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Science for integrative management of a diadromous fish stock: interdependencies of fisheries, flow, and habitat restoration

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Fisheries, flow, restoration

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26 **Abstract**

27 Fish face many anthropogenic stressors. Authorities in marine, estuarine, and freshwater
28 realms often share interdependent fisheries management goals, but address singular stressors
29 independently. Here, we present a case study suggesting that coordinating stressor relief across
30 management realms may synergize conservation efforts, especially to actualize restoration
31 benefits. Significant efforts are underway to restore juvenile salmon habitat across California's
32 Central Valley landscape but it is unclear how fisheries and flow management will influence
33 juvenile salmon occupancy of restored sites. Leveraging monitoring data, we find that for
34 juvenile salmon (<55mm) to actualize benefits of restored habitats will likely require maintaining
35 spawner abundances and flows at or above intermediate values, especially in less-connected
36 portions of the landscape. Furthermore, restoration efforts may prioritize more connected regions
37 to promote use of restored areas, considering that less connected areas are often uninhabited
38 when water and spawners are scarcer. This ecosystem-based framework that evaluates
39 interdependencies of management decisions may be applied to realize natural productivity and
40 enhance conservation in many systems.

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41 **Introduction**

42 Natural resource managers are often pressed to conserve diadromous fish. Diadromous
43 species, defined by migrations between rivers and oceans, are culturally, ecologically, and
44 economically significant worldwide. For example, they are celebrated by many cultures, foster a
45 sense of place, feed iconic megafauna, fertilize nutrient-poor watersheds, and support hundred
46 million dollar fisheries (Garman 1992, Close et al. 2002, Montgomery 2003, Chasco et al. 2017,
47 NOAA 2019a). Despite this, many diadromous populations face extirpation and chronic
48 depletion of their fisheries. Specifically, 29% of the contiguous U.S.A.'s ≈ 1400 historical
49 populations of Pacific salmon (*Oncorhynchus* spp.) are extirpated along with 33, 15, and 27% of
50 their ecological, life history, and genetic diversity (Gustafson et al. 2007) and multiple
51 populations are listed under the U.S. Endangered Species Act. This is concerning because the
52 stability, resilience, and availability of diadromous fish to people and other consumers is derived
53 from these sources of biological diversity (Greene et al. 2010, Schindler et al. 2010, Armstrong
54 et al. 2016).

55 Fisheries and water resource managers must navigate challenges to conserve diadromous
56 fish. Ecologists implicate major drivers of diadromous fish declines that include overharvest,
57 flow regulation, and habitat loss (Nehlsen et al. 1991, Limburg and Waldman 2009). However,
58 these stressors are associated with human activities that benefit society (e.g., fishing,
59 hydropower, agriculture, fundamental water security). Thus, sustainably managing fisheries,
60 water, and land for multiple human uses requires decision-support tools to assess tradeoffs of
61 management actions.

62 To counter fish declines, regulatory (e.g., DFO, NOAA) and research groups are striving
63 to operationalize ecosystem-based management (Levin et al. 2009). In brief, ecosystem-based

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64 management is a holistic perspective that appreciates interactions among managed species, their
65 ecosystems, and people that depend on both to facilitate desirable outcomes across stakeholders
66 (Link 2010). Historically, fisheries management focused on species in isolation and often
67 considered individual drivers or stressors independently. This approach was often less than
68 effective, in particular because species decline when their habitats are degraded and there are
69 myriad interactions within ecosystems, including many that are influenced by people, that
70 determine outcomes for a given species (Pikitch et al. 2004). Thus, a broader perspective stands
71 to enhance management efforts. To enable ecosystem-based approaches, researchers can create
72 tools that explain linkages among ecosystem components and clarify potential tradeoffs of
73 management options.

74 To relieve cumulative stressors across life cycles of diadromous fish, managers may
75 employ a multifaceted conservation approach. Among practitioners' tools are *fisheries*
76 *management, flow management, and habitat restoration.*

77 *Fisheries management:* Fish populations typically exhibit density dependence, whereby
78 population growth declines as adult abundances approach habitat carrying capacities. These
79 relationships can quantify adult abundances that maximize juvenile production and sustainable
80 yield, allowing harvest of surplus adults with minimal impacts on recruitment.

81 *Flow management:* The aquatic environments of watersheds are dynamic, determined by
82 flow pattern and discharge, varying among years and seasons. Complicating matters, some
83 climates receive little precipitation during summers and managers may store water during wet
84 seasons for fundamental (e.g., drinking) and economic (e.g., agriculture) human activities during
85 dry seasons. These decisions impact diadromous fish as annual flow (and associated
86 temperature) conditions can constrain juvenile timing and growth (Munsch et al. 2019) and low

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87 flows can increase mortality (Michel et al. 2015), suggesting managers in regulated systems may
88 seek to avoid harmfully low flows.

89 *Habitat restoration:* Restoration can improve habitat function (e.g., survival, growth) and
90 capacity in watersheds impacted by people. Restoration of diadromous fish habitat must consider
91 accessibility by rehabilitating habitat within well-connected patches (e.g., migratory routes) and
92 preferable environments (e.g., salinity) (Simenstad and Cordell 2000).

93 One option to synergize watershed habitat function may therefore be to coordinate
94 fisheries management, flow management, and restoration to increase juvenile production and
95 support juveniles in beneficial habitats. Key to this approach is enabling habitats to support a
96 diversity of life history types by providing appropriate conditions across space (e.g., rivers,
97 deltas, bays) and time (e.g., seasons), which are constricted by habitat and hydrologic
98 modifications (Sturrock et al. 2019). Hypothetically, fisheries management, flow management,
99 and restoration could work in concert: (1) higher spawner abundances could maximize the
100 number of juveniles entering the next generation, (2) environmental flow regimes could enhance
101 juvenile survival, cue juvenile dispersal throughout the watershed (Sturrock et al. 2020),
102 inundate and connect beneficial habitats, and prolong seasonal windows when rearing conditions
103 are viable (Munsch et al. 2019, Sturrock et al. 2020), and (3) restoration could foster habitats that
104 are enhanced by flow (e.g., floodplains, wetlands) and increase the capacity of the watershed to
105 support more juveniles in more places as they disperse in response to flow or to minimize
106 competition when abundances are high (sensu Falcy 2014). Thus, each management realm
107 attempts to enable the success of conservation efforts in other realms.

108 Naturally spawning Chinook salmon (*O. tshawytscha*) in California's Central Valley
109 (USA) may benefit from a coordinated framework. Once a productive, expansive habitat mosaic,

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110 the Central Valley's watershed and hydrologic regime have been transformed to reduce flooding,
111 store and withdraw water, and irrigate agriculture. Juveniles surviving this watershed migrate to
112 sea and support a valuable fishery. Adult abundances are increasingly supplemented by artificial
113 propagation that masks declines in natural production (Johnson et al. 2012; Willmes et al. 2018),
114 the role of natural spawning in producing juveniles that use extant habitats remains incompletely
115 understood, and its populations are especially vulnerable to climate change (Crozier et al. 2019).
116 Due to this legacy of stressors, the Central Valley's populations continue to decline (Yoshiyama
117 et al. 1998; Johnson and Lindley 2016). Furthermore, decision-makers have recently pressed for
118 increased water supply to human activities (White House 2018) despite incompletely
119 understanding the importance of flow to salmon. At present, largely separate groups of
120 practitioners are tasked with managing fisheries, prescribing flows, and implementing habitat
121 restoration to conserve the Central Valley's salmon.

122 Here we analyze decades of juvenile surveys, spawner counts, and flow measurements to
123 inform conservation of diadromous fish via three management pathways. These pathways target
124 enhanced natural productivity through fisheries that allow sufficient abundances of spawners to
125 reproduce and increase offspring abundances in the watershed, managed flows that promote
126 favorable rearing and migration conditions, and habitat restoration that considers the influence of
127 landscape on habitat use to prioritize areas frequented by juveniles. We provide quantitative
128 information to inform Central Valley managers deciding fisheries escapement goals, water
129 resource policy, and restoration priorities. More broadly, in California and beyond, the
130 management authorities that enact fisheries, water regulation, and restoration are charged with
131 the interdependent goal of sustaining viable fish populations, yet tools that quantify the potential
132 interdependence of their actions are lacking. Our broader goal was to present a generalizable

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133 framework that demonstrates how coordination across management authorities may synergize
134 diadromous fish conservation, and thus provide an important step toward fully implementing
135 ecosystem based fisheries management (Levin et al. 2009).

136

137 **Methods**

138 *Study system*

139 California's Sacramento River is the second largest river on the contiguous U.S. west
140 coast. It meets the San Joaquin River in the Central Valley, forming the Sacramento-San Joaquin
141 River Delta (hereafter: Delta), which flows into San Francisco Bay (hereafter: Bay) and the
142 Pacific Ocean beyond. California experiences cool, wet winters and warm, dry summers. Despite
143 seasonal aridness, dams, reservoirs, and aqueducts supply fresh water to 25.5 million
144 Californians year round and support a multi-billion dollar agricultural economy (USDA 2012).
145 California endured a drought from 2012-2016. Drought challenges decision-makers to provide
146 water for municipal use and agriculture without undermining mandates to protect federally listed
147 species (Mann and Gleick 2015). This challenge to meet the needs of people and fish will
148 escalate as the human population grows and climate change increases drought risk (Diffenbaugh
149 et al. 2015).

150 Despite their declines, salmon in the Central Valley are remarkably diverse in life history.
151 Three evolutionarily significant units (i.e., population segments recognized by the U.S.
152 Endangered Species Act) of Chinook salmon inhabit the Central Valley, named according to the
153 season that adults re-enter fresh waters: Fall/Late-Fall, Winter, and Spring (NOAA 2019b). The
154 Endangered Species Act lists the Winter and Spring units as endangered and threatened,
155 respectively. The National Marine Fisheries Service designates the Fall/Fate-fall unit as a species

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156 of concern. As a stock, these salmon have apparently evolved to exploit the vast, spatially and
157 temporally heterogeneous landscape of the Central Valley. For example, the diversity of return
158 timings translates to adult Chinook salmon being present in the Central Valley year-round
159 (Yoshiyama et al. 1988). Thus, a fundamental objective in countering declines may be to
160 increase across space (habitat landscape) and time (seasonality) the viability of juvenile habitats
161 that enable and contribute to this diversity (sensu Sturrock et al. 2019).

162 There are many challenges to conserving Central Valley salmon. Its salmon have
163 declined since 1850 (Yoshiyama et al. 1998). The major causes are a legacy of cumulative
164 impacts: overfishing, mining, railroads, logging, water engineering, invasive predators, and
165 agriculture (Yoshiyama et al. 1998). Chinook salmon are now confined to lower, warmer regions
166 by impassible dams that impose artificial flow and salinity regimes in the Delta (Cloern and
167 Jassby 2012). While the life histories of Central Valley salmon have synched juvenile rearing
168 and outmigration with California's wettest months, water regulation has shifted flows from the
169 wetter months to the drier months. Consequently, juveniles experience flows $\approx 50\%$ lower than
170 historic levels and juveniles are largely absent when flows peak (Swart 2016). Furthermore,
171 floodplains and estuaries are often salmon nurseries because small prey are abundant and their
172 shallow portions exclude larger, predatory fish (Simenstad et al. 1982, Munsch et al. 2016), and
173 turbid and vegetated conditions reduce predation risk by piscivorous birds (Gregory and Levings
174 1998). However, the Central Valley's wetlands and floodplains have largely been replaced by
175 deep, armored channels, filled for agricultural or municipal land, and minimized by hydrologic
176 engineering. Indeed, only 3% of the Delta's historically vast tidal wetland remains and non-tidal
177 wetlands and floodplains have largely been diked and drained (Whipple et al. 2012). In addition,

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178 the fall run is a target of commercial and recreational fisheries that are economically significant
179 and managed annually by the Pacific Fishery Management Council.

180 Habitat restoration may counter declines. Large-scale efforts are underway across the
181 region to restore habitats by re-establishing wetlands and riparian forests, reconnecting
182 floodplains to their rivers, and breaching or setting back levees (California Eco Restore 2017).
183 These actions may benefit fish by increasing availability of prey, predator refuge, low-velocity
184 holding areas, and cooler, shaded waters. While restoration efforts are likely to improve localized
185 habitat value, we lack a quantitative understanding of how fisheries and water regulation
186 practices will influence juvenile habitat occupancy across the landscape, including on restoration
187 sites.

188

189 *Analyses (described in detail in Appendix A1)*

190 We asked, how do spawner abundances, flow, and landscape context influence juvenile
191 habitat occupancy? We could then infer how spawning escapement and flow are likely to
192 determine juvenile occupancy across the landscape of current, planned, and potential restoration
193 sites.

