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## Seasonal shifts in the insect gut microbiome are concurrent with changes in cold tolerance and immunity

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2 tolerance and immunity

3

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16 Key words: bacteria, cold, cricket, symbiosis, winter

17 **Abstract**

- 18 1. Seasonal changes in the environment, such as varying temperature, have the potential to  
19 change the functional relationship between ectothermic animals, such as insects, and their  
20 microbiomes. Our objectives were to determine: a) whether seasonal changes in  
21 temperature shift the composition of the insect gut microbiome, and b) if changes in the  
22 microbiome are concomitant with changes in the physiology of the host, including the  
23 immune system and response to cold.
- 24 2. We exposed laboratory populations of the spring field cricket, *Gryllus veletis*  
25 (Orthoptera: Gryllidae), to simulated overwintering conditions in both a laboratory  
26 microcosm and a field-like microcosm containing soil and leaves. In summer, autumn,  
27 winter and spring, we extracted and sequenced 16S bacterial genomic DNA from cricket  
28 guts, to capture seasonal variation in the composition of the microbiome.
- 29 3. The composition of the gut microbiome was similar between microcosms, and overall  
30 highly anaerobic. In both microcosms, we captured similar seasonal variation in the  
31 composition of the microbiome, where overwintering resulted in permanent changes to  
32 these microbial communities. In particular, the abundance of *Pseudomonas* spp.  
33 decreased, and that of *Wolbachia* spp. increased, during overwintering.
- 34 4. Concurrent with overwintering changes in the gut microbiome, *G. veletis* acquire freeze-  
35 tolerance and immune function shifts temporarily, returning to summer levels of activity  
36 in the spring. Specifically, haemocyte concentrations increase but survival of fungal  
37 infection decreases in the winter, whereas the ability to clear bacteria from the  
38 haemolymph remains unchanged.

39 5. Overall, we demonstrate that the gut microbiome does shift seasonally, and in concert  
40 with other physiological changes. We hypothesize that these changes may be linked, and  
41 suggest that it will next be important to determine if these changes in the microbiome  
42 contribute to host overwintering success.

43

## 44 **1 Introduction**

45 Animal biology is shaped by interactions with symbiotic communities of microbes (the  
46 ‘microbiome’), the large majority of which are housed in the gut (Douglas 2011, 2015; Engel &  
47 Moran 2013). The insect gut microbiome includes bacteria, archaea, yeasts and protozoa that  
48 may colonise the mucosa of the gut or exist transiently in the food as it passes through the  
49 digestive tract (Douglas 2015; Engel & Moran 2013). These microbes contribute to digestion of  
50 food, provide essential nutrients, protect the host from colonisation by pathogenic microbes, and  
51 communicate with the host through neuroendocrine signaling to regulate host physiology  
52 (Douglas 2015; Engel & Moran 2013; Shin *et al.* 2011). Changes in these communities of  
53 bacteria can affect a range of host phenotypes (Douglas 2011, 2015), thus it is important to  
54 explore how microbiomes may shift in response to changes in the environment. In particular,  
55 insects in temperate areas spend prolonged periods of time overwintering (Williams *et al.* 2015)  
56 which has the potential to influence the composition of the microbiome and its relationship with  
57 the host.

58

59 Because microbes are ectotherms, the microbiome of ectothermic animals will be exposed to the  
60 same temperature fluctuations as their hosts. These fluctuations have the potential to challenge  
61 individual microbe species, modify community interactions, and alter the functional host-  
62 symbiont relationship (i.e. the holobiont; Lokmer & Mathias Wegner 2015; Webster *et al.* 2008).  
63 Insects that overwinter in temperate environments are exposed to low temperatures for prolonged  
64 periods (Williams *et al.* 2015), and undergo profound seasonal changes in feeding (Hahn &  
65 Denlinger 2007), gut contents (Olsen & Duman 1997; Olsen *et al.* 1998), immunity (Ferguson &  
66 Sinclair 2017), and physiology (Denlinger & Lee 2010). Because the composition of the

67 microbiome depends on the physiological state of the host (Douglas 2015), these seasonal  
68 changes in host physiology are also likely to influence the composition of the gut microbiome  
69 (Carey & Duddleston 2014). Further, because the microbiome may differ depending on diet  
70 (Franzini *et al.* 2016; Maes *et al.* 2016; Wang *et al.* 2011), seasonal changes in food or  
71 microbiota in the external environment likely contribute to changes in the insect microbiome  
72 (Ludvigsen *et al.* 2015). However, we know little about the seasonality of the insect gut  
73 microbiome in the context of low temperatures and overwintering.

74

75 Overwintering influences the composition of the microbiome in other taxa, and these changes  
76 can have important physiological consequences. For example, overwintering favours pathogens  
77 in the gut microbiome of bullfrogs, *Rana catesbiana*, leading to systemic infection and  
78 mortality (Carr *et al.* 1976). In this example, seasonal changes in the immune system likely work  
79 in concert with dysbiosis and subsequent host mortality (Maniero & Carey 1997). Insects that  
80 physiologically avoid freezing during the winter, such as *Dendroides canadensis*, actively  
81 regulate the gut microbiome by voiding or masking bacteria that contribute to ice nucleation  
82 (Olsen & Duman 1997). Further, *Drosophila melanogaster* that are reared at high temperatures  
83 but receive transplants of gut bacteria from flies reared at low temperatures become more cold-  
84 tolerant (Moghadam *et al.* 2017). Thus, we hypothesize that the composition and function of the  
85 insect microbiome is related to the physiological processes that allow insects to survive multiple  
86 overwintering pressures (e.g. cold and pathogens).

87

88 By understanding concurrent shifts in both the microbial community and host physiology (e.g.  
89 immunity, cold tolerance), we can begin to understand the functional links between them, and

90 the potential consequences of climate change for host fitness. If the microbiome is directly  
91 regulated by the external temperature, then the warmer or more variable winters predicted for  
92 many temperate regions under climate change will modify the microbiome. By contrast, if the  
93 microbiome is directly regulated by the host, the host will either continue to regulate the  
94 microbiome and thus maintain performance under novel conditions, or the regulation of the  
95 microbiome under novel conditions will impair their ability to shift physiology to suit new  
96 environments. We hypothesise that the composition and function of the insect gut microbiome  
97 could change during overwintering in four, non-mutually-exclusive, ways: 1) low temperatures  
98 select for the growth of psychrophilic microbes that outcompete others; 2) cold directly kills  
99 those microbes intolerant of low temperatures, thereby increasing the relative abundance of those  
100 tolerant of cold; 3) seasonal changes in physiochemical conditions in the gut select for particular  
101 microbes; or 4) the host actively manipulates the composition of the microbiome *via* the immune  
102 system (Carey *et al.* 2013) or microRNAs (Hussain *et al.* 2011).

