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Self-Assembly Mechanisms of Organosilanes and Porphyrins Investigated with Scanning Probe Microscopy

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SELF-ASSEMBLY MECHANISMS OF ORGANOSILANES AND
PORPHYRINS INVESTIGATED WITH SCANNING PROBE MICROSCOPY

A Dissertation

Submitted to the Graduate Faculty of the
Louisiana State University and
Agricultural and Mechanical College
in partial fulfillment of the
requirements for the degree of
Doctor of Philosophy

in

The Department of Chemistry

by
Phillip Charles Chambers II
B.S., James Madison University, 2012
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ABBREVIATIONS

3-D	Three Dimensional
AC	Alternating Current
AC AFM	Acoustic Mode AFM
AFM	Atomic Force Microscopy
BCH	Bicyclohexyl
CMPS	4-(chloromethyl)-phenyltrichlorosilane
cp-AFM	Conductive Probe-AFM
DCM	Dichloromethane
EFM	Electric Force Microscopy
H ₂ TPyP	5,10,15,20-tetra(4-pyridyl)-21 <i>H</i> ,23 <i>H</i> -porphine
HOPG	Highly Oriented Pyrolytic Graphite
ITO	Indium Tin Oxide
KPFM	Kelvin Probe Force Microscopy
MFM	Magnetic Force Microscopy
NSL	Nanosphere Lithography
OEtP	2,3,7,8,12,13,17,18-Octaethylporphyrin
OTS	Octadecyltrichlorosilane
pc-AFM	Photo-current AFM
PID	Proportional and Integral-Derivative gains
RMS	Root Mean Square
SAMs	Self-assembled Monolayers
SPM	Scanning Probe Microscopy
STM	Scanning Tunneling Microscopy
z	Tip-Sample Distance

ABSTRACT

This dissertation details the development of new fabrication strategies for the preparation of spatially selective surfaces by combining techniques of particle lithography and scanning probe microscopy (SPM). This combination of lithography and nanoscale surface characterization was applied to study the mechanisms of molecular level surface-assembly of organosilanes and porphyrin on surfaces of Si(111). Particle lithography was used to investigate the surface assembly of 4-chloromethylphenyltrichlorosilane (CMPS) within exposed sites of nanoholes in selected solvents and at selected temperatures to gain insight into the details of self-polymerization. Nanopillars of CMPS were generated under selected conditions of solvent and temperature and characterized with atomic force microscopy (AFM). CMPS nanopillars were shown to grow taller with more layers at higher reactions temperatures. It was also observed that CMPS nanopillars grown in toluene formed more fractured pillars with multiple domains from a single nanoholes, compared to nanopillars grown in bicyclohexane that were observed to have more structured growth and less diverse morphology. The self-assembly of CMPS was strictly confined to nanoholes with the surrounding matrix showing very little evidence of non-specific adsorption. Surface platforms of nanopatterned CMPS nanopillars were fabricated within a resistive thin film of octadecyltrichlorosilane (OTS) to spatially direct and pattern the addition of 5,10,15,20-Tetra(4-pyridyl)porphyrin. The generation of CMPS-porphyrin heterostructures was studied *ex-situ* and confirmed by statistically significant changes in nanostructure height before and after the porphyrin addition. The fabrication of CMPS-porphyrin heterostructures from morphologically diverse CMPS nanopillar foundations was studied to provide insight into the mechanisms of CMPS nanopillar self-assembly. The morphology of final stage heterostructures closely resembled the original morphology of the CMPS nanopillars with little evidence of non-specific adsorption across the OTS thin film resist. Particle lithography was used to fabricate silicon porphyrin nanostructures

on surfaces of Si(111) via a porphyrin-silane coupling reaction. Previous steps for nanopatterning porphyrin on a surface included an additional step to of an organosilane linker molecular that the porphyrin molecules could bind and assembly from. This new protocol coordinates a silane to each porphyrin macrocycle though a simple single vessel reaction system developed by Kurihara *et al.*¹ Through this coupling reaction porphyrins can be directly assembled on surfaces of silicon and glass. Porphyrin nanostructures of nanoholes, nanorings and nanopillars as well as porphyrin thin films were generated using this technique.

CHAPTER 1. INTRODUCTION

Scanning probe microscopy (SPM) is a category of surface characterization techniques that employ a probe to map nanoscale properties of surface facets and features. Scanning probe microscopes can be utilized to acquire information about a surface's physical and chemical characteristics and has historically been used in fundamental and applied research. The studies detailed in this dissertation pertain to the surface characterization and elucidation of the mechanisms by which organosilane and porphyrin molecules assemble on surfaces of Si(111).

1.1 Molecular Studies Using Atomic Force Microscopy: Experimental Approach

This dissertation entails the study of the mechanisms by which organosilanes and porphyrins assemble on surfaces of Si(111). Protocols of particle lithography were employed to generate nanoscale surface platforms for the controlled fabrication and investigation of organosilane and porphyrin nano-architectures that could be analyzed via dynamic modes of AFM. The preparation of nanopatterned functionalized surfaces serves to further elucidate the nature of self-assembly and to advance the potential of organosilanes and porphyrins as essential building blocks in the field of nanofabrication and surface modification. The most relevant AFM characterization modes used throughout the investigations detailed in this dissertation will be discussed in depth in chapter two. Chapter 2 will also detail the modification of surfaces by organosilanes and concisely cover the history and application of tailorable surface properties.

1.2 Nanostructures of CMPS Prepared in Selected Solvents at Selected Temperatures

The self-assembly and growth of 4-(chloromethyl)-phenyltrichlorosilane (CMPS) to form nanostructures within nanoholes in a thin film of octadecyltrichlorosilane (OTS) was studied *ex situ* using atomic force microscopy, as described in Chapter 3. The effects of selected solvents and temperatures on the growth of the CMPS was investigated to gain insight into the mechanisms of

the surface assembly and self-polymerization of CMPS. Surface platforms of nanoholes were generated within a thin film of OTS using particle lithography combined with immersion steps. The film of OTS provided a resist for preventing nonspecific adsorption of CMPS in areas surrounding the nanoholes. The uncovered areas of substrate within the nanoholes were used to direct the self-assembly of CMPS. Nanopatterns were imaged using AFM after key steps of the nanofabrication procedure. The samples were immersed in solutions of CMPS that were prepared with selected solvents that included toluene, bicyclohexyl, and dichloromethane. The size and morphology of CMPS nanostructures were influenced by the nature of the solvent, nonpolar solvents were better suited for reproducibly growing regular nanostructures. For nanostructures of CMPS grown within nanoholes, the rate of CMPS self-assembly and growth exhibited differences at the molecular level for temperatures ranging from $-4\text{ }^{\circ}\text{C}$ to $20\text{ }^{\circ}\text{C}$. Analysis of the height and width of CMPS nanopatterns reveals that multiple layers formed with taller surface structures being formed at higher temperatures. Particle lithography provides a useful tool for studying chemical reactions at the nanometer scale, with simple steps of sample preparation used to make multiple nanopatterns for surface measurements.

1.3 Spatially Directed Synthesis of Porphyrin Heterostructures with Particle Lithography

This study details how CMPS nanostructures were used as a foundation to attach and grow porphyrin heterostructures. A protocol was developed to pattern the addition of porphyrin compounds to selectively grown sites of CMPS. The procedure includes steps of immersion particle lithography to selectively passivate a surface of Si(111) with octadecyltrichlorosilane. A methyl-terminated passivated matrix was chosen to both direct the growth of CMPS nanostructures to the bare sites of exposed Si(111) and to minimize the potential for non-specific binding of CMPS outside of the bare sites. Silica microspheres with a diameter of 500 nm were used as a mask to

prepare nanoholes within the passivated matrix. After nanoholes of OTS were generated and subsequently characterized by AFM, the samples were immersed in solutions of CMPS and toluene or bicyclohexane. By controlling the concentration, temperature, solvent and time, nanostructures of specific heights could be generated. The CMPS nanostructures could then be characterized with AFM to establish the height and morphology of the structures before attaching the porphyrin compounds. After this characterization the samples were then refluxed in a porphyrin solution to facilitate the attachment of the porphyrin layers. The attachment of the porphyrin layer was confirmed by the increase in average pillar height following the reflux reaction. The height increase observed following the addition of the porphyrin suggests that multiple layers of porphyrin macrocycle were added. Through each round of reaction and molecule addition the OTS matrix showed minimal signs of nonspecific adsorption. This information provides further insight into how CMPS polymerizes from the surface and how it can serve as a platform for porphyrin heterostructures generation

1.4 Nanoscale Investigations of Porphyrin Nanostructure Self-assembly

The synthesis and characterization of covalently bound nanostructures and thin films of 2,3,7,8,12,13,17,18-octaethylporphyrin (OEtP) on Si(111) was investigated with AFM. Previous methods for a single vessel synthesis of a silane coupled porphyrin were combined with particle lithography to generate nanopores, nanoholes and nanorings of the silicon-OEtP.¹ This protocol was tested and combined with protocols of particle lithography to successfully bind and pattern metal-free porphyrins via a silane-coupling reaction. In this “one pot” reaction silicon tetrachloride is coordinated by a porphyrin (octaethylporphyrin) and the structures are generated from the surface through a series of hydrolysis and condensation reactions. The porphyrin structures formed are robust and their heights are grown with high precision and control. The fabricated nanopillars

are formed through a siloxane backbone of the center-coordinated silicon atom of the porphyrin nanostructures. Several protocols were developed in order to generate nanostructure patterns. By controlling the amount of water on the surface different structures can be fabricated. By first masking the silicon surface with a resistive mask of OTS using particle lithography, subsequent porphyrin addition could be directed to bare exposed sites of Si(111). By directing the growth of the porphyrin to these discrete sites, more consistent growth was achieved.

1.5 Imaging and Processing Artifacts Commonly Encountered in Atomic Force Microscopy

Imaging artifacts are encountered daily when analyzing surfaces with scanning probe microscopy. Many artifacts go unnoticed and unaccounted for by users and editors alike. AFM is inherently subject to an array of artifacts that originate from four general sources, the AFM tip, the scanner, the environment of the instrument and the subsequent processing of the data acquired. Chapter 6 is dedicated to the study of these artifacts through the analysis of images from the variety of different surfaces and materials that were investigated by the Garno group over the past five years. In chapter 6, many common artifact sources are discussed along with measures that can be taken to prevent them as well correct them.

1.6 Conclusions and Future Prospectus

Nanoscale arrays of organosilane and porphyrin patterned surfaces were engineered to study the assembly mechanisms by which these molecules adhere to and grow from surfaces. Protocols of particle lithography were applied to study the kinetics and growth of nano-architectures from confined reaction vessels and elucidate the nature of immersion parameters and their effects upon the resulting characteristics of the supramolecular nanopatterned assemblies. The preparation of porphyrin nanostructures was further investigated to gain insight into the role of surface water and its necessity for the controlled modification of silicon surfaces.

CHAPTER 2. EXPERIMENTAL: PRINCIPLES AND TECHNIQUES OF ATOMIC FORCE MICROSCOPY AND NANOSCALE LITHOGRAPHY

2.1 A History of Atomic Force Microscopy

Scanning probe microscopy is a characterization technique that encompasses a group of imaging techniques that can be applied to obtain images and measurements of specific surface characteristics at the nanoscale. In many modes of SPM, a sharp probe is employed to measure properties of the surface by physically contacting the surface. As the tip is scanned across a given surface, the interactions experienced between the tip and the surface can be monitored and recorded in real time. A list of surface images and properties can be gathered through this mechanism including, surface morphology, frictional forces (between the tip and samples), surface viscoelasticity, conductance, magnetic properties and surface potential.²⁻⁹

Within the SPM imaging group, scanning tunneling microscopy (STM) and atomic force microscopy (AFM) were the first modes to be discovered and published about.^{2, 10} The invention of the STM (1981) allowed researches to visualize single atoms as well as atomic vacancies.¹⁰⁻¹¹ For their efforts and achievement, Binnig and Rohrer were awarded the Nobel Prize in physics five years later in 1986. With an STM, atomic resolution of conductive and semi-conductive surfaces can be achieved by scanning a sharp conducting wire across the surface at a consistent height of 1-2 nm.¹² By applying a bias to the sample, electrons are driven to tunnel through the potential energy barrier leading to a flow of current from the sample to the tip. A topographical map of the surface can be generated as the tip is scanned across the surface while monitoring the feedback of the current. The probability for an electron to tunnel from the sample to the tip decays exponentially with the distance between the tip and sample, a relationship that enables atomic scale resolution and also limits potential surfaces to those that are atomically flat.

With this limitation in mind, Binnig, Quate, and Gerber would go on to invent the atomic force microscope in 1986.² The underlying principles of AFM are based upon attractive and repulsive forces experienced between the AFM tip and sample surface. On the basis of these interactions, molecular and atomic scale resolution can be readily obtained on a relatively diverse array of sample surfaces that can be conductive and insulating. This capability was demonstrated in 1987 when sub 2.5 Å resolution was achieved on a graphite surface.¹³ Typical resolution limits for an instrument system with an ultra-sharp can be as low as 0.1 nm in the lateral directions (x & y) and 0.01 nm in the vertical direction (z).¹³ Another powerful advantage of AFM is the ability to achieve high resolution images in a variety of environments including, air, liquid and under vacuum. Its robustness provides for the capability to conduct experiments both *ex situ* and *in situ*, making possible the study of real time surface changes.¹⁴⁻¹⁵ Samples also do not require any pre-treatment before imaging like other imaging techniques. AFM can be applied to study a diverse set of samples including, self-assembled monolayers (SAMs), polymers, nanoparticles, proteins, metals, DNA, and more.¹⁶⁻²⁴ It is widely used throughout industry and academia as a metrology tool.

Since the discovery of AFM, many new modes have been developed. The majority of these modes fall within three operating regimes which include, contact mode, intermittent contact mode, and non-contact mode. Each of these modes is distinguished based upon how the tip interacts with the surface. Some of the more common modes include, contact mode, tapping mode, conductive probe-AFM (cp-AFM), Magnetic Force Microscopy (MFM), Electric Force Microscopy (EFM), Kelvin Probe Force Microscopy (KPFM), and photo-current AFM (pc-AFM).²⁵⁻³¹ Each mode will have a slight variation in the instrument set-up (i.e. feedback mechanism, tip choice, data channel,

etc.). Each mode also provides specific information pertaining to the surface with the objective of each mode typically signified in its name.

The AFM instrumental configuration for contact and intermittent or tapping-mode AFM will be introduced in this chapter. The majority of the images and data presented throughout this dissertation were obtained in one of these two modes.

2.2 Imaging Principles of Contact Mode AFM

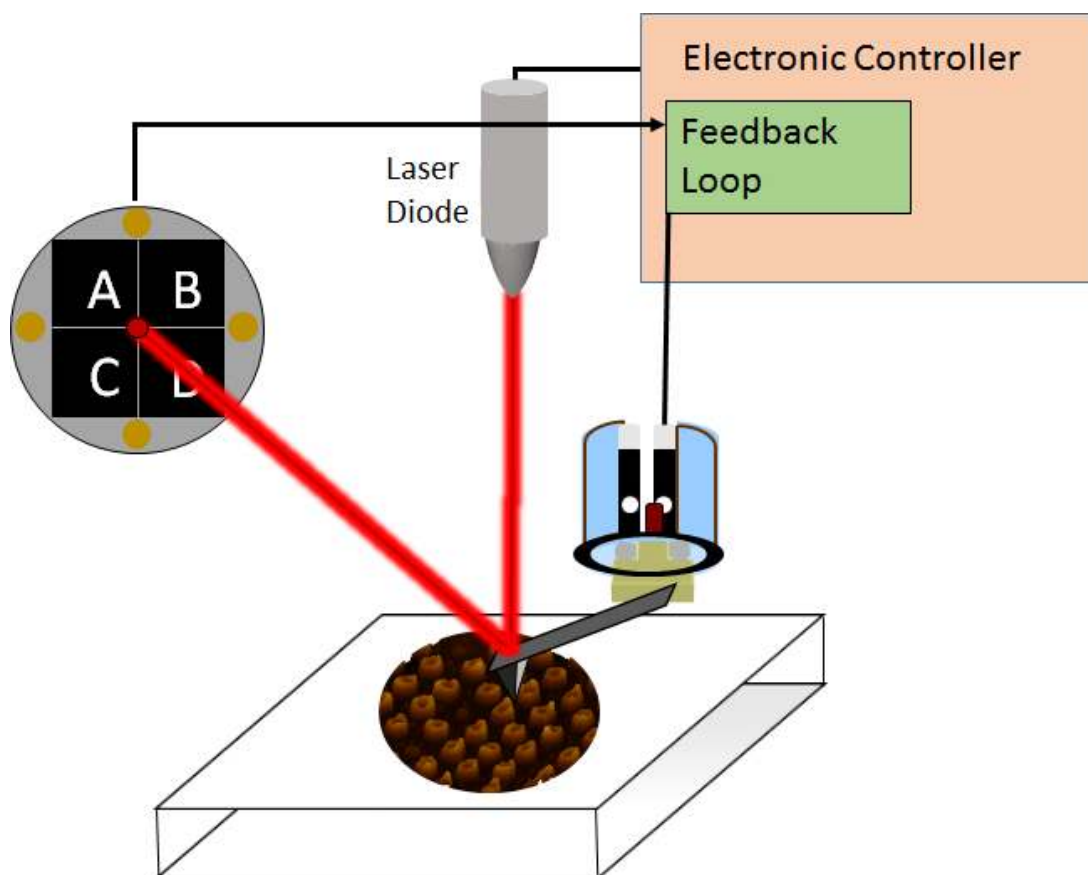


Figure 2.1 Instrument diagram for contact mode AFM

Contact mode AFM was the first mode published upon in 1986.² It is still frequently used today for routine surface analysis. Images are acquired by bringing a sharp tip into contact with the surface and raster scanning the tip across the surface at a given force selected by the user. In contact mode, the tip is maintained in constant contact with the surface.

Figure 2.1 shows a simplified diagram of a scan by tip setup used for contact mode AFM. The instrument consists of a reflective cantilever that is connected to the scanner at one end and to an ultra-sharp tip at the opposite end. Typically the cantilevers are rectangular or V-shaped and the sharpened tips are made of silicon and or silicon nitride. The tips can be coated for conductive studies. As the tip is scanned across the surface in a raster fashion, a laser is reflected off the backside of the reflective cantilever onto a four quadrant photodiode. As the tip comes into contact with structures on the surface, the position of the cantilever, relative the surface, is altered and the laser position on the photodiode is subsequently shifted. The displacement of the laser on the photodiode is used as the set-point for the positional feedback mechanism. When the position sensitive detector senses a change in vertical laser position a voltage is applied to the z-piezo electrode in the scanner to correct for the positional displacement and bring the tip force back to the user determined set-point. It is through this feedback loop that topography images of the surface are ultimately generated. In contact mode three channels of information are produced, topography, deflection (error signal), and lateral force (Figure 2.2). The images displayed in Figure 2.2 contain

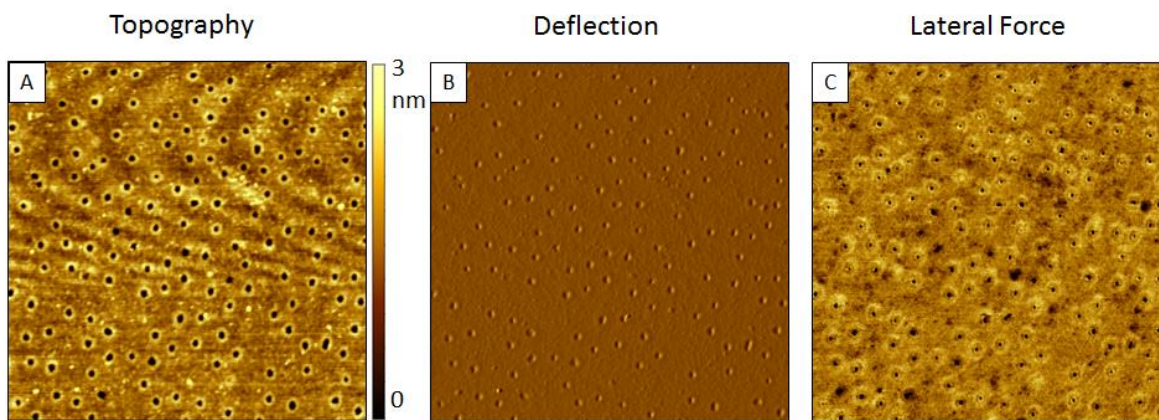


Figure 2.2. Contact mode images of nanoholes of OTS. (A) Topography image acquired in air. (B) Deflection image also known as error signal and (C) lateral force image.

nanoholes within a thin film of octadecyltrichlorosilane (OTS). All three images were acquired simultaneously. The topography images (Figure 2.2A) reveals dark spots within a bright film, these dark nanopatterned spots represent the nanoholes on the surface. The deflection image (Figure

2.2B) represents the error signal that is monitored by the feedback loop. Under ideal operating parameters, this channel should show very little signal. And the later force image (Figure 2.2C) represents the frictional forces experienced between the tip and the sample as the tip is scanned across the surface. Frictional forces influence the torsional movement of the tip as the tip encounters areas of the surface with different frictional characteristics. The lateral position of the tip is measured by the position sensitive detector and these measurements help distinguish surfaces of different chemical functionality.

In contact mode, specific force spectroscopy measurements can be obtained through quantitative measurements of the interactions experienced between the tip and the sample.³²⁻³⁴ Force-distance curves can be generated to measure the magnitude of those interactions experienced between the tip and the sample. Figure 2.3 contains an example of a force-distance curve. This measurement is acquired by applying a triangle waveform voltage to the z piezoelement in the scanner that results in an approach-retreat cycle. In this cycle the tip is forced into contact with the surface and subsequently retracted while the deflection signal is monitored to generate a deflection versus distance plot. This signal can be converted to units of force from the deflection sensitivity and modeling the interaction with Hooke's law ($F = -kx$). In this model F is equal to the force experienced by the tip from the surface, k is the spring constant of the probes cantilever and x is the cantilever deflection. Based upon the model of Hooke's law, the tip displacement is proportional to the force experienced by the AFM tip.

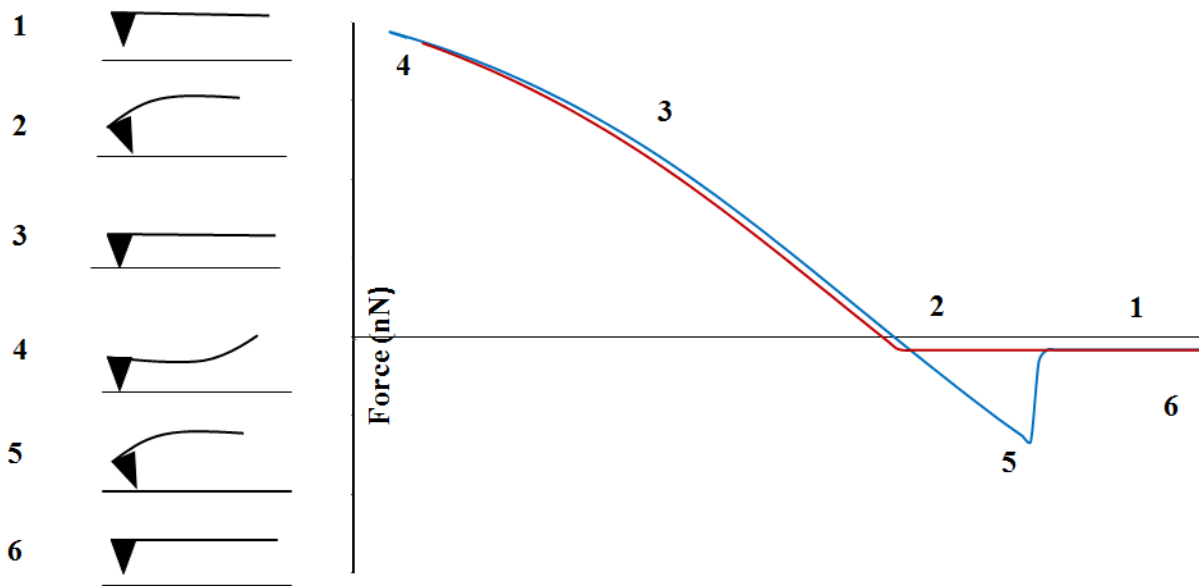


Figure 2.3. Example force-versus-distance curve with approach (red) and retract (blue) path.

In the example force-distance curve (Figure 2.3), an approach (red) and retract (blue) deflection signal is mapped as the tip is driven into contact with the surface and then brought out of contact. In Region 1, the tip is not in contact with the surface and no signal deflection is detected. It is not until Region 2 when the tip snaps to the surface, due to Van der Waals and electrostatic forces, that a deflection signal is first observed. In Region 3 the tip is in contact with the surface and as more force is applied to the tip it begins to experience a repulsive force from the sample. In Region 4, at or close to the maximum force value the tip will begin to bend as shown in the figure. After the maximum deflection point the tip is reached the retraction portion of the cycle will initiate. As the tip is retracted from the sample it will maintain contact past the original contact point and force, into Region 5. The tip is held in contact through this region at negative forces due to a combination of bonding, long range attractive forces and capillary forces experienced between the tip and sample. In Region 6 the tip breaks from the surface. This region contains valuable

information regarding rupture forces that are required to adhesive bonds between the tip and sample.

2.3 Imaging Principles of Intermittent or Tapping-mode AFM

In contact mode the tip is maintained in constant contact with the surface with a constant force exerted on the surface by the tip. For many samples, this tip-sample interaction can lead to the destruction of the surface or the tip itself as a result of increased lateral or shear forces. Tapping mode can be used to help mitigate damage that is more likely to occur when imaging samples such as polymers, proteins, nanoparticles and samples that are soft or sticky.³⁵⁻³⁷

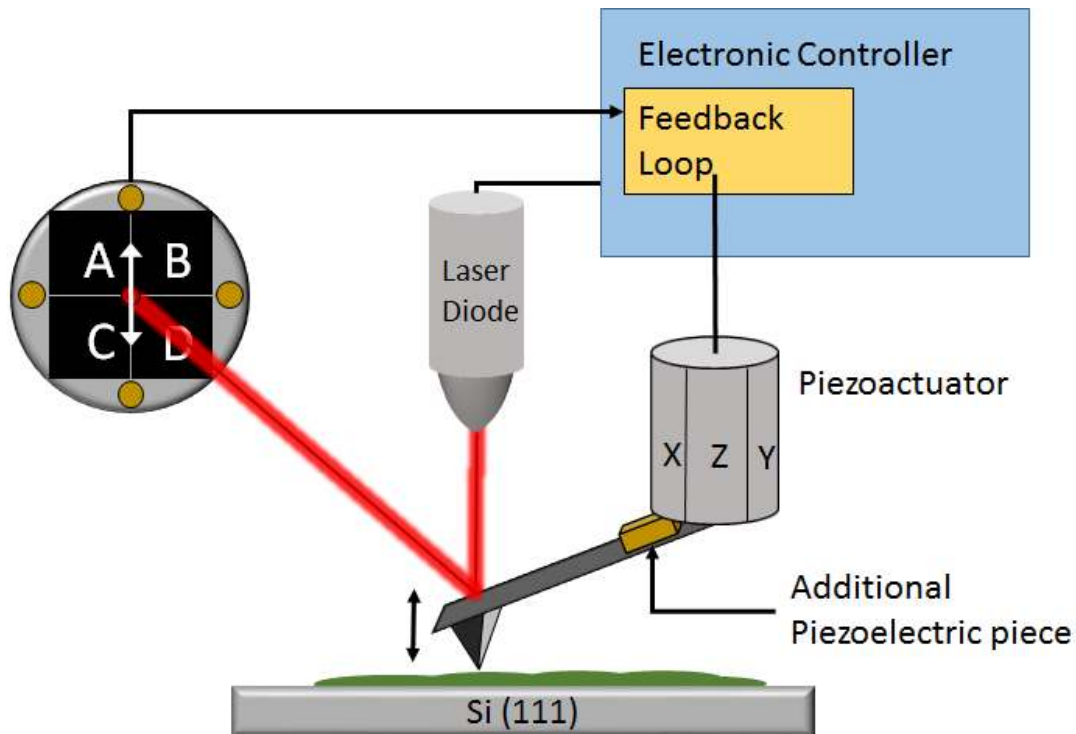
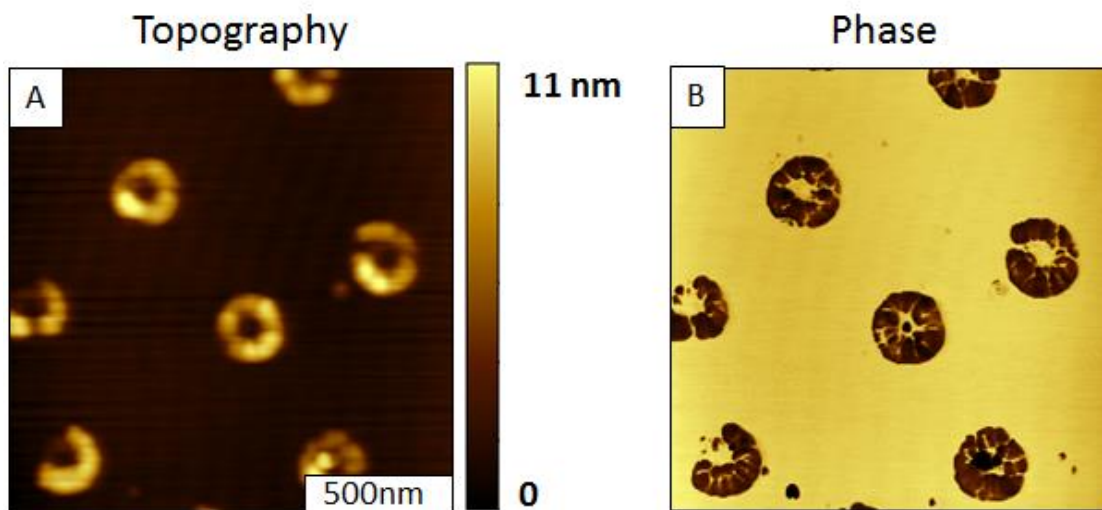


Figure 2.4. Instrument diagram for tapping mode AFM

In tapping mode AFM, also referred to as intermittent contact or acoustic mode (AC AFM), the tip is mechanically driven to oscillate at or near its natural resonance frequency (150-400 kHz). This mode of AFM was invented to mitigate and reduce lateral or shear forces to eliminate potential damage of the surface and tip.³⁸ The tip is driven to oscillate by incorporating an

additional piezoceramic actuator within the scanner (Figure 2.4). An alternating current (AC) voltage is applied to the additional piezo-actuator in the scanner to induce oscillation at an amplitude that is large enough to surmount adhesion forces between the tip and sample. As the tip is scanned across the surface, the amplitude of the oscillation is damped to various degrees that are intrinsically dependent upon the properties of the material on the surface. In this mode, the tip oscillation amplitude is monitored in the feedback loop to maintain a constant amplitude. Within this instrument setup, the tip amplitude set point value is monitored relative to the detected amplitude and the difference is referred to as the error signal. This error signal is measured in real time as the tip is raster scanned across the surface and is used to determine the necessary voltage input to the z piezo within the scanner to change the vertical position of the tip, relative to the surface, and bring the tip amplitude back to the original amplitude set point. The differences in applied and measured amplitude are transduced into digital 3-D topographical images of the



surface.

