (17)), taking it even further from Clausius-Clapeyron. Indeed, the range of $\overline{f_{\Delta}}$ shifts 457 upward, to roughly 0.4-0.85 (not shown). However, the original range in Figure 9 was about 458 0.25-0.75, so this is a quantitative but not a qualitative increase. The spatial pattern of $\overline{f_{\Delta}}$ 459 hardly changes, except for losing some fine-scale structure due to the loss of |u| dependence. 460 Figure 14 shows the % changes in PET from changing T_a in this case. Comparison with 461 the analogous Figure 5 shows that setting $r_s \equiv 0$ indeed weakens the response, making single-462 digit-% values somewhat more common and values > 30 % less common, but the patterns are 463 very close. The at-a-point differences between the two figures are much less than the spatial 464 and model-to-model variations within each figure, and the summary statistics in Table 2 465 differ by only about 2-3% (of the basic state.) 466

We also examine a "smooth" version of (5), in which the 0.5 m vegetation height h and thus the roughness lengths z_{om} and z_{oh} in (A1) are reduced by a factor of 10, setting h to a grass-like 5 cm and halving C_H from $\approx 5.7 \times 10^{-3}$ to $\approx 2.8 \times 10^{-3}$. (The Penman-Monteith formulations used in Burke et al. (2006), Dai (2013), and Feng and Fu (2013) also assume a smoother surface.) This, too, shifts the range of $\overline{f_{\Delta}}$ only slightly upward, to roughly 0.35-0.8, with a very similar spatial pattern to the original in Figure 9. So, the % change in \overline{PET} ends up looking almost identical to Figure 5, but slightly (several %) weaker (not shown). Again, the parameter-induced alterations in $d\overline{\text{PET}}/\overline{\text{PET}}$ are much less than the spatial and model-to-model variation.

Also, in the no-resistance case, adding this "smooth" vegetation would not appreciably lower the results any further, because in that case C_H does not even appear in the denominator of (5), and thus can no longer affect $\overline{f_{\Delta}}$. Thus, the effects are not additive – the no-resistance case gives a strict upper bound on $\overline{f_{\Delta}}$ and an effective lower bound on warming-induced $d\overline{\text{PET}}/\overline{\text{PET}}$ (Figure 14).

Finally, we examine a "rough", forest-like PET in which h, z_{om} and z_{oh} are *increased* by a factor of 10, setting h to 5 m and tripling C_H to $\approx 1.7 \times 10^{-2}$, a very large value. In this case, the range of $\overline{f_{\Delta}}$ falls to roughly 0.15-0.7, again with a very similar spatial pattern to Figure 9. $d\overline{PET}/\overline{PET}$ from T_a becomes somewhat larger than shown in Figure 5, with values of 35-40% or more becoming more widespread. But again there is little qualitative or pattern change; the overall story is the same. In summary, widely different choices of vegetation parameters do not alter the big picture presented in sections 3 and 4 above.

There is also the question of whether r_s , like $(R_n - G)$, T_a , RH, |u| (and p_s), should 488 have been treated as changing between the two epochs rather than staying fixed. After 489 all, the carbon dioxide increase that causes greenhouse warming may also cause individual 490 plant stomata to close (e.g. Sellers et al. 1996). However, there is still very large uncertainty 491 about the bulk vegetation changes that will occur in concert with this, much larger than 492 the uncertainty in the *climate* response to carbon dioxide (Huntingford et al. 2013). Almost 493 nothing is known about this bulk response. Furthermore, the % sensitivity of Penman-494 Monteith PET (5) to a % change in r_s turns out to depend very strongly on the vegetation 495 parameters r_s and C_D , in contrast to the much weaker dependence just presented in the case 496 of sensitivity to T_a . Therefore, in this study we decided to only scale the PET response to 497 climate change, and not the response to carbon-dioxide-induced plant physiological change. 498

