# Screening for Prostate Cancer: A Review of the Evidence for the U.S. Preventive Services Task Force 

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Background: Screening can detect prostate cancer at earlier, asymptomatic stages, when treatments might be more effective.

Purpose: To update the 2002 and 2008 U.S. Preventive Services Task Force evidence reviews on screening and treatments for prostate cancer.

Data Sources: MEDLINE (2002 to July 2011) and the Cochrane Library Database (through second quarter of 2011).

Study Selection: Randomized trials of prostate-specific antigenbased screening, randomized trials and cohort studies of prostatectomy or radiation therapy versus watchful waiting, and large observational studies of perioperative harms.

Data Extraction: Investigators abstracted and checked study details and quality using predefined criteria.

Data Synthesis: Of 5 screening trials, the 2 largest and highest-quality studies reported conflicting results. One found that screening was associated with reduced prostate cancerspecific mortality compared with no screening in a subgroup of men aged 55 to 69 years after 9 years (relative risk, 0.80 [ $95 \% \mathrm{Cl}, 0.65$ to 0.98]; absolute risk reduction, 0.07 percentage point). The other found no statistically significant effect after 10 years (relative risk, 1.1 [CI, 0.80 to 1.5]). After 3 or 4 screening rounds, $12 \%$ to $13 \%$ of screened men had falsepositive results. Serious infections or urine retention occurred after $0.5 \%$ to $1.0 \%$ of prostate biopsies. There were 3 random-


#### Abstract

ized trials and 23 cohort studies of treatments. One good-quality trial found that prostatectomy for localized prostate cancer decreased risk for prostate cancer-specific mortality compared with watchful waiting through 13 years of follow-up (relative risk, 0.62 [ $\mathrm{Cl}, 0.44$ to 0.87 ]; absolute risk reduction, $6.1 \%$ ). Benefits seemed to be limited to men younger than 65 years. Treating approximately 3 men with prostatectomy or 7 men with radiation therapy instead of watchful waiting would each result in 1 additional case of erectile dysfunction. Treating approximately 5 men with prostatectomy would result in 1 additional case of urinary incontinence. Prostatectomy was associated with perioperative death (about $0.5 \%$ ) and cardiovascular events ( $0.6 \%$ to $3 \%$ ), and radiation therapy was associated with bowel dysfunction. Limitations: Only English-language articles were included. Few studies evaluated newer therapies.

Conclusion: Prostate-specific antigen-based screening results in small or no reduction in prostate cancer-specific mortality and is associated with harms related to subsequent evaluation and treatments, some of which may be unnecessary.

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Prostate cancer is the most commonly diagnosed cancer in U.S. men (1-3). Prostate-specific antigen (PSA)-based screening can detect prostate cancer at earlier, asymptomatic stages, when treatments might be more effective.

## See also:

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The U.S. Preventive Services Task Force (USPSTF) last reviewed the evidence on prostate cancer screening (4) and issued recommendations in 2008 (5). Since then, large trials of prostate cancer screening have been published ( 6,7 ). Benefits and harms of treatments for prostate cancer were last reviewed by the USPSTF in 2002 (8). This article summarizes 2 recent reviews commissioned by the USPSTF to synthesize the current evidence on screening (9) and treatments (10) for localized prostate cancer.

## Methods

## Scope of the Review

We followed a standardized protocol and developed an analytic framework that focused on the following key questions:

1. Does PSA-based screening decrease prostate cancerspecific or all-cause mortality?
2. What are the harms of PSA-based screening for prostate cancer?
3. What are the benefits of treatment of early-stage or screening-detected prostate cancer?
4. What are the harms of treatment of early-stage or screening-detected prostate cancer?

Detailed methods and data for the review, including search strategies, multiple evidence tables with quality ratings of individual studies, and pooled analyses of some harms data, are available in the full report (10). Also of note, androgen deprivation therapy, cryotherapy, and high-intensity focused ultrasonography are reviewed in the full report (10) but are not presented in this article.

## Data Sources and Searches

We searched Ovid MEDLINE from 2002 to July 2011, PubMed from 2007 to July 2011, and the Cochrane Library Database through the second quarter of 2011 and reviewed reference lists to identify relevant articles published in English.

## Study Selection

At least 2 reviewers independently evaluated each study to determine inclusion eligibility. We restricted inclusion to published studies. We included randomized trials of screening for prostate cancer in asymptomatic men (including those with chronic, mild lower urinary tract symptoms) that incorporated 1 or more PSA measurements, with or without additional methods, such as digital rectal examination, and reported all-cause or prostate cancer-specific mortality or harms associated with screening. We also included randomized trials and cohort studies of men with screening-detected prostate cancer that compared radical prostatectomy or radiation therapy (the most common primary treatments for localized prostate cancer $[11,12]$ ) with watchful waiting and reported all-cause mortality, prostate cancerspecific mortality, or prespecified harms (quality of life or functional status, urinary incontinence, bowel dysfunction, erectile dysfunction, psychological effects, and surgical complications). We included studies of clinically localized (T1 or T2) prostate cancer because more than $90 \%$ of screening-detected prostate cancers are localized ( $6,7,13$ ). We included only studies that reported risk estimates for mortality adjusted at a minimum for age at diagnosis and tumor grade (no study reported adjusted risk estimates for treatment harms). We also included large ( $>1000$ participants) uncontrolled observational studies of perioperative mortality and surgical complications.

We classified "no treatment," "observation," or "deferred treatment" as watchful waiting because patients probably received at least watchful waiting. We also grouped watchful waiting with active surveillance because studies of active surveillance provided insufficient information to determine whether more active follow-up actually occurred (14), and older studies used these terms interchangeably.

## Context

Examining the tradeoffs between potential benefits and harms of prostate cancer screening is a hot topic.

## Contribution

This updated systematic review for the U.S. Preventive Services Task Force found the following: screening based on prostate-specific antigen led to detection of more cases of prostate cancer, small to no reduction in prostate cancer-specific mortality after about 10 years, and several potential harms related to false-positive test results and subsequent evaluations and therapies.

## Caution

Evidence regarding the mortality-associated benefits of screening conflicted.

## Implication

The clinical benefits of screening for prostate cancer remain uncertain. Consequences include evaluations and treatments that have associated complications and that may be unnecessary.
-The Editors

## Data Extraction and Quality Assessment

One investigator abstracted details on the patient population, study design, analysis, duration of follow-up, and results. A second investigator reviewed data abstraction for accuracy. Two investigators independently applied criteria developed by the USPSTF (15) to rate the quality of each study as good, fair, or poor. Discrepancies were resolved through a consensus process.

## Data Synthesis and Analysis

We assessed the aggregate internal validity (quality) of the body of evidence for each key question (good, fair, and poor) by using methods developed by the USPSTF on the basis of the number, quality, and size of studies; consistency of results between studies; and directness of evidence (15). We synthesized results of treatment studies descriptively, using medians and ranges, because few randomized, controlled trials (RCTs) were available and studies varied in the populations and interventions evaluated, methodologic quality, duration of follow-up, and other factors. We stratified results according to study type and qualitatively assessed the effects of study quality, duration of follow-up, year of publication, and mean age on results.

## Role of the Funding Source

This study was funded by the Agency for Healthcare Research and Quality (AHRQ) under a contract to support the work of the USPSTF. Staff at AHRQ and USPSTF members helped develop the scope of this work and reviewed draft manuscripts. The draft systematic reviews were reviewed by external peer reviewers not affiliated with the USPSTF, then revised for the final version. Approval from AHRQ was required before this manuscript could be
submitted for publication, but the authors are solely responsible for the content and the decision to submit.

## Results

Appendix Figures 1 and 2 (available at www.annals .org) show the results of the search and study selection process.

We identified 2 fair-quality $(6,7)$ and 3 poor-quality (16-20) randomized trials of PSA-based screening (Appendix Table 1, available at www.annals.org). We also included a report describing results from a single center (21) participating in a fair-quality trial (7). Sample sizes ranged from 9026 to 182160 and maximum follow-up from 11 to 20 years (median, 6 to 14 years).

We identified 11 studies (2 RCTs [22-29] and 9 cohort studies [30-38]) on benefits of prostate cancer treatments and 16 studies ( 2 RCTs [39-42] and 14 cohort studies [43-58]) on harms (Appendix Table 2, available at www.annals.org). Sample sizes ranged from 72 to 44630 and duration of follow-up from 1 to 23 years. Four studies were rated good quality ( $23,42,52$, 56,58 ), 1 poor quality (29), and the remainder fair quality. Frequent methodologic shortcomings were failure to describe loss to follow-up ( 6 cohort studies and all 3 RCTs met this criterion) and inadequate blinding of outcome assessors (no cohort studies and 1 RCT met this criterion). Only 2 studies $(33,40)$ clearly described the control group intervention (Appendix Table 2). We also included 6 observational studies (59-64) of surgical complications after prostatectomy.

## Key Question 1: Does PSA-Based Screening Decrease Prostate Cancer-Specific or All-Cause Mortality?

The fair-quality U.S. Prostate, Lung, Colorectal, and Ovarian (PLCO) cancer screening trial randomly assigned 76693 men between 55 and 74 years of age to annual PSA screening in combination with digital rectal examination versus usual care (6). After 7 years' (complete) follow-up, screening was associated with increased prostate cancer incidence (relative risk [RR], 1.2 [ $95 \%$ CI, 1.2 to 1.3]) but no effect on prostate cancer-specific (RR 1.1 [CI, 0.75 to 1.7]) or all-cause (RR, 0.98 [CI, 0.92 to 1.0$]$ ) mortality. Similar results were observed after 10 years ( $67 \%$ of sample; RR, 1.1 [CI, 0.80 to 1.5$]$ ). Up to $52 \%$ of men assigned to usual care underwent a PSA test at some point during the trial, and $44 \%$ of trial participants had undergone PSA screening before entry.

