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## Research Article

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# Segmentation of Lymph Nodes in Ultrasound Images using U-Net Convolutional Neural Networks and Gabor-based Anisotropic Diffusion

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1 **Abstract**

2 **Objective** Automated segmentation of lymph nodes (LNs) in ultrasound images is a challenging  
3 task mainly due to the presence of speckle noise and echogenic hila. In this paper, we propose a  
4 fully automatic and accurate method for LN segmentation in ultrasound.

5 **Methods** The proposed segmentation method integrates diffusion-based despeckling, U-Net  
6 convolutional neural networks and morphological operations. Firstly, we suppress speckle noise  
7 and enhance lymph node edges using the Gabor-based anisotropic diffusion (GAD). Secondly, a  
8 modified U-Net model is proposed to segment LNs excluding echogenic hila. Finally,  
9 morphological operations are adopted to segment entire LNs by filling the regions of echogenic  
10 hila.

11 **Results** A total of 531 lymph nodes from 526 patients were included to evaluate the proposed  
12 method. Quantitative metrics of segmentation performance, including the accuracy, sensitivity,  
13 specificity, Jaccard similarity and Dice coefficient, reached 0.934, 0.939, 0.937, 0.763 and 0.865,  
14 respectively.

15 **Conclusion** The proposed method automatically and accurately segments LNs in ultrasound,  
16 which may assist artificially intelligent diagnosis of lymph node diseases.

17

18 **Keywords:** segmentation, U-Net, lymph nodes, ultrasound, Gabor-based anisotropic diffusion

19 **1 Introduction**

20 Lymph nodes (LNs) assist the immune system in building an immune response, and LNs swell  
21 and develop lymphadenopathy in cases of invasion by cancer and immune disorders. Adequate  
22 assessment of LN status is crucial to diagnose diseases and make treatment decisions. Ultrasound  
23 is generally the preferred method for the diagnosis of lymphadenopathy due to its real-time  
24 imaging, non-invasiveness, vast availability, and flexibility. In order to quantitatively assess  
25 lymphadenopathy using ultrasonography, it requires image segmentation for localizing areas of  
26 LNs and finding their borders. However, segmentation of LNs in ultrasound images is generally  
27 performed manually by professional experts such as experienced radiologists or ultrasonologists,

28 which is very time-consuming, tedious and subjective. Due to the slow process and tedious nature  
29 of the manual segmentation approaches, there is a critical demand for computer algorithms that  
30 segment images automatically, accurately and quickly without human interactions.

31 Recently, convolutional neural networks (CNNs) have become very popular in the field of  
32 machine learning and computer vision [1][2]. Besides the success in tasks of natural image  
33 computing, CNNs have also shown promising performance in a variety of medical image analysis  
34 tasks [3][4][5]. Specifically for medical image segmentation, Ciresan et al. [6] propose a boundary  
35 prediction method for electron microscopy by using a CNN as a pixel classifier. Avendi et al. [7]  
36 use a CNN for automatic detection of left ventricles from cardiac magnetic resonance imaging.  
37 Cha et al. [8] develop a CNN-based system combined with cascading level sets for bladder  
38 segmentation in CT urography. Nida et al. [9] propose a model for melanoma lesion detection and  
39 segmentation on dermoscopic images using a deep region-based CNN and fuzzy C-means  
40 clustering. These methods perform pixel-wise segmentation, in which the patches around each  
41 pixel are regarded as input of a CNN for classification. Obviously, the patch-based methods are  
42 computationally intensive due to overlapped patches and may lead to global information loss due  
43 to the limited receptive fields of patches.

44 To solve these problems, Long et al. [10] propose the fully convolutional network (FCN) for  
45 semantic image segmentation. The FCN is an end-to-end network that can learn semantic  
46 information simply and efficiently from whole-image inputs. The DeepLab [11] and PSPNet [12]  
47 are FCN-based semantic segmentation methods that achieve state-of-the-art performance. SegNet  
48 [13] proposes an encoder-decoder segmentation model, in which the encoder is a 13-layer VGG16  
49 network and the decoder up-samples feature maps with lower resolutions.