103

104 To explore how the microbiome contributes to host success in different seasons, we examined  
105 concurrent changes in the composition of a gut microbiome with changes in host physiology  
106 during different seasons. We exposed the overwintering stage of a temperate species of field  
107 cricket native to Ontario, Canada, *Gryllus veletis*, to simulated overwintering conditions in either  
108 a lab or field-like microcosm. During the overwintering period, we characterised the composition  
109 of the gut microbiome. Further, we measured seasonal shifts in both immune activity and cold  
110 tolerance. We show that the community of gut microbes changes with season, and that these  
111 changes are conserved across both microcosms. Further, the microbiome does not reset in the  
112 spring, suggesting that winter causes permanent perturbation or a plastic change in function of



113 the microbiome. In addition, immune activity decreases while cold tolerance increases in *G.*  
114 *veletis* during the overwintering period. Overall, concurrent changes in the composition of the  
115 microbiome and host physiology indicate that these changes may be interconnected, and that  
116 changes in the microbiome are likely linked to overwintering success.

117

## 118 **2 Materials and Methods**

### 119 **2.1 Cricket housing and overwintering conditions**

120 *Gryllus veletis* were derived from a population collected in Lethbridge, Alberta, Canada in 2010.  
121 In 2014-2015, we reared *G. veletis* from egg to 6<sup>th</sup> instar nymph at 25 °C (14 L:10 D) as  
122 described by Coello Alvarado (Coello Alvarado *et al.* 2015). Rearing conditions represented  
123 summer conditions. We maintained crickets in groups of approximately 100 individuals in  
124 plastic bins (28 × 17 × 15 cm) on *ad libitum* rabbit chow (Little Friends Rabbit Food, Martin  
125 Mills, Elmira, ON, Canada) and water with cardboard shelters.

126

127 To determine if microbes in the external environment influence the composition and seasonal  
128 plasticity of the microbiome, we divided crickets into two bins with the same food, water, and  
129 shelter conditions as during rearing (28 × 17 × 15 cm; n = 100 crickets in each bin): a field-like  
130 microcosm (FM) and a lab microcosm (LM). We exposed all crickets in a temperature-controlled  
131 incubator to a gradual, fluctuating decline in temperature and photoperiod to mimic autumn  
132 temperatures and photoperiods in London, ON, Canada until the temperature reached 0 °C (Fig.  
133 1). To determine if changes in the microbiota of the external environment also influence any  
134 seasonal changes in the insect gut microbiome, we created both a lab and field-like microcosm.

135 At the beginning of autumn (i.e. directly after summer samples were collected), we introduced  
136 soil and humus collected from local areas where we had previously heard *G. veletis* males  
137 calling, thereby creating the field-like microcosm. To mimic the conditions under snow cover  
138 (where *G. veletis* nymphs overwinter), we maintained crickets in darkness at 0 °C and under  
139 darkness for four weeks. We then gradually increased temperature and photoperiod to mimic  
140 conditions in the spring (Fig. 1).

## 141 **2.2 Gut dissection and DNA extraction**

142 In summer, autumn, early winter, mid-winter and spring (21 – 26 d between sampling points;  
143 Fig. 1) we haphazardly selected ten crickets from each microcosm, surface-sterilised them with  
144 70 % ethanol and removed the hindgut [as described by MacMillan and Sinclair (2011)] under  
145 sterile conditions in a laminar-flow clean bench. We immediately snap-froze samples in liquid  
146 nitrogen in sterile tubes, and stored them at -80 °C until DNA extraction. To choose a method of  
147 extraction based on suggestions by Hart et al. (2015), we initially extracted DNA from a test gut  
148 sample of *G. veletis* using a QIAamp DNA Stool Mini Kit (QIAGEN, Valencia, CA, USA),  
149 DNeasy blood and tissue kit (QIAGEN, Valencia, CA, USA), and QIAamp DNA Microbiome  
150 Kit (QIAGEN, Valencia, CA, USA), according to manufacturer's instructions. We achieved the  
151 highest OTU with the DNeasy blood and tissues kit, and proceeded with this extraction method  
152 for all samples. We pooled two guts for each replicate (n = 5 per time point, per microcosm) and  
153 extracted total bacterial genomic DNA (gDNA) using the DNeasy blood and tissue DNA  
154 extraction kit according to the manufacturer's instructions. We only used samples with a 260/280  
155 ratio >2, and confirmed the presence of bacterial DNA using PCR with the universal bacterial  
156 DNA primers 27F BacU (AGRGTTTGATCMTGGCTCAG) and 519R BacU

157 (GTNTTACNGCGGCKGCTG; Integrated DNA Technologies, Coralville, Iowa, USA; Rogers  
158 *et al.* 2014).

### 159 **2.3 DNA sequencing**

160 We pooled extracted bacterial gDNA for each sampling point (n = 5 per microcosm) for a total  
161 of one sample per time point, per microcosm. A fragment of the Bacterial 16S rRNA gene,  
162 spanning the V1 – V2 hypervariable regions, was amplified by MR DNA (Shallowater, TX,  
163 USA 79363) using universal bacteria primers that were modified by adding ligation adaptors and  
164 barcodes (sample identification sequences) to the 5`- ends. MR DNA performed PCR using a  
165 high fidelity polymerase (HotStarTaq Plus Master Mix Kit, Qiagen, Valencia, CA, USA) and  
166 with the following conditions: 94°C for 3 minutes, followed by 28 cycles of 94°C for 30 seconds;  
167 53 °C for 40 seconds and 72 °C for 1 minute; after which a final elongation step at 72 °C for 5  
168 minutes was performed. MR DNA performed three PCR reactions per sample before pooling to  
169 reduce PCR amplification bias in the library preparation. MR DNA further examined PCR  
170 products in a 2% agarose gel to determine the success of amplification and the relative intensity  
171 of bands. MR DNA purified the amplicons using calibrated Ampure XP beads (Agencourt  
172 Bioscience Corporation, MA, USA) and mixed amplicons in equal concentrations (following  
173 quantification via Qubit) before sequencing. The pooled samples were sequenced using Illumina  
174 MiSeq sequencing at 300 bp reads and >20,000 reads per sample following the manufacturer’s  
175 protocol by Molecular Research LP (MR DNA, Shallowater, TX, USA 79363). The Truseq  
176 Illumina reagent kit (TruSeq Nano DNA LT; Illumina) was used for library preparation and  
177 sequencing.