Figure 2.5. Tapping mode images of OTS rings on Si(111). (A) Topography image of nanorings with simultaneously acquired (B) phase image.

In tapping mode AFM three informational channels are acquired simultaneously, topography, amplitude and phase. The amplitude data is the error signal of the feedback loop and as such should not be reported in publications. In Figure 2.5, OTS rings are imaged using tapping mode and the two of the three channels are shown. In Figure 2.5A the topography image shows bright rings that represent the OTS nanoring structures that were fabricated within on Si(111). Tapping mode was used to reduce the lateral forces that could potentially damage the OTS ring nanorings, and to increase the resolution of the image. Figure 2.5B is the simultaneously acquired phase image which can be used to elucidate details of the surface that are not seen in topography images. A sharp contrast between the OTS rings and the surrounding Si(111) background shows comparable differences in the viscoelastic properties of the organosilane nanostructure versus the bare surface.

Phase images are generated from changes in the measured phase of the tip oscillation relative to the phase of the driving signal. This change in phase, commonly referred to as phase lag, can provide information regarding the changes in local adhesion forces, sample viscoelasticity and is particularly sensitive to contrast changes that result from the contact area between the tip and sample.³⁹⁻⁴⁰ Phase images are frequently used when imaging polymer samples to help discern viscoelastic properties of different polymer domains across the surface, as evidenced in Figure 2.5C.⁴¹⁻⁴²

2.4 Chemistry of Organosilane Self-assembled Monolayers

Self-assembled monolayers (SAMs) are self-organizing assemblies of molecules that covalently bind to surfaces that furnish free hydroxyl groups. They are thermally stable and chemically robust due to the nature of the siloxane bonds they form from the surface they assemble on and between the neighboring organosilane molecules. They assemble on hydroxyl rich surfaces

through a series of hydrolysis and condensation reactions that is initiated by trace amounts of water on the surface.⁴³⁻⁴⁴

Organosilane SAMs are prepared using techniques such as vapor deposition or through solution based immersion.⁴⁵ Their applications span many fields including the fabrication of molecular devices, corrosion control, and lubrication.⁴⁶⁻⁵¹ They can be deposited to create chemical resist on surfaces or to modify the surface for further reaction based upon the tailorable head group of the specific organosilane that is deposited. The surface density and architectures formed by organosilanes can be manipulated by controlling the parameters of their fabrication. Their formation is highly sensitive to deposition time, temperature, solvent, and humidity.⁵²⁻⁵³ These parameters are investigated further in Chapter 3 where solvent and temperature are used to control the formation of CMPS nanostructures in confined nanoholes reaction containers.

CHAPTER 3. NANOSTRUCTURES OF 4-(CHLOROMETHYL)PHENYLTRICHLOROSILANE PREPARED USING PARTICLE LITHOGRAPHY WITH SELECTED SOLVENTS AND TEMPERATURES

3.1 Introduction

The surface self-assembly of organosilanes has been studied since 1980 because of useful properties such as thermal and chemical stability and compatibility with substrates such as silica, glass, and mica.⁵⁴⁻⁵⁷ However, the complete mechanism is not fully understood for the surface self-assembly and growth of multilayer films of organosilanes.⁵⁷ We have developed a unique approach for studying surface reactions using nanolithography. Nanostructures of organosilanes can be grown within spatially confined sites of nanoholes within a resistive matrix film. The assembly and growth of nanopatterns can be viewed directly with AFM, to provide molecular-level details of changes in the morphology and dimensions of nanostructures. Essentially, the nanostructures provide a reproducible platform for evaluating differences in experimental parameters such as temperature or the choice of solvents.

Organosilane SAMs have a wide range of applications through the design of surfaces with customizable functionalities.⁵⁸⁻⁶⁰ Self-assembled monolayers of organosilanes have been used as model surfaces to control and direct the deposition of molecules on surfaces.^{54, 61} Substrates used to prepare organosilane SAMs include silicon oxide, quartz, mica and aluminum oxide.^{44, 62-67} The structures of films and nanostructures that are formed by organosilane SAMs are highly dependent upon environmental parameters mediated by hydrolysis and condensation reactions. Experimental parameters need to be optimized to form densely packed, cross-linked films.

Studies of aromatic organosilanes such as CMPS, have focused on advantages from the benzyl halide groups that provide sites for further molecular addition reactions. Benzyl halide surfaces have unique reactivity from sites that are amenable to nucleophilic substitution reactions⁶⁸ as well as with ligands which are capable of binding polymers,⁶⁹ DNA,⁷⁰ and other

nanomaterials.⁷¹ Films of this aromatic organosilane have been previously studied as surface layers with high resolution lithography protocols that include UV-radiation,^{70,72} and X-ray exposure.⁷³

Methods reported for nanopatterning SAMs include, electron beam lithography,⁷⁴⁻⁷⁵ microcontact printing,⁷⁶⁻⁷⁷ as well as scanning probe based techniques such as, dip-pen nanolithography,⁷⁸ nanoshaving,⁷⁹⁻⁸⁰ nanografting,⁸¹⁻⁸² and biased induced lithography.⁸³ Scanning probe based methods of lithography offer advantages of exquisite control at the nanoscale for inscribing designed nanostructures, however the serial nature of writing patterns on-by-one can be a limitation. Particle lithography is a practical strategy to reproducibly prepare nanoscale patterns of SAMs with high-throughput.⁸⁴

Methods of particle lithography have been applied to pattern organic polymers,⁸⁵⁻⁸⁶ proteins,⁸⁷⁻⁸⁸ metals,⁸⁹⁻⁹⁰ nanoparticles⁹¹⁻⁹² and rare earth oxide nanocrystals.⁹³ Particle lithography is a method where latex or silica spheres are deposited on a flat substrate to form a surface mask for depositing organosilanes. The organosilanes react with trace amounts of water to produce nanostructures on uncovered areas surrounding the particle mask. With particle lithography the periodicity, density and morphology of the nanopatterns can be controlled through the selection of the size of particles, solvents, and deposition time.^{94,95-96} The type of nanostructures (nanorings, nanoholes, or nanodots) that will be patterned on the surface can be controlled by deposition conditions.^{44,97}

Conventional approaches for studies of molecular self-assembly are to prepare thin film samples which are then characterized with high resolution spectroscopies to evaluate film thickness and surface density. However, by preparing nanostructures within a matrix film, direct views of surface changes and growth of CMPS in selected conditions can be accomplished at the molecular level with AFM. In this report, the influence of the solvent nature and reaction

temperature was investigated at the nanoscale by preparing a surface platform of nanopatterns of CMPS for multiple size measurements. The purpose of the designed experiments is to address fundamental questions of how environmental parameters affect the surface self-assembly and subsequent growth of CMPS at the molecular level. By applying particle lithography combined with immersion steps, a periodic array of nanoholes within OTS was prepared as containers to direct the binding of CMPS within exposed areas of the substrate. The surface self-assembly and growth of CMPS was studied in bicyclohexyl, toluene, and dichloromethane as well as at temperatures ranging from -6 to 20 °C. In a previous study, the effect of immersion time was evaluated for preparing CMPS nanopatterns, as reported by Tian et al.⁹⁸ The previous work provides a starting point for studying other significant parameters such as solvent choice and temperature effects for CMPS growth. By investigating environmental parameters in detail, insight into the mechanisms of surface self-assembly and growth through self-polymerization can be gained for reactive organosilanes.

3.2 Experimental Section

3.2.1 Atomic Force Microscopy (AFM).

A Model 5500 scanning probe microscope (Keysight) was used to characterize samples with contact and tapping mode AFM. The images in Figures 2 were acquired in contact mode and were obtained with a silicon nitride tip in air with a spring constant of 0.03 N/m and a resonance frequency between 10 and 20 kHz (MSCT, Bruker, Camarillo, CA). The images in Figures 3 and 4 were obtained with a silicon nitride tip in air with a spring constant ranging between 10 and 130 N/m and an average resonance frequency of 290 kHz (Nanosensors, Neuchatel, Switzerland). The images in Figures 6 and 8 were obtained using a silicon nitride tip with a spring constant ranging between 10 and 130 N/m and a resonance frequency of 270 kHz (Nanosensors, Neuchatel,

Switzerland). All images were processed with open source software, Gwyddion (v. 2.31) which is available on-line.

3.2.2 Immersion Particle Lithography.

Nanostructures of organosilanes can be prepared from solution using particle lithography with silica spheres. A step-by-step procedure is outlined in Figure 3.1. Silicon wafers (Virginia Semiconductor, Frederickburg, VA) were cut into pieces ($1 \times 1.5 \text{ cm}^2$) as substrates. The substrates were immersed in piranha solution for 1.5 h to remove any surface contamination. Piranha solution is a mixture of hydrogen peroxide and sulfuric acid with a (v/v) ratio of 1:3. Caution should be taken when working with piranha solution, it is highly corrosive and should be handled with care. After rinsing the substrates with ultrapure water, an aqueous drop of monodisperse silica spheres was placed on the surface and dried in air for 5 h (Figure 3.1A). The dried film of silica beads provides a surface mask for nanolithography. To prevent the silica spheres from being detached from the surface during the immersion step, the substrate was heated in an oven at 150°C for 20 h to temporarily solder the beads to the substrate. After drying the samples were immersed in a 0.1% solution of OTS in bicyclohexyl (BCH) to react at room temperature (Figure 3.1B). After 8 h, the samples were removed and rinsed with ethanol and then water. To remove the particle mask, the samples were sonicated in water, ethanol and chloroform and dried (Figure 3.1C).

Subsequent AFM characterization revealed that organosilane molecules assembled on the surface in areas where the silica spheres were not located on the surface to form a periodic arrangement of nanoholes. The center-to-center distance between nanoholes matched the diameter of the silica spheres of the surface mask. The nanopatterned surfaces were then immersed in a 0.006 M solution of CMPS in either BCH, anhydrous toluene or dichloromethane (DCM) for 20 h at room temperature (Figure 3.1D). In the second portion of the study the OTS nanopatterns were

immersed in a 0.006 M solution of CMPS in toluene at selected temperatures of (-6 °C, 4 °C, 20°C) for 30 min. The sites of nanoholes within a film of OTS provided areas of uncovered surface to direct the growth of CMPS (Figure 3.1E).

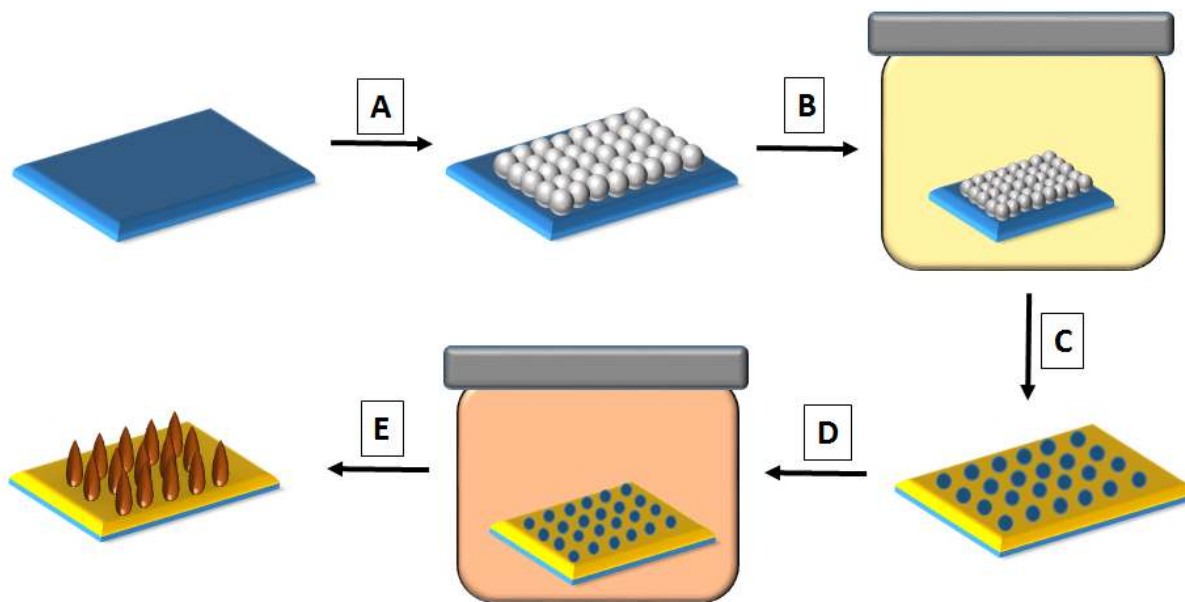


Figure 3.1. Steps for immersion particle lithography. (A) Silica spheres were placed on the surface of Si(111). (B) The substrate was immersed in a solution of OTS. (C) The spheres were rinsed away to reveal a pattern of nanoholes within a film of OTS. (D) The sample was then immersed in a solution containing CMPS. (E) Upon removal, a pattern of CMPS nanopillars was formed.

3.3 Results and Discussion

Immersion particle lithography offers capabilities to prepare arrays of nanostructures with high reproducibility for studies of molecular self-assembly. Nanoholes within a matrix film of OTS on Si(111) will be used to direct the growth of CMPS to form multilayer pillars. Altering parameters of temperature, time and solvent provide a way to control the growth of CMPS nanostructures at the molecular level. The results for CMPS self-assembly with selected parameters can be observed directly using AFM studies.

3.3.1 Surface templates of nanoholes within an OTS film.

After the surface mask of silica spheres was removed by rinsing, a periodic arrangement of nanoholes within a film of OTS was revealed. The nanoholes provide exquisitely small surface sites for a further deposition step with CMPS. Example images of the nanopatterns are presented in Figure 2. The circular dark spots in both the topography (Figure 3.2A) and phase (Figure 3.2B) views are the nanoholes where the silica spheres were displaced from the surface. There are ~ 120 nanoholes within the $6 \times 6 \mu\text{m}^2$ frames, which scales to $\sim 10^8$ nanopatterns per cm^2 . Differences in surface chemistry are revealed in the lateral force image (Figure 3.2B). The dark circular spots of the nanoholes are areas that were masked by the spheres and indicate areas of bare Si(111). The brighter areas in between and surrounding the nanoholes have a dissimilar surface chemistry than the areas within the nanoholes, presenting methyl groups. The lateral force images are 2D maps of the differences in tip-surface interactions as the probe was scanned across the sample.

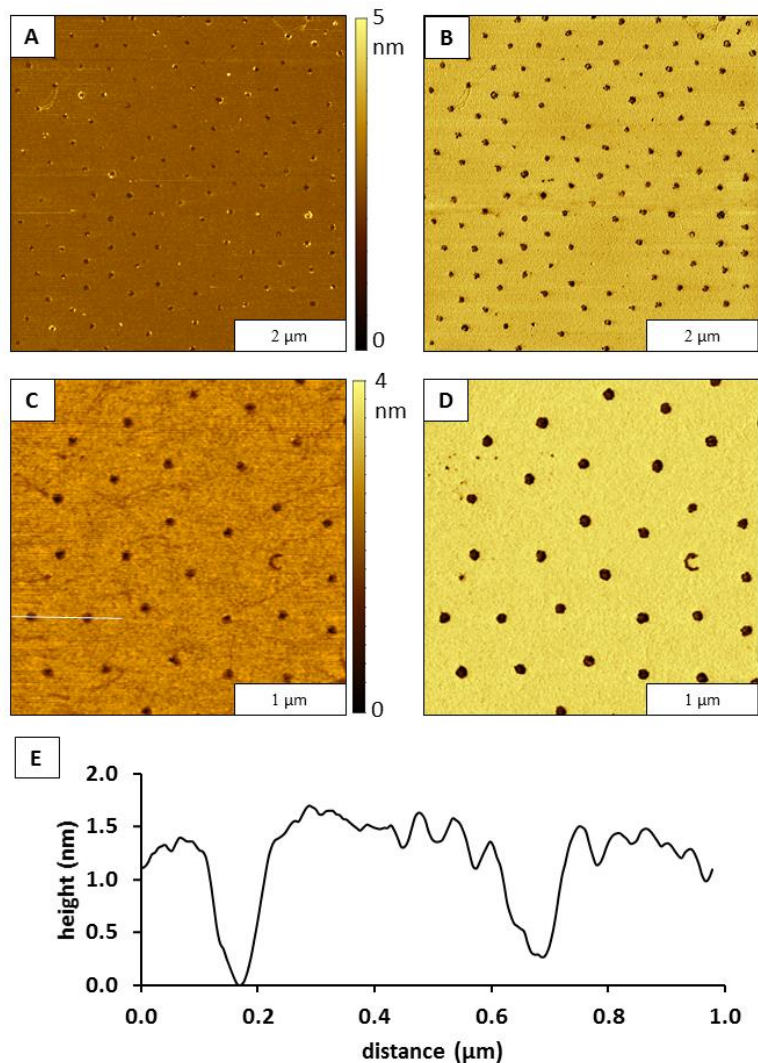


Figure 3.2. Nanoholes within a film of OTS. (A) Topography image, $6 \times 6 \mu\text{m}^2$; (B) corresponding lateral force image. (C) Close up view of nanoholes, $3 \times 3 \mu\text{m}^2$, (D) simultaneously acquired lateral force image; (E) cursor profile across two nanoholes in **D**.

The OTS film will provide a resist layer, blocking the absorption of materials and guiding the deposition of CMPS to fill in the surface sites of nanoholes. The arrangement and geometry of nanoscale dimensions of the patterns, the shapes and periodicity of the nanoholes are quite uniform with few defects. The center-to-center spacing of the nanoholes is approximately 500 nm, which matches the diameter of the silica spheres used as a surface mask. The dimensions of the nanoholes are much smaller than the silica spheres of the particle mask, since the sites indicate the actual area

of contact between the spheres and a planar substrate. The surface area covered by OTS measured 98.5%. The depth of the nanoholes measured 1.5 ± 2 nm, shown with a representative cursor profile across two nanoholes (Figure 3.2E). The thickness of a densely packed monolayer of OTS on silicon has been reported to range from 2.25 to 2.81 nm depending on the conditions of sample preparation.^{99,100,101} The depth measured for the nanoholes corresponds to incomplete coverage for a monolayer film of OTS.

3.3.2 Influence of solvents for CMPS self-assembly.

The nanoholes generated in the first portion of the experiments were used to study the growth of polymer nanostructures within discrete confined spaces at the nanometer scale, using CMPS as a model. After making nanoholes within a film of OTS, the samples could then be immersed in a solution of CMPS prepared in one of three selected solvents. By immersing the samples in solutions of selected solvents to react for the same amount of time, differences in growth, including height, width and shape could be characterized as function of the solvent.

Example images of CMPS nanopillars that were prepared in a solution of CMPS in BCH are shown in the top row of Figure 3.3. The bright spots in the topography images (Figure 3.3A) indicate where the CMPS nanostructures protrude from the surface to form nanopillar structures. The nanopillars have grown to completely fill the sites of nanoholes, and are relatively uniform in height and shape. The phase channel provides spatial maps of the two organosilanes that are bound to the surface (Figure 3.3B). The details of the geometry and arrangement of 8 nanopillars are readily distinguished in Figure 3.3B. There is a small amount of nonspecific adsorption of CMPS or contaminants on the OTS surface that is evident in the topography (Figure 3.3A) and phase (Figure 3.3B) images. A cursor profile (Figure 3.3C) reveals that the periodicity of the nanopillar structures corresponds to the 500 nm diameter of the silica spheres used to prepare the surface

mask. The height of the nanostructures measured ~ 17 nm, shown with a representative cursor profile (Figure 3.3C). The height measurement indicates that a multilayer has formed with approximately 20 to 25 molecular layers.

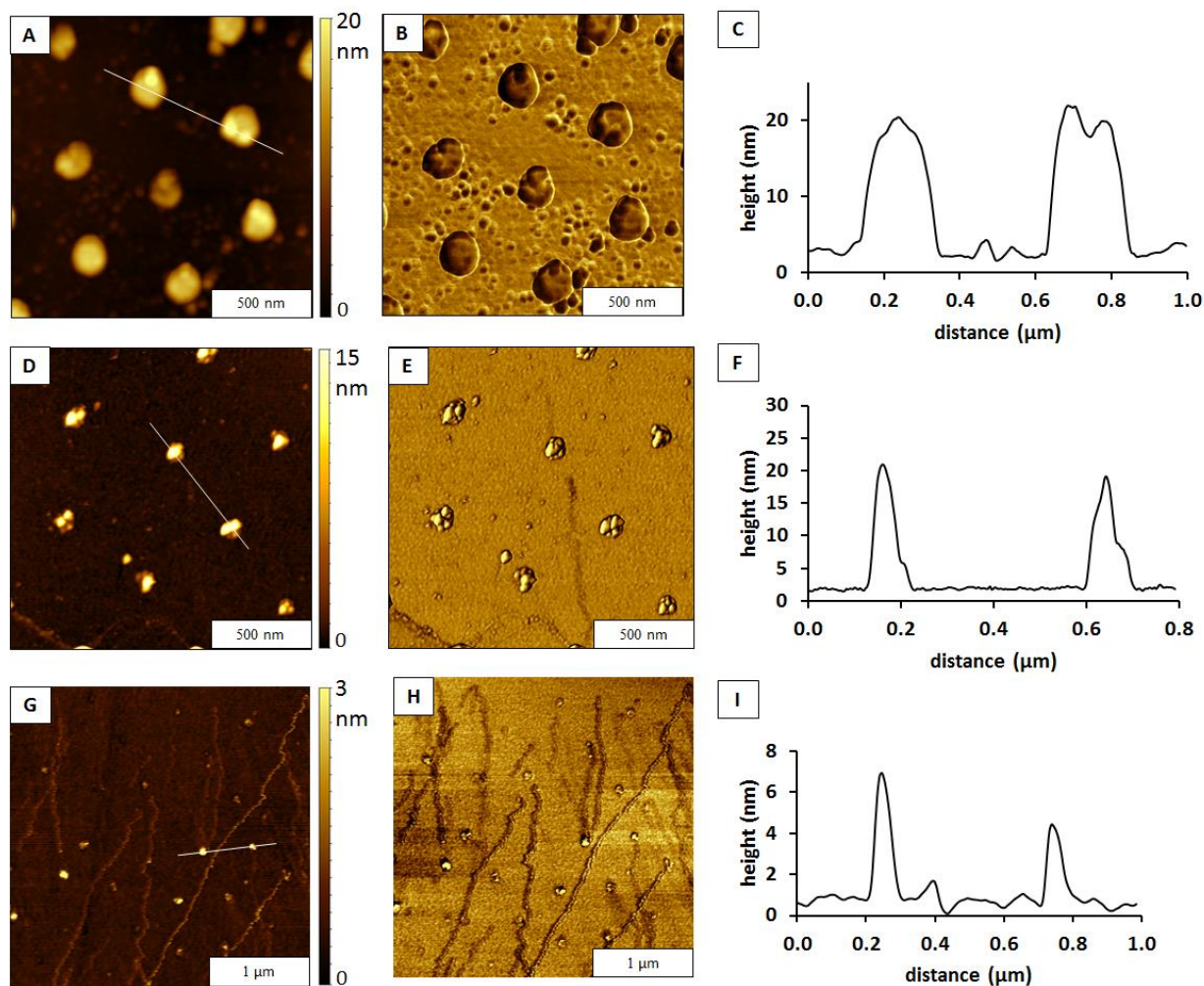


Figure 3.3. Nanopillars of CMPS grown in a solution containing BCH (top row), toluene (middle row) and dichloromethane (bottom row). (A) Magnified topography image, $1.5 \times 1.5 \mu\text{m}^2$; and (B) phase image with (C) corresponding cursor profile for A. (D) Zoom in topograph of nanostructures grown in toluene, $1.5 \times 1.5 \mu\text{m}^2$. (E) Simultaneously acquired phase image and (F) corresponding cursor profile for the line trace in D. (G) Topograph of CMPS nanostructures grown in DCM solution, $3 \times 3 \mu\text{m}^2$; and (H) simultaneously acquired phase image. (I) Cursor profile for line in G.

In a previously reported model the growth of multilayers was attributed to a hydrolysis reaction of the Si–Cl groups.⁷¹ Hydrolysis produces silanols for a cross-linking reaction, to form a polymer network between adjacent molecules with bridging Si-O-Si linkages.

Nanostructures that were grown within nanoholes by immersion in a solution of CMPS in toluene are shown in Figures 3.3D-3F. The bright spots in the topography image are the CMPS nanopillars that formed within the nanoholes (Figure 3.3D). Differences in surface chemistry between the CMPS nanostructures and the surrounding OTS matrix are revealed in the simultaneously acquired phase image (Figure 3.3E). The shapes of the surface structures are more clearly apparent in the phase image, with sensitive detection of the edges of the nanopillars. A clustered morphology is revealed for the CMPS nanopillars, unlike the shapes of the nanostructures formed in BCH (Figures 3.3A-3B). A few trace adsorbates of CMPS have formed on areas of the surface of the OTS matrix film, however most adsorption occurred within the areas of nanoholes. A cursor trace across two nanostructures measured ~24 nm in height (Figure 3F) indicating that a multilayer film was formed.

Images and data from CMPS structures grown in a solution of dichloromethane and CMPS are shown in the bottom row (Figures 3.3G-3I). It appears that CMPS was deposited within the nanoholes of OTS, however the nanodots are smaller and narrower than the previous samples. Several streaks are apparent in the diagonal direction within the background areas of the topography image (Figure 3.3G). The dichloromethane solvent seems to have affected the integrity of the OTS film; the streaks appear to originate from nanoholes where the silica spheres were rinsed away, shown more clearly in Figure 3.3H. The streak areas reveal the tracks of the spheres rolling across the surface when displaced by the steps of rinsing and sonication. The average height of the nanodots measured 2.9 nm ($n = 30$) ranging from 0.8 to 6.6 nm. A representative cursor

profile (Figure 3I) shows nanopillars with a height of 6 nm above the surrounding OTS matrix film.

The parameters of the concentration of the CMPS, temperature (ambient) and the immersion time were held constant during sample preparation for the three samples of Figure 3.3. The immersion steps for BCH, toluene, and DCM were conducted on the same day and all images were acquired with the same AFM tip to minimize variability in experimental conditions.

A side-by-side comparison of CMPS nanostructures grown in either BCH (Figure 3.4A), toluene (Figure 3.4B), or DCM (Figure 3.4C) is shown in Figure 3.4 to elucidate the differences in morphology according to the solvent chosen for the immersion step of preparing nanopatterns. The CMPS nanostructures grown in BCH and toluene are taller than the nanostructures that were grown in DCM indicating that the nature of the solvent affects the growth and self-polymerization of surface structures. The largest nanopillars were observed for the samples prepared in BCH, which is a nonpolar molecule that contains a bridged pair of saturated cyclohexane rings. For samples grown in toluene, the nanostructures were smaller in width than those prepared in BCH however the heights were comparable in dimension. Toluene has an aromatic benzene ring with a methyl substituent. The methyl group of toluene can react in substitution reactions, and is susceptible to oxidation. With these considerations, toluene is more likely to interact with CMPS than BCH during polymerization. The chemical nature of DCM definitely inhibited the growth of CMPS nanostructures. The density of DCM (1.322 g/mL) is much greater than the density for BCH (0.864 g/mL), toluene (0.87 g/mL) and water (1 g/ml). As a chlorinated hydrocarbon, the chloro- group of DCM can interact with the chloro- groups of CMPS during polymerization to slow the growth of surface structures.

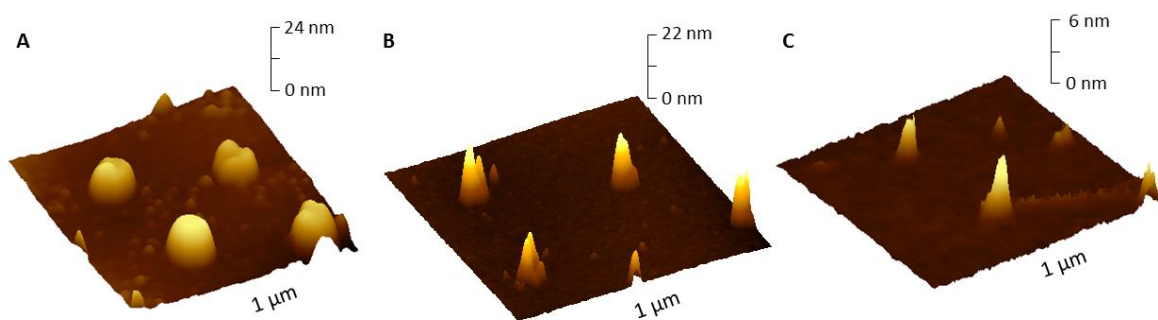


Figure 3.4. Surface structures of CMPS grown in selected solvents inside nanoholes of an OTS film. Topography views of growth in (A) bicyclohexyl; (B) toluene; and (C) dichloromethane.

The range in height and width of the CMPS nanostructures is shown in Figure 3.5. For the height measurements (Figure 3.5A) it is evident that DCM has the greatest variability and also the smallest dimensions, the average height measured 2.9 ± 1.8 nm ($n = 30$). The average height of the nanostructures grown in BCH measured 17 ± 2.5 nm ($n = 42$), ranging from 11 to 26 nm in thickness. Similar trends are observed for the width dimensions of the nanostructures (Figure 3.5B). The CMPS nanostructures produced in BCH were larger in width than CMPS nanostructures grown in the other two solvents. A smaller variation in the size range was measured for the width of CMPS nanopatterns prepared in BCH.

