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### Search for new particles in events with one lepton and missing transverse momentum in pp collisions at $\sqrt{s} = 8$ TeV with the ATLAS detector

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# Search for new particles in events with one lepton and missing transverse momentum in $pp$ collisions at $\sqrt{s} = 8$ TeV with the ATLAS detector



## The ATLAS collaboration

*E-mail:* [atlas.publications@cern.ch](mailto:atlas.publications@cern.ch)

**ABSTRACT:** This paper presents a search for new particles in events with one lepton (electron or muon) and missing transverse momentum using  $20.3 \text{ fb}^{-1}$  of proton-proton collision data at  $\sqrt{s} = 8$  TeV recorded by the ATLAS experiment at the Large Hadron Collider. No significant excess beyond Standard Model expectations is observed. A  $W'$  with Sequential Standard Model couplings is excluded at the 95% confidence level for masses up to 3.24 TeV. Excited chiral bosons ( $W^*$ ) with equivalent coupling strengths are excluded for masses up to 3.21 TeV. In the framework of an effective field theory limits are also set on the dark matter-nucleon scattering cross-section as well as the mass scale  $M_*$  of the unknown mediating interaction for dark matter pair production in association with a leptonically decaying  $W$ .

**KEYWORDS:** Hadron-Hadron Scattering, Beyond Standard Model

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**1 Introduction**

High-energy collisions at CERN's Large Hadron Collider (LHC) provide new opportunities to search for physics beyond the Standard Model (SM). This paper describes such a search in events containing a lepton (electron or muon) and missing transverse momentum using 8 TeV  $pp$  collision data collected with the ATLAS detector during 2012, corresponding to a total integrated luminosity of  $20.3 \text{ fb}^{-1}$ .

The first new-physics scenario that is considered in this paper is the Sequential Standard Model (SSM), the extended gauge model of ref. [1]. This model proposes the existence of additional heavy gauge bosons, of which the charged ones are commonly denoted  $W'$ . The  $W'$  has the same couplings to fermions as the SM  $W$  boson and a width that increases linearly with the  $W'$  mass. The coupling of the  $W'$  to  $WZ$  is set to zero. Similar searches [2–7] have been performed using  $\sqrt{s} = 1.96 \text{ TeV}$   $p\bar{p}$  collision data by the CDF Collaboration,  $\sqrt{s} = 7 \text{ TeV}$   $pp$  collision data by the ATLAS Collaboration as well as  $\sqrt{s} = 7 \text{ TeV}$  and  $\sqrt{s} = 8 \text{ TeV}$  data by the CMS Collaboration.

The second new-physics scenario that is considered originates from ref. [8] and proposes the existence of charged partners, denoted  $W^*$ , of the chiral boson excitations described in ref. [9]. The anomalous (magnetic-moment type) coupling of the  $W^*$  leads to kinematic distributions significantly different from those of the  $W'$  as demonstrated in the previous ATLAS search [7] that was performed using 7 TeV  $pp$  collision data collected in 2011 corresponding to an integrated luminosity of  $4.7 \text{ fb}^{-1}$ . In the analysis presented in this

paper the search region is expanded to higher masses and the sensitivity is considerably improved in the region covered by the previous search.

The third new-physics scenario considered is of direct production of weakly interacting candidate dark matter (DM) particles. These particles can be pair-produced at the LHC,  $pp \rightarrow \chi\bar{\chi}$ , via a new intermediate state. Since DM particles do not interact with the detector material, these events can be detected if there is associated initial-state radiation of a SM particle [10–13]. The Tevatron and LHC collaborations have reported limits on the cross-section of  $p\bar{p}/pp \rightarrow \chi\bar{\chi} + X$  where  $X$  is a hadronic jet [14–16], a photon [17, 18], a hadronically decaying  $W$  or  $Z$  boson [19] or a leptonically decaying  $Z$  boson [20]. Previous LHC results have also been reinterpreted to set limits on the scenario where  $X$  is a leptonically decaying  $W$  boson [21]. This analysis is the first direct ATLAS search for this case. Limits are reported for the DM-nucleon scattering cross-section as well as the mass scale,  $M_*$ , of a new SM-DM interaction expressed in an effective field theory (EFT) as a four-point contact interaction [22–27]. As discussed in the literature, e.g. refs. [28, 29], the EFT formalism is not always an appropriate approximation but this issue is not addressed any further in this paper. Four effective operators are used as a representative set based on the definitions in ref. [13]: D1 scalar, D5 vector (both constructive and destructive interference cases are considered, the former denoted by D5c and the latter by D5d) and D9 tensor.

The analysis presented here identifies event candidates in the electron and muon channels, sets separate limits and then combines these assuming a common branching fraction for the two final states. The kinematic variable used to identify the signal is the transverse mass

$$m_T = \sqrt{2p_T E_T^{\text{miss}}(1 - \cos \varphi_{\ell\nu})}, \tag{1.1}$$

where  $p_T$  is the lepton transverse momentum,  $E_T^{\text{miss}}$  is the magnitude of the missing transverse momentum vector and  $\varphi_{\ell\nu}$  is the angle between the  $p_T$  and  $E_T^{\text{miss}}$  vectors.<sup>1</sup>

The main background to the  $W'$ ,  $W^*$  and DM signals comes from the tail of the  $m_T$  distribution from SM  $W$  boson production with decays to the same final state. Other relevant backgrounds are  $Z$  boson production with decays into two leptons where one lepton is not reconstructed,  $W$  or  $Z$  production with decays to  $\tau$  leptons where a  $\tau$  subsequently decays to either an electron or a muon, and diboson production. These are collectively referred to as the electroweak (EW) background. There is also a contribution to the background from  $t\bar{t}$  and single-top production, collectively referred to as the top background, which is most important for the lowest  $W'/W^*$  masses considered here, where it constitutes about 10% of the background after event selection in the electron channel and 15% in the muon channel. Other relevant strong-interaction background sources occur when a light or heavy hadron decays semileptonically or when a jet is misidentified as an electron or muon. These are referred to as the multi-jet background in this paper.

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<sup>1</sup>ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point in the centre of the detector and the  $z$ -axis along the beam pipe. Cylindrical coordinates  $(r, \varphi)$  are used in the transverse plane,  $\varphi$  being the azimuthal angle around the beam pipe. The pseudorapidity  $\eta$  is defined in terms of the polar angle  $\theta$  by  $\eta = -\ln \tan(\theta/2)$ .

## 2 The ATLAS detector

The ATLAS detector [30] is a multi-purpose particle physics detector with a forward-backward symmetric cylindrical geometry and nearly  $4\pi$  coverage in solid angle. The ATLAS detector has three major components: the inner tracking detector (ID), the calorimeter and the muon spectrometer (MS). Tracks and vertices of charged particles are reconstructed with silicon pixel and silicon microstrip detectors covering  $|\eta| < 2.5$  and straw-tube transition radiation detectors covering  $|\eta| < 2.0$ , all immersed in a homogeneous 2 T magnetic field provided by a superconducting solenoid. The ID is surrounded by a hermetic calorimeter that covers  $|\eta| < 4.9$  and provides three-dimensional reconstruction of particle showers. The electromagnetic calorimeter is a liquid argon (LAr) sampling calorimeter, which uses lead absorbers for  $|\eta| < 3.2$  and copper absorbers in the very forward region. The hadronic sampling calorimeter uses plastic scintillator tiles as the active material and iron absorbers in the region  $|\eta| < 1.7$ . In the region  $1.5 < |\eta| < 4.9$ , liquid argon is used as the active material, with copper and/or tungsten absorbers. The MS surrounds the calorimeters and consists of three large superconducting toroid systems (each with eight coils) together with multiple layers of trigger chambers up to  $|\eta| < 2.4$  and tracking chambers, providing precision track measurements, up to  $|\eta| < 2.7$ .

## 3 Trigger and reconstruction

The data used in the electron channel were recorded with a trigger requiring the presence of an energy cluster in the EM compartment of the calorimeter (EM cluster) with  $E_T > 120$  GeV. For the muon channel, matching tracks in the MS and ID with combined  $p_T > 36$  GeV are used to select events. In order to compensate for the small loss in the selection efficiency at high  $p_T$  due to this matching, events are also recorded if a muon with  $p_T > 40$  GeV and  $|\eta| < 1.05$  is found in the MS. The average trigger efficiency (measured with respect to reconstructed objects) is above 99% in the electron channel and 80%–90% in the muon channel for the region of interest in this analysis.

Each EM cluster with  $E_T > 125$  GeV and  $|\eta| < 1.37$  or  $1.52 < |\eta| < 2.47$  is considered as an electron candidate if it is matched to an ID track. The region  $1.37 \leq |\eta| \leq 1.52$  exhibits degraded energy resolution due to the transition from the central region to the forward regions of the calorimeters and is therefore excluded. The track and the cluster must satisfy a set of identification criteria that are optimised for the conditions of many proton-proton collisions in the same or nearby beam bunch crossings (in-time or out-of-time pile-up, respectively) [31]. These criteria require the shower profiles to be consistent with those expected for electrons and impose a minimum requirement on the amount of transition radiation that is present. In addition, to suppress background from photon conversions, a hit in the first layer of the pixel detector is required if an active pixel sensor is traversed. The electron's energy is obtained from the calorimeter measurements while its direction is obtained from the associated track. In the high- $E_T$  range relevant for this analysis, the electromagnetic calorimeter energy resolution is measured in data to be 1.2%

in the central region and 1.8% in the forward region [32]. These requirements result in about a 90% identification efficiency for electrons with  $E_T > 125$  GeV.

Muons are required to have a  $p_T > 45$  GeV, where the momentum of the muon is obtained by combining the ID and MS measurements. To ensure an accurate measurement of the momentum, muons are required to have hits in three MS layers and are restricted to the ranges  $|\eta| < 1.0$  and  $1.3 < |\eta| < 2.0$ . Some of the chambers in the region  $1.0 < |\eta| < 1.3$  were not yet installed, hence the momentum resolution of MS tracks is degraded in this region. Including the muon candidates with an  $\eta$ -range  $2.0 < |\eta| < 2.5$  would lead to an increase in the signal selection efficiency of up to 12% for lower  $W'$  masses and of up to 3% for a  $W'$  mass of 3 TeV. However, the background levels in the signal region would increase by more than 15%. Therefore, the previously stated  $\eta$  restrictions are retained. For the final selection of good muon candidates, the individual ID and MS momentum measurements are required to be in agreement within 5 standard deviations. The average momentum resolution is about 15%–20% at  $p_T = 1$  TeV. About 80% of the muons in the  $\eta$ -range considered are reconstructed, with most of the loss coming from regions without three MS layers.

The  $E_T^{\text{miss}}$  in each event is evaluated by summing over energy-calibrated physics objects (jets, photons and leptons) and adding corrections for calorimeter deposits not associated with these objects [33].

This analysis makes use of all of the  $\sqrt{s} = 8$  TeV data collected in 2012 for which the relevant detector systems were operating properly and all data quality requirements were satisfied. The integrated luminosity of the data used in this study is  $20.3 \text{ fb}^{-1}$  for both the electron and muon decay channels. The uncertainty on this measurement is 2.8%, which is derived following the methodology detailed in ref. [34].

## 4 Monte Carlo simulation

With the exception of the multi-jet background, which is estimated from data, expected signals and backgrounds are evaluated using simulated Monte Carlo samples and normalised using the calculated cross-sections and the integrated luminosity of the data.

The  $W'$  signal events are generated at leading order (LO) with PYTHIA v8.165 [35, 36] using the MSTW2008 LO [37] parton distribution functions (PDFs). PYTHIA is also used for the fragmentation and hadronisation of  $W^* \rightarrow \ell\nu$  events that are generated at LO with CALCHEP v3.3.6 [38] using the CTEQ6L1 PDFs [39]. DM signal samples are generated at LO with MADGRAPH5 v1.4.5 [40] using the MSTW2008 LO PDFs, interfaced to PYTHIA v8.165.

The  $W/Z$  boson and  $t\bar{t}$  backgrounds are generated at next-to-leading order (NLO) with POWHEG-BOX r1556 [41] using the CT10 NLO [42] PDFs. For the  $W/Z$  backgrounds, fragmentation and hadronisation is performed with PYTHIA v8.165, while for  $t\bar{t}$  PYTHIA v6.426 is used. The single-top background is generated at NLO with MC@NLO v4.06 [43] using the CT10 NLO PDFs for the  $Wt$ - and  $s$ -channels, and with ACERMC v3.8 [44] using the CTEQ6L1 PDFs for the  $t$ -channel. Fragmentation and hadronisation for the MC@NLO samples are performed with HERWIG v6.520 [45], using JIMMY v4.31 [46] for the underlying event, whereas PYTHIA v6.426 is used for the ACERMC samples. The  $WW$ ,  $WZ$  and  $ZZ$

diboson backgrounds are generated at LO with SHERPA v1.4.1 [47] using the CT10 NLO PDFs.

The PYTHIA signal model for  $W'$  has  $V-A$  SM couplings to fermions but does not include interference between the  $W$  and  $W'$ . For both  $W'$  and  $W^*$ , decay channels beside  $e\nu$  and  $\mu\nu$ , notably  $\tau\nu$ ,  $ud$ ,  $sc$  and  $tb$ , are included in the calculation of the widths but are not explicitly included as signal or background. At high mass ( $m_{W'} > 1\text{ TeV}$ ), the total width is about 3.5 % of the pole mass, and the branching fraction to each of the lepton decay channels is 8.2%.

For all samples, final-state photon radiation from leptons is handled by PHOTOS [48]. The ATLAS full detector simulation [49] based on GEANT4 [50] is used to propagate the particles and account for the response of the detector. For the underlying event, the ATLAS tune AUET2B [51] is used for PYTHIA 6 and AU2 [52] is used for PYTHIA 8, while AUET2 [53] is used for the HERWIG with JIMMY. The effect of pile-up is incorporated into the simulation by overlaying additional minimum-bias events generated with PYTHIA onto the generated hard-scatter events. Simulated events are weighted to match the distribution of the number of interactions per bunch crossing observed in data, but are otherwise reconstructed in the same manner as data.