194 To address these questions, we assembled data describing spawner abundances, flow, and
195 juvenile salmon habitat use across the Central Valley (Fig. 1). Spawner abundances were sourced
196 from stream surveys, and included fall, spring, and winter-run (but not late-fall run, whose
197 juveniles have migration timing such that we would not count them) spawners in natural areas
198 throughout the Sacramento basin. Flow was measured on the Sacramento and San Joaquin main
199 stems. We summarized flow as rolling means across 30-day windows and rolling ranges (i.e.,
200 maximums - minimums) across 7-day windows to capture effects of long term flow conditions

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201 and flow pulses that may trigger fry migrations and enhance survival (Sturrock et al. 2019). We
202 also used the California Department of Water Resources' water year hydrologic classification
203 indexes (<http://cdec.water.ca.gov/reportapp/javareports?name=WSIHIST>) to describe the total
204 amount of water available to the Sacramento Valley in relation to flow. Juveniles were
205 monitored throughout the system by seining shorelines, which targets the fry life stage of salmon
206 that uses shallow waters. We examined only juveniles <55 mm because we could infer that these
207 were naturally spawned fish (juveniles released by hatcheries were almost exclusively larger),
208 which rely on extant habitat. The watershed included three regions: the Sacramento River, Delta
209 (which includes the lower Sacramento River), and Bay (Fig. 1). We conceptualized the landscape
210 of the Delta according to two axes: *distance downstream* and *distance off-main stem* of the
211 Sacramento River. We conceptualized the landscape of the Bay according to one axis: *distance*
212 *away* from the Sacramento River mouth. (Metrics defined in Table A1). Then, we used statistical
213 models to relate fry catches to spawners, flow, and the landscape. These models also accounted
214 for effects of seasonality in fry presence and non-independence of repeated sampling at sites and
215 years. We fit separate models for fry presence and catch when present because the data included
216 many zeros (i.e., zero inflation) and in the Bay we only modeled presence because presence there
217 was low, leaving few observations of catch when present. To show how fisheries and water
218 management may influence the use of restoration sites by fry, we used these models to predict
219 fry presence and catches at restoration sites, which varied in their locations within the landscape,
220 across flow levels and spawner abundances.

221 To enhance the communication of our findings to researchers, managers, and
222 stakeholders, we also analyzed our data by quantifying *annual* descriptors of spawners, flow, and
223 fry and relating them using common stock-recruit functions. Annual indexes of fry were

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224 generated using statistical models that quantified the expected catch of fry in the Sacramento
225 River in a given year after accounting for effects of seasonality in fry presence and non-
226 independence of repeated sampling at sites. While an annual time scale was less appropriate for
227 examining habitat occupancy based on real-time flow conditions, it was advantageous because it
228 allowed us to show, using a simple graphic, the influence of spawners on fry densities while
229 accounting for flow.

230

231 **Results**

232 From 1999-2016, there was considerable variation in spawner abundances, water
233 availability, flow conditions, and fry occupancy across the landscape. In-river spawner counts
234 ranged from 38,705 – 775,732 adults, with a median of 224,310 adults. As per California
235 Department of Water Resources classifications, the Sacramento Valley experienced 3, 5, 4, 3,
236 and 3 years of critical, dry, below normal, above normal, and wet years, respectively. Flow
237 ranged from 123 to 2,599 m³/s, with a median of 515 m³/s and considerable variation within and
238 among seasons (Fig. 2). In years when water was scarcer, flow conditions were lower, especially
239 as winters transitioned to springs (Fig. 2). Combining all observations, fry presence decreased
240 from 60% (interannual range: 31-84%) in the Sacramento River (n = 3,940), to 38% (interannual
241 range: 13-66%) in the Delta (n = 8,594), to 4% (interannual range: 0-18%) in the Bay (n = 1,966)
242 and fry catch decreased from medians of 2 fry (interannual range: 0-4) in the Sacramento River,
243 to 0 fry in the Delta (interannual range: 0-4), to 0 fry in the Bay (interannual range: 0-0). Fry
244 presence and catch peaked in February (Fig. 3).

245 Spawners, flow, and the landscape influenced fry presence and catch (Fig. 3). Fry catches
246 increased with spawners until ≈400,000 adults spawned (Figs. 3, A3). Fry catches also increased

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247 when flows were high (Figs. 3, A4) and following flow pulses (Figs. 3, A5). Presence in the
248 Sacramento River and Delta decreased markedly when the mean of 30-day flows fell below ≈ 500
249 m^3/s (Figs. 3, A4), a value near median ($515 \text{ m}^3/\text{s}$) conditions during periods of annual juvenile
250 presence (Dec. – May). Catch given presence in these regions decreased when the mean of 30-
251 day flows fell below $\approx 750 \text{ m}^3/\text{s}$ and apparently increased with increasing flow throughout the
252 range of observed flow values (Figs. 3, A4). Importantly, the patterns reported by models and
253 boxplots at means of 30-day flows over $\approx 1500 \text{ m}^3/\text{s}$ were informed by a small sample size of
254 observations during uncommonly high flows and should be viewed cautiously. The salient effect
255 of long-term flow in the Sacramento River and Delta, as informed quantitatively by models, was
256 thus marked decreases in catches below flows of $\approx 500\text{-}750 \text{ m}^3/\text{s}$, with catches increasing less
257 rapidly with flow thereafter. In the Bay, when flows were higher, fry were more likely to be
258 present and present farther toward sea (Figs. 3, A4). Across critical to wet years, flows exceeded
259 $500 \text{ m}^3/\text{s}$ (i.e., conditions that largely maximized fry presence) from 19 to 84% of all days Dec -
260 May (Fig. 2). Examining flow pulses, fry presence increased with ranges of 7 day flows until
261 they exceeded $\approx 400 \text{ m}^3/\text{s}$ (Figs. 3, A5). Catch increased with ranges of 7 day flows until ≈ 950
262 m^3/s and declined thereafter, although 7 day ranges of flow exceeding this amount were
263 uncommon (Figs. 3, A5). Landscape connectivity also influenced fry catches (Figs. 3, A6).
264 Catches decreased from the Sacramento River to the Delta to the Bay (i.e., in general, from
265 spawning grounds to the ocean) (Figs. 3, A6). Catches in the Delta decreased off of the main
266 stem and downstream (Figs. 3, A6). Similarly, presence in the Bay decreased with increasing
267 distance toward sea (Figs. 3, A6).

268 Examining effects of spawners and flow using annual descriptors revealed similar
269 patterns. Fry catches were best and similarly (using AIC) explained by Ricker and Beverton-Holt

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270 models that also included flow parameters (Tables A3, A4). Fry catches increased with spawners
271 and flow (Fig. 4). Notably, (1) years with higher flows also included more variable flows (linear
272 model comparing annual log sd flow vs. annual log median flow: $p = 0.0002$; $r^2 = 0.60$);
273 therefore this annual flow metric likely captured effects of both baseline flow and flow pluses
274 and (2) because flow was log-transformed to linearize its relationship with fry, these annual
275 results are consistent with within-season results in that increases in fry occurred most rapidly
276 when flow increased from its lowest to middling values. Annual indexes of fry catches were
277 greatest when spawners exceeded $\approx 400,000$ individuals. Ratios of fry densities in the Sacramento
278 River, Delta, and Bay were directly related (Fig. A7). Hence, it appeared that, on an annual scale,
279 fry density in the Bay was directly related to fry density in the Delta, which was directly related
280 to fry density in the Sacramento River, which was a function of spawners and flow. Overall, fry
281 catches increased with increasing spawners and flow, with catches increasing most rapidly when
282 spawners and flow increased away from lowest observed values.

283 Spawners and flow influenced predicted presence and catches at current and planned
284 restoration sites. Examining a subset of restoration sites to understand effects across a range of
285 landscape contexts, predicted fry presence and catches in the Sacramento River and Delta fell
286 precipitously when spawner counts and flow levels were low (Fig. 5). In restoration sites in the
287 Bay, fry presence increased linearly with increasing spawner abundances and flow. Especially at
288 sites far from the mainstem and downstream (Delta) or away from the Sacramento River mouth
289 (Bay), fry were unlikely to be present or in large catches unless spawner counts and flows were
290 high.

291

292 **Discussion**

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293 We investigated effects of density-dependent production, flow, and landscape context on
294 the lower watershed abundance and occupancy of naturally spawned Chinook salmon fry.
295 Habitat occupancy increased rapidly with spawners and flow, particularly until $\approx 400,000$ adults
296 escaped the fishery and flows exceeded $\approx 500-750$ m³/s. Flows tended to reach these values in
297 wetter years, but were often below them in dry or critical years. In addition, fry occupied habitats
298 more following recent pulses in flow. Across the landscape, habitat occupancy decreased from
299 the Sacramento River to the Delta to the Bay, was highest in the Delta near mainstem waters and
300 upriver, and was highest in the Bay closer to the river mouth. In the Bay, flow expanded habitat
301 occupancy seaward. The distribution of fry across the landscape, including on restoration sites, is
302 therefore determined by spawner abundances, flow, and landscape context. Especially in less-
303 connected portions of the landscape, for fry to inhabit and thus realize benefits of restored
304 habitats will likely require decisions to maintain spawner abundances and flow at or above
305 intermediate values. Furthermore, restoration efforts may prioritize more connected regions to
306 promote use of restored areas, considering that less connected areas are often uninhabited when
307 water and spawners are scarcer.

308 *Fisheries management implications:* Fall Run Chinook salmon, which comprised 90% of
309 2001-2018 natural-area adult spawners in the Sacramento basin, excluding Late-Fall run (PFMC
310 2019a), are the predominant stock caught commercially and recreationally along California and,
311 often, most of Oregon (Bellinger et al. 2015, Satterthwaite et al. 2015). They also support a
312 recreational freshwater fishery. These fisheries are developed annually by the Pacific Fishery
313 Management Council and California Fish and Game Commission, respectively. The Council
314 uses preseason abundance forecasts to craft fisheries that are designed to achieve optimal yield,
315 while limiting mortality on co-occurring weaker stocks. In years when the fishery is not

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316 constrained by the need to protect weaker, co-occurring stocks, it generally targets adult returns
317 of 122,000 Sacramento Fall Run Chinook salmon to hatcheries and natural areas combined and
318 does not distinguish between where these fish return to spawn. Although fisheries managers may
319 be more concerned with maximizing sustainable yield rather than maximizing production, this
320 target is substantially below the 400,000 spawners that we found came close to maximizing fry
321 habitat occupancy in natural areas alone, even when factoring in the presence of spring and
322 winter runs. Therefore, there may be benefits to considering targets for more spawning in natural
323 areas to enhance overall in-river productivity and ultimately to recruitment of the next generation
324 to the fishery. Recommendations to consider revisions to the escapement goal, including
325 specifying the escapement goal in terms of spawners in natural areas, have been made by other
326 scientific advisory bodies as well (Lindley et al. 2009, California HSRG 2012, PFMC 2019).
327 However, pre-fishery abundances (i.e., Sacramento Index, an estimate of potential Sacramento
328 Fall Run Chinook salmon escapement in the absence of fishing) was below 400,000 in 10 out of
329 36 years 1983-2018 and 9 out of 18 years 2001-2018 (PFMC 2019b), and this number includes
330 adults that would enter hatcheries (i.e., not spawn naturally). This indicates that pre-fishery adult
331 abundance is often low enough that fisheries restrictions alone would likely be insufficient
332 without habitat restoration, flow increases, or other measures to boost productivity.

333 *Flow management implications:* Flow levels are tightly managed via California's
334 extensive water storage and delivery infrastructure to meet many objectives, including (1)
335 meeting fundamental human water needs, (2) diversions for agriculture during the growing
336 season, and (3) sufficient flow and cold water to protect egg nests of endangered Sacramento
337 River Winter Run Chinook salmon from dewatering or warming in late summer and fall. Our
338 results suggest that abundance and distribution of Fall, Spring, and Winter Run fry across the

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339 landscape is also tied to operational flows in late winter and early spring. Higher flows increased
340 fry counts overall, and the spatial extent of fry rearing in the Delta (by increasing presence in
341 areas otherwise unoccupied) and Bay (by extending accessible habitat seaward). Indeed, the
342 nonlinear effects of flow detected by our models suggest that winter-spring flows above ≈ 500 -
343 $700 \text{ m}^3/\text{s}$, although constrained by annual variation in water availability, would avoid low flow
344 conditions that appear to be disproportionately deleterious to fry. Our results and those reported
345 in the Stanislaus River (a tributary of the San Joaquin River; Sturrock et al. 2019) suggest that
346 flow pulses, in addition to adequate long-term flows, are beneficial to juvenile salmon. Thus, a
347 flow regime beneficial to fry may be characterized by mid-to-high long-term flows punctuated
348 by intermediate pulses. We provide visualizations of examples of “good” and “bad” flow years
349 for fry in Fig. A8.

350 There are many plausible reasons that flow promoted fry habitat use. Flow may create
351 and inundate habitat (e.g., Yolo Bypass), deliver cool, oxygen-rich waters, increase turbidity and
352 thus concealment from predators, and move fresh waters seaward in the estuary. Recent studies
353 in the Central Valley found flow increased the survival and annual rearing windows of
354 outmigrating juveniles (Michel et al. 2015, Munsch et al. 2019, Friedman et al. 2019). Notably,
355 adult returns per juvenile rose abruptly when flow exceeded low levels (Michel 2018), similar to
356 our observation of a non-linear flow effect. Thus, it is clear in the Central Valley that flow
357 influences habitat use and that juveniles benefit from higher flows.

358 *Habitat restoration implications:* Fry probability of presence was greatest upstream and
359 on main stem waters. As illustrated by our model projections, restoration efforts may consider
360 prioritizing these areas to maximize habitat use. Moreover, it appears that the efficacy of
361 restoration efforts depends on sufficient spawners and flow to promote juvenile abundances and

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362 distributions that translate to occupied restored habitats. While restoration projects in the river
363 and Delta (near the mainstem) exhibited probability of presence > 0.5 for a wide range of flow
364 levels and spawner abundances, juveniles were unlikely to be present near and in Bay restoration
365 sites except at very high levels of flow and spawners. These results suggest that restoration
366 projects for Chinook salmon will currently (e.g., while spawner levels are depressed) be most
367 effective in the river and more connected portions of the Delta. Restoration efforts may thus
368 prioritize sites in these areas, especially to ensure habitat use in years when water and spawners
369 are scarcer. Aiming for long-term population resilience, restoration efforts that create large,
370 functional, and connected habitats across the landscape may enable the watershed to capitalize
371 on years when natural conditions and managers facilitate high spawner abundances and flows.