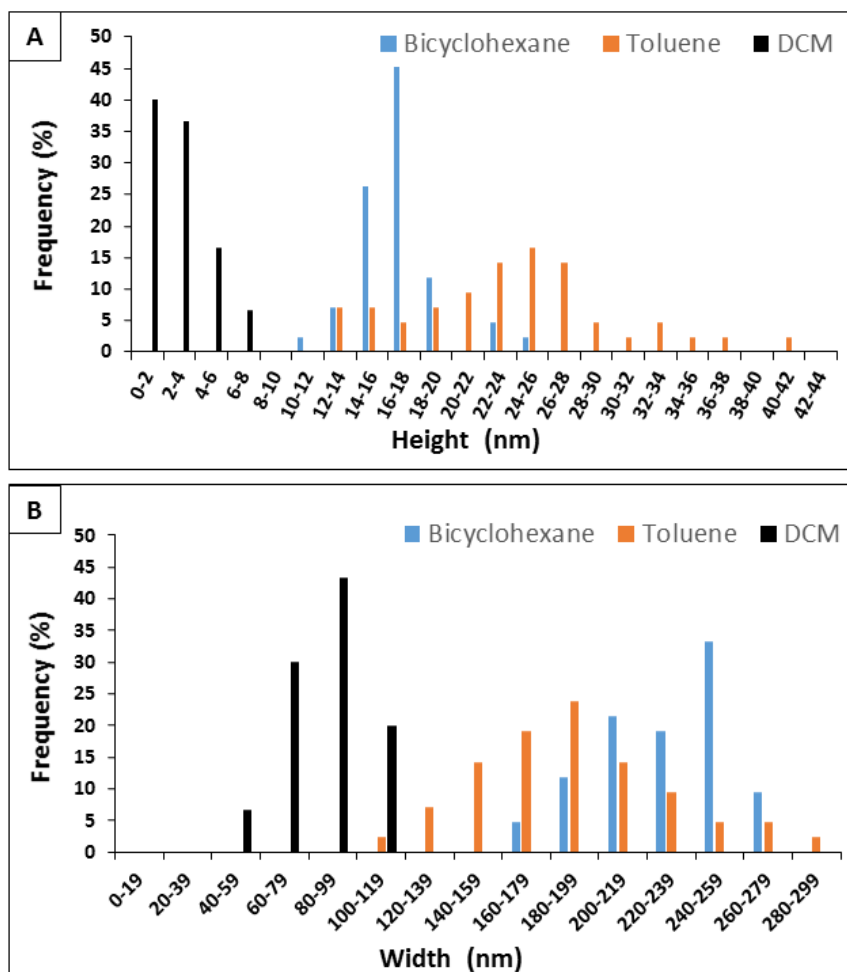


Figure 3.5. Size distribution of (A) height and (B) width measurements for CMPS nanostructures that were prepared in selected solvents.

Results of *ex situ* AFM experiments indicate that the binding and growth of CMPS is highly sensitive to the nature of the solvent media. Nanostructures of CMPS exhibited more growth in toluene and BCH than in DCM. A statistical comparison of the nanoscale dimensions of the nanopillars of CMPS is summarized in Table 3.1, which reveals that multiple layers of molecules were grown regardless of the solvent used to prepare samples. The nanostructures grown in BCH showed a more uniform morphology, compared to those grown in toluene which had greater variance in height, width and overall shape. For successful preparation of CMPS nanostructures,

BCH and DCM were both effective for ensuring surface growth, however DCM is not a suitable solvent for this application.

Table 3.1. Comparison of the dimensions of CMPS nanostructures

Sample	Average Height (nm)	RSD %	Range (nm)
bicyclohexyl	17 ± 2	15	11 - 26
toluene	24 ± 6	26	12 - 42
dichloromethane	3 ± 2	62	0.8 - 6.6

Sample	Average Width (nm)	RSD %	Range (nm)
bicyclohexyl	220 ± 30	14	160 - 270
toluene	190 ± 40	21	110 - 280
dichloromethane	80 ± 20	25	50 - 110

3.3.3 Growth of CMPS nanostructures at selected temperatures.

Nanostructures of CMPS were grown at selected temperatures for 30 min in toluene, using similar parameters of concentration. Samples were prepared on the same day and results were acquired using the same AFM tip. A surface mask of silica spheres (500 nm) was used to prepare a platform of OTS nanoholes to direct the deposition and growth of CMPS to defined sites of Si(111). The CMPS nanostructures were characterized with high resolution AFM to directly compare morphology differences for samples prepared at each temperature.

The CMPS nanostructures in Figure 3.6 were acquired from samples prepared at -6 °C, 4 °C and 20 °C. The top row of images (Figures 3.6A-6C) shows representative AFM images of CMPS nanostructures that were grown at -6 °C. The general morphology is similar to the previous images in Figure 3.3D of CMPS nanostructures that were also formed in a solution of toluene. A hexagonal arrangement of CMPS nanopatterns is viewed in Figure 6A. Adsorbates of CMPS have deposited within the nanoholes of OTS of the surface template, with a few areas of non-specific

binding. The shapes and clustered morphology of the nanostructures is more clearly apparent in the simultaneously acquired phase image (Figure 3.6B). The distance between each nanostructure matches the diameter of the silica mesospheres that were used as a surface mask to generate the nanopatterns. The average height measured 11 ± 5 nm ($n = 45$) for nanostructures that were grown at -6 °C, a representative cursor profile is presented in Figure 3.6C.

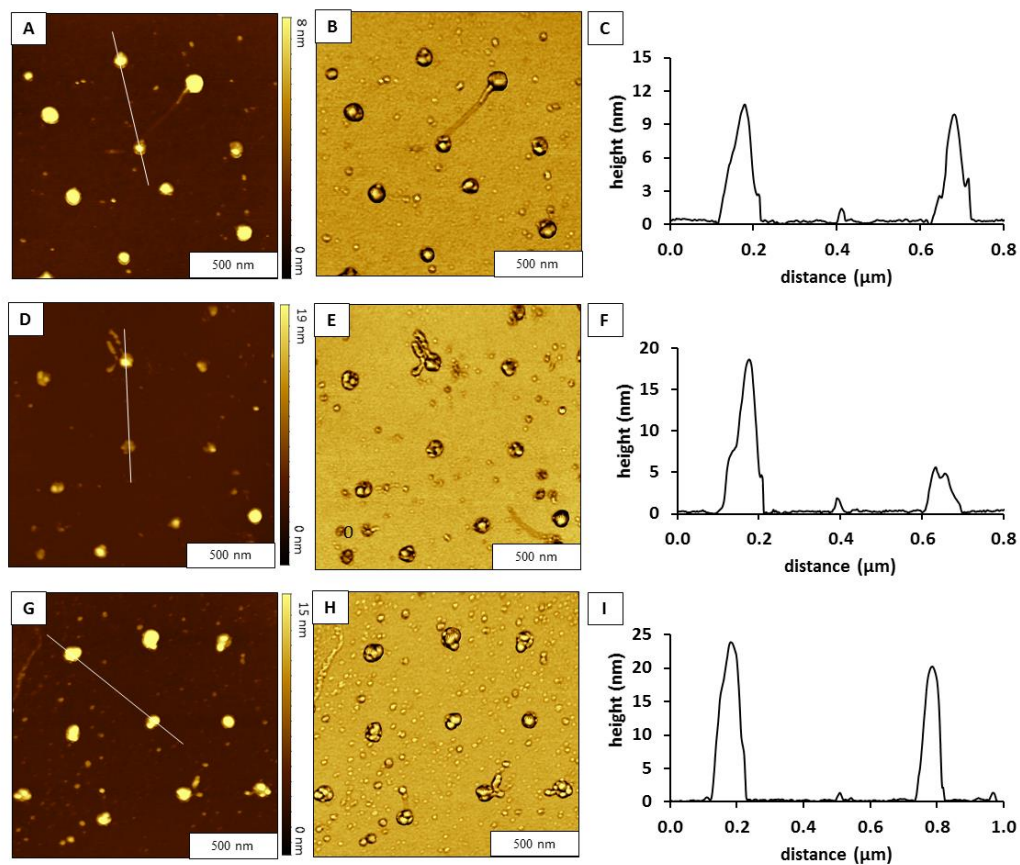


Figure 3.6. Nanopillars of CMPS grown in toluene at selected temperatures. AFM results for samples grown at -6 °C: (A) topography image, $1.5 \times 1.5 \mu\text{m}^2$; (B) simultaneously acquired phase image; and (C) cursor profile for the line drawn in A. Data for samples grown at 4 °C: (D) topography image; (E) corresponding phase image and (F) cursor profile for the line in D. Results for CMPS nanopillars grown in solution at 20 °C: (G) topography frame (H) phase image (I) and cursor profile for the line in G.

Example results for CMPS nanostructures formed on a silicon substrate at 4 °C that were grown for 30 min in toluene are presented in the middle row of Figure 3.6. A periodic arrangement

of nanostructures is revealed in the topography frame (Figure 3.6D) and corresponding phase image (Figure 3.6E). Comparing the morphology to the samples prepared at -6 °C (Figures 3.6A-6B), the morphology of the nanostructures are quite similar. There are a few nanostructures with linear polymer branches that can be detected in the phase images. The average height of CMPS nanostructures grown at 4 °C measured 16 ± 9 nm ($n = 75$) above the matrix film of OTS. An example cursor height measurement for two nanostructures is shown in Figure 3.6F, revealing that there is some variation in sizes for this sample at the nanoscale.

Images of CMPS nanostructures acquired from a sample that was immersed in a solution of toluene at room temperature (20 °C) for 30 min are shown in the bottom row of Figure 3.6. The arrangement of CMPS nanostructures is shown in the topography frame of Figure 3.6G revealing relatively similar heights and morphology. The shapes of the clusters within the nanoholes are visible in the corresponding phase image of Figure 3.6H. An example cursor profile (Figure 3.6I) shows the height of two CMPS nanostructures. For samples prepared at 20 °C the CMPS average size of CMPS nanostructures measured 19 ± 5 nm in height ($n = 45$) above the OTS matrix film.

The distribution of height measurements for CMPS nanostructures is shown in Figure 7 to compare samples that were immersed in solutions at selected temperatures. At lower temperatures the heights are smaller than those grown at higher temperature. The CMPS nanostructures grown in solution at 4 °C resulted in structures with heights spanning from 4 nm to 43 nm, with most nanopatterns measuring 13 nm. The range of measured dimensions is partly attributable to variations in the sizes of the nanoholes within the OTS matrix, as well as differences in trace amounts of water dissolved in the solvent. Two processes contribute to the size of CMPS nanostructures, the surface self-assembly of CMPS on Si(111), as well as the subsequent growth of multilayer structures through self-polymerization. Comparing the average values for height

measurements for the three chosen temperatures, distinct differences in growth were measured at the nanoscale. We would expect that slower reaction rates at lower temperatures would produce smaller CMPS nanostructures. Accordingly, the tallest nanostructures were observed when the immersion temperature was increased to 20 °C and smaller heights were measured at colder temperatures.

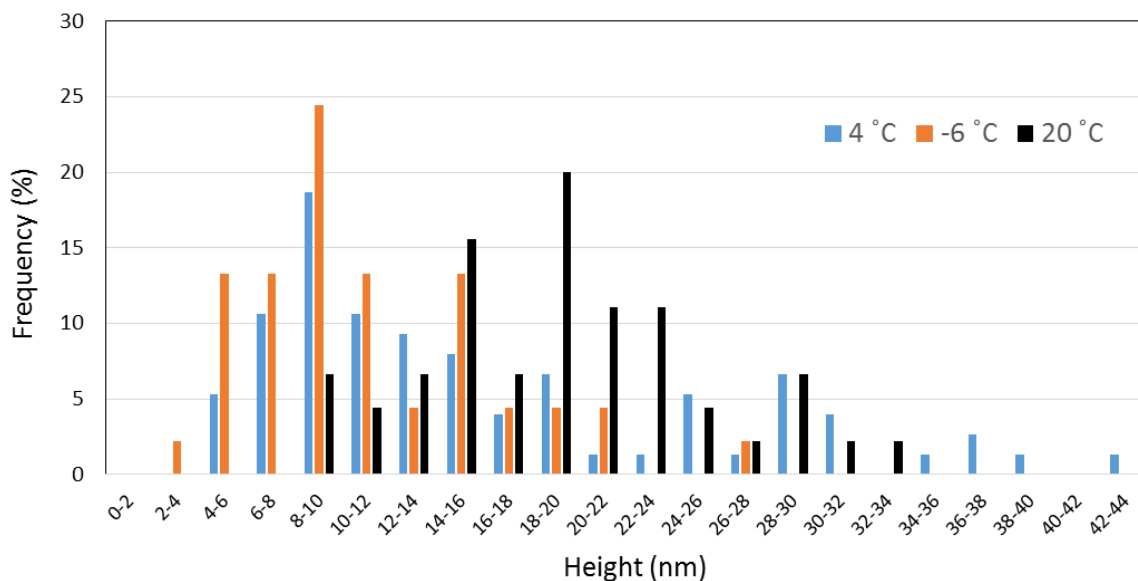


Figure 3.7. Size distribution of the height measurements for nanopillars grown at selected temperatures.

A side-by-side comparison of representative images of CMPS nanostructures grown in solution at selected temperatures is shown in Figure 3.8. The periodic arrangement and reproducible shapes of the multilayered nanostructures can be distinguished from the $1 \times 1 \mu\text{m}^2$ topography frames. There are four to six CMPS nanostructures within each frame with the adsorption of CMPS mostly confined within nanoholes of the OTS matrix film.

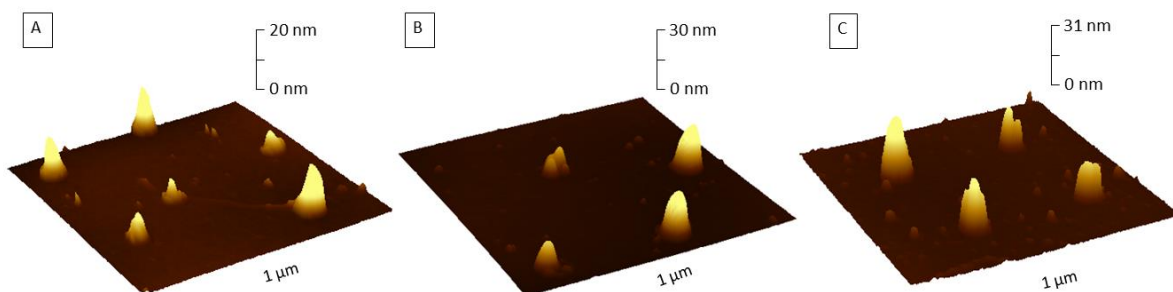


Figure 3.8. Comparison of nanopillars grown at selected temperatures. Topography images of CMPS nanostructures grown in solution at: (A) -6 °C; (B) 4 °C; and (C) 20 °C.

Experiments were designed to evaluate the growth and surface changes for CMPS nanostructures at the molecular level as a function of solvent and temperature parameters. By studying changes to isolated, well-defined nanostructures we can gain insight into the mechanisms of surface assembly and growth. The first step of forming nanostructures is the assembly of CMPS inside the areas of nanoholes within OTS. Exposed areas of Si(111) provide confined sites for surface assembly to form Si-O-Si bonds to the substrate. The second step is the growth in both vertical and lateral directions through the self-polymerization of CMPS. From previous studies it has been proposed that multilayers form from extensive networks of Si-O bonds that result in either a tilted or horizontal stacking of CMPS molecules through π - π interactions.⁹⁴ Cross-linking between molecules will produce a network to form multilayer nanostructures. The influence of temperature for the reaction is to affect the rate of surface assembly and growth, revealing that smaller nanostructures formed at colder temperatures due to slower assembly and growth.

3.4 Conclusions

The designed experiments were used to investigate the self-polymerization of CMPS in solutions of selected solvents and temperatures. Particle lithography with an immersion step was applied to generate a test platform of nanoholes surrounded by a matrix film of OTS to serve as

sites for the subsequent growth of CMPS nanostructures. The nanoholes within OTS provided well-defined containers for studying the surface self-assembly and growth of CMPS. The surface assembly of CMPS was studied *ex situ* with AFM for samples prepared under selected conditions of solvent and temperature. As the solvent used for immersion of samples was changed the resulting heights and widths of surface structures exhibited differences in dimensions, depending on the polarity and density of the media. As the temperature was increased from $-6\text{ }^{\circ}\text{C}$ to room temperature the heights of nanostructures increased due to changes in the reaction rate of CMPS binding. Nanostructures consist of multiple layers of CMPS formed by self-polymerization. By changing the solvent in which CMPS was deposited we were able to detect morphology changes at the molecular level for nanostructures. Changing the temperature of the immersion step was found to influence the growth of CMPS, with colder temperatures forming smaller nanostructures.

CHAPTER 4. HETEROSTRUCTURES OF 4-(CHLOROMETHYL)PHENYLTRICHLOROSILANE AND 5,10,15,20-TETRA(4-PYRIDYL)-21*H*,23*H*-PORPHINE PREPARED ON SI(111) USING PARTICLE LITHOGRAPHY: NANOSCALE CHARACTERIZATION OF THE MAIN STEPS OF NANOPATTERNING

4.1 Introduction

The properties of porphyrins change inherently as a result of differences in macromolecular substituents, surface bonding mechanisms, surface orientation and coordinated metals.¹⁰² The mechanisms by which porphyrins self-assemble on surfaces is complicated and is an area of active investigation.¹⁰³⁻¹⁰⁷ The dynamics and advantages of supramolecular compounds of porphyrins within devices and in fabricated materials are relevant for molecular studies.¹⁰⁸⁻¹⁰⁹ Properties of supramolecular films with porphyrins can be investigated with approaches such as non-linear optics¹¹⁰, catalysis¹¹¹ and electronic measurements.^{1, 112-113}

Investigations of porphyrins at interfaces have focused on elucidation of magnetic, photonic and electronic properties as well as the manner in which the molecules assemble on a surface. The adsorption of free-base tetraphenylporphyrin on Cu(111) was studied with scanning tunneling microscopy (STM) to evaluate the surface conformation and molecular geometry.¹¹⁴ Individual molecules of nonplanar freebase and copper-metallated tetraphenyl porphyrins adsorbed on Cu(111) were investigated using frequency modulated noncontact AFM to resolve subtle differences in structure and conformation.¹¹⁵ The submolecular structure of cobalt and copper phthalocyanines on gold substrates were resolved with STM by Lu et al.¹¹⁶ The differences in central metals was resolved for a mixed sample. The molecular orientation and molecular switching properties of a triple-decker sandwich complex of phthalocyanine compounds prepared on graphite was studied using STM by Lei et al.¹¹⁷

A method of photocatalytic lithography was reported for making porphyrin surface structures that were applied for preparing protein arrays.¹¹⁸⁻¹¹⁹ The assembly of porphyrins at

interfaces has been studied using layer-by-layer assembly that incorporates organosilane or organothiols monolayers to functionalize a surface to form multilayer films.¹⁰³⁻¹⁰⁴ Dip-pen nanolithography was applied to pattern porphyrines onto a polycrystalline gold surface to align horizontally or vertically with a surface orientation defined by the substituents.¹²⁰ The self-assembly of manganese *meso*-tetra(4-pyridyl)porphyrin on Cu(111) was studied using low temperature scanning tunneling microscopy (STM) and atomic force microscopy (AFM) to resolve molecular structures by Chen et al.¹²¹ A functionalized phthalocyaninato-polysiloxane was studied with STM on surfaces of highly oriented pyrolytic graphite (HOPG) by Samori et al.¹²² Photoelectronic devices of porphyrin polymers containing oligothieryl bridges were prepared as microscopic junction chips and as layered diodes by Shimadzu et al.¹²³ Multiporphyrin assemblies have been proposed for molecular photonic devices due to the versatile physical properties.¹²⁴

Particle lithography is a patterning method that uses a surface mask of colloidal spheres to direct the deposition of molecules or other nanomaterials on surfaces. Particle lithography provides a way to produce millions of nanostructures with reproducible shapes, sizes and arrangements with organic thin films.^{97, 125} Particle lithography is also commonly referred to as nanosphere lithography (NSL)¹²⁶ and has been used to generate patterns of organic polymers,^{44, 84, 95, 97, 127} nanoparticles^{91, 128-130} and inorganic materials.⁹³

Experimental parameters such as the environmental conditions and solvent choice affect the density of organosilane thin films.¹³¹⁻¹³² A model was proposed for the self-assembly of CMPS nanostructures formed within areas of nanoholes which subsequently grew to form multiple layers of CMPS through self-polymerization.^{94, 131} In a recent report, we have shown that changes in the parameters of temperature and solvent affect the growth of CMPS nanostructures prepared within a matrix film of organosilanes prepared with particle lithography.¹³³

In this investigation, the assembly and mode of growth for attaching 5,10,15,20-tetra(4-pyridyl)-21*H*,23*H*-porphine (H₂TPyP) was studied as a model for binding porphyrins to 4-(chloromethyl)phenyltrichlorosilane (CMPS) nanostructures within a matrix film of octadecyltrichlorosilane (OTS). Multilayer structures of CMPS provide sites with benzyl halide for linking porphyrins to the surface at both the top as well as at the sides of nanopatterns. Particle lithography with successive steps of immersion reactions were used to prepare reactive surface sites to generate multicomponent nanostructures of porphyrins and organosilanes. With *ex situ* steps of particle lithography, the successive addition of molecules through chemical reactions in solution can be evaluated by measuring changes in the heights and morphology of nanostructures. Using high-resolution AFM, surface changes can be subsequently characterized *ex situ* after each key step of the fabrication process.

4.2 Results and Discussion

An overview of the main steps for preparing nanostructures of H₂TPyP within nanoholes of OTS is presented in Figure 4.1. The growth of nanopatterns and subsequent changes in surface morphology were characterized after each key step of sample preparation. A surface platform of nanoholes was generated in the first step by depositing silica spheres on a silicon substrate (Figure 4.1a). The masked surface was then immersed in a solution of OTS to form a methyl-terminated matrix film in between the silica spheres of the surface mask. The spheres were then removed with a washing step to produce a hexagonal arrangement of nanoholes of within OTS (Figure 4.1b). Samples with nanoholes within OTS were then placed in a solution of CMPS and either toluene or bicyclohexyl (BCH) for a selected amount of time to generate nanodots of CMPS (Figure 4.1c). Nanodots of CMPS formed selectively within the confined sites of nanoholes. The samples containing the CMPS nanodots were then refluxed in a solution of H₂TPyP in ethanol and

chloroform for 48 h to attach porphyrins (Figure 4.1d). Atomic force microscopy was used to characterize the resulting nanostructures after each step of the fabrication procedure. The attachment of the H₂TPyP was confirmed by measuring changes in the width and height of nanostructures.

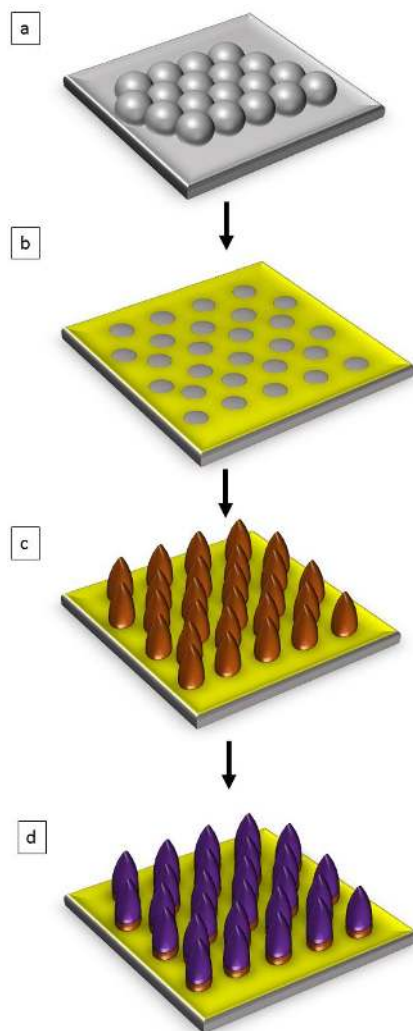


Figure 4.1: Steps for preparing nanopatterned CMPS-porphyrin heterostructures: (a) monodisperse silica spheres were deposited on Si(111) to form a surface mask for particle lithography. (b) After immersion in OTS solution, the microspheres were rinsed away to reveal nanoholes of OTS. (c) With a second immersion reaction, nanodots of CMPS were produced. (d) Reaction with porphyrin produced taller heterostructures with spatial selectivity for the sites of CMPS nanodots.

4.2.1 Surface platform of nanoholes within a thin film of OTS on Si(111)

Particle lithography with an immersion step was used to prepare nanoholes within a film of OTS. A topographic view of the nanoholes is shown in Figure 4.2a, with the simultaneously acquired phase image (Figure 4.2b). The *ex situ* images were acquired with tapping-mode AFM in air. The topograph reveals dark nanoholes within a surrounding OTS matrix (bright areas). The nanoholes formed a periodic arrangement throughout broad areas of microns. The distance between each nanohole corresponds to the 500 nm diameter of the Si spheres used to form a surface mask. The sites of nanoholes indicate the points of contact between the surface and the base of the Si spheres. The spheres protect small local areas from assembly of OTS. There are ~40 nanoholes in Figure 4.2a, which scales to a surface density of 10^8 nanoholes per cm^2 . Differences in tip-sample interactions are observed between the darker exposed nanoholes of Si(111) and the surrounding areas of the OTS matrix which are brighter, as revealed in the phase image presented in Figure 4.2b. The surface map of phase changes indicate the changes in the viscoelastic response that occurs between the tip and sample showing distinct differences in the interfacial chemistry of the uncovered silicon surface within the nanoholes versus the surrounding OTS matrix.

A closer look at the hexagonal arrangement of nanoholes is presented in Figure 4.2c and 4.2d. A few bright spots on the areas of OTS reveal trace contaminants that were not rinsed from the sample. The uniform color contrast observed in the phase image (Figure 4.2d) indicates that the nanoholes do not contain OTS. The approximate surface coverage of the OTS film measured 97%. The average thickness of the OTS monolayer was measured to be 0.7 nm. The measurements indicate submonolayer surface coverage relative to the ideal height (2.6 nm) of a densely packed OTS monolayer.^{54,97}

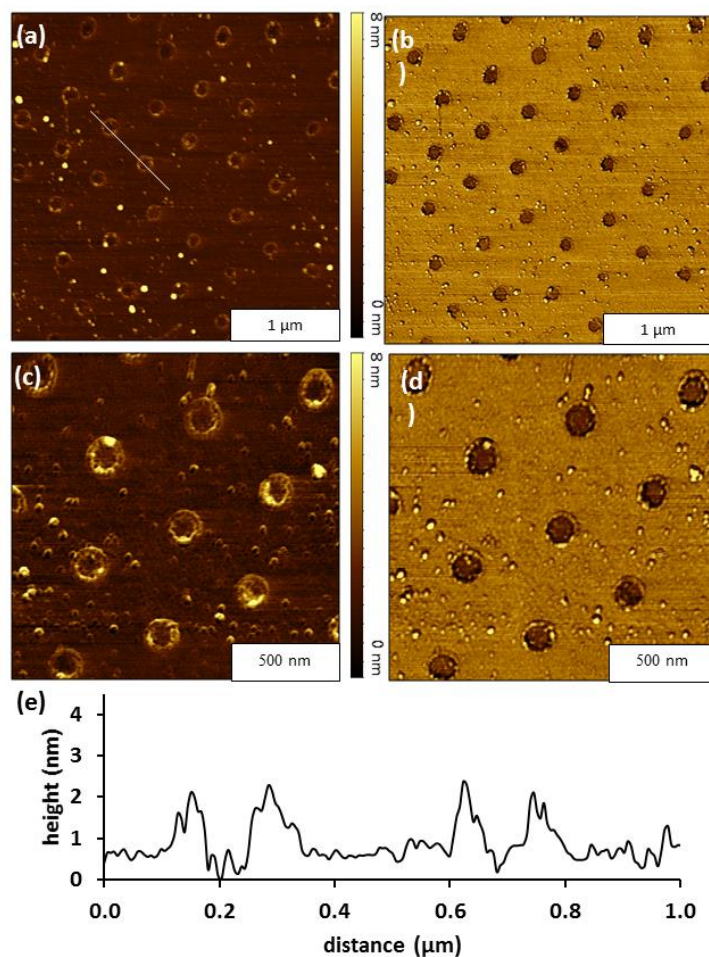


Figure 4.2: Nanoholes within a thin film of OTS. (a) Topography frame, $3 \times 3 \mu\text{m}^2$; and simultaneously acquired (b) phase image. (c) Zoom-in topograph of nanoholes and corresponding (d) phase image. (e) Cursor profile for the line in *c*.

The nanoholes within OTS that were generated with particle lithography will serve as sites for further reactions with CMPS and H_2TPyP to produce multicomponent nanostructures. Methyl-terminated OTS was chosen to passivate the silicon surface and to serve as a resist layer to accomplish spatial selectivity for surface reactions. The uncovered sites of Si(111) within the nanoholes contain hydroxyl groups for binding organosilanes such as CMPS.

4.2.2 Preparation of CMPS nanodots

The samples with nanoholes of Si(111) within an OTS resist were immersed in a solution of CMPS to generate nanodots as reactive sites for further reaction. An example of the results for preparing nanodots of CMPS is shown in Figure 4.3. Nanodots grown in a solution of CMPS in BCH at 20 °C are shown in Figure 4.3a. The bright spots in Figure 4.3a are taller than the surrounding OTS matrix. There are about 35 CMPS nanodots visible in the $3 \times 3 \mu\text{m}^2$ topography image in Figure 4.3a, which matches the surface density of OTS nanoholes. A ball-and-stick model of a CMPS molecule indicates a length of 0.75 nm in Figure 4.3b.¹³² A close-up view of three nanodots are shown in zoom-in topography and phase images in Figures 4.3c and 4.3d. The heights and sizes of the nanodots are quite similar, without nonspecific attachment of contaminants in surrounding areas of the OTS resist film. There is a dark outline surrounding the nanodots that is apparent in the phase image (Figure 4.3d) which is attributable to differences in tip-surface response at the edges of the features versus the center areas of the nanostructures. The cursor profile in Figure 4.3e profiles the topography of two individual CMPS nanostructures that are shown in Figure 4.3c. The heights of the CMPS nanostructures traced in Figure 4.3e closely correspond to the average heights measured for the CMPS nanodots formed under these reaction conditions. The height of the nanostructures measured $16 \pm 3 \text{ nm}$ ($n = 35$), not including the depth of the nanoholes. The center- to-center spacing of each nanostructure measures 500 nm which matches to the diameter of the original surface mask of Si spheres. The areas with CMPS have self-polymerized to form multilayer nanostructures. The OTS resist confines the multilayer polymerization of CMPS to form within the exposed nanoholes of silicon (111). The spatial confinement promoted the vertical growth of CMPS layers, which was produced by cross-linking to form siloxane bonds.

