



## Original Contribution

# Seasonal Variation of Chemical Species Associated With Short-Term Mortality Effects of PM<sub>2.5</sub> in Xi'an, a Central City in China

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The authors conducted a time-series analysis to examine seasonal variation of mortality risk in association with particulate matter less than 2.5  $\mu\text{m}$  in aerodynamic diameter (PM<sub>2.5</sub>) and chemical species in Xi'an, China, using daily air pollution and all-cause and cause-specific mortality data (2004–2008). Poisson regression incorporating natural splines was used to estimate mortality risks of PM<sub>2.5</sub> and its chemical components, adjusting for day of the week, time trend, and meteorologic effects. Increases of 2.29% (95% confidence interval: 0.83, 3.76) for all-cause mortality and 3.08% (95% confidence interval: 0.94, 5.26) for cardiovascular mortality were associated with an interquartile range increase of 103.0  $\mu\text{g}/\text{m}^3$  in lagged 1–2 day PM<sub>2.5</sub> exposure. Stronger effects were observed for the elderly ( $\geq 65$  years), males, and cardiovascular diseases groups. Secondary components (sulfate and ammonium), combustion species (elemental carbon, sulfur, chlorine), and transition metals (chromium, lead, nickel, and zinc) appeared most responsible for increased risk, particularly in the cold months. The authors concluded that differential association patterns observed across species and seasons indicated that PM<sub>2.5</sub>-related effects might not be sufficiently explained by PM<sub>2.5</sub> mass alone. Future research is needed to examine spatial and temporal varying factors that might play important roles in modifying the PM<sub>2.5</sub>–mortality association.

chemical components; excess relative risk; mortality; PM<sub>2.5</sub>; time series; Xi'an

Abbreviations: CI, confidence interval; ICD-10, *International Classification of Diseases*, Tenth Revision; PACF, partial autocorrelation function; PM<sub>2.5</sub>, particulate matter less than 2.5  $\mu\text{m}$  in aerodynamic diameter.

Population-based research has indicated an association between ambient fine particulate matter less than 2.5  $\mu\text{m}$  in aerodynamic diameter (PM<sub>2.5</sub>) and cardiopulmonary mortality and morbidity (1–4). Most of the studies focused on the effects of PM<sub>2.5</sub>, but very few considered the differential toxicity of PM<sub>2.5</sub> based on its component species. Since ambient PM<sub>2.5</sub> consists of species from various sources, including traffic emission, biomass combustion, and crustal origination, the PM<sub>2.5</sub> species originating from different sources and their mixtures may have greater or lesser toxicity. Nevertheless, recent epidemiologic and toxicologic findings have suggested the importance of examining particulate matter species-associated biologic responses and possible underlying mechanisms across the range of cardiopulmonary outcomes (5). The US National Research Council highlighted research priorities characterizing the toxicity and

health risks associated with particulate matter components and supporting the regulation of most toxic particulate matter species and prioritization of particulate emission-control targets (6).

Several North American nationwide time-series analyses illustrated inconsistent seasonal and annual patterns of cardiopulmonary mortality and morbidity associated with ambient PM<sub>2.5</sub> across locations (7, 8). A few studies further examined the association of mortality and morbidity outcomes with seasonal and annual averages of PM<sub>2.5</sub> species, trying to explain the differential city-to-city PM<sub>2.5</sub> health risk (9–12). Secondary particulates such as sulfate and nitrate, as well as trace elements like elemental carbon, organic carbon, and sulfur, have been found most responsible for increased mortality and hospital admission. Transition metals of PM<sub>2.5</sub> species, including zinc, nickel,

chromium, and iron, also have been of significant toxicity concern. However, controversy remains regarding the specific PM<sub>2.5</sub> species associated with adverse health effects across locations and seasons. Differences in sources, chemical characteristics, and meteorology might have contributed to the variations.

In Asia, although air quality has improved in many urban areas despite increased combustion of fossil fuels, many cities remain highly polluted. Consistent with evidence from the West, Asian studies suggested that exposure to air pollution is associated with increased occurrence of adverse health outcomes (13). Ongoing research efforts support analyses examining variability in air pollution sources and the geographic, meteorologic, and population characteristics of Asian populations (14–16). Importantly, research on the effects of PM<sub>2.5</sub> species in typical Asian areas might explain the difference in effects observed between Western and Eastern populations.

As the largest and most populated country in Asia, China experienced overall worsening of air quality in the past decades, along with its rapid economic development (17). Considering the various source-apportioned PM<sub>2.5</sub> pollution patterns across geographic locations in China (18), investigators find that assessment of differential toxicity and the health impact of PM<sub>2.5</sub> mass and species in the Chinese population is of special interest, which would fill the knowledge gaps between Western and Asian studies. Such endeavor would also have significant public health and air quality management implications for the Chinese population. The objective of our time-series analysis was to assess the acute effects of PM<sub>2.5</sub> and the major chemical species on all-cause and cause-specific mortality in Xi'an, by using day-to-day PM<sub>2.5</sub> mass and species data.

## MATERIALS AND METHODS

### Study site and population

The city of Xi'an is located in the Loess Plateau of northwestern China (Figure 1), with 5.4 million urban residents according to the *China City Statistical Yearbook* published in 2005. Each year, between November 15 and March 15, space heating is used in all cities north of the Yangtze River in China, including Xi'an, thus commonly defined as the "heating period." Xi'an is the largest historical city in northwestern China and among the most severely polluted Chinese cities (19). The ambient particulates in Xi'an result mainly from coal combustion space heating in winter and fugitive dust and dust storms in spring, and vehicle emissions contribute to elevated carbonaceous and secondary aerosol species in the city's high PM<sub>2.5</sub> levels (20, 21).

