Secure Spread: An Integrated Architecture for Secure Group Communication

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***Abstract*— Group communication systems are high-availability distributed systems providing reliable and ordered message delivery as well as a membership service to group-oriented applications. Many such systems are built using a distributed client-server architecture where a relatively small set of servers – sharing information about the groups in the system – provide service to numerous clients.**

In this work, we show how group communication systems can be enhanced with security services without sacrificing robustness and performance. More specifically, we propose several integrated security architectures for distributed client-server group communication systems. In an integrated architecture, security services are implemented in servers, in contrast to a layered architecture where the same services are implemented in clients. We discuss performance and accompanying trust issues of each proposed architecture and present experimental results that demonstrate the superior scalability of an integrated architecture.

***Index Terms*— Protocol architecture (C.2.2.b) and Distributed applications (C.2.4.b)**

I. Introduction

UBIQUITOUS information access and communication have become essential to everyday life, global business, and national security. Activities, including personal, commercial and international financial transactions, studying and teaching, shopping for goods or managing modern battlefields have fundamentally changed over the last decade as a result of the expanding capabilities of computers and networks. Most such activities are supported by distributed applications which, in turn, increasingly rely on messaging systems to provide secure and uninterrupted service within acceptable throughput and latency parameters. This is difficult to guarantee in a complex network environment that is susceptible to a multitude of human and/or electronic threats, especially, as network attacks have become more sophisticated and harder to contain.

A distributed messaging system is essentially an abstraction layer built on top of an underlying network. It provides distributed applications with: (1) services not available from the native network, e.g., security, ordered message delivery, or (2) services that are enhanced, e.g., higher availability, improved reliable delivery. Group communication systems, overlay networks, and middleware are all examples of messaging systems serving as infrastructure for applications, such as: web clusters, replicated databases, scalable chat services and streaming video.

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Since many applications are expected to run over the Internet, security becomes a real necessity. We note that even for applications running in local area networks, particularly in commercial environments, security is required to ensure restricted access to data and to protect communication according to regulations and hierarchical structures specific to an organization. Although not an independent service, security is an enabling feature without which the actual end-services cannot be trusted or relied upon. To this end, the research community has invested a lot of effort in investigating and developing effective and efficient security services. Numerous algorithms, protocols, frameworks and policy languages have been developed to provide security services in point-to-point or group-based communication models. However, there has not been enough research into the integration of security techniques into distributed systems, while maintaining a reasonable level of performance.

This work tries to fill this gap, by showing how high-availability systems (such as group communication systems) can be enhanced with security services without sacrificing robustness and performance.

A. Group Communication Systems

Group communication systems (GCS) are distributed messaging systems that enable efficient communication between a set of processes logically organized in groups. Processes communicate via multicast in an asynchronous environment where failures can occur. More specifically, a GCS provides two services: group membership as well as reliable and ordered message delivery. The membership service provides all members of a group with information about the list of currently connected and alive group members [1] and notifies group members about every group change. A group can change for several reasons. In an idealized fault-free setting, a change can be caused by members voluntarily joining or leaving the group. In a more realistic environment, faults can occur, e.g., processes can become disconnected or simply crash and network partitions can prevent members from communicating. When faults are healed, group members can communicate again. All the above events can trigger corresponding changes in group membership.

The core of GCS is in achieving agreement between multiple participants about group membership views and about the order of messages to be delivered. Many agreement protocols were proved to have no solution in asynchronous systems

[1] This list is often referred to as a *view*.

with failures [2]. Practical GCS-s overcome the problem by using time-out based failure detection to sense network (dis-)connectivity and process faults. One risk of this approach is that alive and connected members communicating over high-delay links, can be excluded from the group membership. If the network is stable, GCS membership reflects the current list of connected and alive group members.

Membership and message delivery services were formalized in two models: Virtual Synchrony [3] (VS) and Extended Virtual Synchrony [4] (EVS). The main difference between the two models has to do with the relation between the views in which messages are sent and delivered.

GCS-s have been built around a number of different architectural models, such as, peer-to-peer libraries, 2- or 3-level middleware hierarchies, modular protocol stacks, and client-server. To improve performance, modern GCS-s use a client- server architecture where expensive distributed protocols are run between a set of servers, where each server provides services to multiple clients. In this architecture, the client membership service is implemented as a "light-weight" layer that communicates with a "heavy-weight" layer asynchronously using a FIFO buffer. In such a model, an application using the GCS as its infrastructure, will link with the GCS client library in order to get access to the membership service and ordered/reliable message delivery provided by the servers.

B. Security Services for Group Communication Systems

Security is crucial for distributed and collaborative applications that operate in a dynamic network environment and communicate over insecure networks, such as the Internet. Basic security services needed in such a dynamic peer group setting are largely the same as in point-to-point communication. The minimal set of security services that should be provided by any GCS include:

- *Client authentication*: authenticate a client when it requests access to the GCS, e.g., when it connects to a GCS server.
- *Access control*: check if a given client is authorized to access system resources. Typical group resources that can be controlled by access control methods are: joining a group and sending or receiving messages to a group.
- *Group key management*: generate and maintain a shared group key that can be used to bootstrap other group services, i.e., data integrity and confidentiality.
- *Integrity and data source authentication*: protect the contents of the communication from being modified by an outsider. Data source authentication guarantees that the message was generated by a trusted source and protects against injections. Efficient integrity and data authentication mechanisms (such as. HMAC [5]) require a shared key between participants. For many protocols data integrity and authentication is an essential service.
- *Confidentiality*: protect the contents of communication both from eavesdropping as well as from modification. Symmetric encryption algorithms (such as AES [6]) require participants to share a secret key.

There are two basic architectural approaches to providing security services in a client-server GCS. The first approach (referred to as as the *layered architecture*) places security services in a client library layered on top of the GCS client library. The second approach (referred to as the *integrated architecture*) entails housing some (or all) security services at the servers in order to obtain a more scalable design.

C. New Contributions

The main goal of this work is to investigate scalable solutions for securing GCS-s that do not result in the severe degradation of performance and preserve the fault-tolerance properties. In particular, we focus on securing Spread [7], a GCS resilient to process crashes and network partitions.

To put this work into context, we briefly outline our earlier efforts. Some of our previous results [8] demonstrate how authentication and access control for a client-server GCS can be efficiently addressed. The framework specified that clients are authenticated when connecting to a server, while access control to group resources is enforced by the local server. Another recent work focused on designing a robust contributory group key agreement [9], [10]. In the present work, complimentary to previous work, we propose scalable and efficient secure architectures for Spread, focusing on providing authentication, data confidentiality and data integrity. More specifically, our contributions are:

- **Improved scalability of group key generation**: Contributory key agreement protocols provide strong security properties, which makes them appealing for secure group communication. However, when used in a layered architecture, they scale poorly. We show how this limitation can be overcome by using an integrated approach in a light-weight/heavy-weight [11] group architecture, such that the cost of key management is amortized over many groups, while each group has its own unique key.
- **Group confidentiality support for EVS semantics:** We discuss the relationship between group communication semantics and group confidentiality. Providing confidentiality in systems supporting the VS model is an easier task (than in EVS) since the semantics provides a form of synchronization between the group membership and data message delivery. The task is more challenging in systems supporting the EVS model, however, such systems have better performance; thus, it is desirable to provide solutions for them as well.
- **Experimental evaluation and comparison of secure group architectures:** We proposed three variants of scalable integrated architectures for Spread, supporting both VS and EVS semantics. We discuss the accompanying trust issues and present experimental results that offer insights into their scalability and practicality.