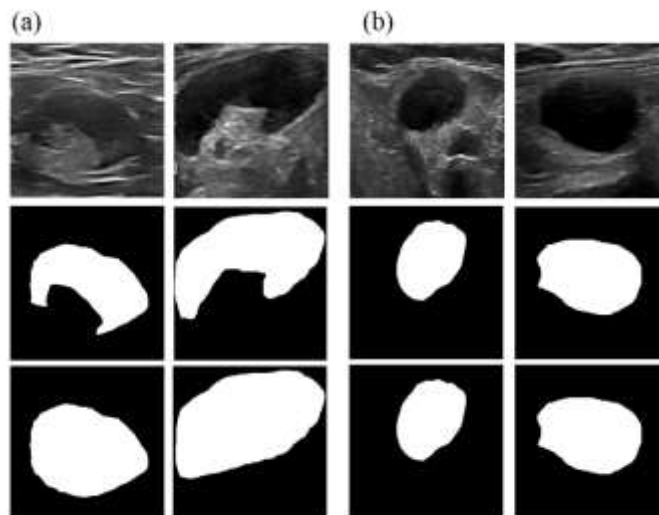
50 However, most of these FCN architectures are developed and tailored for natural image  
51 segmentation rather than medical image segmentation. Fortunately, there are emerging models  
52 proposed specifically for medical image segmentation. The U-Net is one of the most popular  
53 models for medical image segmentation, which yields a u-shaped network architecture [14]. Based  
54 on the U-Net, Yuan et al. [15] propose a fully automated method for skin lesion segmentation on  
55 dermoscopic images. Alom et al. [16] demonstrate the effectiveness of the U-Net model on the  
56 segmentation of various medical imaging modalities, including retina blood vessel segmentation

57 on color retinal images, skin cancer lesion segmentation on dermoscopic images, and lung  
58 segmentation in CT images.

59 Two problems should be considered before the adoption of U-Net based frameworks to medical  
60 ultrasound, i.e., the inherent speckle noise and the presence of the echogenic hilum. On one hand,  
61 speckle degenerates the signal-to-noise ratio of ultrasound and disturbs ultrasound image  
62 segmentation. Thus, it is extremely difficult to accurately extract the edges of LNs from ultrasonic  
63 images. In view of speckle polluting medical ultrasound images, there is an urgent need for a  
64 denoising method to effectively suppress speckle noise. The classic anisotropic diffusion (AD)  
65 method, introduced by Perona et al., used a partial differential equation to gradually denoise an  
66 image via iterative diffusion [17]. Considering tissue edges in ultrasound exhibit obvious  
67 directionality while noise is randomly distributed, the directionality of edges may facilitate  
68 discrimination between edges from noise. The Gabor-based anisotropic diffusion (GAD) captures  
69 the edge directionality with the Gabor-based edge detector. The GAD not only suppresses speckle  
70 noise in ultrasound but also preserves and enhances tissue edges, structures, and details [18]. Thus,  
71 it has the potential to be explored for noise reduction and edge enhancement so as to more  
72 accurately segment LNs in ultrasound.

73 On the other hand, an echogenic hilum is a sonographic feature of most normal LNs, while  
74 metastatic, lymphomatous and tuberculous LNs may present with an echogenic hilum in their  
75 early stage of involvement [19][20][21]. In ultrasound, a hilum appears to be a depressed/concave  
76 area of the surface of an LN. The echogenicity of a hilum and its adjacent soft tissues is very  
77 similar in ultrasound and hence a hilum appears to be continuous with its adjacent soft tissues,  
78 which makes the detection of the border between a hilum and its adjacent tissues highly difficult  
79 and challenges automated segmentation of an entire LN, as seen in Fig. 1a. With this in mind, we  
80 design a multi-stage strategy to achieve better segmentation of LNs to cope with the problem of  
81 hila presence. In the first stage, we segment an LN excluding a hilum (if any) with a U-Net based  
82 model so as to detect the LN region with a concave representing a hilum, and in the second stage,  
83 we use morphological operations to refine the segmentation and obtain an entire LN by filling the  
84 concave at the hilum.

85 Inspired by the above observations, we propose a U-Net based framework integrated with the



86

87 Fig. 1. Typical ultrasound images of lymph nodes (LNs). (a) LNs with hila, and (b) LNs without  
 88 hila. The first row: ultrasound images. The second row: binary masks of LNs excluding hila. The  
 89 third row: binary masks of LNs including hila.

90

91 GAD to reduce speckle noise and morphological operations to fill echogenic hila, which allows  
 92 automatic segmentation of entire LNs in ultrasound images. This paper is organized as follows.

93 We introduce the details of the proposed U-Net based segmentation method in Section 2 and  
 94 then report the experimental design and results in Section 3. Finally, we discuss our results,  
 95 findings, and future work in Section 4 and conclude our study in Section 5.

## 96 2 Materials and Methods

### 97 2.1 Image Acquisition

98 This study includes 531 LNs (231 with hila and 300 without hila) from 526 patients. Ultrasound  
 99 examinations have been performed by an experienced ultrasonologist using the Mylab 90 system  
 100 (Esaote, Genoa, Italy) with a 4–13 MHz probe (L523). All images have been manually segmented  
 101 by the ultrasonologist to achieve the borders of LNs and their echogenic hila (if any). Therefore,  
 102 for each LN with a hilum, the gold standard of segmentation has been obtained for two regions,  
 103 namely the LN region including the hilum and that excluding the hilum, as shown in Fig. 1a. For  
 104 each LN without a hilum, the gold standards for the two regions are exactly the same (Fig. 1b).