### Data collection

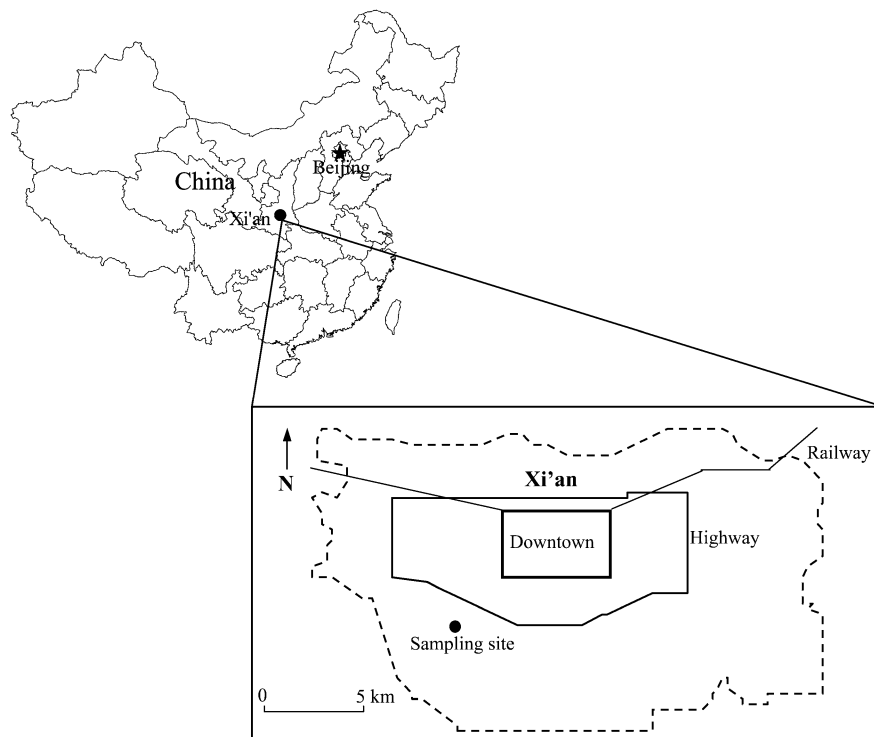
We obtained daily mortality data for urban residents from the Xi'an Center for Disease Control and Prevention for the period between January 1, 2004, and December 31, 2008 (5 years of data). The mortality data were for all causes in all age groups, age-specific groups (0–44 years, 45–64 years, ≥65 years), sex-specific groups (female, male), and all-ages

cause-specific groups (cardiovascular and respiratory diseases). The *International Classification of Diseases*, Tenth Revision (ICD-10), codes of mortality were as follows: all natural causes (ICD-10 codes A00–R99), respiratory diseases (ICD-10 codes I00–I98), and cardiovascular diseases (ICD-10 codes I00–I99). We also obtained cause-specific death counts for coronary disease, stroke, and chronic obstructive pulmonary disease (COPD). We removed the death counts on December 31 and January 1 of each year, because the daily death counts appeared to be much higher than the average death counts on other days, which likely resulted from the recording of unspecified death counts that had accumulated throughout the year.

PM<sub>2.5</sub> monitoring was conducted at a site located in an urban residential area approximately 10 miles (1 mile = 1.6 km) south of downtown Xi'an, which had no major industrial activities or local fugitive dust sources (Figure 1) (21). PM<sub>2.5</sub> mass and species analyses were conducted at the laboratories of the Institute of Earth Environment, Chinese Academy of Sciences, in Xi'an. For these analyses, we obtained daily average concentrations of PM<sub>2.5</sub> mass between January 1, 2004, and December 31, 2008 (5 years of data). Two subsets of PM<sub>2.5</sub> samples were further analyzed for elements and anions, which were selected mainly on the basis of the results of health effects assessed in previous studies (7, 9, 22): organic carbon, elemental carbon, sulfur, potassium, calcium, iron, zinc, chlorine, lead, manganese, bromine, cadmium, nickel, chromium, and the water-soluble anions ammonium, sulfate, and nitrate. Two sub-data sets included one for daily average concentrations of organic carbon, elemental carbon, and other elements from January 1, 2006, to December 31, 2008 (3 years of data), and one for water-soluble anion data from January 1, 2006, to December 31, 2006 (1 year of data). To adjust for the effects of weather on mortality, we obtained information on the daily averaged temperature and the relative humidity for the study period from the China State Meteorology Bureau.

### Statistical methods

Because daily counts of mortality data follow a Poisson distribution, we used Poisson regression models to evaluate the associations between mortality and exposure to PM<sub>2.5</sub> and to specific species. Natural spline functions (23, 24) of calendar time, temperature, and relative humidity were used to adjust for seasonality and long-term trends and to control for the potential confounding effects of weather. Degrees of freedom of natural spline functions were determined by the Akaike Information Criterion (25), generalized cross-validation, and the literature (16, 26). If there was overdispersion in the variance, we applied a partial autocorrelation function (PACF) to guide the selection of degrees of freedom until the absolute values of the sum of PACF for lags up to 30 days reached a minimum. Analyses were also adjusted for year and day of the week as dummy variables to control for different baseline mortality rates for each year and each day of the week. Residuals of each model were examined to check whether there were discernible patterns and autocorrelation by means of residual plots and PACF plots, respectively.



**Figure 1.** Location of air sampling site in Xi'an, China.

We first assessed the mortality risk in association with exposure to  $PM_{2.5}$  mass on the same day (lag 0) and up to 6 prior days (lag days 0–6), using an individual-lag model. We fit the following individual-lag model to obtain the estimated pollution-associated relative rate of increased mortality:

$$\begin{aligned} \text{Log } E(Y_t) = & \beta Z_{t-n} + \text{ns}(\text{time, df}) + \text{ns}(\text{temperature, df}) \\ & + \text{ns}(\text{relative humidity, df}) \\ & + \text{day of the week} + \text{year} + \text{intercept}, \end{aligned}$$

where  $E(Y_t)$  is the expected number of deaths at day  $t$ ;  $\beta$  represents the log-relative rate of mortality associated with a unit increase of air pollutants;  $Z_{t-n}$  indicates the pollutant concentrations at day  $t$  to  $n$  ( $n = 0, 1, \dots, 6$ ) to represent concentrations at various lag days; day of the week and year represent the effects of the day of the week and the time over years; and  $\text{ns}(\text{time, df})$  denotes the natural spline function of calendar time, whereas  $\text{ns}(\text{temperature, df})$  and  $\text{ns}(\text{relative humidity, df})$  denote the natural spline functions of temperature and humidity, respectively.