The fair-quality European Randomized Study of Screening for Prostate Cancer (ERSPC) randomly assigned 182000 men aged 50 to 74 years from 7 countries to PSA testing every 2 to 7 years (depending on center and year) or to usual care (7). Data from 2 other study centers were excluded for reasons not specified in the study protocol. Levels of PSA for diagnostic evaluation ranged from 2.5 to $4.0 \mu \mathrm{~g} / \mathrm{L}$ ( 1 center used $10 \mu \mathrm{~g} / \mathrm{L}$ for several years). Recruitment and randomization procedures and age eligibility also
varied. After a median of 9 years, prostate cancer incidence was higher in the screened group (net increase, 34 per 1000 men ), but there was no statistically significant difference in prostate cancer-specific mortality (RR, 0.85 [CI, 0.73 to 1.0$]$ ). A prespecified subgroup analysis of 162243 men aged 55 to 69 years found that screening was associated with reduced prostate cancer-specific mortality ( RR , 0.80 [CI, 0.65 to 0.98 ]; absolute risk reduction, 0.07 percentage point), for an estimated 1410 men invited to screening and 48 treated to prevent 1 prostate cancerspecific death.

After publication of the main ERSPC results, 1 participating center (Göteborg, Sweden) reported results separately (21). It found PSA screening (threshold, 2.5 to 3.0 $\mu \mathrm{g} / \mathrm{L}$ ) every 2 years in 20000 men age 50 to 64 years to be associated with increased prostate cancer incidence (hazard ratio [HR], 1.6 [CI, 1.5 to 1.8]) and decreased risk for prostate cancer-specific mortality (RR, 0.56 [CI, 0.39 to 0.82 ]; absolute risk reduction, 0.34 percentage point) after a median of 14 years. Outcomes for $60 \%$ of participants were included in the main ERSPC report (7). Although no other center separately reported results, only exclusion of the Swedish center data from the overall ERSPC analysis resulted in loss of the statistically significant effect of screening on prostate cancer-specific mortality (RR, 0.84 [CI, 0.70 to 1.01$]$ ), suggesting better results than the other centers (7).

Three poor-quality trials (number of men invited to screening ranged from 1494 to 31333 ) found no difference between screening-invited and control groups in prostate cancer-specific mortality risk $(16,17,20)$. Two of the trials $(17,19)$ were included in the 2008 USPSTF review (4); results after 5 years' additional follow-up are now available from 1 of the trials (20). Methodological shortcomings in these trials included failure to describe adequate randomization or allocation concealment methods, poorly described loss to follow-up, and unclear masking of outcomes assessors. One trial used a high PSA cut point (10 $\mu \mathrm{g} / \mathrm{L})(16)$.

## Key Question 2: What Are the Harms of PSA-Based Screening for Prostate Cancer?

Direct harms of PSA-based screening were reported in the ERSPC and PLCO trials $(6,7)$. The Finnish center of the ERSPC trial found that $12 \%$ of men received at least 1 false-positive result after 3 rounds of PSA testing (cutoff, $4.0 \mu \mathrm{~g} / \mathrm{L})(65)$. For the entire ERPSC trial, $76 \%$ of prostate biopsies for an elevated PSA level identified no cancer (7). In the PLCO trial, the cumulative risk for at least 1 false-positive result was $13 \%$ after 4 PSA tests (cutoff, 4.0 $\mu \mathrm{g} / \mathrm{L}$ ), with a $5.5 \%$ risk for undergoing at least 1 biopsy due to a false-positive test result (66).

Physical harms of screening in the PLCO trial included bleeding or pain from digital rectal examination ( 0.3 event per 10000 men screened); bruising or fainting due to venipuncture ( 26 events per 10000 men screened);
and biopsy complications, such as infection, bleeding, and urinary difficulties ( 68 events per 10000 evaluations) (6). The Rotterdam, Netherlands, center of the ERSPC trial reported that among 5802 biopsies performed, 200 men ( $3.5 \%$ ) developed fever, 20 ( $0.4 \%$ ) experienced urine retention, and 27 ( $0.5 \%$ ) required hospitalization for signs of prostatitis or urosepsis (67).

None of the RCTs of PSA-based screening provided information on potential psychological harms, such as anxiety, or adverse effects on health-related quality of life. The 2008 USPSTF review found evidence that false-positive PSA test results are associated with adverse psychological effects but could not estimate their magnitude (4).

## Key Question 3: What Are the Benefits of Treatment of Early-Stage or Screening-Detected Prostate Cancer? Prostatectomy

Prostatectomy was compared with watchful waiting in 1 good-quality RCT ( $n=695$ ) of men with localized (stage T1b, T1c, or T2) prostate cancer (Appendix Table 3, available at www.annals.org) (22-24, 28). It did not specifically enroll men with screening-detected prostate cancer, and about $75 \%$ of cancers were palpable (stage T2). By comparison, $36 \%$ of localized cancers in the ERSPC screening trial were stage T2 (7). The 2002 USPSTF review included results through 6 years of follow-up (28). Data now available through 15 years showed a sustained decrease in risk for prostate cancer-specific mortality ( $15 \%$ vs. $21 \%$; RR, 0.62 [CI, 0.44 to 0.87 ]; absolute difference, 6.1 percentage points [CI, 0.2 to 12 percentage points]) and all-cause mortality (RR, 0.75 [CI, 0.61 to 0.92 ]; absolute difference, 6.6 percentage points [CI, -1.3 to 14 percentage points]) (23). In subgroup analyses, benefits were restricted to men younger than 65 years of age (RR, 0.49 [CI, 0.31 to 0.79$]$ for prostate cancer-specific mortality; RR, 0.52 [CI, 0.37 to 0.73 ] for all-cause mortality). A small ( $n=142$ ), poor-quality RCT found no difference between prostatectomy and no prostatectomy for localized prostate cancer on overall survival through 23 years (29). It did not report prostate cancerspecific mortality.

Eight cohort studies (median $n=2264$ [range, 316 to $25900]$ ) with a duration of follow-up ranging from 4 to 13 years consistently found prostatectomy for localized prostate cancer to be associated with decreased risk for all-cause mortality ( 6 studies; median adjusted HR, 0.46 [range, 0.32 to 0.67 ] [31, 33-37]) and prostate cancerspecific mortality ( 5 studies; median adjusted HR, 0.32 [range, 0.25 to 0.50 ] [30, 33, 35, 36, 38]) compared with watchful waiting (Appendix Table 3). The largest was a fair-quality, propensity-adjusted analysis of data from the U.S. Surveillance, Epidemiology and End Results (SEER) program ( $n=25900$ ) of men 65 to 80 years of age that found decreased risk for all-cause mortality after 12 years (adjusted HR, 0.50 [CI, 0.66 to 0.72 ]) (37). A large ( $n=$ 22 385), fair-quality Swedish cohort study also found pros-
tatectomy to be associated with decreased risk for all-cause mortality after 4 years of follow-up, after adjustment for age, Gleason score, and PSA level (adjusted HR, 0.41 [CI, 0.36 to 0.48$]$ ) (31).

## Radiation Therapy

No RCTs compared radiation therapy versus watchful waiting. Five cohort studies (median $n=3441$ [range, 334 to 30857 ]) with follow-up ranging from 4 to 13 years consistently found that radiation therapy (external-beam radiation therapy [EBRT] or unspecified modality) for localized prostate cancer was associated with decreased risk for all-cause mortality ( 5 studies; median adjusted HR, 0.68 [range, 0.62 to 0.81 ] [31, 35-38]) and prostate can-cer-specific mortality ( 5 studies; median adjusted HR, 0.66 [range, 0.63 to 0.70 ]) compared with watchful waiting (Appendix Table 3) (30, 35-38). The largest study, a previously described analysis of SEER data, found radiation therapy to be associated with decreased propensityadjusted risk for all-cause mortality (adjusted HR, 0.81 [CI, 0.78 to 0.85$]$ ) (37). A large Swedish cohort study (also described earlier) found radiation therapy to be associated with decreased risk for all-cause mortality (adjusted HR, 0.62 [CI, 0.54 to 0.71$]$ ) (31).

## Key Question 4: What Are the Harms of Treatment of Early-Stage or Screening-Detected Prostate Cancer? Prostatectomy

Urinary Incontinence and Erectile Dysfunction. Prostatectomy was associated with increased risk for urinary incontinence compared with watchful waiting in 1 RCT (RR, 2.3 [CI, 1.6 to 3.2 ) (41) and 4 cohort studies (median RR, 4.0 [range, 2.0 to 11]) (Appendix Table 4, available at www.annals.org) (47, 49, 53, 56). In the RCT, the absolute increase in risk for urinary incontinence with surgery was 28 percentage points ( $49 \%$ vs. $21 \%$ ) ( 41 ). In the cohort studies, the median rate of urinary incontinence with watchful waiting was $6 \%$ (range, $3 \%$ to $10 \%$ ), with prostatectomy associated with a median increase in absolute risk of 18 percentage points (range, 8 to 40 percentage points) (47, 49, 53, 56).