372 Our work should be interpreted within the greater scope of management in this system.
373 Management would benefit from understanding survival benefits of increased habitat occupancy,
374 as well as environmental and density-dependent constraints during marine life stages (e.g., life
375 cycle modeling: Friedman et al. 2019). For example, if restored watershed habitats enable more,
376 larger juveniles to enter the ocean, it would be important to understand whether density
377 dependence manifests again as salmon compete for prey at sea. Additionally, reservoir releases
378 are used to provide cooler temperatures for adult and embryonic stages in this system, especially
379 during warmer months for endangered Sacramento River Winter Run Chinook salmon (Danner
380 et al. 2012). Decisions to allocate water to promote flow for juveniles must also consider these
381 other runs and life stages. For example, higher flows during adult returns are associated with
382 lower straying rates (i.e., returns to non-natal rivers) (Sturrock et al. 2019). Likewise, other
383 imperiled species in the system (e.g., delta smelt *Hypomesus transpacificus*, green sturgeon
384 *Acipenser medirostris*) are likely to be influenced by flow, and a greater management scope

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385 should consider the suite of managed species in the Sacramento-San Joaquin watershed (Zarri et
386 al. 2019). Also, the feasibility of establishing an escapement goal near 400,000 adults to natural
387 areas should be evaluated for the near- and long-term sustainability of the fishery, especially
388 when integrated with flow actions to achieve maximum productivity. Furthermore, restoration is
389 likely to provide habitat for non-native salmon predators that inhabit the Central Valley
390 (Demetras et al., 2017) as well as salmon fry. Accordingly, it will likely be important for
391 restoration efforts to provide protective features, such as shallow areas that attract small, earlier
392 life stages of fish and exclude many aquatic predators (Munsch et al. 2016) or that increase
393 vegetation and turbidity and thus concealment from predatory birds (Gregory and Levings 1998)
394 to more fully actualize benefits of restoration to salmon. Finally, we caution that apparent flow
395 thresholds below which are harmful to fry should not be interpreted as a target, but rather a
396 minimum limit, for conservation efforts. Resilience of salmon stocks is derived in part from
397 populations exploiting variable habitat conditions, plausibly including flooding when flows are
398 greater than median levels.

399 Complexities and limitations should be considered in the interpretation of our study.
400 First, we examined habitat occupancy in the lower Sacramento River, Delta, and Bay, not total
401 abundances of juveniles in the Central Valley. If, for instance, fry disperse downstream (where
402 sampling occurred) in response to high upstream (where sampling did not occur) fry densities or
403 flows, then responses of total juveniles to spawners, flow, and the landscape will differ from
404 responses of habitat occupancy. Second, our study examined patterns at the scale of the
405 landscape. Recovery planning should account for additional, localized factors specific to current
406 or prospective restoration sites when prioritizing sites for restoration or in maintaining fry
407 presence on them. Third, we examined fry and not later juvenile stages (e.g., smolts). This was a

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408 practical decision that allowed us to infer we were assessing habitat occupancy in lower portions
409 of the watershed by naturally spawned fish, although other life stages (e.g., parr, yearlings) also
410 rely on functional habitats, are important in the legacy of the stock, and may experience different
411 constraints on habitat use. Fourth, fry spawned in-river may be the offspring of hatchery-origin
412 fish and/or have significant hatchery-origin ancestry. Hatchery fish in the Central Valley are
413 increasingly raised to ocean-ready smolts, potentially reducing selective pressures on juvenile
414 freshwater stages (Huber and Carlson 2015). Lineages retaining greater adaptation to the
415 freshwater stage may therefore realize greater, more immediate benefits of restoration. Fifth, we
416 incompletely understand how improving habitat experiences in the juvenile freshwater stage will
417 ultimately influence survival at later stages. However, viable freshwater habitats may dampen
418 stress in marine stages, for example by allowing greater growth in the watershed that presumably
419 reduces predation risk at sea (Woodson et al. 2013, Munsch et al. 2019) or allowing juveniles to
420 rear longer and enter the ocean after seasonal prey blooms (Satterthwaite et al. 2014).
421 Additionally, higher flows during adult returns are associated with lower straying rates (i.e.,
422 returns to non-natal rivers) (Sturrock et al. 2019). Sixth, our conceptualization of the system did
423 not consider interactions between some factors. For instance, low flows during severe drought
424 may create competition within the juvenile stage, modifying the influence of spawners on habitat
425 occupancy. These complexities were beyond the scope of this paper, but offer further research
426 avenues.

427 Salmon appear poised to benefit from ecosystem-based approaches. For example,
428 researchers in the Columbia River Basin studied the life history of a depressed salmon
429 population and their interactions with regulated flow. They developed a “Fish-Water-
430 Management-Tool” that minimized egg and juvenile mortality and fisheries managers

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431 simultaneously increased escapement goals. In the years that followed, returns of naturally-
432 spawned adults increased considerably (Lichatowich et al. 2018). Similar benefits to more
433 holistically evaluating potential responses of salmon to management decisions are evident in
434 other systems (e.g., Scheuerell et al. 2006, Battin et al. 2007). More broadly, integrating work
435 like ours on ecosystem considerations in the watershed with ecosystem-based fisheries
436 management efforts in the ocean (Wells et al. 2020) and efforts like integrated ecosystem
437 assessments (Levin et al. 2009) may facilitate linkages across marine and freshwater stages. For
438 example, water management may prioritize desirable flow conditions to increase juvenile
439 survival in the watershed and fisheries management may protect marine species that buffer
440 subadult salmon from predation at sea. Ecosystem perspectives also stand to facilitate
441 management of other species: enhancing the natural productivity of salmon may benefit the
442 many species that prey on salmon or assimilate their nutrients (Quinn 2018). Overall, as
443 integrative research and management programs are reaching maturity, there is promise in moving
444 toward coordinated, ecosystem-based decisions that benefit salmon (Hare et al. 2019).

445 Ecosystem-based approaches may enable managers to address environmental imperatives
446 within broader management scopes. Human dimensions are fundamental to decision-making and
447 ecosystem-based approaches incorporate human dimensions by coordinating across multiple
448 managers and stakeholders (Carwardine et al. 2018, Hare et al. 2019) and appreciating that
449 perceptions of desirable ecosystem states will vary (Ingeman et al. 2019). Addressing a diversity
450 of needs is feasible. Actions that benefit fisheries can benefit people directly or at least not
451 interfere with other human use needs. For example, floodplain restoration can increase fish
452 habitat and protect property from floods and designed flow regimes can protect native species
453 without compromising water security (Chen and Olden 2017). Additionally, many ecological

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454 relationships, including those involving salmon, are governed by nonlinearities and thresholds
455 (Munsch et al. in Review). As exemplified by our results, nonlinearities (e.g., fry habitat use vs.
456 flow, fry production vs. escapement) may allow managers to optimize for fish benefits and
457 human use needs of particular systems to achieve more efficient or effective outcomes. More
458 broadly, many management decisions require optimizing across competing goals, but can be
459 made more efficiently by clarifying their underlying cultural, ecological, and economic trade-
460 offs (Mangel and Dowling 2016, Burgess et al. 2018). Decision support tools that integrate
461 across management realms may therefore be conducive to improving real-world decisions that
462 benefit fish and people collectively.

463 In conclusion, there is potential to realize greater watershed habitat function in the
464 Central Valley by increasing reproduction, enhancing flow conditions, and restoring habitats,
465 especially in areas frequented by juveniles. Ideally, this approach would enable many juveniles
466 to spread across a long juvenile rearing window and a landscape of viable habitats across the
467 watershed, ultimately supporting a more abundant and stable fish population. Fisheries, water,
468 and habitat are managed by separate authorities. Coordinating management realms, as informed
469 quantitatively by our findings, may increase the benefits of their individual efforts. Indeed, many
470 fisheries experience cumulative stressors, and a more integrated approach to relieve multiple
471 stressors at key life stages may enhance recovery efforts (Lichatowich et al. 2018). That
472 spawners and flow appeared to disproportionately increase productivity up to intermediate levels
473 suggests opportunities for “satisficing” (De Lara et al. 2015) fisheries and water managers
474 seeking to improve natural productivity of salmon while meeting other human needs. In many
475 locations, people are struggling to balance conflicting demands (e.g., agriculture, hydropower,
476 land development, fisheries) as they develop watersheds yet remain dependent on fisheries

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477 produced by viable watersheds (e.g., Sabo et al. 2017). Research and management in other
478 systems may consider a multifaceted approach similar to the framework we offer to synergize
479 conservation of fish in stressed watersheds worldwide.

480

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Fisheries, flow, restoration

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762 **Figure Legends**

763

764 **Figure 1.** Locations of fry sampling and USGS flow gages (Sacramento: 11447650 and San
765 Joaquin: 11303500). Symbols of fry sampling sites reflect delineations into Sacramento River,
766 Delta, and San Francisco Bay regions. The Sacramento River runs along the blue line. Distances
767 downstream in the Bay are measured relative to Middle Ground Island. Map was created using
768 ArcGIS® software by Esri. ArcGIS® and ArcMap™ are the intellectual property of Esri and are
769 used herein under license. Copyright © Esri. All rights reserved. Base Map Sources: Esri,
770 DigitalGlobe, GeoEye, i-cubed, USDA FSA, USGS, AEX, Getmapping, Aerogrid, IGN, IGP,
771 swisstopo, and the GIS User Community. Hydrography Sources: USGS.

772

773 **Figure 2.** Flow conditions in the Sacramento River Dec. – May, 1999 – 2016. Colors indicate
774 water availability classification. Dashed line indicates median. **Left:** Conditions across individual
775 years. **Center:** Smoothed histogram of all flow values for each water availability classification.
776 **Right:** Percentage of days among water availability classifications when flows exceeded 500
777 m^3/s , a value below which fry presence fell rapidly.

778

779 **Figure 3.** Model output describing presence (top, blue) and catch when present (bottom, purple)
780 of Chinook salmon fry in the Central Valley. Shading indicates 95% credible intervals.

781

782 **Figure 4.** Annual fry density index compared to spawner abundances and flow overlaid with
783 predictions from model describing relationship among these variables. These models are
784 parameterized by a Beverton-Holt and Ricker stock-recruitment relationships and a linear effect

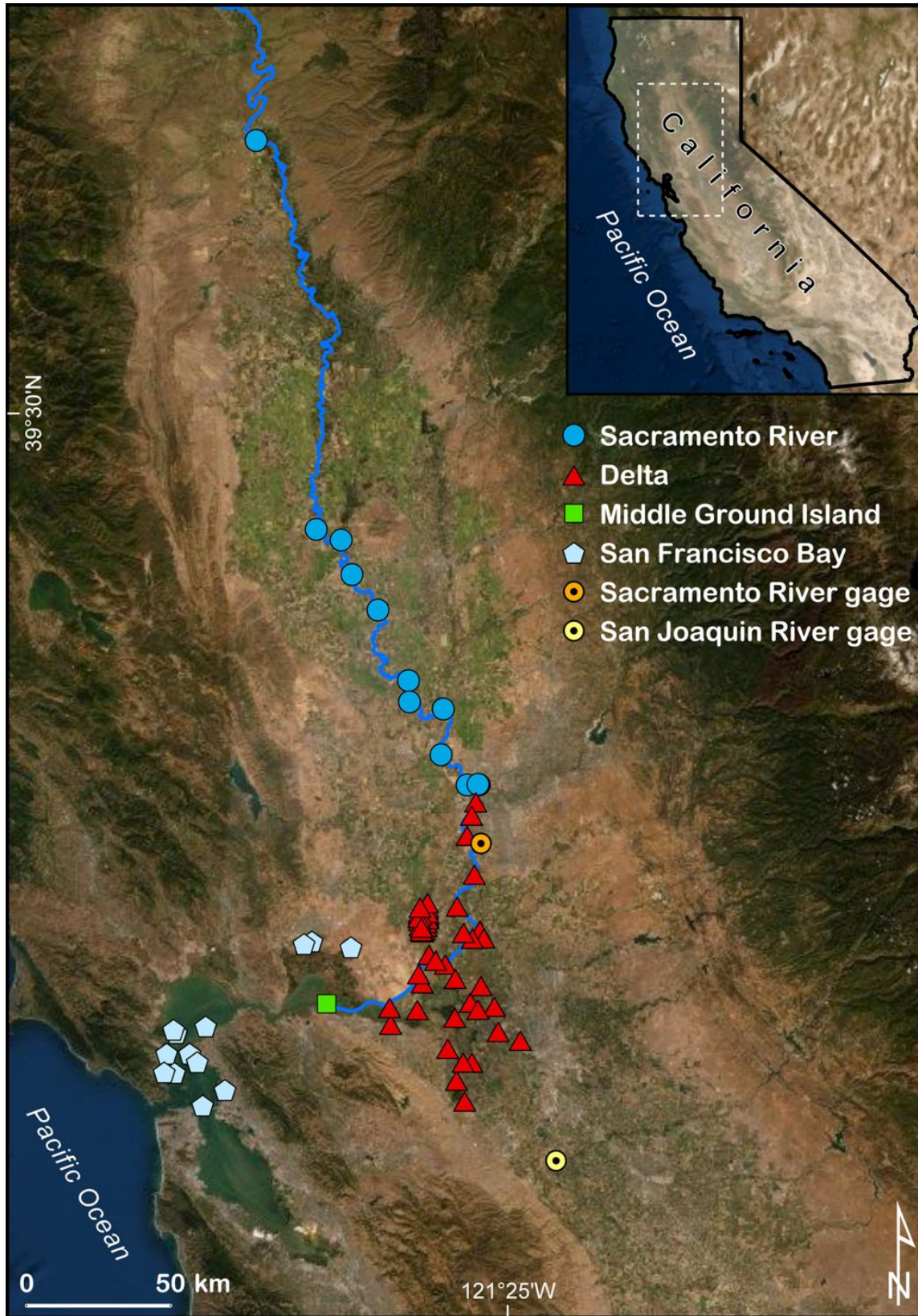
Fisheries, flow, restoration

785 of log-transformed flow. The thick, solid line indicates the median value of median log-
786 transformed flow across all years. We show predictions from these top two models because AICs
787 indicated they fit the data similarly well.