Because most of the significant associations estimated in the individual-lag model occurred across lag days 0–6, we applied a constrained distributed-lag model to investigate the association between mortality risk and cumulative exposures to  $PM_{2.5}$  and major species of the previous week, accounting for the daily effect in the prior week. Our distributed-lag model constrains lag-specific regression coefficients to be

a step function by including variables that are averages of the same day's and previous 6 days' concentrations.

Finally, because central space heating is operated for a specific set of months each year in Xi'an, we further stratified the whole-year analysis into the heating period (November 15–March 15) and the nonheating period (March 16–November 14). We examined the excess relative risk of  $PM_{2.5}$  and selected species on all causes of mortality for the whole year, as well as for the heating and nonheating periods, using the individual-lag model and the distributed-lag model. We conducted separate regression analyses of the distributed-lag model for each period and examined the heterogeneity of effect estimates across periods.

All results were presented as the percentage change of excess relative risk of mortality and its 95% confidence interval in association with each  $10\text{-}\mu\text{g}/\text{m}^3$  or interquartile-range increase in  $PM_{2.5}$  and major species. All analyses were performed by using R, version 2.12.1, statistical software available on the Comprehensive R Archive Network (CRAN) at <http://cran.r-project.org>.

## RESULTS

Table 1 provides a descriptive summary of  $PM_{2.5}$  mass and species concentrations, as well as meteorologic conditions, in Xi'an for a whole year and for the heating and nonheating periods between 2004 and 2008. The average  $PM_{2.5}$  concentration during the heating period was

**Table 1.** Summary Statistics of PM<sub>2.5</sub> and Component Species Concentrations (µg/m<sup>3</sup>), Meteorologic Conditions in Xi'an, China, 2004–2008

	Whole Year		Heating		Nonheating	
	Mean (SD)	IQR	Mean (SD)	IQR	Mean (SD)	IQR
2004–2008						
Temperature, °C	15.3 (9.8)	16.9	3.9 (4.3)	6.3	20.9 (6.2)	9.9
Relative humidity, %	60.6 (17.4)	28.0	58.7 (17.5)	25.0	61.5 (17.4)	28.0
PM <sub>2.5</sub>	176.7 (103.8)	111.8	235.8 (125.1)	169.3	147.0 (75.5)	88.1
2006–2008						
Organic carbon	28.0 (18.7)	18.2	40.7 (20.8)	27.4	21.7 (13.7)	11.0
Elemental carbon	8.8 (5.2)	6.6	10.8 (5.6)	7.5	7.8 (4.8)	5.8
Sulfur	5.1 (3.4)	4.3	6.7 (4.2)	5.6	4.3 (2.6)	3.6
Potassium	1.8 (1.7)	1.5	2.5 (2.0)	1.6	1.5 (1.3)	1.3
Calcium	2.5 (3.3)	2.4	2.1 (2.1)	2.0	2.7 (3.8)	3.0
Iron	1.6 (1.7)	1.2	1.5 (1.0)	1.0	1.7 (2.0)	1.3
Zinc	1.4 (1.2)	1.2	1.8 (1.3)	1.6	1.3 (1.1)	1.0
Chlorine	1.3 (1.5)	1.5	2.3 (1.9)	2.7	0.8 (1.0)	0.9
Lead	0.49 (0.37)	0.40	0.68 (0.46)	0.57	0.40 (0.27)	0.29
Manganese	0.11 (0.08)	0.09	0.13 (0.08)	0.10	0.10 (0.08)	0.08
Bromine	0.04 (0.05)	0.04	0.05 (0.04)	0.05	0.03 (0.05)	0.03
Cadmium	0.03 (0.05)	0.03	0.03 (0.04)	0.04	0.03 (0.05)	0.03
Nickel	0.01 (0.04)	0.01	0.01 (0.03)	0.01	0.01 (0.05)	0.01
Chromium	0.01 (0.01)	0.01	0.02 (0.01)	0.01	0.011 (0.01)	0.01
2006 only						
Ammonium	11.2 (9.1)	11.7	14.5 (10.8)	15.8	9.6 (7.7)	10.7
Sulfate	38.1 (27.0)	34.6	44.8 (31.3)	44.7	34.8 (24.0)	30.7
Nitrate	16.2 (12.9)	16.2	20.5 (14.2)	21.3	14.0 (11.6)	12.5

Abbreviations: IQR, interquartile range; PM<sub>2.5</sub>, particulate matter less than 2.5 µm in aerodynamic diameter; SD, standard deviation.

approximately 60% higher than that observed during the nonheating period. Most PM<sub>2.5</sub> species, except for calcium and iron, had somewhat higher concentrations during the heating period. However, most proportions of major species did not vary significantly across periods, except for nickel (Web Figure 1, the first of 5 Web figures and 3 Web tables that are posted on the *Journal's* Web site (<http://aje.oxfordjournals.org/>)). In Xi'an, the carbonaceous species elemental carbon and organic carbon contributed approximately 5% and 16% to PM<sub>2.5</sub> mass, whereas the secondary particulate species sulfate, nitrate, and ammonium contributed approximately 22%, 9%, and 6%. We observed the highest correlations among all species between PM<sub>2.5</sub> and sulfate, nitrate, and ammonium (correlation coefficients (*r*) ranging between 0.65 and 0.75) and between PM<sub>2.5</sub> and organic and elemental carbon, sulfur, chlorine, potassium, manganese, and lead (*r* ranging between 0.65 and 0.8) (results shown in Web Tables 1 and 2).

