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Title

Seismic Performance of Underground Reservoir Structures: Insight from Centrifuge Modeling on the Influence of Backfill Soil Type and Geometry

Permalink

<https://escholarship.org/uc/item/6ph683qh>

Journal

JOURNAL OF GEOTECHNICAL AND GEOENVIRONMENTAL ENGINEERING, 142(11)

ISSN

1090-0241

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Publication Date

2016

DOI

10.1061/(ASCE)GT.1943-5606.0001544

Peer reviewed

20 new analytical procedures and design guidelines are needed to account for the soil conditions and
21 ground motions for which these underground structures must be designed.

22 **INTRODUCTION**

23 An experimental study was conducted on the seismic response of shallow buried reservoir
24 structures. This study was inspired by the design of prototype reinforced concrete buried reservoirs
25 (e.g. Headworks reservoir under construction) in Los Angeles, California to replace open water
26 reservoirs for the purpose of improving water quality and safety. These reservoir structures have
27 11 to 12 m-high walls that will be buried after construction. Further, they are restrained against
28 rotational movement at the top and bottom by a roof and floor, restricting their deformation. These
29 structures often do not deform sufficiently to generate active (yielding) conditions in the backfill
30 soil. However, they are also not completely rigid and deform according to their flexural stiffness.
31 Hence, they are classified as stiff-unyielding structures (Hushmand et al. 2014, 2016).
32 These reservoirs will be covered with a shallow layer of compacted silty sand with a 2:1 sloped
33 embankment on either side. The structure's foundation can rock or slide laterally, as it rests on a
34 prepared soil subgrade. Soil-structure interaction (SSI) for these buried structures is complex and
35 depends on the properties of the earthquake motion, properties and geometry of the surrounding
36 soil, foundation fixity, and the flexibility of structure relative to soil. There is an increasing need
37 in engineering practice to obtain a better understanding of the seismic performance of these stiff-
38 unyielding underground structures for a range of surrounding soil and loading conditions.

39 The available simplified methods used to estimate seismic lateral earth pressures on the walls
40 of underground structures are limited in several ways, preventing their reliable application to the
41 design of reservoir structures. For example, the kinematic constraints of the structures at their roof
42 and base against rotation are quite different from the assumption of yielding or active conditions

43 by Mononobe-Okabe, M-O (Okabe 1926; Mononobe and Matsua 1929) or Seed-Whitman, S-W
44 (Seed and Whitman 1970). Further, the walls of these structures are not completely rigid and
45 deform depending on their stiffness, which is different from the rigid assumption behind the
46 simplified Wood (1973) procedure. Also, none of the available simplified analytical methods
47 consider the complexities introduced by soil cover, backfill slope, and apparent cohesion of the
48 backfill soil.

49 A few important parameters used in the seismic design of buried reservoir structures include:
50 dynamic lateral earth pressures, bending moments, and the lateral distortions induced by
51 earthquake loading. A time history analysis of the soil-structure system is typically warranted to
52 obtain these parameters for design. However, numerical simulations of these structures (e.g.,
53 Harounian et al. 2014, Zhai et al. 2014, Roth et al. 2010) need to be validated against well-
54 documented case histories or physical model studies, which are currently lacking for stiff-
55 unyielding buried reservoir structures.

56 A series of centrifuge experiments were conducted at the University of Colorado Boulder to
57 evaluate the seismic performance of these shallow buried reservoir structures. The structure
58 stiffness, backfill soil type and slope, cover height, container type (rigid versus flexible
59 boundaries), fixity conditions, and ground motion characteristics were varied to evaluate their
60 influence and relative importance on structural performance. The focus of this paper is on the
61 influence of backfill soil type, soil cover, and backfill slope on the seismic performance of these
62 stiff-unchanging buried structures. A dry, cohesionless soil layer (Nevada sand) with and without
63 cover as well as a compacted silty sand backfill (site-specific soil from Headworks reservoir
64 construction site) that was either leveled or sloped, were used to evaluate the influence of structure
65 embedment as well as backfill soil type and geometry. The model specimens were instrumented

66 with accelerometers, linearly-variable differential transformers (LVDTs), strain gauges, and tactile
67 pressure transducers. The data from these instruments were used to calculate seismic lateral earth
68 pressures, magnitude and location of dynamic lateral thrust, bending strain and moment
69 distributions, and lateral deformations along the structure walls.

70 **BACKGROUND**

71 The influence of shallow soil cover on the seismic response of underground box structures has
72 not previously been evaluated experimentally. Youd and Beckman (1996) studied the performance
73 of reinforced highway box culverts during past earthquakes and showed that box culverts with a
74 deeper fill cover experienced more damage due to increased inertial forces. Wang (1993) showed
75 through a series of linear-elastic, finite element analyses that a shallow soil cover similar to that
76 considered in this study does not increase the racking of the structure significantly. Cilingir and
77 Madabhushi (2001) experimentally and numerically evaluated seismic earth pressures on deeply
78 buried, flexible box structures (flexibility ratios ranging from 14 to 330). They showed that larger
79 seismic earth pressures are experienced on deeper tunnels. The influence of a shallow cover on
80 seismic lateral earth pressures and bending moments has not been evaluated for stiffer box
81 structures of interest (flexibility ratios ranging from 0.1 to 2), which are important in design of
82 critical buried reservoirs.

83 The effect of apparent cohesion induced by suction in the unsaturated backfill (Lu and Likos
84 2006) on seismic lateral earth pressures imposed on retaining structures has previously been
85 studied analytically, numerically, and experimentally. For example, analytical limit state
86 procedures have evaluated the effects of cohesion on dynamic earth pressures acting on yielding
87 retaining walls (e.g., Okabe 1926; Chen and Liu 1990; Das 1996; Anderson et al. 2008). These
88 studies showed that increasing cohesion leads to a significant decrease in dynamic earth pressures,

89 assuming peak strength in the backfill soil and no change in the structure's stiffness, rotation, or
90 translation. Okabe (1926) also showed that increasing cohesion shifts the centroid of the seismic
91 load upward.

92 Wilson (2009) performed numerical analyses of retaining walls with compacted sandy backfill
93 soils and showed that rotation and wall translation have a more significant influence on dynamic
94 earth thrust than cohesion. Allowing for rotation and translation of the wall significantly reduced
95 seismic earth pressures, whereas adding cohesion reduced earth pressures only slightly. Numerical
96 analyses by Candia and Sitar (2013) on braced basement walls and flexible cantilever walls
97 retaining compacted low plasticity clay also showed that apparent cohesion has a minor effect on
98 dynamic earth pressures.

99 Wilson and Elgamal (2015) performed 1g shake table tests on short, rigid, retaining walls
100 (1.7m high) with a dense $c-\phi$ backfill material. They showed a similar distribution of lateral earth
101 pressure as observed in prior analytical and numerical studies (e.g., Veletsos and Younan 1997;
102 Psarropoulos et al. 2005) where the dynamic increment of earth pressure increases toward the
103 center and then decreases near the bottom for stiff retaining structures. Relatively low dynamic
104 lateral earth pressures were recorded at smaller acceleration levels (less than 0.7g), because of the
105 high strength of the backfill soil including cohesion, preventing a limit equilibrium type failure.
106 Due to the deformation patterns in their retaining wall and the higher strength of the backfill soil,
107 the lateral earth pressure time histories along the height of the wall were observed to be out of
108 phase, which reduced the total applied force. At stronger accelerations, however, the lateral earth
109 pressure distributions became more in phase, significantly amplifying the applied seismic force.