The  $W \rightarrow \ell\nu$  and  $Z \rightarrow \ell\ell$  cross-sections are calculated at next-to-next-to-leading order (NNLO) in QCD with ZWPROD [54] using MSTW2008 NNLO PDFs. Consistent results are obtained using VRAP v0.9 [55] and FEWZ v3.1b2 [56, 57]. Higher-order electroweak corrections are calculated with MCSANC [58]. Mass-dependent  $K$ -factors obtained from the ratios of the calculated higher-order cross-sections to the cross-sections of the generated samples are used to scale  $W^+$ ,  $W^-$  and  $Z$  backgrounds separately. The  $W' \rightarrow \ell\nu$  cross-sections are calculated in the same way, except that the electroweak corrections beyond final-state radiation are not included because the calculation for the SM  $W$  cannot be applied directly. Cross sections for  $W^* \rightarrow \ell\nu$  are kept at LO due to the non-renormalisability of the model at higher orders in QCD. The  $t\bar{t}$  cross-section is also calculated at NNLO including resummation of next-to-next-to-leading logarithmic (NNLL) soft gluon terms obtained with TOP++ v2.0 [59–64] for a top quark mass of 172.5 GeV. The  $W'$ ,  $W^*$ , and DM particle signal cross-sections are listed in tables 1 and 2. The most important background cross-sections are listed in table 3.

Uncertainties on the  $W'$  cross-section and the  $W/Z$  background cross-sections are estimated from variations of the renormalisation and factorisation scales, PDF+ $\alpha_s$  variations and PDF choice. The scale uncertainties are estimated by varying both the renormalisation and factorisation scales simultaneously up or down by a factor of two. The resulting maximum variation from the two fluctuations is taken as the symmetric scale uncertainty. The PDF+ $\alpha_s$  uncertainty is evaluated using 90% confidence level (CL) eigenvector and 90% CL  $\alpha_s$  variations of the nominal MSTW2008 NNLO PDF set and combined with the scale uncertainty in quadrature. The PDF choice uncertainty is evaluated by comparing the central values of the MSTW2008 NNLO, CT10 NNLO, NNPDF 2.3 NNLO [65], ABM11 5N NNLO [66] and HERAPDF 1.5 NNLO [67] PDF sets. The envelope of the PDF central value comparisons and the combination of the scale and PDF+ $\alpha_s$  uncertainties is taken as the total uncertainty on the differential cross-section as a function of the invariant mass of



Mass [GeV]	$W' \rightarrow \ell\nu$ $\sigma B$ [pb]	$W^* \rightarrow \ell\nu$ $\sigma B$ [pb]
300	149.0	
400	50.2	37.6
500	21.4	16.2
600	10.4	7.95
750	4.16	3.17
1000	1.16	0.882
1250	0.389	0.294
1500	0.146	0.108
1750	0.0581	0.0423
2000	0.0244	0.0171
2250	0.0108	0.00700
2500	0.00509	0.00290
2750	0.00258	0.00120
3000	0.00144	$4.9 \times 10^{-4}$
3250	$8.9 \times 10^{-4}$	$2.0 \times 10^{-4}$
3500	$5.9 \times 10^{-4}$	$8.0 \times 10^{-5}$
3750	$4.2 \times 10^{-4}$	$3.2 \times 10^{-5}$
4000	$3.1 \times 10^{-4}$	$1.3 \times 10^{-5}$

**Table 1.** Predicted values of the cross-section times branching fraction ( $\sigma B$ ) for  $W' \rightarrow \ell\nu$  and  $W^* \rightarrow \ell\nu$ . The  $\sigma B$  for  $W' \rightarrow \ell\nu$  are at NNLO while those for  $W^* \rightarrow \ell\nu$  are at LO. The values are given per channel, with  $\ell = e$  or  $\mu$ .

the lepton-neutrino system ( $m_{\ell\nu}$ ). The PDF and  $\alpha_s$  uncertainties on the  $t\bar{t}$  cross-section are calculated using the PDF4LHC prescription [68] with the MSTW2008 68% CL NNLO, CT10 NNLO and NNPDF2.3 5f FFN PDF error sets added in quadrature to the scale uncertainty. The systematic uncertainty arising from the variation of the top mass by  $\pm 1$  GeV is also added in quadrature.

An additional uncertainty on the differential cross-section due to the beam energy uncertainty is calculated as function of  $m_{\ell\nu}$  for the charged-current Drell-Yan process with VRAP at NNLO using CT10 NNLO PDFs by taking a 0.66% uncertainty on the energy of each 4 TeV proton beam as determined in ref. [69]. The size of this uncertainty is observed to be about 2% (6%) at  $m_{\ell\nu} = 2$  (3) TeV. The calculated uncertainties are propagated to both the  $W$  and  $W'/W^*$  processes in order to derive uncertainties on the background levels as well as the signal selection efficiencies in each signal region.

Uncertainties are not reported on the cross-sections for the  $W^*$  due to the breakdown of higher-order corrections for non-renormalisable models. However, uncertainties on the



$m_\chi$ [GeV]	DM production			
	$\sigma B$ [pb]			
	D1	D5d	D5c	D9
	$M_* = 10 \text{ GeV}$	$M_* = 100 \text{ GeV}$	$M_* = 1 \text{ TeV}$	$M_* = 1 \text{ TeV}$
1	439	72.2	0.0608	0.0966
100	332	70.8	0.0575	0.0870
200	201	58.8	0.0488	0.0695
400	64.6	32.9	0.0279	0.0365
1000	1.60	2.37	0.00192	0.00227
1300	0.213	0.454	0.000351	0.000412

**Table 2.** Predicted values of  $\sigma B$  for DM signal with different mass values,  $m_\chi$ . The values of  $M_*$  used in the calculation for a given operator are also shown. The cross-sections are at LO, and the values are given for the sum of three lepton flavours  $\ell = e, \mu, \tau$ .

Process	$\sigma B$ [pb]
$W \rightarrow \ell\nu$	12190
$Z/\gamma^* \rightarrow \ell\ell$ ( $m_{Z/\gamma^*} > 60 \text{ GeV}$ )	1120
$t\bar{t} \rightarrow \ell X$	137.3

**Table 3.** Predicted values of  $\sigma B$  for the leading backgrounds. The value for  $t\bar{t} \rightarrow \ell X$  includes all final states with at least one lepton ( $e, \mu$  or  $\tau$ ). The others are exclusive and are used for both  $\ell = e$  and  $\ell = \mu$ . All cross-sections are at NNLO.

signal selection efficiency for the  $W^*$  are evaluated using the same relative differential cross-section uncertainty as for the  $W'$ . Uncertainties on DM production are evaluated using 68% confidence level eigenvector variations of the nominal MSTW2008 LO PDF set as in [19].

## 5 Event selection

The primary vertex for each event is required to have at least three tracks with  $p_T > 0.4 \text{ GeV}$  and to have a longitudinal distance less than 200 mm from the centre of the collision region. There are on average 20.7 interactions per event in the data used for this analysis. The primary vertex is defined to be the one with the highest summed track  $p_T^2$ . Spurious tails in the  $E_T^{\text{miss}}$  distribution, arising from calorimeter noise and other detector problems are suppressed by checking the quality of each reconstructed jet and discarding events containing reconstructed jets of poor quality, following the description given in ref. [70]. In addition, the ID track associated with the electron or muon is required to be compatible with originating from the primary vertex by requiring that the transverse distance of closest approach,  $d_0$ , satisfies  $|d_0| < 1$  (0.2) mm and longitudinal distance,  $z_0$ , satisfies  $|z_0| < 5$  (1) mm for the electron (muon). Events are required to have exactly one electron candidate with  $E_T > 125 \text{ GeV}$  or one muon candidate with  $p_T > 45 \text{ GeV}$

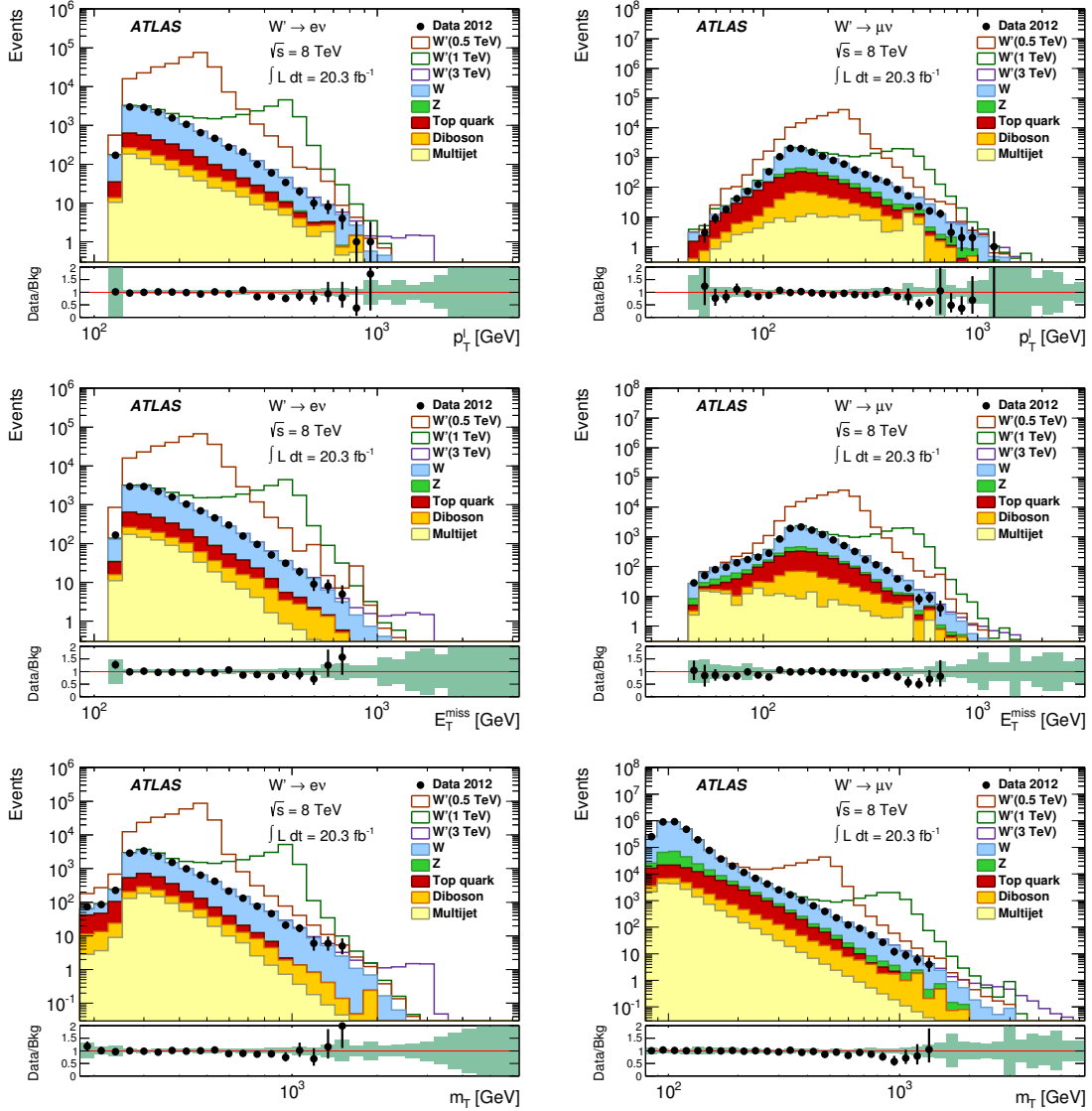
satisfying these requirements and the identification criteria described in section 3. In the electron channel, events having additional electrons with  $E_T > 20$  GeV, passing all electron identification criteria, are discarded. Similarly, in the muon channel, events having additional muon candidates with a  $p_T$  threshold of 20 GeV are discarded.

To suppress the multi-jet background, the lepton is required to be isolated. In the electron channel, the isolation energy is measured with the calorimeter in a cone  $\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\varphi)^2} = 0.2$  around the electron track, and the requirement is  $\Sigma E_T^{\text{calo}} < 0.007 \times E_T + 5$  GeV, where the sum includes all calorimeter energy clusters in the cone excluding those that are attributed to the electron. The scaling of the isolation requirement with the electron  $E_T$  reduces the efficiency loss due to radiation from the electron at high  $E_T$ . In the muon channel, the isolation energy is measured using ID tracks with  $p_T^{\text{trk}} > 1$  GeV in a cone  $\Delta R = 0.3$  around the muon track. The isolation requirement is  $\Sigma p_T^{\text{trk}} < 0.05 \times p_T$ , where the muon track is excluded from the sum. As in the electron channel, the scaling of the isolation requirement with the muon  $p_T$  reduces the efficiency loss due to radiation from the muon at high  $p_T$ .

An  $E_T^{\text{miss}}$  requirement is imposed to select signal events and to further suppress the contributions from the multi-jet and SM  $W$  backgrounds. In both channels, the requirement placed on the charged lepton  $p_T$  is also applied to the  $E_T^{\text{miss}}$ :  $E_T^{\text{miss}} > 125$  GeV for the electron channel and  $E_T^{\text{miss}} > 45$  GeV for the muon channel.

The multi-jet background around the Jacobian peak of the  $m_T$  distribution is evaluated using the *matrix method* as described in ref. [71] in both the electron and muon channels. The high-mass tail of the distribution is then fitted by a power-law function in order to determine the level of the multi-jet background in the region used to search for new physics. In the electron channel, the multi-jet background constitutes about 2%–4% of the total background at high  $m_T$ . Consistent results are obtained using the *inverted isolation* technique described in ref. [5]. In the muon channel, the multi-jet background constitutes about 1%–3% of the total background at high  $m_T$ . The uncertainty of the multi-jet background is determined by varying the selection requirements used to define the control region and by varying the  $m_T$  threshold of the fitting range used in the extrapolation to high  $m_T$ .

The same reconstruction criteria and event selection are applied to both the data and simulated samples. Figure 1 shows the  $p_T$ ,  $E_T^{\text{miss}}$ , and  $m_T$  spectra for each channel after event selection for the data, the expected background and three examples of  $W'$  signals at different masses. Prior to investigating if there is evidence for a signal, the agreement between the data and the predicted background is established for events with  $m_T < 252$  GeV, the lowest  $m_T$  threshold used to search for new physics. The optimisation of the  $m_T$  thresholds for event selection is described below. The agreement between the data and expected background is good. Table 4 shows an example of how different sources contribute to the background for  $m_T > 1500$  GeV, the region used to search for a  $W'$  with a mass of 2000 GeV. The  $W \rightarrow \ell\nu$  background is the dominant contribution for both the electron and muon channels. The  $Z \rightarrow \ell\ell$  background in the electron channel is smaller than in the muon channel due to calorimeters having larger  $\eta$  coverage than the MS, and the electron energy resolution being better than the muon momentum resolution at high  $p_T$ .