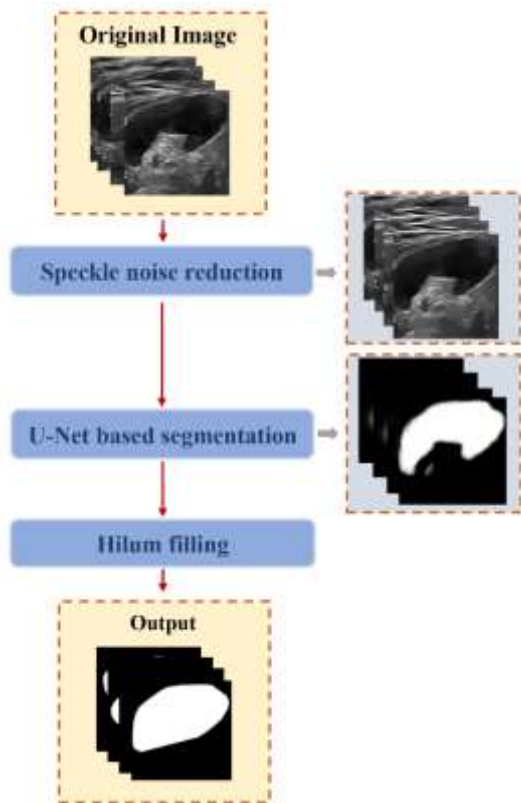


Fig. 2. The flowchart of the proposed automated segmentation method for LNs.

## 2.2 Overview of the Automatic Segmentation System

In this work, we present a method for LN segmentation in ultrasound images consisting of the following three steps, as illustrated in Fig. 2. Firstly, we adopt the GAD to reduce speckle noise in ultrasound and enhance lymph nodal edges. Secondly, a U-Net model is modified to be adapted for LN ultrasound images and it is trained on the gold standards of the LNs excluding hila. Finally, we fill the concaves at hila and segment the whole LNs through a set of morphological operations.

## 2.3 Gabor-based Anisotropic Diffusion for Speckle Noise Reduction

In this section, we introduce the GAD to suppress speckle and enhance nodal edges in the medical ultrasonography of LNs. The GAD is a speckle reduction method for denoising ultrasound images by employing a new edge detector based on the Gabor transform into the anisotropic diffusion [18]. If an input image is denoted as  $I(x, y)$ , its Gabor transform is the convolution of  $I(x, y)$  with a family of Gabor kernels:

120 
$$G_d(x, y) = I(x, y) * \text{imag}[g_d(x, y)] \quad (1)$$

121 where \* represents the convolution operator,  $\text{imag}[\cdot]$  denotes the imaginary part, and  $G_d(x, y)$  is  
 122 the  $d$ -th convoluted image obtained by convolving the  $d$ -th Gabor kernel with the input image.  
 123 Here, only the imaginary part of the Gabor kernel is utilized for convolution [18]. An edge  
 124 detector based on the Gabor transform, called the Gabor-based edge detector, is hence given by:

125 
$$G_{\text{sd}}(x, y) = \sqrt{\frac{1}{D-1} \sum_{d=0}^{D-1} [G_d(x, y)]^2} \quad (2)$$

126 The partial differential equation of the GAD model is described as:

127

128 
$$\begin{cases} \frac{\partial I(x, y)}{\partial t} = \text{div}[c(G_{\text{sd}}) \cdot \nabla I(x, y)] \\ I(x, y; t = 0) = I_0(x, y) \end{cases} \quad (3)$$

129 where  $\text{div}$  is the divergence operator,  $c(\cdot)$  is the diffusion coefficient,  $\nabla$  represents the gradient  
 130 operator,  $t$  is the diffusion time, and  $I_0$  is the initial image.

## 131 2.4 U-Net based Segmentation of Lymph Nodes excluding Hila

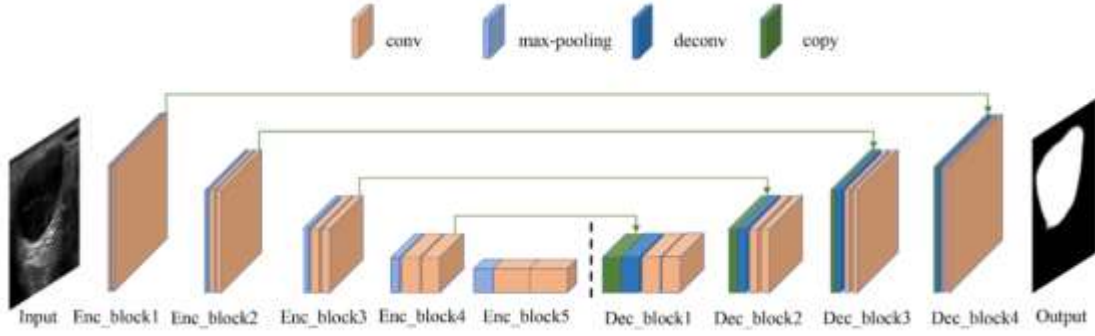
132 Due to the intensity of an echogenic hilum in ultrasound appears similar to that of its adjacent  
 133 soft tissues, we do not intend to segment an entire LN directly but to first segment the LN  
 134 excluding the hilum and then fill the concave echogenic hilum in the LN. Here in this section we  
 135 propose a modified U-Net model for segmentation of LNs excluding hila in ultrasound.