178 We used Qiime software (Caporaso *et al.* 2010a) on the obtained raw sequences to filter and  
179 remove unique barcodes and primers, low quality reads (quality score <25 bp), short and long  
180 sequences (< 200 bp; >1000 bp), zero ambiguous base calls, gaps, zero primer sequence  
181 mismatch, and sequences with homopolymer runs exceeding 6bp. We checked the resulting  
182 filtered sequences for chimeras; subsequently removing them from the dataset using uchime [part  
183 of USEARCH v5.2.236; (Edgar *et al.* 2011)]. We then sorted the remaining filtered sequences  
184 into OTUs (Operational Taxonomical Units) using USEARCH at a 97 % threshold of sequence  
185 similarity through a open-reference OTU picking protocol (Edgar *et al.* 2011). As each OTU  
186 may consist of many related sequences, Qiime software was used to pick a representative  
187 consensus sequence from each OTU for taxonomic identification and phylogenetic alignment.  
188 Taxon identity (kingdom to species level) was assigned to the representative OTU sequences  
189 based on the curated GreenGenes database (v. 13.5; DeSantis *et al.* 2006) using the Uclust  
190 consensus taxonomy assigner (Edgar 2010). Following identity assignment, we removed all  
191 unassigned sequences (i.e. OTUs unidentifiable at the kingdom level) from the data before  
192 continuation with further downstream processing. Phylogenetic alignment of the representative  
193 OTU sequences was determined against existing alignments using PyNAST (Caporaso *et al.*,  
194 2010b) following filtering (to remove positions that are all gaps and those that are known to be  
195 highly variable). This alignment was used for subsequent UniFrac beta diversity measurements.  
196 We examined alpha diversity, or diversity within each community or sample, using Qiime  
197 software to calculate Observed species (count of unique species), Chao1 (estimate of species  
198 richness), and Shannon Index (estimate of species richness and evenness) metrics for each  
199 sample (Caporaso *et al.* 2010a). Rarefaction curves (graphs of each diversity metric vs

200 sequencing depth) were then generated up to the minimal observed sequencing depth (58, 669  
201 sequences).

202 We then examined the beta diversity (or diversity between communities or samples) by creating  
203 weighted (species abundance based) and unweighted (species identity based) Unifrac matrices,  
204 based on the normalized abundance data and phylogenetic alignment created by Qiime  
205 (Lozupone *et al.* 2011). These matrices represent the dissimilarity or distance calculated between  
206 every pair of community samples and were then used to generate distance histograms and  
207 Principal Coordinate Analysis (PCoA) plots. Distance histograms were generated by  
208 constructing a bootstrap consensus tree using the UPGMA (Unweighted Pair Group Method with  
209 Arithmetic mean) hierarchical clustering method to interpret the distance between each sample.  
210 To measure the robustness of the distance histograms, jackknife support for each node was  
211 determined (Caporaso *et al.* 2010b; Caporaso *et al.* 2011) by selecting subsamples of the full  
212 dataset to generate replicates of the above distance matrices and subsequently generate distance  
213 histograms. The jackknifed UPGMA results were then compared to the UPGMA clustering  
214 based on all available sequences to determine how frequently a node had the same set of  
215 samples. PCoA plots were based on computed principal coordinates (Vázquez-Baeza *et al.*  
216 2013) to statistically identify and compare the bacterial OTU abundance and association between  
217 the samples.

#### 218 **2.4 Abundance estimation of *Pseudomonas* and *Wolbachia* spp.**

219 To confirm the largest changes we observed in the abundance of bacterial species (i.e. an  
220 increase or decrease of at least 40 % of abundance), we used quantitative real-time PCR and  
221 genus-specific primers to amplify bacterial 16s rRNA genes in our samples (Table S2). We then  
222 calculated gene copy numbers by coordinating mean C<sub>q</sub> (quantification cycle) values with

223 corresponding copy numbers on a standard curve based on known copy numbers of *Escherichia*  
224 *coli* (Livak & Schmittgen 2001) (see Supplemental methods).

225

## 226 **2.5 Seasonal changes in cold tolerance of *G. veletis***

227 To determine if cold tolerance of *Gryllus veletis* differs between summer and mid-winter, we  
228 assessed survival of low temperatures in the laboratory microcosm, following Sinclair et al.  
229 (2015). Briefly, we cooled crickets from 0 °C to -10 °C at a rate of 0.25 °C/min and determined  
230 the supercooling point from the freezing exotherm (Sinclair *et al.* 2015). Following 4 h at -10 °C,  
231 we rewarmed crickets to 0 °C at 0.25 °C/min and recorded survival 24 h following cold  
232 exposure. We compared supercooling points using a t-test in R (R Development Core Team  
233 2010).

## 234 **2.6 Seasonal changes in immune activity of *G. veletis***

235 To determine if constitutive (i.e. activity present without infection) and realised (i.e. response to  
236 infection) immunity change from summer through to spring, we haphazardly selected crickets in  
237 the laboratory microcosm in the summer, mid-winter, and spring and measured circulating  
238 haemocyte concentrations, melanisation, *in vivo* bacterial clearance, and survival of fungal  
239 infection (Ferguson & Sinclair 2017). All crickets were sampled immediately after being  
240 removed from their incubation temperature, so that we could avoid or minimise acclimation  
241 effects and attempt to capture a snapshot of their seasonal immunity. Due to sample size  
242 constraints following mortality during overwintering, we were unable to measure bacterial  
243 clearance in the spring. To understand whether or not substrate availability might limit the  
244 melanisation response, we also measured hemolymph protein concentration. All statistical

245 analysis of immune activity was performed in R (version 3.2.2; R Development Core Team  
246 2010).

247  
248 To measure the concentration of circulating haemocytes, we collected 1  $\mu$ L of haemolymph and  
249 diluted it in 24  $\mu$ L of anticoagulant buffer (98 mM NaOH, 186 mM NaCl, 1.7 mM EDTA, 41  
250 mM citric acid, pH 6.8) immediately after removing an individual cricket from its incubation  
251 temperature, to avoid any effects of a temperature change on haemocyte number. We counted the  
252 total number of circulating haemocytes (CHC) in a Neubauer improved hemocytometer (Hausser  
253 Scientific, Blue Bell, PA, USA) at 400  $\times$  magnification following Ferguson and Sinclair (2017).  
254 We compared CHC among groups (summer, winter, & spring) using ANOVA.

255 We assessed the strength of the melanisation response as described by Ferguson et al. (2016).  
256 Briefly, we inserted a nylon filament into the hemocoel of the cricket, removed the filament after  
257 24 h at 25  $^{\circ}$ C, and measured melanisation as the grey value of the filament. We compared the  
258 melanisation response among groups using ANOVA with a log-transformation of the grey value.

259 We measured clearance of the Gram-positive bacteria, *Staphylococcus aureus*, at 25  $^{\circ}$ C  
260 following Ferguson et al. (2016). Briefly, we injected *G. veletis* with a suspension of  
261 streptomycin-resistant *S. aureus* [ $1 \times 10^7$  colony forming units (CFU)/mL] and spot-plated  
262 homogenised whole crickets in PBS on an agar plate containing streptomycin (25  $\mu$ g/mL) either  
263 1 min or 24 h post-injection to capture the remaining bacteria. We compared clearance in  
264 summer and winter samples using a t-test.