Roadmap: the rest of the paper is organized as follows. We survey notable prior work in Section II. We then describe Spread and the group communication semantics it supports. Next, we specify our security assumptions. We then overview a layered architecture design and propose three variants of

the integrated security architecture for Spread. We demonstrate and discuss the improved scalability of our integrated architecture in Sections VI and VII, respectively. Finally, we summarize our work and discuss potential future research directions.

II. Related Work

RESEARCH in group communication systems operating in a local area network (LAN) environment has been quite active in the last 15-20 years. Initially, high availability and fault tolerance were the main goals. This resulted in systems like ISIS [12], Transis [13], Horus [14], Totem [15], and RMP [16]. These systems explored several different models of group communication such as Virtual Synchrony [3] and Extended Virtual Synchrony [4]. More recent work in this area focuses on scaling group membership to wide area networks (WAN) [17], [18].

With the increased use of GCS-s over insecure open networks, some research interests shifted to securing these systems. Research on securing group communication is fairly new. The only implemented GCS-s that focus on security (in addition to ours) are: the SecureRing [19] system at UCSB, the Horus/Ensemble systems at Cornell [20], [21], and the Rampart system at AT&T [22].

At the core of any GCS is a membership protocol. Some of the work in securing group communication focused on protecting the membership protocol in the presence of Byzantine faults. This includes systems such as Rampart [22] and SecureRing [19]. Rampart builds its group multicast over a secure group membership protocol achieved via two-party secure channels. SecureRing protects its low-level ring protocol by using digital signatures to authenticate each token transmission and each data message received. Both systems exhibit limited performance since they use relatively costly protocols and make extensive use of public key cryptography.

In addition to the membership service, GCS-s provide reliable ordered message delivery within a group. To secure this service, group members (senders) must be authenticated and both confidentiality and integrity of client data must be guaranteed. One notable work in this area is the Horus/Ensemble work at Cornell [23], [20], [21]. Ensemble achieves data confidentiality by using a shared group key obtained via group key distribution protocols. Although efficient, this method does not provide certain security properties such as key independence and perfect forward secrecy. For authentication, Ensemble uses the popular PGP [24] method. In addition, the system allows application-dependent trust models in the form of access control lists which are treated as replicated data within a group. Recent research on Bimodal-Multicast, Gossip-based protocols [25] and the Spinglass system has largely focused on increasing scalability and stability of reliable group communication services in more hostile environments – such as wide-area and lossy networks – by providing probabilistic guarantees about delivery, reliability, and membership.

Some other approaches focus on building highly configurable dynamic distributed protocols. Cactus [26] is a framework that allows the implementation of configurable protocols as composition of micro-protocols. Survivability of the security services is enhanced by using redundancy for specific security services. For example, in [27], redundancy of data confidentiality is obtained by encrypting data multiple times, each time using a different encryption algorithm. This approach is not appropriate for data-stream applications where throughput is a concern.

Another toolkit that can be used to build secure group oriented applications is Enclaves [28]. It provides group control and communication (both point-to-point and multicast) and data confidentiality using a shared key. The group utilizes a centralized key distribution scheme where a member of the group (group leader) selects a new key every time the group changes and securely distributes it to all members of the group. The main drawback of this system is that it does not address failure recovery when the leader of the group fails.

A collaborative application can have its own specific security requirements and its own security policy. The Antigone policy [29] framework allows flexible application-level group security policies in a more relaxed model than the one usually provided by GCS-s. Policy flavors addressed by Antigone include: re-keying, membership awareness, process failure and access control. The system implements group rekeying mechanisms in two flavors: session rekeying - all group members receive a new key, and session key distribution - the session leader transmits an existing session key. Both schemes present some problems: distributing the same key when the group changes violates perfect forward secrecy, while the session rekeying mechanism – although able to detect the leader's failure – can not recover from it.

Unlike aforementioned systems, we focus on using contributory group key agreement as a building block for other security services in Spread [7]. Contributory key agreement protocols provide strong security properties. In particular, they can guarantee that: (1) compromise of any subset of old group keys does not lead to compromise future group keys; (2) compromise of any subset of group keys does not lead to compromise of previous group keys; and, (3) more generally, compromise of all-but-one group keys does not lead to compromise of the one "missing" group key. Moreover, even compromise of the members' long-term secret keys does not lead to compromise of any group keys. Our work investigates trade-offs between security and group communication semantics support. Our secure GCS supports two strong group communication semantics: Virtual Synchrony and Extended Virtual Synchrony.

III. Spread

THE work presented in this paper evolved from integrating security services into the Spread GCS. In this section we present an overview of group communication semantics and describe the Spread architecture.

Spread [7] is a general-purpose GCS for wide- and local-area networks. It provides reliable and ordered delivery of messages (FIFO, causal, total ordering) as well as a membership service.

The system consists of a server and a client library linked with the application. The client and server memberships follow

the model of light-weight and heavy-weight groups [30]. This architecture amortizes the cost of expensive distributed protocols, since such protocols are executed only by a relatively small number of servers (as opposed to all clients). This way, a simple join or a leave of a client process translates into a single message, instead of a full-fledged membership change. Only network partitions [2] incur the heavy cost of a full-fledged membership change.

Spread offers a many-to-many communication paradigm where any group member can be both a sender and a receiver. Although designed to support small- to medium-size groups, it can accommodate a large number of collaborative sessions, each spanning the Internet. Spread scales well with the number of groups used by the application without imposing any overhead on network routers.

Spread supports two well-known group communication semantics, Virtual Synchrony (VS) [11], [31] and Extended Virtual Synchrony (EVS) [4], [32]. (See [33] for a comprehensive survey of group communication models). The VS service is provided by a client library implemented on top of the EVS semantics.

Both group semantics guarantee that all group members see the same set of messages between two sequential group membership events and that the order of messages requested by the application (such as FIFO, Causal, or Total) is preserved. They also guarantee that all messages are delivered in the same view. However, there is a major difference in this last aspect: while VS guarantees that messages are delivered to all recipients in the same view as the sending application thought it was a member of at the time it sent the message (also known as Sending View Delivery), EVS guarantees that messages will be delivered in the same group view to connected members (also known as the Same View Delivery property). Note that, in EVS, the delivery view can be different from the sending view.

The VS service is easier to program and understand, while the EVS service is more general and has better performance. VS is slower, since it requires application-level acknowledgments for every group change. Moreover, it requires closed groups semantics, allowing only current members of the group to send messages to the group. EVS, in contrast, allows open groups where non-member clients can send to a group.