⁴⁹⁹ 6. Summary and discussion

Potential evapotranspiration (PET), the rate at which surface water evaporates if avail-500 able in a given climate, has been projected to increase with future greenhouse warming 501 in most or all locations, driving strong global trends toward drought (e.g. Dai 2013; Burke 502 et al. 2006) and/or aridity (Feng and Fu 2013). In this study, we systematically analyzed the 503 projected response of the Penman-Monteith equation (5), the fundamental physical quantifi-504 cation of PET used by those studies. We found that, at least in the 13 modern global climate 505 models listed in Table 1, the main reason for the projected PET increase is the warming 506 itself (Figure 5), not the greenhouse-driven increase in surface net radiation (Figure 4). The 507 warming causes the PET increase by widening the vapor pressure deficit $e^*(1 - RH)$ corre-508 sponding to a given relative humidity RH, and/or by increasing the local slope $\Delta := de^*/dT$ 509 of the Clausius-Clapeyron curve which governs the partitioning between sensible and latent 510 heat fluxes. Changes in RH are not of any strongly preferred sign and are not large enough 511 to alter this. 512

The magnitude of the projected annual-mean PET increase between 1981-99 and a 513 business-as-usual 2081-99 scenario is usually a low double-digit percentage (Figures 5 and 3; 514 Table 2), comparable to projections for local precipitation. This is because the numerator 515 of the Penman-Monteith equation (5) increases like Clausius-Clapeyron (5-6 $\% \text{ deg}^{-1}$) with 516 constant-RH warming, but in the denominator only the first term Δ increases similarly, 517 while the second term stays fixed. Thus, the net response of (5) to warming is sub-Clausius-518 Clapeyron, usually about 1.5-4 $\% \text{ deg}^{-1}$ (Figure 11). The higher values are found in cooler 519 climates where Δ is smaller and thus less important in the denominator of (5) (i.e. $\overline{\overline{f_{\Delta}}}$ in 520 (17) is smaller), and the lower values are found in warmer climates, explaining the strongly 521 polar-amplified change pattern. Since the projected PET-weighted-mean warming for this 522 scenario tends to be in the single digits of $^{\circ}$ C in most places (Figure 12), the gross % re-523 sponse of PET to warming ends up in the lower double digits (Figure 5; Figure 13). Large 524 disagreement between models on the exact amount of warming produces similar disagree-525

ment on the total PET response. (The smaller but appreciable radiation- and RH-driven PET change components shown in Figures 4 and 6 also vary widely between models, adding to the disagreement.)

A key further advantage of our scaling approach (17) is that a climate model is not even 529 needed for a user to locally compute the sensitivity of PET to future warming. All variables 530 inside the square brackets in (17) can be computed during routine calculations of observed 531 present-day Penman-Monteith PET. For example, the values of $f_{\Delta} = \Delta / (\Delta + \gamma (1 + r_s C_H |u|))$ 532 and PET can be noted at each calculation time-step and averaged over several years of data 533 collection to obtain seasonally and/or diurnally resolved climatologies, which can then be 534 used to find $\overline{\overline{f_{\Delta}}} = \overline{f_{\Delta} \cdot \text{PET}} / \overline{\text{PET}}$. If it turns out that $\overline{\overline{f_{\Delta}}}$ can be accurately estimated 535 straight from $\Delta\left(\overline{\overline{T_a}}\right)$ and $\overline{\overline{|u|}}$, then the computation will be even simpler, as there will be 536 no need to archive short-term values of f_{Δ} . So, whether the sensitivities plotted in Figure 537 11 contain model biases is not actually that important for the practical use of (17). 538

We would also like to briefly give a more qualitative, physical explanation for why PET is less sensitive to T_a in warmer base climates. First, consider a climate cold enough that LH is unimportant in (3) even under well-watered conditions, and the dominant balance is between SH and $(R_n - G)$. In this climate, fixing $(R_n - G)$ effectively fixes SH, which fixes $(T_s - T_a)$ by (1). Now, if we rewrite (2) with the substitutions introduced later in section 1b,

$$LH = \frac{\rho_a c_p \left(\Delta \left(T_s - T_a\right) + e^* \left(1 - RH\right)\right)}{\gamma \left(r_s + r_a\right)},\tag{18}$$

we can see that LH will be able to increase at Clausius-Clapeyron, driven by Δ and e^* . Everything else in (18) is fixed by assumption. However, as the climate warms and wellwatered LH becomes appreciable, the evaporation will start to cool T_s relative to T_a and limit the fractional increase of (18). (Eventually, energy conservation (3) will start to severely limit the increase in well-watered LH, since $(R_n - G)$ is fixed here, and $(T_s - T_a)$ and SH can only go so negative due to constraints involving the wet-bulb depression associated with ⁵⁵¹ our fixed RH.)