Table 2 summarizes the daily death counts from 2004 to 2008 averaged for the whole year and for the heating and nonheating periods in Xi'an. Death counts due to cardiovascular diseases and respiratory diseases accounted for approximately 46% and 10% of all-cause mortality, respectively, whereas deaths in the ≥65-year group accounted for more than 70% of all-cause mortality.

### Mortality effects estimated by the individual-lag model

Table 3 presents the percent change of adjusted excess relative risk of all-cause and cause-specific mortality, per 10-µg/m<sup>3</sup> and interquartile-range increases in PM<sub>2.5</sub> concentrations averaged over a 1–2 day lag, estimated by an individual-lag model. In the whole-year analyses, all-cause mortality and cardiovascular mortality were significantly increased by 0.20% (95% confidence interval (CI): 0.07, 0.33) and 0.27% (95% CI: 0.08, 0.46), per 10-µg/m<sup>3</sup> increase in PM<sub>2.5</sub> concentration, with adjustment for weather and time-varying effects. Sensitivity analyses showed that, per 10-µg/m<sup>3</sup> increase, the risk estimates for PM<sub>2.5</sub> on all-cause mortality remained significant and robust when extremely high values were removed (data shown in Web Table 3).

Individual-lag-day effects of PM<sub>2.5</sub> exposure on all-cause, cardiovascular, and respiratory mortality in a whole year and during the heating and nonheating periods are presented in Figure 2. Increased all-cause mortality was found to be associated with exposure to PM<sub>2.5</sub> for the whole year. Likewise, seasonal variation of individual-lag effects for major PM<sub>2.5</sub> chemical species is presented in Web Figures 1–5. Specifically, increased all-cause and cardiovascular mortality risks were associated with exposures to secondary aerosols

**Table 2.** Summary Statistics of Daily Mortality Counts in Xi'an, China, 2004–2008

	Death Counts, mean (SD)		
	Whole Year	Heating	Nonheating
All causes			
All ages	25.8 (9.6)	28.6 (10.4)	24.4 (8.9)
0–44 years	2.5 (1.9)	2.7 (1.9)	2.5 (1.9)
45–64 years	5.3 (3.1)	5.6 (3.1)	5.1 (3.0)
≥65 years	18.0 (7.6)	20.3 (8.3)	16.8 (6.9)
Sex			
Female	10.2 (4.8)	11.5 (5.2)	9.6 (4.5)
Male	15.6 (6.4)	17.1 (6.9)	14.8 (6.1)
Cardiovascular	11.8 (5.8)	13.9 (6.4)	10.8 (5.1)
Coronary	6.2 (3.6)	7.5 (4.0)	5.6 (3.1)
Stroke	4.5 (2.7)	5.2 (2.9)	4.2 (2.6)
Respiratory	2.6 (1.9)	3.1 (2.2)	2.4 (1.8)
COPD	0.9 (1.0)	1.2 (1.2)	0.8 (0.9)

Abbreviations: COPD, chronic obstructive pulmonary disease; SD, standard deviation.

(sulfate, nitrate, ammonium) and combustion species (elemental carbon and sulfur) with lags of 0–3 days for the whole year and with greater risks observed during the heating period. However, the confidence intervals of the effect estimates for sulfate, nitrate, and ammonium are also large, which likely was due to small sample sizes (1 year of daily data). Further, several elements (bromine, chlorine, chromium, nickel, zinc, and lead) showed stronger associations with increased mortality at various lag days in the heating period.

### Mortality effects estimated by the distributed-lag model

Table 4 presents the adjusted mortality risk estimated by the distributed-lag model, per interquartile-range increases in  $PM_{2.5}$  exposure averaged for the prior week. A distributed-lag model may underestimate the acute exposure effect that occurred in recent days, such as the same day and 1 or 2 days, by summing up the cumulative effects. Distributed-lag model results estimated an increase of 1.83% (95% CI: 0.29, 3.39) for all-cause mortality and a greater increase of 3.46% (95% CI: 0.78, 6.20) in the cold months, per interquartile-range increases in  $PM_{2.5}$  concentrations. We also observed greater mortality risk in the elderly (≥65 years), in males, and in cardiovascular groups, in the heating period.

In sequential period-stratified, distributed-lag model analyses of  $PM_{2.5}$  species-associated risk for various mortalities in all age groups (Tables 5–8), in general, secondary aerosols (sulfate and ammonium), combustion species (elemental carbon, sulfur, and chlorine), and transition metals (chromium, lead, nickel, and zinc) appeared most responsible for increased risk, particularly in the cold months.