110 Realistic values of wave propagation, mean effective stress, and wall height cannot be properly
111 simulated in 1g shake table tests. Therefore, Candia and Sitar (2013) and Mikola and Sitar (2013)

112 performed centrifuge experiments to evaluate the seismic response of braced basement walls and
113 flexible cantilever walls retaining clean sand and low plasticity clay with a relative compaction of
114 90% with respect to the standard Proctor compaction effort. They observed that the dynamic
115 increment of pressure was affected by ground shaking intensity and wall displacement, but it was
116 relatively independent of apparent cohesion for base acceleration levels between 0.2 and 0.6g.
117 They also observed that the dynamic lateral earth pressures acting on the basement walls increased
118 linearly with depth. The basement struts did not prevent excessive bending of the walls in this case,
119 which resulted in a more linear distribution of seismic earth pressures, as expected for more
120 flexible retaining structures (e.g., Hushmand et al. 2016). Further, these experiments obtained earth
121 pressures indirectly from strain gauges, which inherently increases uncertainty in the results.

122 In summary, a limited number of analytical, numerical, and experimental studies have been
123 conducted on the influence of soil cover and cohesion on the seismic response of retaining and
124 underground box structures. Previous studies have not evaluated the influence of backfill slope on
125 the structure's seismic performance. The numerical simulations of the effect of soil cover
126 presented in the literature focused primarily on the racking response alone and have not been
127 validated sufficiently against physical model studies. Analytical, numerical, and experimental
128 studies of the influence of apparent cohesion of the surrounding soil on seismic lateral earth
129 pressures involved different types of structures with different kinematic constraints, and
130 accordingly led to results that did not always agree in terms of the magnitude and distribution of
131 lateral earth pressures. Lastly, and importantly, the data available is limited for the seismic
132 response of stiff-unchanging buried box structures (flexibility ratios ranging from approximately
133 0.1 to 2), which are of interest in the design of underground reservoir structures. Hence, the
134 amplitude and distribution of seismic earth pressures on these underground structures in different

135 types of soils are not well understood. Centrifuge modeling with adequate instrumentation can
136 help evaluate SSI, deformations, and lateral earth pressures for this class of buried reservoir
137 structures and the relative importance of different testing parameters on their seismic performance.
138 Developing a fundamental understanding of these topics is a necessary step for the validation of
139 advanced numerical tools before they can be used in design or parametric studies.

140 **CENTRIFUGE EXPERIMENTS**

141 A series of four centrifuge tests were conducted with the same structure, but different backfill
142 soil properties and geometries, as shown schematically in Figure 1. In this paper, the four
143 experiments are referred to as T-NS (Nevada sand used as the backfill soil without a cover), T-
144 NS-Cover (Nevada sand with a cover), T-SS (site-specific, compacted silty sand as the backfill
145 soil with a cover), and T-SS-Slope (site-specific compacted silty sand with a cover and a 2:1 slope).
146 The model specimens were prepared in a flexible shear beam (FSB) container to reduce boundary
147 effects (Ghayoomi et al. 2012, 2013). The instrumentation layout of different tests is presented in
148 Figure 2. Experiments were performed at 60g of centrifugal acceleration using the large, 400 g-
149 ton centrifuge at the University of Colorado Boulder (Ko 1988). Earthquake motions were applied
150 to the model specimens in flight using the servo-controlled, electro-hydraulic shake table
151 (Ketcham et al. 1991) mounted on the basket at the end of the centrifuge arm. A series of five
152 earthquake motions were applied to the base of the models in the same sequence in the four
153 experiments, followed by sinusoidal motions. All dimensions presented in this paper are in
154 prototype scale, unless stated otherwise.

155 **Soil Properties and Preparation**

156 Experiments T-NS and T-NS-Cover were prepared with medium-dense, dry Nevada sand
157 ($G_s=2.65$; $e_{min}=0.56$; $e_{max}=0.84$; $D_{50}=0.13$ mm; $C_u=1.67$) as backfill. In these tests, Nevada sand
158 was pluviated from a predetermined height to achieve a relative density (D_r) of approximately 60%

159 in T-NS. In T-NS-Cover, a 1.5 m (prototype scale) cover was added by pluviating a layer of
160 Nevada sand over the specimen already used in T-NS after removing it from the centrifuge
161 platform. Even though some densification of the Nevada sand layer in T-NS was expected after
162 application of different motions, the densification inferred from LVDT measurements in T-NS
163 indicate that it was roughly uniform across the container. Accordingly, it was deemed that the
164 effects of soil cover could still be evaluated on the response of the underground structure in T-NS-
165 Cover compared to T-NS, while keeping in mind the changes in the properties of the backfill soil
166 due to densification and seismic history. Further, T-NS-Cover had similar backfill geometry and
167 cover as T-SS, which enabled evaluating the influence of soil properties alone on the response of
168 the buried structure.

169 Compacted, site-specific, silty sand obtained from the reservoir site in Los Angeles, California
170 was used in T-SS and T-SS-Slope. The site-specific soil that was used in the centrifuge
171 experiments was first passed through sieve No. 40 to remove large particles. The properties of the
172 site-specific soil are summarized in Table 1, based on the gradation test (ASTM D422) and the
173 modified Proctor compaction test (ASTM D1557).

174 The preparation of T-SS and T-SS-Slope took place in several steps: First, the soil was
175 homogenized and the initial gravimetric content was measured to determine the amount of water
176 to add to reach the optimum gravimetric water content of 11.5 %. The soil was then moisture
177 conditioned for 24 hours. The moisture-conditioned soil was placed in several layers using specific
178 lift heights and weights. It was subsequently compacted using a 44 N guided hammer to a certain
179 volume to achieve the desired total unit weight of 20.3 kN/m^3 . Accelerometers were added to the
180 model between soil layers at the locations shown in Figure 2. The profiles in T-SS and T-NS-
181 Cover were similar, only with different soil types. The model specimen in T-SS-Slope was

182 prepared first in the same manner as T-SS, after which a flat spatula was used to cut the backfill
183 soil to a 2:1 downward slope on either side of the structure.

184 **Structure Properties**

185 The actual reservoirs are complex structures with many details that are difficult to scale and
186 test in centrifuge. Hence, a simplified version of the reservoir was designed by maintaining a
187 similar natural frequency and lateral stiffness as the designed prototype reservoir structure
188 (Hushmand et al. 2014, 2016). The model structure was constructed of four pieces of welded 1018
189 Carbon Steel (density = 7870 kg/m³; Young's modulus = 2×10⁸ kPa).

190

191 Table 2 presents the dimensions, racking stiffness, and natural frequency of the structure used
192 in the centrifuge experiments. Teflon sheets were used on the container sides and ends of the
193 structure to reduce friction at the structure-container interface. The test soil was glued on all sides
194 of the structure to provide a more realistic interface friction between the structure and the soil in
195 each test.

196 **Instrumentation**

197 Data was acquired using accelerometers, LVDTs, strain gauges, and tactile pressure sensors,
198 as shown in Figure 2. Accelerometers were placed horizontally at the container base, on the
199 container frames, at different elevations within the soil in the far-field, adjacent to the buried
200 structure, on the structure, and on the instrumentation rack to monitor movement. Vertical
201 accelerometers were similarly placed at the container base, roof of the structure, and
202 instrumentation rack. LVDTs were used to measure the settlement of soil and structure, the lateral
203 displacement of the structure, lateral displacement of FSB container frames, and lateral movement
204 of container base. Eight strain gauges were installed on each wall of the structure (total of sixteen)
205 to measure bending strains and hence, bending moments. Four tactile pressure sensors were used
206 to measure total pressure directly on both sides of the structures. Tactile sensors were equilibrated,
207 conditioned, statically and dynamically calibrated prior to use in centrifuge, following the
208 procedure recommended by Dashti et al. (2012), Gillis et al. (2015), and Ganainy et al. (2014).