When securing a GCS providing VS, it is both natural and efficient to use a shared group key per view (securely refreshed upon each membership change) for data confidentiality. A message is guaranteed to be encrypted, delivered and decrypted in the same group view and, hence, with the same current key. This property does not hold in EVS, since a message can be sent in one view and delivered in another, and also due to the support for open groups. Therefore, a natural solution for EVS is to use two kinds of shared keys: one shared between the client and the server it connects to, and another – shared among the group of servers. The former is used to protect client-server communication, while the latter – to protect server-server communication.

[2]By a network partition we mean connectivity changes due to networking hardware, routing, or a machine crash.

The Spread toolkit is publicly available and is being used by several organizations in both research and production settings. It supports cross-platform applications and has been ported to several Unix platforms as well as to Windows and Java environments.

IV. Security Assumptions

Our goals include protecting client data from eavesdropping by passive adversaries and preventing impersonation and data modification/fabrication attacks by active adversaries. An adversary in this context is anyone who is not a current group member.

We do not consider insider attacks in this work. We acknowledge that such threats are significant, especially, for the underlying group membership protocols; some of our ongoing work focuses on this direction. However, in this paper we assume that each entity (client or server) can be directly authenticated and each has an X.509v3 public key certificate that allows it to sign messages.

The method of computing the group key is essential for the security of the system. An ideal group key management protocol should provide: *Key Independence*, *Perfect Forward Secrecy* and *Backward/Forward Secrecy*. Informally, key independence means that a passive adversary who knows any proper subset of group keys cannot discover any future or previous group key. Forward Secrecy guarantees that a passive adversary who knows a subset of old group keys cannot discover subsequent group keys, while Backward Secrecy guarantees that a passive adversary who knows a subset of group keys cannot discover preceding group keys. Perfect Forward Secrecy means that a compromise of a member's long-term key cannot lead to the compromise of any short-term group keys. For a more precise definition of the above terminology, the reader is referred to [34], [35].

The key agreement protocol used in our design is the so-called Tree-Based Group Diffie-Hellman [36] (TGDH) protocol. It provides key independence and perfect forward secrecy; it was also proven secure with respect to passive outside (eavesdropping) adversaries [37]. In addition, active outsider attacks – consisting of injecting, deleting, delaying and modifying protocol messages – that do not aim to cause denial of service are prevented by the combined use of timestamps, unique protocol message identifiers, and sequence numbers which identify the particular protocol execution. Impersonation of group members is prevented by the use of public key signatures: every protocol message is signed by its sender and verified by all receivers. (Attacks aiming to cause denial-of-service are not considered.)

V. Secure Group Communication Architecture

In this section we provide a brief overview of the Spread layered architecture and then describe the new integrated architecture and its variants.

A. Layered Architecture

Our previous work proposed a layered architecture for Spread, focusing on robustness and correctness of group key

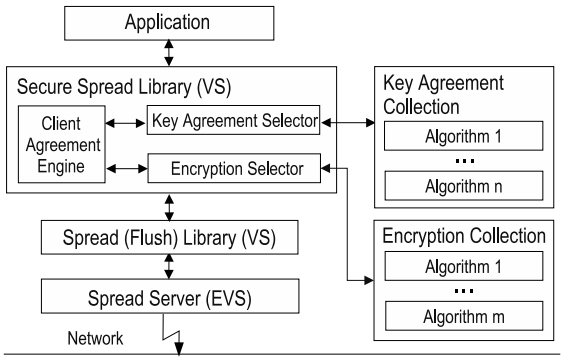


Fig. 1. A Layered Architecture for Spread

agreement. The result is a client library [9], [38] that provides data confidentiality and integrity. The library is built on top of the VS Spread client library; it uses Spread as its communication infrastructure and Cliques [39] group key management library primitives for group key management. To make the present paper self-contained and facilitate the discussion of different architectures in Section VII, we briefly summarize the layered architecture. For further details, we refer to [9], [38].

Figure 1 presents the layered architecture for Spread. The library has as main functionalities providing confidentiality of the data by encrypting/decrypting client data using a group shared key and managing the shared key for each group in the system. A client that desires to communicate securely is required to connect to a server and then join a group before proceeding with the communication. The library provides an API interface very similar with the Spread interface allowing a client to connect/disconnect to a server, to join and leave a group, and to send and receive messages.

At the core of Secure Spread is the Client Agreement Engine which operates as follows: upon every group membership change, the Client Agreement Engine receives notifications from the membership service about the change. Then, the Client Agreement Engine initiates an instance of the group key agreement protocol, ensuring its correct execution (making sure that the messages are sent to the correct destinations in the right order, and that all the members make consistent decisions with respect to installing the new secure membership). When this protocol terminates, a secure group membership change is delivered to the application and a new group key is ready for use. Applications are not allowed to send any messages while the key agreement protocol is executed. In addition, the library ensures that the VS semantics are preserved.

The computation of a group key is group-specific. A client can be a member of multiple groups, each group managing its shared key with its own key agreement protocol. A Key Agreement Selector and an Encryption Selector modules are used to identify a group-specific key management and encryption algorithms. The Client Agreement Module is the one that manages the key agreement protocol for each group.

The layered architecture currently supports five key management protocols. One of them implements centralized key distribution and is referred to as the Centralized Group Key Distribution (CKD) protocol. It is adapted to provide the same security properties as the other four key agreement protocols. The other four are key agreement protocols: Burmester-Desmedt (BD) [40], Steer et al. (STR) [41], Group Diffie-Hellman (GDH) [35] and Tree-Based Group Diffie-Hellman (TGDH) [38]. Each of the latter four protocols are based on various group extensions of the well-known (2-party) Diffie-Hellman key exchange [42] and provide similar security properties: key independence and perfect forward secrecy.

B. Integrated Architecture

Early group communication systems were implemented as libraries, which means that all distributed protocols were performed between all clients, per group. A substantial increase in performance and scalability was obtained by applying a client-server architecture to this model: a smaller number of servers run the expensive distributed protocols and, in turn, serve numerous clients.

Group key agreement protocols are, by nature, distributed and represent the most expensive security building block. Therefore, to improve the performance of the system in settings with multiple groups (or many clients) we propose to amortize the cost of key management by placing the key agreement protocols at the servers and having the servers generate client group keys in a “light-weight” manner. This follows the integrated architecture model where security services are implemented at the server.

Since the server population is smaller and more stable than that of clients, server-based key agreement is both faster and less frequent. Specifically, the servers’ shared secret key is refreshed only when network connectivity changes, and not when some client group changes. This results in fewer costly key refreshes in contrast to client-based key agreement, because network connectivity changes are far less frequent than normal client group changes. Note that the shared server key can be vulnerable if it changes very infrequently and a security policy should impose additional refreshing operations, triggered, for example, by maximum elapsed time between successive key changes (time-out) or maximum volume of data exchanged (data-out).