**Figure 1.** Spectra of lepton  $p_T$  (top),  $E_T^{\text{miss}}$  (centre) and  $m_T$  (bottom) for the electron (left) and muon (right) channels after the event selection. The spectra of  $p_T$  and  $E_T^{\text{miss}}$  are shown with the requirement  $m_T > 252$  GeV. The points represent data and the filled, stacked histograms show the predicted backgrounds. Open histograms are  $W' \rightarrow l\nu$  signals added to the background with their masses in GeV indicated in parentheses in the legend. The signal and background samples are normalised using the integrated luminosity of the data and the NNLO cross-sections listed in tables 1 and 3, except for the multi-jet background which is estimated from data. The error bars on the data points are statistical. The ratio of the data to the total background prediction is shown below each of the distributions. The bands represent the systematic uncertainties on the background including the ones arising from the statistical uncertainty of the simulated samples.

	$e\nu$	$\mu\nu$
$W \rightarrow \ell\nu$	2.65 $\pm$ 0.10	2.28 $\pm$ 0.21
$Z \rightarrow \ell\ell$	0.00163 $\pm$ 0.00022	0.232 $\pm$ 0.005
Diboson	0.27 $\pm$ 0.23	0.46 $\pm$ 0.23
Top	0.0056 $\pm$ 0.0009	0.0017 $\pm$ 0.0001
Multi-jet	0.066 $\pm$ 0.020	0.046 $\pm$ 0.039
Total	2.99 $\pm$ 0.25	3.01 $\pm$ 0.31

**Table 4.** Expected numbers of events from the various background sources in each decay channel for  $m_T > 1500$  GeV, the region used to search for a  $W'$  with a mass of 2000 GeV. The  $W \rightarrow \ell\nu$  and  $Z \rightarrow \ell\ell$  rows include the expected contributions from the  $\tau$ -lepton. The uncertainties are statistical.

## 6 Statistical analysis and systematic uncertainties

A Bayesian analysis is performed to set limits on the studied processes. For each candidate mass and decay channel, events are counted above an  $m_T$  threshold. The optimisation of  $m_{T\min}$  is done separately for  $W' \rightarrow \ell\nu$  and  $W^* \rightarrow \ell\nu$ . For each candidate mass, the  $m_{T\min}$  values that minimise the expected cross-section limits are obtained in the electron and muon channels separately, but for simplicity the lower value is used in both channels since this has a negligible impact on the final results. A similar optimisation is performed when setting the limits on DM production, and in this case a single  $m_{T\min}$  is chosen for each operator. The expected number of events in each channel is

$$N_{\text{exp}} = \varepsilon_{\text{sig}} L_{\text{int}} \sigma B + N_{\text{bkg}}, \tag{6.1}$$

where  $L_{\text{int}}$  is the integrated luminosity of the data sample,  $\varepsilon_{\text{sig}}$  is the signal selection efficiency defined as the fraction of signal events that satisfy the event selection criteria as well as  $m_T > m_{T\min}$ ,  $N_{\text{bkg}}$  is the expected number of background events, and  $\sigma B$  is the cross-section times branching fraction. Using Poisson statistics, the likelihood to observe  $N_{\text{obs}}$  events is

$$\mathcal{L}(N_{\text{obs}}|\sigma B) = \frac{(L_{\text{int}}\varepsilon_{\text{sig}}\sigma B + N_{\text{bkg}})^{N_{\text{obs}}} e^{-(L_{\text{int}}\varepsilon_{\text{sig}}\sigma B + N_{\text{bkg}})}}{N_{\text{obs}}!}. \tag{6.2}$$

Uncertainties are included by introducing nuisance parameters  $\theta_i$ , each with a probability density function  $g_i(\theta_i)$ , and integrating the product of the Poisson likelihood with the probability density function. The integrated likelihood is

$$\mathcal{L}_B(N_{\text{obs}}|\sigma B) = \int \mathcal{L}(N_{\text{obs}}|\sigma B) \prod g_i(\theta_i) d\theta_i, \tag{6.3}$$

where a log-normal distribution is used for the  $g_i(\theta_i)$ . The nuisance parameters are taken to be:  $L_{\text{int}}$ ,  $\varepsilon_{\text{sig}}$  and  $N_{\text{bkg}}$ , with the appropriate correlation accounted for between the first and the third parameters.

The measurements in the two decay channels are combined assuming the same branching fraction for each. Equation (6.3) remains valid with the Poisson likelihood replaced by the product of the Poisson likelihoods for the two channels. The integrated luminosities for the electron and muon channels are fully correlated. For  $W'/W^* \rightarrow \ell\nu$  the signal selection efficiencies and background levels are partly correlated with each other and between the two channels due to the full correlation of the cross-section uncertainties. If these correlations were not included, the observed  $\sigma B$  limits would improve by 25%–30% for the lowest mass points, a few percent for the intermediate mass points and by about 10% for the highest mass points.

Bayes' theorem gives the posterior probability that the signal has signal strength  $\sigma B$ :

$$P_{\text{post}}(\sigma B|N_{\text{obs}}) = N \mathcal{L}_B(N_{\text{obs}}|\sigma B) P_{\text{prior}}(\sigma B) \quad (6.4)$$

where  $P_{\text{prior}}(\sigma B)$  is the assumed prior probability, here chosen to be flat in  $\sigma B$ , for  $\sigma B > 0$ . The constant factor  $N$  normalises the total probability to one. The posterior probability is evaluated for each mass and decay channel as well as for their combination, and then used to set a limit on  $\sigma B$ .

The inputs for the evaluation of  $\mathcal{L}_B$  (and hence  $P_{\text{post}}$ ) are  $L_{\text{int}}$ ,  $\varepsilon_{\text{sig}}$ ,  $N_{\text{bkg}}$ ,  $N_{\text{obs}}$  and the uncertainties on the first three. The uncertainties on  $\varepsilon_{\text{sig}}$  and  $N_{\text{bkg}}$  account for experimental and theoretical systematic effects as well as the statistics of the simulated samples. The experimental systematic uncertainties include those on the efficiencies of the electron or muon trigger, reconstruction and event/object selection. Uncertainties in the lepton energy/momentum and  $E_{\text{T}}^{\text{miss}}$ , characterised by scale and resolution uncertainties, are also included. Performance metrics are obtained in-situ using well-known processes such as  $Z \rightarrow \ell\ell$  [31, 72, 73]. Since most of these performance metrics are measured at relatively low  $p_{\text{T}}$  their values are extrapolated to the high- $p_{\text{T}}$  regime relevant to this analysis using MC simulation. The uncertainties in these extrapolations are included but are too small to significantly affect the results. Table 5 summarises the uncertainties on the event selection efficiencies and the expected number of background events for the  $W' \rightarrow \ell\nu$  signal with  $m_{W'} = 2000 \text{ GeV}$  using  $m_{\text{T}} > 1500 \text{ GeV}$ , and  $W^*$  signal with  $m_{W^*} = 2000 \text{ GeV}$  using  $m_{\text{T}} > 1337 \text{ GeV}$ .

## 7 Results

The inputs for the evaluation of  $\mathcal{L}_B$  are listed in tables 6, 7 and 8. The uncertainties on  $\varepsilon_{\text{sig}}$  and  $N_{\text{bkg}}$  account for all relevant experimental and theoretical effects except for the uncertainty on the integrated luminosity. The latter is included separately and is correlated between signal and background. The tables also list the predicted numbers of signal events,  $N_{\text{sig}}$ , with their uncertainties accounting for the uncertainties in both  $\varepsilon_{\text{sig}}$  and the cross-section calculation. The maximum value for the signal selection efficiency is at  $m_{W'} = 2000 \text{ GeV}$ . For lower masses, the efficiency falls because the relative  $m_{\text{T}}$  threshold,  $m_{\text{Tmin}}/m_{W'}$ , increases in order to reduce the background level. The contribution from  $W' \rightarrow \tau\nu$  with a leptonically decaying  $\tau$  is neglected. It would increase the signal yield

Source	$\varepsilon_{\text{sig}}$		$N_{\text{bkg}}$	
	$e\nu$	$\mu\nu$	$e\nu$	$\mu\nu$
$W' \rightarrow \ell\nu$				
Reconstruction and trigger efficiency	2.5%	4.1%	2.7%	4.1%
Lepton energy/momentum resolution	0.2%	1.4%	1.9%	18%
Lepton energy/momentum scale	1.2%	1.8%	3.5%	1.5%
$E_{\text{T}}^{\text{miss}}$ scale and resolution	0.1%	0.1%	1.2%	0.5%
Beam energy	0.5%	0.5%	2.8%	2.1%
Multi-jet background	-	-	2.2%	3.4%
Monte Carlo statistics	0.9%	1.3%	8.5%	10%
Cross-section (shape/level)	2.9%	2.8%	18%	15%
Total	4.2%	5.6%	21%	27%
$W^* \rightarrow \ell\nu$				
Reconstruction and trigger efficiency	2.7%	4.1%	2.6%	4.0%
Lepton energy/momentum resolution	0.4%	0.9%	3.0%	17%
Lepton energy/momentum scale	2.4%	2.4%	3.1%	1.5%
$E_{\text{T}}^{\text{miss}}$ scale and resolution	0.1%	0.4%	3.1%	0.6%
Beam energy	0.1%	0.1%	2.5%	1.9%
Multi-jet background	-	-	1.8%	2.6%
Monte Carlo statistics	1.2%	1.8%	6.7%	8.6%
Cross-section (shape/level)	0.2%	0.2%	17%	15%
Total	3.9%	5.1%	19%	25%

**Table 5.** Relative uncertainties on the selection efficiency  $\varepsilon_{\text{sig}}$  and expected number of background events  $N_{\text{bkg}}$  for a  $W'$  (upper part of the table) and  $W^*$  (lower part of the table) with a mass of 2000 GeV. The efficiency uncertainties include contributions from the trigger, reconstruction and event selection. The last row gives the total relative uncertainties.

by 2%–3% for the highest masses. The background level is estimated for each mass by summing over all of the background sources.

The number of observed events is generally in good agreement with the expected number of background events for all mass bins. None of the observations for any mass point in either channel or their combination show a significant excess above background, so there is no evidence for the observation of either  $W' \rightarrow \ell\nu$  or  $W^* \rightarrow \ell\nu$ . A deficit in the number of observed events with respect to the expected number of background events is observed in the muon channel. This deficit has at most a  $2.2\sigma$  local significance.

Tables 9 and 10 and figure 2 present the 95% confidence level (CL) observed limits on  $\sigma B$  for both  $W' \rightarrow \ell\nu$  and  $W^* \rightarrow \ell\nu$  in the electron channel, the muon channel and their combination. The tables also give the limits obtained without systematic uncertainties.

$m_{W'}$ [GeV]	$m_{T\min}$ [GeV]	Channel	$\varepsilon_{\text{sig}}$	$N_{\text{sig}}$	$N_{\text{bkg}}$	$N_{\text{obs}}$
300	252	$e\nu$	$0.228 \pm 0.009$	$688000 \pm 28000$	$12900 \pm 820$	12717
		$\mu\nu$	$0.184 \pm 0.007$	$555000 \pm 21000$	$11300 \pm 770$	10927
400	336	$e\nu$	$0.319 \pm 0.012$	$325000 \pm 12000$	$5280 \pm 360$	5176
		$\mu\nu$	$0.193 \pm 0.007$	$196000 \pm 7500$	$3490 \pm 250$	3317
500	423	$e\nu$	$0.325 \pm 0.013$	$141000 \pm 5700$	$2070 \pm 150$	2017
		$\mu\nu$	$0.186 \pm 0.007$	$80900 \pm 3200$	$1370 \pm 100$	1219
600	474	$e\nu$	$0.397 \pm 0.014$	$83800 \pm 2900$	$1260 \pm 96$	1214
		$\mu\nu$	$0.229 \pm 0.009$	$48200 \pm 1900$	$827 \pm 64$	719
750	597	$e\nu$	$0.393 \pm 0.013$	$33200 \pm 1100$	$456 \pm 45$	414
		$\mu\nu$	$0.226 \pm 0.009$	$19100 \pm 750$	$305 \pm 30$	255
1000	796	$e\nu$	$0.386 \pm 0.012$	$9080 \pm 290$	$116 \pm 15$	101
		$\mu\nu$	$0.219 \pm 0.009$	$5160 \pm 220$	$84 \pm 10$	58
1250	1002	$e\nu$	$0.378 \pm 0.012$	$2980 \pm 98$	$35.3 \pm 5.8$	34
		$\mu\nu$	$0.210 \pm 0.009$	$1650 \pm 73$	$28.3 \pm 4.6$	19
1500	1191	$e\nu$	$0.376 \pm 0.014$	$1110 \pm 40$	$13.2 \pm 2.5$	14
		$\mu\nu$	$0.206 \pm 0.010$	$610 \pm 30$	$10.9 \pm 2.3$	6
1750	1416	$e\nu$	$0.336 \pm 0.013$	$396 \pm 16$	$4.56 \pm 0.92$	5
		$\mu\nu$	$0.182 \pm 0.010$	$214 \pm 12$	$4.3 \pm 1.1$	0
2000	1500	$e\nu$	$0.370 \pm 0.015$	$183.0 \pm 7.7$	$2.99 \pm 0.61$	3
		$\mu\nu$	$0.198 \pm 0.011$	$98.0 \pm 5.5$	$3.01 \pm 0.80$	0
2250	1683	$e\nu$	$0.327 \pm 0.015$	$71.5 \pm 3.3$	$1.38 \pm 0.33$	0
		$\mu\nu$	$0.173 \pm 0.011$	$37.9 \pm 2.3$	$1.44 \pm 0.33$	0
2500	1888	$e\nu$	$0.262 \pm 0.018$	$27.1 \pm 1.8$	$0.432 \pm 0.091$	0
		$\mu\nu$	$0.140 \pm 0.012$	$14.4 \pm 1.2$	$0.61 \pm 0.15$	0
2750	1888	$e\nu$	$0.235 \pm 0.024$	$12.3 \pm 1.3$	$0.432 \pm 0.091$	0
		$\mu\nu$	$0.127 \pm 0.014$	$6.64 \pm 0.74$	$0.61 \pm 0.15$	0
3000	1888	$e\nu$	$0.183 \pm 0.029$	$5.33 \pm 0.86$	$0.432 \pm 0.091$	0
		$\mu\nu$	$0.100 \pm 0.016$	$2.93 \pm 0.48$	$0.61 \pm 0.15$	0
3250	1888	$e\nu$	$0.124 \pm 0.033$	$2.22 \pm 0.59$	$0.432 \pm 0.091$	0
		$\mu\nu$	$0.069 \pm 0.018$	$1.24 \pm 0.32$	$0.61 \pm 0.15$	0
3500	1888	$e\nu$	$0.077 \pm 0.031$	$0.92 \pm 0.36$	$0.432 \pm 0.091$	0
		$\mu\nu$	$0.044 \pm 0.017$	$0.52 \pm 0.20$	$0.61 \pm 0.15$	0
3750	1888	$e\nu$	$0.047 \pm 0.024$	$0.40 \pm 0.21$	$0.432 \pm 0.091$	0
		$\mu\nu$	$0.028 \pm 0.013$	$0.24 \pm 0.11$	$0.61 \pm 0.15$	0
4000	1888	$e\nu$	$0.031 \pm 0.018$	$0.20 \pm 0.11$	$0.432 \pm 0.091$	0
		$\mu\nu$	$0.019 \pm 0.010$	$0.121 \pm 0.061$	$0.61 \pm 0.15$	0