265 We infected *G. veletis* with the entomopathogenic fungus *Metarhizium anisopliae* following  
266 Marshall and Sinclair (2011). Briefly, we injected 1  $\mu$ L of a spore suspension ( $1 \times 10^7$  spores/ $\mu$ L)

267 of *M. anisopliae* diluted in 0.01 % Tween 80 (1  $\mu$ L of Tween 80 only, for controls) in the  
268 membrane under the pronotum using a Hamilton syringe and a 32-gauge needle. We housed  
269 infected and control crickets individually in vials (25 mm  $\times$  95 mm; 46 cm<sup>3</sup>) with *ad libitum*  
270 rabbit chow and water and paper shelters at 25 °C. We used the *survival* package in R (version  
271 2.41-3; Therneau & Grambsch 2015) to generate Kaplan-Meier survival curves and compared  
272 the fit of generalised linear models to the data using log-likelihood. Based on log-likelihood, we  
273 chose a lognormal model and compared survival curves among treatments.

274

275 We measured haemolymph protein as described by Ferguson et al. (2016), using a Bicinchoninic  
276 Acid assay (BCA; Life Technologies, Carlsbad, CA, USA). We measured absorbance at 562 nm  
277 in a microplate spectrophotometer (SpectraMax, Molecular Devices, Sunnyvale, CA, USA). We  
278 then converted absorbance to concentration values using a standard curve created from bovine  
279 serum albumin. We compared protein in summer, winter, and spring using ANOVA.

## 280 **3 Results**

### 281 **3.1 The composition of the gut microbiome changes seasonally**

282 We obtained an average of  $79026 \pm 10\,211$  (standard deviation) reads per sample, ranging from  
283 58669 to 90453 (Table S1). We assigned reads to 1377 OTUs at 97% sequence identity  
284 threshold. There were 906 to 1127 OTUs per sample (Table S1) with an average of  $991 \pm 59$   
285 OTU/sample. The gut microbiome was dominated by Bacteroidetes (the majority of these  
286 bacteria in the genera *Bacteroides* and *Parabacteroides*), Firmicutes, and Proteobacteria across  
287 all seasons and environmental treatments (Fig. 2 A,B; see Supplementary Figure S3 for coloured  
288 bar chart). Due to high overwintering mortality in the field-like microcosm, we did not complete



289 sampling in that microcosm beyond the mid-winter timepoint. We were unable to confirm why  
290 mortality occurred in this microcosm.

291 The rarefaction curves of the OTU's approached saturation (Supplementary Fig. S1A),  
292 suggesting that we captured the majority of microbial diversity in each sample. Species richness  
293 of the gut microbiome (e.g. total number of species) was highest in the autumn (LM) and lowest  
294 in early and mid-winter (FM); however, species richness was similar among seasonal time points  
295 and between microcosms (Supplementary Fig. S1 B). Autumn and summer (LM) microbiomes  
296 had the most diverse bacterial communities (i.e. the abundance of species is distributed evenly  
297 among number of species), whereas early- and mid-winter (FM) had the lowest diversity (i.e. the  
298 abundance of species is dominated by few species; Supplementary Fig. S1 C).

299 Overall, changes in the composition of the microbiome can be explained by season, as well as by  
300 microcosm (i.e. by the addition of soil and leaves). The first axis of the principle coordinates  
301 analysis (PCoA; Fig. 3A) explains 42 % of the variation among samples, and is driven largely by  
302 season. The second axis describes 31 % of the variation among samples and appears to be driven  
303 by microcosm. Jackknifed bootstrap trees (Fig. 3B) lend a confidence level of 75-100 % in  
304 support of how samples group by season and microcosm in the PCoA. As such, spring is separate  
305 from all other samples, autumn samples cluster together and then most closely with summer  
306 samples, and winter samples cluster together based on whether or not soil and leaves were  
307 present in the microcosm (Fig. 3B).

308 The trends between the two microcosms were similar across season. As season progressed, the  
309 relative abundance of Proteobacteria increased from 5 % to 26 % of the relative abundance of the  
310 microbiome from summer to spring in the LM, or 7 % to 26 % from summer to mid-winter in the

311 FM (Fig. 2A,B), whereas the relative abundance of Firmicutes decreased from 47 % to 25 %  
312 from summer to spring in the LM and 50 % to 38 % from summer to mid-winter in the FM (Fig.  
313 2A,B).

314 The *Wolbachia* sp. present displayed the greatest change across season, increasing from less than  
315 50 % to almost 90 % of the Proteobacteria, and from approximately 5 % to 20 % of the total  
316 bacterial abundance in mid- and late-winter (Fig. 2C,D); however, the relative abundance of  
317 *Wolbachia* sp. decreased back to summer levels, or lower, in the spring (Fig. 2C). By contrast,  
318 *Pseudomonas* spp. (several species that we were unable to identify beyond genus) decreased  
319 from summer through winter, and remained at low relative abundance in the spring (Fig. 2C).  
320 Additionally, *Pragia fontium* appeared to increase in abundance in the spring (Fig. 2C). Within  
321 the Firmicutes, the relative abundance of *Blautia* sp. and Erysipelatoclostrichaceae peaked in the  
322 winter. In both microcosms, the relative abundance of species in the genus *Clostridium*  
323 decreased over the winter (Fig. 2 E,F). Finally, we detected at least three genera of facultative  
324 pathogens (e.g. *Serratia*, *Escherichia*, and *Pseudomonas*) in the gut (Lysenko 1985), as well as  
325 potential ice nucleators [e.g. *Pseudomonas* spp. (Lee *et al.* 1993)]. In particular, *Serratia*  
326 *marcescens* comprised >1 % of Proteobacteria in summer, but fell below detectable levels in the  
327 winter before increasing above 1% in the spring. Similarly, *Pseudomonas* spp. decreased in  
328 relative abundance in both microcosms. We confirmed changes in the abundance of both  
329 *Pseudomonas* spp. and *Wolbachia* sp. (i.e. the largest changes in abundance) using Q-RT-PCR  
330 (Supplementary Figs S2 A,B).

### 331 3.2 Cold tolerance increases in the winter

332 Winter-acclimated crickets were more cold-tolerant than summer-acclimated crickets: four of  
333 seven winter-acclimated crickets survived exposure to  $-10\text{ }^{\circ}\text{C}$  for 4 h, whereas no summer-  
334 acclimated crickets ( $n=7$ ) survived this exposure. There was no significant change in the  
335 supercooling point (winter:  $-8.7 \pm 0.4\text{ }^{\circ}\text{C}$ ; summer:  $-8.1 \pm 1.8\text{ }^{\circ}\text{C}$ ;  $t_{12} = 0.78$ ,  $p = 0.23$ ).

### 336 3.3 Immune activity is differentially affected by overwintering

337 During the winter, crickets displayed a weaker melanisation response (Fig. 4A,  $F_{2,11} = 5.46$ ,  $p =$   
338  $0.02$ ;  $n = 5-10$  per season), decreased total hemolymph protein (Fig. 4B;  $F_{2,21} = 24.91$ ,  $p < 0.001$ ;  
339  $n = 5-10$  per season) and decreased survival of fungal infection (Fig. 4D; winter *vs* summer:  $z = -$   
340  $3.41$ ,  $p < 0.001$ ;  $n = 10$  per season). However, circulating haemocyte counts increased (Fig. 4C;  
341  $F_{2,24} = 51.66$ ,  $p < 0.001$ ;  $n = 5-16$  per season), and the ability to clear bacteria from the  
342 haemolymph remained unchanged ( $F_{1,11} = 0.8$ ,  $p = 0.39$ ;  $n = 5-10$  per season). Further, CHC,  
343 melanisation, and survival of fungal infection returned to summer levels in the spring.