Prostatectomy was also associated with an increased risk for erectile dysfunction compared with watchful waiting in 1 RCT (RR, 1.8 [CI, 1.5 to 2.2]) (41) and 5 cohort studies (median RR, 1.5 [range, 1.3 to 2.1]) (Appendix Table 4) (47, 49, 53, 54, 56). In the RCT, the absolute increase in risk for erectile dysfunction with surgery was 36 percentage points ( $81 \%$ vs. $45 \%$ ) ( 41 ). In the cohort studies, the median rate of erectile dysfunction with watchful waiting was $52 \%$ (range, $26 \%$ to $68 \%$ ), with prostatectomy associated with a median increase in absolute risk of 26 percentage points (range, 21 to 29 percentage points) (47, 49, 53, 54, 56).

Differences in study quality, duration of follow-up, or year of publication did not seem to explain differences in estimates across studies. The studies provided few details
about the specific surgical procedures evaluated, although open retropubic radical prostatectomy was the dominant procedure when most of the studies were conducted (68). One observational study stratified estimates for erectile dysfunction and urinary incontinence by use of a nervesparing ( $n=494 ; 68 \%$ and $9.4 \%$, respectively) versus a non-nerve-sparing ( $n=476 ; 87 \%$ and $15 \%$, respectively) technique (56).

Consistent with the studies reporting dichotomous outcomes, 8 cohort studies that evaluated urinary and sexual function outcomes by using continuous scales found that prostatectomy was associated with worse outcomes compared with watchful waiting (Appendix Table 4 [43, 46, 48, 51, 53, 55-57]).

Quality of Life. Eight studies reported generic quality of life (43, 46, 48, 50, 51, 53, 55, 56). Two studies reported very similar Short-Form 36 (SF-36) physical and mental component summary scores after prostatectomy and watchful waiting (Appendix Table 5, available at www .annals.org) (43, 56). On specific SF-36 subscales, prostatectomy was associated with better physical function (6 studies; median difference, 8 points [range, 2 to 16 points]) $(43,46,48,51,53,55)$ and emotional role function subscale scores ( 7 studies; median difference, 8 points [range, -5 to 13 points]) $(43,46,48,50,51,53,55)$, with small or no clear differences on other SF-36 subscales.

Surgical Complications. The largest $(n=101604)$ study of short-term ( $\leq 30$-day) complications after prostatectomy reported a 30-day perioperative mortality rate of $0.5 \%$ in Medicare claimants (60); 3 other large observational studies reported similar findings (59, 61, 62). Advanced age and increased number of serious comorbid conditions were associated with higher perioperative mortality, although absolute rates were less than $1 \%$ even in men at higher risk. In the Medicare database study, perioperative rates of serious cardiovascular events were 3\% and rates of vascular events (including pulmonary embolism and deep venous thrombosis) were $2 \%$ (60). In 2 other studies ( $n=$ 1243 [63] and 11010 [59]), rates of cardiovascular events were $0.6 \%$ and $3 \%$ and rates of vascular events $1 \%$ and $2 \%$, respectively. Serious rectal or ureteral injury due to surgery ranged from $0.3 \%$ to $0.6 \%(60,63)$.

Other Harms. Five studies (reported in 6 publications) found no clear differences between prostatectomy and watchful waiting in risk for bowel dysfunction (41, 42, 46, $47,49,56)$. One RCT found no difference between prostatectomy and watchful waiting in risk for high levels of anxiety, depression, or worry after 4 years (42).

## Radiation Therapy

Urinary Incontinence and Erectile Dysfunction. Radiation therapy was associated with increased risk for urinary incontinence compared with watchful waiting in 1 small RCT, but the estimate was very imprecise ( $\mathrm{RR}, 8.3$ [CI, 1.1 to 63]) because of small numbers of events (1 in the
watchful waiting group) (Appendix Table 4) (39). There was no clear increase in risk in 4 (total $n=1910$ ) cohort studies (median RR, 1.1 [range, 0.71 to 2.0]) (47, 49, 53, 56).

Radiation therapy was associated with increased risk for erectile dysfunction compared with watchful waiting in 6 cohort studies, with similar estimates across studies (median RR, 1.3 [range, 1.1 to 1.5 ]) (Appendix Table 4) (47, 49, 53, 54, 56, 58). Rates of erectile dysfunction ranged from $26 \%$ to $68 \%$ (median, $50 \%$ ) with watchful waiting; radiation therapy was associated with a median increase in pooled absolute risk of 14 percentage points (range, 7 to 22 percentage points).

Five of the six studies did not provide details about the type of radiation therapy (for example, EBRT versus brachytherapy) or dosing regimen. One good-quality cohort study reported a $7.0 \%$ rate of urinary incontinence after high-dose brachytherapy $(n=47), 5.4 \%$ after lowdose brachytherapy $(n=58)$, and $2.7 \%$ after EBRT ( $n=$ 123) (56). Rates of erectile dysfunction were $72 \%, 36 \%$, and $68 \%$, respectively.

Consistent with the studies reporting dichotomous outcomes, 10 studies found radiation therapy to be associated with worse sexual function compared with watchful waiting on the basis of continuous scales, although no clear differences were seen in sexual bother scores and measures of urinary function (Appendix Table 4) (40, 43, 46, 48, 51, 53, 55-58).

Quality of Life. Ten studies reported generic quality of life ( $40,43,46,48,50,51,53,55,56,58$ ). Three studies found no differences between radiation therapy and watchful waiting in SF-36 physical (median difference, 0 points [range, -3 to 0 points]) or mental (median difference, 0 points [range, -2 to 1 point]) component summary scores (Appendix Table 4) (43, 56, 58). Results favored watchful waiting on the physical role function subscale (7 studies; median difference, -9 points [range, -22 to 1 point]) (43, 46, 48, 51, 53, 55, 58), with no clear differences on other SF-36 subscales.

Other Harms. Six cohort studies consistently found radiation therapy to be associated with worse Prostate Cancer Index bowel bother (median difference, -6 points [range, -10 to -2 points]) and function (median difference, -8 points [range, -15 to -3 points) than watchful waiting ( $43,48,51,53,56,58$ ). In studies that evaluated bowel function serially, effects seemed to be most pronounced in the first few months after radiation therapy and gradually improved (40, 46, 51, 57). This might help explain the inconsistent results among studies that reported dichotomous outcomes. Although 1 study found radiation therapy associated with substantially increased risk for bowel urgency after 2 years ( $3.2 \%$ vs. $0.4 \%$; RR, 7.5 [CI 1.0 to 56]) (47), 2 studies with longer duration of follow-up (5.6 [49] and 3 years [56]) found no increased risk.

One cohort study reported similar effects of EBRT and brachytherapy on Prostate Cancer Index bowel func-
tion and bother (43). Another study found low-dose brachytherapy to be associated with smaller effects on bowel bother (about 3-point change from baseline) compared with high-dose brachytherapy ( 9 -point change) or EBRT (8-point change) (56).

No study reported effects of radiation therapy versus watchful waiting on anxiety or depression.

## Discussion

The Table shows our summary of the evidence. Screening based on PSA identifies additional cases of prostate cancer, but most trials found no statistically significant effect on prostate cancer-specific mortality. Recent metaanalyses of randomized trials included in this review found no pooled effect of screening on prostate cancer-specific mortality ( 69,70 ). However, the 2 largest and highestquality trials reported conflicting results ( 6,7 ). The ERSPC trial found PSA screening every 2 to 7 years to be associated with a $20 \%$ relative reduction in risk for death from prostate cancer in a prespecified subgroup of men aged 55 to 69 years (7), whereas the PLCO trial found no effect (6). High rates of previous PSA screening and contamination in the control group of the PLCO trial may have reduced its ability to detect benefits, although these factors do not explain the trend toward increased risk for prostate cancer-specific mortality in the screened group. The proportion of men in the PLCO trial who initially chose active surveillance or expectant management instead of curative treatment was lower than in the ERSPC trial ( $10 \%$ vs. $19 \%$ ), and the PLCO trial evaluated a shorter screening interval (annual vs. every 2 to 7 years), suggesting that more conservative screening and treatment strategies might be more effective than more aggressive ones. Chance could also explain the apparent discrepancy between the 2 trials because the risk estimate CIs overlapped. Additional follow-up might help resolve the discrepancy, given the long lead time ( 10 to 15 years) that may be necessary to fully understand the effect of PSA-based screening.

Treatment studies can help inform screening decisions by providing information about potential benefits of interventions once prostate cancer is detected. However, only 1 good-quality randomized trial compared an active treatment for localized prostate cancer with watchful waiting (23). It found that prostatectomy was associated with decreased risk for all-cause and prostate cancer-specific mortality after 15 years of follow-up, although benefits seemed to be limited to younger men on the basis of subgroup analyses. Because the RCT did not enroll men specifically with screening-detected prostate cancer, its applicability to screening is uncertain. Although cohort studies consistently found prostatectomy and radiation therapy to be associated with decreased risk for all-cause and prostate cancer-specific mortality compared with watchful waiting, estimates are susceptible to residual confounding, even after statistical adjustment.