209 **Ground Motions**

210 A series of earthquake motions were selected with a range of amplitudes, frequency contents,
211 and durations and applied during T-NS, T-NS-Cover, T-SS, and T-SS-Slope. These motions
212 included scaled versions of the horizontal acceleration recordings at the Sylmar Converter Station
213 during the 1994 Northridge Earthquake (NSC52), the LGPC Station during the 1989 Loma Prieta

214 Earthquake (LGP000), and the Istanbul Station during the 1999 Izmit Earthquake in Turkey
215 (IST180), all obtained from the PEER database. Sinusoidal motions were also applied in these
216 tests after the earthquake motion sequence, which are not presented in this paper. The achieved
217 base motions in the centrifuge are referred to as Northridge-L (low intensity), Northridge-M
218 (medium intensity), Northridge-H (high intensity), Izmit and Loma. The properties of the achieved
219 base motions in T-NS are presented in Table 3.

220 A small degree of variation in the base motions among different tests was expected due to the
221 change in weight and natural frequency of the model specimen. The spectral acceleration (5%
222 damped) of the achieved base motions in T-NS, T-NS-Cover, T-SS, and T-SS-Slope are compared
223 in Figure 3, showing a reasonable comparison particularly for weaker motions. More variation was
224 observed during stronger motions (e.g., Northridge-H and Loma) at higher frequencies that are
225 more difficult for the shake table to control and reproduce.

226 **EXPERIMENTAL RESULTS**

227 **Acceleration Response**

228 The influence of backfill soil type and geometry was evaluated on soil-structure interaction
229 effects (both inertial and kinematic) near the underground structure through spectral ratios of
230 structure to far-field accelerations in the four experiments. Figure 4 shows the spectral ratios of
231 accelerations at the bottom, middle, and top of the structure to those in the far-field in each test
232 during three representative ground motions (Northridge L, M, and H). These ratios provide insight
233 into whether accelerations were amplified or de-amplified on the structure compared to the far-
234 field recordings that approximate 1-D free-field site response. Due to a lack of 1-D far-field
235 conditions in T-SS-Slope, the spectral ratios are not presented for this experiment.

236 The structure to far-field spectral ratios increased at shallower depths in T-NS and T-NS-
237 Cover. As the confining pressure increased, the movement of the buried structure was controlled
238 more by the surrounding soil. The highest amplification of spectral ratios was observed at the top
239 of the structure near the predominant frequency of the motion ($f_p \approx 3$ Hz). The added cover slightly
240 reduced the degree of amplification in T-NS-Cover compared to T-NS, due to a small added
241 confinement. Further, the increased stiffness of the backfill soil in T-NS-Cover compared to T-NS
242 also likely played a role in limiting accelerations on the structure.

243 The properties of the backfill soil significantly influenced the accelerations on the structure.
244 For example, when Nevada sand was replaced with the site-specific, compacted silty sand in T-
245 SS, no noticeable change was observed in accelerations recorded on the structure compared to the
246 far-field at any depth (e.g., spectral ratios of near 1.0). It appeared that the structure closely
247 followed the movement of the compacted silty sand at all depths during this test. In all experiments,
248 however, the impact of structural inertia on accelerations appeared to be minor, as no particular
249 amplification was observed near the structure's fundamental frequency of 4 Hz.

250 **Racking Displacements**

251 Racking is defined as the lateral displacement of the roof of the box structure relative to its
252 base. The racking displacement is often used in design to evaluate peak bending moments in a
253 simple frame analysis of the 2D box structure. In practice, the peak transverse racking of a box
254 structure is often estimated with respect to that in the free-field using the NCHRP 611 guideline
255 (Anderson et al. 2008). The NCHRP 611 guideline is, however, based on the results of dynamic
256 finite element analyses performed by Wang (1993) on buried box structures. The centrifuge results
257 presented in this paper enable an experimental evaluation of the applicability of this guideline to
258 stiff-unchanging, underground reservoir structures with varying backfill soil and geometry.

259 Lateral displacement time histories were obtained by double integrating and baseline
260 correcting the accelerometer recordings. Racking (Δ) was then calculated as the difference in
261 lateral displacements at the top and bottom of the structure ($\Delta_{\text{structure}}$) and in the far-field at the same
262 elevations (Δ_{FF}). The peak values of racking displacement on the structure ($\max|\Delta_{\text{structure}}|$) and far-
263 field soil ($\max|\Delta_{\text{FF}}|$) were subsequently used to obtain the racking ratio ($R = \max|\Delta_{\text{structure}}|/\max|\Delta_{\text{FF}}|$)
264 during each test and motion. Since there was no location in T-SS-Slope approximating 1-D free-
265 field conditions, the far-field response in T-SS was used instead to obtain the racking ratios in T-
266 SS-Slope. Even though the achieved base motions were slightly different in the two experiments,
267 particularly during stronger motions, this comparison was still insightful.

268 To calculate the flexibility of the structure relative to the far-field in accordance with the
269 NCHRP 611 guidelines, the flexibility ratio, $F = (G_m \times B)/(K_s \times H)$, needed to be calculated, where
270 G_m is the mean strain-compatible shear modulus of soil in the free-field, B is the structure width,
271 K_s is the racking stiffness of the structure, and H is its height (Anderson et al. 2008). Table 2
272 summarizes the properties of the structure used in centrifuge testing. The G_m of soil was
273 experimentally obtained by calculating the effective fundamental frequency (f_{so}) of the far-field
274 soil from the transfer function of accelerations at the surface with respect to base during a given
275 motion (e.g., Figure 5). The strain-compatible V_s was computed as $V_s = 4H \times f_{\text{so}}$, and the strain-
276 compatible G_m as $G_m = \rho V_s^2$, where H is the height of the far-field soil column and ρ is the soil's
277 mass density in a given test. As expected, the effective (strain-compatible) fundamental frequency
278 of the far-field soil column in T-SS was observed in Figure 5 to decrease with stronger shaking
279 due to softening.

280 The experimentally obtained values of racking versus flexibility ratio (R versus F) in all four
281 tests during all motions are compared with the numerically obtained values from the NCHRP 611

282 guideline shown in Figure 6. In general, the results compared well, although the experimental
283 values of R were often slightly greater than those from the NCHRP guideline. Both R and F values
284 increased slightly in T-NS-Cover compared to T-NS. It is acknowledged that this trend may be
285 primarily explained by the increase in soil stiffness (flexibility ratio or F) in T-NS-Cover compared
286 to T-NS after the application of many motions and soil densification. The impact of soil cover
287 alone on racking ratios is not clear from these experiments, but previous numerical observations
288 by Wang (1993) have shown a minor influence.

289 The use of a compacted silty sand backfill soil in T-SS increased G_m to a value closer to the
290 structure's racking stiffness in this case (e.g., $F \approx 1$). Therefore, the structure underwent racking
291 deformations that were similar to those in the far-field soil (i.e., $R \approx 1$). A similar trend was
292 observed previously in the acceleration response of structure and far-field soil in T-SS. The
293 addition of the slope in T-SS-Slope did not noticeably change R versus F values compared to T-SS.
294 Further, the change in ground motion intensity did not alter R significantly during the tests with
295 site-specific backfill soil, as in all cases the structure was observed to primarily follow the
296 deformation of the backfill soil.