### 136 2.4.1 U-Net Architecture

137 The U-Net architecture, which is an encoder-decoder, consists of an encoding path to capture  
 138 image features and a symmetrical decoding path for precise localization [22]. As shown in Fig. 3,  
 139 we have modified the original U-Net in the following ways to adapt it to our small ultrasound  
 140 dataset: (a) setting  $240 \times 240$  as the size of an input image and generating feature maps with  
 141 different sizes to fit our ultrasound dataset; (b) using the convolution with zero padding to avoid  
 142 cropping and to generate the output as the same size of the input; (c) using the deconvolutional  
 143 layer with a kernel size of  $3 \times 3$  and a stride of  $2 \times 2$  instead of the deconvolutional layer with a  
 144 kernel size of  $2 \times 2$  so as to enlarge the receptive field of the kernel and obtain more useful



145 information.



146

147 Fig. 3. The architecture of the modified U-Net for segmentation of LNs excluding hila.

148

149

Table 1. Architecture details of the proposed U-Net model

Encoder	Output size	Decoder	Output size
<i>Input</i>	$240 \times 240 \times 1$	Dec_block1	$30 \times 30 \times 512$
Enc_block1	$240 \times 240 \times 64$	Dec_block2	$60 \times 60 \times 256$
Enc_block2	$120 \times 120 \times 128$	Dec_block3	$120 \times 120 \times 128$
Enc_block3	$60 \times 60 \times 256$	Dec_block4	$240 \times 240 \times 64$
Enc_block4	$30 \times 30 \times 512$	<i>Output</i>	$240 \times 240 \times 2$
Enc_block5	$15 \times 15 \times 1024$		

150

**Encoder:** There are five convolutional blocks in the encoding path. Each block has two convolutional layers with a kernel size of  $3 \times 3$ . Through the path, the number of feature maps is increased from 1 to 1024, as shown in Table 1. At the end of each block except the last block, a max pooling layer with a stride of  $2 \times 2$  is applied to down-sampling the size of the feature map by two. Hence, the size of feature maps decreases from  $240 \times 240$  to  $15 \times 15$  (Table 1).

155

**Decoder:** Each block of the decoding path starts with a deconvolutional layer with a kernel size of  $3 \times 3$  and a stride of  $2 \times 2$ , which doubles the size of feature maps but decreases the number of feature maps by two. Therefore the size of feature maps increases from  $15 \times 15$  to  $240 \times 240$  (Table 1). Following the deconvolutional layer, a skip connection is used to concatenate the feature maps from the encoding path and the feature maps from deconvolution. Then two convolutional layers are used to reduce the number of feature maps.

161

Finally, an additional convolutional layer with a kernel size of  $1 \times 1$  is used to reduce the number

162 of feature maps to two that reflects the probabilities of each pixel belonging to the foreground and  
163 background, and thus the final output is called the probability map. Different from the original  
164 U-Net architecture, we use the zero padding to maintain the size of output feature maps at all the  
165 convolutional layers in both encoding and decoding paths. Other details of the network are  
166 tabulated in Table 1.

#### 167 2.4.2 U-Net Training

168 **Loss Function:** In this work, the dice loss described in [23] is used as the loss function of the  
169 network which can be considered as a differentiable form of the original dice coefficient. The dice  
170 loss of  $N$  images is computed by:

$$171 \quad L(X, Y) = \frac{1}{N} \sum_{i=1}^N \frac{2|X \cap Y| + k}{|X| + |Y| + k} \quad (4)$$

172 where  $X$  and  $Y$  denote predicted segmentation and the ground truth (i.e., the gold standard  
173 segmented by the ultrasonologist), respectively, and  $k \in (0, 1)$  denotes the smoothing  
174 coefficient.

175 **Adam Stochastic Optimization:** Training deep neural networks requires stochastic  
176 gradient-based optimization to minimize the loss function with respect to its parameters [24]. We  
177 adopt the adaptive moment estimator (Adam) [25] to estimate the parameters. In general, Adam  
178 utilizes the first and second moments of gradients for updating and correcting the moving average  
179 of the current gradients. The parameters of our Adam optimizer are set as: the learning rate =  
180 0.0001 and the maximum number of epochs = 100. All weights are initialized by a normal  
181 distribution with a mean of 0 and a standard deviation of 0.01, and all biases are initialized as 0.