788

789 **Figure 5.** Probability of fry presence (top two rows) and catches (bottom rows) predicted across
790 different levels of spawners and 30 day flow means in select current and planned restoration
791 sites. We selected these sites to show variation in influence of landscape on fry presence. Models
792 predicted fish responses according to one variable (i.e., spawners, 30 day flow mean, range, or
793 landscape) while holding the other variables at their means, and for January 10, a day of year that
794 corresponded to typical seasonal fish presence (Fig. 3). Map was created using ArcGIS®
795 software by Esri. ArcGIS® and ArcMap™ are the intellectual property of Esri and are used
796 herein under license. Copyright © Esri. All rights reserved. Base Map Sources: Esri,
797 DigitalGlobe, GeoEye, i-cubed, USDA FSA, USGS, AEX, Getmapping, Aerogrid, IGN, IGP,
798 swisstopo, and the GIS User Community. Hydrography Sources: USGS.

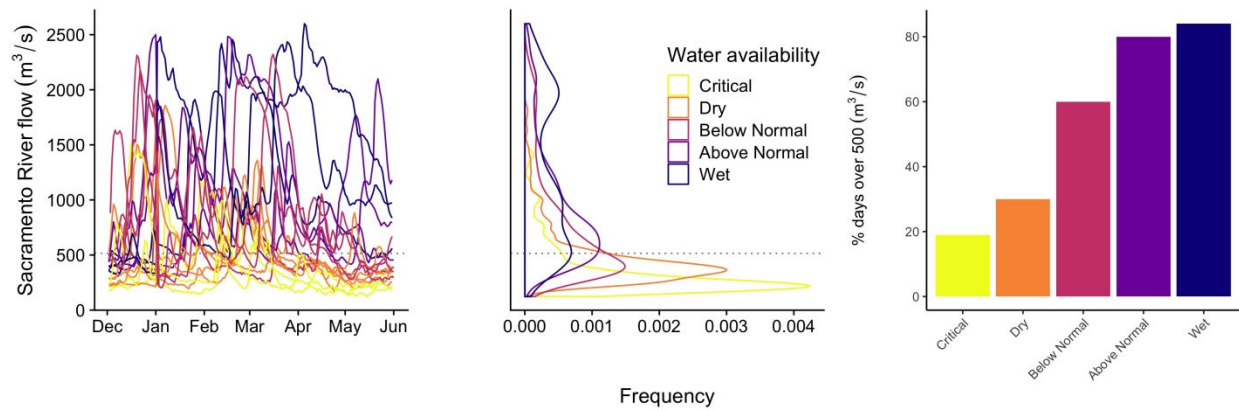
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799

800 **Figure 1**

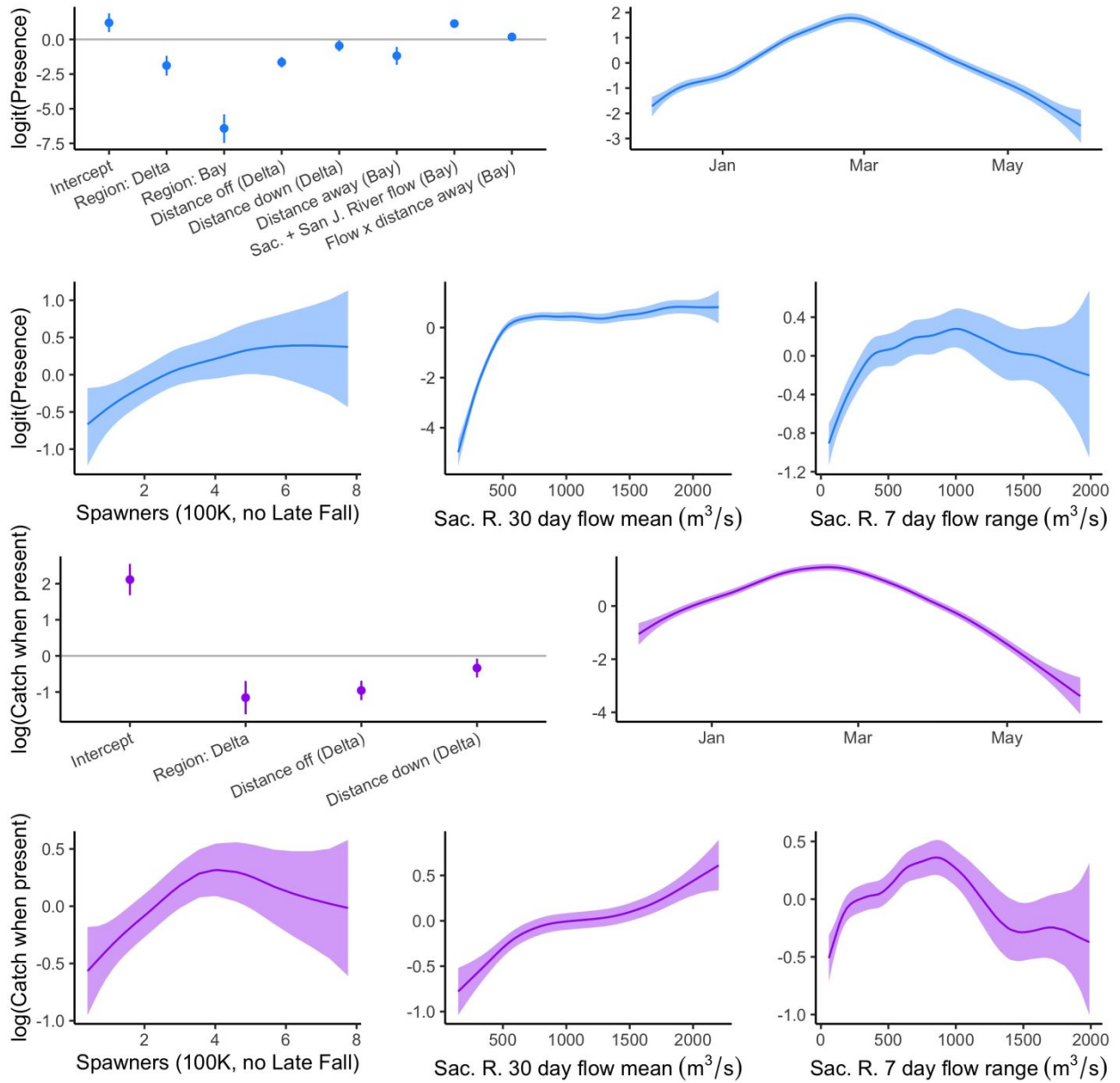
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801
802 **Figure 2**
803

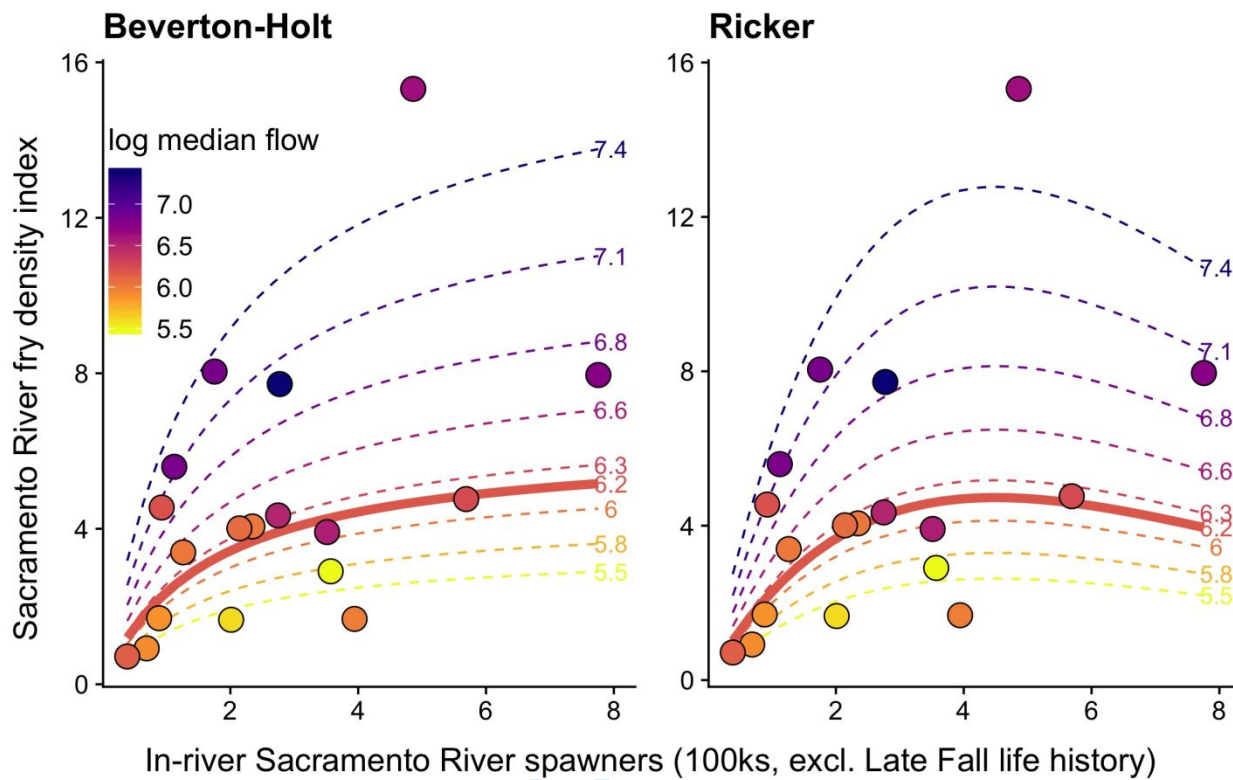
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Fisheries, flow, restoration



804
805 **Figure 3**

Fisheries, flow, restoration



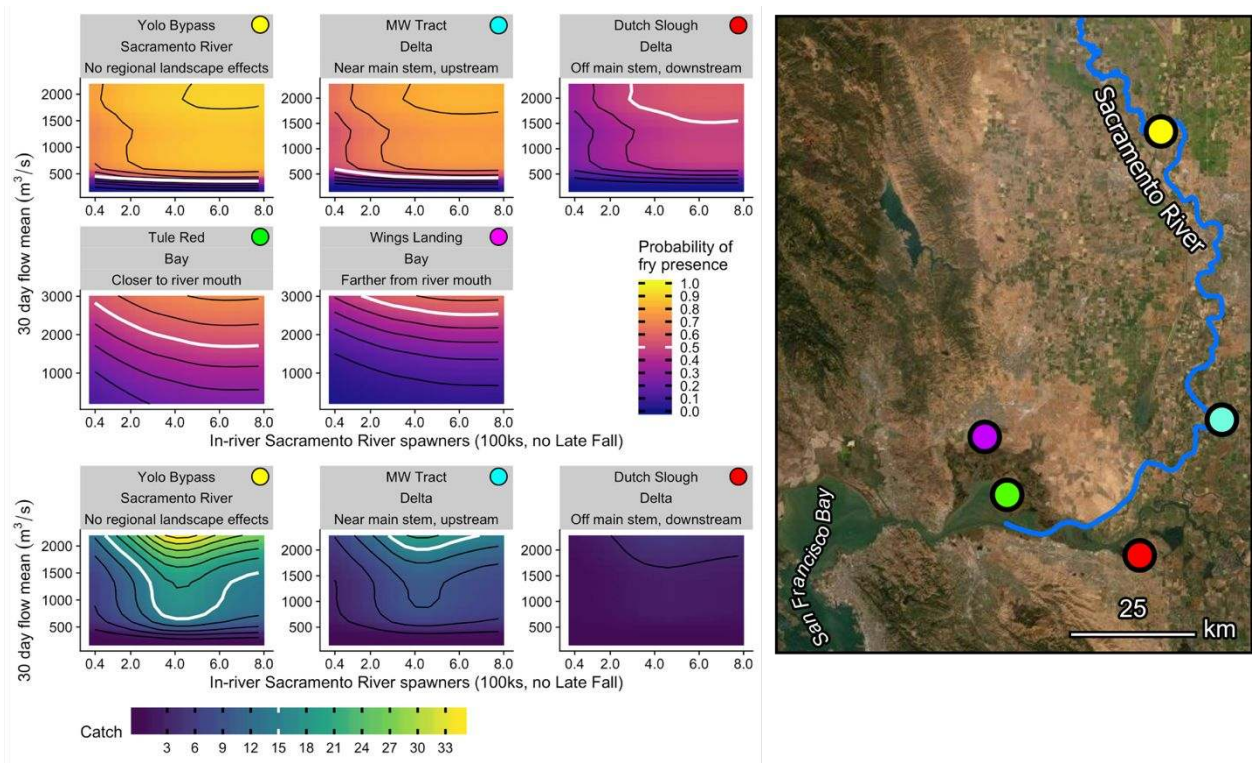
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807 **Figure 4**

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Fisheries, flow, restoration