## 344 4 Discussion

345 Here we show that overwintering affects both the composition of the gut microbiome and  
346 physiology of the spring field cricket, *Gryllus veletis*. Regardless of the microbial conditions in  
347 the external environment (i.e. presence or absence of soil and leaves), the gut microbiome is  
348 similar in summer and autumn, but changes in winter to favour an increase in the relative  
349 abundance of Proteobacteria. Immune activity changes during the winter, but the direction of  
350 change depends on the type of activity measured. Simultaneously, crickets also increase their

351 cold-tolerance. These concurrent shifts in the microbiome and host physiology suggest that these  
352 changes may be connected, and play a role in the overwintering success of *G. veletis*.

353 Overall, the microbiome in both the laboratory and field-like microcosm was dominated by  
354 anaerobic bacteria across seasons (e.g. *Bacteroides* and *Parabacteroides*), in concordance with a  
355 previous study on the hindgut bacteria of *Acheta domesticus* crickets (Santo Domingo *et al.*  
356 1998b). Indeed, the cricket hindgut appears to be fermentative (Santo Domingo *et al.* 1998a), and  
357 the bacteria are essential for the digestion of complex plant polysaccharides (Kaufman & Klug  
358 1991). Although the specific function of *Bacteroides* spp. has not been determined in crickets,  
359 *Bacteroides* spp. dominate the human gut microbiome and perform carbohydrate fermentation  
360 (Wexler 2007). Thus, the predominantly anaerobic nature and dominance of *Bacteroides* and  
361 *Parabacteroides* across season indicates that the overarching dietary function of the microbiome  
362 is likely conserved across seasons and between microcosms.

363 Apart from the consistent dominance in abundance of *Parabacteroides* and *Bacteroides* we  
364 observed seasonal variation in abundance in a variety of taxa. In particular, the abundance of the  
365 endosymbiont *Wolbachia* sp., as well as *Clostridium symbiosum* and *Pragia fontium* increased in  
366 the winter. Similarly, *Wolbachia* spp. are more prevalent in the gut tissue microbiome in  
367 *Drosophila melanogaster* reared at 13 °C compared to 31 °C (Moghadam *et al.* 2017), which  
368 suggests that *Wolbachia* spp. associated with insect guts may be psychrophilic. Conversely, other  
369 *Clostridium* species, as well as *Pseudomonas* spp. decreased in relative abundance over the  
370 winter. In the spring, although the increase in *Wolbachia* sp. reversed, the overall relative  
371 abundance of Proteobacteria (potentially driven by *Pragia fontium*) remained elevated. The  
372 composition of bacterial communities can permanently shift when microbial interactions are

373 disrupted (Coyte *et al.* 2015) and we suggest that, unlike the reversible changes in host  
374 immunity, the microbiome of the overwintering, juvenile stage of *G. veletis* is sensitive to  
375 disruption via environmental pressures present during overwintering.

376 We expected that the introduction of soil, leaves, and humus would change the composition of  
377 microbes in the external environment and food of the crickets, thereby introducing new microbes  
378 into the gut. However, because the overall composition of the microbiome shifts similarly across  
379 season, the dominant bacterial taxa do not appear to have been disturbed by a change in  
380 microcosm for the host. Further, the conserved changes between microcosms suggests that we  
381 were able to minimise the influence of inter-individual variation that is overlooked by pooling  
382 samples. Changes in diet can lead to shifts in the composition of the gut microbiome in crickets  
383 (Santo Domingo *et al.* 1998a); however, these shifts are likely to be driven by a switch in  
384 nutrients available to the microbial community. Further, core microbiota (i.e. those that are  
385 consistently found among individuals in a species) are less likely to be perturbed by changes in  
386 microbial habitat (Cariveau *et al.* 2014; Sudakaran *et al.* 2012). Thus, it appears that the hindgut  
387 of *G. veletis* houses a core group of resident microbiota. Further, the conserved shifts between  
388 microcosms suggests that we can predict generalisable shifts in core microbiota following  
389 environmental perturbations.

390 Because the microbiome appears to respond in a consistent way to variation in season, our task  
391 becomes to determine the driving force behind these patterns. In the introduction, we suggested  
392 four hypotheses and we will address the likelihood of each as the driver of changes in the  
393 microbiome of *G. veletis*. We largely focus on the largest changes in abundance of bacterial  
394 species to support or refute each hypothesis, as these changes are likely to also represent  
395 significant functional shifts in the microbiome.

396 1) *Low temperatures may directly select for psychrophilic bacteria*

397 If low temperatures select for psychrophilic bacteria, we would predict an increase in the  
398 abundance of such species. For example, *Pseudomonas* spp. include ice-nucleating bacteria (Lee  
399 *et al.* 1993) and psychrophiles that are selected for in the gut of overwintering bullfrogs (Carr *et*  
400 *al.* 1976), and we were able to detect *Pseudomonas* spp. in the gut of *G. veletis* during summer.  
401 However, *Pseudomonas* spp. decline to nearly undetectable levels in the winter. Thus, we  
402 believe that it is unlikely that changes in the gut microbiome are driven by low temperatures  
403 favouring psychrophiles.

404 2) *Low temperatures directly kill or select against bacteria that are intolerant of cold*

405 If low temperatures kill or select against bacteria intolerant of cold, we would expect to see  
406 decreases in the abundance of such species, and relative increases in cold-tolerant species.  
407 Similar to the first hypothesis, we might expect *Pseudomonas* spp. to increase in relative  
408 abundance as cold-intolerant bacteria decline; however, these putatively cold-tolerant species  
409 decline in abundance during the winter. Further, the abundance of *Clostridium* spp., which are  
410 often soil-dwelling in temperate areas (Wobeser *et al.* 1987) declines as winter progresses.  
411 Together, the decrease in putatively cold-tolerant species suggests that low temperatures are not  
412 directly driving changes in the composition of the microbiome.

413 3) *Low temperatures indirectly mediate the gut microbiome by modifying the physiochemical*  
414 *environment of the gut.*

415 *Gryllus veletis* cease feeding but do not void the gut in the winter (L.V. Ferguson, personal  
416 observation), which likely alters available nutrients and selects for bacteria capable of using

417 these nutrients. For example, the gut microbiome of hibernating *Ictidomys tridecemlineatus*  
418 ground squirrels changes to favour bacteria that survive on host-derived mucins (Carey *et al.*  
419 2013). Similarly, the gut could become increasingly anaerobic throughout the winter if insects  
420 close their spiracles to reduce water loss (Danks 2000) or maintain a barrier against pathogens  
421 (Hajek & Leger 1994) and ice-nucleating bacteria (Olsen *et al.* 1998). Indeed, *Pseudomonas* spp.  
422 decrease in the winter, which are the only dominant, obligate aerobes we observed in the  
423 microbiome (excluding *Wolbachia*, which are intracellular and less likely to be affected by  
424 oxygen gradients within the gut). This suggests that the gut could become increasingly anaerobic  
425 in the winter such that the abundance of aerobic bacteria in the gut microbiome declines.