297 **Seismic Lateral Earth Pressures**

298 The dynamic increment of pressure ($\Delta\sigma_E$) was estimated as the difference between total and
299 pre-shake, static lateral earth pressure recordings. To reduce scatter, the data obtained from nine
300 sensels were averaged to represent a larger pressure area after removing the nonworking sensels
301 (Hushmand et al. 2016). Then, the pressure time histories were averaged over the corresponding
302 row of sensels to obtain one time history at a given depth. This method was successful in reducing
303 the scatter in pressure recordings, particularly when in contact with granular materials with local
304 inhomogeneities (Gillis et al. 2015).

305 The dynamic increment of thrust was estimated by numerically integrating the dynamic
306 pressure profile along the wall at each instance of time, using the trapezoidal rule. The resulting
307 dynamic thrust time histories during the Northridge-L motion are compared among the four tests
308 in Figure 7 along with the acceleration time history of the corresponding base motion. The
309 presented thrust time histories were subject to a band-pass, 5th order, a-causal, Butterworth filter
310 with corner frequencies of 0.1 and 15 Hz, to remove low and high frequency noise that was
311 sometimes present in the tactile sensor record and could affect the estimated peak dynamic thrust.
312 As a result of filtering, however, the permanent change in thrust that is typically expected cannot
313 be obtained from this figure, but the transient thrust may be compared among the four tests.

314 The Fourier Amplitude Spectra (FAS) of dynamic thrust are compared to those of acceleration
315 at the base of the buried structure wall in Figure 8 during the Northridge-L motion in all four tests.
316 The frequency content of dynamic thrust was often roughly similar to its base acceleration. The
317 acceleration and dynamic thrust on the buried structure are both expected to be influenced by site
318 response, structure's fixity, stiffness of the structure relative to soil, height of the structure relative
319 to the propagating wavelength, as well as structural inertia. Therefore, the similarity between their
320 frequency contents was expected. Further, a notable content was present in both dynamic thrust
321 and acceleration near 1 to 1.5 Hz in all experiments, which corresponded to the effective, strain-
322 dependent, fundamental frequency of the site during the corresponding motion ($f_{so}' \approx 1$ Hz in T-
323 NS and T-NS-Cover and $f_{so}' \approx 1.4$ Hz in T-SS, corresponding to effective average shear wave
324 velocities, $\bar{V}_s' \approx 74, 80, \text{ and } 112$ m/s in T-NS, T-NS-Cover, and T-SS, respectively during
325 Northridge-L). This observation points to the critical influence of site response on seismic earth
326 pressures experienced by the buried structure. There was also a noticeable increase in dynamic
327 thrust relative to wall acceleration at frequencies between approximately 2 to 2.5 Hz, particularly

328 during T-SS and T-SS-Slope. This difference may have been related to wave propagation, where
329 the quarter wavelength was approximately equal to the height of the buried structure. A wavelength
330 (λ) equal to four times the height of the structure (i.e., $H_{\text{structure}} = 10.4$ m, $\lambda = 4 H_{\text{structure}} = 41.7$) is
331 known to contribute the most to the seismic lateral earth pressures and dynamic thrust due to wave
332 propagation (Davis 2003; Brandenberg et al. 2015). Using the range of $\overline{V_s}$ obtained in the backfill
333 soil, the corresponding frequency range of influence may be determined as $\overline{V_s} / \lambda = 1.8$ to 2.7 Hz.
334 This range closely coincides with the amplification observed in dynamic thrust.

335 The influence of structural inertia on its accelerations or seismic lateral earth pressures was
336 likely minor in these tests, as no significant amplifications were observed near the fundamental
337 frequency of 4 Hz. This was also confirmed in Figure 4 when comparing the acceleration of the
338 structure with far-field soil. Nevertheless, the effect of structural inertia may be important for other
339 conditions. Future numerical studies, in which different effects can be properly isolated, can
340 provide valuable insights into the potential influence of structural inertia and conditions at which
341 it may play an important role.

342 The $\Delta\sigma_E$ profile at the time of maximum thrust is shown in Figure 9 in all four tests during all
343 earthquake motions. A 3rd order polynomial was fit to the $\Delta\sigma_E$ distribution at the time of maximum
344 thrust to estimate the centroid location and to interpret the magnitude and trends despite the scatter
345 present in the recordings. The centroid of $\Delta\sigma_E$ at the time of maximum thrust in all four tests versus
346 the PGA of the far-field surface motion (A4) is shown in Figure 10, which was obtained from the
347 polynomial fit. For all the conditions investigated here, the $\Delta\sigma_E$ increased towards the center of
348 the wall and decreased near the top and bottom of the wall. These distributions were more
349 consistent with those predicted analytically, numerically, and experimentally for stiffer structures
350 in different soils (e.g., Veletsos and Younan 1997; Psarropoulos et al. 2005; Richards et al. 1999;

351 Davis 2003; Gazetas et al. 2004; Psarropoulos et al. 2005; Wilson 2009; Taiebat et al. 2011; and
352 Wilson and Elgamal 2015) than those observed experimentally and numerically for more flexible
353 structures (e.g., Mikola and Sitar 2013; Candia and Sitar 2013). The differences observed in the
354 distribution of dynamic earth pressures, therefore, are mainly due to the differences in kinematic
355 constrains and flexural rigidity of the wall system employed rather than the stiffness and strength
356 of the backfill soil.

357 The backfill soil type and geometry also influence the shape and magnitude of $\Delta\sigma_E$ profiles .
358 The addition of a soil cover as well as the increase in backfill soil stiffness during T-NS-Cover
359 slightly increased the dynamic pressures near the top of the wall and shifted the centroid upward
360 when compared to T-NS during stronger motions. The additional apparent cohesion of the site-
361 specific, compacted soil in T-SS slightly altered the distribution of $\Delta\sigma_E$ when compared with T-
362 NS-Cover of the same backfill geometry: $\Delta\sigma_E$ was often observed to increase slightly near the
363 center and decrease near the top and bottom of the wall in T-SS compared to T-NS-Cover. A
364 review of the pressure time histories along the wall indicated that $\Delta\sigma_E$ time histories were primarily
365 in phase in T-NS-Cover but not in T-SS. This means that when $\Delta\sigma_E$ peaked near the center, it
366 approached its minimum near the top and bottom of the wall in T-SS during the motions
367 investigated. This observation is consistent with those of Wilson and Elgamal (2015) for a rigid
368 retaining wall with compacted $c-\phi$ backfill soil at lower levels of shaking, when a limit equilibrium
369 failure state is not expected. When comparing the dynamic thrust, which averages the $\Delta\sigma_E$
370 distribution along the height of the wall, no significant and consistent difference was observed
371 between T-NS-Cover and T-SS. Therefore, similar to other experimental and numerical
372 observations (e.g., Wilson 2009; Wilson and Elgamal 2015; Candia and Sitar 2013), cohesion of
373 the backfill soil was observed to have a relatively minor effect on seismic earth thrust regardless

374 of the kinematic constraint or flexural rigidity of the wall employed. The centroid of the $\Delta\sigma_E$
375 profile, however, appeared to move upward slightly in T-SS compared to T-NS-Cover, particularly
376 during stronger motions. The $\Delta\sigma_E$ values reduced near the top of the wall in T-SS-Slope compared
377 to T-SS, because of the reduction in soil mass and inertia near the surface due to the sloped backfill,
378 as expected.