### DISCUSSION

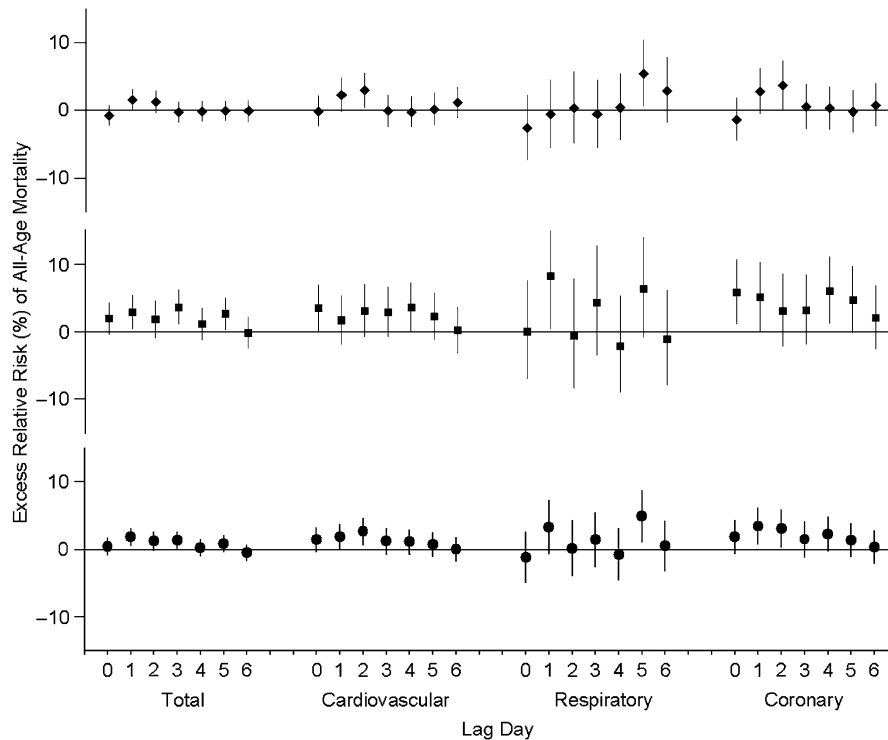
$PM_{2.5}$  species can vary spatially and temporally (27, 28), and previous studies also observed seasonal variation and differential sources contributing to risk heterogeneity (29, 30). In this time-series approach, we confirmed significant mortality risk with exposure to  $PM_{2.5}$ . We also observed that seasonal variation of  $PM_{2.5}$  and chemical species was associated with mortality risk in Xi'an. In addition, secondary aerosols (sulfate, nitrate, and ammonium) and combustion species (elemental carbon and sulfur) in  $PM_{2.5}$  were found to be significantly associated with increased mortality risk, and the magnitude of increased mortality risk was even larger during the heating

**Table 3.** Excess Relative Risk of Mortality per 10  $\mu g/m^3$  and IQR Increases in  $PM_{2.5}$  Concentration Averaged for Lag 1–2 Days Estimated by Individual-Lag Model, in Xi'an, China, 2004–2008

Mortality	Per 10 $\mu g/m^3$		Per IQR	
	Excess Relative Risk, % <sup>a</sup>	95% CI	Excess Relative Risk, % <sup>a</sup>	95% CI
All causes				
All ages	0.20	0.07, 0.33	2.29	0.83, 3.76
0–44 years	0.23	–0.18, 0.63	2.55	–2.02, 7.33
45–64 years	0.25	–0.04, 0.53	2.80	–0.43, 6.14
≥65 years	0.18	0.03, 0.34	2.09	0.35, 3.85
Sex				
Female	0.25	0.05, 0.45	2.83	0.53, 5.19
Male	0.17	0.00, 0.34	1.92	0.06, 3.83
Cardiovascular	0.27	0.08, 0.46	3.08	0.94, 5.26
Coronary	0.39	0.14, 0.65	4.48	1.53, 7.52
Respiratory	0.19	–0.20, 0.59	2.17	–2.24, 6.77

Abbreviations: CI, confidence interval; IQR, interquartile range of  $PM_{2.5}$  averaged for lag 1–2 days: 103.0  $\mu g/m^3$ ;  $PM_{2.5}$ , particulate matter less than 2.5  $\mu m$  in aerodynamic diameter.

<sup>a</sup> Excess relative risk is adjusted for temperature, relative humidity, day of the week, and time trend.



**Figure 2.** Excess relative risk, by percentage, of all-age mortality per IQR increases in PM<sub>2.5</sub> between lag 0 and lag 6 days estimated by individual-lag model, Xi'an, China, 2004–2008. IQR, interquartile range; PM<sub>2.5</sub>, particulate matter less than 2.5 μm in aerodynamic diameter. Excess relative risk is adjusted for temperature, relative humidity, day of the week, and time trend. ♦, estimate for nonheating period; ■, estimate for heating period; ●, estimate for whole year. Bars, 95% confidence interval.

period. Exposure to the elements nickel, zinc, chromium, and lead was also found to be associated with increased risk for cardiovascular disease mortality during the cold months in Xi'an.

In these time-series analyses, we observed elevated risks of 2.29% (95% CI: 0.29, 3.39) for all-cause mortality and 3.08% (95% CI: 0.69, 5.29) for cardiovascular mortality per interquartile-range increase of 103.0 μg/m<sup>3</sup> with a 2-day lag

**Table 4.** Excess Relative Risk of Mortality per IQR Increases in PM<sub>2.5</sub> Estimated by Constrained Distributed-Lag Model, in Xi'an, China, 2004–2008

Mortality	Whole Year		Heating		Nonheating	
	Excess Relative Risk, % <sup>a</sup>	95% CI	Excess Relative Risk, % <sup>a</sup>	95% CI	Excess Relative Risk, % <sup>a</sup>	95% CI
All causes						
All ages	1.83	0.29, 3.39	3.46*	0.78, 6.20	0.28	-1.74, 2.34
0–44 years	1.88	-2.95, 6.95	-2.50	-10.4, 6.09	2.44	-3.83, 9.12
45–64 years	2.52	-0.91, 6.07	3.21	-2.73, 9.51	0.00	-4.33, 4.53
≥65 years	1.61	-0.23, 3.48	4.28**	1.08, 7.58	0.06	-2.37, 2.55
Sex						
Female	2.54	0.10, 5.05	2.83	-1.35, 7.18	2.54	-0.73, 5.92
Male	1.35	-0.63, 3.36	3.88**	0.43, 7.45	-1.15	-3.70, 1.46
Cardiovascular	2.97	0.69, 5.29	4.90	1.00, 8.95	1.72	-1.34, 4.87
Coronary	4.83	1.67, 8.09	8.72**	3.26, 14.46	1.90	-2.33, 6.31
Respiratory	1.63	-3.02, 6.49	3.34	-4.56, 11.90	-0.03	-6.25, 6.60

Abbreviations: CI, confidence interval; IQR, interquartile range of PM<sub>2.5</sub> averaged for lag 0–6 days: 78.9 μg/m<sup>3</sup> (whole year), 104.0 μg/m<sup>3</sup> (heating period), and 59.7 μg/m<sup>3</sup> (nonheating period); PM<sub>2.5</sub>, particulate matter less than 2.5 μm in aerodynamic diameter.