Screening is associated with potential harms, including serious infections or urine retention in about 1 of 200 men who undergo prostate biopsy as a result of an abnormal screening result. False-positive screening results occurred in $12 \%$ to $13 \%$ of men randomly assigned to PSA-based screening ( 65,66 ), with 1 trial reporting no prostate cancers in three quarters of screening-triggered biopsies (7). Screening also is likely to result in overdiagnosis because of the detection of low-risk cancers that would not have caused morbidity or death during a man's lifetime, and overtreatment of such cancers, which exposes men to unnecessary harms (71). Over three quarters of men with localized prostate cancer (about $90 \%$ of screening-detected cancers are localized) undergo prostatectomy or radiation therapy ( 11,12 ). On the basis of data from the ERSPC trial, the rate of overdiagnosis with screening was estimated to be as high as $50 \%$ (72), and 48 men received treatment for every prostate cancer-specific death prevented (7). Treating approximately 3 men with prostatectomy or 7 with radiation therapy instead of watchful waiting would each result in 1 additional case of erectile dysfunction, and treating approximately 5 men with prostatectomy instead of watchful waiting would result in 1 additional case of urinary incontinence. Prostatectomy and radiation therapy were not associated with worse outcomes on most measures related to general health-related quality of life compared with watchful waiting, suggesting that negative effects related to specific harms may be offset by positive effects (perhaps related to less worry about untreated prostate cancer). Prostatectomy was also associated with perioperative ( 30 -day) mortality (about $0.5 \%$ ) and cardiovascular events ( $0.6 \%$ to $3 \%$ ), and radiation therapy was associated with bowel dysfunction.

The evidence on treatment-related harms reviewed for this report seemed to be most applicable to open retropubic radical prostatectomy and EBRT, although details of specific surgical techniques or radiation therapy techniques and dosing regimens were frequently lacking. We found little evidence with which to evaluate newer techniques for prostatectomy (including nerve-sparing approaches that use laparoscopy, either robotic-assisted or freehand) compared with watchful waiting, but found no pattern suggesting that more recent studies reported different risk estimates than older studies. Limited data suggest that lowdose brachytherapy may be associated with fewer harms than high-dose brachytherapy or EBRT (56). A potential harm of radiation therapy not addressed in this review is secondary posttreatment carcinogenic effects (73, 74).

Other treatments used for localized prostate cancer are reviewed in the full report, available on the USPSTF Web site (10). Although androgen deprivation is the next most commonly used therapy for localized prostate cancer after prostatectomy and radiation therapy (11), it is comparatively uncommon and is not recommended as primary therapy $(75,76)$ because of evidence suggesting ineffectiveness (32), as well as an association with important adverse

REVIEW Screening for Prostate Cancer

Table. Summary of Evidence

| Studies ( $n$ ), and <br> Overall Quality | Limitations | Consistency | Applicability to Screening <br> Population | Summary of Findings |
| :--- | :---: | :---: | :---: | :---: |

KQ 2. What are the harms of PSA-based screening for prostate cancer?

## 2 RCTs

Overall quality: fair

Randomized evidence available only from 2 fair-quality trials

High

Some screening practices (interval and PSA thresholds) differed from typical U.S. practice

Reports from 2 fair-quality trials found false-positive rates of $12 \%-13 \%$ after 3-4 rounds of PSA-based screening, and 1 trial found that $76 \%$ of prostate biopsies identified no cancer. Serious infections or urine retention occurred after $0.5 \%-1.0 \%$ of prostate biopsies. Evidence was insufficient to estimate the magnitude of psychological harms associated with false-positive PSA test results.

KQ 3. What are the benefits of treatment of early-stage or screening-detected prostate cancer? Prostatectomy

|  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| 10 studies: 2 RCTs, 8 cohort studies Overall quality: fair | Only 1 RCT | High | Prostate cancers in the RCT were primarily clinically detected rather than screeningdetected, and there was a high proportion of stage T2 cancers; limited information was provided on specific surgical techniques evaluated | Prostatectomy was associated with decreased risk for prostate cancerspecific mortality (RR, 0.62 [CI, 0.44-0.87]; absolute difference, 6.1 percentage points [CI, 0.2-12 percentage points]) and all-cause mortality (RR, 0.75 [CI, 0.61-0.92]; absolute difference, 6.6 percentage points [CI, -1.3 to 14 percentage points]) compared with watchful waiting after 15 y of follow-up in 1 good-quality RCT. Subgroup analysis suggests benefits are limited to men aged $<65 \mathrm{y}$. Observational studies also found prostatectomy to be associated with decreased risk for death from prostate cancer ( 6 studies; median adjusted HR, 0.46 [range, 0.32-0.67]) and all-cause mortality ( 5 studies; median adjusted HR, 0.32 [range, $0.25-0.50]$ ) after 4-13 y of follow-up compared with watchful waiting. |
| Radiation therapy |  |  |  |  |
| 5 cohort studies Overall quality: fair | No RCTs | High | Limited information provided on specific radiation therapy techniques and regimens evaluated | Radiation therapy was associated with decreased risk for prostate cancerspecific mortality ( 5 studies; median adjusted HR, 0.66 [range, $0.63-0.70]$ ) and all-cause mortality ( 5 studies; median adjusted $\mathrm{HR}, 0.68$ [range, 0.62-0.81]) after 4-13 y of follow-up compared with watchful waiting. |

KQ 4. What are the harms of treatment of early-stage or screening-detected prostate cancer? Prostatectomy
studies: 1 RCT; Only 1 RCT of fair 11 cohort quality, unadjusted studies; 6 risk estimates for uncontrolled observational studies
Overall quality: fair
risk estimates for
presence of urinary incontinence or erectile dysfunction from cohort studies

Radiation therapy
14 studie
studies. 1 RC 13 cohort studies
Overall quality: fair
provided on specific surgical techniques evaluated

Prostatectomy was associated with increased risk for urinary incontinence compared with watchful waiting in 1 RCT (RR, 2.3 [CI, 1.6-3.2]; risk difference, 28\%) and 4 cohort studies (median RR, 4.0 [range, 2.0-11]; median risk difference, 18 percentage points [range, $8-40$ percentage points]). On the basis of large databases and surgical series, prostatectomy was associated with risk for perioperative death (about $0.5 \%$ ) and cardiovascular events ( $0.6 \%-3 \%$ ). Prostatectomy was not associated with worse outcomes on SF-36 summary component scores and most SF-36 subscales.

Radiation therapy was associated with increased risk for erectile dysfunction compared with watchful waiting in 6 cohort studies (median RR, 1.3 [range, 1.1-1.5]). Risk for urinary incontinence was increased in 1 RCT with a very imprecise estimate (RR, 8.3 [CI, 1.1-63]), but not in 4 cohort studies (median RR, 1.1 [range, 0.71-2.0]). Radiation therapy was also associated with an increased risk for bowel dysfunction, which appeared to improve over time. Radiation therapy was not associated with worse outcomes on SF-36 summary component scores and most SF-36 subscales.

ERSPC = European Randomized Study of Screening for Prostate Cancer; $\mathrm{HR}=$ hazard ratio; $\mathrm{KQ}=$ key question; PLCO $=$ Prostate, Lung, Colorectal, and Ovarian Cancer Screening Trial; PSA $=$ prostate-specific antigen; $\mathrm{RCT}=$ randomized, controlled trial; $\mathrm{RR}=$ relative risk; SF-36 $=$ Short-Form 36 .
events, such as coronary heart disease, myocardial infarction, diabetes, and fractures, when given for more advanced prostate cancer (77-79).

Our study has limitations. We excluded non-Englishlanguage articles, which could result in language bias, although we identified no non-English-language studies that would have met inclusion criteria. We included cohort studies of treatments, which are more susceptible to bias and confounding than well-conducted randomized trials. However, confounding by indication may be less of an issue in studies that evaluate harms (80), and analyses stratified by study design did not suggest differential estimates. If patients are selected for a specific prostate cancer treatment in part because of a lower perceived risk for harms, the likely effect on observational studies would be to underestimate risks. For mortality outcomes, which may be more susceptible to confounding by indication, we included only studies that performed statistical adjustment. Finally, studies did not distinguish well between active surveillance and watchful waiting. Active surveillance might be associated with more harms (due to repeated biopsies or subsequent interventions) than watchful waiting, and studies with well-described active surveillance interventions that are consistent with current definitions for this therapy are needed (14).

In summary, PSA-based screening is associated with detection of more prostate cancers; small to no reduction in prostate cancer-specific mortality after about 10 years; and harms related to false-positive test results, subsequent evaluation, and therapy, including overdiagnosis and overtreatment. If screening is effective, optimal screening intervals and PSA thresholds remain uncertain. The ERSPC trial evaluated longer screening intervals ( 2 to 7 years) and in some centers lower PSA thresholds ( 2.5 to $4.0 \mu \mathrm{~g} / \mathrm{L}$ ) as compared with typical U.S. practice (6). When available, results from the Prostate Cancer Intervention Versus Observation Trial, which compared prostatectomy with watchful waiting for screening-detected cancer, may help clarify which patients would benefit from prostatectomy or other active treatments, potentially reducing harms from unnecessary treatment (81).

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## Fast Track Review

Annals will consider manuscripts of high quality for expedited review and early publication (Fast Track) if they have findings that are likely to affect practice or policy immediately and if they are judged valid. We give priority to fast-tracking large clinical trials with clinical outcomes and manuscripts reporting results that are likely to have an immediate impact on patient safety. Authors wishing to fast-track their articles should contact Senior Deputy Editor Dr. Cynthia Mulrow (e-mail, cynthiam @acponline.org) and provide an electronic version of their manuscript along with a request and justification for expedited review and, for trials, the protocol and registry identification number.