379 The dynamic coefficient of lateral earth pressure (ΔK_E) was calculated for an equivalent
380 triangular dynamic lateral earth pressure profile by dividing the actual dynamic thrust by $\gamma H^2/2$,
381 where γ is the total unit weight of the corresponding backfill soil and H the wall height. ΔK_E , as
382 originally introduced by Seed and Whitman (1970), was based on a triangular distribution of
383 dynamic lateral earth pressures, while the dynamic lateral earth pressure profiles in these
384 experiments resembled a parabolic shape. The equivalent ΔK_E values calculated based on
385 experimental recordings of pressure were used to compare the magnitude of seismic force among
386 the different experiments, previous centrifuge tests, and the available simplified procedures. The
387 equivalent ΔK_E values obtained experimentally at the time of maximum thrust as a function of the
388 PGA of the far-field surface motion (A4) are shown in Figure 11. This figure also includes the
389 results obtained from previous centrifuge experiments performed by Mikola (2012) on a model
390 basement structure retaining a cohesionless soil and Candia (2013) on a basement structure
391 retaining a cohesive soil (both reported at the time of maximum moment), as well as the predictions
392 from the M-O, S-W, and Wood methods for comparison.

393 The ΔK_E values obtained in all experiments generally increased with increasing shaking
394 intensity and were often smaller than those predicted by the S-W procedure. However, the ΔK_E
395 values were larger than S-W during T-NS-Cover, particularly for PGA values greater than about
396 0.4, possibly due to the added stiffness and confining pressure in the backfill soil. Strong motions

397 with large PGA's are common in the design of buried reservoir structures, since they are
398 considered as a critical component of the lifeline infrastructure. The addition of the soil cover and
399 backfill soil stiffness in T-NS-Cover appeared to have increased the magnitude of dynamic earth
400 pressures compared to T-NS. Even though the magnitude of seismic earth pressures and thrust was
401 not significantly different in T-SS and T-NS-Cover in most cases, ΔK_E was smaller in T-SS due to
402 compaction that increased soil's total unit weight. The reliability of pressure sensors, however, is
403 a topic of ongoing research, and therefore it is important to also evaluate bending moments
404 (obtained from strain gauges) in parallel, which are affected by seismic lateral earth pressures and
405 wall inertia simultaneously.

406 **Bending Strains and Moments**

407 Bending strains were measured on both walls during all four tests with strain gauges. Static
408 bending strains increased near the top of the buried structure when soil cover was added in T-NS-
409 Cover compared to T-NS, as shown in Figure 12. Tensile surface strain due to bending (i.e., wall
410 curvature outward) is shown as positive in this figure. Strain gauge recordings during earthquake
411 loading did not indicate any permanent change in strains for the type of structures evaluated in this
412 study. The dynamic increment of bending moment (ΔM_E) along the wall was subsequently
413 calculated from the corresponding strain values, as shown in Figure 13. The tactile sensors had a
414 separate data acquisition system from other sensors. Therefore, to avoid possible errors associated
415 with time synchronization of responses, dynamic moments (ΔM_E) are reported at the time of
416 maximum moment as opposed to maximum thrust obtained from tactile sensors.

417 The shape of the ΔM_E profile along the wall was roughly linear in the four tests during all
418 motions, with its peaks near the fixed connections with the roof and base of the reservoir structure.
419 The magnitude of ΔM_E increased noticeably from T-NS to T-NS-Cover, with the added overburden

420 of the soil cover and the increased stiffness of the backfill soil. The change in soil properties did
421 not significantly change ΔM_E in T-SS compared to T-NS-Cover. There was, however, a slight
422 reduction in ΔM_E from T-SS to T-SS-Slope near the top of the wall due to the presence of a sloped
423 backfill, as expected, due to reduce mass near the top. These trends were consistent with
424 observations of $\Delta \sigma_E$.

425 CONCLUSION

426 Dynamic centrifuge tests were performed on stiff-unyielding, buried reservoir structures to
427 consider the influences of soil cover, backfill soil type, and a sloped backfill on soil-structure
428 interaction, racking deformations, seismic lateral earth pressures, and bending strains and moments
429 in the structure. The primary conclusions of this paper are as follows:

- 430 1. In experiments involving dry, medium-dense Nevada sand, accelerations were amplified on
431 the buried structure compared to the far-field soil at shallow depths near the predominant
432 frequency of the base motion. Adding the soil cover and stiffness reduced the independent
433 movement of the structure and hence the amplification of accelerations compared to the far-
434 field soil. The compacted, site-specific, silty sand backfill with a similar cover further
435 limited the independent movement of the buried structure due to soil's greater stiffness,
436 where the structure accelerations primarily followed those of the far-field soil.
- 437 2. The increased backfill soil stiffness and flexibility ratio after subsequent shaking together
438 with an added shallow soil cover increased the structure's racking response. Using a
439 compacted, partially saturated backfill soil increased the soil's stiffness (and hence, the
440 flexibility ratio, F) further to a value near the racking stiffness of the structure in this case
441 (i.e., $F \approx 1$). Hence, the structure's racking deformation approached that in the far-field soil

442 (i.e., $R \approx 1$). The addition of a sloped backfill did not significantly affect the racking and
443 flexibility ratios compared to the case without a slope.

444 3. The frequency content of dynamic thrust experienced on the walls of the buried structure
445 was often roughly similar to its acceleration, because they were both affected by site
446 response as well as structure's fixity, stiffness relative to soil, and inertia. Both dynamic
447 thrust and acceleration showed a peak near the effective fundamental frequency of the site,
448 pointing to the critical importance of site response on seismic earth pressures. Wave
449 propagation also influenced the observed dynamic thrust where the quarter wavelength
450 approached the height of the structure. The impact of structural inertia alone on its response
451 was likely minor during these experiments.

452 4. The addition of a shallow soil cover and increased backfill soil stiffness due to shaking
453 slightly increased seismic lateral earth pressures ($\Delta\sigma_E$) on the structure near its roof and
454 shifted its centroid upward during stronger motions. The additional density, stiffness, and
455 apparent cohesion of the site-specific, compacted silty sand slightly increased $\Delta\sigma_E$ near the
456 center and decreased $\Delta\sigma_E$ near the roof and base of the structure. The increased strength of
457 the backfill soil prevented a limit-equilibrium failure condition during the motions
458 employed, leading to a phase difference in the $\Delta\sigma_E$ along the height of the wall (i.e., when
459 $\Delta\sigma_E$ peaked near the center, it often approached its minimum near the top and bottom). The
460 additional soil stiffness and apparent cohesion did not have a significant influence on
461 seismic earth thrust, which averages the $\Delta\sigma_E$ distribution along the wall, but it shifted its
462 centroid upward slightly. These results combined with previous studies indicate that soil
463 cohesion has a minor effect on seismic earth thrust, regardless of the kinematic constraints
464 or flexural rigidity of the wall. The sloped backfill caused the dynamic lateral earth pressures

465 to decrease near the top and its centroid to move downward, because of the reduction in soil
466 mass and inertia near the surface.

467 5. The trends in dynamic bending moments acting on structure walls (ΔM_E) were in line with
468 those of $\Delta \sigma_E$. The addition of a soil cover and backfill soil stiffness increased the magnitude
469 of ΔM_E , particularly near the bottom of the wall. The change in soil properties in the site-
470 specific soil did not significantly affect the magnitude of ΔM_E , but increased it slightly near
471 the bottom of the wall. The sloped backfill, on the other hand, decreased ΔM_E near the top
472 of the wall, because of less confinement.