426 4) *The host may directly regulate the microbiome.*

427 Immunity in *G. veletis* may have been seasonally restructured to maintain a constitutive baseline  
428 of activity (e.g. phagocytic activity of haemocytes) while suppressing costly immune activity  
429 [e.g. melanisation; (González-Santoyo & Córdoba-Aguilar 2012)] to account for energy  
430 maintenance and trade-offs during the winter (Ferguson & Sinclair 2017). We do note that  
431 increased constitutive activity through an increase in haemocyte numbers may instead be a by-  
432 product of haemocytes losing adherence to tissues in the cold, thereby increasing haemocytes in  
433 circulation without increasing realised immune responses. Indeed, survival of a fungal infection  
434 (a realised immune response) was nonetheless reduced, suggesting that these insects may be  
435 vulnerable to infection during overwintering. If hosts are threatened by pathogens that enter *via*  
436 the gut (Sinclair *et al.* 2013), while some aspects of systemic immunity are suppressed, then they  
437 would benefit from actively reducing populations of potential pathogens in the gut, such as  
438 *Pseudomonas* spp. and *Serratia marcescens*. Indeed, we observed a reduction in both

439 *Pseudomonas* spp. and *Serratia marcescens* in the gut during overwintering. Further,  
440 *Pseudomonas* spp. are also known ice nucleators and may be regulated by the host to control ice  
441 formation (Olsen & Duman 1997). However, *G. veletis* are freeze-tolerant (McKinnon 2015) and  
442 may be more likely to benefit from maintaining ice nucleators (Lee & Costanzo 1998).  
443 Therefore, we hypothesise that these crickets may either control ice nucleators for an unknown  
444 reason, or control potential pathogens in the gut. However, as we did not measure immune  
445 activity within the gut itself, we are limited in our understanding of the mechanism that may  
446 underlie active control of the microbiome. Further, the pronounced increase in *Wolbachia* spp.,  
447 which may become increasingly pathogenic as density increases (Caragata *et al.* 2016) suggests  
448 that the host is actually impaired in its ability to control endosymbionts and gut bacteria during  
449 overwintering. In either case of control or lack-there-of, it would be likely that changes in the  
450 microbiome would impact host overwintering success.

451

452 Seasonal changes in the gut microbiome may also result from variables that are independent of  
453 temperature. First, microbiomes can change in composition as insects age (Clark *et al.* 2015),  
454 and as our study spanned several weeks, it is possible that age is a contributing factor to seasonal  
455 changes in the cricket microbiome. However, age-related changes in the microbiome are likely  
456 functional shifts linked to metamorphosis to a new instar (Chen *et al.* 2016) or dysbiosis as an  
457 insect approaches death (Clark *et al.* 2015). Prolonged exposure to low temperatures (e.g. during  
458 this study) should instead slow the aging process (Le Bourg 2007), we sampled all crickets  
459 within the same instar, and as these crickets were juveniles, they would be unlikely to be  
460 approaching aging-related death. Second, the density of crickets did decline during our study,  
461 which could reduce potential social transmission of microbes (Lombardo 2007). We suggest that



462 this may be a relatively small contribution to changes in microbial composition in our study as  
463 we used confined spaces and were primarily concerned with changes during a period of  
464 dormancy. However, overwintering could increase aggregation (Copp 1983) or decrease  
465 population density through overwintering mortality, leading to changes in the social transmission  
466 of microbes and resulting composition of microbiomes. Overall, we suggest that seasonal  
467 changes in the microbiome are complex, and likely to arise from several, integrated variables that  
468 must be teased apart to understand their relative contributions to the microbiome and its stability  
469 under phenomena such as climate change.

470

471 We did observe mortality in both microcosms, which was more pronounced in the field-like  
472 microcosm, but we were unable to confirm the cause. We did not observe any outward signs of  
473 infection (e.g. growth of fungal spores) but can not rule out the role of pathogens in the gut or  
474 surrounding environment. Mortality may have been higher in the field-like microcosm due to  
475 inoculative freezing *via* ice nucleators in the soil, leading to lethal freeze-thaw cycles for the  
476 crickets. Dehydration and starvation are also possible explanations for winter mortality  
477 (Williams *et al.* 2015), although both food and water were continuously available so these seem  
478 unlikely causes. Overall, it appears that *G. veletis* do experience overwintering mortality in  
479 conjunction with changes in physiology and the composition of the microbiome, so it will next  
480 be important to determine whether or not these events are linked.

481

## 482 **5 Conclusions**

483

484 Overall, the gut microbiome of *Gryllus veletis* changes during overwintering, and these changes  
485 correlate with changes in host physiology. Further, the patterns of change in both host  
486 physiology and microbiome composition support host-driven changes (passive or active) in  
487 microbial community composition, as opposed to independent, temperature-driven changes.  
488 Concurrent changes in immunity and composition of the microbiome imply that insect hosts may  
489 be faced with pathogen pressure within the microbiome during overwintering. It will next be  
490 important to move towards a functional understanding of these shifts in the microbiome, as their  
491 role may be an important contribution to insect overwintering success that we have previously  
492 ignored.

493

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499 interest to declare.

500

#### 501 **Author Contributions**

502 LVF, BJS, CB and DEH conceived the ideas and designed the methodology; LVF and JEL  
503 collected the data; LVF and PD analysed the data; LVF and BJS led the writing of the  
504 manuscript. All authors contributed critically to the drafts and gave final approval for  
505 publication.

## 506 **Data Accessibility**

507 We have uploaded sequence files to Pubmed and will provide submission number upon  
508 acceptance of the manuscript.