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Appendix Figure 1. Summary of literature search and selection: effectiveness and harms of screening.


BMJ = British Medical Journal; ERSPC = European Randomized Study of Screening for Prostate Cancer; PLCO = Prostate, Lung, Colorectal, and Ovarian Cancer Screening Trial.

* Not a randomized, controlled trial; systematic review; or meta-analysis; or was a nonrandomized analysis of a randomized, controlled trial.


## Appendix Figure 2. Summary of literature search and selection: effectiveness and harms of treatment.


$\mathrm{KQ}=$ key question; $\mathrm{RCT}=$ randomized, controlled trial.

* Cochrane databases include the Cochrane Central Register of Controlled Trials and the Cochrane Database of Systematic Reviews.
$\dagger$ Identified from reference lists, suggested by experts, or other methods.
$\ddagger$ Excluding studies of androgen deprivation therapy, cryotherapy, and high-intensity focused ultrasonography (see the full technical report [10]).
Appendix Table 1. Randomized, Controlled Trials of Prostate-Specific Antigen-Based Screening

| Study, Year (Reference) | Study Population | Study Sample | Intervention | Median/ <br> Maximum <br> Length of Follow-up, $y$ | Results | Limitations | Quality Rating | Comments |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| ERSPC, 2009 (7) | Men in 7 European countries enrolled 1991-2003 | 182160 men aged 50-74 y; 162387 men in prespecified "core" subgroup age 55-69 y 82816 assigned to screening; $82 \%$ had $\geq 1$ PSA test during trial 99184 assigned to control group; based on single site, screening in controls ~20\% | Variable by center; see Appendix Table 2 for details <br> Most centers performed PSA every 4 y ; some also used DRE or TRUS PSA cut points were 2.5-10.0 $\mu \mathrm{g} / \mathrm{L}$; $3.0 \mu \mathrm{~g} / \mathrm{L}$ most often used; some ancillary testing with lower PSA values Positive screening result led to biopsy; treatments according to local policies and guidelines | 9/14.5 | ```No difference in prostate cancer-specific mortality in all enrolled men: RR, 0.85 (95\% CI, 0.73-1.00) Reduced prostate cancer-specific mortality in "core" subgroup: ARR, \(0.071 \%\); RR, 0.80 (CI, 0.65-0.98); NNS \(=1410 ;\) NNT \(=48\)``` | Inconsistencies in screening intervals and PSA thresholds among study centers <br> Methods of allocation concealment not described <br> Differences in exclusion of men by age between centers <br> Exclusion of data from 2 study centers (Portugal and France, which would bring the number of participating countries to 9) <br> Inadequate reporting of attrition | Fair |  |
| $\begin{aligned} & \text { Substudy of ERSPC } \\ & \text { (Göteborg), } \\ & 2010(21) \end{aligned}$ | Men born between 1930 and 1944 identified from the population register of Göteborg, Sweden, in December 1994 | 19904 men aged 50-64 y 9952 invited to screening; 76\% had at least 1 PSA <br> 9952 controls not invited to screening; contamination rate estimated at $3 \%$ | PSA every 2 y for 7 rounds <br> PSA cut point 2.5-3.0 $\mu \mathrm{g} / \mathrm{L}$, depending on year <br> Positive screening result led to DRE, TRUS, and biopsy <br> Treatment was at the discretion of the participant's personal physician | 14/14 | $\begin{aligned} & \text { Reduced prostate cancer-specific } \\ & \text { mortality: ARR, } 0.40 \%(\mathrm{CI}, \\ & 0.17 \%-0.64 \%) ; \text { RR, } 0.56(\mathrm{CI}, \\ & 0.39-0.82) ; \text { NNS }=293(\mathrm{CI}, \\ & 177-799) ; \text { NNT }=12 \end{aligned}$ | 60\% of participants (men born between 1930 and 1939) previously included in overall ERSPC results <br> No baseline sociodemographic comparison of the 2 groups <br> Inadequate reporting of attrition <br> Contamination rate in controls not formally assessed; unclear how $3 \%$ estimate obtained | Fair | This publication represents single-center results reported separately from the overarching ERSPC trial |
| $\begin{aligned} & \text { Sandblom et al, } \\ & 2004 \text { (19), } \\ & 2011(20) \end{aligned}$ | Male residents of Nörrkoping, Sweden, who were identified in the Swedish National Population Register in 1987 | 9026 men aged 50-69 y 1494 men (every sixth man) invited for screening; 70\%-78\% received screening, depending on year 7532 controls received usual care; unknown how many received screening | DRE only in 1987 and 1990 DRE and PSA in 1993 and 1996 PSA cut point $>4.0 \mu \mathrm{~g} / \mathrm{L}$ <br> Positive result on screening test led to biopsy; confirmed prostate cancer treated according to regional standardized management program | 6.3/20 | No difference in prostate cancer-specific mortality (RR, 1.16 [CI, 0.78-1.73]) or overall survival (log-rank test $\mathrm{P}=$ 0.14 ) between invited and noninvited groups | Inadequate randomization and allocation concealment procedure (predictable group assignment) <br> No comparison of baseline sociodemographic characteristics of the 2 groups <br> Contamination rate in control group not assessed <br> Inadequate reporting of attrition | Poor | Trial included in the 2008 evidence review and previously considered by the USPSTF |
| PLCO, 2009 (6) | Men enrolled at 10 study centers in the United States 1993-2001 | 76693 men aged 55-74 y 38343 men assigned to screening; overall adherence to screening was 85\% for PSA and 86\% for DRE 38350 men assigned to usual care; $52 \%$ had $\geq 1$ PSA test during trial | Annual PSA for 6 y <br> Annual DRE for 4 y <br> PSA cut point $>4.0 \mu \mathrm{~g} / \mathrm{L}$ <br> Positive PSA or DRE result referred to patient's primary care physician for management | 11.5/14.8 | No difference in prostate cancer-specific mortality at 7 or 10 y : rate ratios, $1.13[\mathrm{Cl}, 0.75-1.70]$ and $1.11[\mathrm{Cl}$, $0.83-1.50$ ], respectively <br> No difference in overall mortality (excluding from prostate, lung, or colorectal cancer) at 7 or 10 y : rate ratios, 0.98 [CI, 0.92-1.03] and 0.97 [CI, 0.93-1.01], respectively | High rate of contamination in control group (up to $52 \%$ by 6 y) <br> Approximately 44\% of men in each group had undergone $\geq 1$ PSA test before trial entry | Fair |  |

Appendix Table 1-Continued

| Study, Year (Reference) | Study Population | Study Sample | Intervention | Median/ Maximum Length of Follow-up, y | Results | Limitations | Quality Rating | Comments |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Labrie et al, 2004 (17) | Men registered on the Quebec City area electoral rolls in 1988 | 46486 men aged $45-80$ y 31133 men invited for screening; $23.6 \%$ received screening <br> 15353 controls not invited 7.3\% received screening | DRE and PSA at first visit <br> PSA alone at subsequent screenings PSA cut point $>3.0 \mu \mathrm{~g} / \mathrm{L}$; if PSA previously $>3.0 \mu \mathrm{~g} / \mathrm{L}$, a PSA increase of $20 \%$ over previous year's value or over predicted PSA Positive screening test result led to TRUS-guided biopsy | 7.9/11 | No difference in prostate cancer-specific mortality when data are analyzed via intention-to-screen: RR, 1.01 (CI, 0.82-1.40) | No information to assess <br> adequacy of <br> randomization or <br> allocation concealment Unclear whether outcome <br> assessment was blinded <br> No baseline <br> sociodemographic <br> comparison of the 2 <br> groups <br> Inadequate reporting of <br> attrition <br> Authors did not primarily use intention-to-screen analysis | Poor | Trial included in the 2008 evidence review and previously considered by the USPSTF |
| $\begin{aligned} & \text { Kjellman et al, } \\ & 2009(16) \end{aligned}$ | Men living in the catchment area of Stockholm South Hospital in Sweden in 1988 | 26 602/27 204 men aged 55-70 y <br> 2400 men invited for screening, $74 \%$ received screening <br> 24 202/24 804 controls from source population received usual care; contamination not reported | Single screening with DRE, TRUS, and PSA <br> Abnormal DRE or TRUS led to biopsy PSA cut point $>7.0 \mathrm{ng} / \mathrm{mL}$ led to repeat TRUS <br> PSA cut point $>10.0 \mu \mathrm{~g} / \mathrm{L}$ led to biopsy Treatment was "the standard care at the clinic at that time" | 12.9/15.7 | No difference in prostate cancer-specific mortality: IRR, 1.10 (CI, 0.83-1.46) No difference in death from other causes: IRR, 0.98 (CI, 0.92-1.05) | Methods of randomization and allocation concealment unclear Unclear whether outcome assessment was blinded No baseline <br> sociodemographic comparison of the 2 groups Contamination rates in control group not assessed Inadequate reporting of attrition Limited applicability to current U.S. practice (high PSA threshold) | Poor | Report has internal discrepancies about the total number in the original cohort because the file containing the egistration numbers of the original cohort could not be retrieved |