Generating client group keys is much less costly in the integrated architecture, since, if no change occurs in the servers configuration, the cost of generating a new key for a group amounts to one keyed MAC (HMAC [5]) operation. When network connectivity does change (and so does the membership of the servers’ group), the group key shared by the servers is refreshed using a full-blown group key agreement protocol. For this, we use the TGDH [41] protocol because of its due to its good performance and strong security properties.

The use of encryption for bulk data confidentiality results in decreased system throughput due to the extra consumption of CPU resources. Regardless of the location and particulars of the key management, bulk data encryption can be done by either clients or servers. In the following, we describe three integrated architecture variants that trade off encryption cost for complexity, overhead and group communication model support. We first discuss their different performance and security guarantees and then compare them to a layered approach.

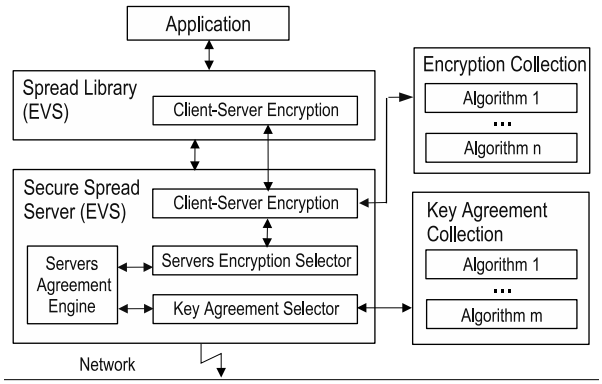


Fig. 2. A Three-Step Client-Server architecture for Spread

1) Three-Step Client-Server: The most intuitive architecture is one derived from the the client-server model of the group communication system. The architecture can support both VS and EVS semantics at the expense of decreased (due to encryption) throughput. We refer to it as *Three-Step Client-Server*.

We note that the communication taking place in the system can be classified in two logical communication channels: client-server and intra-servers. The goal is to protect these two channels. Spread's architecture uses a TCP connection when a client connect remotely to a server. In this case, the best approach to protect the client-server communication is is using a standard two-party secure communication protocol, such as SSL/TLS [43]. If a client connects to a server running on the same machine, Spread architecture uses IPC. In this case, no data protection is needed and client-server communication is not encrypted.

The intra-server communication channel is provided by a multicast protocol developed on top of UDP. In order to provide confidentiality of this communication, a block cipher encryption protocol based on a key shared by the servers is a good solution.

Figure 2 presents such an architecture. The Servers Agreement Engine detects changes in the server group connectivity and for each connectivity change performs a key management protocol between servers. In addition, time-based or data-based key refresh can be enforced. As mentioned above, we use the TGDH [41] protocol for key management.

Servers can distinguish between communication coming from peer servers and communication from the clients, and therefore, use the appropriate key in order to encrypt/decrypt the information.

One of the challenges with integrating a key agreement protocol into a group communication system is the interactions between the former and the membership protocol. Until the membership protocol completes, the key agreement protocol cannot run, since there is no fixed group of servers among which to perform key agreement. While the membership protocol is running, the set of known servers may change again (referred to as *cascaded membership*), and basic communication services between them may become unavailable.

To cope with this issue, the group key is provided only when the servers' group membership is stable and while the group communication membership protocol is not executing. This allows the key agreement protocol to run with its normal assumptions once the membership protocol completes, yet prior to notifying the client applications about the change. Thus, applications do not experience any change in semantics or the APIs (such as a new key message) but do experience an additional delay during each server membership change. (This is in order for the key agreement protocol to execute following the completion of the membership protocol.)

The servers' membership protocol is secured by using public key cryptography to encrypt and sign all membership messages, since the shared key is not available during its execution. The small number of messages sent during the membership algorithm and their small size, ensures that the overhead of public-private encryption can be tolerated.

The Three-Step Client-Server architecture allows individual policies for rekeying the server group key and the per-client SSL keys, as each is handled separately.

Once the master server group key is generated, the servers communication is protected by encryption using a key derived from it. The default protocol to encrypt communication between servers is Blowfish in CBC mode; however, the system supports any encryption algorithm in the OpenSSL [44] library, including AES [6], while integrity and authentication are performed using HMAC-SHA1 [5]. Two different shared keys are derived, one used for encryption and one for the the HMAC computation. In addition, the system can be configured to use only HMAC and no encryption.

The total end-to-end cost of sending an encrypted data message from one client to another (both are connected to the Spread server remotely) includes six encryption and decryption operations: client encrypts the message and sends it over SSL to the server; server decrypts it and then re-encrypts using the server group key; servers that receive this message decrypt it and then re-encrypt it again using SSL for the receiving client; finally, each receiving client decrypts the message.

Note that the receiving servers need to encrypt the message separately for each remote client who needs to receive it. This is potentially a large number since each server can support about $1,000$ client connections. Thus, if more than one receiver is connected remotely on the same server, the load on the server will increase linearly with each remote receiver, since each remote receiver receives the same message encrypted separately on its own SSL connection. Local receivers do not require client-server encryption. We note that several solutions can be defined to decrease the number of encryption operations, particularly for the server that needs to decrypt and re-encrypt all the messages under the SSL client pair-wise keys. We discuss them in more details in Section VII.

If two clients (sender and receiver) are executing on the same machine as the server that they connect to, then the cost of encryption under the Three-Step Client Server model reduces to one encryption by the sending server and one decryption by the receiving server.

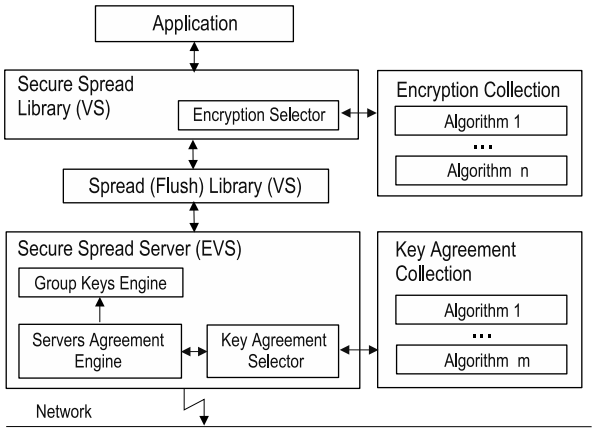


Fig. 3. An Integrated VS architecture for Spread

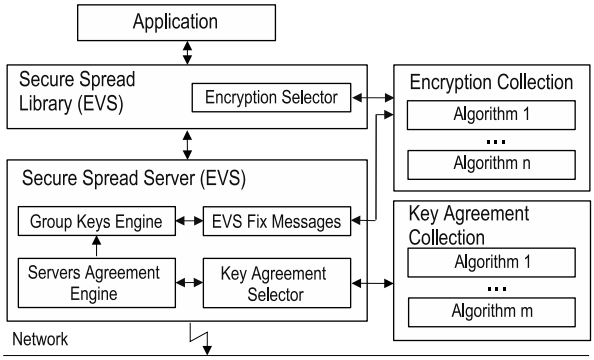


Fig. 4. An Optimized EVS Architecture for Spread

2) Integrated VS: Although the Three-Step Client-Server architecture presented above is relatively simple, it suffers from decreased throughput due to encryption performed by servers. Therefore, it is not recommended when clients connect remotely. Recall that we aim to design an architecture with reasonable performance, not only in key management, but also in throughput. This can be achieved if encryption is pushed to the clients, which, in turn, requires client group keys.