**Table 6.** Inputs for the  $W' \rightarrow \ell\nu \sigma B$  limit calculations. The first three columns are the  $W'$  mass,  $m_T$  threshold and decay channel. The next two are the signal selection efficiency,  $\varepsilon_{\text{sig}}$ , and the prediction for the number of signal events,  $N_{\text{sig}}$ , obtained with this efficiency. The last two columns are the expected number of background events,  $N_{\text{bkg}}$ , and the number of events observed in data,  $N_{\text{obs}}$ . The uncertainties on  $N_{\text{sig}}$  and  $N_{\text{bkg}}$  include contributions from the uncertainties on the cross-sections but not from that on the integrated luminosity.



$m_{W^*}$ [GeV]	$m_{Tmin}$ [GeV]	Channel	$\epsilon_{sig}$	$N_{sig}$	$N_{bkg}$	$N_{obs}$
400	317	$e\nu$	$0.196 \pm 0.010$	$149000 \pm 7400$	$6630 \pm 440$	6448
		$\mu\nu$	$0.111 \pm 0.005$	$84900 \pm 3700$	$4420 \pm 310$	4230
500	377	$e\nu$	$0.246 \pm 0.011$	$80900 \pm 3500$	$3320 \pm 220$	3275
		$\mu\nu$	$0.140 \pm 0.006$	$45900 \pm 1900$	$2210 \pm 160$	2008
600	448	$e\nu$	$0.257 \pm 0.011$	$41400 \pm 1800$	$1630 \pm 120$	1582
		$\mu\nu$	$0.144 \pm 0.006$	$23200 \pm 960$	$1080 \pm 79$	938
750	564	$e\nu$	$0.248 \pm 0.011$	$15900 \pm 680$	$593 \pm 54$	524
		$\mu\nu$	$0.143 \pm 0.006$	$9200 \pm 400$	$388 \pm 35$	321
1000	710	$e\nu$	$0.302 \pm 0.013$	$5390 \pm 230$	$203 \pm 24$	177
		$\mu\nu$	$0.174 \pm 0.007$	$3100 \pm 130$	$143 \pm 17$	109
1250	843	$e\nu$	$0.337 \pm 0.013$	$2010 \pm 79$	$86 \pm 12$	79
		$\mu\nu$	$0.191 \pm 0.008$	$1140 \pm 50$	$65.5 \pm 8.5$	40
1500	1062	$e\nu$	$0.296 \pm 0.011$	$648 \pm 25$	$25.8 \pm 4.4$	26
		$\mu\nu$	$0.164 \pm 0.007$	$360 \pm 16$	$20.9 \pm 3.8$	12
1750	1191	$e\nu$	$0.324 \pm 0.013$	$278 \pm 11$	$13.2 \pm 2.5$	14
		$\mu\nu$	$0.182 \pm 0.009$	$156.0 \pm 7.6$	$10.9 \pm 2.3$	6
2000	1337	$e\nu$	$0.341 \pm 0.013$	$118.0 \pm 4.6$	$6.8 \pm 1.3$	9
		$\mu\nu$	$0.186 \pm 0.010$	$64.6 \pm 3.3$	$5.8 \pm 1.4$	3
2250	1416	$e\nu$	$0.391 \pm 0.014$	$55.5 \pm 2.0$	$4.56 \pm 0.92$	5
		$\mu\nu$	$0.204 \pm 0.010$	$28.9 \pm 1.5$	$4.3 \pm 1.1$	0
2500	1683	$e\nu$	$0.337 \pm 0.013$	$19.80 \pm 0.76$	$1.38 \pm 0.33$	0
		$\mu\nu$	$0.179 \pm 0.010$	$10.50 \pm 0.57$	$1.44 \pm 0.33$	0
2750	1888	$e\nu$	$0.322 \pm 0.013$	$7.84 \pm 0.31$	$0.432 \pm 0.091$	0
		$\mu\nu$	$0.161 \pm 0.011$	$3.92 \pm 0.27$	$0.61 \pm 0.15$	0
3000	1888	$e\nu$	$0.382 \pm 0.015$	$3.80 \pm 0.15$	$0.432 \pm 0.091$	0
		$\mu\nu$	$0.185 \pm 0.011$	$1.84 \pm 0.11$	$0.61 \pm 0.15$	0
3250	1888	$e\nu$	$0.437 \pm 0.018$	$1.770 \pm 0.073$	$0.432 \pm 0.091$	0
		$\mu\nu$	$0.218 \pm 0.014$	$0.880 \pm 0.056$	$0.61 \pm 0.15$	0
3500	1888	$e\nu$	$0.474 \pm 0.025$	$0.766 \pm 0.040$	$0.432 \pm 0.091$	0
		$\mu\nu$	$0.229 \pm 0.016$	$0.371 \pm 0.027$	$0.61 \pm 0.15$	0
3750	1888	$e\nu$	$0.498 \pm 0.055$	$0.320 \pm 0.035$	$0.432 \pm 0.091$	0
		$\mu\nu$	$0.244 \pm 0.029$	$0.157 \pm 0.019$	$0.61 \pm 0.15$	0
4000	1888	$e\nu$	$0.487 \pm 0.150$	$0.124 \pm 0.038$	$0.432 \pm 0.091$	0
		$\mu\nu$	$0.242 \pm 0.073$	$0.062 \pm 0.019$	$0.61 \pm 0.15$	0

**Table 7.** Inputs for the  $W^* \rightarrow \ell\nu \sigma B$  limit calculations. The columns are the same as in table 6.

$m_\chi$ [GeV]	$m_{T\min}$ [GeV]	Channel	$\varepsilon_{\text{sig}}$	$N_{\text{sig}}$	$N_{\text{bkg}}$	$N_{\text{obs}}$
D1 Operator						
1	796	$e\nu$	$0.0294 \pm 0.0044$	$87000 \pm 13000$	$e\nu$ $\mu\nu$	116 $\pm$ 15 84 $\pm$ 10
		$\mu\nu$	$0.0177 \pm 0.0023$	$52500 \pm 7000$		
100		$e\nu$	$0.0396 \pm 0.0052$	$89000 \pm 12000$		
		$\mu\nu$	$0.0252 \pm 0.0033$	$56600 \pm 7500$		
200		$e\nu$	$0.0484 \pm 0.0057$	$65800 \pm 7700$		
		$\mu\nu$	$0.0293 \pm 0.0034$	$39900 \pm 4600$		
400		$e\nu$	$0.0709 \pm 0.0071$	$30900 \pm 3100$		
		$\mu\nu$	$0.0398 \pm 0.0041$	$17300 \pm 1800$		
1000		$e\nu$	$0.0989 \pm 0.0100$	$1070 \pm 110$		
		$\mu\nu$	$0.0621 \pm 0.0068$	$673 \pm 73$		
1300		$e\nu$	$0.0964 \pm 0.0095$	$138 \pm 14$		
		$\mu\nu$	$0.0522 \pm 0.0048$	$75.1 \pm 6.9$		
D5d Operator						
1	597	$e\nu$	$0.0148 \pm 0.0016$	$7230 \pm 800$	$e\nu$ $\mu\nu$	456 $\pm$ 45 305 $\pm$ 30
		$\mu\nu$	$0.0080 \pm 0.0011$	$3890 \pm 530$		
100		$e\nu$	$0.0158 \pm 0.0018$	$7580 \pm 850$		
		$\mu\nu$	$0.0096 \pm 0.0012$	$4600 \pm 580$		
200		$e\nu$	$0.0147 \pm 0.0015$	$5850 \pm 610$		
		$\mu\nu$	$0.0086 \pm 0.0011$	$3420 \pm 430$		
400		$e\nu$	$0.0190 \pm 0.0020$	$4220 \pm 440$		
		$\mu\nu$	$0.0113 \pm 0.0013$	$2500 \pm 300$		
1000		$e\nu$	$0.0281 \pm 0.0025$	$450 \pm 41$		
		$\mu\nu$	$0.0177 \pm 0.0019$	$283 \pm 30$		
1300		$e\nu$	$0.0291 \pm 0.0028$	$89.3 \pm 8.5$		
		$\mu\nu$	$0.0167 \pm 0.0018$	$51.1 \pm 5.4$		
D5c Operator						
1	843	$e\nu$	$0.0737 \pm 0.0047$	$30.3 \pm 1.9$	$e\nu$ $\mu\nu$	86 $\pm$ 12 65.5 $\pm$ 8.5
		$\mu\nu$	$0.0435 \pm 0.0034$	$17.9 \pm 1.4$		
100		$e\nu$	$0.0798 \pm 0.0050$	$31.0 \pm 1.9$		
		$\mu\nu$	$0.0437 \pm 0.0034$	$17.0 \pm 1.3$		
200		$e\nu$	$0.0762 \pm 0.0049$	$25.1 \pm 1.6$		
		$\mu\nu$	$0.0461 \pm 0.0034$	$15.2 \pm 1.1$		
400		$e\nu$	$0.0857 \pm 0.0055$	$16.2 \pm 1.0$		
		$\mu\nu$	$0.0532 \pm 0.0040$	$10.0 \pm 0.8$		
1000		$e\nu$	$0.0987 \pm 0.0091$	$1.28 \pm 0.12$		
		$\mu\nu$	$0.0636 \pm 0.0057$	$0.824 \pm 0.074$		
1300		$e\nu$	$0.1010 \pm 0.0095$	$0.240 \pm 0.023$		
		$\mu\nu$	$0.0589 \pm 0.0057$	$0.140 \pm 0.014$		
D9 Operator						
1	843	$e\nu$	$0.0851 \pm 0.0053$	$55.5 \pm 3.5$	$e\nu$ $\mu\nu$	86 $\pm$ 12 65.5 $\pm$ 8.5
		$\mu\nu$	$0.0517 \pm 0.0035$	$33.8 \pm 2.3$		
100		$e\nu$	$0.0950 \pm 0.0056$	$55.8 \pm 3.3$		
		$\mu\nu$	$0.0529 \pm 0.0038$	$31.1 \pm 2.3$		
200		$e\nu$	$0.1040 \pm 0.0062$	$48.9 \pm 2.9$		
		$\mu\nu$	$0.0553 \pm 0.0039$	$26.0 \pm 1.8$		
400		$e\nu$	$0.1030 \pm 0.0067$	$25.5 \pm 1.6$		
		$\mu\nu$	$0.0578 \pm 0.0042$	$14.3 \pm 1.0$		
1000		$e\nu$	$0.1070 \pm 0.0092$	$1.63 \pm 0.14$		
		$\mu\nu$	$0.0615 \pm 0.0055$	$0.944 \pm 0.084$		
1300		$e\nu$	$0.1020 \pm 0.0100$	$0.285 \pm 0.029$		
		$\mu\nu$	$0.0573 \pm 0.0056$	$0.160 \pm 0.016$		

**Table 8.** Inputs to the limit calculations on the pair production of DM particles for the operators D1, D5d, D5c and D9. Expected number of signal events for each operator is calculated for a different value of the mass scale, notably  $M_* = 10$  GeV for D1,  $M_* = 100$  GeV for D5d, and  $M_* = 1$  TeV for operators D9 and D5c. The columns are the same as in table 6.

Limits with various subsets of the systematic uncertainties are shown for  $W' \rightarrow \ell\nu$  as a representative case. The uncertainties on the signal selection efficiency have very little effect on the final limits, and the background-level and luminosity uncertainties are important only for the lowest masses. Figure 2 also shows the expected limits and the theoretical  $\sigma B$  for a  $W'$  and for a  $W^*$ . Limits are evaluated by fixing the  $W^*$  coupling strengths to give the same partial decay widths as the  $W'$ . The off-shell production of  $W'$  degrades the acceptance at high mass, worsening the limits. As discussed in section 1,  $W^*$  has different couplings with respect to  $W'$ , enhancing the production at the pole. Since the off-shell production is reduced with respect to  $W'$ , the  $W^*$  limits do not show the same behaviour at high mass.

In figure 2 the intersection between the central theoretical prediction and the observed limits provides the 95% CL lower limits on the mass. The expected and observed  $W'$  and  $W^*$  mass limits for the electron and muon decay channels as well as their combination are listed in table 11. The difference between the expected and observed combined mass limits originate from the slight data deficit in each decay channel that are individually not significant. The band around the theoretical prediction in figure 2 indicates the total theory uncertainty as described earlier in the text. The mass limit for the  $W'$  decreases by 50 GeV if the intersection between the lower theoretical prediction and the observed limit is used. The uncertainties on  $\varepsilon_{\text{sig}}$ ,  $N_{\text{bkg}}$  and  $L_{\text{int}}$  affect the derived mass limits by a similar amount. Limits are also evaluated following the CL<sub>s</sub> prescription [74] using the profile likelihood ratio as the test statistic including all uncertainties. The cross-section limits are found to agree within 10% across the entire mass range, with only marginal impact on the mass limit. The mass limits presented here are a significant improvement over those reported in previous ATLAS and CMS searches [4–7].