⁵⁵² We also note that the PET % responses to changes in $(R_n - G)$, RH, and |u|, depicted ⁵⁵³ in Figures 4, 6, and 7, can also be analytically scaled in the manner demonstrated for T_a in ⁵⁵⁴ section 4, with similar levels of success. However, the modeled changes in these variables (for ⁵⁵⁵ input to these scalings) are not as well-understood as the modeled warming dT_a , so these ⁵⁵⁶ scalings do not provide as much understanding.

Finally, we are still interested in under what conditions or assumptions this large systematic PET increase with climate warming actually implies a systematic drying-out of the land, as suggested by much of the work cited in section 1. To this end, we also have work in progress testing the sensitivity of modeled soil moisture to large changes in global temperature across a very wide range of continental geographies, forcing mechanisms, and land and atmospheric modeling choices.

563 Acknowledgments.

The lead author would like to thank A. Dai for providing results on drought projections 564 using different PET methods, and A. Swann for a key suggestion on section 4b. The authors 565 also acknowledge the World Climate Research Programme's Working Group on Coupled 566 Modelling, which is responsible for CMIP, and we thank the climate modeling groups (listed 567 in Table 1) for producing and making available their model output. For CMIP the U.S. 568 Department of Energy's Program for Climate Model Diagnosis and Intercomparison provides 569 coordinating support and led development of software infrastructure in partnership with the 570 Global Organization for Earth System Science Portals. This work was supported by NSF 571 grants AGS-0846641 and AGS-0936059. 572

APPENDIX

575

573

Detailed methods

576 a. Parameter and procedural choices for the Penman-Monteith equation

Allen et al. (2005) provide parameters for two different reference vegetation types: short 577 clipped grass, and alfalfa (with the expectation that "crop coefficients" will be determined for 578 conversion of the resulting PET output to values suitable for other vegetation.) We use the 579 alfalfa values, reasoning that natural vegetation is closer to alfalfa in roughness and leafiness 580 than it is to short clipped grass. Similarly, procedures are standardized separately for hourly 581 and for daily calculation time-steps; we use the hourly procedures on the 3-hourly model 582 intervals. For meteorological variables, the model output is given as synoptic "snapshots" 583 every 3 hours, so for each interval we average the initial and final values of T_a , specific 584 humidity q_a , and |u| to estimate 3-hour means, analogous to the hour means used by Allen 585 et al. (2005). [Note that the raw output includes the wind components u and v but not the 586 speed |u|, so |u| has to be computed as $\sqrt{u^2 + v^2}$ at each snapshot before this averaging step. 587 Also, 2 of the models (see Table 1) give u and v on a grid staggered by one-half the spacing 588 in latitude and longitude from the main grid used for all the other variables, so we compute 589 u at each main gridpoint as the mean of u at the 4 surrounding wind-gridpoints, and similar 590 for v, before this computation of |u|.] 591

⁵⁹² With these choices of time-step and vegetation types, the ASCE standardized procedures ⁵⁹³ for variables in (5), and our few departures from them, are given as follows. A constant p_s ⁵⁹⁴ is hydrostatically estimated from the surface elevation, but for simplicity we directly use the ⁵⁹⁵ 3-hourly p_s output from the model, averaged like T_a and q_a above. e^* is computed from the ⁵⁹⁶ (3)-hour-mean T_a using the empirical form $e^*(T) = 610.8 \exp(17.27T/(T+237.3))$, where ⁵⁹⁷ e^* is in Pa and T is in °C, and Δ using its derivative. ρ_a is computed from the dry-air

ideal gas law, using the (3)-hour-mean T_a multiplied by 1.01 to account for virtual effects. A 598 number of standardized methods are given to compute e_a from measurements; we directly use 599 the 3-hour-mean model q_a above, multiplying by p_s/ε to convert the units (nearly identical 600 to their Method No. 1.) RH can then be computed as e_a/e^* . (In a few models this RH can 601 occasionally slightly exceed 1, presumably due to interpolation; in these cases we set RH = 1602 to avoid unphysical negative values of the aerodynamic term.) L_v is idealized as a constant 603 2.45×10^6 J kg⁻¹. A field estimation method for R_n and a simple parameterization of G are 604 given, but we simply compute $(R_n - G)$ from the model-output actual turbulent heat fluxes 605 SH and LH using (3), which is still valid. These fluxes are already provided as 3-hour means 606 over our intervals, so there is no need for averaging. r_s is then set at 30 s m⁻¹ ("open") 607 during the day and 200 s m^{-1} ("closed") at night, where "day" and "night" are defined as 608 $R_n > 0$ and $R_n < 0$. We use $(R_n - G) > 0$ and $(R_n - G) < 0$ instead; this is justified since 609 Allen et al. (2005) parameterize G as a small positive fraction of R_n . 610