We now describe a second variant of our architecture, referred to as *Integrated VS*. It supports the VS group communication model and combines the advantage of a less expensive key management building block (by integrating it in the servers) with the advantage of encryption done in the client library. In this aspect, Integrated VS is similar to the layered architecture. The client groups are closed, i.e., a client needs to be a member in order to send messages to the group. As mentioned above, this requires client groups keys. However, unlike the layered architecture where key agreement was performed by each group, in this case, client group keys are generated by servers, without involving costly key agreement protocols. Since the library operates in the VS model, in a manner similar to the layered architecture (see Section V-A), a per-view shared key associated with the group can be used to provide confidentiality. The key is refreshed by the servers when the group view changes.

Figure 3 depicts the Integrated VS architecture. The Servers Agreement Engine (SAE) initiates a key agreement protocol between the servers whenever it detects a change in server group connectivity. The Group Keys Engine (GKE) generates, for each group, a shared key whenever the group membership changes. In case of a network connectivity change, the SAE is invoked first, followed by the GKE. The latter refreshes the key for each group that suffered changes in membership due to a change in server connectivity. The new group key is attached to the membership notification and delivered to the group. Client group keys are generated by the servers based on three values: 1) server group shared key K_s, 2) group name (unique within the system), and 3) unique number that identifies the group view at a certain time [3].

The group key for group g in view v, where v is uniquely identified by $view\_id_{gv}$ is

$$K_{gv} = HMAC(K_s, g||view\_id_{gv})$$

The shared server group key is computed in a manner identical to that in the Three-Step Client-Server architecture and can be refreshed as needed. The client group key is changed whenever a group event (join, leave, etc.) occurs. The new key is delivered within the secure membership message informing the clients about the group change. All client group members receive the same key for the same membership as a result of the VS semantics. If a key change is required because of the security policy (not caused by any group membership change), the key refresh notification is delivered as an "artificial" group membership change. This is in order to preserve the semantic guarantees of VS stipulating that messages encrypted by a sender with a given key must be received by everyone while they also perceive (have) the same key as their current key.

Encryption costs for Integrated VS consist of one encryption by the sender and multiple decryptions, one for each receiver. The worst case is when all receivers are situated on the same machine, whereas, the best case is when all receivers are running on distinct machines. In the latter case, decryption operations take place in parallel.

3) Optimized EVS: Out of the variants presented thus far, only Three-Step Client-Server supports the EVS model and open groups. As discussed in Section I-A, EVS is faster, thus, it is desirable to have a secure group communication system supporting this model. The Three-Step Client-Server serves this purpose, but incurs heavy encryption overhead when clients connect remotely to servers.

One way to alleviate the large number of encryption operations is to have clients perform encryption by using a shared per-view group key, in a manner similar to the Integrated VS architecture. However, unlike VS, EVS does not guarantee that all messages are delivered to receivers in the same view in which they were sent. Therefore, there might be messages that group members will be unable to decrypt as they do not have

[3]This number is generated based on a timestamp, the identifier of the servers' representative, and a counter that is incremented every time the group changes

the key used to encrypt that message in the first place. Our next variant addresses this issue.

In order to support EVS semantics and client message encryption, we developed an architecture that relies on servers not only to generate client group keys, but also to "adjust" messages that are not encrypted with the current group key. Clients operate without any disruption since servers guarantee that all messages delivered to the clients are encrypted with the current group key.

Figure 4 presents this variant, referred to as *Optimized EVS*. The Servers Agreement Engine and Group Keys Engine perform key management of the servers' shared secret and client group keys, respectively. The method of generating client group keys is the same as in Integrated VS. The main change is the addition of the EVS-Fix-Messages module, that detects when a message for a certain group is encrypted with a key that is no longer valid. Each such message is decrypted and re-encrypted with the current group key before being delivered to the clients. Clients, in turn, decrypt all group messages normally. TGDH is used as the server group key agreement protocol.

The EVS-Fix-Messages module solves two problems: it detects whenever a message is encrypted with the wrong key and determines the correct key to use for encrypting the message.

The first problem is addressed by having the sender include in each message a unique Key_id of the group key that was used to encrypt it. This Key_id is independently and randomly computed each time a new key is generated (it is also distributed along with each new client group key). However, since it does not provide integrity, but merely identifies the client group key, Key_id can be relatively short, e.g., 64 bits. It is transported in the un-encrypted portion of the message header.

To detect messages encrypted with an "old" key, a server stores each client group along with its Key_id. Each server also tags one key as the "current" key for each client group. The current key is the key that matches the last membership (or key refresh) delivered to the group members. Then, before delivering a message to a client, it checks if the Key_id on the message matches that of the current key. If so, the message is immediately delivered. Otherwise, the message is decrypted with the appropriate stored "old" key and re-encrypted under the current key. Since the message stream delivered to each client is a reliable FIFO channel, the client eventually receives the message in the same view that the server expects it to.

Accumulating old keys and Key_ids *ad infinitum* is clearly not viable. Thus, old keys have to be periodically flushed by each server. Different expiration metrics can be used either by each server individually or in concert: time-outs and key-outs. A time-out occurs when no message encrypted under a given key has been received for a certain length of time. A key-out takes place when some pre-set maximum number of keys-per-group is exceeded. Many combinations and variations on the theme are clearly possible.

The choice of a key expiration methodology can affect the risk of a message being "indecipherable" even when the server, in theory, could have kept the required key.

VI. Experimental Results

In this section we present experimental results for the group key management and data encryption building blocks. The experiments cover all architecture variants described in Section V measured in a local-area and wide-area network environments and show the superior scalability of an integrated architecture.

A. Group Key Management

We now compare the cost of establishing a shared group key in a layered architecture and in an integrated architecture. To ensure a fair comparison we use for the layered architecture the same key agreement protocol we use in designing the integrated architecture, TGDH [36]. The communication and computation costs of the TGDH protocol are summarized in Table I. More details about why TGDH is our protocol of choice can be found in [38].

We used an experimental testbed consisting of a cluster of thirteen 667 MHz Pentium III dual-processor PCs running Linux. Each machine runs a Spread server. Clients are uniformly distributed on the thirteen machines. Therefore, more than one process can be running on a single machine (which is frequent in many collaborative applications). We present results both in local and wide area network. For the WAN experiments, machines were distributed at three sites: Johns Hopkins University (JHU), Maryland, University of California at Irvine (UCI) and Information and Communications University (ICU), Korea.