809

810 **Figure 5**

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1 **Appendix A1**

2 *Assembling data and summarizing metrics*

3 We assembled data describing the abundance and distribution of juvenile salmon, adult
4 salmon, and water quality in the Central Valley. Juvenile salmon abundances and distributions
5 were described by the U.S. Fish and Wildlife Service's (USFWS) Juvenile Fish Monitoring
6 Program (Mahardja et al. 2019). USFWS monitors waters throughout the Central Valley by
7 beach seining for fish. Beach seining involves researchers deploying a net to capture fish in
8 shallows adjacent to shore, and it targets the fry life stage of salmon, which occurs shortly after
9 salmon hatch and emerge from gravel. USFWS conducts several hundred beach seine hauls per
10 year. Abundances of adult salmon were described by the California Department of Fish and
11 Wildlife's GrandTab dataset ([https://www.wildlife.ca.gov/Conservation/Fishes/Chinook-
12 Salmon/Anadromous-Assessment](https://www.wildlife.ca.gov/Conservation/Fishes/Chinook-Salmon/Anadromous-Assessment)). This program estimates annual abundances of spawning fish
13 by compiling abundance estimates and counts from stream surveys. We used in-river population
14 estimates from the Sacramento River system, and excluded fish used in hatchery broodstocks.
15 Finally, water flow was described by U.S. Geological Survey stream gages on the Sacramento
16 and San Joaquin River main stems (gages 11447650 and 11303500, respectively:
17 https://waterdata.usgs.gov/usa/nwis/uv?site_no=11447650;
18 https://waterdata.usgs.gov/nwis/uv?site_no=11303500). Given the Delta's complex network,
19 there are many ways to measure its flow into the Bay. We elected to use a relatively simple
20 measure summing flows from gages on the Sacramento and San Joaquin River main stems
21 because (1) other measures such as the Net Delta Outflow Index
22 ([https://water.ca.gov/Programs/Environmental-Services/Compliance-Monitoring-And-
23 Assessment/Dayflow-Data](https://water.ca.gov/Programs/Environmental-Services/Compliance-Monitoring-And-Assessment/Dayflow-Data)) are highly correlated ($r^2 = 0.92$ during our study's time window) with

24 the gage measurements we presented in the main text, meaning that this choice did not
25 substantially affect our statistical findings or their interpretations and (2) this allowed us to
26 maintain a consistent approach of using direct gage measurements among the three regions
27 (Sacramento River, Delta, Bay). Thus, we could use these data to understand how flow, spawner
28 abundances, and landscape setting govern the presence and abundance of juveniles throughout
29 the Sacramento River, Delta, and Bay.

30 To prepare data for analyses related to habitat connectivity, we described the locations of
31 observations relative to the landscape. We delineated the watershed into three regions: the
32 Sacramento River (i.e., the river upstream of the Delta), the Delta (which includes a lower
33 portion of the Sacramento River), and Bay (Fig. 1), and analyzed landscape effects in the Delta
34 and Bay. We conceptualized the networked, channelized landscape of the Delta according to two
35 axes: *distance downstream* and *distance off-main stem* of the Sacramento River. Distance off the
36 main stem was described by in-water distances from a site to the Sacramento River main stem
37 using the shortest path. This uses the Sacramento River main stem as a landmark of minimum
38 distance off the main stem. Distance downstream was calculated by the shortest path from the
39 Sacramento River mouth to a site (i.e., a measure of distance *upstream*). Its z score was then
40 multiplied by -1 to calculate a measure of distance *downstream* so that it was a metric describing
41 distances going toward the river mouth. This allowed its representation to be consistent with
42 other landscape metrics that described sites in terms of increasing distances from the upper river
43 reaches of accessible habitat toward the ocean. This effectively uses the Delta site closest to the
44 Bay as a landmark of maximum distance downstream. In reality, the Delta's network of channels
45 and directional flows connecting various shorelines present fish with a more complex route from
46 the upper Sacramento to the Bay at the local scale. However, at our study's focal scale of the

47 landscape, our landscape measurement approach resulted in clear gradients across the Delta
48 consistent with our conceptualization of measuring distance relative to the ocean and off the
49 main stem (Fig. A1), and we refer to “downstream” and “off main stem” in the main text for
50 simplicity. The Delta is also fed by the San Joaquin River, but our preliminary analyses indicated
51 that gradients of fish abundances in the Delta were predominantly driven by the Sacramento
52 River. That is, the juvenile salmon entering the Delta are primarily spawned in the Sacramento
53 River (consistent with current understanding of the system: Carlson and Satterthwaite, 2011), so
54 our conceptualization of the Delta’s landscape was georeferenced relative to the Sacramento
55 River. This required us to remove observations from a small number of sites within the Delta
56 along the San Joaquin River main stem because, due to the influence of the San Joaquin River on
57 fish presence, observations at these sites were inconsistent with our conceptual landscape
58 georeferenced by the Sacramento River. In the Bay, which is much less channelized than the
59 Delta, we conceptualized the landscape according to one axis: distance *away* from the
60 Sacramento River mouth (defined at Middle Ground Island) and toward the ocean, also using in-
61 water distances. Thus, we describe the landscape in the Delta as downstream and off of the
62 Sacramento River main stem and the landscape in the Bay as away from the Sacramento River
63 mouth.

64 We pre-processed the data before analyses so that our comparisons more directly
65 matched our hypotheses about the ecology of the system. First we limited analyses to the period
66 between December and May when juveniles were present (Munsch et al., 2019). Second, we
67 examined only those fish we defined as fry (< 55 mm). This was advantageous because (1)
68 juvenile salmon use nearshore habitats differently as they develop (e.g., Munsch et al., 2016) and
69 examining only the fry life history stage may reduce variations in habitat use not attributable to

70 our focal hypotheses and (2) hatcheries release a substantial number of unmarked juvenile
71 salmon. However, beginning in 1999, hatcheries almost ceased releasing salmon under 55 mm
72 (Fig. A2), allowing us to infer that fish observed beginning in 1999 and less than 55 mm long
73 were naturally spawned. We could then examine relationships between naturally spawning fish
74 and juveniles <55 mm from 1999 - present with confidence that juvenile hatchery production
75 was not substantially confounding our findings. Third, we described annual abundances of adult
76 spawners excluding the Late Fall life history. This was appropriate because Late Fall juveniles
77 rear upstream longer than other life histories and were therefore unlikely to be among our focal
78 fish that were less than 55 mm long (Williams, 2006). We note that because spawner abundances
79 are dominated by the Fall Run, this adjustment was unlikely to drastically affect our statistical
80 findings. Fourth, to describe dynamic flow conditions within seasons, we summarized flow using
81 30 day running averages to capture long term effects of flow and, following Sturrock et al.
82 (2019), 7 day ranges to capture short term effects of flow pulses. Fifth, we rounded (z-scored)
83 flow variables to the nearest single decimal place so that its nonlinear effect on fry could be
84 described by random walks (that often operate on discrete data), INLA's approach to
85 parameterizing nonlinear functions (R-INLA.org). Parameters input into models are defined in
86 Table A1.

87

88 *Analysis*

89 Our general approach was to use statistical models to quantify the influence of spawners,
90 flow, and landscape on fry catches. Then, using the location of potential restoration sites within
91 the landscape, we estimated fry catches at restoration sites depending on spawner and flow

92 levels. We define metrics and their representations as variables in Tables A1 and A2,
93 respectively.

94 We modeled the random variable fry catch \mathbf{Y} and its realization $\mathbf{y}_{i,t,s,v,m,w}$ from
95 observations i on date t (with dates for each water year indexed beginning on December 1 and
96 ending May 31) in years with spawner counts s , 30 day flow mean in the Sacramento River v (for
97 sites in the Sacramento River and Delta regions), 7 day flow range in the Sacramento River z , at
98 site m in water year w (water years begin on October 1, which allows the term water year to
99 describe continuous periods of annual fry presence from December to May) using a negative
100 binomial hurdle model with probability of observing at least one fry π , the expected fry counts
101 conditional on seeing at least one fry μ , and a parameter defining overdispersion in variance k as

$$102 \quad Pr(y_{i,t,s,v,z,m,w} = x) \begin{cases} 1 - \pi_{i,t,s,v,z,m,w} & \text{if } x = 0 \\ \pi_{i,t,s,v,z,m,w} g(y_{i,t,s,v,z,m,w}) & \text{if } x > 0 \end{cases}$$

103 where

$$104 \quad g(y_{i,t,s,v,z,m,w}) = Pr[\mu_{i,t,s,v,z,m,w}, k] = ZeroTruncNegBinom(\mu_{i,t,s,v,z,m,w}, k)$$

$$105 \quad E[y_{i,t,s,v,z,m,w}] = \pi_{i,t,s,v,z,m,w} \mu_{i,t,s,v,z,m,w}$$

$$106 \quad Var[y_{i,t,s,v,z,m,w}] = \pi_{i,t,s,v,z,m,w} \mu_{i,t,s,v,z,m,w} (1 + \mu_{i,t,s,v,z,m,w} (1 - \pi_{i,t,s,v,z,m,w} + k))$$

107 and

$$108 \quad \text{logit}[\pi_{i,t,s,v,m,w}] = Y_0 + Y_1 X_1 + Y_2 X_2 + Y_3 X_3 + Y_4 X_4 + Y_5 X_5 + Y_6 X_6 + Y_7 X_5 X_6 + f_1(t) + f_2(s) + f_3(v) + f_4(z) + m_a + w_b$$

$$109 \quad \text{log}[\mu_{i,t,s,v,m,w}] = \beta_0 + \beta_1 X_1 + \beta_2 X_3 + \beta_3 X_4 + \beta_4 X_5 + f_5(t) + f_6(s) + f_7(v) + f_8(z) + m_c + w_d$$

$$110 \quad m_a \sim N(0, \sigma_a^2); w_b \sim N(0, \sigma_b^2); m_c \sim N(0, \sigma_c^2); w_d \sim N(0, \sigma_d^2)$$

111

112 where \mathbf{X}_1 and \mathbf{X}_2 are binary variables describing whether an observation occurred in the Delta or
113 Bay, respectively (i.e., accounting for the effect of region by contrasting with the global

114 intercepts β_0 and γ_0 such that, when β_1 and β_2 equaled zero, the global intercept represented the

115 effect of occurring in the Sacramento River region), \mathbf{X}_3 is the distance off of the main stem
116 (Delta sites only), \mathbf{X}_4 is the distance in downstream (Delta sites only), \mathbf{X}_5 is the distance away
117 from the Sacramento River mouth (Bay sites only), \mathbf{X}_6 is flow (Bay sites only), and $\mathbf{f}(\cdot)$ are
118 nonlinear functions parameterized in INLA as a second order random walk. That is, following
119 preliminary explorations examining for relationships between flow and fry, we parameterized
120 our model to quantify nonlinear effects of flow in the Sacramento River and Delta (f_3 and f_6)
121 whereas our model quantified a linear effect of flow in the Bay (Y_6) that interacted (Y_7) with
122 distance from the Sacramento River mouth (Y_5). The linear interaction of flow and distance
123 away from the Sacramento River mouth in the Bay represented an effect of flow extending fresh
124 water (that fry appeared to prefer over saltwater) further seaward. The other nonlinear functions
125 represented seasonal rise and fall in fry presence (f_1 and f_5) and potential density-dependent
126 effects of spawners on fry production (f_2 and f_6). We used values of zero (i.e., means because
127 variables were z-scored) to describe landscape and flow variables that were not applicable to an
128 observation because they occurred in a region not described by that parameter. We modeled
129 catch when present only for the Sacramento River and Delta because presence in the Bay was
130 much lower than other regions, resulting in much fewer data points describing catch when
131 present to analyze.

132 Supplemental to these analyses, we showed the effects of spawners and flow on fry using
133 annual descriptors of spawners, flow, and fry that we related using commonly used stock-
134 recruitment functions. While this approach was not conducive to projecting habitat occupancy at
135 restored sites, it allowed us to encapsulate effects of spawners and flow on juvenile salmon
136 abundances in one visual, thus improving communication of our results to a diverse audience of
137 researchers, managers, and stakeholders. Our general approach was to collapse many observations

138 per year into annual indexes of fry densities, which we could then compare to spawner
 139 abundances and flow. Fry density indexes were generated by fitting models that described
 140 abundances in the Sacramento River and the Delta and measures of presence in the Bay among
 141 years, while accounting for region-specific landscape variables and phenology associated with
 142 individual sampling events. That is, density indexes were the expected number (count data) or
 143 probability of presence of fry (presence/absence data) on a typical day of the year and in a
 144 typical location within the landscape (Delta and Bay only). For fry in the Bay, we analyzed data
 145 only in February to March, when the overwhelming majority of fry were observed, and did not
 146 generate density indexes from 2007 or 2015 because, in these years the Bay's shorelines were
 147 sampled less than 30 times.

148 In the Sacramento River, we modeled the random variable fry counts \mathbf{Y} and its realization
 149 $y_{i,t,m}$ from observations i on date t (with dates for each water year indexed beginning on
 150 December 1 and ending May 31) at sites m with probability of observing at least one fry π , the
 151 expected fry counts conditional on seeing at least one fry μ , and a parameter defining
 152 overdispersion in variance k as

153

$$154 \quad Pr(y_{i,t,m} = x) \begin{cases} 1 - \pi_{i,t,m} & \text{if } x = 0 \\ (\pi_{i,t,m}) g(y_{i,t,m}) & \text{if } x > 0 \end{cases}$$

155 where

$$156 \quad g(y_{i,t,m}) = Pr[\mu_{i,t,m} | k] = ZeroTruncNegBinom(\mu_{i,t,m}, k)$$

$$157 \quad E[y_{i,t,m}] = \pi_{i,t,m} \mu_{i,t,m}$$

$$158 \quad Var[y_{i,t,m}] = \pi_{i,t,m} \mu_{i,t,m} (1 + \mu_{i,t,m} (1 - \pi_{i,t,m} + k))$$

159 and

$$160 \quad logit[\pi_{i,t,m}] = Y_w X_w + f_1(t) + m_a$$

$$161 \quad \log [\mu_{i,t,m}] = \beta_w X_w + f_2(t) + m_b$$

$$162 \quad m_a \sim N(0, \sigma_a^2); m_b \sim N(0, \sigma_b^2)$$

163 where \mathbf{X}_w is a vector describing the water year \mathbf{w} as a categorical variable, parameters Y_w and
 164 β_w describe the presence/absence and abundance when present components, respectively, of
 165 annual density indexes of juveniles in water year \mathbf{w} , and $\mathbf{f}(\cdot)$ are nonlinear functions
 166 parameterized in INLA as a second order random walk (these effects accounting for the day of
 167 the year were nearly identical to those of the previous models, shown in panels “day of year” of
 168 Fig. 3). That is, a negative binomial hurdle model that quantifies (1) probability of fry presence
 169 and (2) abundance when fry are present. Parameter estimates for Y_w and β_w were used to
 170 calculate annual fry density index \mathbf{D} as

$$171 \quad D = \text{logit}^{-1}(Y_w) e^{\beta_w},$$

172 which described the expected fry catch in one sample in a given year \mathbf{w} after accounting for
 173 seasonality and site specific factors. There were no global intercepts included in the models so
 174 that differences among years were entirely captured by annual index parameters.