For the transfer coefficient C_H , the standardized choice is the neutral, log-layer form,

$$C_{H} = \frac{k^{2}}{\ln\left(\left(z_{w} - d\right)/z_{om}\right)\ln\left(\left(z_{h} - d\right)/z_{oh}\right)}$$
(A1)

where k is von Karman's constant, z_w is the height of the windspeed measurements, 612 z_{om} is the momentum roughness length, z_h is the height of the temperature and humidity 613 measurements, z_{oh} is the scalar roughness length, and d is the zero-plane displacement. 614 Allen et al. (2005) do not attempt to justify this choice, but one could argue that the great 615 majority of PET is in warmer seasons or climates during the daytime, when the surface 616 layer is either neutral or convective. For most windspeeds the Monin-Obukhov correction 617 to C_H for convective conditions is much smaller than for stable conditions, so the worst of 618 the potential problems are avoided. In any case, the standardized values for (A1) are as 619 follows: k is set to 0.41. z_w and z_h are each set to 2 m, though we use 10 m for z_w to 620 match the height of the model wind output. If h is the assumed vegetation height (0.5 m 621 for our standard alfalfa choice), z_{om} is set to 0.123*h*, and z_{oh} to 0.0123*h*. Finally, *d* is set 622

to 0.08 m on the assumption that the weather measurements are taken over clipped grass, but we conservatively set d = 0 as it is not clear what exactly the model output heights are measured relative to. With these choices, C_H works out to $\approx 5.7 \times 10^{-3}$.

⁶²⁶ b. Determining the PET responses to individual variables

We would like to isolate the PET changes due to changes in the individual inputs 627 $(R_n - G)$, T_a , RH, and |u|. However, we cannot simply give (5) the 2081-99 time series 628 for one of these and the 1981-99 time series for all other variables, because the differing 629 synoptic histories of the two epochs would destroy any inter-input correlations other than 630 the diurnal and annual cycles, adding an artificial change to the result. So, for each of these 631 four inputs, we compute diurnally and annually varying climatologies for each model (as 632 for PET), further smooth them with a 7-day running mean that respects the diurnal cycle, 633 difference the two epochs (divide them, in the case of |u|), and perturb each year of the 634 1981-99 input time series by this diurnally and annually varying difference (factor), creating 635 an input time series with the climatological properties of 2081-99 but the synoptic history of 636 1981-99. These can then be used one at a time in (5) to isolate the responses to $(R_n - G)$, 637 T_a , RH, and |u|. [When we perturb $(R_n - G)$, we still use the original 1981-99 $(R_n - G)$ 638 series to define day and night for setting r_s . Global warming may accomplish many feats, 639 but it certainly will not transmute night into day! Consistent with this, when computing the 640 2081-2099 PET in section 2, we subtract our diurnally and annually varying climatological 641 difference from each year of the 2081-2099 $(R_n - G)$ series before it is used to define night 642 and day.] 643

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721 List of Tables

722	1	CMIP5 models analyzed in this study	33
723	2	Results for the multimodel mean, averaged over different latitude bands	34

Model name	ID on figures	Modeling group
ACCESS1.0 ¹	access	Commonwealth Scientific and Industrial Research
		Organization (CSIRO) and Bureau of Meteorology
		(BOM), Australia
BCC-CSM1.1	bcc	Beijing Climate Center, China Meteorological Admin-
		istration
BCC-CSM1.1-M	bccm	
BNU-ESM	bnu	College of Global Change and Earth System Science,
		Beijing Normal University
CNRM-CM5	cnrm	Centre National de Recherches Météorologiques / Cen-
		tre Européen de Recherche et Formation Avancées en
		Calcul Scientifique, France
GFDL-CM3	gfdl3	NOAA Geophysical Fluid Dynamics Laboratory, USA
GFDL-ESM2G	gfdleg	
GFDL-ESM2M	gfdlem	
$HadGEM2-ES^{123}$	had	Met Office Hadley Centre, United Kingdom
INM-CM4	inm	Institute for Numerical Mathematics, Russia
IPSL-CM5A-LR	ipsll	Institut Pierre-Simon Laplace, France
IPSL-CM5A-MR	ipslm	
MRI-CGCM3	mri	Meteorological Research Institute, Japan

TABLE 1. CMIP5 models analyzed in this study

 1 Surface winds were given on a grid staggered from that of the other surface variables; see section a of the Appendix.

 $^2\mathrm{Run}$ 2 was used for historical (and run 1 was used for rcp8.5), as these were the only respective runs with 3-hourly output.