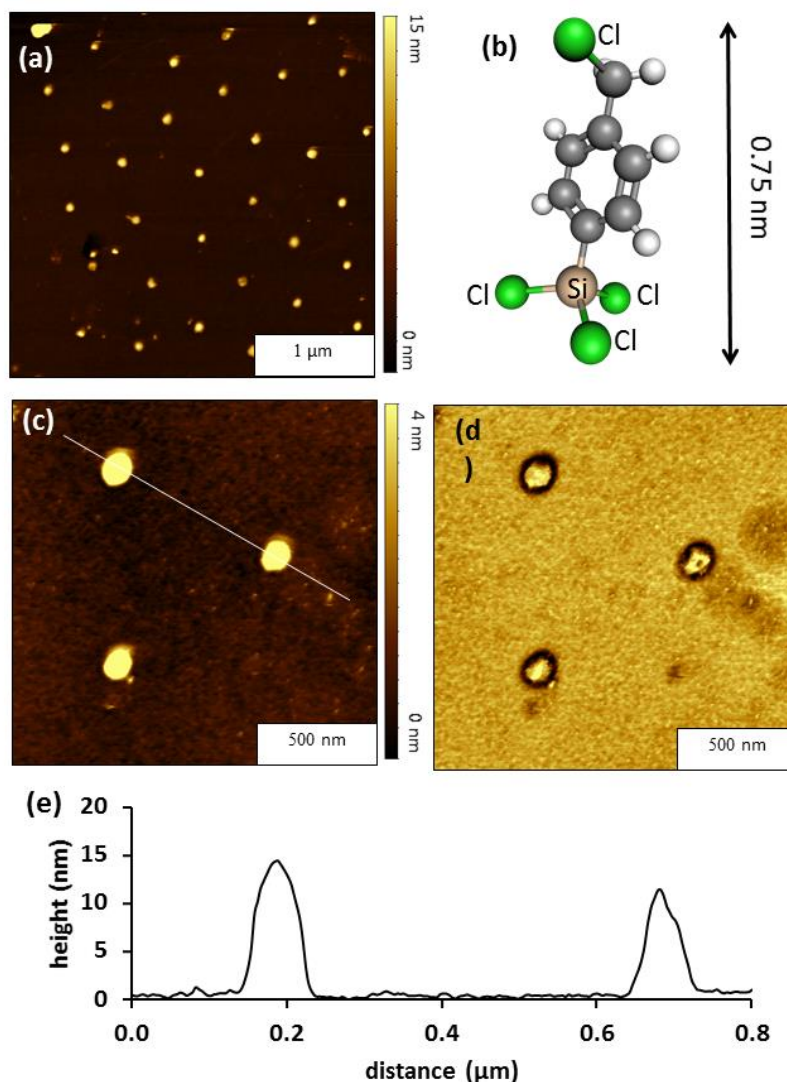


Figure 4.3: Nanodots of CMPS grown in a solution of BCH. (a) Topography image, $3 \times 3 \mu\text{m}^2$; (b) structural model of CMPS. (c) High resolution ($1.5 \times 1.5 \mu\text{m}^2$) topography view of CMPS nanodots; (d) corresponding phase image. (e) Cursor profile for the line in *c*.

4.2.3 Spatial selectivity the for the preparation of heterostructures of CMPS and H₂TPyP

Heterostructures of CMPS-porphyrin were generated by reacting nanopatterned substrates with CMPS nanodots in a solution of H₂TPyP for 48 h at 100 °C (Figure 4.4). Characterizations with AFM were used to evaluate if H₂TPyP bound selectively to the top of the patterns in a vertical growth process, or if the structures also became taller due to horizontal growth through binding at the sides of the nanodots. The surface placement of 45 CMPS-porphyrin nanostructures are shown

within the $4 \times 4 \mu\text{m}^2$ area of the topography image of Figure 4.4a. The hexagonal arrangement of nanopatterns is maintained with center-to-center spacing between nanostructures measuring 500 nm, as revealed in the close-up topography and phase views (Figure 4.4b and 4.4c). There is little nonspecific binding on the OTS matrix areas between the nanostructures, as shown in the phase map of Figure 4.4c. An example cursor profile that was traced across two heterostructures indicates the heights of the heterostructures range from 30 to 40 nm (Figure 4.4d). The average height of the CMPS-porphyrin heterostructures measured $24 \pm 6 \text{ nm}$ ($n = 35$), this is an increase of $\sim 8 \text{ nm}$ from the size of the CMPS nanodots.

The nanostructures of CMPS also showed growth in lateral dimensions after the addition of the porphyrin. A comparison of the nanostructure surface coverage was conducted to evaluate lateral growth of the nanostructures before and after porphyrin addition. The percentage surface coverage of the nanostructures was measured from multiple sites on each of the surfaces (before and after porphyrin addition). The average surface coverage of the CMPS nanostructures was 2.3% of the surface. After porphyrin addition, the surface coverage increased to 4.6%. The difference in surface coverage was determined to be statistically significant at a 98% confidence level. The change in surface coverage is evidence of growth in the lateral dimensions of the CMPS-porphyrin nanostructures and confirms that porphyrin has attached to the CMPS nanodots.

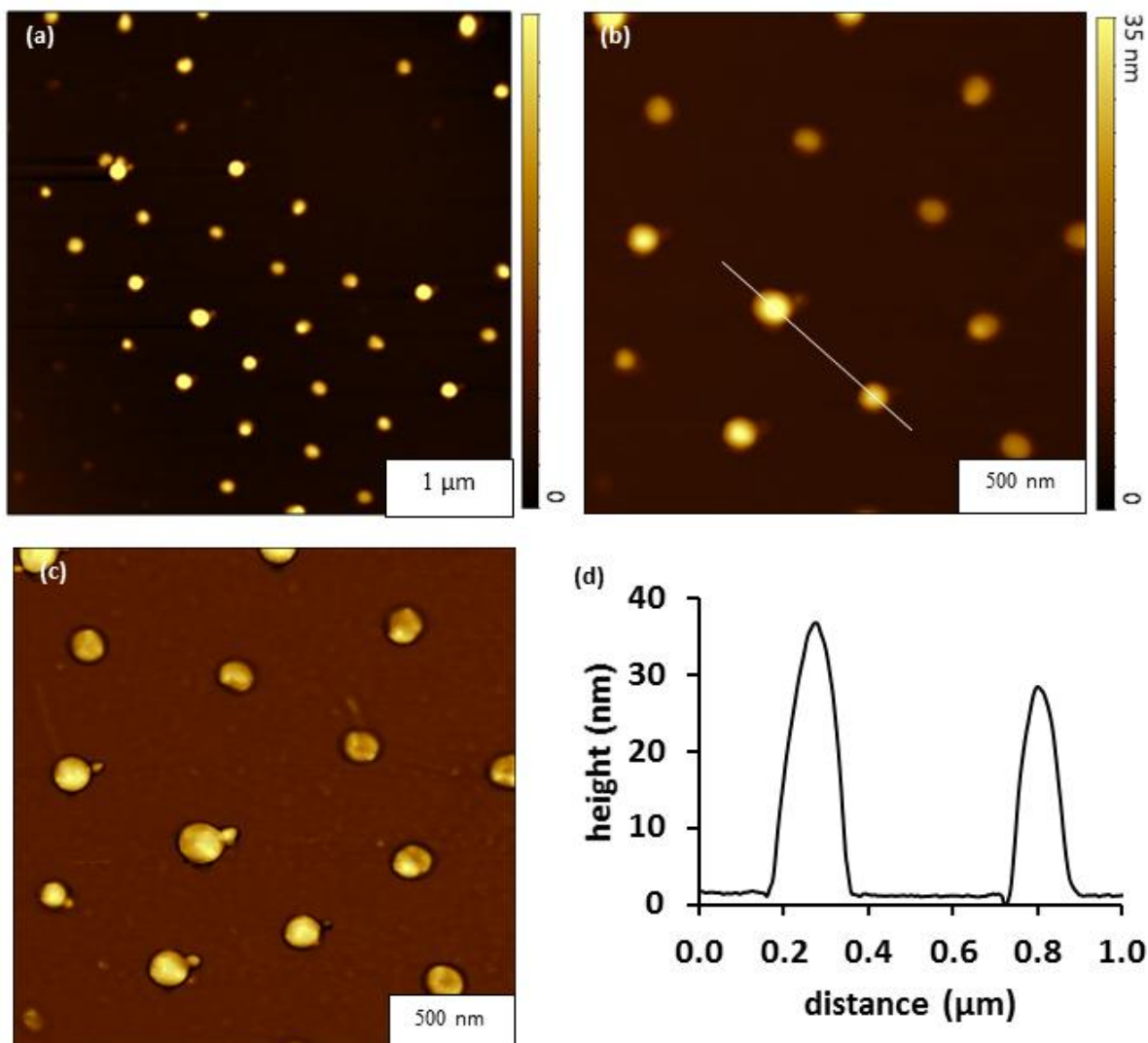


Figure 4.4: Heterostructures comprised of CMPS and porphyrin. (a) Topography image ($4 \times 4 \mu\text{m}^2$) of porphyrin nanostructures grown on CMPS nanodots. (b) Zoom-in topography view of porphyrin heterostructures; (c) simultaneously acquired phase image; (d) cursor profile for the line in *b*.

4.2.4 Proposed model for constructing heterostructures of CMPS and H_2TPyP

The reaction for producing CMPS nanodots is driven by hydrolysis and condensation reactions that promote the vertical growth of the CMPS nanodots through crosslinking siloxane bonds.¹³³ We did not observe evidence of branching or growth in lateral dimensions for CMPS nanodots, the growth was directed in the vertical direction to create taller nanostructures.

However, the nanodot structures became taller and wider after reaction with H₂TPyP. A possible model of how growth of H₂TPyP occurs in both vertical and horizontal directions is presented in Figure 4.5. Nitrogen containing pyridal groups that are substituents of the porphyrin act as an electrophile in the nucleophilic replacement of the benzyl halide that is exposed at the outer regions of the CMPS nanostructure. Previous studies without nanopatterning steps investigated the application of CMPS as a coupling layer for the addition of H₂TPyP to produce a porphyrin thin film¹⁰³⁻¹⁰⁴. Multilayer films are stabilized by siloxane bonds that form the backbone of the CMPS linker, as well as by weaker pi-pi interactions between the benzene rings of CMPS and the porphyrin macrocycles.

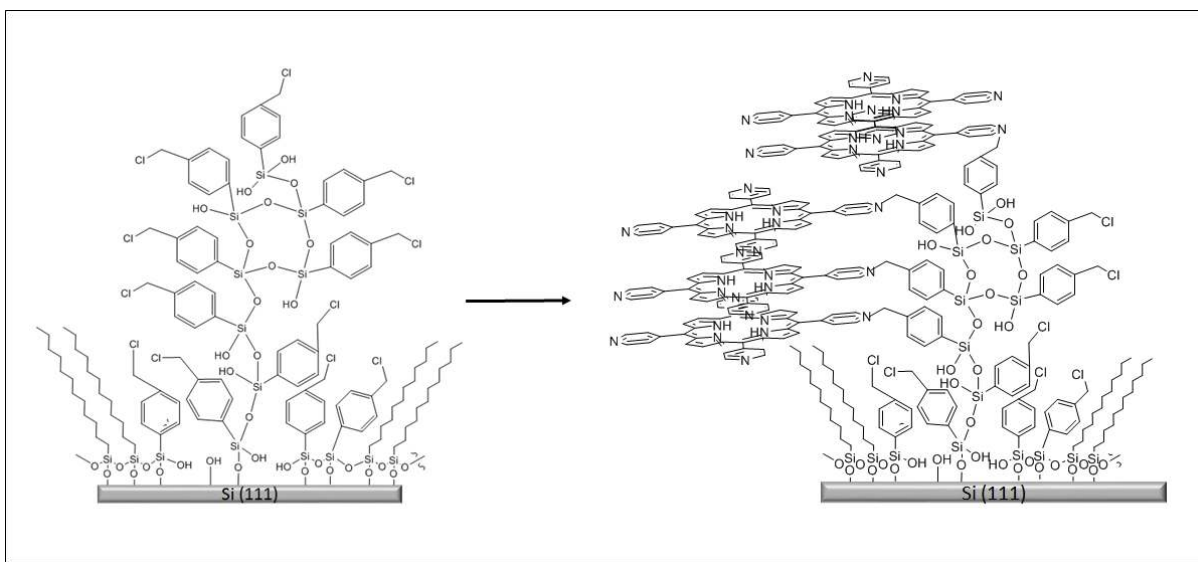


Figure 4.5: Model for the self-assembly of CMPS-porphyrin heterostructures within an OTS matrix layer.

4.3 Conclusion

Particle lithography was applied to generate nanopatterns to determine the surface placement of porphyrin-CMPS heterostructures. Nanoholes were used to spatially direct the fabrication of complex nanostructures on Si(111) using multiple steps of immersion reactions

combined with particle lithography. Periodic arrangements of heterostructures of CMPS-H₂TPyP heterostructures were generated through a multistep layer-by-layer assembly process. A film of methyl-terminated OTS provided an effective resist for preventing nonspecific adsorption or reactions on areas between nanopatterns during successive chemical steps. Nanodots of CMPS were used as a linker for binding porphyrins to the surface. The changes in surface morphology were examined after each step using *ex situ* AFM studies. A model was proposed for attachment of H₂TPyP to CMPS nanodots with growth observed in both the vertical and lateral directions. Particle lithography provides a practical tool for evaluating surface growth and changes for multistep chemical reactions.

4.4 Experimental Section

4.4.1 Materials and reagents

The porphyrin selected, 5,10,15,20-Tetra(4-pyridyl)-21*H*,23*H*-porphine (H₂TPyP) (97%) was obtained from Sigma Aldrich (St. Louis, MO). Anhydrous ethanol (200 proof) was purchased from Pharmco-Aaper (Shelbyville, KY). Chloroform, (HPLC grade) was obtained from Avantor Performance Materials (Center Valley, PA). Octadecyltrichlorosilane (97%) and (p-chloromethyl)phenyltrichlorosilane (95%) were purchased from Gelest (Morrisville, PA).

4.4.2 Preparation of OTS nanoholes within an OTS matrix film

Particle lithography was used to prepare nanoholes within a thin film of OTS on Si(111). Silicon wafers (Ted Pella Inc. Redding, California) were rinsed with water and cleaned in piranha solution (3:1 sulfuric acid to hydrogen peroxide) for 1.5 h to remove surface contaminants. Caution: this solution is highly corrosive and should be handled carefully. The substrates were then rinsed with ultrapure water and dried under nitrogen. After drying, 10 μ L of monodisperse silica microspheres in water was deposited on the clean silicon substrates and dried in air to

produce a surface film of Si spheres. The substrate and dried microspheres were placed in an oven at 150 °C for 20 h. The annealing heating step is used to temporarily solder the silica microspheres to the silicon surface so they were not displaced in solution. The substrates containing the silica microspheres masks were then removed from the oven and placed in a 0.1% (v/v) solution of OTS in toluene for 5 h. The samples were then rinsed with ethanol and water with successive sonication in ethanol, ultrapure water, and chloroform. The rinsing and sonication step was used to fully remove the spheres from the surface. The samples were then dried under argon and characterized with AFM.

4.4.3 Fabrication of CMPS nanostructures.

The samples with nanoholes within OTS were immersed in a 0.6% solution of CMPS in either anhydrous toluene or bicyclohexane (Sigma Aldrich, St. Louis, MO) for 30 min. After the immersion step, samples were rinsed and sonicated in ethanol and chloroform. The samples were then dried under nitrogen and subsequently characterized with AFM.

4.4.4 Preparation of heterostructures of CMPS and porphyrins

The samples with CMPS nanodots within an OTS matrix were immersed in a solution of H₂TPyP in ethanol (1.8 mM) and chloroform (ratio of 1:9 respectively) and refluxed at 90°C for 48 h. The samples were removed and rinsed with ethanol, then sonicated in chloroform and ethanol for 5 min. The sonication step was repeated 4 times and then the samples were dried under nitrogen.

4.4.5 Atomic force microscopy

Samples were characterized using a Model 5500 atomic force microscope (Keysight Technologies, Santa Rosa, CA). Images of sample were acquired using tapping-mode in ambient air. Silicon nitride tips that have force constants ranging from 10 to 30 N/m, and resonance

frequencies ranging from 265 to 280 kHz ((Nanosensors, Neuchatel, Switzerland) were used for AFM studies. Digital images were processed using the open source software Gwyddion, which is supported by the Czech Metrology Institute.¹³⁴

Acknowledgments

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CHAPTER 5. INVESTIGATION OF THE SELF-ASSEMBLY OF PORPHYRIN NANOSTRUCTURES AND THIN FILMS USING PARTICLE LITHOGRAPHY STUDIED WITH ATOMIC FORCE MICROSCOPY

5.1 Introduction

The synthesis of new tetrapyrroles and efficient routes to fabricate novel nanostructures is a field of inexhaustible interest. Investigations of porphyrin and phthalocyanine self-assembly serve to advance their molecular electronic functions as materials within an array of devices including, organic photovoltaic devices, organic light emitting diodes, wires, capacitors, phototherapeutics, and many more organic based technologies.^{105, 135-141} As these technologies progress, the current paradigm persists in the miniaturization of their electronic components to maximize device capabilities and to utilize every nanometer available to boost device performance. This persistence creates a demand for new, novel porphyrin and other related macrocycles that can self-assemble to form larger, more complex multi-component systems on a surface. Understanding, on a molecular level, how these engineered porphyrin bind to and grow from surfaces is paramount in truly understanding their potential moving forward.

Many synthetic strategies can be applied to engineer molecules and nanostructures, where both the substituents and central coordination sites can be utilized to tune molecular characteristics and generate supramolecular systems that can self-assemble into solid state materials.¹⁴²⁻¹⁴³ The mechanism by which these supramolecular systems self-organize on a surface is still actively investigated and not always understood. There are complexities that arise from the interactions between the supporting substrate and the supramolecular porphyrin materials that can result in unique and novel functions. Through recent advances, nanostructures of porphyrin and phthalocyanine derivatives have been successfully generated through synthetic routes and grown from surfaces via a silane coupling reaction on surfaces of silicon, glass, and ITO.^{1, 144-145} The fabrication of these porphyrin and phthalocyanine nanostructures was accomplished via the

utilization of a silane coupling reaction. This silane coupling reaction has been previously used for the fabrication of nano and micro scale materials as well as for the functionalization of silicon based and electrode surfaces.^{138, 146-148} There have also been many studies in which porphyrin, and silicon porphyrin nanostructures were probed to characterize their morphologically dependent electronic characteristics, both in solution and in schemes where the nanostructure were grown from surfaces.^{1, 104, 112-113, 144}

Many studies are limited by difficulties that arise during the characterization of supramolecular structures at the nanoscale. Supramolecular porphyrin assemblies, such as nanorods, nanowires and nanodots, cannot be deposited or grown from a surface because they are not able to chemisorb or covalently bind to the surface. These limitations results in an inability to selectively pattern tetrapyrrole supramolecular structures. Due to the structural nature of tetrapyrrole molecules, their supramolecular organization is affected and driven by several different forces including metal-ligand bonds, non-covalent ionic bonds, hydrogen bonding, π - π interactions and other intermolecular forces including van der Waals forces.^{142, 149-150} Ultimately this leads to a tendency for porphyrins to self-aggregate and form stacked or layered arrangements on a surface. Previous studies have demonstrated the utility of self-assembling organosilane thin films to fabricate and covalently bind porphyrin to surfaces of silicon and glass.¹⁰³⁻¹⁰⁴ This strategy has been advanced upon in more recent studies where practical approaches were employed to incorporate particle lithography to fabricate nanoscale organosilane and thiol platforms that can be applied to spatially confine and study self-assembly at selective sites.^{44, 97, 113, 137, 151} By combining methods of particle lithography and a silane coupling reaction, porphyrins and phthalocyanine nanostructures can be engineered with nanoscale selectivity and high through-put capability.^{44, 126-129, 152}

5.2 Materials And Methods

5.2.1 Atomic Force Microscopy.

All samples were analyzed using a Model 5500 atomic force microscope (Keysight Technologies, Santa Rosa, Ca). All images were acquired using either tapping mode or contact mode AFM. Non-conductive silicon nitride tips were used to acquire all images and tapping mode images were acquired with tip that had a spring constant ranging between 10 and 130 N/m and an average resonance frequencies ranging from 272 to 464 kHz (Nanosensors, Neuchatel, Switzerland). All images shown were processed using Gwyddion open source software, which is supported by the Czech Metrology Institute.¹³⁴

5.2.2 Preparation of Silane Porphyrin Thin Film.

Immersion deposition was used to generate a thin film of silicon OEtP (TCI Chemc on surfaces of Si(111). Pre-cut polished silicon wafers (Virginia Semiconductor, Frederickburg, VA) were rinsed with ultrapure water and then immersed in a piranha solution for 1.5 h to remove surface contamination and increase the number of hydroxyl groups on the surface. Caution: piranha solution (a mixture of hydrogen peroxide and sulfuric acid with a (v/v) ratio of 1:3) is highly corrosive and should be handled with great care. After the 1.5 h immersion in piranha, the substrates are removed and rinsed again with ultrapure water. The silicon coordinated porphyrin thin films were formed by adding silicon tetrachloride (purchased from TCI) to a 0.5 mM solution of 2,3,7,8,12,13,17,18-octaethylporphyrin (purchased from TCI) in chloroform. The cleaned silicon substrates were added to the OEtP solution to react for 5 h. The substrates were then removed, rinsed with ethanol and ultrapure water and then sonicated in chloroform. The samples were then dried under nitrogen and characterized with AFM.

5.2.3 Preparation of Silane Porphyrin Nanopatterns.

Precut polished silicon wafers were subjected to the same cleaning procedure noted before. A microsphere solution was prepared by first washing with centrifugation to remove contaminants. After centrifugation the microsphere pellet was separated by decanting the water supernatant and replaced with new ultrapure water. The microspheres were suspended again within solution via vortex mixing. This process was repeated several times before a 10 μ L aliquot was deposited onto the surface and dried in air. Once dry, the substrates were placed into an oven at 150 °C for a controlled period time. The substrates were then either placed in air before being moved to the reaction vessel or immediately moved to the reaction vessel and placed into a 0.5 mM solution of OEtP in chloroform with a molar excess of silicon tetrachloride. The substrates were immersed for 5 h and then removed and cleaned by rinsing in ethanol and then water before sonication in chloroform. The samples were dried under nitrogen and then characterized using AFM.

The same procedure was used to prepare nanoholes within a thin film of OTS that could be used to selectively nanopattern pillars of silicon OEtP. The silicon substrates were cleaned and the microspheres were prepared and deposited in the same fashion noted before. After the substrates (with microspheres deposited on surface) were removed from the oven, they were immersed in a 0.1 % solution of OTS and allowed to react for 5 h. The sample was then removed and rinsed with ethanol and ultrapure water before being sonicated in ethanol and water separately. After the presence of nanoholes was confirmed the samples were immersed in a solution 0.5 mM solution of OEtP in chloroform with a molar excess of silicon tetrachloride to react for 5 h. After the immersion was complete, the samples were removed and rinsed in ethanol and water followed by sonication in chloroform before being characterized via AFM.

5.3 Results and Discussion

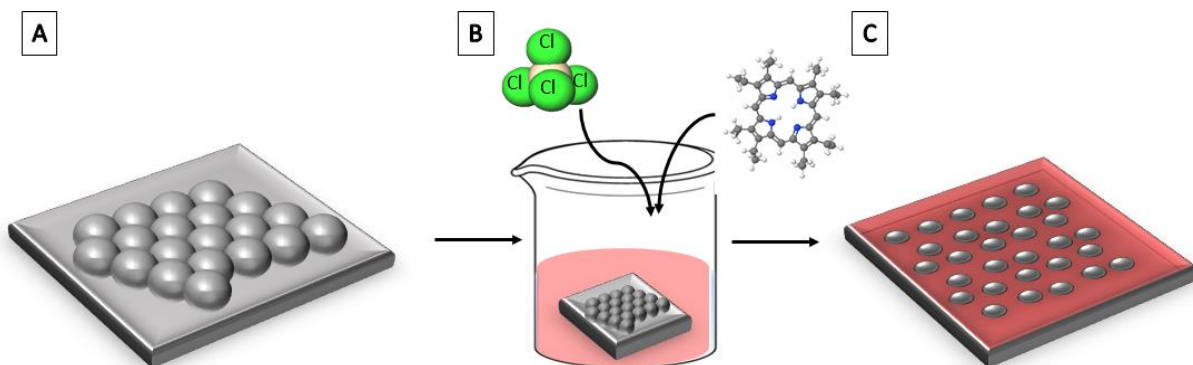


Figure 5.1. Procedure for generating nanoholes within a thin film OEtP. (A) Silica microspheres are deposited on cleaned substrate of Si(111). (B) The silicon substrate with the microsphere mask was immersed in a single pot solution of OEtP, silicon tetrachloride and chloroform. (C) the sample containing the nanoholes of Si(111) within a film of OEtP was rinsed and characterized with AFM.

5.3.1 Steps for Preparing Porphyrin Nanostructures and Thin Films.

The step by step process for fabricating porphyrin nanostructures on Si(111) is detailed in Figure 5.1. A solution of monodisperse silica microspheres was rinsed and then deposited onto a piranha cleaned substrate and dried in air. Through natural capillary forces the silica microspheres form close-packed layers on the atomically flat silicon surface (Figure 5.1A). This layered silica microsphere assembly served as a surface mask for the generation of a functionalized porphyrin surface. The areas directly contacted by the microspheres are masked from reaction with silane functionalized porphyrin macrocycle. After the substrate and microsphere solution are dried in air they are then placed in an oven to anneal the silica beads to the surface to prevent them from being washed away or lifted from the surface during the immersion deposition step of the process. Trace amounts of water are necessary to initiate the hydrolysis and condensation reactions that drive and control the assembly of silane films and structures.^{44, 151} By controlling the amount of available water on the surface, one can select the resulting nanostructure produced during the immersion

deposition process. After the silica microsphere soldering step the masked substrate is placed into the 0.5 mM OEtP solution in chloroform. To this solution a molar excess of silicon tetrachloride is added to initiate the porphyrin-silane coupling reaction (Figure 5.1B).¹ In Figure 5.1C nanopores of unreacted Si(111) are furnished within a thin film of OEtP. The depth of this film can be controlled temporally with thicker films formed over longer immersion periods.¹

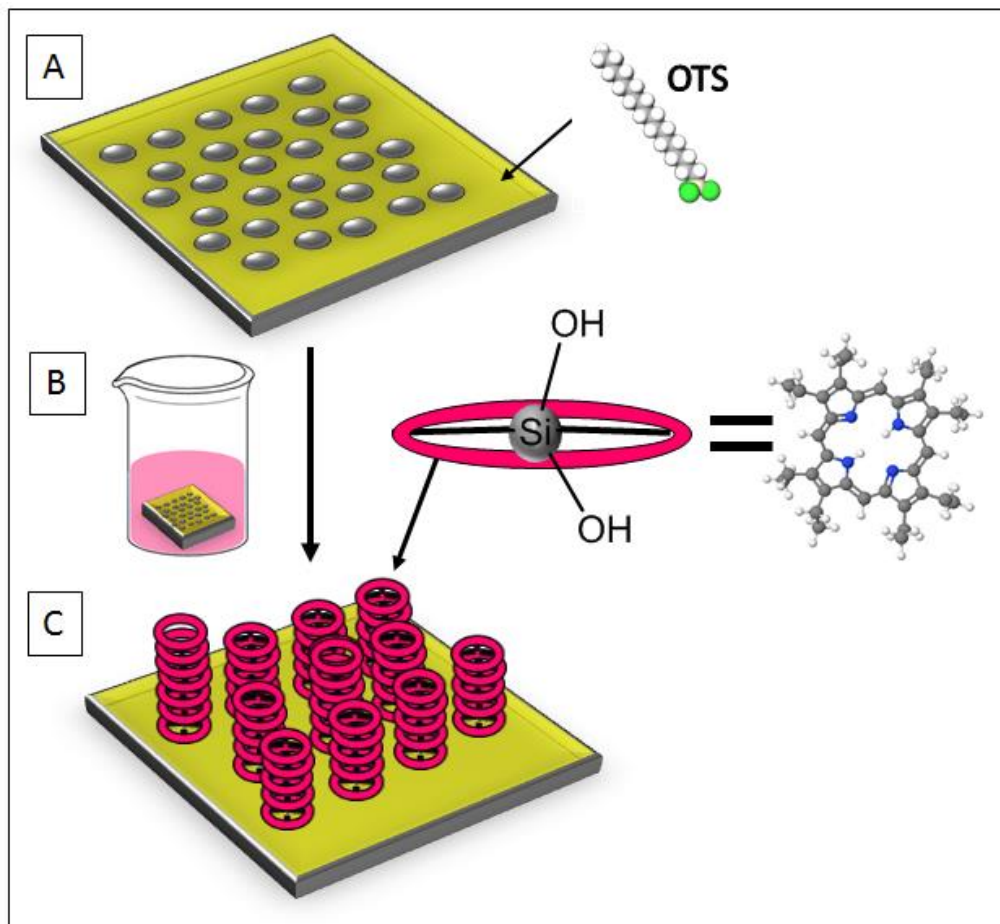


Figure 5.2. Procedure for preparing OEtP nanopillars within a resist of OTS. (A) Nanopores of Si(111) within OTS are prepared using techniques of immersion particle lithography. (B) Substrates containing nanoholes are then immersed in OEtP single pot reaction solution to react for several hours. (C) The substrates are removed and characterized with AFM to reveal nanopillars of OEtP.

The fabrication of OEtP nanopillars within a resistive octadecyltrichlorosilane (OTS) film was accomplished via the combination of particle lithography and successive immersion deposition steps. The schematic in Figure 5.2 contains the steps for selectively depositing OEtP

nanopillars into nanoholes within a thin film of OTS. The nanoholes of Si(111) within the thin film of OTS serve to direct and confine the self-assembly and subsequent vertical layer by layer assembly of OEtP. Nanoholes of Si(111) provide for new ways to study porphyrin self-assembly by confining the porphyrin's initial surface assembly mechanism to small attoliter size reaction vessels (nanoholes). The number of nanopillars that can be generated on a given surface is controlled directly by the diameter of the microspheres chosen to mask the surface, with more structures being generated when smaller diameter microspheres are used in the lithography step.⁹⁷

¹⁵¹ The schematic in Figure 5.2A shows a surface where particle lithography was used to generate nanoholes within a thin film of OTS. Once prepared, these discrete areas are still available to undergo surface attachment, whereas the surrounding matrix has now been passivated and is no longer capable of reacting with the porphyrin complex. By confining the growth of OEtP, greater insight can be gained into the mechanism by which it assembles on the surface and forms multilayer nanostructures. The next step (Figure 5.2B) involves a single pot reaction vessel where the substrate with nanoholes is placed into the porphyrin solution and silicon tetrachloride is added to initiate the porphyrin-silane coupling reaction and further surface assembly. After the substrate has been immersed in the porphyrin solution, it is removed and cleaned with chloroform and water before the nanopillars are characterized via AFM (Figure 5.3C).