182 **Data Augmentation:** In order to improve the robustness of the proposed U-Net based model,  
183 we artificially produce more training data from the original data with a set of image  
184 transformations summarized in Table 2.

- 185 • Geometric transformation such as flipping, shift and rotation can result in displacement fields  
186 to images. Shear operation can slightly distort the global shape of LNs in the horizontal direction.
- 187 • Intensity transformation randomly jitters the intensities of the images by a Gaussian random  
188 factor, including the transformation of brightness and contrast.
- 189 • Elastic transformation [26] generates more training data with arbitrary but reasonable shapes

190 to gain sufficient variable training data, as LNs have no definite shapes.

191

192 Table 2. Summary of the applied image transformations.

Transformations	Values
Flipping horizontally and vertically	50% probability on both directions
Shift horizontally and vertically	10% on both directions
Shear	20% on the horizontal direction
Rotation	$[-40^\circ, 40^\circ]$
Brightness and contrast	$[0.7, 1.3]$
Elastic transformation	$\alpha = 720, \sigma = 24^*$

193 \*  $\alpha$  and  $\sigma$  control the degree of the elastic transformation

#### 194 2.4 Hilum Filling with Morphological Operations

195 The echogenic hilum is a sonographic feature for some (231/531) of the LNs in our dataset.  
196 Thus, following the U-Net based segmentation of LNs excluding hila, the morphological  
197 operations are performed on the detected LNs for filling the concaves at the echogenic hila and  
198 thus segmenting the entire LNs [27].

199 In the procedure of hilum filling, the probability maps derived from the U-Net model are first  
200 thresholded to get the binary maps of the LNs excluding hila. The opening operation is then  
201 applied to the binary maps to remove isolated debris wrongly detected as LNs by the U-Net.  
202 Afterwards, the closing operation is employed to fill the small gaps in the LNs. Finally, the hilum  
203 appears to be a concave in a binary LN map, and the concave region is filled to obtain a complete  
204 LN by using the convex hull operation.

205 **Thresholding:** With regard to the probability map, we chose a threshold of 0.5. All pixels  
206 below the threshold are set to zero while the pixels above it are set to one.

207 **Opening and closing:** The opening operation is the dilation of the erosion of a binary image  
208 while the closing operation is the erosion of the dilation of the image. The former removes small  
209 objects from the foreground (the white pixels) and places them into the background, while the  
210 latter removes small holes in the foreground and changes small islands of background into the

211 foreground.

212 **Convex hull computing:** Computing the convex hull means that a non-ambiguous and efficient  
213 representation of the required convex shape is constructed. The concave region in a  
214 U-Net-detected LN, which represents the hilum of the LN, can be filled by using convex hull  
215 computing.

## 216 **3 Experiments and Results**

### 217 **3.1 Experimental Settings**

218 The proposed LN segmentation method was implemented with Python3.6 based on Keras  
219 package. The experiments were conducted on an Ubuntu 16.04 desktop with 2 CPUs (Intel Xeon),  
220 2 GPUs (NVIDIA GTX 1080ti 11Gb), and 256Gb RAM.

221 As introduced in Section 2.1, we got a total number of 531 LNs extracted from 526 patients. We  
222 randomly separated the LNs into three parts: 390 for training, 51 for validation and 90 for  
223 independent test. We normalized the dataset to the standard Gaussian distribution to reduce the  
224 internal covariate shift. We resized the images to  $240 \times 240$  so as to be suitable for the U-Net  
225 based model. To augment the dataset, we performed the data augmentation techniques (Section  
226 2.4.2) on training and validation datasets and thus the image sample number was augmented to 20  
227 times. Finally, we obtained a training dataset of 7800 images and a validation dataset of 1020  
228 images.

### 229 **3.2 Quantitative Evaluation**

230 The segmentation performance in the test set was measured by the accuracy (ACC), sensitivity  
231 (SEN) and specificity (SPC) of classifying pixels into positives (inside a LN) or negatives (outside  
232 a LN):

$$233 \quad \text{ACC} = \frac{TP+TN}{TP+TN+FP+FN} \quad (5)$$

$$234 \quad \text{SEN} = \frac{TP}{TP+FN} \quad (6)$$

$$235 \quad \text{SPC} = \frac{TN}{TN+FP} \quad (7)$$

236 where TP, TN, FP, and FN denoted the numbers of true positives, true negatives, false positives,  
 237 and false negatives, respectively. These evaluation metrics evaluated comprehensively the  
 238 segmentation performance from different aspects. All of them were values between 0 and 1.