 $^33\text{-}\text{hourly}$ surface pressure was not available, so monthly surface pressure output was used for each 3-hour interval.

TABLE 2. Results for the multimodel mean, averaged over different latitude bands

Fig.	Quantity	$60-15^{\circ}\mathrm{S}$	$15^{\circ}\text{S-}15^{\circ}\text{N}$	15-40°N	40-80°N
3	% change in PET	16.9	14.0	17.8	24.4
4	% change in PET due to $(R_n - G)$	1.7	3.0	2.6	5.1
5	% change in PET due to T_a	9.7	7.1	12.5	17.6
13	% change in PET due to T_a (Scaling)	10.6	7.7	13.5	19.1
6	% change in PET due to RH	3.4	1.9	1.8	2.5
7	% change in PET due to $ u $	1.0	0.7	0.1	-0.7
8	% change in PET (residual)	1.0	1.3	0.8	-0.2
14	% change in PET due to T_a if $r_s \equiv 0$	7.0	5.4	9.0	15.2
10	PET-weighted-mean T_a , °C	24	27	23	13
9	PET-weighted-mean f_{Δ} (see section 4)	0.61	0.69	0.59	0.48
11	Analytic PET sensitivity to T_a , $\% \text{ deg}^{-1}$	2.2	1.7	2.4	3.2
12	PET-weighted-mean warming, deg	4.5	4.4	5.2	5.5

724 List of Figures

725	1	1981-99 climatological annual-mean Penman-Monteith PET (5) in mm day $^{-1}$	
726		for each CMIP5 model in Table 1. Last panel is the mean over all applicable	
727		models (omitting locations where less than half of the models were analyzed.)	37
728	2	1981-99 climatological annual-mean actual ET (vertical, 0-6 mm day $^{-1})$ vs.	
729		PET (horizontal, 0-13 mm day ⁻¹) for each model, where each dot is one grid	
730		cell. Red lines are 1:1 (actual $ET = PET$.)	38
731	3	% changes in climatological annual-mean PET between 1981-99 and 2081-99,	
732		for each model. (Values in a few color-saturated regions greatly exceed those	
733		on the scale.) Last panel is the $\%$ change in the multimodel mean.	39
734	4	% changes in climatological annual-mean PET from setting only the surface	
735		radiative energy supply $(R_n - G)$ to 2081-99 levels while leaving all other	
736		variables in (5) at 1981-99 levels.	40
737	5	% changes in climatological annual-mean PET from setting only the ambient	
738		air temperature T_a (and thus the saturation vapor pressure e^\ast and its deriva-	
739		tive Δ) to 2081-99 levels while leaving all other variables in (5), including	
740		relative humidity RH, at 1981-99 levels.	41
741	6	% changes in climatological annual-mean PET from setting only the relative	
742		humidity RH to 2081-99 levels while leaving all other variables in (5) at 1981-	
743		99 levels.	42
744	7	% changes in climatological annual-mean PET from setting only the wind-	
745		speed $ u $ to 2081-99 levels while leaving all other variables in (5) at 1981-99	
746		levels.	43
747	8	Residual $\%$ changes in climatological annual-mean PET between 1981-99 and	
748		2081-99 that remain after subtracting off the pieces attributed to $(R_n - G)$,	
749		T_a , RH, and $ u $ (Figures 4-7) from the raw change (Figure 3.)	44