175 In the Delta, we used the same approach, except we accounted for distances off the main
 176 stem \mathbf{X}_1 and downstream \mathbf{X}_2 so that annual indexes in the Delta also corresponded to catches in
 177 typical locations within the Delta’s landscape:

$$178 \quad \text{logit}[\pi_{i,t,m}] = Y_w X_w + Y_1 X_1 + Y_2 X_2 + f_1(t) + m_a$$

$$179 \quad \log[\mu_{i,t,m}] = \beta_w X_w + \beta_1 X_1 + \beta_2 X_2 + f_2(t) + m_b$$

$$180 \quad m_a \sim N(0, \sigma_a^2); m_b \sim N(0, \sigma_b^2)$$

181 In the Bay, we modeled fry presence but not abundance when present (because there
 182 were fewer observations of abundance when present), did not specify a phenology parameter
 183 (because we examined only the peak of presence from February to March), and modeled

184 presence as varying in relation to distance away from the Sacramento River mouth \mathbf{X}_1 so that
185 annual indexes in the Bay corresponded to catches in typical locations within the Bay's
186 landscape:

$$187 \quad \text{logit}[\pi_{i,t,s,d}] = \gamma_w X_w + \gamma_1 X_1 + m_a$$

$$188 \quad m_a \sim N(0, \sigma_a^2).$$

189 Using these annual density indexes, we quantified the influence of spawner abundances
190 and flow on fry densities (i.e., a stock-recruitment relationship) and examined patterns in fry
191 densities among regions within years. Detailed below, we considered a range of potential models
192 that did or did not include effects of spawners and flow and did or did not allow for diminishing
193 returns in fry per spawner. To define the stock-recruitment relationship, we used data collected in
194 the Sacramento River because this region was closest to spawning grounds and presumably
195 offered the strongest signal relating juveniles to adults. We examined many candidate models
196 that compared densities of fry to spawner abundances and flow, with flow quantified as the
197 annual median flow values of the Sacramento River between December and May. Median values
198 of flow were log-transformed to reflect diminishing returns of additional flow on increasing fry
199 presence (which we found in the primary analyses described in the Results). Flow values were
200 then standardized as follows. For models with only an effect of flow, we standardized flow to
201 have minimum values of 0.01 by subtracting from each flow value the minimum flow value and
202 adding 0.01. This allowed minimum flow values to correspond to an effect of zero added fish
203 presence, and models using these values therefore did not require an intercept. For models
204 adding a flow parameter to the stock recruitment relationship, we standardized flow by
205 converting it to a z-score. This meant that an average value of flow would correspond to zero
206 effect of flow, allowing model parameters to describe productivity and density-dependent effects

207 under average conditions. Standardizing flow differently did not affect models' AIC values,
 208 which we used to evaluate support among models. The models and associated hypotheses
 209 relating spawners **S** to fry density **R** are as follows, with the parameter **v** describing effects of
 210 flow **F**, with intrinsic population growth rate (i.e., the density independent component of
 211 recruitment) described by parameter **a**, and limitations to population growth rates as spawner
 212 abundances increase described by density-dependent parameter **b**. We followed protocol by
 213 Quinn II and Deriso (1999) and log-transformed both sides of equations to impose a
 214 multiplicative error structure to the model. This structure was likely to fit the data better because,
 215 in stock-recruit models, variance in errors tends to increase with prediction estimates.

216 The hypotheses relating fry to flow and spawners (and normally distributed error ε) and
 217 their associated equations are as follows:

218

219 Fry density is a linear function of spawner abundance:

$$220 \quad \log(R) = \log(a) + \log(S) + \varepsilon$$

221 Fry density is a linear function of spawner abundance and flow:

$$222 \quad \log(R) = \log(a) + \log(S) + vF + \varepsilon$$

223 Fry abundance is a linear function of flow:

$$224 \quad \log(R) = vF + \varepsilon$$

225 Fry density is a function of adult abundances, with diminishing returns of juveniles per spawner
 226 as spawner abundances increase (i.e., a Beverton-Holt relationship):

$$227 \quad \log(R) = \log(a) + \log(S) - \log(1 + bS) + \varepsilon$$

228 Fry densities follow the same Beverton-Holt relationship with spawners, but are also influenced
 229 by flow:

230
$$\log(R) = \log(a) + \log(S) - \log(1 + bS) + vF + \varepsilon$$

231

232 Fry densities are a function of adult abundances, with diminishing returns and overcompensation
 233 of juveniles per spawner as spawner abundances increase (i.e., a Ricker relationship):

234

235
$$\log(R) = \log(a) + \log(S) - bS + \varepsilon$$

236

237 Fry densities follow the same Ricker relationship with spawners, but are also influenced by flow:

238
$$\log(R) = \log(a) + \log(S) - bS + vF + \varepsilon$$

239

240

241 We implemented analyses in R (R Core Team, 2019) using the packages INLA (Rue et
 242 al., 2009) and FSA (Ogle et al., 2018). We used the Bayesian R package INLA for the within-
 243 year analyses because it analyzed large datasets efficiently and provided requisite parameter
 244 options. We used vague priors so that posteriors were informed predominantly by the data. The
 245 exception to this was on random walk parameters defined as a value μ along a function at step t
 246 equaling the value in a previous step $t-1$ plus noise v that is normally distributed with a standard
 247 deviation σ_v , which we constrained by penalized complexity priors stating that the probability α
 248 of this standard deviation exceeding a value U of 1 (for nonlinear effects of day of year and
 249 spawners) and 0.1 (for nonlinear effects of flow) was 0.1:

250
$$\mu_t = \mu_{t-1} + v_t$$

251 where

252
$$v_t \sim N(0, \sigma_v^2)$$

253 and

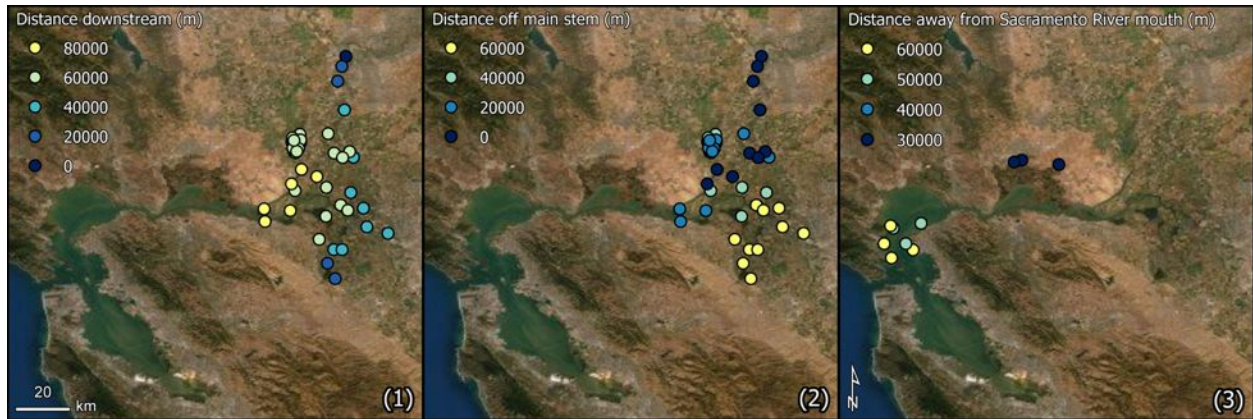
254
$$Prob(\sigma_v > U) = \alpha.$$

255 This constrained the random walks to smoother relationships that were less likely to overfit
256 nonlinear trends to the data (Zuur et al., 2018), a step analogous to limiting the number of knots
257 in generalized additive models. Interannual analyses examining stock-recruit models used the
258 conventional frequentist approach (Ogle et al., 2018) because these datasets were much smaller
259 and their model parameterizations simpler.

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260 **Appendix Figures**

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261

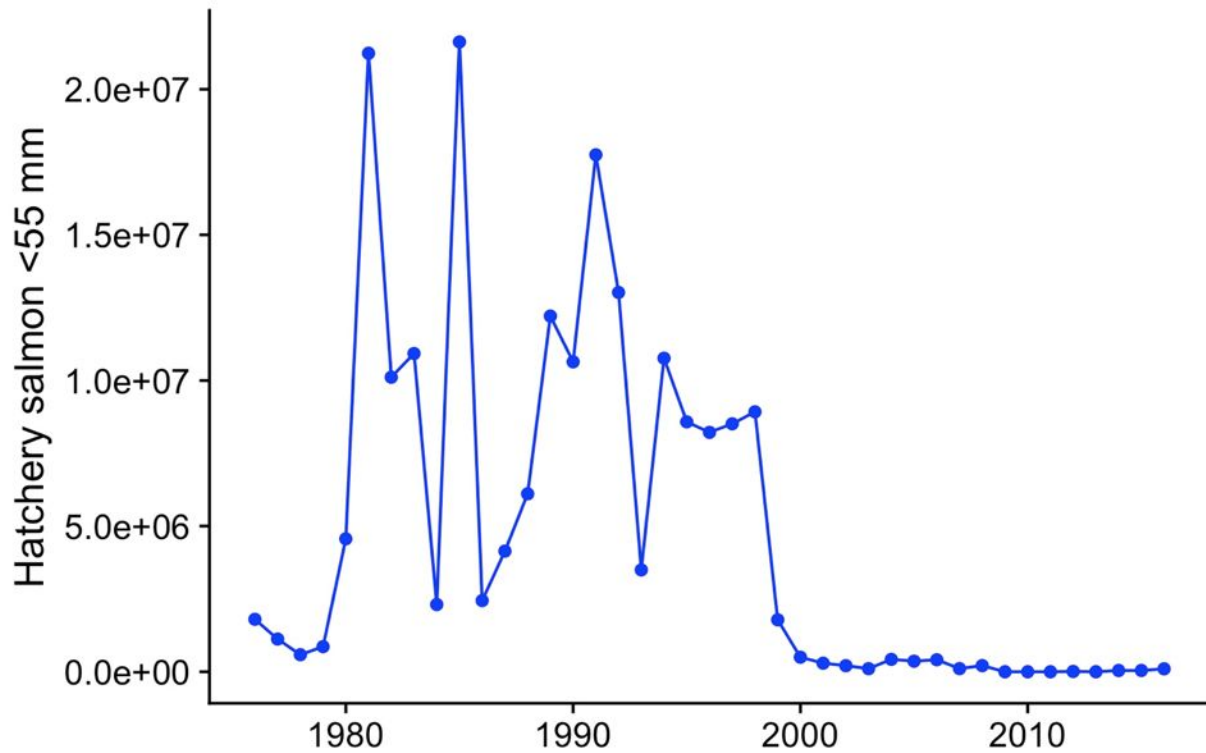
262 **Figure A1.** Maps of the Delta and Bay showing realizations of landscape metrics. Map was

263 created using ArcGIS® software by Esri. ArcGIS® and ArcMap™ are the intellectual property