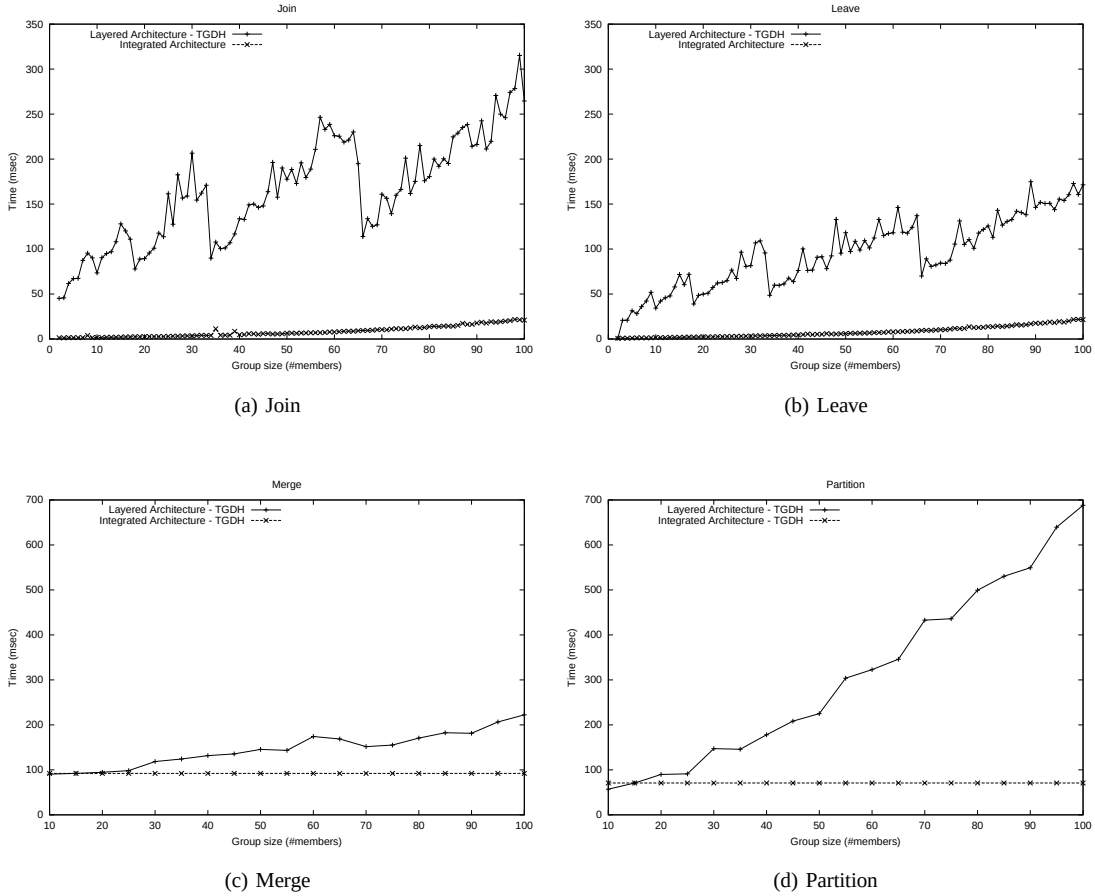
For the most common group changes, join and leave, the cost of establishing a new group key is reduced to almost the cost of the group communication membership protocol, since the servers can compute a new group key without performing any other key agreement protocol, just one HMAC operation is needed per group change. The results for the experiments performed in a LAN setting, for join and leave are presented in Figure 5(a) and Figure 5(b). The results for the integrated architecture are for a VS group membership protocol. This is because the cost of the VS group membership protocol represents the worst case: VS uses closed groups and it requires acknowledgments from each group member before changing the group membership. In the EVS case, the numbers for the integrated architecture will be much smaller. The saw aspect of the TGDH protocol is due to the heuristics used by TGDH to balance the tree. New members are always added to the right-most leave as long as they do not increase the height of the tree. In this case, the new member will be added to the root and the cost of refreshing the key will be minimal (this corresponds to the drop in the saw). While the height increases, the cost of refreshing the key also increases, corresponding on an ascending slope on the graph.

Results for join and leave in a WAN environment are presented in Figure 6. In this case the predominant cost is the communication cost, and over high-delay networks like the one we use for our experiments, extra communication rounds can degrade the scalability significantly.

In Figure 5(c) and Figure 5(d) we present the cost of establishing a secure membership for merge and partition, also

TABLE I
COMMUNICATION AND COMPUTATION COST

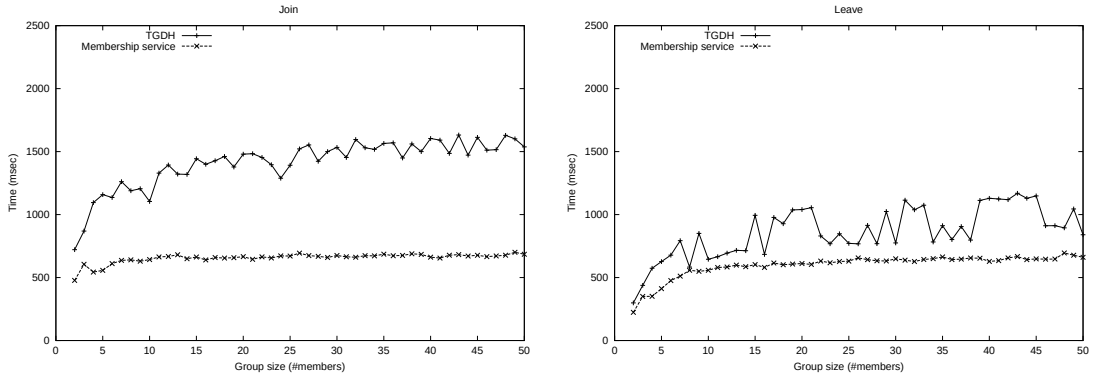
Event	Rounds	Messages	Unicast	Multicast	Exponentiations	Signatures	Verifications
Join, merge	2	3	0	3	$3h/2$	2	3
Leave	1	1	0	1	$3h/2$	1	1
Partition	h	$2h$	0	$2h$	$3h$	h	h



(a) Join (b) Leave

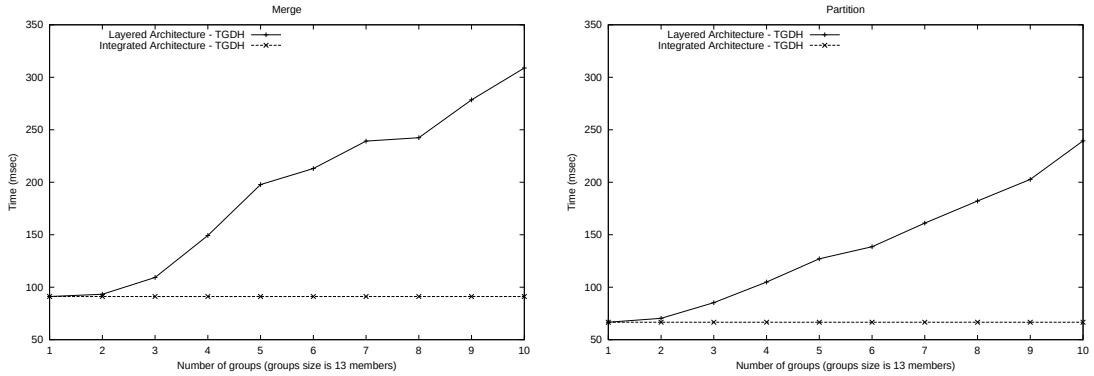
(c) Merge (d) Partition

Fig. 5. The cost of key agreement in LAN - layered architecture vs. integrated architecture