The results of the search for pair production of DM particles in association with a leptonically decaying  $W$  boson are shown in figures 3 and 4. The former shows the observed limits on  $M_*$ , the mass scale of the unknown mediating interaction for the DM particle pair production, whereas the latter shows the observed limits on the DM-nucleon scattering cross-section. Both are shown as a function of the DM particle mass,  $m_\chi$ , and presented at 90% CL. Results of the previous ATLAS searches for hadronically decaying  $W/Z$  [19], leptonically decaying  $Z$  [20], and  $j + \chi\chi$  [15] are also shown. The observed limits on  $M_*$  as a function of  $m_\chi$  are by a factor  $\sim 1.5$  stronger in the search for DM production in association with hadronically decaying  $W$  with respect the ones presented in this paper.

## 8 Conclusions

A search is presented for new high-mass states decaying to a lepton (electron or muon) plus missing transverse momentum using 20.3 fb<sup>-1</sup> of proton-proton collision data at  $\sqrt{s} = 8$  TeV recorded with the ATLAS experiment at the Large Hadron Collider. No significant excess beyond SM expectations is observed. Limits on  $\sigma B$  are presented. A  $W'$  with SSM couplings is excluded for masses below 3.24 TeV at 95% CL. The exclusion for  $W^*$  with equivalent couplings is 3.21 TeV. For the pair production of weakly interacting DM particles in events with a leptonically decaying  $W$ , limits are set on the mass scale,  $M_*$ , of the unknown mediating interaction as well as on the DM-nucleon scattering cross-section.

$m_{W'/W^*}$ [GeV]	Channel	95% CL limit on $\sigma B$ [fb]							
		$W'$						$W^*$	
		none	S	SB	SBL	SB <sub>c</sub>	SB <sub>c</sub> L	none	SB <sub>c</sub> L
300	$e\nu$	29.0	29.1	304	342	305	343		
	$\mu\nu$	22.4	22.4	327	363	327	363		
	both	14.2	14.2	219	269	290	331		
400	$e\nu$	14.1	14.1	94.8	105	95.0	105	20.7	204
	$\mu\nu$	12.6	12.6	91.3	102	91.4	102	25.1	233
	both	7.55	7.56	63.4	77.0	83.2	94.7	12.6	197
500	$e\nu$	9.14	9.18	38.7	42.2	38.8	42.4	17.3	87.5
	$\mu\nu$	6.42	6.44	30.6	34.0	30.7	34.1	10.5	77.9
	both	4.26	4.26	22.3	27.0	29.8	33.9	7.54	77.7
600	$e\nu$	5.67	5.68	19.5	21.2	19.7	21.4	10.4	43.9
	$\mu\nu$	4.38	4.40	15.5	17.0	15.6	17.1	7.11	32.8
	both	2.78	2.78	11.1	13.2	15.5	17.4	4.75	33.9
750	$e\nu$	2.95	2.95	8.25	8.71	8.35	8.81	4.23	14.9
	$\mu\nu$	3.33	3.34	7.89	8.35	7.97	8.43	5.23	14.7
	both	1.73	1.73	5.06	5.63	7.01	7.52	2.51	12.8
1000	$e\nu$	1.84	1.85	3.25	3.34	3.29	3.38	2.69	6.01
	$\mu\nu$	1.86	1.87	2.87	2.95	2.92	3.00	3.02	5.88
	both	1.03	1.04	1.86	1.96	2.48	2.58	1.57	4.94
1250	$e\nu$	1.63	1.64	2.06	2.09	2.09	2.12	2.29	3.65
	$\mu\nu$	1.62	1.62	2.01	2.04	2.04	2.07	1.78	2.60
	both	0.990	0.991	1.30	1.34	1.54	1.57	1.16	2.53
1500	$e\nu$	1.27	1.28	1.40	1.41	1.42	1.43	1.99	2.39
	$\mu\nu$	1.21	1.22	1.35	1.36	1.37	1.38	1.71	2.06
	both	0.775	0.777	0.879	0.890	0.967	0.979	1.14	1.63
1750	$e\nu$	0.964	0.967	0.993	0.997	1.01	1.01	1.48	1.64
	$\mu\nu$	0.813	0.818	0.818	0.821	0.827	0.831	1.37	1.54
	both	0.521	0.522	0.533	0.537	0.563	0.567	0.889	1.10
2000	$e\nu$	0.721	0.724	0.735	0.738	0.743	0.746	1.34	1.40
	$\mu\nu$	0.747	0.751	0.751	0.754	0.760	0.762	1.18	1.26
	both	0.415	0.416	0.422	0.424	0.439	0.441	0.831	0.922

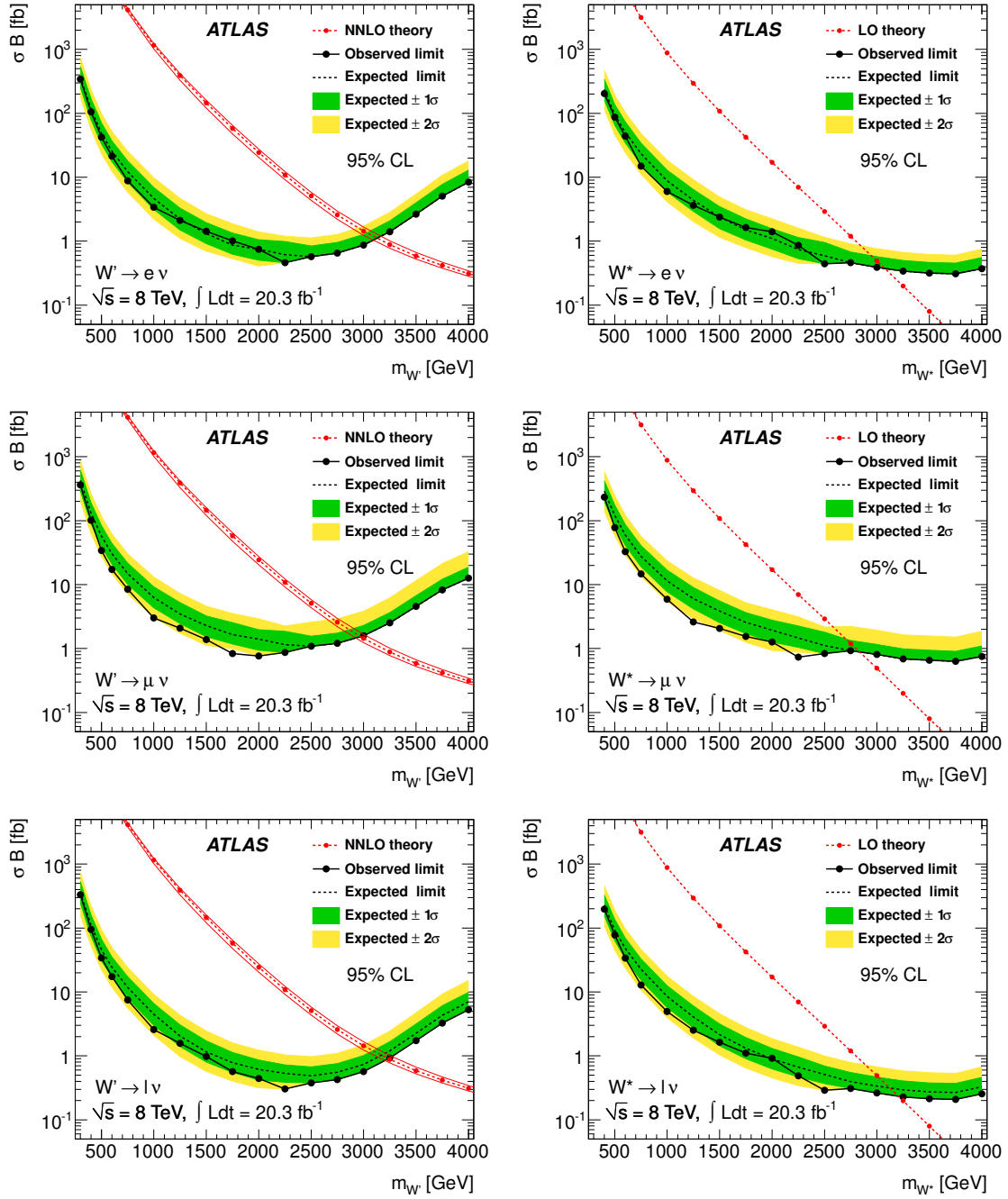
**Table 9.** Observed upper limits on  $\sigma B$  for  $W'$  and  $W^*$  with masses up to 2000 GeV. The first column is the  $W'/W^*$  mass and the following columns refer to the 95% CL limits for the  $W'$  with headers indicating the nuisance parameters for which uncertainties are included: S for the event selection efficiency ( $\varepsilon_{\text{sig}}$ ), B for the background level ( $N_{\text{bkg}}$ ), and L for the integrated luminosity ( $L_{\text{int}}$ ). The column labelled SBL includes all uncertainties neglecting correlations. Results are also presented when including the correlation of the signal and background cross-section uncertainties, as well as the correlation of the background cross-section uncertainties for the combined limits (SB<sub>c</sub>, SB<sub>c</sub>L). The last two columns show the limits for the  $W^*$  without nuisance parameters and when including all nuisance parameters with correlations.

$m_{W'/W^*}$ [GeV]	Channel	95% CL limit on $\sigma B$ [fb]							
		$W'$						$W^*$	
		none	S	SB	SBL	SB <sub>c</sub>	SB <sub>cL</sub>	none	SB <sub>cL</sub>
2250	$e\nu$	0.453	0.455	0.455	0.456	0.458	0.459	0.830	0.859
	$\mu\nu$	0.853	0.859	0.859	0.862	0.866	0.869	0.726	0.734
	both	0.296	0.297	0.297	0.298	0.301	0.303	0.457	0.488
2500	$e\nu$	0.564	0.569	0.569	0.570	0.572	0.573	0.438	0.441
	$\mu\nu$	1.06	1.07	1.07	1.08	1.08	1.08	0.828	0.837
	both	0.368	0.370	0.370	0.371	0.376	0.377	0.287	0.289
2750	$e\nu$	0.629	0.643	0.643	0.644	0.648	0.649	0.459	0.462
	$\mu\nu$	1.16	1.19	1.19	1.20	1.21	1.21	0.917	0.928
	both	0.409	0.413	0.413	0.414	0.425	0.426	0.306	0.308
3000	$e\nu$	0.809	0.852	0.852	0.853	0.863	0.865	0.387	0.389
	$\mu\nu$	1.47	1.55	1.55	1.56	1.58	1.58	0.798	0.807
	both	0.523	0.534	0.534	0.536	0.566	0.567	0.261	0.263
3250	$e\nu$	1.20	1.37	1.37	1.37	1.40	1.40	0.338	0.340
	$\mu\nu$	2.14	2.45	2.45	2.45	2.52	2.52	0.678	0.687
	both	0.768	0.815	0.815	0.816	0.919	0.920	0.226	0.228
3500	$e\nu$	1.92	2.56	2.56	2.56	2.64	2.64	0.312	0.315
	$\mu\nu$	3.37	4.38	4.38	4.39	4.56	4.57	0.645	0.655
	both	1.22	1.38	1.38	1.38	1.72	1.73	0.210	0.213
3750	$e\nu$	3.12	4.90	4.90	4.90	5.07	5.08	0.297	0.307
	$\mu\nu$	5.32	7.85	7.85	7.86	8.22	8.24	0.605	0.630
	both	1.97	2.37	2.37	2.38	3.26	3.27	0.199	0.208
4000	$e\nu$	4.76	8.07	8.07	8.09	8.38	8.40	0.304	0.372
	$\mu\nu$	7.75	12.0	12.0	12.0	12.6	12.6	0.613	0.749
	both	2.95	3.66	3.66	3.66	5.24	5.24	0.203	0.255

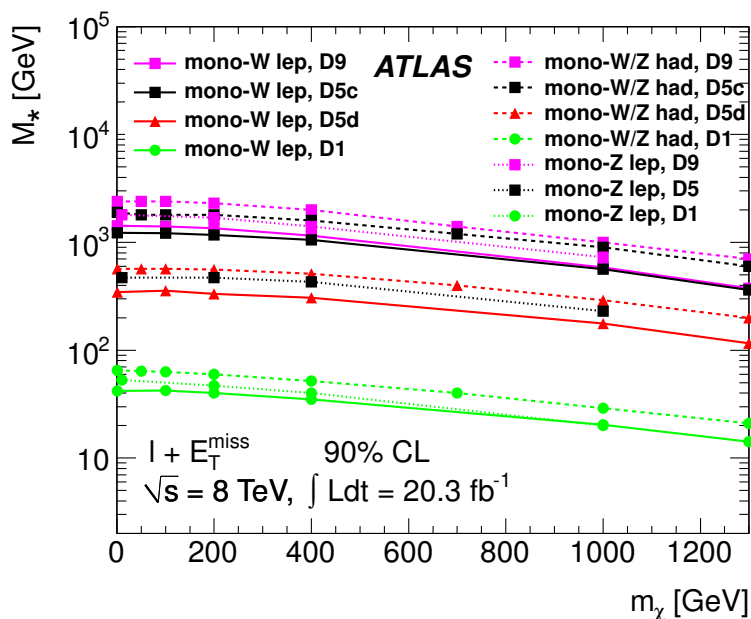
**Table 10.** Observed upper limits on  $\sigma B$  for  $W'$  and  $W^*$  with masses above 2000 GeV. The columns are the same as in table 9.