473 The methods commonly used to evaluate the response of underground and retaining structures in
474 terms of deformation, magnitude and distribution of seismic earth pressures, and bending moments
475 do not adequately consider the range of backfill soil properties, flexural stiffness, kinematic
476 constraints, and ground motions for which critical underground reservoir facilities must be
477 designed. The results presented in this paper are intended to provide important insights into the
478 influence of backfill soil on the seismic performance of a class of stiff-unyielding buried structures
479 with translational and rotational restraints at the top and bottom. Parallel nonlinear numerical
480 simulations with additional variations are, however, necessary and underway before the results can
481 be used to provide general recommendations for practice.

482 **ACKNOWLEDGMENTS**

483 The authors would like to thank the Los Angeles Department of Water and Power (LADWP)
484 for financial support of this research. We would also like to thank Drs. Min Zhang and Majid
485 Ghayoomi for their support in the planning and execution of the centrifuge experiments.

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489 **LIST OF FIGURE CAPTIONS**

490 Figure 1. Schematics of the centrifuge experiments to evaluate the influence of the properties and
491 geometry of backfill soil.

492 Figure 2. Setup and instrumentation layout of centrifuge experiments: (a) T-NS, T-NS-Cover, and
493 T-SS; and (b) T-SS-Slope (dimensions are in prototype scale meters).

494 Figure 3. Comparison of the recorded base motion spectral accelerations (5% damped) in T-NS,
495 T-NS-Cover, T-SS, and T-SS-Slope.

496 Figure 4. Spectral ratio (5% damped) of structure to far-field accelerations in three tests (T-NS, T-
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504 during the Northridge-L motion.

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508 pressure sensors in T-NS, T-NS-Cover, T-SS, T-SS-Slope during different earthquake motions.

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519 NS-Cover, T-SS, T-SS-Slope at the time of maximum moment during different motions.

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527 Table 1. Mechanical properties of site-specific, compacted, silty sand used in T-SS and T-SS-
528 Slope (Note: Compaction corresponding to the modified Proctor compaction effort).

USCS	Silty Sand (SM)
Sand content	61.4 %
Fines content	38.6 %
Optimum water content	11.5 %
Maximum dry unit weight	19.1 kN/m ³
Total unit weight	21.3 kN/m ³
Site-specific relative compaction	95 %
Desired total unit weight	20.3 kN/m ³

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Table 2. Dimensions and properties of model underground structure (prototype scale).

Height & Width (m) Outer to Outer	Thickness			Lateral Stiffness, K_s (kN/m/m)	Fundamental Frequency (Hz)	
	Base (mm)	Roof (mm)	Walls (mm)		Numerical	Experimental
10.5 & 12.1	688	371	558	31.5	4.0	3.9

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568 Table 3. Base earthquake motion properties as recorded during T-NS by accelerometer A15 (all
 569 units in prototype scale)

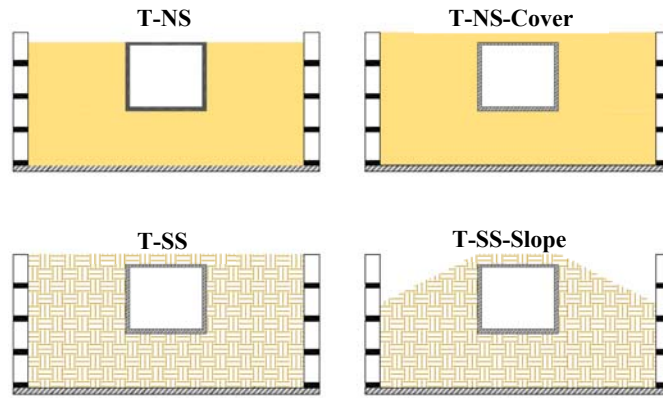
Motion Name	PGA (g)	Arias Intensity I_a (m/s)	Significant Duration D_{5-95} (s)	Mean Frequency f_m (Hz)	Predominant Frequency f_p (Hz)
Northridge-L	0.36	1.6	15.4	1.41	2.86
Northridge-M	0.81	5.4	19.5	1.52	3.57
Northridge-H	1.2	11.6	25.1	1.59	3.57
Izmit	0.33	2.1	39.5	1.79	4.17
Loma	1.0	12.4	13.3	2.00	3.70

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575 Figure 1. Schematics of the centrifuge experiments to evaluate the influence of the properties and

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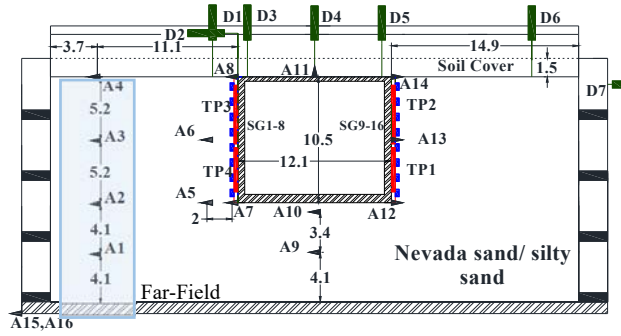
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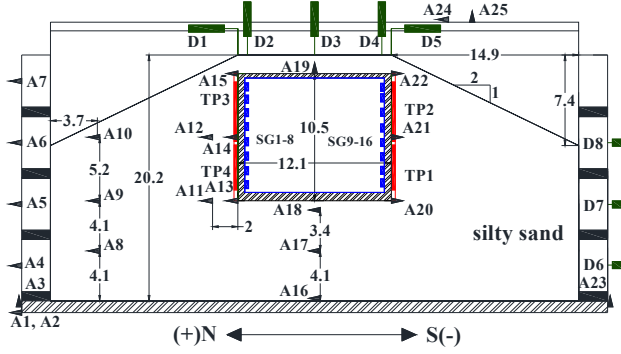
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(a)

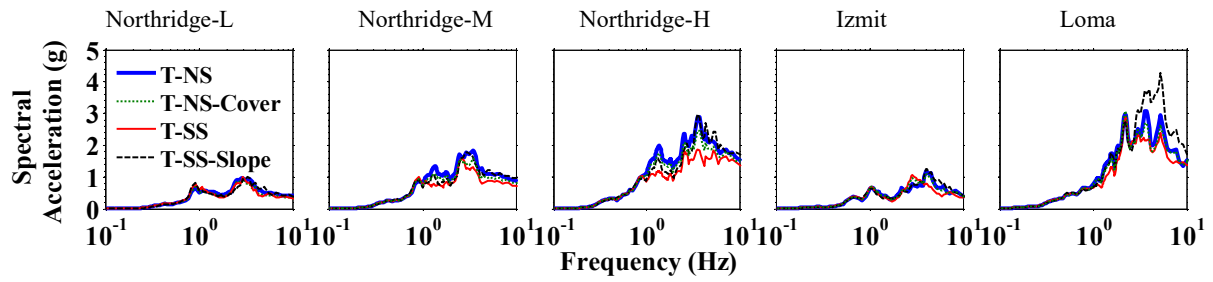


(b)

Legend | LVDT (D) ◀ Accel (A) ■ Strain Gauge (SG) | Tactile Pressure Sensor (TP)