(a) Join (b) Leave

Fig. 6. The cost of key agreement in WAN - layered architecture vs. integrated architecture



(a) Merge (b) Partition

Fig. 7. The cost of key agreement in LAN - multiple groups

in a LAN environment. Such a group event is triggered by a network connectivity change which requires a modification in the set of reachable servers, or by a server crash. In this case, a new key needs to be computed by the servers, and only then the group keys are computed. In Figure 5(c) and Figure 5(d) we present the cost of establishing a secure group membership for a test scenario where the servers are partitioned in half and then brought back together.

As it can be seen in Figures 5(c) and 5(d) the cost of the key management for the integrated architecture is slightly higher than in the case of join and leave because of the cost of the key agreement protocol performed between servers. However, since the number of servers is much smaller than the number of clients, the impact of the key agreement protocol is less significant. The cost of the secure membership merge decreases from about 220 milliseconds, to about 90 milliseconds where the size of the group after partition is 100 users, and from about 680 milliseconds to about 60 milliseconds for a partition, where the size of the group before partition is about 100 members.

The above results are for a scenario when only one group exists in the system. In practice, this is not the case. When more than one group exists in the system and a change in the servers' configuration that affects more than one group occurs, the layered architecture performs a key agreement protocol for each of the existing groups affected by the change. For the integrated architecture, there is only one (smaller scale) key agreement performed between servers, and then a number of HMAC operations equal with the number of groups affected by the change. Figure 7 shows the average cost of recomputing a shared key for all groups, when more than one group exists in the system. All the groups have the same number of clients, 13. We chose this number, because this is also the number of the servers in our configuration. Even in this favorable setup for the layered architecture (small size groups), the integrated architecture scales much better than the layered architecture when the number of groups in the system increases. Based on the results we present in Figure 7 we estimate that even with a very small group size (13 in our case), it will take more than 4 seconds to refresh the key for 200 groups in a layered architecture, while it will take about 50 times less to perform the same operation for an integrated architecture.

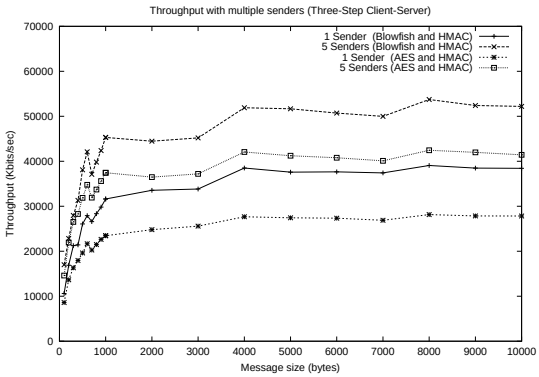
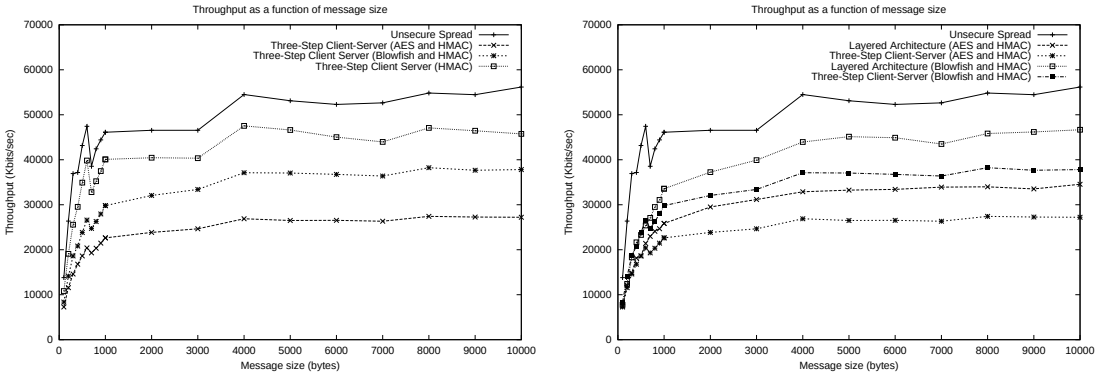


Fig. 9. Data throughput with varying number of senders and message size

B. Data Encryption

Another important building block in the architecture of secure group communication is the encryption module. Figure 8 presents our results for data throughput. Figure 8 (a) shows the throughput achieved by an integrated architecture (i.e. Three-Step Client-Server) under different configurations: using a 64-bit encryption algorithm, Blowfish with HMAC-SHA1, using a 128-bit encryption algorithm, AES also with HMAC-SHA1, and finally, no encryption is used, just HMAC-SHA1 for integrity and source authentication. As expected, adding security services decreases the throughput of the system, with the most expensive configuration being the one using AES. It is interesting to note the performance dip for messages around 700 bytes that happens when messages can no longer be packed into one network packet.

In Figure 8 (b) we compare the throughput of an Integrated Architecture (Three-Step Client-Server) with a Layered Architecture, in two encryption configurations, AES and Blowfish. We consider a scenario where clients connect to servers running locally, so in the Three-Step Client-Server setup, encryption is performed only between servers.



(a) Integrated Architecture

(b) Integrated vs. Layered Architecture

Fig. 8. Data throughput under varying encryption algorithms and security architectures

The throughput for the Three-Step Client-Server is less than that of the throughput achieved in the Layered Architecture. The major reason for this decrease is due to the fact that both headers and data are encrypted and the message delivery protocol employed by our system can not detect if it needs to process a message further or not, without first decrypting it. We note that since the encryption operation takes place at the data link layer, the servers encrypt not only client data, but also control information, so this model provides a stronger service than the other two models. Both Integrated VS and Layered architecture have the same throughput since encryption is performed by clients.

This experiment only used one sender and the server that the sender was connected to was the bottleneck. In a case where several senders exist in the group and therefore several servers will send messages, this cost will be amortized and the throughput will increase considerably. The results presented in Figure 9 demonstrate this behavior. Both in the Blowfish and AES configuration a higher throughput is achieved when there are 5 senders in the system instead of 1.

We did not include results for the Three-Step Client Server architecture when clients connect remotely, but from the results in Figure 8 we can extrapolate that the achieved throughput in this case will be much smaller, and therefore unacceptable. The Optimized EVS architecture throughput will be similar to the Integrated VS throughput if no server membership occurs, and will degrade when membership changes occur, since some messages will need to be decrypted and re-encrypted under new keys. The Three-Step Client-Server architecture performance should be the worst in all cases.