Decay	$m_{W'}$ [TeV]		$m_{W^*}$ [TeV]	
	Exp.	Obs.	Exp.	Obs.
$e\nu$	3.13	3.13	3.08	3.08
$\mu\nu$	2.97	2.97	2.83	2.83
Both	3.17	3.24	3.12	3.21

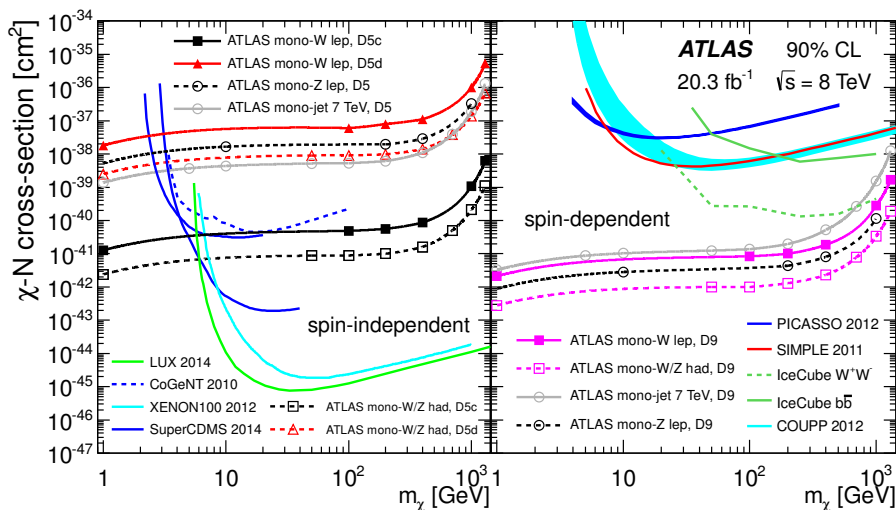
**Table 11.** Lower limits on the  $W'$  and  $W^*$  masses. The first column is the decay channel ( $e\nu$ ,  $\mu\nu$  or both combined) and the following give the expected (Exp.) and observed (Obs.) mass limits.



**Figure 2.** Observed and expected limits on  $\sigma B$  for  $W'$  (left) and  $W^*$  (right) at 95% CL in the electron channel (top), muon channel (centre) and the combination (bottom) assuming the same branching fraction for both channels. The predicted values for  $\sigma B$  and their uncertainties (except for  $W^*$ ) are also shown. The calculation of uncertainties on the  $W'$  cross-sections is explained in section 4.



**Figure 3.** Observed limits on  $M_*$  as a function of the DM particle mass ( $m_\chi$ ) at 90% CL for the combination of the electron and muon channel, for various operators as described in the text. For each operator, the values below the corresponding line are excluded. No signal samples are generated for masses below 1 GeV but the limits are expected to be stable down to arbitrarily small values. Results of the previous ATLAS searches for hadronically decaying  $W/Z$  [19] and leptonically decaying  $Z$  [20] are also shown.



**Figure 4.** Observed limits on the DM-nucleon scattering cross-section as a function of  $m_\chi$  at 90% CL for spin-independent (left) and spin-dependent (right) operators in the EFT. Results are compared with the previous ATLAS searches for hadronically decaying  $W/Z$  [19], leptonically decaying  $Z$  [20], and  $j + \chi\chi$  [15], and with direct detection searches by CoGeNT [75], XENON100 [76], CDMS [77, 78], LUX [79], COUPP [80], SIMPLE [81], PICASSO [82] and IceCube [83]. The comparison between direct detection and ATLAS results is only possible within the limits of the validity of the EFT [84].



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G. Aad<sup>84</sup>, B. Abbott<sup>112</sup>, J. Abdallah<sup>152</sup>, S. Abdel Khalek<sup>116</sup>, O. Abdinov<sup>11</sup>, R. Aben<sup>106</sup>, B. Abi<sup>113</sup>, M. Abolins<sup>89</sup>, O.S. AbouZeid<sup>159</sup>, H. Abramowicz<sup>154</sup>, H. Abreu<sup>153</sup>, R. Abreu<sup>30</sup>, Y. Abulaiti<sup>147a,147b</sup>, B.S. Acharya<sup>165a,165b,a</sup>, L. Adamczyk<sup>38a</sup>, D.L. Adams<sup>25</sup>, J. Adelman<sup>177</sup>, S. Adomeit<sup>99</sup>, T. Adye<sup>130</sup>, T. Agatonovic-Jovin<sup>13a</sup>, J.A. Aguilar-Saavedra<sup>125a,125f</sup>, M. Agustoni<sup>17</sup>, S.P. Ahlen<sup>22</sup>, F. Ahmadov<sup>64,b</sup>, G. Aielli<sup>134a,134b</sup>, H. Akerstedt<sup>147a,147b</sup>, T.P.A. Åkesson<sup>80</sup>, G. Akimoto<sup>156</sup>, A.V. Akimov<sup>95</sup>, G.L. Alberghi<sup>20a,20b</sup>, J. Albert<sup>170</sup>, S. Albrand<sup>55</sup>, M.J. Alconada Verzini<sup>70</sup>, M. Aleksa<sup>30</sup>, I.N. Aleksandrov<sup>64</sup>, C. Alexa<sup>26a</sup>, G. Alexander<sup>154</sup>, G. Alexandre<sup>49</sup>, T. Alexopoulos<sup>10</sup>, M. Alhroob<sup>165a,165c</sup>, G. Alimonti<sup>90a</sup>, L. Alio<sup>84</sup>, J. Alison<sup>31</sup>, B.M.M. Allbrooke<sup>18</sup>, L.J. Allison<sup>71</sup>, P.P. Allport<sup>73</sup>, J. Almond<sup>83</sup>, A. Aloisio<sup>103a,103b</sup>, A. Alonso<sup>36</sup>, F. Alonso<sup>70</sup>, C. Alpigiani<sup>75</sup>, A. Altheimer<sup>35</sup>, B. Alvarez Gonzalez<sup>89</sup>, M.G. Alviggi<sup>103a,103b</sup>, K. Amako<sup>65</sup>, Y. Amaral Coutinho<sup>24a</sup>, C. Amelung<sup>23</sup>, D. Amidei<sup>88</sup>, S.P. Amor Dos Santos<sup>125a,125c</sup>, A. Amorim<sup>125a,125b</sup>, S. Amoroso<sup>48</sup>, N. Amram<sup>154</sup>, G. Amundsen<sup>23</sup>, C. Anastopoulos<sup>140</sup>, L.S. Ancu<sup>49</sup>, N. Andari<sup>30</sup>, T. Andeen<sup>35</sup>, C.F. Anders<sup>58b</sup>, G. Anders<sup>30</sup>, K.J. Anderson<sup>31</sup>, A. Andreazza<sup>90a,90b</sup>, V. Andrei<sup>58a</sup>, X.S. Anduaga<sup>70</sup>, S. Angelidakis<sup>9</sup>, I. Angelozzi<sup>106</sup>, P. Anger<sup>44</sup>, A. Angerami<sup>35</sup>, F. Anghinolfi<sup>30</sup>, A.V. Anisenkov<sup>108</sup>, N. Anjos<sup>125a</sup>, A. Annovi<sup>47</sup>, A. Antonaki<sup>9</sup>, M. Antonelli<sup>47</sup>, A. Antonov<sup>97</sup>, J. Antos<sup>145b</sup>, F. Anulli<sup>133a</sup>, M. Aoki<sup>65</sup>, L. Aperio Bella<sup>18</sup>, R. Apolle<sup>119,c</sup>, G. Arabidze<sup>89</sup>, I. Aracena<sup>144</sup>, Y. Arai<sup>65</sup>, J.P. Araque<sup>125a</sup>, A.T.H. Arce<sup>45</sup>, J-F. Arguin<sup>94</sup>, S. Argyropoulos<sup>42</sup>, M. Arik<sup>19a</sup>, A.J. Armbruster<sup>30</sup>, O. Arnaez<sup>30</sup>, V. Arnal<sup>81</sup>, H. Arnold<sup>48</sup>, M. Arratia<sup>28</sup>, O. Arslan<sup>21</sup>, A. Artamonov<sup>96</sup>, G. Artoni<sup>23</sup>, S. Asai<sup>156</sup>, N. Asbah<sup>42</sup>, A. Ashkenazi<sup>154</sup>, B. Åsman<sup>147a,147b</sup>, L. 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C. Boehm<sup>147a</sup>, J. Boehm<sup>126</sup>, V. Boisvert<sup>76</sup>, T. Bold<sup>38a</sup>, V. Boldea<sup>26a</sup>, A.S. Boldyrev<sup>98</sup>,  
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 I. Bozovic-Jelisavcic<sup>13b</sup>, J. Bracinik<sup>18</sup>, A. Brandt<sup>8</sup>, G. Brandt<sup>15</sup>, O. Brandt<sup>58a</sup>, U. Bratzler<sup>157</sup>,  
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 F.M. Brochu<sup>28</sup>, I. Brock<sup>21</sup>, R. Brock<sup>89</sup>, C. Bromberg<sup>89</sup>, J. Bronner<sup>100</sup>, G. Brooijmans<sup>35</sup>,  
 T. Brooks<sup>76</sup>, W.K. Brooks<sup>32b</sup>, J. Brosamer<sup>15</sup>, E. Brost<sup>115</sup>, G. Brown<sup>83</sup>, J. Brown<sup>55</sup>,  
 P.A. Bruckman de Renstrom<sup>39</sup>, D. Bruncko<sup>145b</sup>, R. Bruneliere<sup>48</sup>, S. Brunet<sup>60</sup>, A. Bruni<sup>20a</sup>,  
 G. Bruni<sup>20a</sup>, M. Bruschi<sup>20a</sup>, L. Bryngemark<sup>80</sup>, T. Buanes<sup>14</sup>, Q. Buat<sup>143</sup>, F. Bucci<sup>49</sup>,  
 P. Buchholz<sup>142</sup>, R.M. Buckingham<sup>119</sup>, A.G. Buckley<sup>53</sup>, S.I. Buda<sup>26a</sup>, I.A. Budagov<sup>64</sup>,  
 F. Buehrer<sup>48</sup>, L. Bugge<sup>118</sup>, M.K. Bugge<sup>118</sup>, O. Bulekov<sup>97</sup>, A.C. Bundock<sup>73</sup>, H. Burckhart<sup>30</sup>,  
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 V. Buischer<sup>82</sup>, P. Bussey<sup>53</sup>, C.P. Buszello<sup>167</sup>, B. Butler<sup>57</sup>, J.M. Butler<sup>22</sup>, A.I. Butt<sup>3</sup>,  
 C.M. Buttar<sup>53</sup>, J.M. Butterworth<sup>77</sup>, P. Butti<sup>106</sup>, W. Buttinger<sup>28</sup>, A. Buzatu<sup>53</sup>, M. Byszewski<sup>10</sup>,  
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 M. Campanelli<sup>77</sup>, A. Campoverde<sup>149</sup>, V. Canale<sup>103a,103b</sup>, A. Canepa<sup>160a</sup>, M. Cano Bret<sup>75</sup>,  
 J. Cantero<sup>81</sup>, R. Cantrill<sup>76</sup>, T. Cao<sup>40</sup>, M.D.M. Capeans Garrido<sup>30</sup>, I. Caprini<sup>26a</sup>, M. Caprini<sup>26a</sup>,  
 M. Capua<sup>37a,37b</sup>, R. Caputo<sup>82</sup>, R. Cardarelli<sup>134a</sup>, T. Carli<sup>30</sup>, G. Carlino<sup>103a</sup>, L. Carminati<sup>90a,90b</sup>,  
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M.A. Wildt<sup>42,ak</sup>, H.G. Wilkens<sup>30</sup>, J.Z. Will<sup>99</sup>, H.H. Williams<sup>121</sup>, S. Williams<sup>28</sup>, C. Willis<sup>89</sup>, S. Willocq<sup>85</sup>, A. Wilson<sup>88</sup>, J.A. Wilson<sup>18</sup>, I. Wingerter-Seez<sup>5</sup>, F. Winklmeier<sup>115</sup>, B.T. Winter<sup>21</sup>, M. Wittgen<sup>144</sup>, T. Wittig<sup>43</sup>, J. Wittkowski<sup>99</sup>, S.J. Wollstadt<sup>82</sup>, M.W. Wolter<sup>39</sup>, H. Wolters<sup>125a,125c</sup>, B.K. Wosiek<sup>39</sup>, J. Wotschack<sup>30</sup>, M.J. Woudstra<sup>83</sup>, K.W. Wozniak<sup>39</sup>, M. Wright<sup>53</sup>, M. Wu<sup>55</sup>, S.L. Wu<sup>174</sup>, X. Wu<sup>49</sup>, Y. Wu<sup>88</sup>, E. Wulf<sup>35</sup>, T.R. Wyatt<sup>83</sup>, B.M. Wynne<sup>46</sup>, S. Xella<sup>36</sup>, M. Xiao<sup>137</sup>, D. Xu<sup>33a</sup>, L. Xu<sup>33b,al</sup>, B. Yabsley<sup>151</sup>, S. Yacoob<sup>146b,am</sup>, M. Yamada<sup>65</sup>, H. Yamaguchi<sup>156</sup>, Y. Yamaguchi<sup>156</sup>, A. Yamamoto<sup>65</sup>, K. Yamamoto<sup>63</sup>, S. Yamamoto<sup>156</sup>, T. Yamamura<sup>156</sup>, T. Yamanaka<sup>156</sup>, K. Yamauchi<sup>102</sup>, Y. Yamazaki<sup>66</sup>, Z. Yan<sup>22</sup>, H. Yang<sup>33e</sup>, H. Yang<sup>174</sup>, U.K. Yang<sup>83</sup>, Y. Yang<sup>110</sup>, S. Yanush<sup>92</sup>, L. Yao<sup>33a</sup>, W-M. Yao<sup>15</sup>, Y. Yasu<sup>65</sup>, E. Yatsenko<sup>42</sup>, K.H. Yau Wong<sup>21</sup>, J. Ye<sup>40</sup>, S. Ye<sup>25</sup>, A.L. Yen<sup>57</sup>, E. Yildirim<sup>42</sup>, M. Yilmaz<sup>4b</sup>, R. Yoosoofmiya<sup>124</sup>, K. Yorita<sup>172</sup>, R. Yoshida<sup>6</sup>, K. Yoshihara<sup>156</sup>, C. Young<sup>144</sup>, C.J.S. Young<sup>30</sup>, S. Youssef<sup>22</sup>, D.R. Yu<sup>15</sup>, J. Yu<sup>8</sup>, J.M. Yu<sup>88</sup>, J. Yu<sup>113</sup>, L. Yuan<sup>66</sup>, A. Yurkewicz<sup>107</sup>, B. Zabinski<sup>39</sup>, R. Zaidan<sup>62</sup>, A.M. Zaitsev<sup>129,z</sup>, A. Zaman<sup>149</sup>, S. Zambito<sup>23</sup>, L. Zanello<sup>133a,133b</sup>, D. Zanzi<sup>100</sup>, C. Zeitnitz<sup>176</sup>, M. Zeman<sup>127</sup>, A. Zemla<sup>38a</sup>, K. Zengel<sup>23</sup>, O. Zenin<sup>129</sup>, T. Ženiš<sup>145a</sup>, D. Zerwas<sup>116</sup>, G. Zevi della Porta<sup>57</sup>, D. Zhang<sup>88</sup>, F. Zhang<sup>174</sup>, H. Zhang<sup>89</sup>, J. Zhang<sup>6</sup>, L. Zhang<sup>152</sup>, X. Zhang<sup>33d</sup>, Z. Zhang<sup>116</sup>, Z. Zhao<sup>33b</sup>, A. Zhemchugov<sup>64</sup>, J. Zhong<sup>119</sup>, B. Zhou<sup>88</sup>, L. Zhou<sup>35</sup>, N. Zhou<sup>164</sup>, C.G. Zhu<sup>33d</sup>, H. Zhu<sup>33a</sup>, J. Zhu<sup>88</sup>, Y. Zhu<sup>33b</sup>, X. Zhuang<sup>33a</sup>, K. Zhukov<sup>95</sup>, A. Zibell<sup>175</sup>, D. Zieminska<sup>60</sup>, N.I. Zimine<sup>64</sup>, C. Zimmermann<sup>82</sup>, R. Zimmermann<sup>21</sup>, S. Zimmermann<sup>21</sup>, S. Zimmermann<sup>48</sup>, Z. Zinonos<sup>54</sup>, M. Ziolkowski<sup>142</sup>, G. Zobernig<sup>174</sup>, A. Zoccoli<sup>20a,20b</sup>, M. zur Nedden<sup>16</sup>, G. Zurzolo<sup>103a,103b</sup>, V. Zutshi<sup>107</sup> and L. Zwalinski<sup>30</sup>.