35

750	9	For each model grid cell, the PET-weighted annual average $\overline{\overline{f_{\Delta}}}$ of 1981-99	
751		climatological f_{Δ} , the fraction of the denominator of Penman-Monteith PET	
752		(5) made up by the Clausius-Clapeyron slope Δ . Last panel is the multimodel	
753		mean.	45
754	10	For each model grid cell, the PET-weighted annual average 1981-99 climato-	
755		logical temperature $\overline{\overline{T_a}}$, in °C. Last panel is the multimodel mean.	46
756	11	Our scaling estimate $\left[d\Delta / (\Delta dT) \left(1 - \overline{\overline{f_{\Delta}}} \right) + \epsilon \overline{\overline{f_{aero}}} \right]$ of the relative sensitivity	
757		of annual-mean PET to PET-weighted warming, from (17). Units are $\% \text{ deg}^{-1}$.	47
758	12	PET-weighted annual average of climate warming $\overline{\overline{dT_a}}$ between 1981-99 and	
759		2081-99. Units are deg.	48
760	13	Our scaling estimate (the exponential of (17) minus 1) for the % changes in	
761		climatological annual-mean PET from setting only the ambient air temper-	
762		ature T_a to 2081-99 levels while leaving all other variables in (5), including	
763		relative humidity RH, at 1981-99 levels. Compare to Figure 5. Last panel	
764		is the estimated $\%$ change in the multimodel mean given these estimates for	
765		each model.	49
766	14	% changes in climatological annual-mean PET from setting only the ambient	
767		air temperature T_a to 2081-99 levels while leaving all other variables in (5) at	
768		1981-99 levels, for the version of (5) in which $r_s \equiv 0$. Compare to Figure 5.	50



FIG. 1. 1981-99 climatological annual-mean Penman-Monteith PET (5) in mm day⁻¹ for each CMIP5 model in Table 1. Last panel is the mean over all applicable models (omitting locations where less than half of the models were analyzed.)



FIG. 2. 1981-99 climatological annual-mean actual ET (vertical, 0-6 mm day⁻¹) vs. PET (horizontal, 0-13 mm day⁻¹) for each model, where each dot is one grid cell. Red lines are 1:1 (actual ET = PET.)



FIG. 3. % changes in climatological annual-mean PET between 1981-99 and 2081-99, for each model. (Values in a few color-saturated regions greatly exceed those on the scale.) Last panel is the % change in the multimodel mean.



FIG. 4. % changes in climatological annual-mean PET from setting only the surface radiative energy supply $(R_n - G)$ to 2081-99 levels while leaving all other variables in (5) at 1981-99 levels.



FIG. 5. % changes in climatological annual-mean PET from setting only the ambient air temperature T_a (and thus the saturation vapor pressure e^* and its derivative Δ) to 2081-99 levels while leaving all other variables in (5), including relative humidity RH, at 1981-99 levels.



FIG. 6. % changes in climatological annual-mean PET from setting only the relative humidity RH to 2081-99 levels while leaving all other variables in (5) at 1981-99 levels.



FIG. 7. % changes in climatological annual-mean PET from setting only the windspeed |u| to 2081-99 levels while leaving all other variables in (5) at 1981-99 levels.



FIG. 8. Residual % changes in climatological annual-mean PET between 1981-99 and 2081-99 that remain after subtracting off the pieces attributed to $(R_n - G)$, T_a , RH, and |u|(Figures 4-7) from the raw change (Figure 3.)



FIG. 9. For each model grid cell, the PET-weighted annual average $\overline{f_{\Delta}}$ of 1981-99 climatological f_{Δ} , the fraction of the denominator of Penman-Monteith PET (5) made up by the Clausius-Clapeyron slope Δ . Last panel is the multimodel mean.



FIG. 10. For each model grid cell, the PET-weighted annual average 1981-99 climatological temperature $\overline{\overline{T_a}}$, in °C. Last panel is the multimodel mean.



FIG. 11. Our scaling estimate $\left[\frac{d\Delta}{\Delta T} \left(\frac{\Delta dT}{\Delta T} \left(1 - \overline{\overline{f_{\Delta}}} \right) + \epsilon \overline{\overline{f_{aero}}} \right]$ of the relative sensitivity of annual-mean PET to PET-weighted warming, from (17). Units are % deg⁻¹.



FIG. 12. PET-weighted annual average of climate warming $\overline{\overline{dT_a}}$ between 1981-99 and 2081-99. Units are deg.



FIG. 13. Our scaling estimate (the exponential of (17) minus 1) for the % changes in climatological annual-mean PET from setting only the ambient air temperature T_a to 2081-99 levels while leaving all other variables in (5), including relative humidity RH, at 1981-99 levels. Compare to Figure 5. Last panel is the estimated % change in the multimodel mean given these estimates for each model.



FIG. 14. % changes in climatological annual-mean PET from setting only the ambient air temperature T_a to 2081-99 levels while leaving all other variables in (5) at 1981-99 levels, for the version of (5) in which $r_s \equiv 0$. Compare to Figure 5.