The nanopillars assemble at the site that were previously nanoholes. Trace amounts of water still reside in the discrete areas if hydrophilic nanoholes that were created in the previous steps. This trace amount of water initiates a series of hydrolysis and condensation reactions that drive the surface attachment of OEtP nanopillars as well as the layer by layer assembly. The longer the substrate is left in the porphyrin solution the taller the nanopillars grow.¹

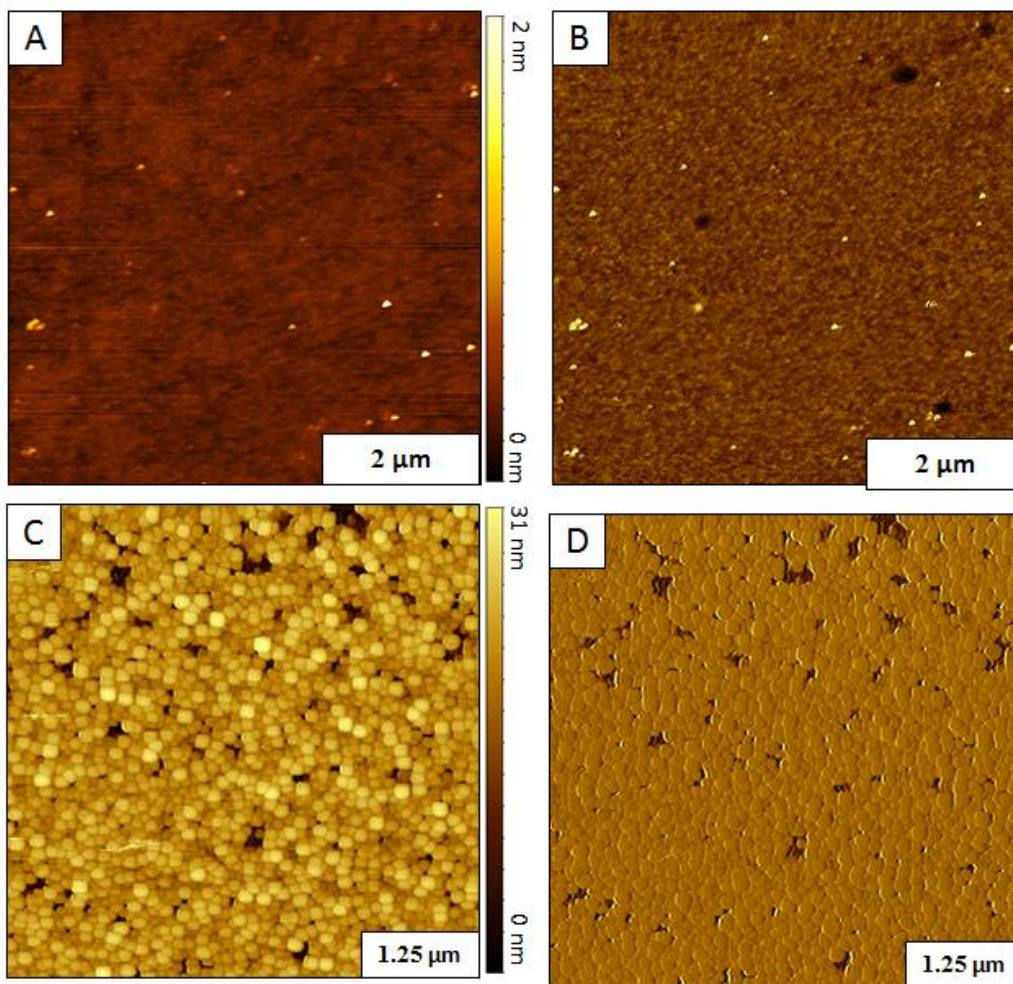


Figure 5.3. Growth of OEtP thin film with the addition of silicon tetrachloride. (A) Topography image of silicon surface after immersion in a solution of OEtP without silicon tetrachloride. (B) the corresponding phase image to (A). (C) Topography and simultaneously acquired (D) phase image of OEtP film deposited through immersion in a solution of OEtP with silicon tetrachloride.

5.3.2 Analysis of Porphyrin Thin-film Growth.

The surface assembly of OEtP is dependent entirely upon the reaction with silicon tetrachloride. Only through the coordination of silicon is the porphyrin able to partake in the hydrolysis and condensation reactions that enable it to self-assemble and grow from a surface functionalized with hydroxyl groups. This point is examined in Figure 5.3. The top row of Figure 5.3 contains images of a silicon substrate that has been immersed in an OEtP solution for 5 h without the addition of SiCl_4 . The topography in Figure 5.3A reveals an atomically flat silicon

surface with an RMS roughness of 122 pm. The corresponding phase image (Figure 5.3B) shows the presence of very few impurities across the $6 \times 6 \mu\text{m}^2$ surface. In the bottom row, topography (Figure 5.3C) and friction (Figure 5.3D) images reveal evidence of a porphyrin thin film grown from the bare silicon substrate. The R_{rms} roughness of this substrate measured 3.8 nm, a substantial increase from the previously viewed surface of bare silicon. The topography image (Figure 5.3C) reveals a relative uniform growth of the porphyrin film, which is thermodynamically controlled by the rates of the hydrolysis and condensation reactions that help it form. The film itself is made up of what appear to be smaller well defined pillars of OEtP. In the friction image (Figure 5.3D) there are clearly defined realms that reveal through to the silicon surface. These areas appear darker in the friction image because the tip experiences a relative change in friction between the OEtP thin-film and the bare surface of Si(111).

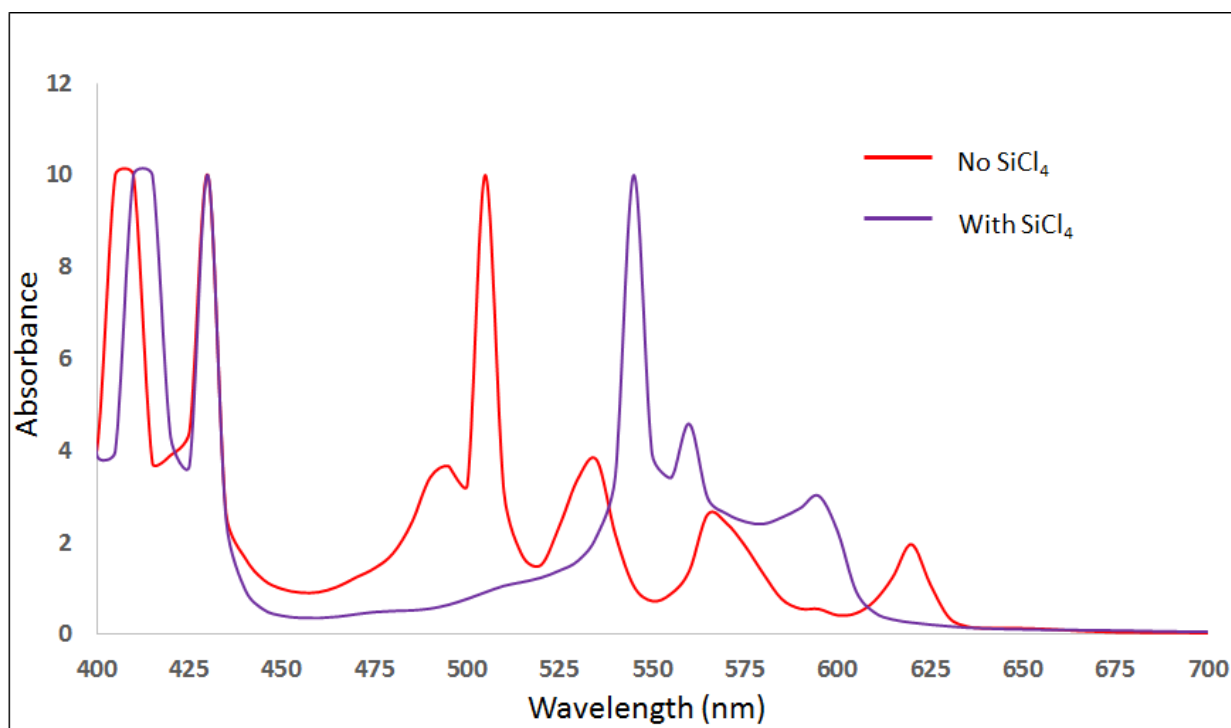


Figure 5.4. Absorbance spectra of OEtP solution before (red) addition of silicon tetrachloride and after (purple) silicon tetrachloride was added.

The different surfaces shown in the top and bottom row of Figure 5.3 help to elucidate the significance of SiCl_4 in the self-assembly of OEtP on Si(111). In Figure 5.4 two absorbance spectra are shown. Both are of a 0.5 mM solution of OEtP. The red line is the absorbance spectra of OEtP in solution with no SiCl_4 added. This spectra matches the anticipated and previously observed spectra of OEtP. A shift occurs with the addition SiCl_4 and can be observed in the purple line of Figure 5.4. The notable red shift is accompanied by a rapid color change in the porphyrin solution. This shift is also accompanied by the loss of a Q-band peak that originally occurred at 620 nm. This shift is indicative of an increase in electronic communication between the porphyrin rods in solution. We hypothesize that this increase in electronic communication is the consequence of porphyrin rod formation that results from the silicon coordination by the porphyrin. This coordination would enable subsequent assembly and attachment of individual porphyrin macrocycle via the establishment of an interlinking siloxane backbone^{1, 144-145}

5.3.3 Characterization of Porphyrin-silane Nanostructures.

The microsphere masks that are deposited on the silicon substrate effectively serve to block the porphyrin-silane molecules from adsorbing at the microsphere's direct points of contact and instead drive the molecules to assemble at the interstitial sites. At these interstitial sites there is also a layer of water that develops on the surface; and this trace amount of water initiates the molecules self-assembly. This thin film of water can be controlled to selectively generate specific types of nanostructures. The amount of water present on the surface can controlled by altering the drying conditions before the immersion deposition step.^{44, 151, 153} The microspheres that are deposited on the surface help to spatially direct and control the trace amounts of water on the

surface as well. Through the combination of control over these variables, nanostructures of selectable morphology can be fabricated with high throughput.

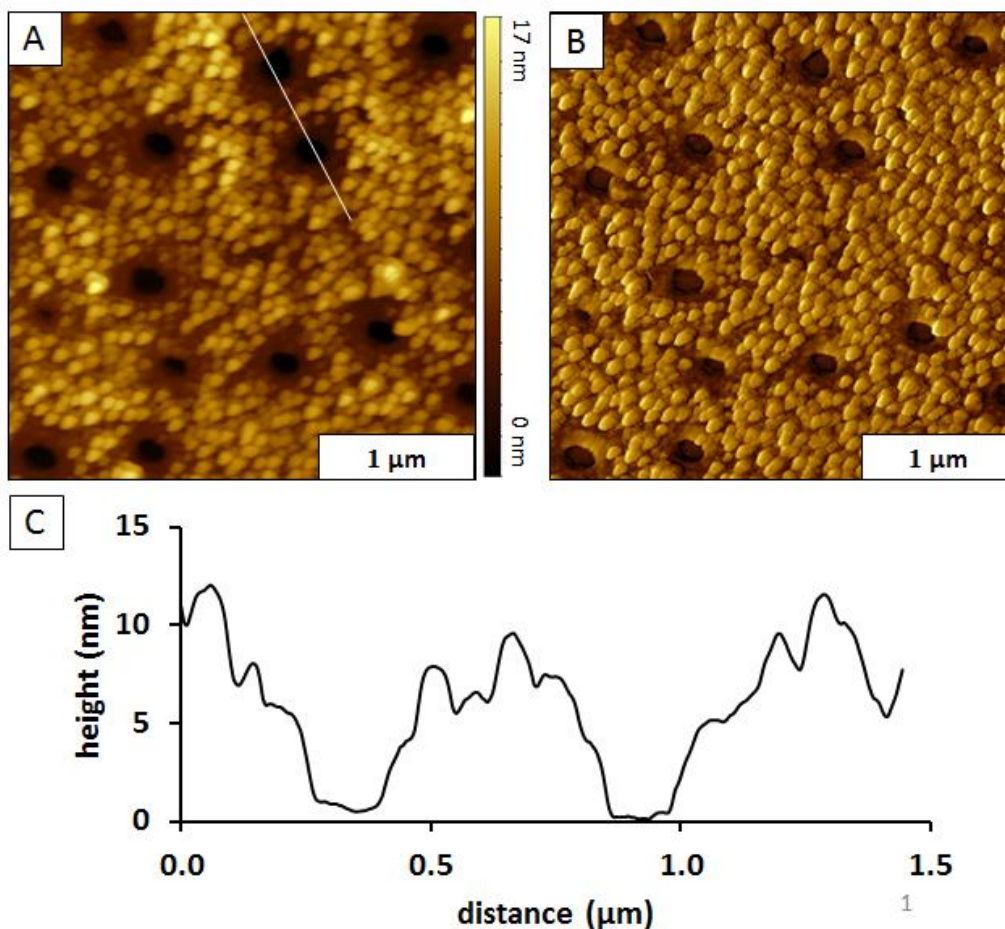


Figure 5.5. Nanoholes of Si(111) within a film of OEtP prepared using particle lithography. (A) Topography view of OEtP nanoholes and (B) phase image of the same area, $3 \times 3 \mu\text{m}^2$. Cursor profile of the line traced in the former topography view.

Figure 5.5 contains images of nanoholes of OEtP on Si(111). These nanoholes were generated by depositing a solution of 500 nm silica microspheres on a cleaned surface of Si(111) and then dried in air for several hours. The substrate was briefly placed in an oven to anneal the silica microspheres to the surface and then left out in ambient conditions for several hours. The substrate with the microspheres was then added to a solution of OEtP and SiCl_4 . It was left to react at room temperature in a closed vial for 5 h. The modified substrate was removed, rinsed with ethanol and chloroform, followed by sonication in chloroform. The samples were then

characterized via tapping-mode AFM. The trace amount of water on the surface was distributed homogeneously, resulting in the formation of nanopores of OEtP-silane, as seen in the topography image (Figure 5.5A). The corresponding phase image (Figure 5.5B) was acquired simultaneously and further reveals the nanopores of exposed Si(111) which appear darker relative to the surrounding OEtP-silane nanopillar film. In Figure 5.5C a cursor profile traces the line mapped across two nanopores in the topography image. The average depth of the nanopores was measured to be ~ 7 nm ($n = 61$). The cursor profile helps to show the roughness of the OEtP film that formed in the interstitial sites between the nanopores. The R_{rms} of these interstitial areas was measured to be 1.8 nm. The surface coverage of the thin OEtP-silane film was measured to be 95.6 %.

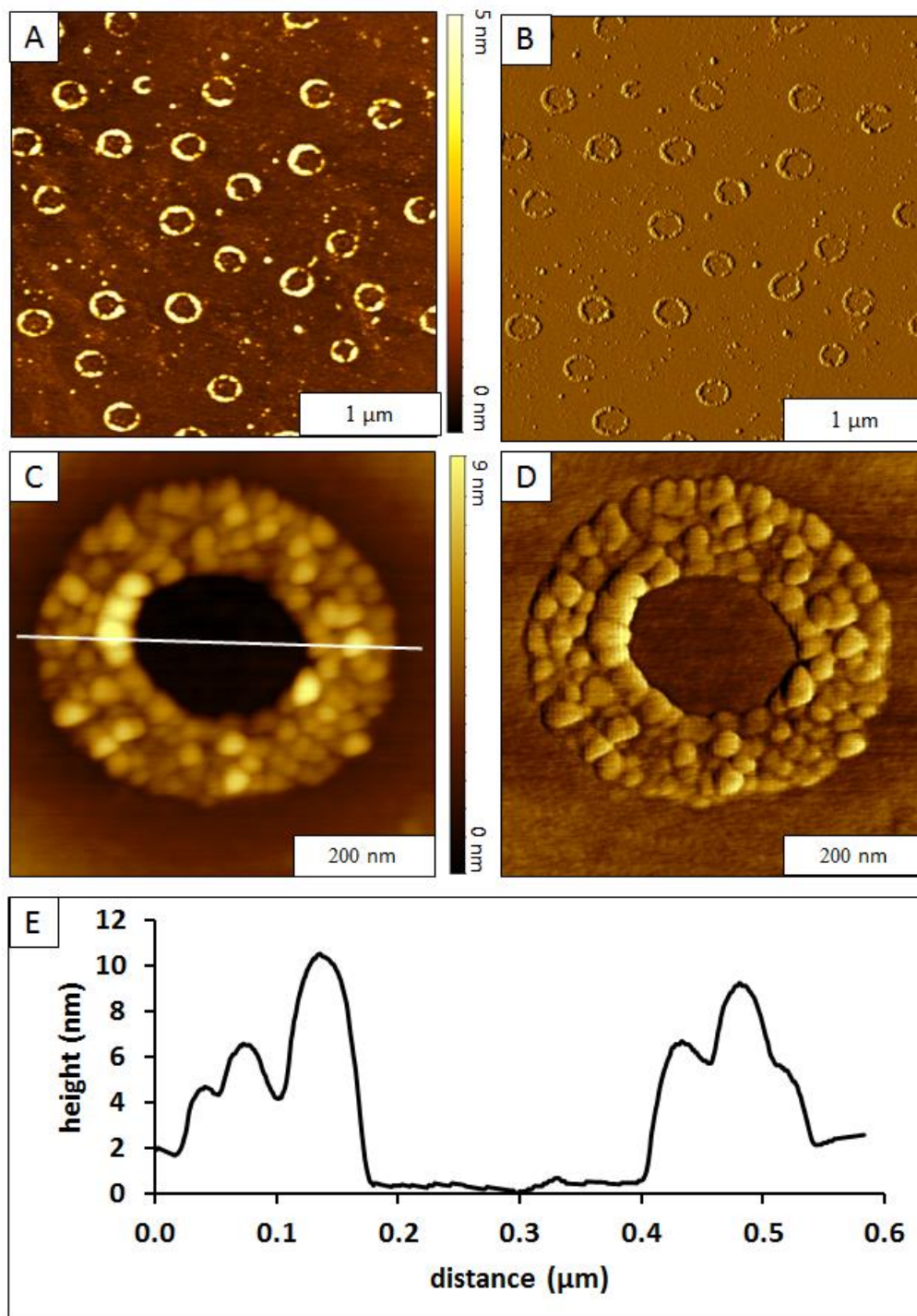


Figure 5.6. Fabrication of OEtP nanorings on Si(111). (A) Topography view of nanorings, $3 \times 3 \mu\text{m}^2$, and simultaneously acquired (B) phase image. Zoom-in view ($600 \times 600 \text{ nm}^2$) of a single OEtP nanoring and simultaneously acquired (D) phase image. (E) Cursor profile of the line traced in the (C) zoom-in topography image.

Alternatively, nanorings of OEtP-silane can be generated by first adding the substrate, with deposited microspheres, to an oven at 150 °C for roughly 20 h. This longer annealing time served to drive off enough of the nanoscopic amounts of water, to leave the trace amount of water left on the surface to assemble at the very base of the microspheres. This drying condition allows for the surface water to be spatially controlled when combined with particle lithography. In Figure 5.6A, there is a $3 \times 3 \mu\text{m}^2$ topography image of nanorings that were fabricated under these conditions. There are ~ 30 nanorings within this area, which corresponds to $\sim 10^8$ nanostructures within a $1 \times 1 \text{ cm}^2$ area. The number of nanostructures per given cm^2 can be increased by decreasing the diameter of the microspheres used to mask the surface. The phase image in Figure 5.6B corroborates the significance of the water to initiate the absorbance of the OEtP-silane on Si(111). There is very little evidence of non-specific or interstitial OEtP-silane growth, it has been confined to the specific areas on the surface where water residues were. A zoom-in topography view (Figure 5.6C) shows a single nanoring that is made up of many smaller nanostructures. The corresponding phase image (Figure 5.6D) reveals further morphological details regarding the viscoelastic nature of the porphyrin nanorings versus the inner and outer areas of bare silicon surface. It appears that many of the small nanostructures grew vertically from the surface, and are limited to these few areas directly around the base of where the microsphere previously sat. The cursor profile of the single ring (Figure 5.6E) reveals several layers of varying thickness within one nanoring structure. The average height of the nanorings was measured to be 3.5 nm ($n = 27$).

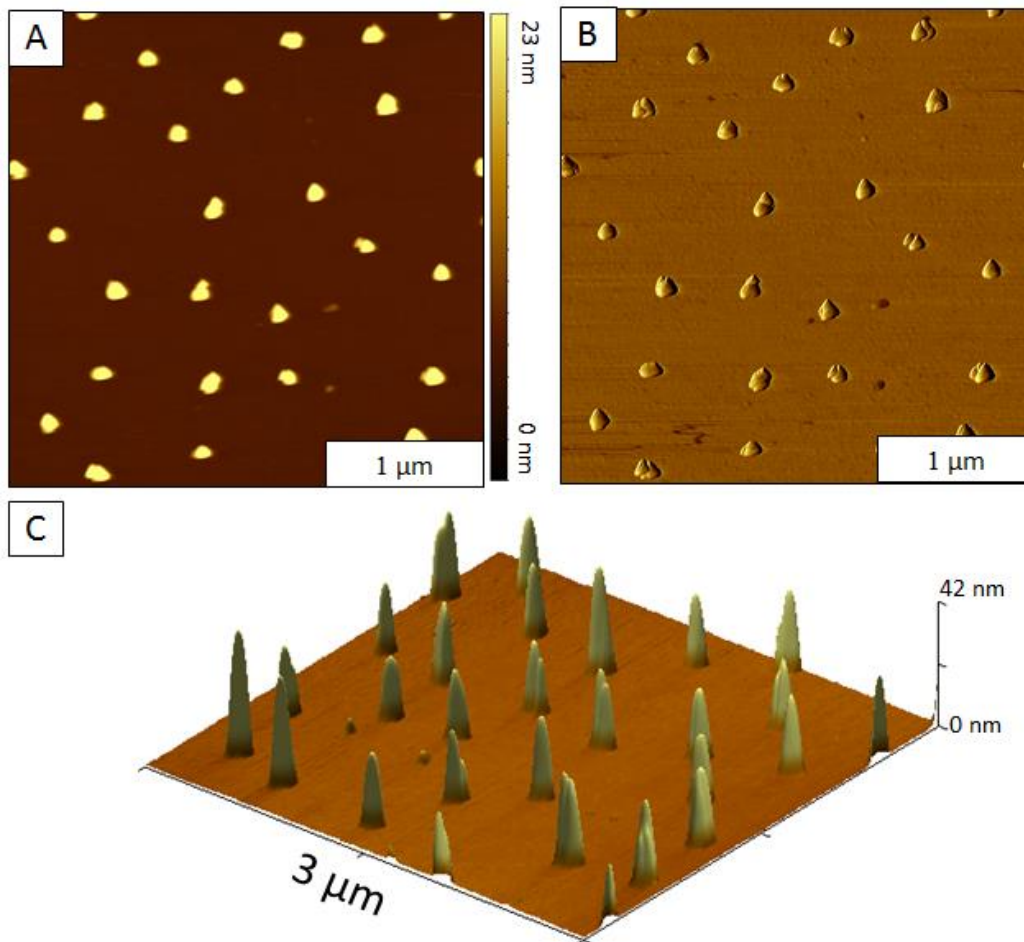


Figure 5.7. Nanopatterns of OEtP self-assembled nanopillars within thin film of OTS. (A) Topography view of OEtP nanopillars, $3 \times 3 \mu\text{m}^2$, and corresponding (B) phase image of the same area. (C) 3-D view of OEtP nanopillars of the same area.

In Figure 5.7 nanopillars of OEtP were generated from nanopores within an OTS matrix. The previous nanostructures were grown through particle lithography and the manipulation of nanoscopic volumes of water on the surface. However, in this final segment, attoliter volume nanoholes were used as reaction containers to study the self-assembly to OEtP nanostructures at the molecular level. First nanoholes within OTS were generated using particle lithography. The substrates were then reacted in a vessel contain OEtP in solution with SiCl_4 , followed by the same rinsing and sonication steps as all other OEtP samples. The resulting nanopillars assembled and grew from the nanoholes vertically with the individual porphyrins stacking face to face. The

resulting nanopillars are stabilized via a siloxane bond that runs through the center of the each porphyrin, Si-O-Si, and also by intermolecular π - π interactions. The topography image in Figure 5.7A, a $3 \times 3 \mu\text{m}^2$ area contains about 30 nanopillars, roughly matching the number of nanostructures observed in the previously shown images. These nanopillars are patterned hexagonally with a structure to structure distance that matches the diameter of the microspheres used to form the mask. The matching phase image in Figure 5.7B reveals structures that are morphologically different than the previous nanostructures of OEtP-silane. These pillars possess some evidence of fractured growth and multiple domains within a single nanopillar, however, many appear to be much more homogenous. The 3-D view in Figure 5.7C provides insight into the very comparable heights of the nanostructures that were grown over a 5 h period. There is also very little evidence of non-specific growth across the OTS matrix. This signifies that the OEtP-silane molecules have a very high specificity for the nanoholes and equally towards the available Si-OH bonds that terminate the head group of each pillar during the layer by layer growth mechanism.

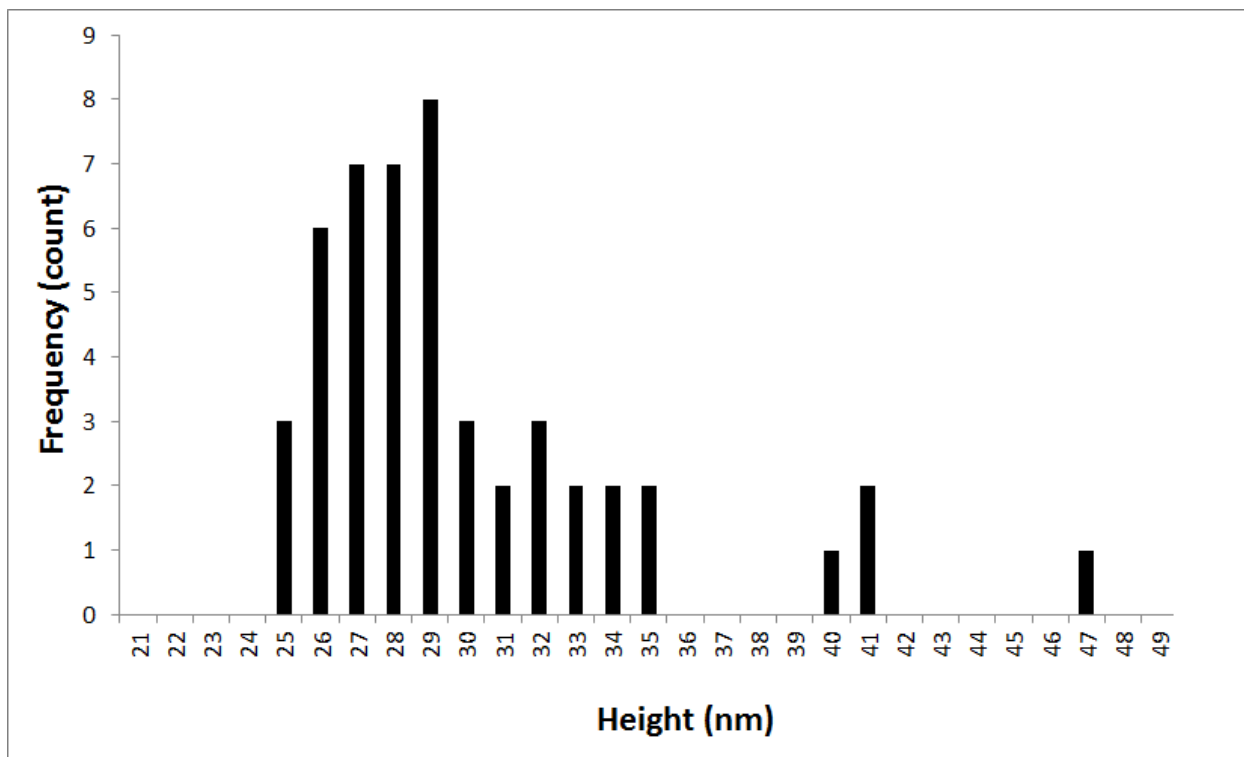


Figure 5.8. Histogram of OEtP nanopillar heights.

Figure 5.8 contains a histogram of the nanopillar heights. The average height of the nanopillars was measured to be 29 ± 4.6 nm. The heights from the histogram in Figure 5.8 match these averages and reveal a small relative range of heights that most of the nanopillars grew to. The heights ranged from 24-47 nm, revealing that the majority of nanopillars experienced very similar growth rates, with few experiencing abnormally fast growth. Previous studies have shown that the heights can be selectively tuned by controlling the substrate immersion time.¹ The role and nature of organosilane chemistry results in the production of highly controlled and densely patterned OEtP-silane nanopillars.

5.4 Conclusions

Using a silane coupling reaction combined with particle lithography, silicon-OEtP nanostructures and thin films were patterned with spatial selectivity and nanoscale control. OEtP-silane nanostructures of nanopores, nanorings, and nanopillars were fabricated on surfaces of

Si(111) by selectively adjusting the drying conditions to control the residual water on the silicon surface. Each nanostructure formation consisted of multiple layers of porphyrin that assembled from the surface through a siloxane backbone that resulted in a co-facial stacking of the macrocycle. This data provides new direction for the spatial patterning of silicon porphyrin complexes that can furnish surfaces with new intriguing nanoscale function.

CHAPTER 6. IMAGING AND PROCESSING ARTIFACTS COMMONLY ENCOUNTERED IN ATOMIC FORCE MICROSCOPY SURFACE CHARACTERIZATION

6.1 Introduction

Atomic force microscopy was first developed in 1986 by Binnig, Quate and Gerber.² The data that is acquired with AFM is generated through physical interactions between the tip and the sample surface.¹⁵⁴⁻¹⁵⁷ The interactions between the tip and the sample inherently affects the measurements acquired and these measurements will have observable unnatural and unexpected features as a result. The source of the majority of these artifacts can be grouped in to four main categories in AFM: the cantilever tip, the scanner, image processing, and environmental/vibrations.³ These artifacts can vary in complexity in with some being more difficult to anticipate, identify and correct for than others. Identification of artifacts is imperative when imaging and subsequently addressing the data that is obtained from this characterization technique.