<sup>1</sup> Department of Physics, University of Adelaide, Adelaide, Australia

<sup>2</sup> Physics Department, SUNY Albany, Albany NY, United States of America

<sup>3</sup> Department of Physics, University of Alberta, Edmonton AB, Canada

<sup>4</sup> (a) Department of Physics, Ankara University, Ankara; (b) Department of Physics, Gazi University, Ankara; (c) Division of Physics, TOBB University of Economics and Technology, Ankara; (d) Turkish Atomic Energy Authority, Ankara, Turkey

<sup>5</sup> LAPP, CNRS/IN2P3 and Université de Savoie, Annecy-le-Vieux, France

<sup>6</sup> High Energy Physics Division, Argonne National Laboratory, Argonne IL, United States of America

<sup>7</sup> Department of Physics, University of Arizona, Tucson AZ, United States of America

<sup>8</sup> Department of Physics, The University of Texas at Arlington, Arlington TX, United States of America

<sup>9</sup> Physics Department, University of Athens, Athens, Greece

<sup>10</sup> Physics Department, National Technical University of Athens, Zografou, Greece

<sup>11</sup> Institute of Physics, Azerbaijan Academy of Sciences, Baku, Azerbaijan

<sup>12</sup> Institut de Física d'Altes Energies and Departament de Física de la Universitat Autònoma de Barcelona, Barcelona, Spain

<sup>13</sup> (a) Institute of Physics, University of Belgrade, Belgrade; (b) Vinca Institute of Nuclear Sciences, University of Belgrade, Belgrade, Serbia

<sup>14</sup> Department for Physics and Technology, University of Bergen, Bergen, Norway

<sup>15</sup> Physics Division, Lawrence Berkeley National Laboratory and University of California, Berkeley CA, United States of America

<sup>16</sup> Department of Physics, Humboldt University, Berlin, Germany

<sup>17</sup> Albert Einstein Center for Fundamental Physics and Laboratory for High Energy Physics, University of Bern, Bern, Switzerland

<sup>18</sup> School of Physics and Astronomy, University of Birmingham, Birmingham, United Kingdom

<sup>19</sup> (a) Department of Physics, Bogazici University, Istanbul; (b) Department of Physics, Dogus University, Istanbul; (c) Department of Physics Engineering, Gaziantep University, Gaziantep, Turkey

<sup>20</sup> (a) INFN Sezione di Bologna; (b) Dipartimento di Fisica e Astronomia, Università di Bologna, Bologna, Italy

<sup>21</sup> Physikalisches Institut, University of Bonn, Bonn, Germany

- <sup>22</sup> Department of Physics, Boston University, Boston MA, United States of America
- <sup>23</sup> Department of Physics, Brandeis University, Waltham MA, United States of America
- <sup>24</sup> <sup>(a)</sup> Universidade Federal do Rio De Janeiro COPPE/EE/IF, Rio de Janeiro; <sup>(b)</sup> Federal University of Juiz de Fora (UFJF), Juiz de Fora; <sup>(c)</sup> Federal University of Sao Joao del Rei (UFSJ), Sao Joao del Rei; <sup>(d)</sup> Instituto de Fisica, Universidade de Sao Paulo, Sao Paulo, Brazil
- <sup>25</sup> Physics Department, Brookhaven National Laboratory, Upton NY, United States of America
- <sup>26</sup> <sup>(a)</sup> National Institute of Physics and Nuclear Engineering, Bucharest; <sup>(b)</sup> National Institute for Research and Development of Isotopic and Molecular Technologies, Physics Department, Cluj Napoca; <sup>(c)</sup> University Politehnica Bucharest, Bucharest; <sup>(d)</sup> West University in Timisoara, Timisoara, Romania
- <sup>27</sup> Departamento de Física, Universidad de Buenos Aires, Buenos Aires, Argentina
- <sup>28</sup> Cavendish Laboratory, University of Cambridge, Cambridge, United Kingdom
- <sup>29</sup> Department of Physics, Carleton University, Ottawa ON, Canada
- <sup>30</sup> CERN, Geneva, Switzerland
- <sup>31</sup> Enrico Fermi Institute, University of Chicago, Chicago IL, United States of America
- <sup>32</sup> <sup>(a)</sup> Departamento de Física, Pontificia Universidad Católica de Chile, Santiago; <sup>(b)</sup> Departamento de Física, Universidad Técnica Federico Santa María, Valparaíso, Chile
- <sup>33</sup> <sup>(a)</sup> Institute of High Energy Physics, Chinese Academy of Sciences, Beijing; <sup>(b)</sup> Department of Modern Physics, University of Science and Technology of China, Anhui; <sup>(c)</sup> Department of Physics, Nanjing University, Jiangsu; <sup>(d)</sup> School of Physics, Shandong University, Shandong; <sup>(e)</sup> Physics Department, Shanghai Jiao Tong University, Shanghai, China
- <sup>34</sup> Laboratoire de Physique Corpusculaire, Clermont Université and Université Blaise Pascal and CNRS/IN2P3, Clermont-Ferrand, France
- <sup>35</sup> Nevis Laboratory, Columbia University, Irvington NY, United States of America
- <sup>36</sup> Niels Bohr Institute, University of Copenhagen, Kobenhavn, Denmark
- <sup>37</sup> <sup>(a)</sup> INFN Gruppo Collegato di Cosenza, Laboratori Nazionali di Frascati; <sup>(b)</sup> Dipartimento di Fisica, Università della Calabria, Rende, Italy
- <sup>38</sup> <sup>(a)</sup> AGH University of Science and Technology, Faculty of Physics and Applied Computer Science, Krakow; <sup>(b)</sup> Marian Smoluchowski Institute of Physics, Jagiellonian University, Krakow, Poland
- <sup>39</sup> The Henryk Niewodniczanski Institute of Nuclear Physics, Polish Academy of Sciences, Krakow, Poland
- <sup>40</sup> Physics Department, Southern Methodist University, Dallas TX, United States of America
- <sup>41</sup> Physics Department, University of Texas at Dallas, Richardson TX, United States of America
- <sup>42</sup> DESY, Hamburg and Zeuthen, Germany
- <sup>43</sup> Institut für Experimentelle Physik IV, Technische Universität Dortmund, Dortmund, Germany
- <sup>44</sup> Institut für Kern- und Teilchenphysik, Technische Universität Dresden, Dresden, Germany
- <sup>45</sup> Department of Physics, Duke University, Durham NC, United States of America
- <sup>46</sup> SUPA - School of Physics and Astronomy, University of Edinburgh, Edinburgh, United Kingdom
- <sup>47</sup> INFN Laboratori Nazionali di Frascati, Frascati, Italy
- <sup>48</sup> Fakultät für Mathematik und Physik, Albert-Ludwigs-Universität, Freiburg, Germany
- <sup>49</sup> Section de Physique, Université de Genève, Geneva, Switzerland
- <sup>50</sup> <sup>(a)</sup> INFN Sezione di Genova; <sup>(b)</sup> Dipartimento di Fisica, Università di Genova, Genova, Italy
- <sup>51</sup> <sup>(a)</sup> E. Andronikashvili Institute of Physics, Iv. Javakishvili Tbilisi State University, Tbilisi; <sup>(b)</sup> High Energy Physics Institute, Tbilisi State University, Tbilisi, Georgia
- <sup>52</sup> II Physikalisches Institut, Justus-Liebig-Universität Giessen, Giessen, Germany
- <sup>53</sup> SUPA - School of Physics and Astronomy, University of Glasgow, Glasgow, United Kingdom
- <sup>54</sup> II Physikalisches Institut, Georg-August-Universität, Göttingen, Germany
- <sup>55</sup> Laboratoire de Physique Subatomique et de Cosmologie, Université Grenoble-Alpes, CNRS/IN2P3, Grenoble, France
- <sup>56</sup> Department of Physics, Hampton University, Hampton VA, United States of America
- <sup>57</sup> Laboratory for Particle Physics and Cosmology, Harvard University, Cambridge MA, United States of America

- 58 <sup>(a)</sup> *Kirchhoff-Institut für Physik, Ruprecht-Karls-Universität Heidelberg, Heidelberg;* <sup>(b)</sup>  
*Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg;* <sup>(c)</sup> *ZITI Institut für*  
*technische Informatik, Ruprecht-Karls-Universität Heidelberg, Mannheim, Germany*
- 59 *Faculty of Applied Information Science, Hiroshima Institute of Technology, Hiroshima, Japan*
- 60 *Department of Physics, Indiana University, Bloomington IN, United States of America*
- 61 *Institut für Astro- und Teilchenphysik, Leopold-Franzens-Universität, Innsbruck, Austria*
- 62 *University of Iowa, Iowa City IA, United States of America*
- 63 *Department of Physics and Astronomy, Iowa State University, Ames IA, United States of America*
- 64 *Joint Institute for Nuclear Research, JINR Dubna, Dubna, Russia*
- 65 *KEK, High Energy Accelerator Research Organization, Tsukuba, Japan*
- 66 *Graduate School of Science, Kobe University, Kobe, Japan*
- 67 *Faculty of Science, Kyoto University, Kyoto, Japan*
- 68 *Kyoto University of Education, Kyoto, Japan*
- 69 *Department of Physics, Kyushu University, Fukuoka, Japan*
- 70 *Instituto de Física La Plata, Universidad Nacional de La Plata and CONICET, La Plata, Argentina*
- 71 *Physics Department, Lancaster University, Lancaster, United Kingdom*
- 72 <sup>(a)</sup> *INFN Sezione di Lecce;* <sup>(b)</sup> *Dipartimento di Matematica e Fisica, Università del Salento, Lecce,*  
*Italy*
- 73 *Oliver Lodge Laboratory, University of Liverpool, Liverpool, United Kingdom*
- 74 *Department of Physics, Jožef Stefan Institute and University of Ljubljana, Ljubljana, Slovenia*
- 75 *School of Physics and Astronomy, Queen Mary University of London, London, United Kingdom*
- 76 *Department of Physics, Royal Holloway University of London, Surrey, United Kingdom*
- 77 *Department of Physics and Astronomy, University College London, London, United Kingdom*
- 78 *Louisiana Tech University, Ruston LA, United States of America*
- 79 *Laboratoire de Physique Nucléaire et de Hautes Energies, UPMC and Université Paris-Diderot and*  
*CNRS/IN2P3, Paris, France*
- 80 *Fysiska institutionen, Lunds universitet, Lund, Sweden*
- 81 *Departamento de Física Teórica C-15, Universidad Autónoma de Madrid, Madrid, Spain*
- 82 *Institut für Physik, Universität Mainz, Mainz, Germany*
- 83 *School of Physics and Astronomy, University of Manchester, Manchester, United Kingdom*
- 84 *CPPM, Aix-Marseille Université and CNRS/IN2P3, Marseille, France*
- 85 *Department of Physics, University of Massachusetts, Amherst MA, United States of America*
- 86 *Department of Physics, McGill University, Montreal QC, Canada*
- 87 *School of Physics, University of Melbourne, Victoria, Australia*
- 88 *Department of Physics, The University of Michigan, Ann Arbor MI, United States of America*
- 89 *Department of Physics and Astronomy, Michigan State University, East Lansing MI, United States*  
*of America*
- 90 <sup>(a)</sup> *INFN Sezione di Milano;* <sup>(b)</sup> *Dipartimento di Fisica, Università di Milano, Milano, Italy*
- 91 *B.I. Stepanov Institute of Physics, National Academy of Sciences of Belarus, Minsk, Republic of*  
*Belarus*
- 92 *National Scientific and Educational Centre for Particle and High Energy Physics, Minsk, Republic*  
*of Belarus*
- 93 *Department of Physics, Massachusetts Institute of Technology, Cambridge MA, United States of*  
*America*
- 94 *Group of Particle Physics, University of Montreal, Montreal QC, Canada*
- 95 *P.N. Lebedev Institute of Physics, Academy of Sciences, Moscow, Russia*
- 96 *Institute for Theoretical and Experimental Physics (ITEP), Moscow, Russia*
- 97 *Moscow Engineering and Physics Institute (MEPhI), Moscow, Russia*
- 98 *D.V.Skobel'tsyn Institute of Nuclear Physics, M.V.Lomonosov Moscow State University, Moscow,*  
*Russia*
- 99 *Fakultät für Physik, Ludwig-Maximilians-Universität München, München, Germany*
- 100 *Max-Planck-Institut für Physik (Werner-Heisenberg-Institut), München, Germany*