239 We used Dice coefficient (DC) and Jaccard similarity (JS) to further measure the performance  
 240 of LN segmentation. The DC is expressed as:

$$241 \quad DC = \frac{2TP}{2TP+FP+FN} \quad (8)$$

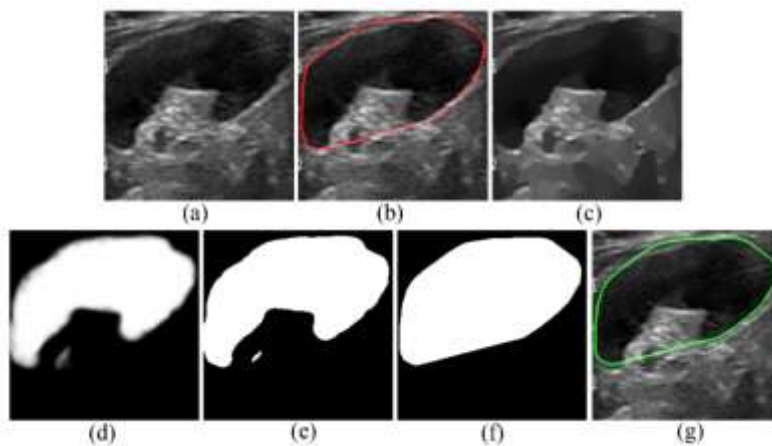
242 The JS is represented by using

$$243 \quad JS = \frac{TP}{TP+FP+FN} \quad (9)$$

244 Due to the non-normal distribution of the segmentation indices, the medians and interquartile  
 245 ranges (IQRs) of the indices were calculated. The Wilcoxon signed-ranks test was adopted to  
 246 compare the segmentation indices of the original ultrasound images and those of the GAD filtered  
 247 images. Statistical significance was set at 0.05.

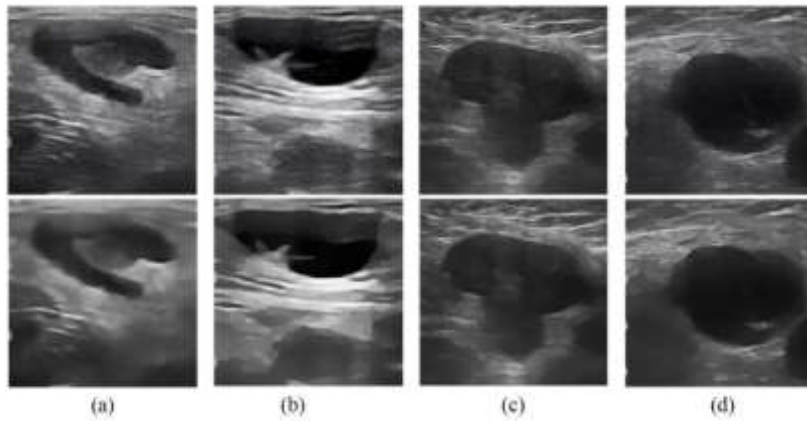
### 248 3.3 Results

249 We first visualized the results at each step in the segmentation process, using a typical LN in  
 250 Fig. 4 as an example. Fig. 4(a)(b) illustrate the original LN image and the boundary on it. The  
 251 image denoised by the GAD is shown in Fig. 4(c) and the result of the U-Net is shown in Fig. 4(d).  
 252 Fig. 4(e) shows the results after the thresholding operation. Fig. 4(f) shows the results after the  
 253 operations of opening, closing and convex hull. In the following sub-sections, we illustrate in  
 254 detail the effectiveness of the GAD despeckling, U-Net segmentation, and morphological  
 255 operations.



256

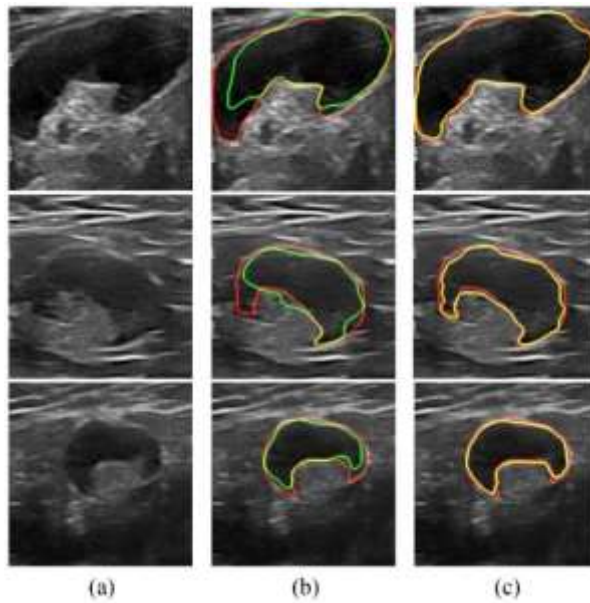
257 Fig. 4. The results at each step in the segmentation process. (a) The LN in the original ultrasound  
 258 image. (b) The manual annotation in the original ultrasound image is marked with a red contour. (c)  
 259 The image filtered with the GAD. (d) The segmentation result of the probability map. (e) The  
 260 region of interest depicted as a binary mask. (f) Images after the morphological operations of the  
 261 opening, closing and convex hull computing. (g) The result of morphological operations is marked  
 262 in the original ultrasound image with a green contour.  
 263