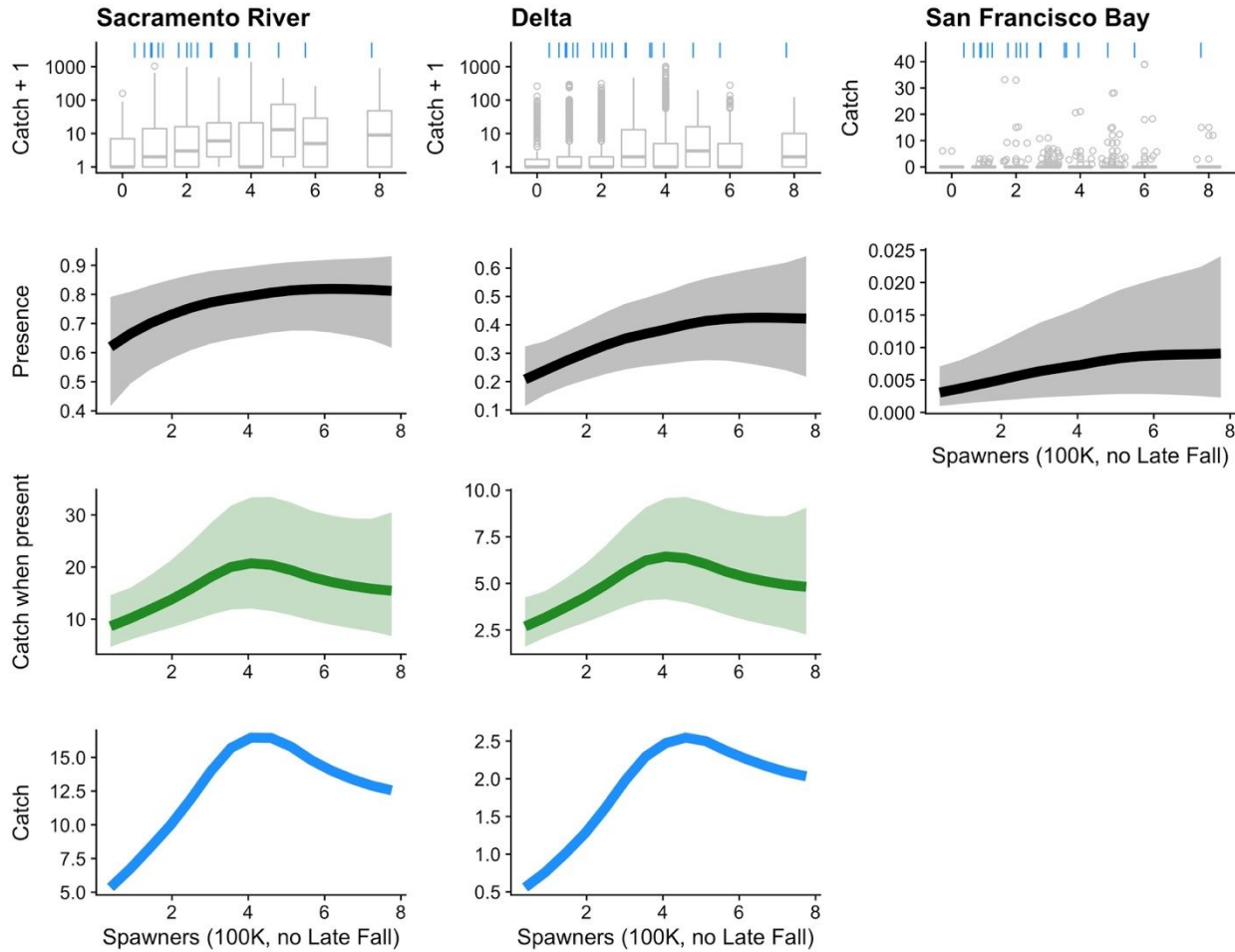
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265 Sources: Esri, DigitalGlobe, GeoEye, i-cubed, USDA FSA, USGS, AEX, Getmapping, Aerogrid,

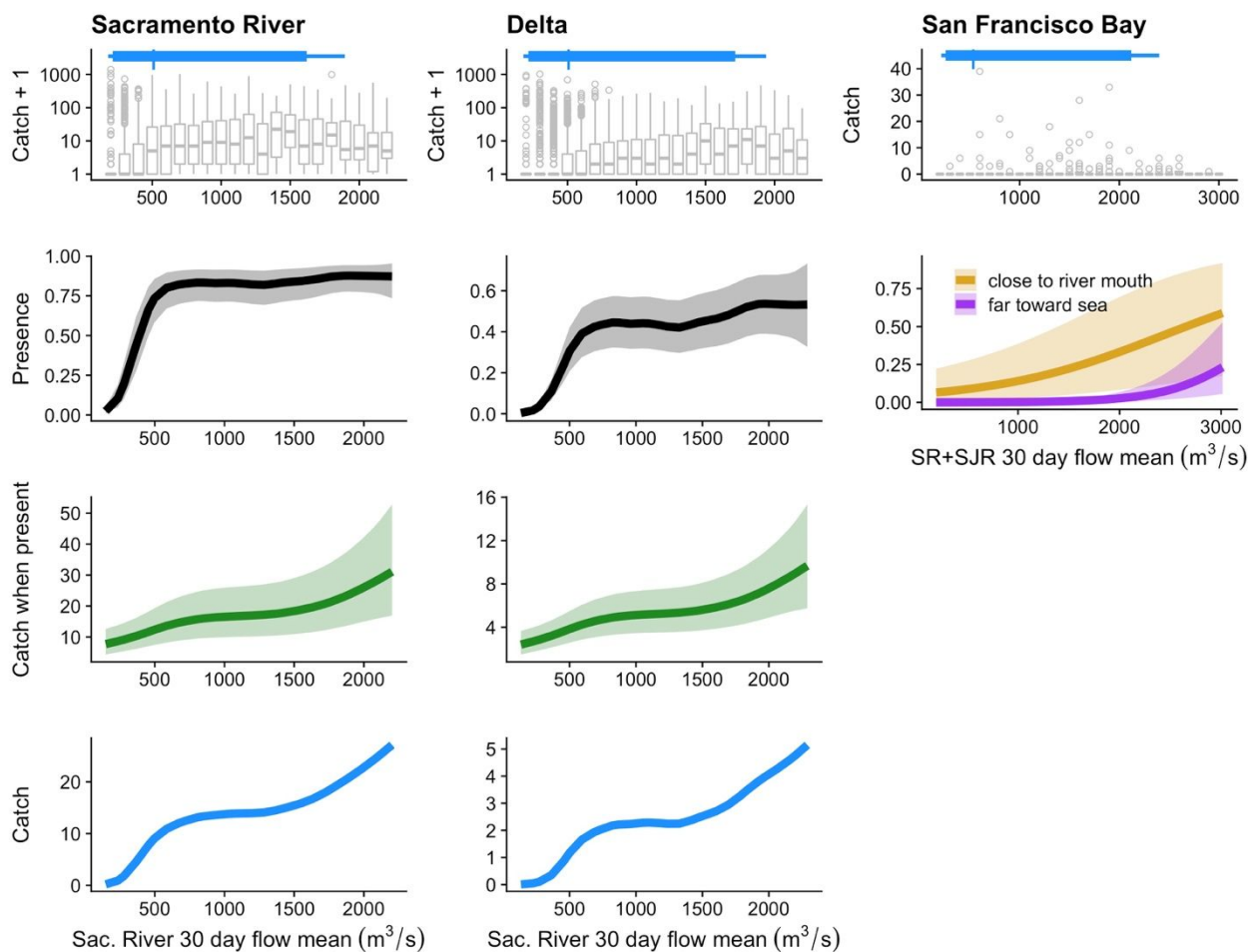
266 IGN, IGP, swisstopo, and the GIS User Community. Hydrography Sources: USGS.



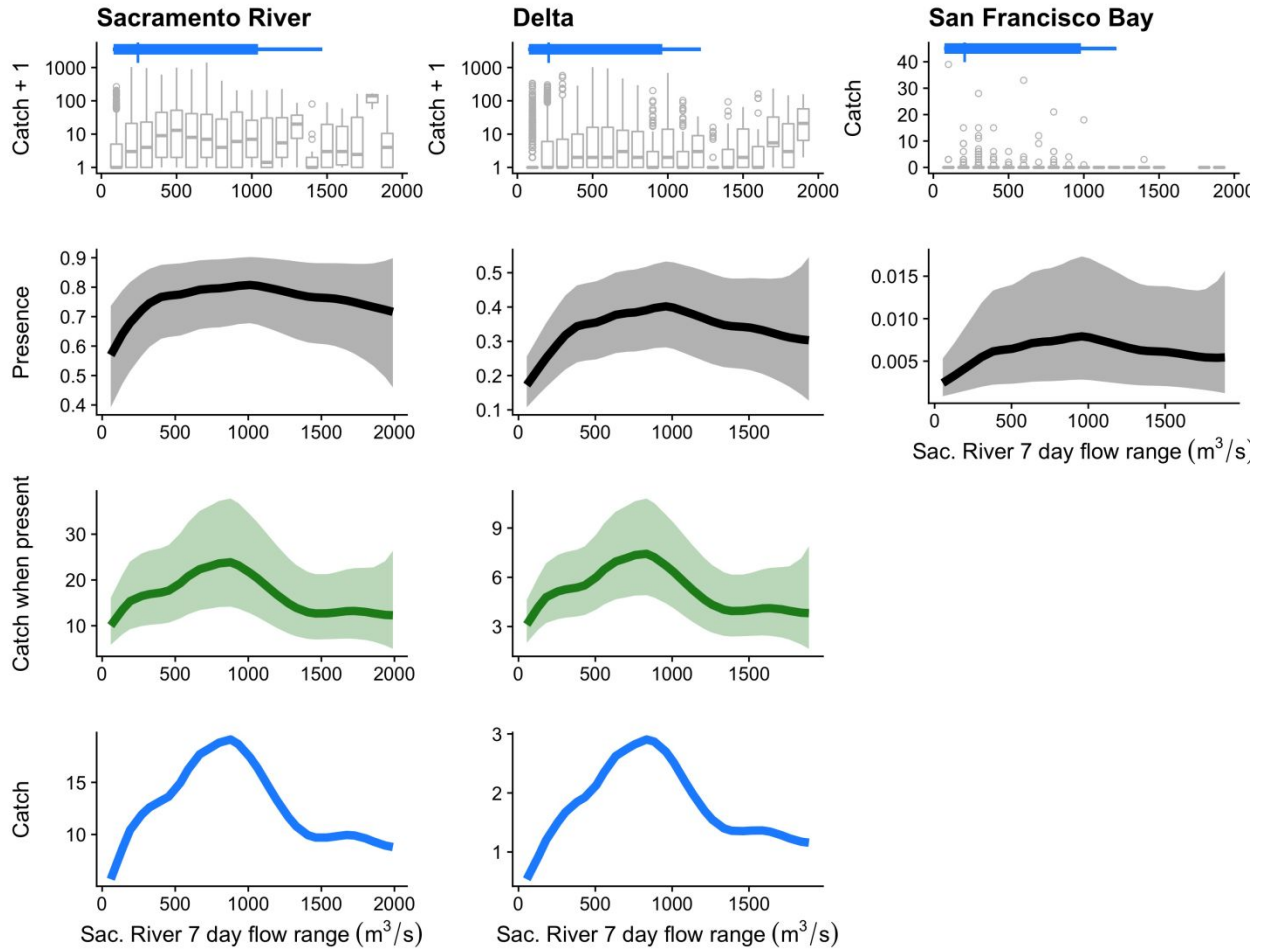
267
268 **Figure A2.** Abundances of hatchery fry released into the Sacramento River. Our stock-recruit
269 analyses examined fry <55 mm in the Sacramento River beginning in 1999 to examine a time
270 period with minimal hatchery influence on the abundance of these smaller fish.



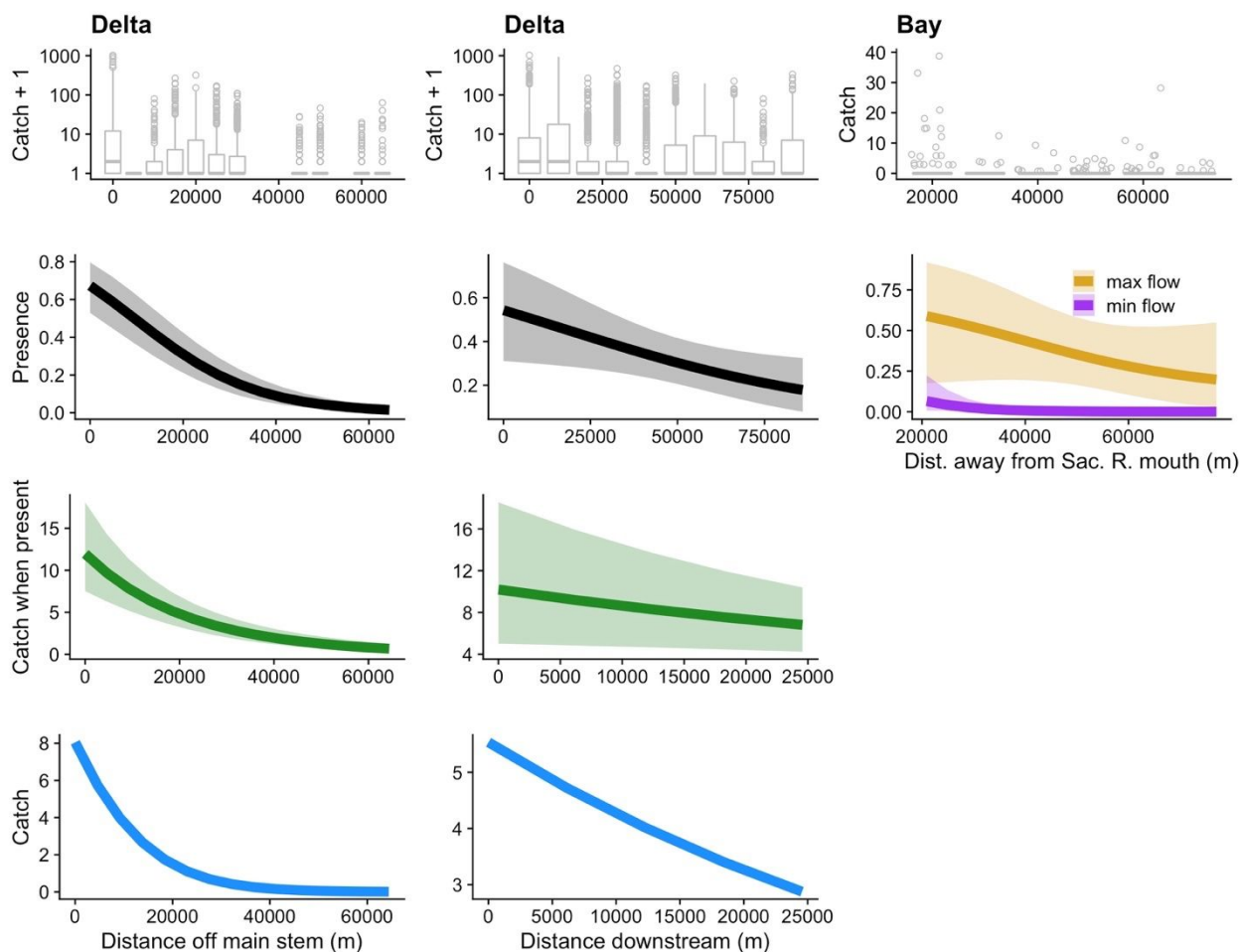
272 **Figure A3.** Influence of spawners on fry catches. **Top:** Raw data of fry catches compared to
 273 counts of spawners contributing to annual cohorts. For this and subsequent figures, the
 274 independent variable (spawners here) is binned to allow for boxplots that show distribution of fry
 275 catches. Blue vertical lines indicate individual annual spawner counts. **Middle:** Model
 276 predictions and 95% credible intervals of the probability of presence and catch when present of
 277 fry as a function of spawner counts. **Bottom:** Expected catch, estimated by the product of the
 278 probability of presence and catch when present (i.e., the two middle rows). For this and
 279 subsequent figures, models predicted fish responses according to one variable (i.e., spawners, 30
 280 day flow mean, 7 day flow range, or landscape) while holding the other variables at their means,
 281 and for January 10, a day of year that corresponded to typical seasonal fish presence (Fig. 3).