[^0]Appendix Table 2. Studies of Treatments for Localized Prostate Cancer

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Appendix Table 2-Continued

| Study, Year (Reference) | Interventions | Definition of Watchful Waiting | Mean Duration of Follow-up | Mean Age, y | Stage at Diagnosis | Variables Adjusted for in Analysis | Outcomes | Quality <br> Score |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Ladjevardi et al, 2010 (31) | Conservative management $\dagger$ (watchful waiting [ $n=935$ ] and palliative treatment, including ADT [ $n=3210]$ ) <br> Radical prostatectomy ( $n=12950$ ) Radiation therapy ( $n=6308$; EBRT, $n=4443$; and brachytherapy, $n=1865$ ) | Not defined | Median, 4 y (range, 0-12 y) | 65 | $\begin{aligned} & \text { T0: }<1 \% \\ & \text { T1: } 49 \% \\ & \text { T2: } 35 \% \\ & \text { T3: } 15 \% \\ & \text { Tx: }<1 \% \end{aligned}$ | Age, Gleason score, PSA | All-cause mortality | Fair |
| Litwin et al, <br> 1995 (48) <br> Other publication: Litwin et al, 1995 (49) | Observation ( $n=60$ ) <br> Prostatectomy ( $n=98$ ) <br> Radiation ( $n=56$ ) | Not defined | 6 y | 73 | Tumor stage NR (all were clinically localized) | Age; comorbid conditions (diabetes cardiovascular disease, respiratory disease, gastrointestinal disease, renal disease, depression, alcohol or drug problems, smoking) | Disease-specific quality of life Generic quality of life | Fair |
| $\begin{aligned} & \text { Litwin et al, } \\ & 2002 \text { (50) } \end{aligned}$ | Watchful waiting ( $n=66$ ) <br> Radical prostatectomy ( $n=282$ ) <br> Radiation ( $n=104$ ) | Not defined | 2 y | 66 | $\begin{aligned} & \text { T1: } 30 \%(136 / 452) \\ & \text { T2: } 66 \%(298 / 452) \\ & \text { T3/4 or N+ or M+: } 4 \% \\ & \text { (18/452) } \end{aligned}$ | Comorbid conditions, PSA, Gleason score, age | Generic quality of life | Fair |
| $\begin{aligned} & \text { Lu-Yao et al, } \\ & 2008 \text { (32) } \end{aligned}$ | Conservative management ( $n=11$ 404) <br> Primary ADT ( $n=7867$ ) | No use of surgery, radiation, or ADT | Median, 7 y | 78 | $\begin{aligned} & \text { T1: } 58 \% \\ & \text { T2: } 42 \% \end{aligned}$ | Instrumental variable analysis (covariates in analysis included age, race, comorbidity status, cancer stage, cancer grade, income status, urban resident, marital status, and year of diagnosis) | Prostate cancer-specific mortality <br> All-cause mortality | Fair |
| Lubeck et al, 1999 (51) | Observation ( $n=87$ ) <br> Prostatectomy ( $n=351$ ) <br> Radiation therapy ( $n=75$ ) $\ddagger$ | No surgery, radiation, or medical therapy in the first year after diagnosis | 2 y | 66 | T1: $25 \%(174 / 692)$ T2: $62 \%(427 / 692)$ T3/T4: $5 \% ~(33 / 179)$ Other: $8 \%(52 / 692)$ | Time (mixed model used to evaluate rate of quality-of-life change); age | Disease-specific quality of life Generic quality of life | Fair |
| $\begin{aligned} & \text { Merglen et al, } \\ & 2007(33) \end{aligned}$ | Watchful waiting ( $n=378$ ) <br> Prostatectomy ( $n=158$ ) <br> Any EBRT ( $n=205$; EBRT alone, $n=152 ; \text { EBRT + ADT, }$ $n=53 \text { ) }$ <br> ADT ( $n=72$ ) <br> Other treatment ( $n=31$; not described) | Active follow-up with treatment for disease progression | 7 y | 71 | Stage 1: 29\% <br> Stage 2: 40\% <br> Stage 3: 31\% <br> PSA <10 $\mu \mathrm{g} / \mathrm{L}: 22 \%$ <br> PSA 11-29 $\mu \mathrm{g} / \mathrm{L}: ~ 28 \%$ <br> PSA >30 $\mu \mathrm{g} / \mathrm{L}: ~ 23 \%$ <br> PSA unknown: 27\% | Age, period of diagnosis, method of detection, lymph node status, clinical tumor stage, differentiation, and PSA level | Prostate cancer-specific mortality <br> All-cause mortality | Fair |
| $\begin{aligned} & \text { Potosky et al, } \\ & 2002 \text { (52) } \end{aligned}$ | Observation ( $n=416$ ) ADT ( $n=245$ ) | No therapy | 1 y | Mean age, NR 40-59 y: 4\% (27/661) 60-69 y: 22\% (145/661) 70-79 y: 53\% (350/661) $\geq 80$ y: $21 \%$ (139/661) | $\begin{aligned} & \text { T1: } 33 \%(221 / 661) \\ & \text { T2: } 51 \%(338 / 661) \\ & \text { Unknown: } 15 \%(101 / 661) \end{aligned}$ | Sociodemographic and clinical characteristics, presence of sexual partner, impotence, comorbid conditions, prostate cancer symptoms | Disease-specific quality of life Generic quality of life | Good |
| $\begin{aligned} & \text { Schapira et al, } \\ & 2001 \text { (53) } \end{aligned}$ | Expectant management ( $n=29$ ) <br> Radical prostatectomy ( $n=42$ ) <br> Radiation therapy ( $n=51$ ) | Not defined | 1 y | Median age, 69 | $\begin{aligned} & \text { T1: } 50 \%(61 / 122) \\ & \text { T2: } 50 \%(61 / 122) \end{aligned}$ | Comorbid conditions, stage, age, years of education, race, marital status, employment status | Disease-specific quality of life Generic quality of life | Fair |


| Appendix Table 2-Continued |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Study, Year (Reference) | Interventions | Definition of Watchful Waiting | Mean Duration of Follow-up | Mean Age, y | Stage at Diagnosis | Variables Adjusted for in Analysis | Outcomes | Quality Score |
| Schymura et al, 2010 (34) | Watchful waiting ( $n=614$ ) <br> Radical prostatectomy ( $n=1310$ ) <br> Radiation therapy (EBRT or brachytherapy; $n=1037$ ) <br> ADT $(n=339)$ | No therapy within 6 mo of diagnosis | 5 y | Mean age, NR $<60$ y: $18 \%$ 60-64 y: 17\% 65-69 y: $22 \%$ 70-74 y: $21 \%$ 75-79 y: 14\% $\geq 80$ y: $8 \%$ | $\begin{aligned} & \text { PSA }<10 \mu \mathrm{~g} / \mathrm{L}: 57 \% \\ & \text { PSA } 10-20 \mu \mathrm{~g} / \mathrm{L}: 26 \% \\ & \text { PSA }>20 \mu \mathrm{~g} / \mathrm{L}: 11 \% \\ & \text { PSA unknown: } 13 \% \end{aligned}$ | Age at diagnosis, race/ethnicity, marital status, state, PSA value, Gleason score, comorbidity score, time since diagnosis | Disease-specific quality of life Generic quality of life | Fair |
| Siegel et al, 2001 (54) | Watchful waiting ( $n=64$ ) <br> Radical prostatectomy ( $n=419$ ) <br> EBRT ( $n=319$ ) | Follow-up every 3-4 mo for 1 y , every 6 mo subsequently | 4 y | 66 | Grade A: 7\% (58/802) Grade B: 89\% (713/802) Unknown: 4\% (31/802) | No adjustment for variables | Disease-specific quality of life | Fair |
| Smith et al, 2000 (55) | Observation ( $n=120$ ) <br> Radical prostatectomy ( $n=1247$ ) <br> Radiation therapy ( $n=189$ ) <br> Hormonal therapy ( $n=67$ ) <br> Cryotherapy ( $n=28$ ) | Not defined | 4 y | 67 | $\begin{aligned} & \text { T1/T2: } 98 \%(2194 / 2234) \\ & \text { T3: }<1 \%(9 / 2234) \\ & \text { T4: } 1 \%(29 / 2234) \end{aligned}$ | Age, current comorbid conditions, education, time since diagnosis | Disease-specific quality of life Generic quality of life | Fair |
| Smith et al, 2009 (56) | Active surveillance ( $n=200$ ) <br> Radical prostatectomy ( $n=981$ ) <br> EBRT ( $n=123$ ) <br> ADT ( $n=61$ ) <br> Combined EBRT/ADT ( $n=166$ ) <br> Low-dose brachytherapy ( $n=58$ ) <br> High-dose brachytherapy ( $n=47$ ) | Active surveillance (not further defined) | 3 y | 61 | $\begin{aligned} & \text { T1: } 54 \%(889 / 1636) \\ & \text { T2: } 46 \%(747 / 1636) \end{aligned}$ | Age, insurance status, comorbidity score, stage, Gleason score, PSA | Disease-specific quality of life Generic quality of life | Good |
| Stattin et al, 2010 (35) | Surveillance ( $n=2021$ ) <br> Radical prostatectomy ( $n=3399$ ) <br> Radiation ( $n=1429$ ) | Combined active surveillance and watchful waiting (no further definition) | Median, 8.2 y | 63 | T1: 59\% <br> T2: 41\% <br> Mean PSA: $8.2 \mu \mathrm{~g} / \mathrm{L}$ | Prostate cancer risk category, Charlson comorbidity index, socioeconomic status | Prostate cancer-specific mortality <br> All-cause mortality | Fair |
| Talcott et al, 2003 (57) Other publication: Clark and Talcott, 2001 (45) | Observation ( $n=19$ ) <br> Radical prostatectomy ( $n=129$ ) <br> EBRT ( $n=182$ ) <br> Brachytherapy ( $n=80$ ) | Not defined | 2 y | 65 | Exact proportion of patients with T1 and T2 unclear because of reporting method; most (>70\%) were T1 | Age, D'Amico risk category, marital status, education, other variables (not defined) | Disease-specific quality of life | Fair |
| $\begin{aligned} & \text { Tewari et al, } \\ & 2007 \text { (36) } \end{aligned}$ | $\begin{aligned} & \text { Conservative management } \\ & \quad(n=197) \\ & \text { Radiation therapy }(n=137) \\ & \text { Radical prostatectomy }(n=119) \end{aligned}$ | Not defined | 5 y | 63 | Stage 3: 100\% | Propensity analysis (propensity score based on age at diagnosis, race, socioeconomic status, Charlson comorbidity index, and year of diagnosis) | Prostate cancer-specific mortality All-cause mortality | Fair |
| Thong et al, 2010 (58) | Active surveillance ( $n=71$ ) EBRT ( $n=71$ ) | Stage and tumor grade $\leq 2$ at time of diagnosis, no active treatment | 5-10 y | 76 | $\begin{aligned} & \text { T1: } 80 \%(114 / 142) \\ & \text { T2: } 20 \%(28 / 142) \end{aligned}$ | Demographic and clinical characteristics | Disease-specific quality of life <br> Generic quality of life | Good |