264  
 265 Fig. 5. The results of the GAD denoising (top: input images; bottom: output images).  
 266

### 267 3.3.1 Results of GAD Denoising

268 As shown in Fig. 5, speckle noise contaminates ultrasound images, especially in the areas  
 269 surrounding LNs. From the filtered images, we can see that the GAD simultaneously reduced  
 270 speckle noise obviously and enhanced the LN edges effectively, which would facilitate more  
 271 accurate image segmentation for LNs.



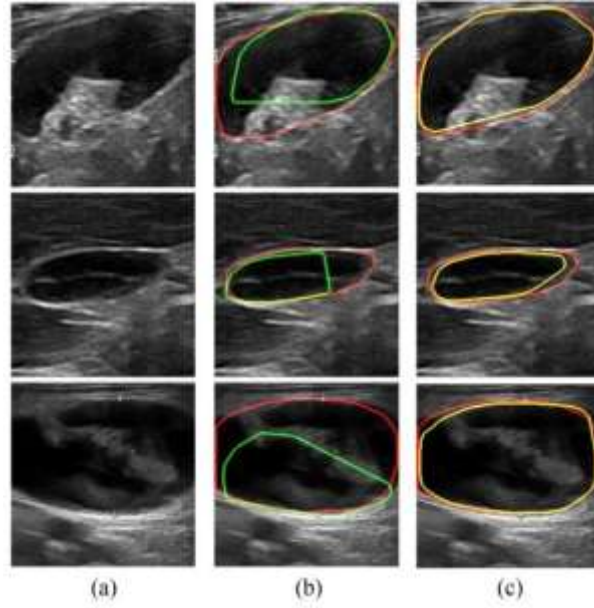
272  
 273 Fig. 6. The segmentation results for LNs excluding hila. (a) The original ultrasound images. (b)  
 274 The results on the original images. (c) The results on the GAD filtered images. The red lines  
 275 denote the contours from the manual segmentation, and the green and yellow lines represent the  
 276 contours automatically segmented from the original images and the GAD filtered images,  
 277 respectively.

278  
 279 Table 3. The segmentation results for LNs excluding hila on the original ultrasound images and  
 280 the GAD filtered images.

		ACC	SEN	SPC	JS	DC
Median	Original	0.938	0.899	0.952	0.745	0.854
	GAD	0.939	0.879	0.967	0.763	0.866
IQR	Original	0.066	0.165	0.078	0.293	0.205
	GAD	0.055	0.172	0.070	0.262	0.177
p-values		0.046	0.009	<0.001	0.001	0.002

### 281 3.3.2 Results of Segmentation Excluding Lymph Hilum

282 Automated segmentation results were compared with the corresponding ground truth by the  
 283 ultrasonologist. In order to observe the visual similarity of the shapes of the detected LNs, the



284  
 285 Fig. 7. Final segmentation results by filling the concaves at hila with morphological operations. (a)  
 286 The original ultrasound images. (b) The results of the original images. (c) The results of the GAD  
 287 filtered images. The red lines denote the contours from the manual segmentation, and the green  
 288 and yellow lines represent the contours automatically segmented from the original images and the  
 289 GAD filtered images, respectively.

290

291 Table 4. Final segmentation results (for LNs including hila) on the original ultrasound images  
 292 and the GAD filtered images.

		ACC	SEN	SPC	JS	DC
Median	Original	0.929	0.949	0.925	0.736	0.848
	GAD	0.934	0.939	0.937	0.763	0.865
IQR	Original	0.075	0.086	0.095	0.341	0.242
	GAD	0.063	0.103	0.084	0.247	0.165
p-values		0.108	0.040	<0.001	0.025	0.041

293

294 contours of the LNs in the manual and automatic segmentations were extracted and marked with  
 295 different colors, as shown in Fig. 6. We can see, in terms of contours, the results of the automatic  
 296 segmentation from the GAD filtered images showed good consistency with the ground truth.

297 As shown in Table 3, the ACC, SEN, SPC, JS and DC of the GAD filtered images were 0.939



298 0.879, 0.967, 0.763 and 0.866 respectively. We also compared the ACC, SEN, SPC, JS and DC of  
299 the GAD filtered images to those of the original images. There were statistically significant  
300 differences between the ACC, SEN, SPC, JS and DC of the GAD filtered images and those of the  
301 original images (all  $p < 0.05$ ). From Table 3, it can be demonstrated that in terms of all indices, our  
302 final model, which was trained on the GAD filtered images, was better than the traditional U-Net  
303 model. For example, the DC and JS of the filtered GAD images (0.866 and 0.763) were  
304 significantly higher than those of the original images (0.854 and 0.745) by 0.012 and 0.018,  
305 respectively.