\* P = 0.05–<0.10; \*\*P < 0.05 (significant difference existed between heating and nonheating periods).

<sup>a</sup> Excess relative risk is adjusted for temperature, relative humidity, day of the week, and time trend.

**Table 5.** Excess Relative Risk of All-Age, All-Cause Mortality per IQR Increases in Selected PM<sub>2.5</sub> Species Estimated by Constrained Distributed-Lag Model, in Xi'an, China, 2004–2008

PM <sub>2.5</sub> Species	Whole Year		Heating		Nonheating	
	Excess Relative Risk, % <sup>a</sup>	95% CI	Excess Relative Risk, % <sup>a</sup>	95% CI	Excess Relative Risk, % <sup>a</sup>	95% CI
Organic carbon	2.21	−0.41, 4.90	1.68	−2.98, 6.56	2.27	−0.03, 4.62
Elemental carbon	0.13	−2.50, 2.84	6.15*	2.87, 9.53	−0.02	−3.34, 3.40
Sulfur	1.41	−0.92, 3.79	6.79*	2.65, 11.09	−0.06	−2.61, 2.55
Bromine	0.75	−1.39, 2.93	3.57	−1.33, 8.72	−0.06	−1.98, 1.90
Chlorine	1.54	−1.37, 4.54	6.35	1.72, 11.19	3.83	0.66, 7.11
Chromium	0.07	−1.18, 1.33	2.92*	0.66, 5.24	−1.20	−2.73, 0.35
Potassium	−0.18	−2.41, 2.10	0.45	−2.19, 3.17	−2.51	−5.30, 0.36
Nickel	0.32	−0.18, 0.83	1.58	0.75, 2.41	0.71	−0.13, 1.56
Lead	−0.65	−2.76, 1.52	3.23	−1.07, 7.71	0.56	−2.02, 3.22
Zinc	−0.44	−2.49, 1.66	2.17	−1.54, 6.02	1.98	−0.14, 4.14
Ammonium	2.96	−1.97, 8.14	5.05*	−1.99, 12.61	−6.09	−13.40, 1.84
Sulfate	4.26	−0.49, 9.24	5.17*	−2.03, 12.90	−5.13	−12.26, 2.58
Nitrate	3.17	−1.91, 8.52	1.68	−2.98, 6.56	−1.44	−7.40, 4.90

Abbreviations: CI, confidence interval; IQR, interquartile range; PM<sub>2.5</sub>, particulate matter less than 2.5 μm in aerodynamic diameter.

\*  $P < 0.05$  (significant difference existed between heating and nonheating periods).

<sup>a</sup> Excess relative risk is adjusted for temperature, relative humidity, day of the week, and time trend.

of PM<sub>2.5</sub> concentrations in Xi'an. Considering that there was an approximately 10-fold higher level of PM<sub>2.5</sub> observed in this study, we found that the magnitude of mortality risk of PM<sub>2.5</sub> observed is comparable to that found in Western populations, which tend to have much lower PM<sub>2.5</sub> exposure levels. In a study in 6 California counties, Ostro et al. (31) reported a 1.6% (95% CI: 0.0, 3.1) increase in cardiovascular

mortality, for an interquartile-range increase of 14.6 μg/m<sup>3</sup> with a 3-day lag for PM<sub>2.5</sub> exposure. A case-crossover analysis conducted in 25 US communities showed an association between PM<sub>2.5</sub> and all-cause mortality of 1.12% (95% CI: 0.29, 2.14) and stroke mortality of 1.36% (95% CI: 0.02, 2.04) increases, per 10-μg/m<sup>3</sup> increase of PM<sub>2.5</sub> (32). A recent analysis by Zanobetti and Schwartz (33) reported an

**Table 6.** Excess Relative Risk of All-Age Cardiovascular Mortality per IQR Increases in Selected PM<sub>2.5</sub> Species Estimated by Constrained Distributed-Lag Model, in Xi'an, China, 2004–2008

PM <sub>2.5</sub> Species	Whole Year		Heating		Nonheating	
	Excess Relative Risk, % <sup>a</sup>	95% CI	Excess Relative Risk, % <sup>a</sup>	95% CI	Excess Relative Risk, % <sup>a</sup>	95% CI
Organic carbon	1.77	−2.03, 5.71	3.43	−3.41, 10.76	2.28	−1.13, 5.80
Elemental carbon	3.00	−0.96, 7.11	10.17**	5.26, 15.30	1.53	−3.45, 6.78
Sulfur	0.52	−2.89, 4.05	7.76*	1.66, 14.23	0.96	−2.91, 4.99
Bromine	0.92	−2.21, 4.15	1.96	−5.06, 9.49	−0.07	−2.90, 2.83
Chlorine	4.16	−0.12, 8.61	10.04	3.22, 17.32	6.29	1.51, 11.30
Chromium	0.22	−1.64, 2.11	3.78**	0.42, 7.25	−0.76	−3.05, 1.58
Potassium	0.91	−2.36, 4.28	0.33	−3.49, 4.30	−0.30	−4.48, 4.07
Nickel	0.07	−0.69, 0.85	2.04**	0.90, 3.20	0.00	−1.35, 1.37
Lead	−1.26	−4.34, 1.92	5.26	−1.12, 12.05	2.32	−1.59, 6.38
Zinc	−0.99	−3.96, 2.08	4.48	−0.89, 10.14	1.32	−1.79, 4.53
Ammonium	3.29	−1.97, 8.14	2.55	−7.91, 14.2	−8.03	−19.02, 4.45
Sulfate	4.22	−0.49, 9.24	2.22	−8.43, 14.11	−4.78	−15.82, 7.71
Nitrate	6.32	−1.91, 8.52	4.91	−7.11, 18.48	−0.27	−9.52, 9.92

Abbreviations: CI, confidence interval; IQR, interquartile range; PM<sub>2.5</sub>, particulate matter less than 2.5 μm in aerodynamic diameter.