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Appendix Table 2-Continued

| Study, Year (Reference) | Interventions | Definition of Watchful Waiting | Mean Duration of Follow-up | Mean Age, y | Stage at Diagnosis | Variables Adjusted for in Analysis | Outcomes | Quality <br> Score |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Wong et al, 2006 (37) | Observation ( $n=12$ 608) <br> Active treatment ( $n=32$ 022; includes radical prostatectomy [ $n=13$ 292] and EBRT or brachytherapy [ $n=18$ 249], alone or in combination) | No Medicare data for prostatectomy, radiation, or hormonal therapy | 12 y | 72 | $\begin{aligned} & \text { Stage } \leq \text { T2a : } 55 \% \\ & \text { Stage T2b-T2c: } 45 \% \end{aligned}$ | Propensity-adjusted (propensity score based on age at diagnosis, SEER site, year of diagnosis, tumor size, tumor grade, marital status, residence in urban setting, race, income, educational achievement, and comorbid conditions) | All-cause mortality | Fair |
| Zhou et al, 2009 (38) | No treatment ( $n=1716$ ) <br> Monotherapy <br> Radical prostatectomy ( $n=$ 889) <br> EBRT ( $n=783$ ) <br> Brachytherapy ( $n=595$ ) <br> ADT ( $n=2049$ ) <br> Combination therapy <br> Radical prostatectomy + EBRT, <br> ADT, or both ( $n=181$ ) <br> EBRT + ADT ( $n=1286$ ) <br> Brachytherapy + EBRT or ADT ( $n=756$ ) | No definitive therapy within 6 mo of diagnosis | 7 y | NR; for total cohort (including 1924 patients with distant or unknown stage): 65-69 y: 21\% 70-74 y: 32\% $\geq 75$ y: $46 \%$ | 66\% Gleason score < 7 | Age, race, tumor stage, Gleason score, pretreatment comorbidity | Prostate cancer-specific mortality | Fair |

[^1]Appendix Table 3. Prostate Cancer-Specific and All-Cause Mortality
All-Cause Mortality
Prostatectomy vs. watchful waiting
$46 \%$ (CI, $41 \%$. $52 \%$ ) vs. $53 \%$ (Cl, $47 \%-59 \%$ ); HR, 0.75 (C1, $0.61-0.92$ )

| Subgroups: Risk |
| :--- |
| Low risk: $31 \%$ (Cl, 24\%-41\%) vs. $45 \% ~(37 \% ~ v s . ~$ |$\%$ ); HR, 0.62 (CI, 0.42-0.92)

Subgroups: Age
Age 65 y: $34 \%$ (Cl, $27 \%-43 \%$ ) vs. $47 \%$ (Cl, $40 \%-56 \%$ ); HR, 0.52 (Cl, $0.37-0.73$ )
Age $\geq 65$ y: $57 \%$ (Cle, $50 \%-65 \%$ ) vs. $57 \%$ ( (Cl, $50 \%-66 \%$ ); HR, 0.98 (Cl, $0.75-1.3$ )
Subgroups: Risk + age


Prostatectomy vs. watchful waiting
NR
HR, $0.41(C 1,0.36-0.48)$

$6 \%$ vs. $25 \%$; HR, 0.44 (Cl, 0.33-0.59)
$11 \%$ (CI, 10\%-13\%) vs. $23 \%$ (CI, 21\%-26\%); HR, 0.49 (CI, 0.41-0.57)
$27 / 119$ (23\%) vs 139197 (71\%) HR, 032 ( (C1, 0.20-0.51)
27/119 (23\%) vs. 139/197 (71\%); HR, 0.32 (CI, 0.20-0.51)
HR, 0.50 (CI, 0.47-0.53)
NR
Radiation therapy vs. watchful waiting
NR
HR, 0.62 (CI, 0.54-0.71)
Subgroaps. Risk
Gleason score $7: ~ H R, ~$
0.81 (CI, 0.66-0.99)
Cleason score 7: HR, $0.81(\mathrm{Cl}, 0.66-0.99)$
Gleason score $8-10: \mathrm{HR}, 0.71(\mathrm{Cl}, 0.55-0.92)$
$18 \%(\mathrm{Cl}, 16 \%-21 \%)$ vs. $23 \%(\mathrm{Cl}, 21 \%-26 \%)$; $\mathrm{HR}, 0.68(\mathrm{Cl}, 0.057-0.82)$
$18 \%(\mathrm{Cl}, 16 \%-21 \%)$ vs. $23 \%$ (Cl, $21 \%-26 \%$ ); HR, 0.68 (CI, 0.057-0.82) 58/137 (42\%) vs. 139/197 (71\%); HR, 0.70 (CI, 0.50-0.99) HR, 0.81 (Cl, $0.78-0.85)$ HR, 0.81 (CI, $0.78-0.85$ )
EBRT: HR, 0.63 (CI, $0.53-0.75$ )
Brachytherapy: HR, $0.4(\mathrm{Cl}, 0.32-0.52$ )
EBRT ADT: HR, 0.57 (CI, $0.49-0.66)$
Brachytherapy + EBRT or ADT: HR, 0.32 (CI, $0.26-0.41$ )
ADT vs. watchful waiting
$4729 / 39767$ (rate, 11.9/100) events per person-year vs. $6316 / 66567$ (rate, $9.5 / 100$ ) events per person-year; HR, $1.2(\mathrm{CI}, 1.1-1.2)$
Moderately differentiated tumors: $\mathrm{HR}, 1.2$ (CI, 1.1-1.2)
Poorly differentiated tumors: $\mathrm{HR}, 1.0(\mathrm{Cl}, 1.0-1.1)$
HR, 0.91 (CI, $0.83-1.0)$
$\mathrm{ADT}=$ androgen deprivation therapy; EBRT $=$ external-beam radiation therapy; $\mathrm{HR}=$ hazard ratio; $\mathrm{NR}=$ not reported; $\mathrm{RCT}=$ randomized, controlled trial; $\mathrm{RR}=$ relative risk. * Duration varied by treatment group.
Appendix Table 4. Erectile Dysfunction and Urinary Incontinence