283
 284 **Figure A4.** Influence of 30 day flow means on fry catches. **Top:** Raw data of fry catches
 285 compared to 30 day flow means. Blue horizontal lines indicate the middle 90 (thick) and 95
 286 (thin) percent of flow observations. Blue vertical line indicates median flow. **Middle:** Model
 287 predictions and 95% credible intervals of the probability of presence and catch when present of
 288 fry as a function of flow. In the Bay, predictions are shown for the sites least and most away
 289 from the Sacramento River mouth to illustrate the interactive effect of the landscape with flow.
 290 SR: Sacramento River. SJR: San Joaquin River. **Bottom:** Expected catch, estimated by the
 291 product of the probability of presence and catch when present (i.e., the two middle rows).

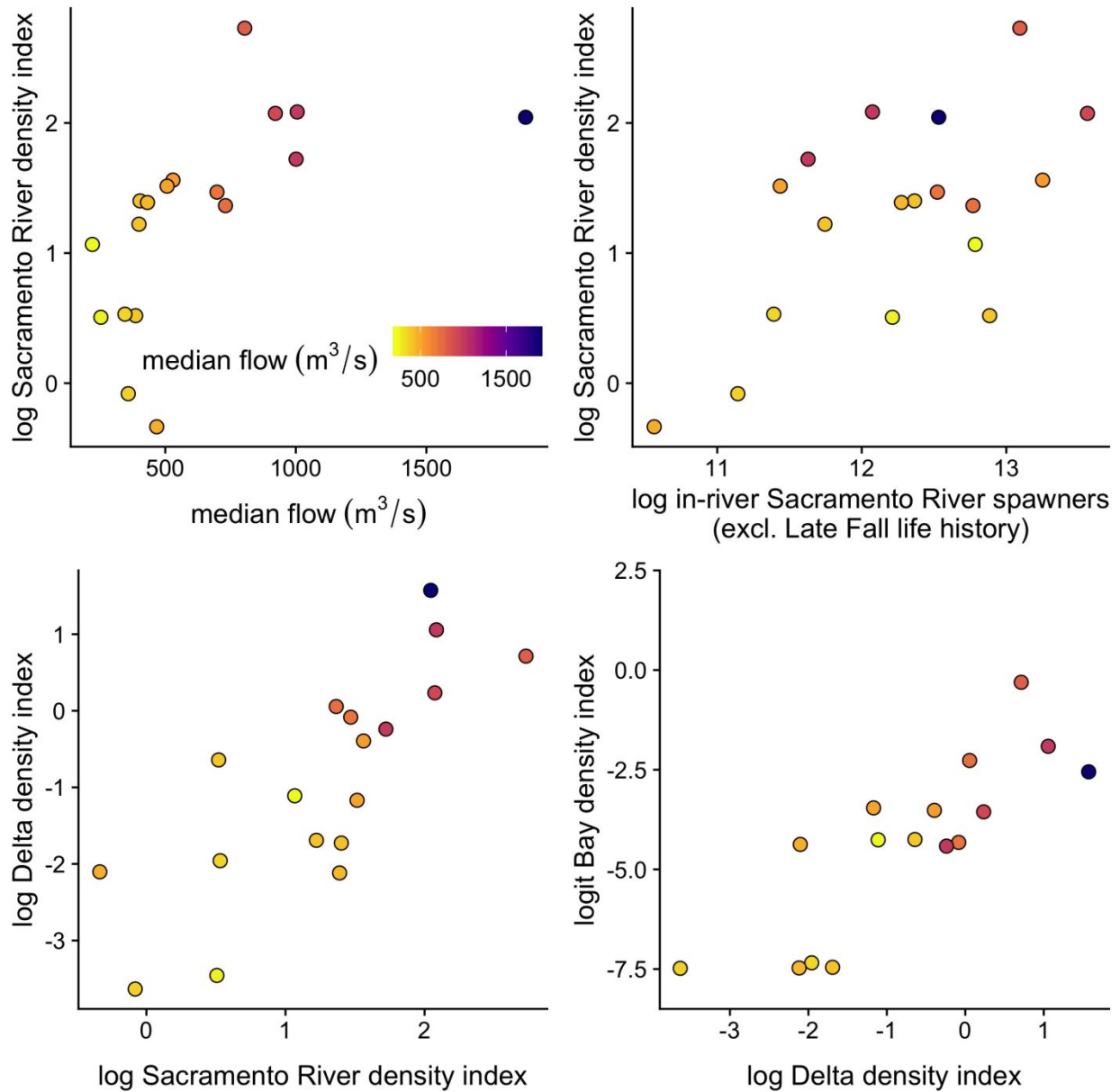


292
 293 **Figure A5.** Influence of 7 day flow range on fry catches. **Top:** Raw data of fry catches compared
 294 to 7-day flow ranges. Blue horizontal lines indicate the middle 90 (thick) and 95 (thin) percent of
 295 flow observations. Blue vertical line indicates median flow. **Middle:** Model predictions and 95%
 296 credible intervals of the probability of presence and catch when present of fry as a function of
 297 flow. **Bottom:** Expected catch, estimated by the product of the probability of presence and catch
 298 when present (i.e., the two middle rows).



299
 300 **Figure A6.** Influence of the landscape on fry catches. **Top:** Raw data of fry catches compared to
 301 locations with the landscape. Locations within the landscape are binned to allow for boxplots to
 302 show the distribution of fry catches. **Middle:** Model predictions and 95% credible intervals of
 303 the probability of presence and catch when present of fry as a function of landscape metrics. In
 304 the Bay, predictions are shown for the highest and lowest flows observed to illustrate the
 305 interactive effect of flow with the landscape. **Bottom row:** expected catch, estimated by the
 306 product of the probability of presence and catch when present (i.e., the two middle rows).

307

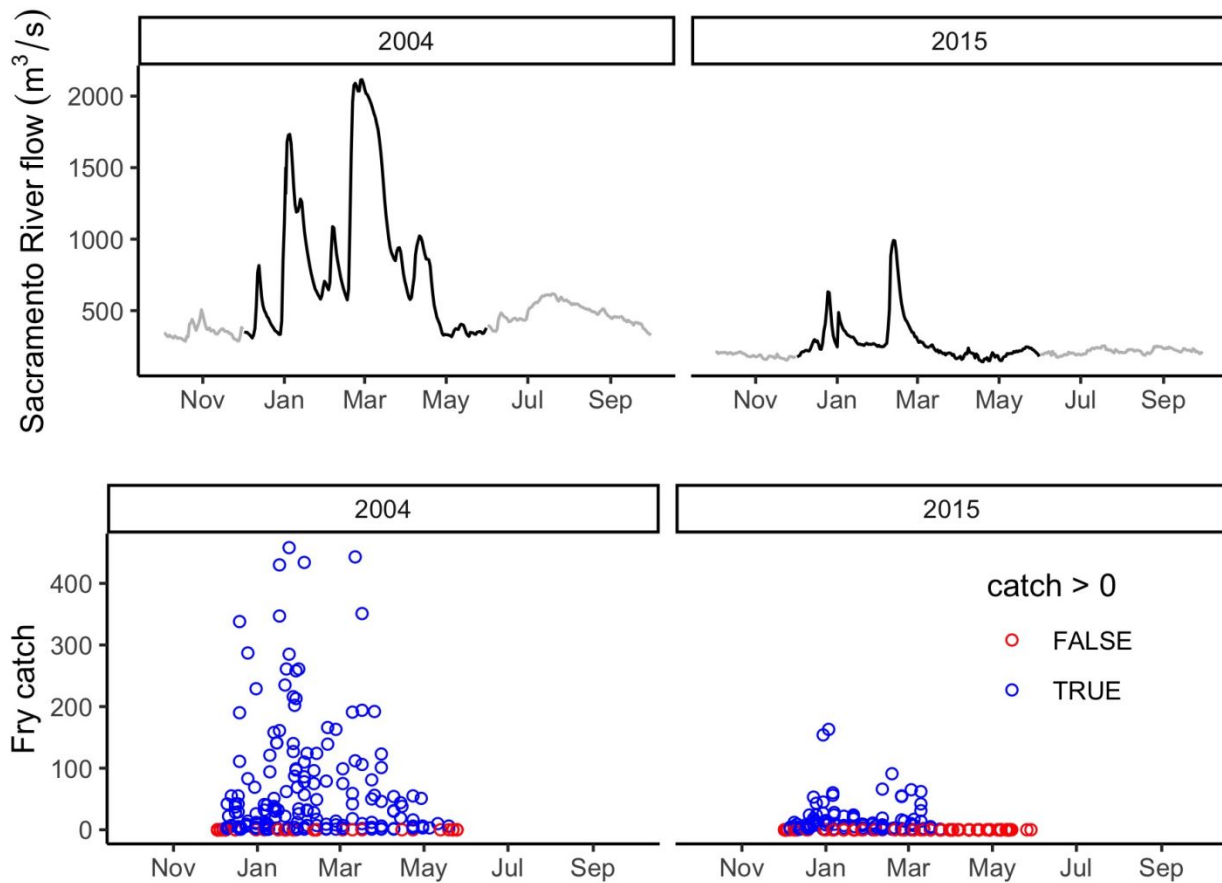


308

309 **Figure A7.** Annual indexes of fry density compared to flow, spawner abundances, and across

310 regions. Points are colored in all panels by flow.

311



312

313 **Figure A8.** Flow and fry catches compared between two select years of “good” (2004) and “bad”314 (2015) flow conditions. A “good” flow regime maintains flow above ≈ 500 m³/s and is

315 punctuated by pulses. Fry catches are shown for December – May (i.e., the time window

316 examined in this study) and flows during the same time period are shown in black rather than

317 grey.

318

319 **Appendix Tables**

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320 Table A1. Definitions of metrics used in models describing the distribution and density of
 321 salmon fry.

Region	Metric	Definition
All	Spawners	Abundance of spawning adults in the Sacramento River during the most recent reproductive season, excluding the Late Fall life history type (source: GrandTab)
Sacramento River	Annual median flow	Median flow on Sacramento River main stem between December and May (source: USGS gage 11447650)
Sacramento River and Delta	30 day flow mean	30 day moving average (i.e., previous 30 days) of flow on Sacramento River main stem (source: USGS gage 11447650)
Bay	30 day flow mean	30 day moving average (i.e., previous 30 days) of the sum of flow on Sacramento and San Joaquin River main stems (sources: USGS gages 11447650 and 11303500)
All	7 day flow range	7 day moving range (i.e., previous 7 days) of the difference between the highest and lowest flow values
Delta	Dist down	Distance downstream, georeferenced by the most seaward Delta site
Delta	Dist off	Distance off of the Sacramento River main stem
Bay	Dist away	Distance away from the Sacramento River mouth, as moving toward the Pacific Ocean, georeferenced by Middle Ground Island
All	Density index	Annual index of fry density. This is the expected number (Sacramento River and Delta) or presence (Bay) of fry per net after controlling for seasonality and landscape (Delta and Bay) effects.

322

323

324 Table A2. Definitions of variables used in analyses.

Variable	Definition
Y	Fry count
π	Fry presence
μ	Fry counts conditional on presence
i	Observation
t	Date (Beginning Dec. 1 and running continuously to May 31)
s	Spawner count
v	30 day flow mean
z	7 day flow range
m	Site
w	Water year (Period of Oct. 1 - Sep. 31 indexed by calendar year on which the period ends)
R	Annual fry density index (Expected counts of fry after removing effects of phenology, repeated measures at sites, and the landscape)
S	Spawner count
F	Flow (median from Dec – May for each water year)
a	Density independent population growth parameter
b	Density dependent population growth parameter

325

326 Table A3. Candidate models examining effects of spawners and flow on fry densities in the
327 Sacramento River. Models are ranked by Δ AIC.

Model	AIC	Δ AIC
Beverton-Holt and flow	26.83	0
Ricker and flow	27.25	0.42
Flow	36.71	9.88
Linear spawners and flow	37.5	10.67
Beverton-Holt	37.96	11.13
Ricker	38.29	11.46
Linear spawners	40.74	13.91

328

329 Table A4. Summary statistics of the top ranked models predicting fry density as a product of
 330 spawner abundances and flow. The a parameter describes productivity, the b parameter describes
 331 density dependence, and the F parameter describes flow.

model	parameter	estimate	se	p
Beverton Holt and flow	a	4.16	1.49	0.01389
	b	0.608	0.379	0.129
	F	0.446	0.111	0.00114
Ricker and flow	a	3.13	0.592	7
	b	0.222	0.0581	0.00009
	F	0.451	0.113	16

332

333

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