### 306 3.3.3 Results of final segmentation

307 Fig. 7 shows the final segmentation results after filling concaves at hila by using morphological  
308 operations. It can be seen that the segmentation performance on the GAD filtered images  
309 outperformed that on the original images.

310 The ACC, SEN, SPC, DC and JS in the GAD filtered images and in the original ultrasound  
311 images are listed in Table 4. The ACC, SEN, SPC, JS and DC of the GAD filtered images were  
312 0.934 0.939, 0.937, 0.763 and 0.865 respectively. The GAD filtered images achieved higher DC  
313 and JS than the original ultrasound images. The statistical test further confirmed that the  
314 segmentation on the GAD filtered images was statistically more accurate than that on the original  
315 ultrasound images ( $p < 0.05$  for all indices except ACC; Table 4).

## 316 4 Discussion

317 We propose a novel framework for LN segmentation in ultrasound based on the U-Net model,  
318 collaborated with the GAD filtering and morphological operations. Firstly, we denoise the  
319 ultrasound image with the GAD to suppress speckle noise and enhance edges of LNs. Secondly,  
320 we propose a modified U-Net model to segment LNs excluding hila. Finally, the morphological  
321 operations are performed to fill the concaves at hila and thus achieve the final segmentation of the  
322 entire LNs. The experimental results have indicated that the proposed framework has the capacity  
323 to segment LNs automatically and accurately in ultrasound images.

324 We propose a two-stage segmentation framework for LNs, in which we first segment the LNs

325 excluding LN hila and then fill the concaves at hila. To the best of our knowledge, this is the first  
326 time that the “excluding-then-filling hila” scheme is investigated in the segmentation of LNs in  
327 ultrasound images. This scheme accomplishes the segmentation of those LNs with echogenic hila,  
328 while the existence of hila challenges the direct segmentation of entire LNs because the  
329 echogenicity of hila and their adjacent soft tissues are too similar to distinguish.

330 The GAD is introduced to suppress speckle in ultrasound images which is beneficial for the  
331 segmentation of LNs. It employs a new edge detector based on the convolution of an input image  
332 with the Gabor kernels. Therefore, a good GAD filter can be seen as a well-trained convolutional  
333 layer that depends on the prior of speckle noise in ultrasound images. In a future study, we will  
334 develop an end-to-end convolutional network with the capability of denoising at its low layers and  
335 segmentation at its high layers.

336 Different numbers of GAD iterations lead to different filtered images. These multiple images  
337 filtered by the GAD can be used to generate a set of multi-channel images by concatenating them  
338 with the original image. These multi-channel images may supplement each other. If they are used  
339 as the input of the U-Net in a future study, the segmentation performance may be further  
340 improved.

341 The limited number of images is one of the main challenges in applying deep learning to  
342 medical image analysis. Our approach to addressing the lack of samples is generating samples  
343 artificially via data augmentation to expand the database. Three types of augmentation methods  
344 are performed to generate abundant samples including the geometric transformation, intensity  
345 transformation and elastic transformation, which create kinds of shapes and intensities of training  
346 samples to ensure the robustness to datasets.

347 Although our method has achieved promising segmentation performance, there are some  
348 drawbacks and future directions. First, in addition to the U-Net model, the denoising with the  
349 GAD and the morphological operations consume extra labors. Thus, an end-to-end model that  
350 fuses the three steps would be expected to be developed in the future. Second, other modifications  
351 to the U-Net model may be made to further improve its segmentation performance, such as  
352 combining multiple segmentation maps [28] and extending the U-Net with residual blocks [29].  
353 Finally, the data augmentation method is used to address the problem of a limited number of

354 images. Alternatively, transfer learning, which uses deep models trained on natural images and  
355 transfer them to medical images, has been proven to be highly effective in several applications and  
356 maybe useful for the segmentation for LNs in ultrasound images [30].

## 357 **5 Conclusions**

358 In this study, we present an automatic segmentation method for LN ultrasound images based on  
359 the U-Net model and GAD. Firstly, the original ultrasound images are despeckled by using the  
360 GAD filter. Secondly, three transformation methods are performed for ultrasound dataset  
361 augmentation, and a modified U-Net model is proposed to segment LNs excluding hila. Finally,  
362 the morphological operations are employed to complete the segmentation of LNs including hila.  
363 The segmentation accuracy, sensitivity, specificity, Jaccard similarity and Dice coefficient reach  
364 0.934, 0.939, 0.937, 0.763 and 0.865, respectively, which indicates that the proposed method has  
365 the capacity to effectively segment LNs in ultrasound images and may potentially facilitate  
366 artificially intelligent diagnosis of LN diseases in future studies.

367

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## 371 **Conflict of interest**

372 None declared.

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