\*  $P = 0.05$ – $<0.10$ ; \*\* $P < 0.05$  (significant difference existed between heating and nonheating periods).

<sup>a</sup> Excess relative risk is adjusted for temperature, relative humidity, day of the week, and time trend.

**Table 7.** Excess Relative Risk of All-Age Respiratory Mortality per IQR Increases in Selected PM<sub>2.5</sub> Species Estimated by Constrained Distributed-Lag Model, in Xi'an, China, 2004–2008

PM <sub>2.5</sub> Species	Whole Year		Heating		Nonheating	
	Excess Relative Risk, % <sup>a</sup>	95% CI	Excess Relative Risk, % <sup>a</sup>	95% CI	Excess Relative Risk, % <sup>a</sup>	95% CI
Organic carbon	4.72	−3.02, 13.07	0.68	−12.06, 15.26	3.67	−3.24, 11.07
Elemental carbon	3.41	−4.46, 11.92	8.43	−0.96, 18.71	5.28	−4.95, 16.61
Sulfur	12.65	5.20, 20.64	19.48	6.76, 33.70	7.17	−1.01, 16.03
Bromine	3.26	−3.26, 10.23	11.84	−2.81, 28.71	0.61	−5.36, 6.97
Chlorine	6.63	−2.12, 16.17	14.99	1.01, 30.91	3.68	−5.45, 13.71
Chromium	−2.04	−5.58, 1.63	−0.14	−6.26, 6.37	−2.61	−7.10, 2.10
Potassium	3.89	−2.73, 10.95	9.15*	1.44, 17.45	−8.40	−16.42, 0.38
Nickel	−0.52	−2.05, 1.04	1.82*	−0.71, 4.43	−1.90	−4.53, 0.81
Lead	5.32	−1.08, 12.14	13.15	0.28, 27.67	1.78	−5.86, 10.04
Zinc	1.08	−5.21, 7.78	1.90	−8.66, 13.68	4.29	−2.34, 11.38
Ammonium	10.33	−3.47, 26.10	19.54	−1.13, 44.53	−1.09	−21.11, 24.00
Sulfate	9.95	−3.10, 24.75	17.28	−3.33, 42.28	−0.73	−20.04, 23.25
Nitrate	7.65	−6.26, 23.62	18.66	−4.23, 47.02	−0.06	−15.91, 18.77

Abbreviations: CI, confidence interval; IQR, interquartile range; PM<sub>2.5</sub>, particulate matter less than 2.5 μm in aerodynamic diameter.

\*  $P < 0.05$  (significant difference existed between heating and nonheating periods).

<sup>a</sup> Excess relative risk is adjusted for temperature, relative humidity, day of the week, and time trend.

increased cardiovascular mortality risk of 0.85% (95% CI: 0.46, 1.24) per 10-μg/m<sup>3</sup> increase in PM<sub>2.5</sub> exposure at lag 0–1 days. Although study methods might have influenced the analysis results, disparities in findings between Chinese and Western populations provide evidence of the differential toxicity of PM<sub>2.5</sub> with different components across locations and seasons, as well as on various health outcomes.

We observed strong effects of the secondary aerosol anions sulfate, nitrate, and ammonium, as well as the components of biomass combustion that produce sulfur, potassium, chlorine, and bromine species, on all-cause and cardiorespiratory mortality. Likewise, the associations in the heating period were stronger but were reduced or near null in the nonheating period. Although similar mortality effects of secondary aerosols

**Table 8.** Excess Relative Risk of All-Age Coronary Mortality per IQR Increases in Selected PM<sub>2.5</sub> Species Estimated by Constrained Distributed-Lag Model, in Xi'an, China, 2004–2008

PM <sub>2.5</sub> Species	Whole Year		Heating		Nonheating	
	Excess Relative Risk, % <sup>a</sup>	95% CI	Excess Relative Risk, % <sup>a</sup>	95% CI	Excess Relative Risk, % <sup>a</sup>	95% CI
Organic carbon	7.14	1.74, 12.83	9.23	−0.42, 19.81	7.93	3.00, 13.1
Elemental carbon	9.03	3.28, 15.10	17.85**	10.75, 25.39	5.35	−1.85, 13.07
Sulfur	2.40	−2.33, 7.35	14.59**	5.84, 24.06	0.13	−5.15, 5.70
Bromine	1.06	−3.22, 5.54	6.84	−3.06, 17.75	−1.19	−5.05, 2.83
Chlorine	10.88	4.78, 17.33	19.65	9.79, 30.40	10.32	3.49, 17.60
Chromium	0.59	−1.97, 3.22	3.77	−0.85, 8.60	0.57	−2.62, 3.85
Potassium	6.50	1.89, 11.32	4.46	−0.79, 9.98	5.02	−0.98, 11.39
Nickel	−0.84	−1.93, 0.27	1.47**	−0.09, 3.05	−1.14	−3.12, 0.87
Lead	0.16	−4.09, 4.60	12.39**	3.32, 22.25	1.23	−4.15, 6.90
Zinc	−0.68	−4.81, 3.62	10.17**	2.57, 18.34	−0.73	−5.06, 3.81
Ammonium	4.09	−6.30, 15.62	3.14	−11.11, 19.67	−7.97	−22.72, 9.59
Sulfate	5.30	−4.75, 16.41	2.04	−12.36, 18.81	−2.51	−17.68, 15.45
Nitrate	5.06	−5.62, 16.95	5.35	−11.03, 24.74	−2.32	−14.44, 11.5

Abbreviations: CI, confidence interval; IQR, interquartile range; PM<sub>2.5</sub>, particulate matter less than 2.5 μm in aerodynamic diameter.