509

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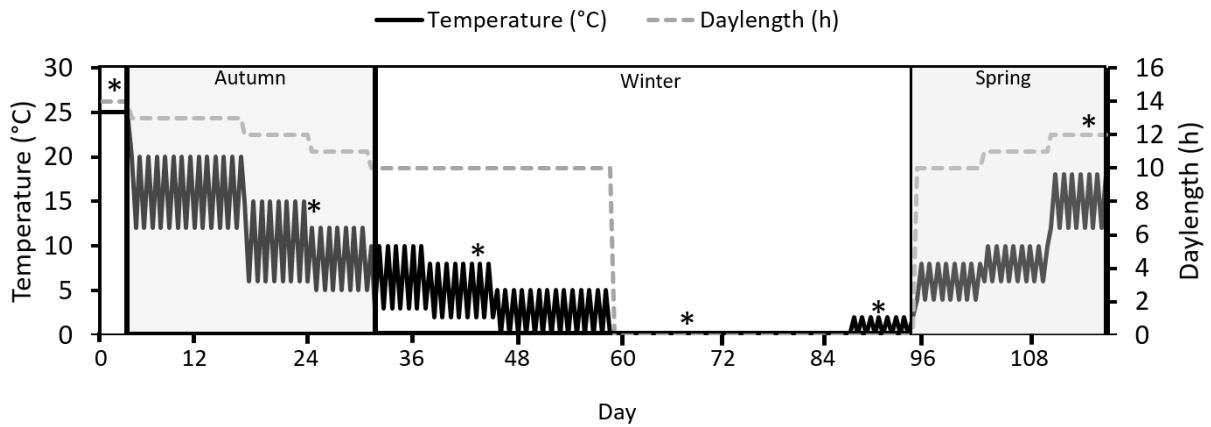
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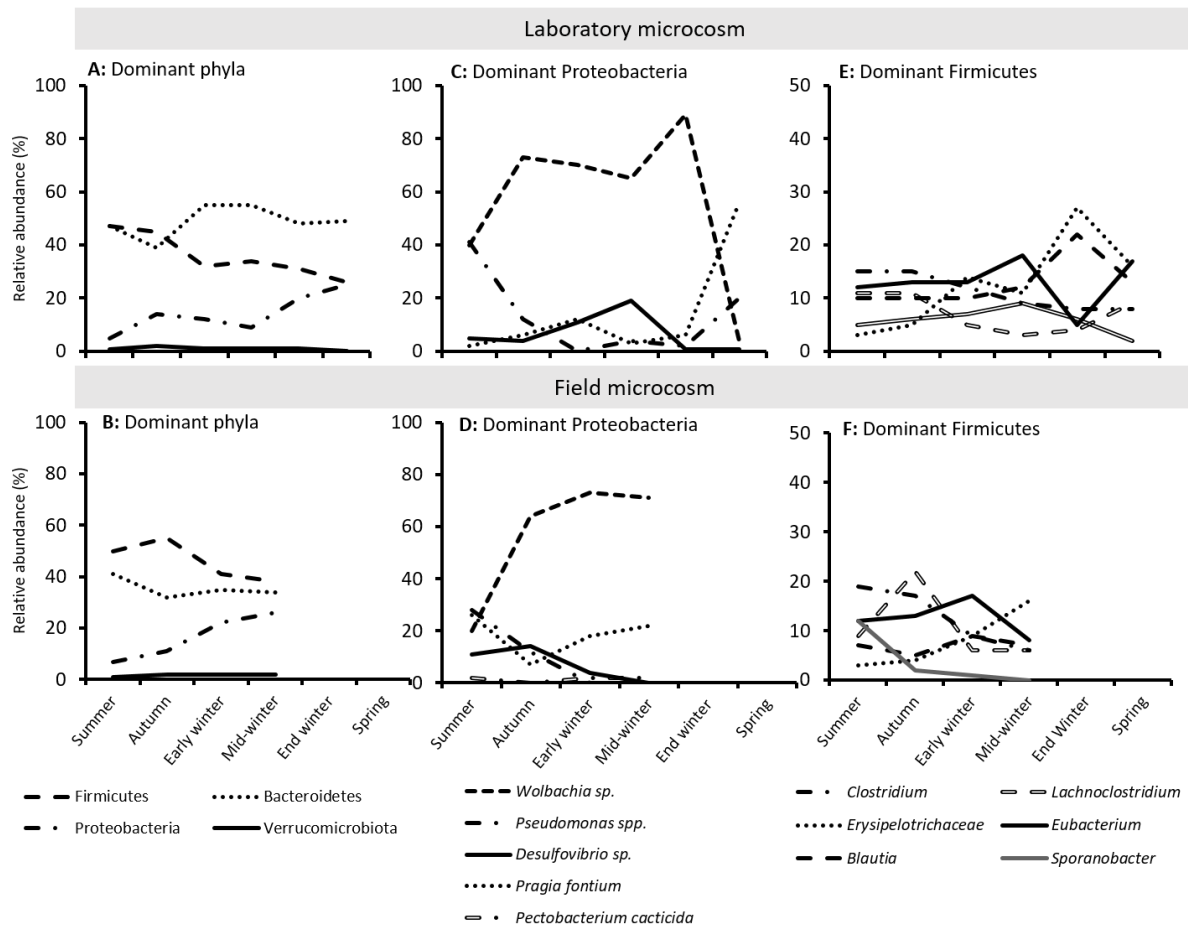
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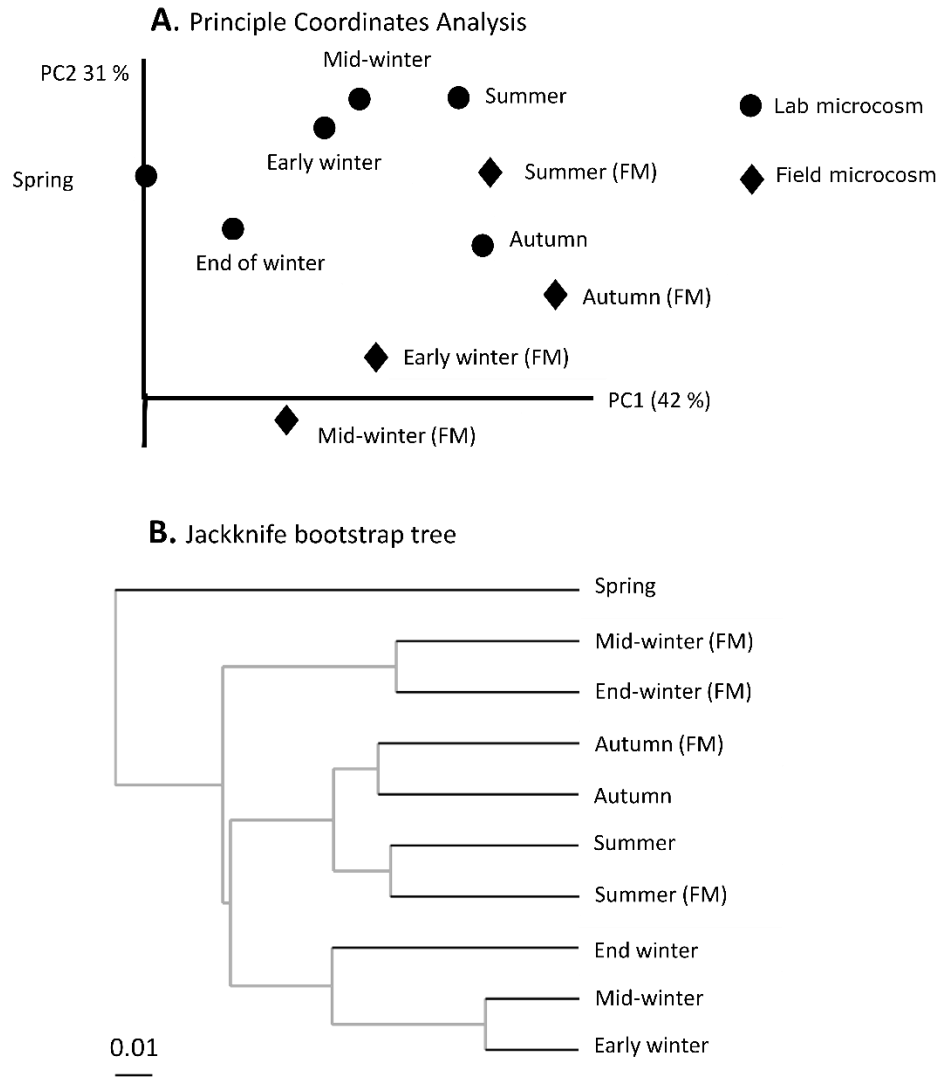
**Figure 1. Temperature and photoperiod of simulated overwintering conditions of *Gryllus veletis*.** Grey dashed lines line represents hours of daylight; black lines represent temperature. Asterisks indicate sampling points, in order of summer, autumn, early winter, mid-winter, late winter, and spring Alternating white and shaded regions represent the span of a season. Sampling begins in summer, under rearing conditions.