Since the invention of AFM, studies have been conducted to investigate the sources and nature of artifacts that arise from surface imaging and post-acquisition processing of scanning probe data.^{3, 158} The sources of artifacts are in part associated with the physical setup of an atomic force microscope, as well as the software used to interpret and transduce this data into an image. Figure 6.1 is a schematic representation of a common scan-by-tip instrument setup. In the schematic there is a piezo tube scanner that contains the X, Y, and Z piezo-ceramic materials that are responsible for positioning the tip on the surface with nanometer scale control. At the end of the reflective cantilever there is a micro-fabricated tip whose dimensions are known within a given range. This tip is brought into contact with the surface and a laser is reflected off the back of the cantilever onto a reflective photodiode to a specific point that is determined by the user as

the driving signal set point in contact mode AFM. In tapping mode AFM the driving signal set point is based upon an oscillation amplitude that is set by the user and is near the tip-piezo resonance peak. This driving signal will be constantly compared to the measured signal that will change as the tip is scanned across the surface in a raster fashion. The data obtained is gathered based upon the difference in the measured signal versus the driving signal, commonly referred to as the error signal. The feedback loop manages how fast the piezo-ceramic material in the scanner will react and change to restore the measured signal back to the driving signal. All of these different components have associated artifacts that can be observed while imaging. Understanding how AFM works and the function of each component is paramount in the effort to better identify and correct and the artifacts that can arise.

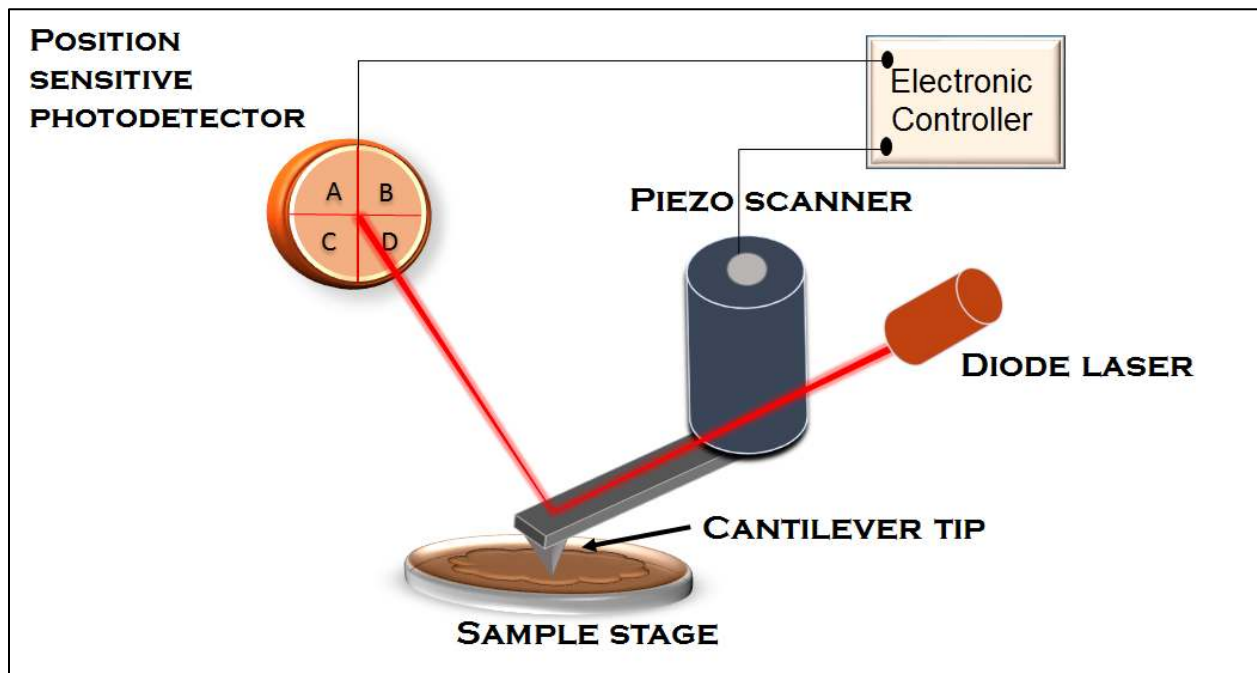


Figure 6.1. Schematic of scan-by-tip atomic force microscopy

6.1.1 NANOSTRUCTURES GENERATED USING PARTICLE LITHOGRAPHY

Many of the figures and images shown here are of nanostructures that were generated using particle lithography techniques. Particle lithography has been previously used to pattern

arrays of (SAMs), proteins, polymers, and nanoparticles on a variety of surfaces that include, gold, mica, quartz, silicon, and indium tin oxide (ITO).⁸⁴⁻⁹² This high-throughput lithography technique can be coupled with varying organosilane deposition protocols to generate tunable nano-scale architectures.⁹⁷

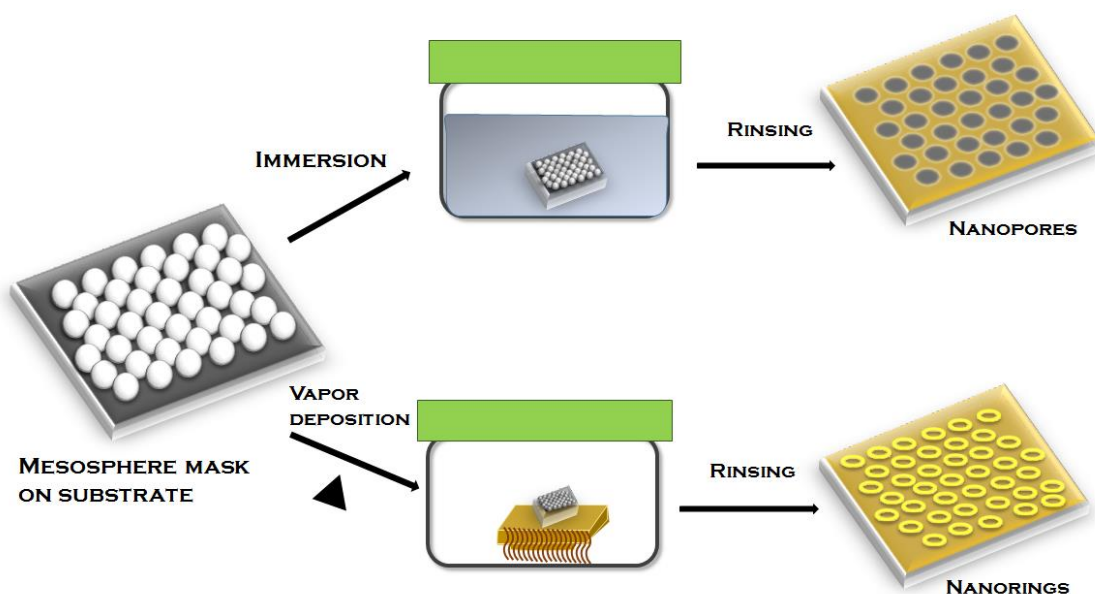


Figure 6.2. Procedure for generating nanostructures and nano-platforms via particle lithography. Top row utilizes particle lithography and immersion deposition to generate patterned nanopores of confined surfaces for reaction. The bottom row shows particle lithography combined with vapor deposition techniques to generate nano-ring structures of the chosen SAM.

Two common deposition techniques that incorporate particle lithography are shown in Figure 6.2. These approaches each involve particle lithography however, by changing the specific organosilane or organothiol deposition technique, different nanostructure patterns can be generated. Vapor deposition can be used to generate nanorings and immersion deposition can be used to generate nanopores.⁹⁷ The density of these patterns can be tuned by choosing microspheres of larger or smaller diameters. In each of these patterns discrete areas of the original surface are masked by the mesosphere and are still available for further surface

modification after the initial passivation step. Many of the architectures generated in this paper are generated via this bottom up strategy where nanoparticles, organosilanes, and porphyrins were bound to these specific confined reaction areas.

6.2 Probe Artifacts

The nature of the tip and the physical basis of scanning probe microscopy inherently affects the measurements and images that are acquired. The majority of scanning probe artifacts fall into the category of probe artifacts because of the probes nature in this measurement system. As the tip is scanned across the surface, information regarding the surface and tip is being simultaneously recorded. The tips geometrical shape will always affect the facets and features of the surface it is measuring.¹⁵⁹ The sharper the tip, relevant to the features of the surface, the more accurate the images of the surface features will be. The geometries of the surface features affect the quality of the image based upon how they compare to the geometries of the tip.¹⁵⁹ Surface features with higher aspect ratios or smaller facets require measurement by tips with equally greater aspect ratios in order to successfully trace the truest geometries of that feature. These are general rules that must be accounted for when choosing the correct probe to characterize a given surface.

To help mitigate the effects of the of poor tip-sample interactions, due diligence is necessary when determining which probes are best suited for particular surfaces. Several factors affect the tip's ability to accurately track the sample surface. The tips sharpness is one of the most important and obvious, but other factors include, tip-sample adhesion force, probe force magnitude, sample elastic properties, surface properties and sample environment i.e. thermal noise.¹⁶⁰⁻¹⁶³ By better understanding the nature of tip-sample interactions and general properties of a tip, a higher quality image with more accurate surface mappings can be obtained. In the following paragraphs specific examples of common tip artifacts will be depicted with details

concerning the nature of the artifact as well as the most effective process for correction. The artifacts shown below are on surfaces comprised of nanostructures that were generated with and within organosilane self-assembled monolayers (SAMs).

6.2.1 Tip Convolution

Tip convolution or “dilation” occurs most often when the probe being used to characterize a surface is too large and or blunted to accurately map the true dimensions of the surface features being imaged. This often results in surface features that appear to be too large (objects protruding from the surface) or too small (nanoholes). When imaging features with particularly small dimensions such as nanoparticles, the resulting image will inherently suffer some width broadening. The height of the surface features can be measured to accurately assess the true size of such samples on a surface. These artifacts are always present in to some degree and the extent of this convolution typically worsens with time as the tip is used and its sharpness is dulled. Tip convolution can be easily recognized on surfaces where specific features don’t match their anticipated size (i.e. particles appear too large or holes appear smaller).

Figure 6.3 contains topography and phase images of spherical nanoparticles where the top row of images are characterized with a tip that is blunted. The features of the nanoparticles are dulled and the widths broadened as a result of using a tip with blunted dimensions that were too large relative to the size of the nanoparticles being characterized. The schematic in the top row of Figure 6.3 visually depicts this aspect ratio mismatch that leads to the tip-sample convolution. As the tip is scanned across the surface, the edge or side of the tip comes into contact with the nanoparticle on the surface altering the forces experienced between the tip and sample that initiate the feedback mechanism to adjust the position of the tip relative to the surface.

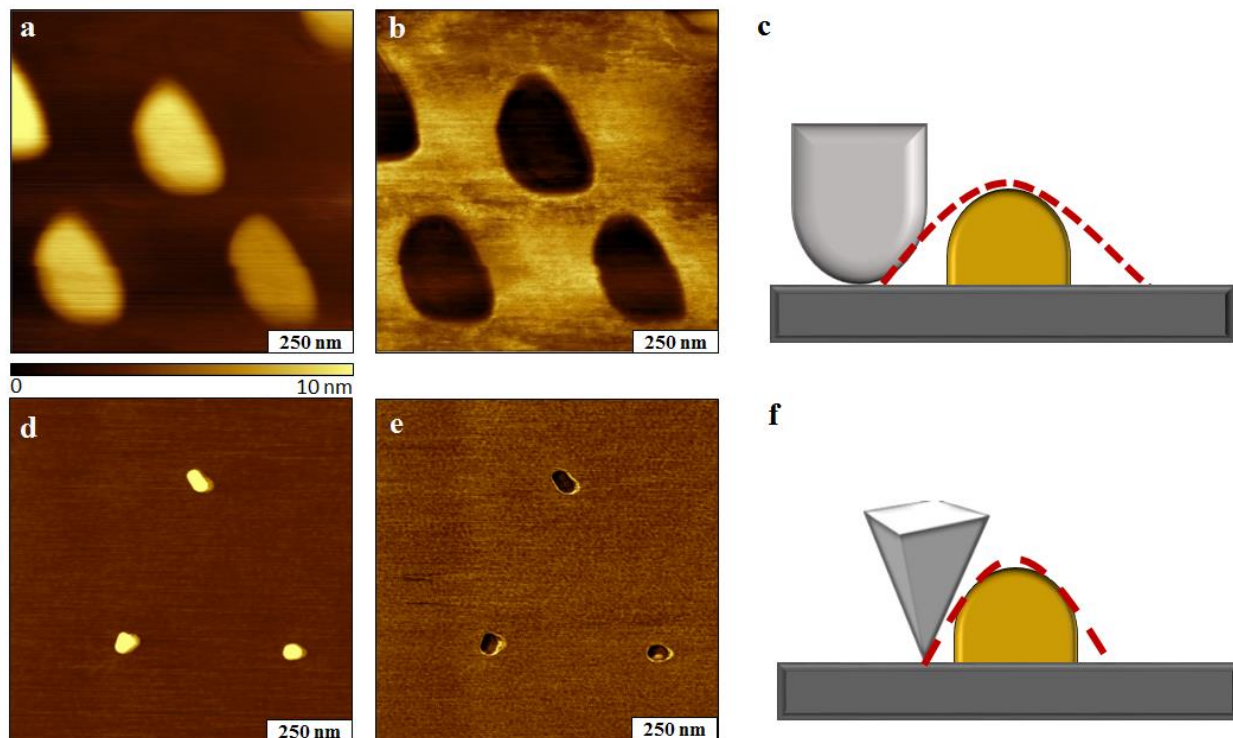


Figure 6.3. Spherical nanoparticles imaged in air displaying effects of blunted tip resulting in tip convolution. (a) Topography image, $1 \times 1 \mu\text{m}^2$; with corresponding (b) phase image. (c) Schematic representation of tip path leading to tip-sample convolution. (d) Corrected topography image, $1 \times 1 \mu\text{m}^2$; and corresponding corrected (e) phase image. (f) Schematic representation of tip path with new tip.

The resulting topography image shows objects on the surface whose shape and morphology more closely resemble the tip, rather than the nanoparticle being imaged because the height to width ratio of the tip is low.

In the bottom row of Figure 6.3 topography and phase images of the same nanoparticles characterized with a new tip more accurately shows the images lateral dimensions. By characterizing the nanoparticles with a new and sharp tip, a more accurate image can be acquired where the lateral dimensions of the spherical nanoparticles are more precisely traced. However, even with a new tip, the aspect ratio and ultimate geometry results in some lateral broadening because the measured lateral dimensions do not precisely agree with the vertical dimensions of the

nanoparticles. The schematic trace of a particle in the Figure 6.3F shows the new line of a particle imaged with a sharper tip. Tip convolution is also observed on surfaces with nanoholes where the tip is unable to penetrate and accurately map the concave features of the nano-cavities on the surface. Ultimately, the most efficient manner to correct this artifact is to replace the blunted tip with a tip with sharper features and higher aspect ratio. The overall geometry of the tip is also important to note, as conical shaped tips typically have a lesser effect on the lateral dimensions on small surface features compared to pyramidal tips.

A number of researchers have raised the concern about how the finite shape of AFM tip effects the appearance of features in an imaging frame.^{164,165,166} When the features of interest consist of higher radius compared to the AFM tip, features become sharper than the tip. This creates AFM images of tip apex as a result of surface features scanning against the AFM tip. Furthermore, this results in the tip not reaching some areas of the features leading to less detailed topographs.¹⁵⁹

Figure 6.4a is an AFM topography of silica mesospheres (300 nm) on a mica substrate acquired with tapping mode. In this particular case, the dimensions are comparable or wider than that of tip. Mesosphere masks with a higher feature aspect-ratio interact with the probe creating pyramidal shaped spheres with protrusions. As the tip cannot reach to the interspatial areas between the mesospheres, distinct details of sphere separation are not seen. This is another form of tip convolution that arises from a mismatch between dimensions of the surface features and the geometry and size of the tip used to characterize those features. In cases where a pyramidal shape tip is used and tip convolution occurs, it is often identified by the triangular, or pyramidal, pattern that appears on the surface. Interactions between the sides of the tip and the sample lead to this artifact, which is commonly known as side-wall imaging.

Observation of this artifact does not necessarily correlate to a damaged or blunted tip. Only that the tip does not have the correct dimensions for characterizing the features of this particular surface. Another tip with a higher aspect-ratio must be chosen to re-image this surface. When the surface was imaged with a tip that has a higher aspect-ratio, the true morphology of mesosphere mask is revealed. This artifact is most often observed when imaging spherical particles or other spherical materials on flat surfaces. Due to the geometry of most commonly used tips and the manner by which spherical structures tend to assemble on a surface, even the corrected scans of these images will contain remnants of this defect. In the corrected topography represented in 6.4c and subsequent zoom in (6.4d) the microspheres have a slight hexagonal shape due to the opening angle of the tip which results in some side-wall imaging. This artifact is easily observed in the image shapes that have sharper hexagonal when they should be spherical.

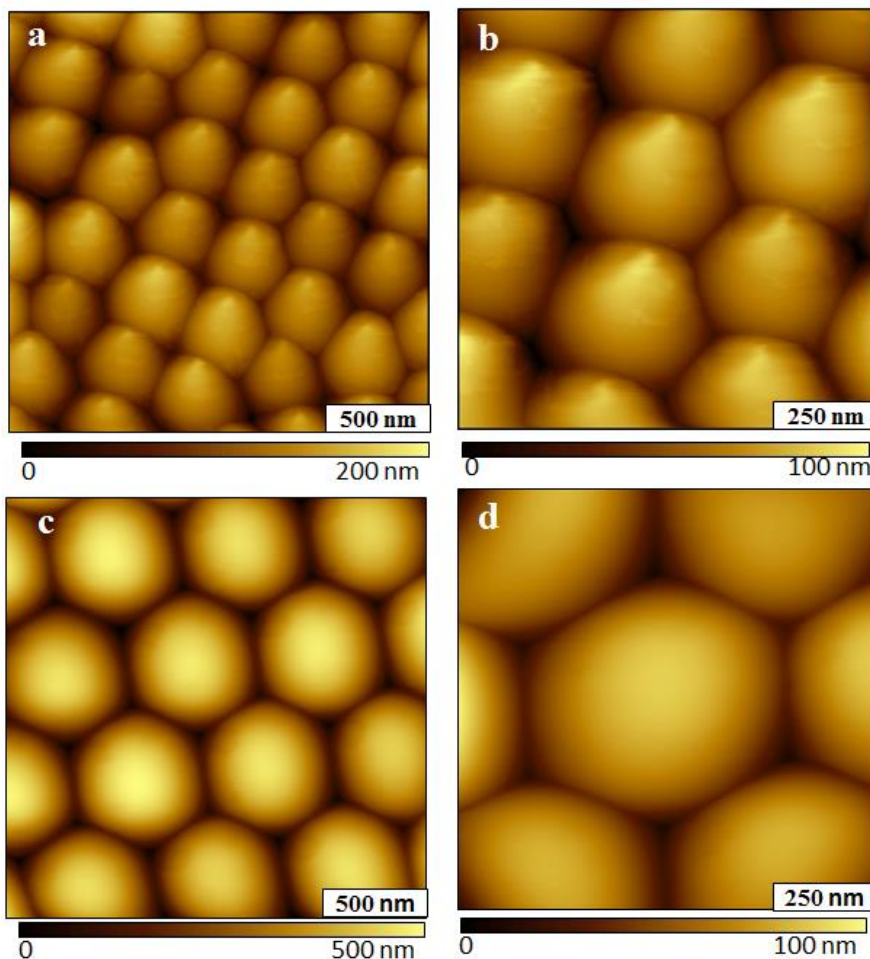


Figure 6.4. The top row contains topography images of 300 nm silica mesospheres which contain an artifact known as side wall imaging. This artifact is commonly observed when imaging spherical particles. In the bottom row a new tip with a more appropriate aspect-ratio has been selected and the artifact is no longer observed.

6.2.2 Repeating Patterns

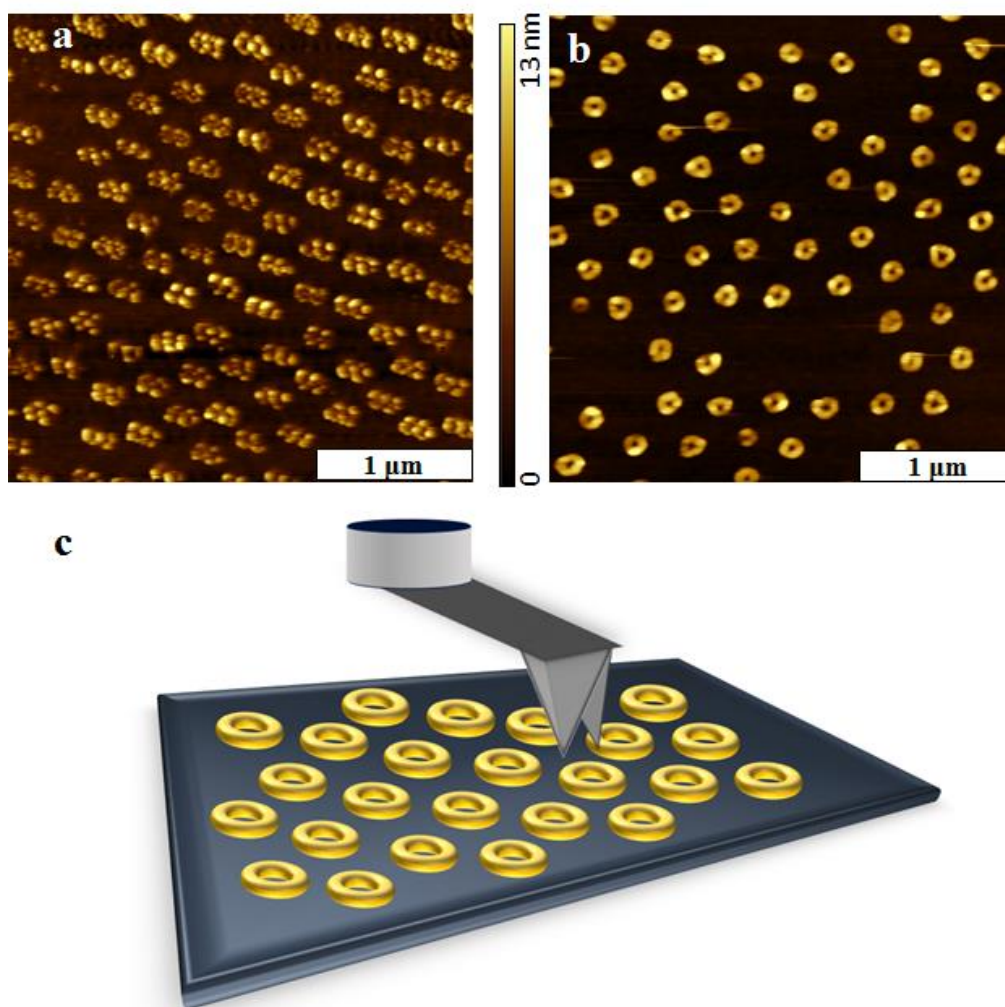


Figure 6.5. Topography images showing evidence of (a) double tip and subsequent (b) corrected image from sample of periodic nanorings. (c) Schematic of double tip which results in multiple repeating pattern of ring structures.

Depending on the shape of the tip used to scan across a surface, the data produced is influenced by the tip-sample interaction. A dull, broken, or chipped AFM probe leads to the observation of peculiar shapes of nanomaterials within an image. The peculiar features often mimic the shape of the tip and appear as triangles in a repeating pattern across the surface. These peculiar features will repeat throughout the current scan and other scans until the tip is changed. If the user is unsure of the condition of their tip, one way to verify the integrity of the tip is to image

a sample with a known topography. If the image produces the peculiar shapes, the tip is broken. If the image exhibits the correct topography, the tip is still usable.

Double tip effect is an AFM tip artifact that occurs when a surface is scanned by a probe that contains slightly separated protrusions. These separate tip protrusions result in duplicates of the same feature: true image and the “ghost” image.^{167,158} Double tips typically come from a damaged AFM tip that often results in the formation of additional spikes by dislodging particles during manufacturing, tip use, and through the attachment of surface debris, that often occurs while imaging.^{3,168} Published reports on double tip effects explain that collection of dust and debris on the AFM tip due to long term storage or the AFM tip itself picking up particles on the surface during repeated scanning can alter the tip shape resulting in a double tip morphology.¹⁶⁹ Two consecutive scans caused by the two tip asperities, as shown in Figure 6.5c, create repetition of the features in the scan region (Figure 6.5a). A topography image of OTS nanorings on Si(111) substrate acquired by tapping mode is shown in Figure 6.5a. In this image, the number of nanorings present in the imaging frame has increased two-fold and the true image of each nanoring has overlapped with the ghost image as induced by double tip effect. The relative positions of the two copies is determined by the horizontal separation of two tips. If the horizontal distance between two tips is relatively smaller than the diameter of the surface feature being imaged, the second protrusion will begin to trace the feature while the first protrusion reaches the end of the object.¹⁶⁹ This causes overlap between real image and ghost image as shown in Figure 6.5a.

The simplest way to avoid double tip artifacts is to recognize the replication of the same feature in the image and replace the altered tip with a higher quality new tip and rescan the sample. The tapping mode topography image acquired through rescan using a new tip shows the true morphology of OTS nanorings (Figure 6.5b) by accurately tracing the object features. In some

cases, if the true morphology of the surface features is unknown, the identification of real image from the ghost image can be uncertain. Several studies have reported novel methods to elucidate and correct double tip artifacts. Mathematical models have been generated to characterize double tip effect on cell culture and DNA molecular imaging. The designed mathematical models can be applied to identify pairs of true and ghost images of spheres and linear molecules in AFM imaging of unknown samples.¹⁷⁰ Furthermore, Y. F Wang *et al* developed a “de-blur” technique based on Bayesian blind deconvolution algorithms to remove double tip artifacts from AFM images revealing true AFM images with a higher resolution.¹⁷¹

In Figure 6.6 topography (6.6a) and lateral force (6.6b) images reflect nanoholes in an OTS matrix that are imaged with a broken or contaminated tip. In the previous Figure 6.5a the double tip was clearly distinguished by the presence of the “ghost” image of the surface feature that was observed across the surface. This specific artifact is less distinguishable in Figure 6.5, but when examined closely the image appears to be out-of-focus and this artifact can be confirmed and observed more clearly in Figure 6.6b. With a close inspection the appearance of double layered edge effects can be observed in the OTS buildup around the periphery of the nanopores. This out of focus artifact typically signifies a slightly damaged tip or possible contamination.

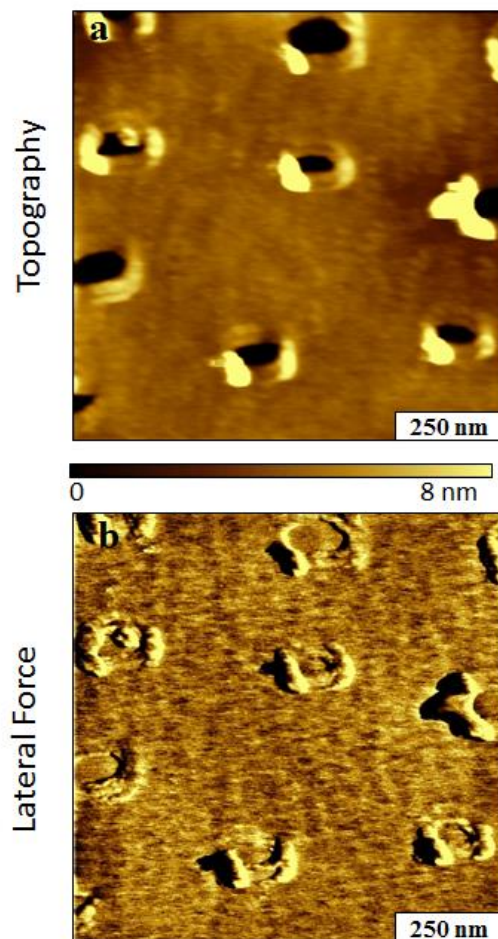


Figure 6.6. (A) Topography view of OTS pore imaged with a broken and contaminated tip. This typically produces images with multiple repeating patterns or an “out-of-focus” appearance observed in the top image. The corresponding (B) lateral force image contains signs of repeating patterns.

As discussed above, the common solution for tip contamination or damage is to replace the tip and reimage the sample. This will help to confirm the state of the previous tip and the actual morphology of the surface features. Some procedures have been previously described that can be used to clean the tip so that it may be used again.¹⁷² However, this adds additional time and effort and is not always the most effective route.

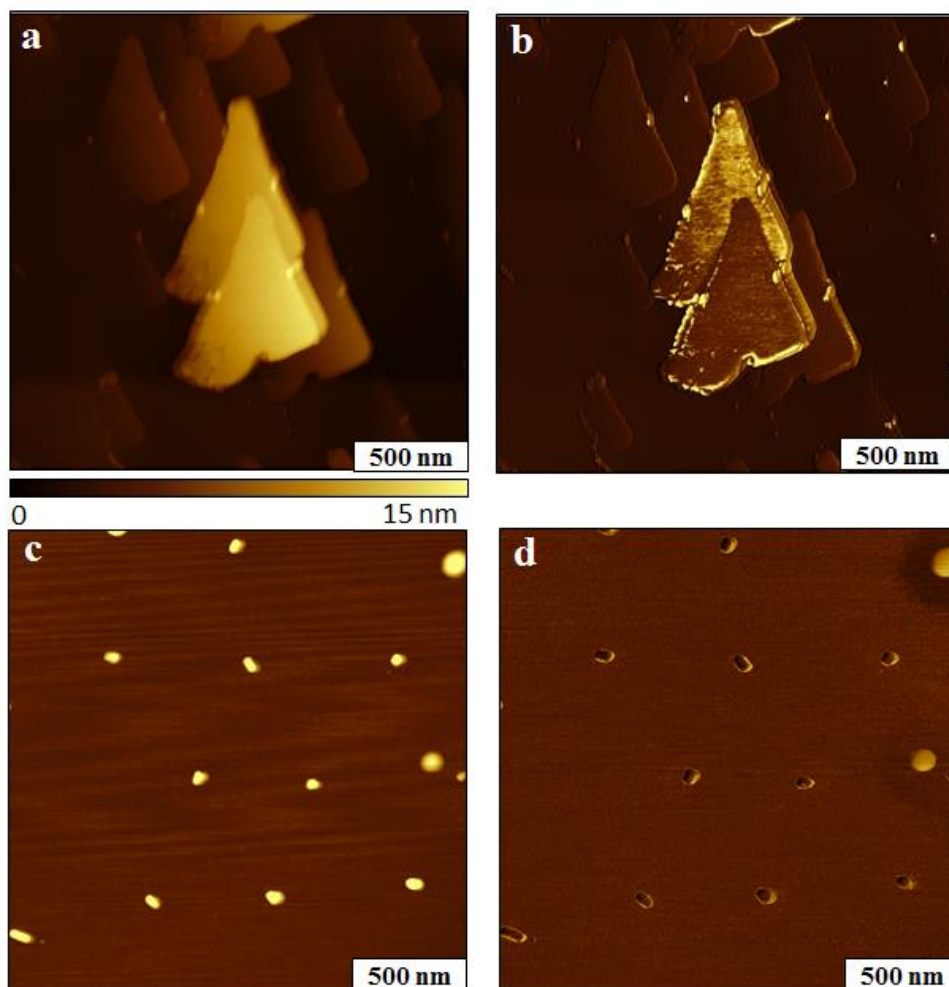


Figure 6.7. AFM images of spherical nanoparticles imaged with broken tip. (a) Topography image, $2 \times 2 \mu\text{m}^2$; and corresponding (b) phase image of multiple tip artifact. Corrected (c) topography and (d) phase image of same nanoparticles imaged with an undamaged tip.