\*  $P < 0.05$  (significant difference existed between heating and nonheating periods).

<sup>a</sup> Excess relative risk is adjusted for temperature, relative humidity, day of the week, and time trend.



were confirmed in several recent studies (10, 31), findings on various health outcomes remain inconsistent. Hoek et al. (34) reported that sulfate and nitrate were associated with all-cause mortality and that nitrate was also associated with cardiovascular mortality. Mar et al. (35) found increased all-cause and cardiovascular mortality associated with sulfate at the regional scale. In contrast, Fairley (36) indicated that sulfate was associated with respiratory mortality, but no significant associations were found with cardiovascular mortality. However, secondary pollutants, which are related to multiple sources and have undergone complicated atmospheric processing, could vary widely across seasons and geographic locations as regional-scale transport species in China and worldwide (37–39). In a large population-based study across the United States, proportions of sulfate alone or in combination with other species are reported to be major modifiers of the PM<sub>2.5</sub> mass–mortality association (9).

For the combustion and traffic markers elemental and organic carbon (38, 40, 41), we observed significant association between elemental carbon and all-cause and cardiovascular diseases mortality, mostly in the heating period. Yet, our findings were consistent with those of previous studies in which the seasonal variation of elemental carbon effects varied with locales and sources. Ostro et al. (31) observed an association between elemental carbon and all-cause mortality in the cold period (October–March) but not in the whole year. Zhou et al. (10) reported that elemental carbon had higher concentrations and stronger effects in the warm period (April–September) in Detroit, while showing higher levels in the cold period (October–March) in Seattle. Ito et al. (11) demonstrated that elemental carbon was significantly associated with cardiovascular mortality in the warm period and nearly significant in the cold period in New York City.

For the transition metals, nickel, zinc, chromium, and lead were found mostly associated with all-cause and cardiorespiratory mortality in the heating period. Chromium, nickel, and zinc were reported from industrial and combustion processes and found to be associated with daily mortality in a multicity analysis conducted in Canada (42). Recent studies provided further evidence that exposure to nickel was related to acute cardiovascular outcome changes (7, 43, 44). For lead, Mar et al. (35) found it was negatively associated with all-cause mortality; however, Ostro et al. (31) did not observe a mortality effect of lead.

In Xi'an, water-soluble and carbonaceous aerosols from coal combustion and vehicle emissions were found to be the dominant species in fine particulate matter in the cold months (45). In the current study, we observed approximately 20%–50% concentration increases of major chemical species in PM<sub>2.5</sub> in the cold months, whereas the proportions of most species remained similar across periods. The overall stronger mortality effects of combustion and secondary aerosols observed in the heating period may not be interpreted as independent influences of coal combustion and traffic alone. Potential seasonal influence and effect modifiers for PM<sub>2.5</sub> and species-associated health effects warrant further investigation.

An important strength of our study is the application of the distributed-lag model in assessing cumulative effects, rather

than using models that may estimate the effects of a single day or several days at a particular lag period alone. In our study, the key results of PM<sub>2.5</sub> and species-associated mortality effects, obtained by both the individual-lag model and the distributed-lag model, are largely in agreement with each other. The distributed-lag model approach better summarized the cumulative effects in association with exposure to PM<sub>2.5</sub> and species in preceding days and allowed us to assess the heterogeneity of effects between cold and warm periods.

Our results added to previous findings in several ways. First, the relatively high level of PM<sub>2.5</sub> mass and species observed in Xi'an increased the study power to detect small changes and statistically significant associations. Second, with 5 years of daily PM<sub>2.5</sub> mass and 1–3 years of subsets of species data, we were able to assess the seasonal distribution of PM<sub>2.5</sub> species and the associated mortality effect over time, which has been rarely studied in the Chinese population, and more importantly to provide evidence filling in knowledge gaps in PM<sub>2.5</sub>-associated effects between Western and Eastern populations. Third, our results were consistent with previous findings on combustion-derived species associated with cardiorespiratory mortality and morbidity risk (10, 11, 46, 47). However, the association patterns over time were not always consistent with previous findings, which suggested that PM<sub>2.5</sub> toxicity may be largely determined by its component species from various sources of the local environment and, thus, the overall PM<sub>2.5</sub>-related effects might be modified by some of its species that differ across locations. Finally, the distinct seasonal pattern of PM<sub>2.5</sub> species mortality effects observed in our study provided an important rationale to further examine how time-varying factors, such as personal activity and weather conditions, may modify the mortality effects of PM<sub>2.5</sub> and species (48).

Limitations in our study should be noted when interpreting the results. First, exposure data were obtained from a single monitoring station that might not well represent population exposure levels, particularly in Chinese cities with a dense urban population. Ito et al. (49) also found that secondary species tend to have higher monitor-to-monitor temporal correlations, whereas local combustion-sourced species, such as elemental carbon and nickel, had lower correlations. Thus, the interpretation of our results could be limited by the uncertainties with regard to the influence of relative exposure errors on the observed associations across the species.

In summary, we observed a significant mortality association with exposure to PM<sub>2.5</sub> and species from combustion, vehicle emission, and industry process sources. The differential seasonal association pattern across species indicated that PM<sub>2.5</sub>-related effects are not sufficiently explained by mass alone. Although understanding the biologic causal mechanism of the PM<sub>2.5</sub> mortality effect remains challenging, our study, along with the related work of others, provides evidence that primary PM<sub>2.5</sub> species, as well as time-varying factors, might play important roles in modifying the PM<sub>2.5</sub>–mortality association. More studies examining source-specific health evidence of PM<sub>2.5</sub> will be critical for developing a comprehensive air control strategy at local and regional scales.

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