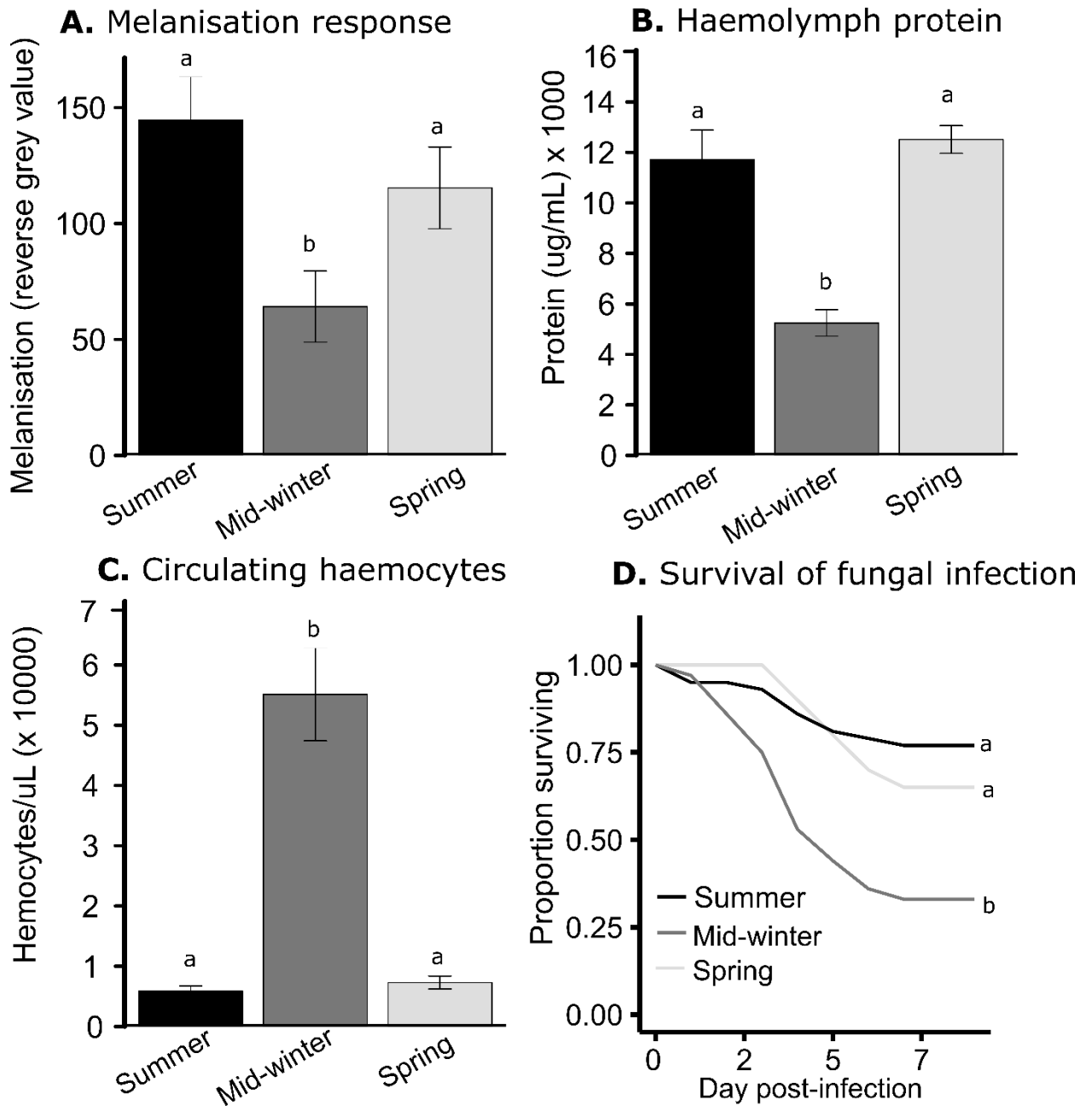
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653 **Figure 2. Relative abundance of the dominant phyla and species or genera within phyla that show the most variation across**  
 654 **season in the microbiome of the hindgut in *Gryllus veletis*.** Relative abundance data for all taxa including those poorly resolved  
 655 available in Figures S3 and S4. Dominant taxa represent those accounting for > 1% of the relative abundance, and/or those  
 656 demonstrating a >5% change in relative abundance over season. Top panels represent crickets in a lab microcosm and bottom panels  
 657 represent crickets in a simulated field-like microcosm. A/B. Dominant phyla; C/D. Dominant species within the Proteobacteria; E/F.  
 658 Dominant genera within the Firmicutes.





**Figure 3. Measures of beta-diversity in the microbiome of *Gryllus veletis* across season and between two microcosms.** A. Principal coordinates analysis (PCoA) of the composition of the gut microbiome. B. Jackknife bootstrap tree as a measure of validation for the PcoA. Grey lines indicate a bootstrap level of 75 – 100 %. Circles represent the lab microcosm, and diamonds represent the field-like microcosm. Output from the PCoA is relative, so we provide no scale on the axis in panel A.



**Figure 4. Measures of immune activity and haemolymph protein in summer, mid-winter and spring in *Gryllus veletis* in a laboratory microcosm.** **A.** the strength of the melanisation response against a simulated pathogen (n = 5-10 per season) **B.** Concentration of haemolymph protein as a correlate for substrate available for the melanisation response (n = 5-10 per season). **C.** Concentration of haemocytes in the haemolymph (n = 5-16 per season). **D.** Survival against the fungal entomopathogen, *Metarhizium anisopliae* (n = 10 per season). Different letters indicate seasons that differ significantly from each other. Error bars indicate SEM.

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662