In Figure 6.7, spherical nanoparticles were imaged using tapping mode in ambient conditions. The triangle shape that is reoccurring in Figure 6.7a is due to a broken tip. A combination of artifacts occur in this image as the broken tip has resulted in multiple repeating patterns that likely represent the geometry of the tip as well as the multiple tip sites interacting with the surface throughout the scan. Although the spherical nanoparticles are present and still discernable within the image, they are overshadowed by the deformed tip artifact.

When an artifact of this caliber is observed, the most effective solution is to change the tip and re-characterize your sample. In Figure 6.7c and 6.7d images of the sample are shown after the tip has been replaced. The topography and phase image no longer contain the repeating triangular pattern observed previously and the spherical nanoparticles true shape is more accurately traced.

6.3 Scanner Artifacts

Piezoelectric scanners are one of the key technologies that made nanoscale imaging instruments like scanning probe microscopy possible. Within a given AFM system the most common set-up incorporates a piezoelectric ceramic tube scanner. By applying a voltage to this material, one can produce a repeatable mechanical response, specifically its change in dimensions. However, due to their mechanical properties, these piezoelectric materials also introduce artifacts that can be observed while imaging. When using piezoelectric materials, know that periodic calibrations are necessary because they to mitigate effects that arise from periods of light or no use. If the scanner is used often its response can typically be improved. Mitigating the effects of artifacts induced from scanners can often prove to be more complicated than many artifacts that are induced by the tip. Image processing software packages are typically how many scanner related artifacts are corrected.

6.3.1 Scanner Creep

Piezo-ceramics are materials that expand and contract when exposed to voltage gradients. Both the bias applied to the scanner and the subsequent deformation of the material can be finely controlled and it is this mechanical property that makes piezo-ceramic materials an ideal candidate for high resolution 3-D positioning devices. However, when the driving voltage is changed rapidly, the manner in which the piezo-ceramic material responds is not proportionate in real-time. The material begins to deform through contraction or expansion but the actual and total position of the

tip does not complete its shift at the same time that the voltage is varied. While imaging, this artifact can most often be observed when the user zooms in or out to gather an image of an area.

When a new area is selected, or a known area is selected to zoom in on, a voltage is applied to the scanner and the tip is moved through a multi-step mechanism where the initial movement occurs instantaneously but the later step does not.¹⁵⁸ This second step occurs on a longer time frame and is in the direction of the first change to the driving bias. This is most commonly observed as a blurring at the beginning of the images in the first few lines of a scan as is shown in Figure 6.8a. The topography images (top row) in Figure 6.8 show an image of CMPS structures on a surface within an OTS matrix. At the top of Figure 6.8a there is a distortion of the structures that is the result of scanner creep. This same distortion can be observed in both topography and phase (Figure 6.8c) because this piezoelectric creep occurs in the x-y piezo-ceramic. This artifact is also often referred to as “scanner drift” in some texts and should not be confused with the artifact known as thermal drift.

Figures 6.8b and 6.8d depict the corrected scans of this surface. To correct for scanner creep, the user must simply wait for the piezo-material to complete its movement and fully stabilize. It is typical to move to a new area, begin the scan and observe this creep and subsequently re-scan the same area to obtain the artifact free image.

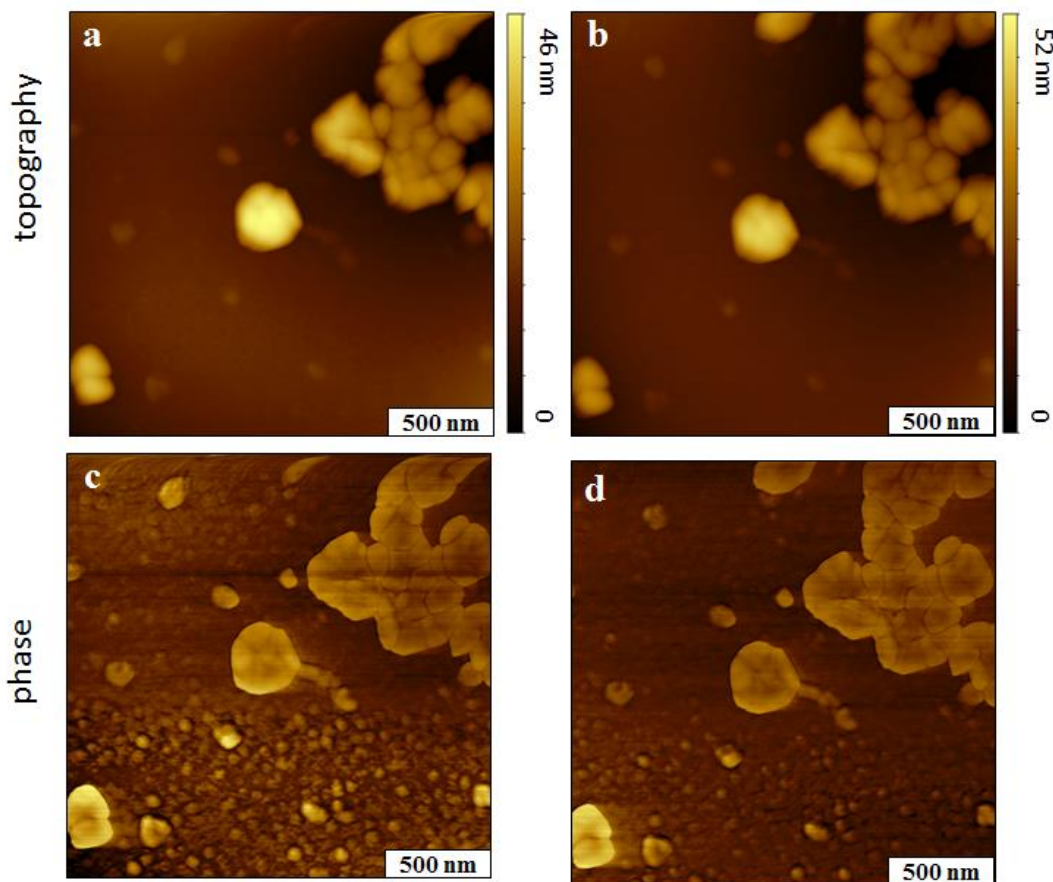


Figure 6.8. Images showing piezo creep artifact and subsequent corrected scan. (A) Topography view, $2 \times 2 \mu\text{m}^2$; and simultaneously acquired (B) phase image showing evidence of piezo creep artifact at the early stage of image acquisition. Corrected (C) topography and (D) phase image of the same area with no evidence of piezo creep.

Scanner creep is not limited to the x-y piezo-positioning components of the scanner. A similar effect can be observed in the z-direction of topographical channels of data where surface features with large or steep vertical dimensions. This results in the appearance of very sharp features in the topograph, but a cursor profile would reveal that there is an overshoot at the leading and sometimes trailing edges of these traces where the structures would appear taller or deeper at the edges.

This artifact is illustrated in Figure 6.9 where an overshoot of the tip can be observed in the cursor trace of the image. The artifact is observed at the beginning and end of sharp features

on the surface and results from the z piezo-ceramic materials delayed response to fast variations in the driving signal bias. There is no correction for this scanner artifact in tube scanners that do not have a z-axis calibration sensor.³ However, specific data such as the height can be gathered from these structures as long as it is not taken from the points on the structure that are corrupted by the artifact.

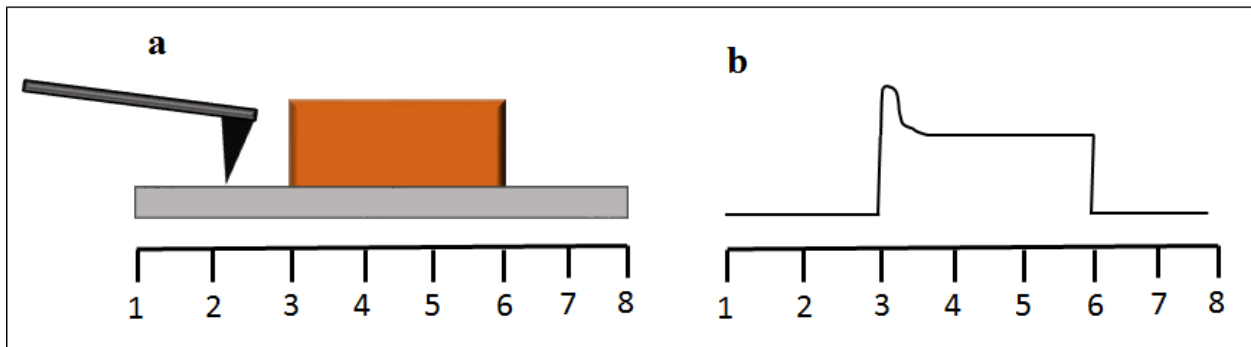


Figure 6.9. Piezo creep in the z direction that is commonly referred to as “edge overshoot.” The structure being imaged in (a) has distinct sharp features that leads to edge overshoot which can be observed in the initial edge artifact of the trace in (b).

6.3.2 Scanner Bow and Tilt

In Figure 6.1 the scanner is shown connected to the tip which scans across the surface. What is not shown is that the other end, which is not scanned across the surface, is attached to the body of the supporting microscope structure. This design results in an artifact known as scanner bow. Scanner bow is very typical as most instruments have this same setup. The curvature or bow of the tips path results from this design and originates from the discrete vertically curved pathway that the scanner takes while scanning from one end of the surface to the other. This artifact is particularly evident when scanning samples that are extremely flat, relatively speaking. It cannot be avoided however, it is very easy to remove via subtraction processing techniques that are standard with most image processing software packages.

Figure 6.10 contains topography images and corresponding cursor profiles of a surface of porphyrin nanostructures generated via particle lithography. The top row contains a topography image (Figure 6.10a) and cursor profile (Figure 6.10b) of the surface and nanostructures before the data has been processed. Evidence of the artifact is seen at the edges and middle of the topography image as the edges appear darker and the center appears lighter indicating an unexpected change in surface height when scanner bow is not accounted for. The cursor profile in Figure 6.10b allows a closer inspection of the degree and presence of the scanner bow. The total magnitude of the bow spans roughly 10 nanometers. As stated before, this artifact is unavoidable and must be corrected post-imaging. The effect does appear less when smaller areas are imaged. Corrected images are shown in Figure 6.1c and Figure 6.1d. These images were processed and the background bow was corrected by applying a 2nd order polynomial leveling function tool. The data is fit by the polynomial of a selectable order and then this fit data is then subtracted to generate a “level” view of the imaged data. After this subtraction is completed the topography image in Figure 6.10c no longer shows signs of scanner bow and the corresponding cursor profile of the line traced in the topography image confirms this.

Scanner tilt artifacts have a similar appearance to scanner bow artifacts. Scanner bow artifacts present as a curved surface while scanner tilt artifacts appear as a slanted or tilted surface. The explanation for this artifact is quite simple, the sample stage is tilted relative to path of the scanner. Efforts to mount the stage in the most planar sense can be made to reduce this artifact. Ultimately, the process of a plane or level subtraction will be necessary to remove this artifact, similar to the processing technique used for scanner bow.

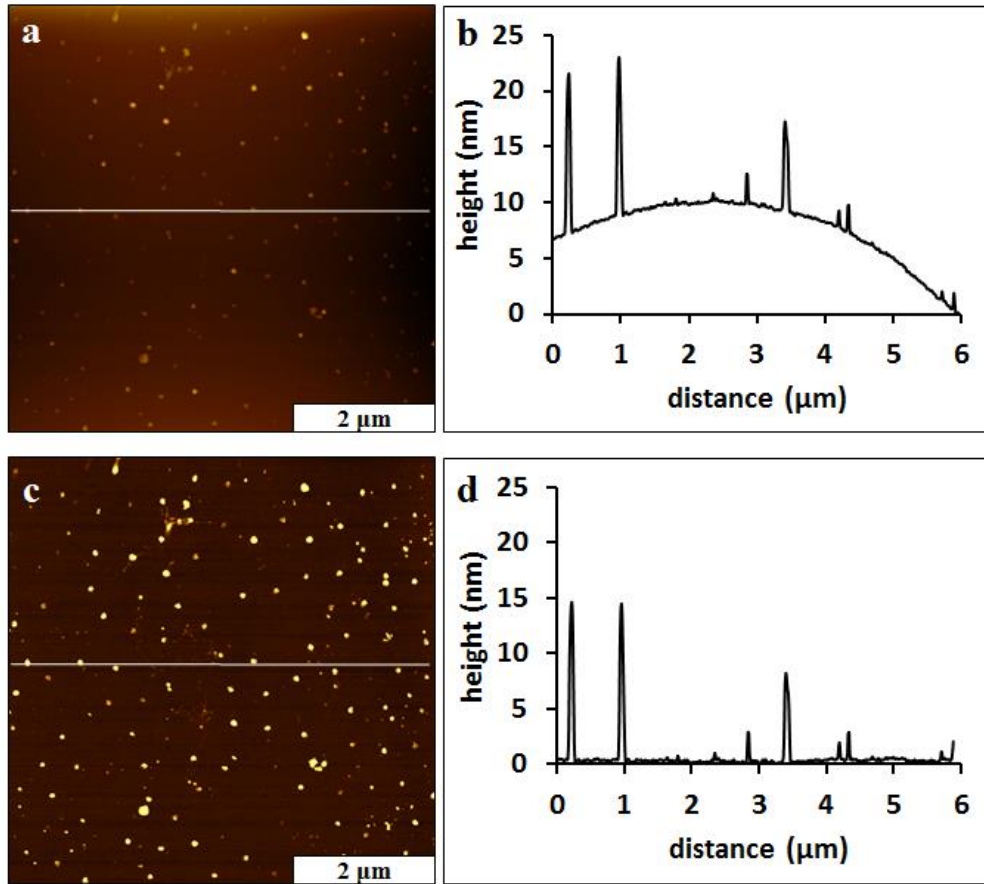


Figure 6.10. Topography images depicting the effect of scanner bow before (top row) and after (bottom row) processing. Two accompanying cursor profiles, before (b) and after (d), match the lines traced in (a) and (c) and provide a closer look at the “bow” of the scanner’s path as it moves across the surface/

In Figure 6.11 an image of an unprocessed (Figure 6.11a) topograph and (6.11b) cursor profile show features of a tilted surface where the sample surface at the top left appears lower than the bottom right. This artifact is easy to recognize and as easily accounted for. Most imaging software packages will correct for this while scanning the surface. However, when extracting the raw data file and moving it another unit, image processing will be necessary to correct for this tilt. The effects of this correction are displayed in the bottom row of Figure 6.11. Figure 6.11c is a processed view of the same topography image seen in Figure 6.11a. The tilt has been subtracted out via a plane subtraction and the corresponding cursor profile in Figure 6.11d reveals that there

is no longer an underlying tilt of the surface allowing for a more accurate depiction of the nanoscale surface facets and features.

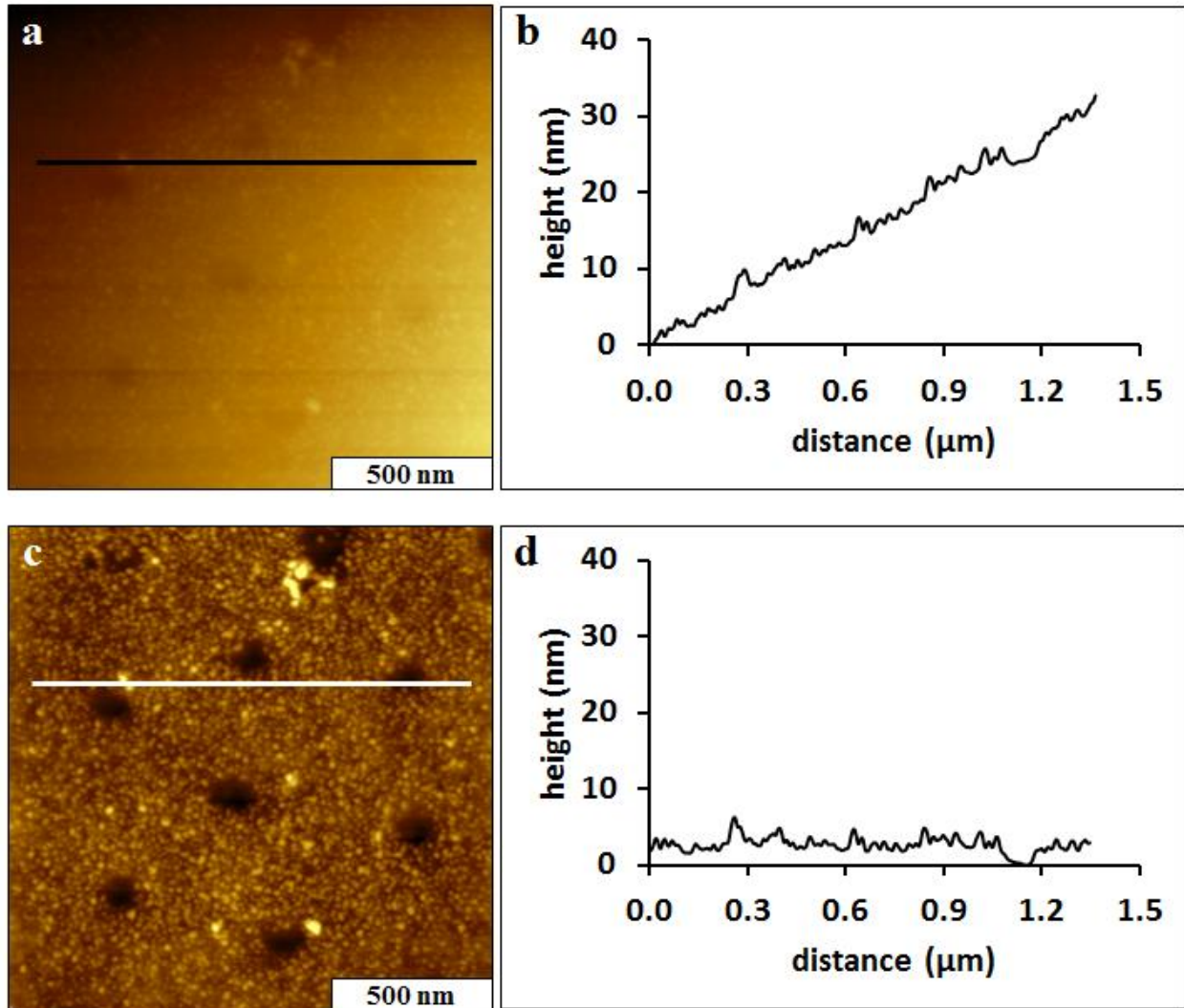


Figure 6.11. AFM topography images and cursor profiles that contain scanner tilt artifact. (a) Topography images of samples before processing that has scanner tilt artifact. (b) Corresponding cursor profile that traces line observed in (a) that provides view of substrate tilt. Corrected (c) topography image where the artifact has been processed out and the accompanying (d) cursor profile.

6.4 Image Processing and Externally Induced Artifacts

All measurements are subject to artifacts and many of these can be prevented and corrected for given the user understands the sample and the measurement tool as well as what to look for.

Image processing occurs after the data has been acquired and is necessary to remove unavoidable artifacts that are in part due to the design of the system and in part to the scale at which these measurements are being made. Image processing software packages range in number but all tend to serve essential key functions that include, data leveling, polynomial and plane corrections (subtractions), scar corrections that arise from common scanning error (local fault of closed loop), matrix filtering and 3-D representations of data.¹⁵⁸ Typically one or more of these processing tools will be necessary to appropriately process the images and the data specific to the features of interest. As noted above, the most common AFM instrument design results in scanner bow and tilt artifacts for almost all images which would require image processing for every image obtained. Without the proper knowledge of their specific functions, processing tools could lead to both complications of the existing artifacts and introduce new ones. Over-processing an image can result in the loss of data and the dulling of surface features that can ultimately diminish the quality of your surface image.

Each mode of imaging requires a general understanding of the operational principles to accurately and effectively manage the imaging parameters to gather the highest quality image of a given surface. The most common choice includes contact mode where the feedback is managed via a deflection set-point and tapping mode where the feedback is monitored through an amplitude set-point and dampening of that signal. The determination of the set points, scan speed, and the integral and proportional gains will all affect the quality of the image and when incorrectly set can result in the occurrence of image artifacts. Typically these parameters are determined on a case by case basis where experimentation with these parameters will result in the optimal settings for that surface and scan.

6.4.1 Image Processing Artifacts and Noise

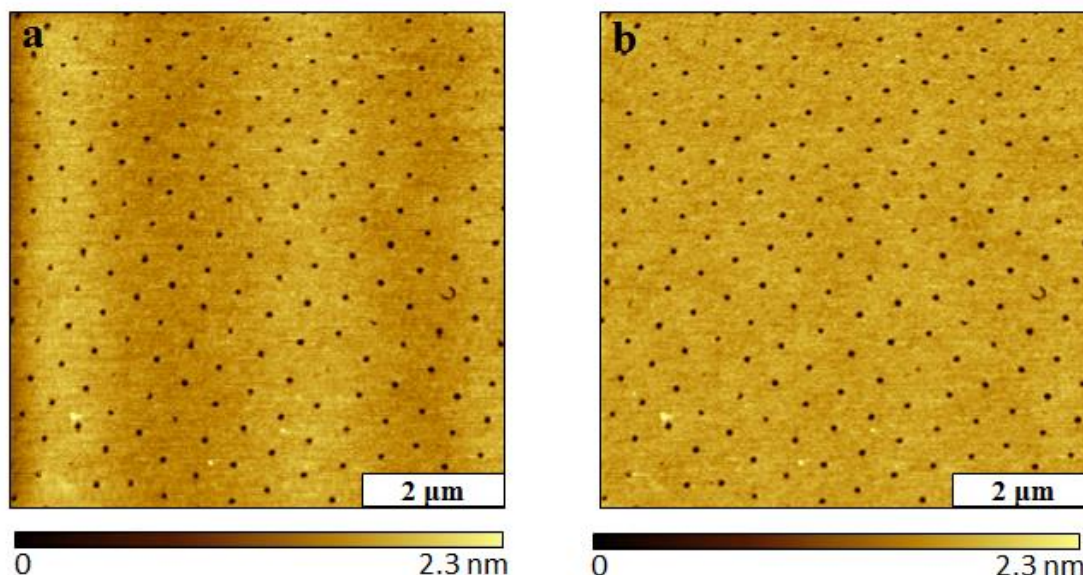


Figure 6.12 $6 \times 6 \mu\text{m}^2$ topograph views of (A) processing artifact and (B) image of the same area processed correctly.

The user should understand the procedure for image processing thoroughly because it can help to avoid a majority of common artifacts. It is imperative because one can easily over process an image by following a procedure line by line and it is possible an image may not need as many of the processing steps other images may need. It is vital to process an AFM image before analyzing the image or publishing. To alleviate image processing artifacts, it is advisable to calibrate the instrument before use. By calibrating the scanner, this will ensure that artifacts that arise from piezo-ceramic nonlinearities will be reduced during imaging. Nonlinearities are exemplified by tilt and bow artifacts in an image. Nonlinearities in the scanner may result in a necessary polynomial background correction that was discussed in detail in the previous section. Based on the nonlinearities, specific corrections can cause artifacts that lead to a shade-like pattern that can be observed in Figure 6.12a. In Figure 6.12a, the shade-like pattern alternates on and off throughout the image. To correct this error, image flattening or leveling is used. This allows the user to exclude areas that may have a deep nanopore or a tall abnormal structure on the surface.

When excluding an area, it omits the undesirable areas in the calculation of the background in the image leaving the desired image.

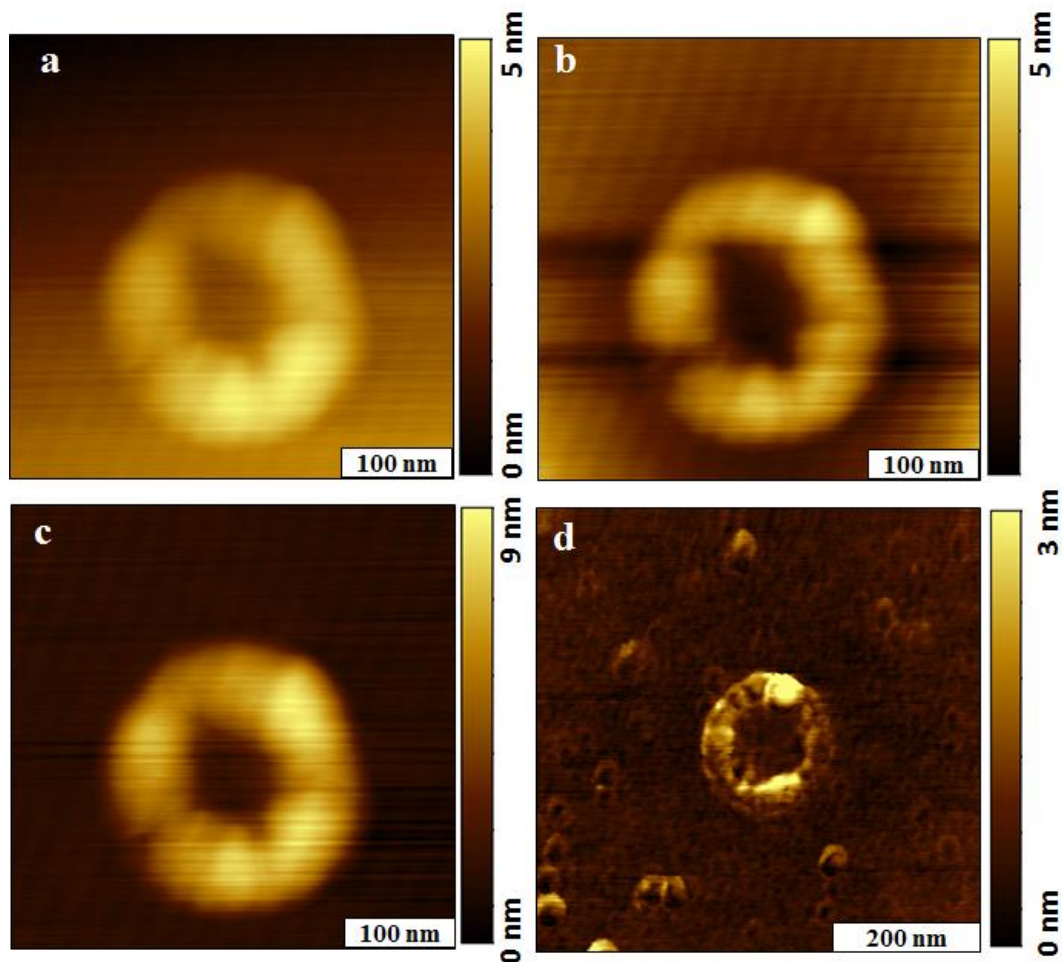


Figure 6.13. Electronic noise and processing artifacts in topography images of OTS rings. (a) Unprocessed topography image that contains evidence of electronic noise. (b) Processed topography image from (a) that contains processing artifact and electronic noise in the background. (c) Topography image that has been re-processed with correct masking technique to account for line defects and (d) new topography image of the surface features where artifacts are no longer observable.

Processing artifacts can often result after applying corrections in an incorrect order or without masking specific areas to remove them from the correction process entirely. In Figure 6.13a there are several observable artifacts including, scanner tilt, and electronic noise that are immediately visible. Some matrix filters, i.e. smoothing, are capable of reducing this electronic

noise however they will ultimately dull the sharper features of the surface. A plane correction and line correction results in the processing artifacts that are observable in Figure 6.13b. The two large streaks in 6.13b are the result of the extensive corrections that are applied to correct for the sample tilt and local defects present in the image. This correction without prior masking resulted in the dark divot effects that can be observed on both sides of the ring. In the proper order, the plane subtraction is done and an appropriate threshold mask is placed to mask the features of the ring; finally the local defect correction can be applied and the new topography image in 6.13c is obtained. This new topography image no longer shows the processing artifact. As previously discussed, the electronic noise can be partially corrected for by a matrix filter for noise, but in this case this tool was too detrimental to the OTS ring features so it could not be applied. To remove this artifact, a grounding wire was placed in contact with the stage and two wires connecting the controller to the instrument were separated and taped to the floating table. The surface was re-imaged and the topography image in Figure 6.13d was obtained where no electronic noise was observed.

6.4.2 Feedback Parameters Artifacts

Optimization of the instrument's system parameters is essential when obtaining high quality images for publication. Several parameters must be optimized including scan speed, proportional and integral-derivative gains (PID), as well as the driving set point. Through a process of trial-and-error these parameters must be optimized when one begins imaging a new surface. If the parameters are not optimized then artifacts will appear in the images obtained. These artifacts occur because when the parameters are not optimized the tip does not accurately trace the surface. There are generalizations that can be understood to help efficiently optimize these parameters but

typically the user will need to fine tune the specifics of these parameters during each imaging session.