- 101 *Nagasaki Institute of Applied Science, Nagasaki, Japan*
- 102 *Graduate School of Science and Kobayashi-Maskawa Institute, Nagoya University, Nagoya, Japan*
- 103 <sup>(a)</sup> *INFN Sezione di Napoli;* <sup>(b)</sup> *Dipartimento di Fisica, Università di Napoli, Napoli, Italy*
- 104 *Department of Physics and Astronomy, University of New Mexico, Albuquerque NM, United States of America*
- 105 *Institute for Mathematics, Astrophysics and Particle Physics, Radboud University Nijmegen/Nikhef, Nijmegen, Netherlands*
- 106 *Nikhef National Institute for Subatomic Physics and University of Amsterdam, Amsterdam, Netherlands*
- 107 *Department of Physics, Northern Illinois University, DeKalb IL, United States of America*
- 108 *Budker Institute of Nuclear Physics, SB RAS, Novosibirsk, Russia*
- 109 *Department of Physics, New York University, New York NY, United States of America*
- 110 *Ohio State University, Columbus OH, United States of America*
- 111 *Faculty of Science, Okayama University, Okayama, Japan*
- 112 *Homer L. Dodge Department of Physics and Astronomy, University of Oklahoma, Norman OK, United States of America*
- 113 *Department of Physics, Oklahoma State University, Stillwater OK, United States of America*
- 114 *Palacký University, RCPTM, Olomouc, Czech Republic*
- 115 *Center for High Energy Physics, University of Oregon, Eugene OR, United States of America*
- 116 *LAL, Université Paris-Sud and CNRS/IN2P3, Orsay, France*
- 117 *Graduate School of Science, Osaka University, Osaka, Japan*
- 118 *Department of Physics, University of Oslo, Oslo, Norway*
- 119 *Department of Physics, Oxford University, Oxford, United Kingdom*
- 120 <sup>(a)</sup> *INFN Sezione di Pavia;* <sup>(b)</sup> *Dipartimento di Fisica, Università di Pavia, Pavia, Italy*
- 121 *Department of Physics, University of Pennsylvania, Philadelphia PA, United States of America*
- 122 *Petersburg Nuclear Physics Institute, Gatchina, Russia*
- 123 <sup>(a)</sup> *INFN Sezione di Pisa;* <sup>(b)</sup> *Dipartimento di Fisica E. Fermi, Università di Pisa, Pisa, Italy*
- 124 *Department of Physics and Astronomy, University of Pittsburgh, Pittsburgh PA, United States of America*
- 125 <sup>(a)</sup> *Laboratorio de Instrumentacao e Fisica Experimental de Particulas - LIP, Lisboa;* <sup>(b)</sup> *Faculdade de Ciências, Universidade de Lisboa, Lisboa;* <sup>(c)</sup> *Department of Physics, University of Coimbra, Coimbra;* <sup>(d)</sup> *Centro de Física Nuclear da Universidade de Lisboa, Lisboa;* <sup>(e)</sup> *Departamento de Física, Universidade do Minho, Braga;* <sup>(f)</sup> *Departamento de Física Teórica y del Cosmos and CAFPE, Universidad de Granada, Granada (Spain);* <sup>(g)</sup> *Dep Física and CEFITEC of Faculdade de Ciências e Tecnologia, Universidade Nova de Lisboa, Caparica, Portugal*
- 126 *Institute of Physics, Academy of Sciences of the Czech Republic, Praha, Czech Republic*
- 127 *Czech Technical University in Prague, Praha, Czech Republic*
- 128 *Faculty of Mathematics and Physics, Charles University in Prague, Praha, Czech Republic*
- 129 *State Research Center Institute for High Energy Physics, Protvino, Russia*
- 130 *Particle Physics Department, Rutherford Appleton Laboratory, Didcot, United Kingdom*
- 131 *Physics Department, University of Regina, Regina SK, Canada*
- 132 *Ritsumeikan University, Kusatsu, Shiga, Japan*
- 133 <sup>(a)</sup> *INFN Sezione di Roma;* <sup>(b)</sup> *Dipartimento di Fisica, Sapienza Università di Roma, Roma, Italy*
- 134 <sup>(a)</sup> *INFN Sezione di Roma Tor Vergata;* <sup>(b)</sup> *Dipartimento di Fisica, Università di Roma Tor Vergata, Roma, Italy*
- 135 <sup>(a)</sup> *INFN Sezione di Roma Tre;* <sup>(b)</sup> *Dipartimento di Matematica e Fisica, Università Roma Tre, Roma, Italy*
- 136 <sup>(a)</sup> *Faculté des Sciences Ain Chock, Réseau Universitaire de Physique des Hautes Energies - Université Hassan II, Casablanca;* <sup>(b)</sup> *Centre National de l'Energie des Sciences Techniques Nucleaires, Rabat;* <sup>(c)</sup> *Faculté des Sciences Semlalia, Université Cadi Ayyad, LPHEA-Marrakech;* <sup>(d)</sup> *Faculté des Sciences, Université Mohamed Premier and LPTPM, Oujda;* <sup>(e)</sup> *Faculté des sciences, Université Mohammed V-Agdal, Rabat, Morocco*

- 137 DSM/IRFU (Institut de Recherches sur les Lois Fondamentales de l'Univers), CEA Saclay  
(Commissariat à l'Energie Atomique et aux Energies Alternatives), Gif-sur-Yvette, France
- 138 Santa Cruz Institute for Particle Physics, University of California Santa Cruz, Santa Cruz CA,  
United States of America
- 139 Department of Physics, University of Washington, Seattle WA, United States of America
- 140 Department of Physics and Astronomy, University of Sheffield, Sheffield, United Kingdom
- 141 Department of Physics, Shinshu University, Nagano, Japan
- 142 Fachbereich Physik, Universität Siegen, Siegen, Germany
- 143 Department of Physics, Simon Fraser University, Burnaby BC, Canada
- 144 SLAC National Accelerator Laboratory, Stanford CA, United States of America
- 145 <sup>(a)</sup> Faculty of Mathematics, Physics & Informatics, Comenius University, Bratislava; <sup>(b)</sup>  
Department of Subnuclear Physics, Institute of Experimental Physics of the Slovak Academy of  
Sciences, Kosice, Slovak Republic
- 146 <sup>(a)</sup> Department of Physics, University of Cape Town, Cape Town; <sup>(b)</sup> Department of Physics,  
University of Johannesburg, Johannesburg; <sup>(c)</sup> School of Physics, University of the Witwatersrand,  
Johannesburg, South Africa
- 147 <sup>(a)</sup> Department of Physics, Stockholm University; <sup>(b)</sup> The Oskar Klein Centre, Stockholm, Sweden
- 148 Physics Department, Royal Institute of Technology, Stockholm, Sweden
- 149 Departments of Physics & Astronomy and Chemistry, Stony Brook University, Stony Brook NY,  
United States of America
- 150 Department of Physics and Astronomy, University of Sussex, Brighton, United Kingdom
- 151 School of Physics, University of Sydney, Sydney, Australia
- 152 Institute of Physics, Academia Sinica, Taipei, Taiwan
- 153 Department of Physics, Technion: Israel Institute of Technology, Haifa, Israel
- 154 Raymond and Beverly Sackler School of Physics and Astronomy, Tel Aviv University, Tel Aviv,  
Israel
- 155 Department of Physics, Aristotle University of Thessaloniki, Thessaloniki, Greece
- 156 International Center for Elementary Particle Physics and Department of Physics, The University  
of Tokyo, Tokyo, Japan
- 157 Graduate School of Science and Technology, Tokyo Metropolitan University, Tokyo, Japan
- 158 Department of Physics, Tokyo Institute of Technology, Tokyo, Japan
- 159 Department of Physics, University of Toronto, Toronto ON, Canada
- 160 <sup>(a)</sup> TRIUMF, Vancouver BC; <sup>(b)</sup> Department of Physics and Astronomy, York University, Toronto  
ON, Canada
- 161 Faculty of Pure and Applied Sciences, University of Tsukuba, Tsukuba, Japan
- 162 Department of Physics and Astronomy, Tufts University, Medford MA, United States of America
- 163 Centro de Investigaciones, Universidad Antonio Narino, Bogota, Colombia
- 164 Department of Physics and Astronomy, University of California Irvine, Irvine CA, United States of  
America
- 165 <sup>(a)</sup> INFN Gruppo Collegato di Udine, Sezione di Trieste, Udine; <sup>(b)</sup> ICTP, Trieste; <sup>(c)</sup>  
Dipartimento di Chimica, Fisica e Ambiente, Università di Udine, Udine, Italy
- 166 Department of Physics, University of Illinois, Urbana IL, United States of America
- 167 Department of Physics and Astronomy, University of Uppsala, Uppsala, Sweden
- 168 Instituto de Física Corpuscular (IFIC) and Departamento de Física Atómica, Molecular y Nuclear  
and Departamento de Ingeniería Electrónica and Instituto de Microelectrónica de Barcelona  
(IMB-CNM), University of Valencia and CSIC, Valencia, Spain
- 169 Department of Physics, University of British Columbia, Vancouver BC, Canada
- 170 Department of Physics and Astronomy, University of Victoria, Victoria BC, Canada
- 171 Department of Physics, University of Warwick, Coventry, United Kingdom
- 172 Waseda University, Tokyo, Japan
- 173 Department of Particle Physics, The Weizmann Institute of Science, Rehovot, Israel
- 174 Department of Physics, University of Wisconsin, Madison WI, United States of America



- 175 *Fakultät für Physik und Astronomie, Julius-Maximilians-Universität, Würzburg, Germany*
- 176 *Fachbereich C Physik, Bergische Universität Wuppertal, Wuppertal, Germany*
- 177 *Department of Physics, Yale University, New Haven CT, United States of America*
- 178 *Yerevan Physics Institute, Yerevan, Armenia*
- 179 *Centre de Calcul de l'Institut National de Physique Nucléaire et de Physique des Particules (IN2P3), Villeurbanne, France*
- <sup>a</sup> *Also at Department of Physics, King's College London, London, United Kingdom*
- <sup>b</sup> *Also at Institute of Physics, Azerbaijan Academy of Sciences, Baku, Azerbaijan*
- <sup>c</sup> *Also at Particle Physics Department, Rutherford Appleton Laboratory, Didcot, United Kingdom*
- <sup>d</sup> *Also at TRIUMF, Vancouver BC, Canada*
- <sup>e</sup> *Also at Department of Physics, California State University, Fresno CA, United States of America*
- <sup>f</sup> *Also at Tomsk State University, Tomsk, Russia*
- <sup>g</sup> *Also at CPPM, Aix-Marseille Université and CNRS/IN2P3, Marseille, France*
- <sup>h</sup> *Also at Università di Napoli Parthenope, Napoli, Italy*
- <sup>i</sup> *Also at Institute of Particle Physics (IPP), Canada*
- <sup>j</sup> *Also at Department of Physics, St. Petersburg State Polytechnical University, St. Petersburg, Russia*
- <sup>k</sup> *Also at Chinese University of Hong Kong, China*
- <sup>l</sup> *Also at Department of Financial and Management Engineering, University of the Aegean, Chios, Greece*
- <sup>m</sup> *Also at Louisiana Tech University, Ruston LA, United States of America*
- <sup>n</sup> *Also at Institutio Catalana de Recerca i Estudis Avancats, ICREA, Barcelona, Spain*
- <sup>o</sup> *Also at Institute of Theoretical Physics, Iliia State University, Tbilisi, Georgia*
- <sup>p</sup> *Also at CERN, Geneva, Switzerland*
- <sup>q</sup> *Also at Ochadai Academic Production, Ochanomizu University, Tokyo, Japan*
- <sup>r</sup> *Also at Manhattan College, New York NY, United States of America*
- <sup>s</sup> *Also at Novosibirsk State University, Novosibirsk, Russia*
- <sup>t</sup> *Also at Institute of Physics, Academia Sinica, Taipei, Taiwan*
- <sup>u</sup> *Also at LAL, Université Paris-Sud and CNRS/IN2P3, Orsay, France*
- <sup>v</sup> *Also at Academia Sinica Grid Computing, Institute of Physics, Academia Sinica, Taipei, Taiwan*
- <sup>w</sup> *Also at Laboratoire de Physique Nucléaire et de Hautes Energies, UPMC and Université Paris-Diderot and CNRS/IN2P3, Paris, France*
- <sup>x</sup> *Also at School of Physical Sciences, National Institute of Science Education and Research, Bhubaneswar, India*
- <sup>y</sup> *Also at Dipartimento di Fisica, Sapienza Università di Roma, Roma, Italy*
- <sup>z</sup> *Also at Moscow Institute of Physics and Technology State University, Dolgoprudny, Russia*
- <sup>aa</sup> *Also at section de Physique, Université de Genève, Geneva, Switzerland*
- <sup>ab</sup> *Also at Department of Physics, The University of Texas at Austin, Austin TX, United States of America*
- <sup>ac</sup> *Also at International School for Advanced Studies (SISSA), Trieste, Italy*
- <sup>ad</sup> *Also at Department of Physics and Astronomy, University of South Carolina, Columbia SC, United States of America*
- <sup>ae</sup> *Also at School of Physics and Engineering, Sun Yat-sen University, Guangzhou, China*
- <sup>af</sup> *Also at Faculty of Physics, M.V.Lomonosov Moscow State University, Moscow, Russia*
- <sup>ag</sup> *Also at Moscow Engineering and Physics Institute (MEPhI), Moscow, Russia*
- <sup>ah</sup> *Also at Institute for Particle and Nuclear Physics, Wigner Research Centre for Physics, Budapest, Hungary*
- <sup>ai</sup> *Also at Department of Physics, Oxford University, Oxford, United Kingdom*
- <sup>aj</sup> *Also at Department of Physics, Nanjing University, Jiangsu, China*
- <sup>ak</sup> *Also at Institut für Experimentalphysik, Universität Hamburg, Hamburg, Germany*
- <sup>al</sup> *Also at Department of Physics, The University of Michigan, Ann Arbor MI, United States of America*
- <sup>am</sup> *Also at Discipline of Physics, University of KwaZulu-Natal, Durban, South Africa*
- <sup>\*</sup> *Deceased*