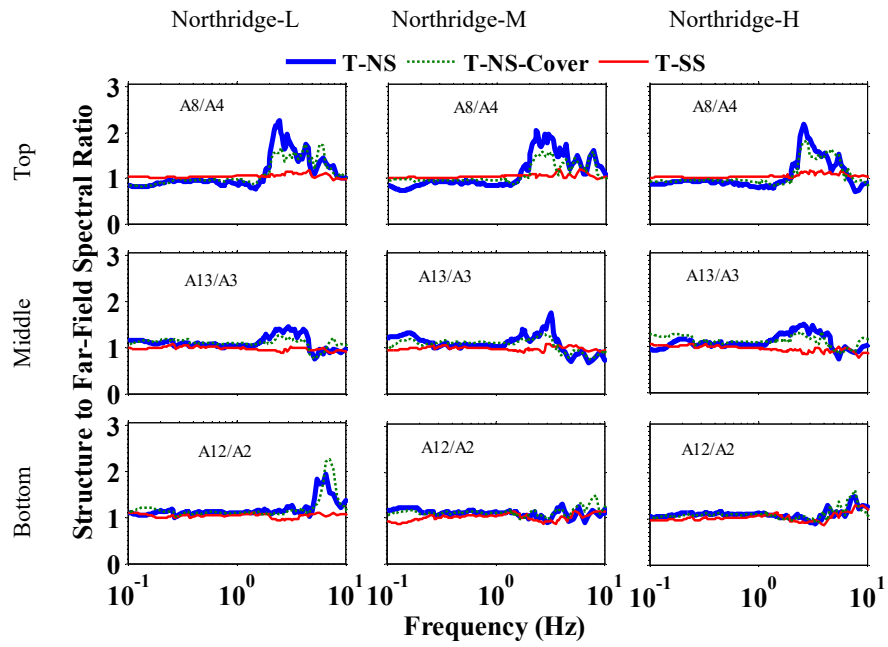
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Figure 2. Setup and instrumentation layout of centrifuge experiments: (a) T-NS, T-NS-Cover, and T-SS; and (b) T-SS-Slope (dimensions are in prototype-scale meters).



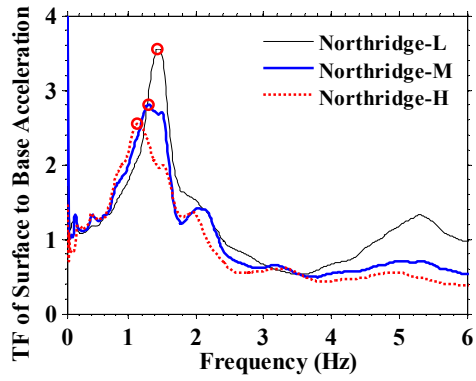
606 Figure 3. Comparison of the recorded base motion spectral accelerations (5% damped) in T-NS,
 607 T-NS-Cover, T-SS, and T-SS-Slope.

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629 Figure 4. Spectral ratio (5% damped) of structure to far-field accelerations in three tests (T-NS,
 630 T-NS-Cover, T-SS) during the Northridge-L, Northridge-M, and Northridge-H motions.

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Figure 5. Transfer function of surface to base accelerations in the far-field in T-SS during the

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Northridge-L, Northridge-M, and Northridge-H motions to determine the strain-compatible

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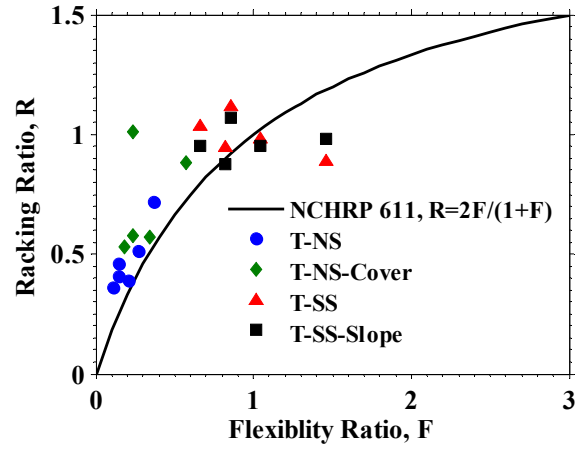
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662 Figure 6. Experimental racking vs. flexibility ratios of the underground reservoir structure during

663 different ground motions and tests as compared to the NCHRP 611 guideline.

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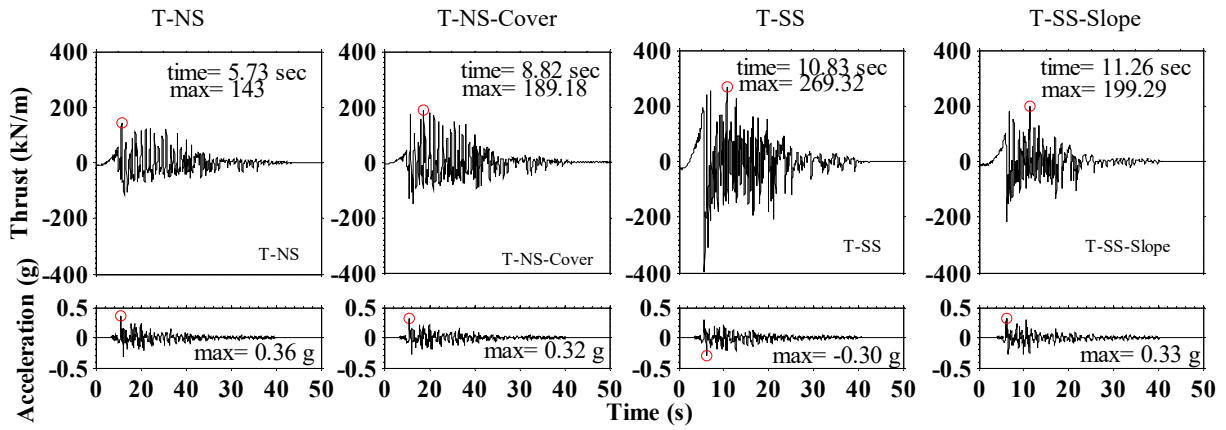
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Figure 7. Dynamic thrust time histories on the structures in T-NS, T-NS-Cover, T-SS, T-SS-

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Slope during the Northridge-L motion.