| Study, Year (Reference) | Urinary Incontinence | Erectile Dysfunction |
| :---: | :---: | :---: |
| RCTs | Prostatectomy vs. watchful waiting | Prostatectomy vs. watchful waiting |
| Johansson et al, 2009 (41); <br> Steineck et al, 2002 (42) <br> Follow-up duration: 2-8 y | Urinary incontinence 49\% (79/162) vs. $21 \%$ (33/155); RR, 2.3 (CI, 1.6-3.2) | Erectile dysfunction 81\% (128/159) vs. 45\% (71/158); RR, 1.8 (CI, 1.5-2.2) |
| Cohort studies | Prostatectomy vs. watchful waiting | Prostatectomy vs. watchful waiting |
| Hoffman et al, 2003 (47) | Urinary leakage, daily or more often | No erections |
| Follow-up duration: 2 y | 35\% (484/1373) vs. 8\% (19/230); RR, 4.3 (CI, 2.8-6.6) | 55\% (757/1373) vs. 26\% (60/230); RR, 2.1 (CI, 1.7-2.6) |
| Litwin et al, 1995b (49) | No urinary control or frequent dribbling | Poor or very poor sexual function |
| Follow-up duration: 6 y | 19\% (19/98) vs. $10 \%$ (6/60); RR, 1.9 (CI, 0.82-4.6) | 78\% (76/98) vs. $52 \%$ (31/60); RR, 1.5 (CI, 1.2-2.0) |
| Schapira et al, 2001 (53) | Urinary incontinence | Impotence |
| Follow-up duration: 1 y | 44\% (16/36) vs. 4\% (1/25); RR, 11 (CI, 1.6-78) | 89\% (33/37) vs. 68\% (17/25); RR, 1.3 (CI, 0.98-1.8) |
| Siegel et al, 2001 (54) | NR | Erection insufficient for intercourse |
| Follow-up duration: 4 y |  | 90\% (353/392) vs. 63\% (40/64); RR, 1.4 (CI, 1.2-1.8) |
| Smith et al, 2009 (56) | Urinary incontinence | Impotence |
| Follow-up duration: 3 y | 12\% (111/981) vs. 3\% (6/200); RR, 3.7 (CI, 2.4-5.7) | 71\% (695/981) vs. 47\% (94/200); RR, 1.5 (CI, 1.3-1.8) |
| RCTs | Radiation therapy vs. watchful waiting | Radiation therapy vs. watchful waiting |
| Fransson et al, 2009 (40) | Urinary incontinence, proportion of patients using pads | NR |
| Follow-up duration: 3 y | 17\% (10/59) vs. 2\% (1/49); RR, 8.3 (CI, 1.1-63) |  |
| Cohort studies | Radiation therapy vs. watchful waiting | Radiation therapy vs. watchful waiting |
| Hoffman et al, 2003 (47) | Urinary leakage, daily or more often | No erections at all |
| Follow-up duration: 2 y | 12\% (71/583) vs. 8\% (19/230); RR, 1.5 (CI, 0.91-2.39) | 39\% (228/583) vs. 26\% (60/230); RR, 1.5 (CI, 1.2-1.9) |
| Litwin et al, 1995b (49) | No urinary control or frequent dribbling | Poor or very poor sexual function |
| Follow-up duration: 6 y | 7\% (4/56) vs. 10\% (6/60); RR, 0.71 (CI, 0.21-2.4) | $66 \%$ (39/59) vs. 52\% (31/60); RR, 1.28 (CI, 0.94-1.7) |
| Schapira et al, 2001 (53) | Urinary incontinence | Impotence |
| Follow-up duration: 1 y | 8\% (3/38) vs. 4\% (1/25); RR, 2.0 (CI, 0.22-18) | 75\% (30/40) vs. 68\% (17/25); RR, 1.1 (CI, 0.80-1.5) |
| Siegel et al, 2001 (54) | NR | Erection insufficient for intercourse |
| Follow-up duration: 4 y |  | 85\% (269/315) vs. 63\% (40/64); RR, 1.4 (CI, 1.1-1.7) |
| Smith et al, 2009 (56) | Urinary incontinence | Impotence |
| Follow-up duration: 3 y | 2\% (3/123) vs. 3\% (6/200); RR, 0.81 (CI, 0.21-3.2) | 59\% (72/123) vs. $47 \%$ (94/200); RR, 1.2 (CI, 1.0-1.5) |
| Thong et al, 2010 (58) | NR | Problem getting an erection nearly all the time |
| Follow-up duration: 5-10 y |  | 68\% (43/63) vs. $47 \%$ (28/60); RR, 1.5 (CI, 1.1-2.0) |
| Cohort studies | ADT vs. watchful waiting | ADT vs. watchful waiting |
| Hoffman et al, 2003 (47) | Urinary leakage daily or more often | No erections at all |
| Follow-up duration: 2 y | 11\% (20/179) vs. 8\% (19/230); RR, 1.4 (CI, 0.74-2.5) | 75\% (135/179) vs. 26\% (60/230); RR, 2.9 (CI, 2.3-3.6) |
| Potosky et al, 2002 (52) | NR | Impotence |
| Follow-up duration: 1 y |  | 77\% (68/88) vs. 27\% (60/223); RR, 2.9 (CI, 2.2-3.7) |
| Smith et al, 2009 (56) | Urinary incontinence | Impotence |
| Follow-up duration: 3 y | 3\% (2/61) vs. 3\% (6/200); RR, 1.1 (CI, 0.23-5.3) | 74\% (45/61) vs. 47\% (94/200); RR, 1.6 (CI, 1.3-1.9) |

$\mathrm{ADT}=$ androgen deprivation therapy; $\mathrm{NR}=$ not reported; $\mathrm{RCT}=$ randomized, controlled trial; $\mathrm{RR}=$ relative risk.
Appendix Table 5. Summary Scores for Disease-Specific and Generic Health-Related Quality of Life

| Scale | Radical Prostatectomy vs. Watchful Waiting |  | Radiation Therapy vs. Watchful Waiting |  | ADT vs. Watchful Waiting |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Studies, $n$ (References) | Median Difference in Mean Scores (Range) | Studies, $n$ (References) | Median Difference in Mean Scores (Range) | Studies, $n$ <br> (References) | Median Difference in Mean Scores (Range) |
| UCLA-PCI scores |  |  |  |  |  |  |
| Urinary function | $6(43,48,51,53,55,56)$ | $-18(-30$ to -9$)$ | $7(43,48,51,53,55,56,58)$ | $-4(-5$ to 1) | $3(43,55,56)$ | -4 (-9 to 1) |
| Urinary bother | $6(43,48,51,53,55,56)$ | $-8(-17$ to -1$)$ | $7(43,48,51,53,55,56,58)$ | -3 (-19 to 3) | $3(43,55,56)$ | -11 (-17 to -5) |
| Sexual function | $6(43,48,51,53,55,56)$ | -19 (-34 to -2) | $6(43,48,51,53,55,56)$ | $-11(-20$ to -4$)$ | $3(43,55,56)$ | -31(-36 to -29) |
| Sexual bother | $6(43,48,51,53,55,56)$ | -27 (-35 to 22) | $6(43,48,51,53,55,56)$ | $-5(-18$ to 17) | $3(43,55,56)$ | -15 (-20 to 1) |
| Bowel function | $5(43,48,51,53,56)$ | -1 (-5 to 2) | $6(43,48,51,53,56,58)$ | -6 (-10 to -2) | $2(43,56)$ | Not calculated ( -10 and -5 ) |
| Bowel bother | $5(43,48,51,53,56)$ | 0 ( -5 to 5) | $6(43,48,51,53,56,58)$ | -8 (-15 to -3) | $2(43,56)$ | Not calculated ( -6 and -1 ) |
| SF-36 scores |  |  |  |  |  |  |
| Physical component summary score | $2(43,56)$ | Not calculated (2 and 3) | $3(43,56,58)$ | $0(-3$ to 0$)$ | $2(43,56)$ | Not calculated (-8 and -3) |
| Mental component summary score | $2(43,56)$ | Not calculated (0 and 1) | $3(43,56,58)$ | 0 ( -2 to 1) | $2(43,56)$ | Not calculated ( -3 and 0) |
| Physical function | $6(43,46,48,51,53,55)$ | 8 (2 to 16) | $7(43,46,48,51,53,55,58)$ | $-5(-10$ to 4$)$ | $2(43,56)$ | Not calculated ( -7 and 3) |
| Physical role function | $6(43,46,48,51,53,55)$ | 2 (-10 to 9) | $7(43,46,48,51,53,55,58)$ | -9 (-22 to 1) | $3(43,52,56)$ | -11 (-23 to -11) |
| Bodily pain | $6(43,46,48,51,53,55)$ | 3 ( -5 to 10) | $7(43,46,48,51,53,55,58)$ | -5 (-11 to 0) | $3(43,52,56)$ | -6 (-8 to -1) |
| General health | $6(43,46,48,51,53,55)$ | 4 (2 to 21) | $7(43,46,48,51,53,55,58)$ | 1 (-9 to 3) | $2(43,56)$ | Not calculated ( -5 and -2 ) |
| Vitality | $7(43,46,48,50,51,53,55)$ | 3 ( -2 to 14) | $8(43,46,48,50,51,53,55,58)$ | $-4(-5$ to 1 ) | $3(43,52,56)$ | $-7(-7$ to -7) |
| Social function | $6(43,48,50,51,53,55)$ | 3 (-2 to 11) | $7(43,46,48,51,53,55,58)$ | -2 (-9 to 1) | $2(43,56)$ | Not calculated ( -10 and -4 ) |
| Emotional role function | $7(43,46,48,50,51,53,55)$ | 8 ( -5 to 13) | $8(43,46,48,50,51,53,55,58)$ | -4 (-9 to 19) | $3(43,52,56)$ | -15 (-16 to -3) |
| Mental health | $7(43,46,48,50,51,53,55)$ | -1 (-4 to 10) | $8(43,46,48,50,51,53,55,58)$ | -2 (-6 to 2) | $3(43,52,56)$ | $-4(-6$ to 0$)$ |

$\overline{\mathrm{ADT}}=$ androgen deprivation therapy; SF-36 $=$ Short Form-36 Health Survey; UCLA-PCI $=$ University of California, Los Angeles, Prostate Cancer Index.
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[^0]:    ARR $=$ absolute risk reduction; $\mathrm{DRE}=$ digital rectal examination; $\mathrm{ERSPC}=$ European Randomized Study of Screening for Prostate Cancer; $\operatorname{IRR}=$ incidence rate ratio; NNS $=$ number needed to screen; NNT $=$ number needed to treat; PLCO $=$ Prostate, Lung, Colorectal, and Ovarian Cancer Screening Trial; PSA $=$ prostate-specific antigen; RR $=$ relative risk; TRUS $=$ transrectal ultrasonography; USPSTF $=$ U.S. Preventive Services Task Force.

[^1]:     * Definition unclear; results not abstracted.

    + Conservatively managed patients included those who received ADT.
    $\ddagger$ Results from the hormone therapy group were not abstracted; $32 \%(57 / 179)$ were at stage T3 or higher at baseline.