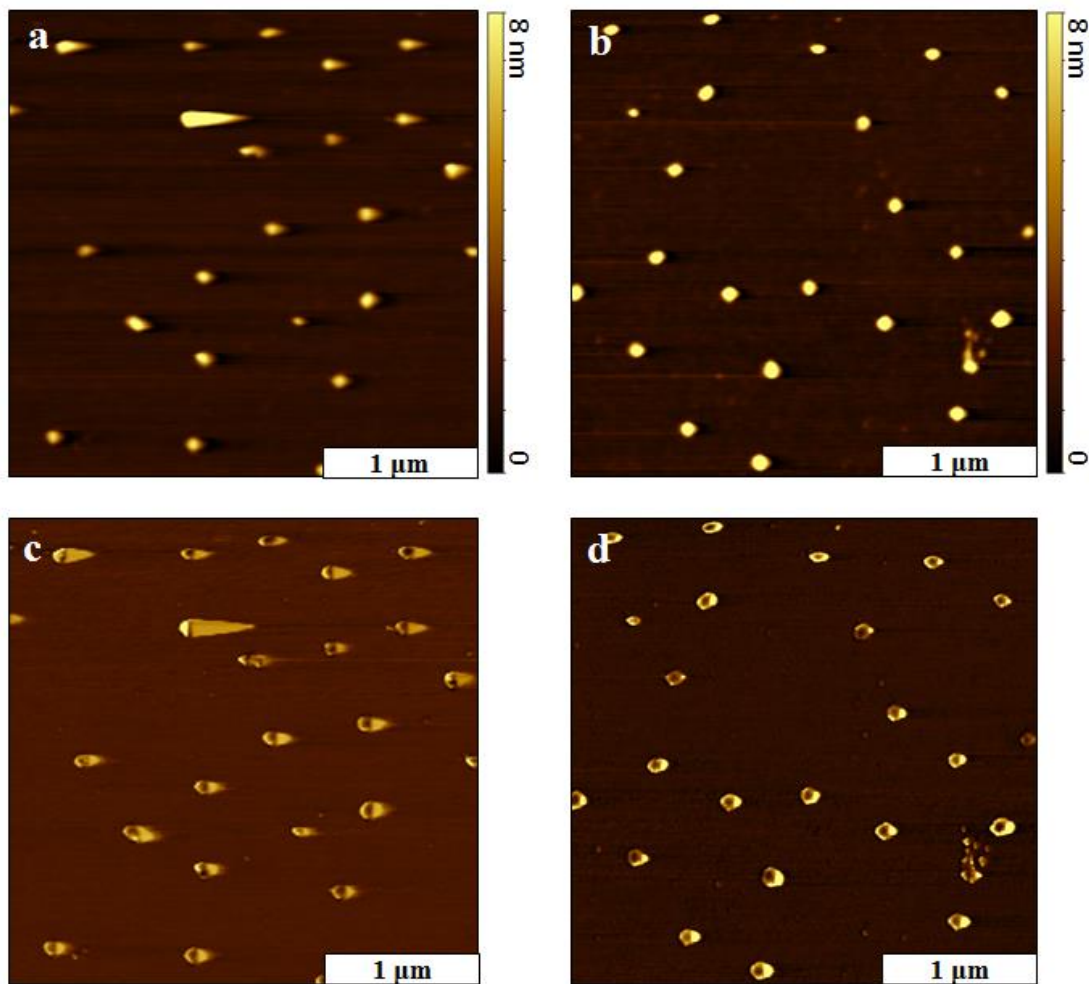


Figure 6.14. Images of “flying tip” artifact observed while characterizing sample of patterned CMPS. (A) Topography image, $3 \times 3 \mu\text{m}^2$; and (B) corresponding phase image showing CMPS nanopillars with long tails or “tips”. (C) Corrected topography image of same area with corresponding (D) phase image in which the tails are no longer observed.

In Figure 6.14 the topography and phase images in the left column contain an artifact that is commonly referred to as “flying tip”. In the forward trace topography image (Figure 6.14a) there are tail features that drag to the right of the periodically patterned CMPS nanopillars. This artifact can often be seen more clearly in the phase image (Figure 6.14c). This artifact can be commonly observed when imaging tall structures with steep features. Depending on the type of sample and

the initial scan rate, typically reducing the amplitude set point (in tapping-mode) and increasing the integral and proportional gains will force the tip to more accurately track the surface without deforming the morphology of the nanostructure. Figure 6.14a is a topography image the same sample surface with the imaging parameters optimized, and the amplitude set point decreased. After these optimizations the structure tails are no longer present in the image. This can also be observed in the corresponding phase image (6.14d).

In AFM an electronic controller receives data from the position sensitive photodetector and compares this measured signal to the driving signal that is set by the user. The difference between the measured signal and the driving signal is referred to as an error signal. The error signal in a typical AFM instrument is managed through a PID controller and this is called feedback control. The PID gains (Proportionate, and Integral-Derivative) must be optimized to obtain an image that is free of artifacts. The proportionate gain setting helps maintain the probe as it traces smaller features on the surface and the integral gains are responsible for assisting the probe's response as it tracks larger surface features.

In Figure 6.15 there is a topography (Figure 6.15a) and phase (6.15b) image of a single porphyrin nanopillar on a surface. In an effort to gain high quality images the PID parameters are often pushed as high as possible to ensure that the tip is reacting as fast as possible to changes in surface topography. However, imaging steep features at high gains can sometimes result in tip artifacts that result from systemic oscillations induced by the gains.¹⁵⁸ In Figure 6.15a and 6.15b these oscillations can be observed on the tops of the pillar structures. They can occur often when zoomed in to small areas that contains structures with sharp morphologies. To reduce the presence of the artifacts, the gains should be decreased and further optimization of PID gains should be conducted.

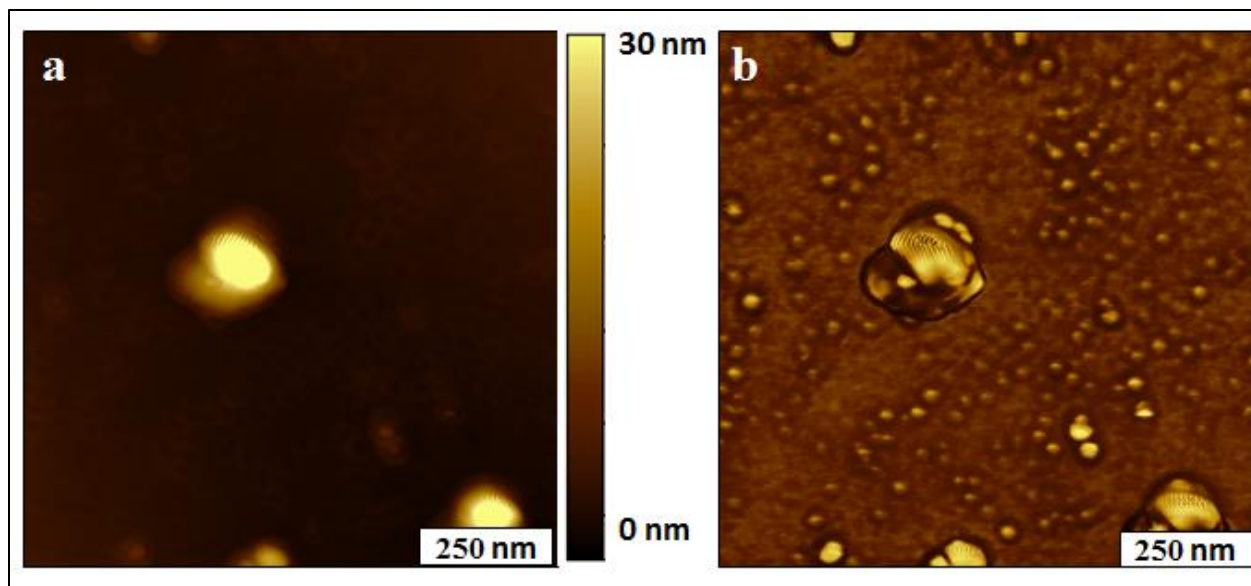


Figure 6.15. High resolution image of porphyrin nanopillars with localized systemic oscillation artifact. (a) Topography image of individual nanopillar with signs of artifact and simultaneously acquired (b) phase image where oscillation artifacts are more easily observed.

6.5 Conclusions

The artifacts discussed here represent only a few of the many artifacts that can be commonly encountered when using AFM. These artifacts and many like them derive from discrete sources within the measuring instrument and measurement analysis. Once one is familiarized with the nature of these artifacts, they can be more readily observed and corrected.

CHAPTER 7. CONCLUSIONS AND FUTURE PROSPECTUS

7.1 Conclusions

High resolution atomic force microscopy (AFM) was applied to analyze and gather measurements of nanopatterned samples using particle lithography. Platforms of organosilane nanoholes were fabricated via particle lithography to serve as nano-containers for the generation of CMPS and porphyrin nanostructures. This fundamental design principle can be used to engineer an array of nanopatterned, chemically modified, multi-faceted surfaces with controlled morphological and spatial properties. These nanoscale arrays have potential applications in molecular electronics, organic photovoltaics, and biosensors.

In Chapter 3 nanopillars of CMPS were generated through nanoholes of OTS. Their growth and morphology was studied in selected solvents of, bicyclohexane, toluene and dichloromethane. After characterizing *ex situ*, these samples with tapping mode AFM, it was concluded that multilayer structures were formed in all three, but more significant growth was experienced solutions of toluene and bicyclohexane. Multi-domain pillars were formed more frequently in solutions of toluene due to solvent integration within the CMPS nanopillars during the nanostructure formation. CMPS nanopillar growth was also studied at selected temperatures to gain insight into the molecular assembly mechanism kinetics at lower temperatures. The results indicate that structures formed at lower temperatures experienced less growth. This data indicates the significance of the preparation parameters and the control that can be used to fabricate structures of selectable morphology and composition.

Nanopatterns of CMPS nanopillars were fabricated within an OTS resist layer. This nanoscale array was used to assemble pyridal porphyrin to surfaces of Si(111) via CMPS nanopillar foundations. The resulting heterostructures were confirmed via significant height

changes after the porphyrin addition step. In total this fabrication scheme required three independent reactions to fabricate spatially confined CMPS-porphyrin heterostructures. Chapter 5 is a summary of the investigation of a silane-porphyrin coupling reaction that is applied with particle lithography and techniques of immersion deposition to engineer nanoscale arrays of silicon porphyrin nanostructures. Through this coupling reaction, silicon porphyrin nanostructures can be fabricated through a single reaction system with high throughput, tailorable dimensions and morphology. This strategy offers new novel potential for porphyrin supramolecular assembly on any surface that furnishes available hydroxyl groups and breaks new ground for surface modification applications. Future directions for this research include studying the relationship that nanoscopic volumes of water on the surface play in the exact nanostructure assembly.

In Chapter six commonly encountered imaging artifacts that occur when analyzing surfaces with an atomic force microscope. The function of this chapter was to provide keen insight from real sample, imaging, processing, environmental, and systemic artifacts to guide future users through technique development and to boost efficient capabilities in recognizing error and correcting for them. This review is comprised of a variety of surfaces and studies that provides a real snapshot of atomic force microscopies potential application while also discussing the most effective paths to acquire high resolution and quality data.

7.1 Future Directions

In future, studies, we would like to apply the silane-coupling reaction to a larger set of porphyrin and phthalocyanine molecules to study their assembly on surfaces of ITO. Through this method of nanofabrication, precise control of the organization of the potentially electron donating porphyrin or phthalocyanine can be achieved. The ultimate goal would be to characterize these nanostructures with photocurrent sensing AFM.

Recent studies within our group have incorporated a home-built AFM sample stage for measuring photocurrents. This stage incorporates a fiber optic cable for transmitting monochromatic or white light from a solar simulator under an AM 1.5 filter. With the photoconductive AFM stage, samples can be studied with or without an applied bias while the temperature of the sample can be monitored through an attached thermocouple. Engineering surfaces of ITO with nanoscale arrays of silicon-porphyrin and silicon-phthalocyanine nanostructures could be potentially advantageous in the future development of low cost, high efficiency organic photovoltaic solar cells. By applying the nanoscale lithography techniques that were shown throughout this dissertation, we can fabricate porphyrin and phthalocyanine nanostructures of selectable size and shape. These variations in morphology can be sensitively probed to study how changes in supramolecular self-assembly can impact the nanostructure's photoconductive properties. The self-assembly of porphyrin and phthalocyanine molecules with varied substituents and band gap properties can be studied *ex-situ* with current prototypes in the lab. Future work would also seek to expand the scope of these investigations to include *in-situ* AFM analysis of the various porphyrin film and nanostructure growth in real time.

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APPENDIX
SUPPLEMENTARY INFORMATION: CHAPTER 4

Analysis of Porphyrin Heterostructure's Size Changes.

Previous studies have shown that by controlling the temperature of the immersion in which CMPS nanopillars are grown, the resulting nanostructure heights can be tailored, with larger structures being generated at higher temperatures given the same immersion time. Three different immersions reactions were completed with previously fabricated samples containing nanoholes. Each of the immersions was conducted at a selected temperature (-6 °C, 4 °C and 20 °C) to generate CMPS nanostructures of increasing height, respectively. These samples were then characterized using AFM and subsequently refluxed in a solution of H₂TPyP to facilitate the attachment and further growth of patterned CMPS-porphyrin heterostructures.

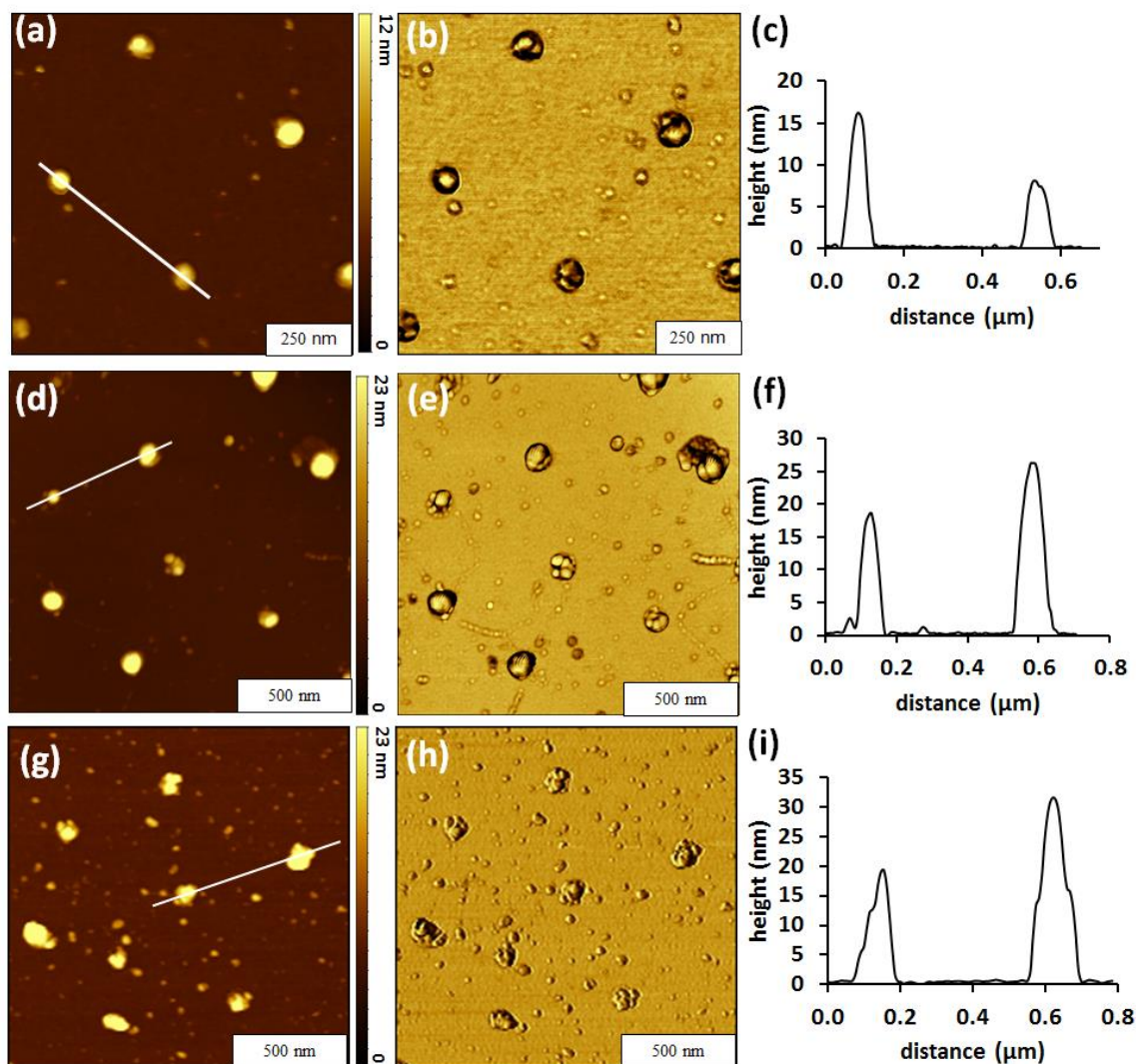


Figure A.1. Nanopillars of CMPS grown in a solution of toluene. (a) Topography image, $1 \times 1 \mu\text{m}^2$, of CMPS nanopillars grown in solution from nanoholes at -6°C with (b) simultaneously acquired phase image. (c) Cursor profile that corresponds the line traced in image (a). (d) Topography image of CMPS nanopillars grown in solution at 4°C with simultaneously acquired phase image (e) and (f) cursor profile mapping line shown in (d). Topography image (g) of CMPS nanopillars grown in solution at 20°C for 30 min with phase image (h) and corresponding (i) cursor profile

Nanopatterned CMPS nanopillars were generated on Si(111) within an OTS matrix and characterized with tapping mode AFM as shown in Figure A.1. In this figure CMPS nanopillars were generated in a solution of toluene at three selected temperatures, -6°C (Figure A.1a-Ac), 4°C Figure (A.1d-f), and 20°C (Figure A.1g-Ai) to fabricate nanopillars of increasing heights. A topography view (Figure A.1a) of the nanopillars grown at -6°C reveals six nanopillars within a

$1 \times 1 \mu\text{m}^2$ area. The simultaneously acquired phase image (Figure A.1b) reveals that the elastic response probed by the tip is different between the CMPS nanostructures and the surrounding OTS matrix. The cursor profile in Figure A.1c shows the trace of the line in Figure Aa that tracks the topography of two CMPS nanopillars. The average of the nanopillars generated at -6°C was measured to be $11 \pm 5 \text{ nm}$ ($n = 45$). In the next row, Figure A.1d contains a topography view of hexagonally patterned CMPS nanopillars. In this topography view, CMPS nanostructures have a more fractured morphology. This can be seen more keenly in the corresponding phase image (Figure A.1e). The occurrence of this fractured structure occurs from an increase in nucleation sites during the initial phase of CMPS surface assembly. This increase is the result of solvent-sample interactions that are promoted through π - π interactions between the toluene and CMPS rings.¹³¹ Figure A.1f is a cursor profile that reveals the multiply tipped nanopillars viewed in Figure A.1d-e and also shows the structure to structure still matches the diameter of the microsphere mask (500 nm) that was used to generate the platform from which the nanostructures were generated. The average height of the nanopillars that were grown at 4°C was measured to be $16 \pm 9 \text{ nm}$ ($n = 75$). Figures A.1g and A.1h show topography and phase images, respectively, of CMPS nanopillars grown at 20°C . Structures generated at this temperature were measured to be $19 \pm 6 \text{ nm}$ ($n = 45$) tall and this larger growth can be observed in the cursor profile (Figure A.1i) that matches the line traced in Figure A.1g.

CMPS-porphyrin heterostructures arrays were generated by refluxing the CMPS patterned substrates in a 1.8 mM solution of H_2TPyP in ethanol and chloroform (1:9) for 48 h at 100°C . The CMPS-porphyrin heterostructures shown in Figure A.2 were generated from the CMPS patterned substrates discussed above. Platforms of CMPS nanopillars were generated on substrates at three selected temperatures. The substrates contained nanopillars of different heights so that the

subsequent growth of the CMPS-porphyrin heterostructures could be studied relative to the height of the CMPS linker nanostructure.

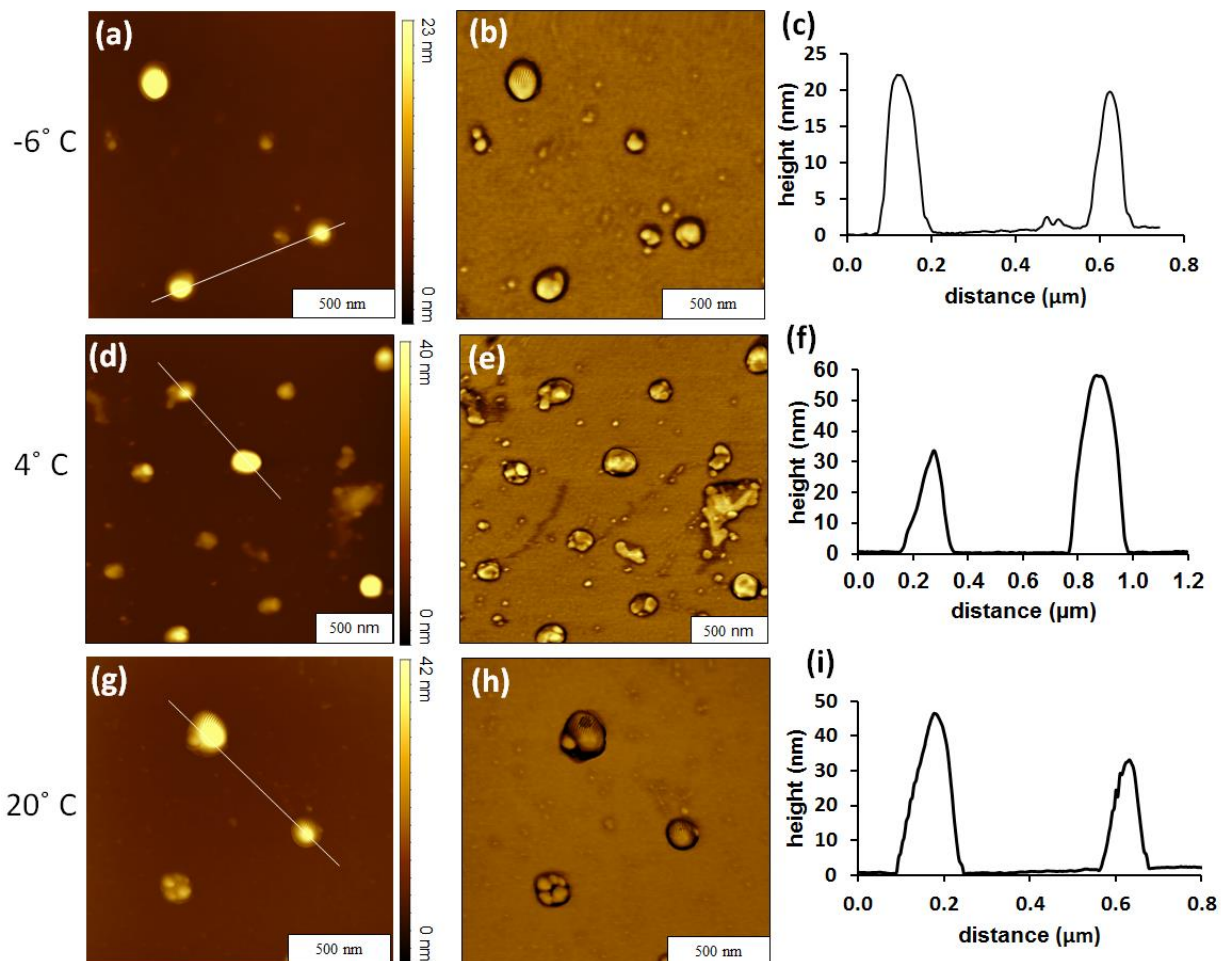


Figure A.2. CMPS-porphyrin heterostructures grown from CMPS nanopillars in solution at selected temperatures for 30 min. (a) Topography image, $1.5 \times 1.5 \mu\text{m}^2$, of heterostructures grown in solution from CMPS nanopillars formed at -6°C with corresponding (b) phase image and (c) cursor profile. (d) Topography image of heterostructures grown from CMPS nanopillars formed in solution at 4°C with simultaneously acquired phase image (e) and (f) cursor profile mapping line shown in (d). Topography image (g) of heterostructures grown from nanopillars of CMPS which formed in solution at 20°C for with phase image (h) and corresponding (i) cursor profile.

The topography image (Figure A.2a) contains heterostructures that are comprised of H2TPyP that has assembled and grown from a CMPS nanopillar foundation that was previously grown at -6°C . The phase image (Figure A.2b) reveals brighter spots that are the heterostructures.

These brighter structures show a different surface chemistry between the nanostructures and the surrounding OTS matrix that serves as a resist. The cursor profile in Figure A.2c shows a trace of the line in Figure 4.7a and maps the topography of two heterostructures. The average height of the CMPS-porphyrin heterostructures that were generated from CMPS nanopillars grown at $-6\text{ }^{\circ}\text{C}$ was measured to be $15 \pm 5\text{ nm}$ ($n = 43$). The $2 \times 2\text{ }\mu\text{m}^2$ area topography (Figure A.2d) and phase images (Figure A.2e) in the middle row indicate that the previously fabricated CMPS nanopillars have increased in height and now have more morphological consistency (less fracturing within single nanopillar). There are 13 heterostructures within the image, which scales to 10^8 nanostructures in a $1 \times 1\text{ cm}^2$ area. This indicates that the fabrication process for these heterostructures did not result in any damage to the sample surface nor to an increase in surface defects. The cursor profile in Figure A.2f traces two heterostructures and reveals that the structures across the surface are diverse in height. The average measured height of the heterostructures that were fabricated from CMPS nanopillars grown at $4\text{ }^{\circ}\text{C}$ was $24 \pm 10\text{ nm}$ ($n = 68$). The zoom-in topography image in Figure 4.7g provides a close look at the morphology between 3 heterostructures that are adjacent to each other. The structures observed in this image and the corresponding phase image (Figure A.2h) highlight the site specific reactions that confine the assembly of H2TPyP to nanopillars of CMPS exclusively. The average height of the heterostructures prepared from CMPS nanopillars that were grown at $20\text{ }^{\circ}\text{C}$ was $28 \pm 10\text{ nm}$ ($n = 56$). The cursor profile in Figure A.2i shows that the spacing of the heterostructures matches that of the CMPS nanopillars (500 nm) from which it was grown, as well as the nanoholes from which the nanopillars were fabricated within.

Table A1. Nanostructure heights through each step of nanofabrication process.

CMPS Attachment	Average Height (nm)	RSD (%)	Layers (n)
-6° C Sample	11 ± 5	45	20-50
4° C Sample	16 ± 9	56	20-80
20° C Sample	19 ± 5	26	40-70
After Porphyrin Attachment	Average Height (nm)	RSD (%)	Layers (n)
-6° C Sample	15 ± 5	32	10-20
4° C Sample	24 ± 10	41	20-30
20° C Sample	28 ± 9	32	25-35

Measurements of the heights were taken from each sample before and after the porphyrin attachment to the CMPS nanostructures. Those averages are shown in Table A1. An increase in height was observed in all samples after the addition of H₂TPyP to the foundational CMPS nanopillar. The heights indicate that multiple layers were formed in both the deposition of the CMPS nanopillar and during the assembly and growth of the porphyrin-CMPS heterostructures. The total number of layers of porphyrin growth was estimated after subtracting the average height of the CMPS nanopillars upon which they assembled.

The data from Table 1 was summarized and is shown in Figure A.3 to depict the growth of the heterostructures after the addition of H₂TPyP. In Figure 8 the average growth before and after the porphyrin addition was compared. The groups are broken down in similar fashion to what was shown in Table A1. Where the CMPS nanopillars grown at a selected temperature were compared before (blue) and after (green) and their average growths were analyzed. The graph clearly shows that CMPS nanopillar height increases with increasing temperature as shown in previous studies. There is also an increase in height observed after the porphyrin addition across all three sample

groups. Each group experienced a statistically significant increase in height based upon a two-tailed t-test analysis of means at a 95% confidence level.

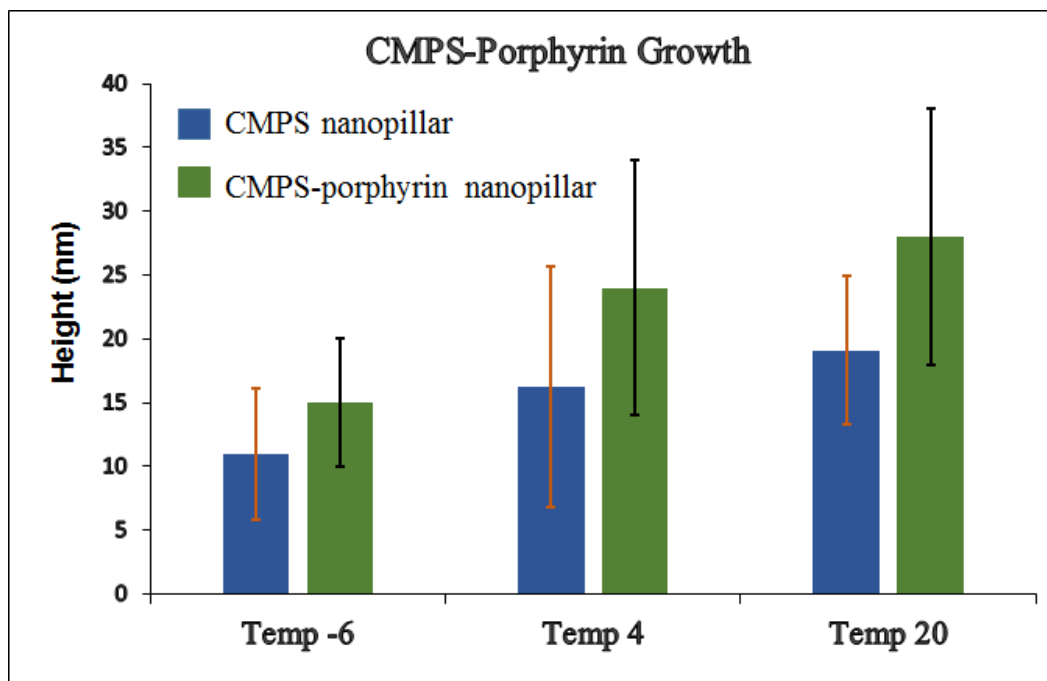


Figure A.3. Heights of CMPS pillars (blue) and CMPS-porphyrin heterostructures (green).

VITA

Phillip Chambers Jr. was born in Dalton, Georgia to his parents, Phillip and Debbie Chambers in January of 1990. He earned his High School Diploma from Loudoun Valley High School in 2008 in Purcellville Virginia. He then attended James Madison University where he obtained a bachelors of science in chemistry in 2012. While at James Madison, Phillip conducted research under Dr. Daniel M. Downey where he led a new project to determine an elemental fingerprint of a local invasive algal species using inductively coupled plasma mass-spectrometry. His results were presented at National and local conferences around the country.

In 2012 Phillip decided to pursue a PhD in chemistry and chose to do so at Louisiana State University. In 2013 He joined the Garno research Group at LSU and began learning about nanoscale fabrication and surface characterization using techniques of scanning probe microscopy. He has taught General chemistry labs, Analytical labs, as well as Instrumental Characterization labs during his tenure at LSU. In research, he has contributed two first author manuscripts that have been submitted for publication, two more first author publications that are in preparation as well as three co-authored manuscripts that are in preparation. He has presented his research at multiple national American Chemical Society conferences. He has mentored multiple undergraduate students in the Garno Lab and helped to generate new research projects.