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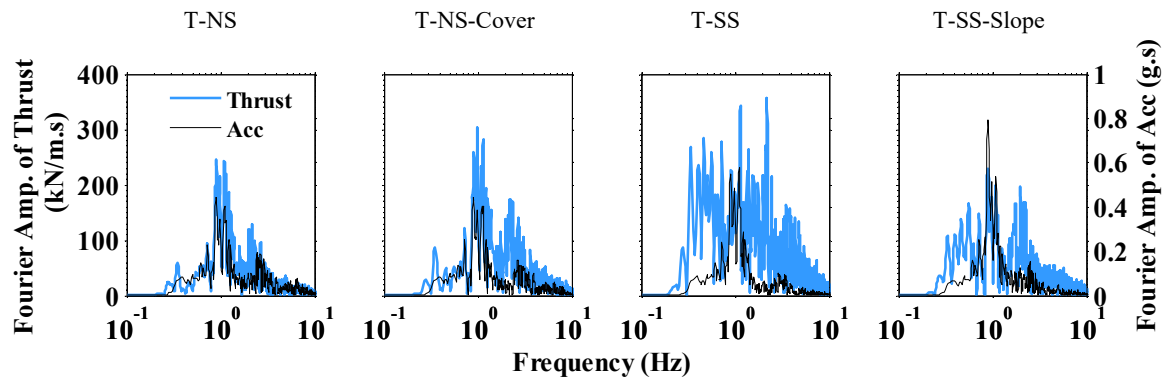
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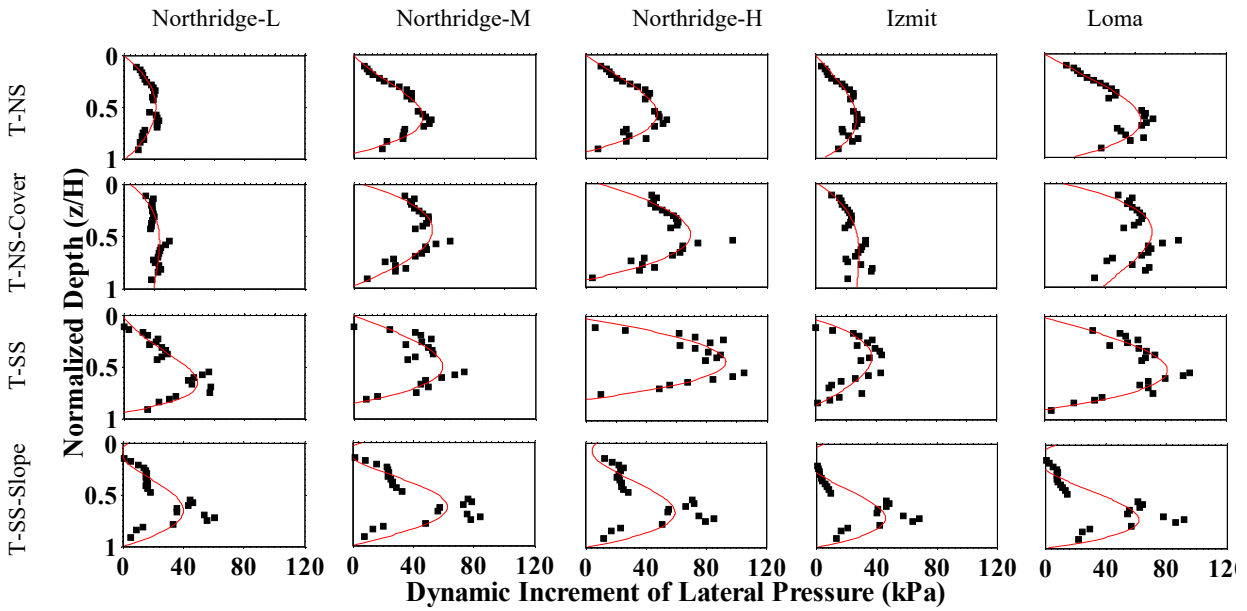
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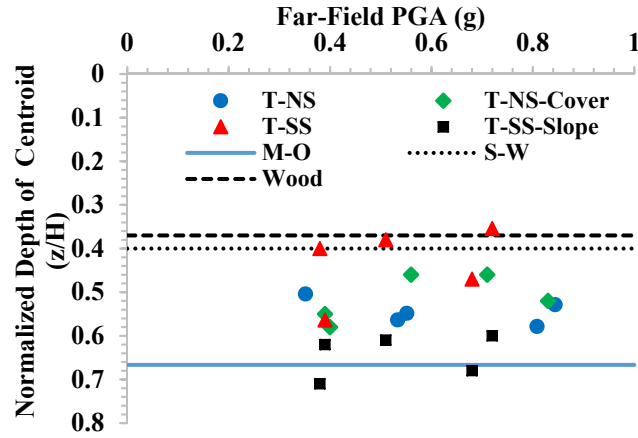
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738 Figure 10. Centroid of $\Delta\sigma_E$ at the time of maximum thrust as a function of far-field surface PGA

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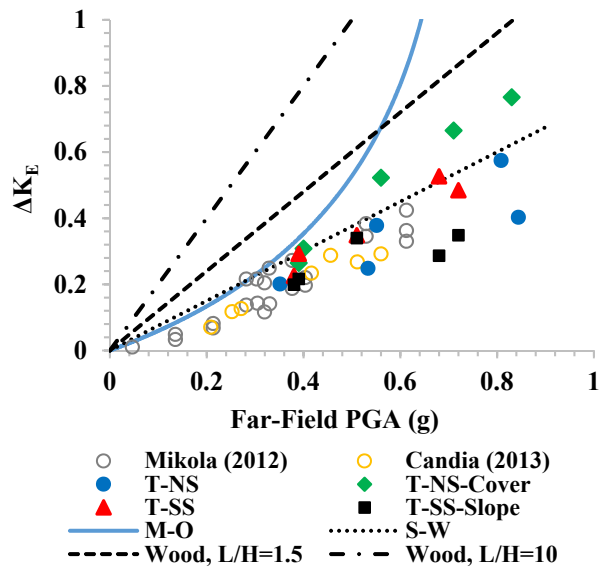
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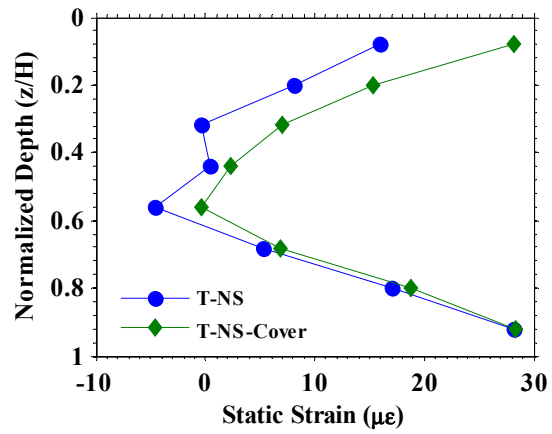
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762 Figure 12. Effect of soil cover on static bending strains on the walls of the underground structure

763 comparing T-NS and T-NS-Cover.

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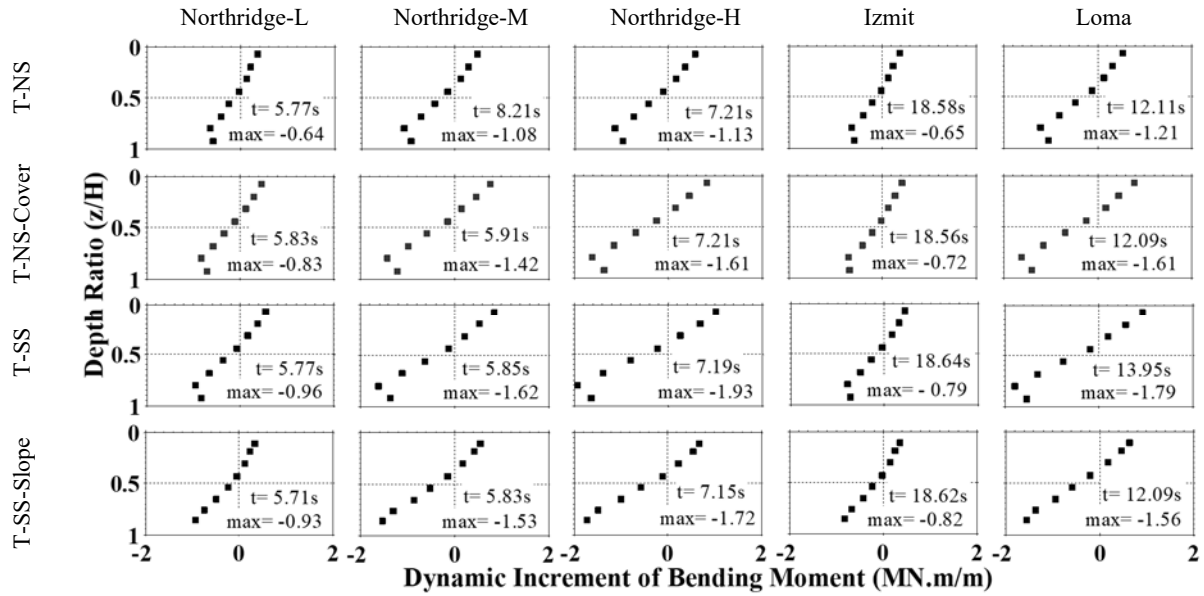
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