VII. Discussion

The layered architecture and each of the new proposed integrated variants have benefits and limitations. In the following we first compare the layered and integrated approaches and then discuss the three variants of integrated architectures.

A. Layered Architecture vs. Integrated Architecture

In this section we compare a layered architecture approach to an integrated architecture approach, when providing security services to a GCS. We compare them by investigating the following aspects: trust, key management scalability, impact of the compromise of the shared secret, complexity, and ability to efficiently support other group services.

The layered architecture has the advantage that no trust is put into anything outside of the end user's control with respect to protecting the data generated by a client. The client needs to trust the servers with respect to the membership service and ordered and reliable delivery. The compromise of a group key, does not affect the security of the rest of the groups in the system, since each group is running its own protocol and computes its shared key independently of the other groups. In addition, this architecture is less complex and easier to develop. However, this model, due to the high security, but expensive key agreement protocols we used, has limited scalability, to no more than 100 members for the best performance key protocol.

The integrated architectures we proposed overcome the key management scalability problem by using the key agreement to compute a secret key shared by the servers, and thus putting more trust in the servers. This is because the security of the groups relies on the security of the servers shared key which is used in generating the client group keys. If the servers' key is compromised, the confidentiality of the communication of all the groups in the system is compromised, as opposed to the layered model where in order to compromise the confidentiality of all the groups in the system, an attacker needs to compromise the shared key for each group. We note that in the case of the layered architecture, an attacker can perturb service availability by attacking the servers' communication.

An integrated architecture is more appropriate for providing other security services such as client authentication upon connection and access control to perform group specific operations. A security policy can be easily configured and enforced by an administrator controlling a server configuration file.

Another advantage of an integrated architecture vs. a layered architecture involves the protection of the control information messages exchanged by the servers. If designed appropriately, an integrated architecture can provide this service based on the secret key shared between servers, while the layered

TABLE II
SECURE GROUP COMMUNICATION ARCHITECTURES

	Group Keys	Servers Key	Encryption	Group Comm. Model
Layered Architecture	Client	None	Client-Clients	VS
VS Integrated Architecture	Server	Yes	Client-Clients	VS
Three-Step Client-Server	None	Yes	Client-Server, Server-Server	VS and EVS
Optimized EVS	Server	Yes	Client-Clients mostly	EVS

architecture can not. Combinations of the two approaches are also possible. For example, the clients who do not trust the servers will encrypt their data end-to-end, while the servers will also provide either secure channels, or only integrity checks between themselves.

Choosing the most appropriate architecture depends on the desired scalability and trust guarantees. An integrated approach scales better, but the security of all groups relies on one key; a layered architecture scales poorly, but the security of a group is independent of the security of the rest of the groups and gives more control to the client.

B. Integrated Architectures Variants Comparison

As we discussed in Section V-B there is no one-size-fits-all architecture solution that will perform the best in all possible environments, under both VS and EVS group communication semantics. Therefore, we proposed three integrated architecture variants that trade off encryption cost for complexity, overhead and group communication model support. In this section we compare them by focusing on the group communication model supported, design and implementation of the key management building block (do they use client group keys or not) and the place where the encryption and decryption operations are performed (only between clients, only between servers, or between a client and a server).

Table II summarizes their features. The Three-Step Client-Server approach does not use client group keys, but requires a client to share a key with the server it connects to. The approach is very appealing because it uses a less complex key management mechanism. However, it is expensive in encryption and decryption operations when clients connect to servers remotely. If clients connect to servers locally this is the best architecture since theoretically it only requires one encryption/decryption of each message and it can easily protect not only client data, but also the control information exchanged by the servers. Note, that depending on the implementation, even when clients connect locally, more than one encryption/decryption of each message can take place as discussed in Section VI-B. This architecture supports both the VS and the EVS semantics.

Both the Integrated VS and the Optimized EVS architectures use client group keys generated by servers. Our experimental results in Section VI show that the scalability of the system is improved substantially with respect to the layered architecture.

For all the integrated architectures the confidentiality of the data ultimately relies on the secret shared by the servers.

The smallest encryption overhead is exhibited by the Integrated VS approach. The Optimized EVS solution has the same encryption cost as the Integrated VS if the group membership is stable. When membership changes occur and there are messages not delivered in the membership they were sent in, four additional encryption/decryption operations per message are performed, to decrypt the messages encrypted with an old key and re-encrypt them under the current key. The encryption overhead incurred by the Three-Step Client-Server approach, even when clients connect locally, is larger than that of Integrated VS. However, it provides a stronger service since it also protects the information exchanged by the servers.

As mentioned in Section V-B.1 the cost of Three-Step Client-Server is quite high, when clients connect remotely. Possible solutions to decrease the number of encryption/decryption operations, use an asymmetric architecture as follows: the sending client encrypts the message using a pairwise key and sends it (via SSL) to its server; the server decrypts and re-encrypts the message, each receiving server decrypts and re-encrypts but re-encryption is done under a group key (a key common for all clients, on that server, that belong to the appropriate client-group, clients receive and decrypt. The overhead of encryption is still 6 operations but, on delivery, a server only performs one encryption instead of one for each client who is a group member.

VIII. CONCLUSIONS

The main focus of this work was designing a high-performance security architecture for a client-server group communication system. In particular, we focused on designing a security architecture for Spread, under two well-known group communication semantics: VS and EVS. Both models support network partitions and merges and present their particular challenges. Contributory key agreement protocols when used in a layered architecture have limited scalability. We overcame this by using an integrated approach that relies on contributory group key management in a light-weight/heavy-weight group architecture such that the cost of key management is amortized over many groups, while each group has its own unique key. The experimental results we present demonstrate the increased scalability of integrated approaches over layered approaches, without a significant decrease in throughput performance.

When designing an efficient architecture supporting the VS model, we took advantage of the fact that VS provides a form of synchronization between the group membership changes and data messages delivery. Our approach was to use of a shared group key per view, securely refreshed upon each membership change. Data confidentiality can be relatively easily

provided in a system supporting VS because the synchronization between membership notifications and message delivery guarantees that any message will be encrypted, delivered and decrypted in the same group view and, hence, with the same current key.

Although it provides a more efficient and relaxed model, EVS is more challenging when providing security services because there is no synchronization between membership notifications and data delivery to the clients. However, there is shared knowledge about what was the application group membership when the message was generated (and also encrypted) and the group membership when the message will be delivered (and also decrypted). We provided also solutions for handling security for systems supporting EVS, by using information shared by the group communication servers that provide the membership and message ordering and delivery services.

We proposed three variants of an integrated architecture that trade off encryption cost for complexity and group communication model support. We showed how both group communication semantics could be supported in the proposed architecture, discussed the accompanying trust issues and presented experimental results that offered insights into its scalability and practicality.

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