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Self-centering structural systems with combination of hysteretic and viscous energy dissipations

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SUMMARY

This paper presents an innovative set of high-seismic-resistant structural systems termed Advanced Flag-9 Shaped (AFS) systems, where self-centering elements are combined in series and/or in parallel with alternative forms of energy dissipation (yielding, friction and viscous damping). AFS systems is developed

- using the rationale of combining velocity-dependent with displacement-dependent energy dissipation for self-centering systems, particularly to counteract near-fault earthquakes. Non-linear time-history analyses
- 13 (NLTHA) on a set of four single-degree-of-freedom (SDOF) systems under a suite of 20 far-field and 20 near-fault ground motions are used to compare the seismic performance of AFS systems with the
- 15 conventional systems. It is shown that AFS system with a combination of hysteretic and viscous energy dissipations achieved greater performance in terms of the three performance indices. The use of friction
- slip in series of viscous energy dissipation is shown to limit the peak response acceleration and induced base-shear. An extensive parametric analysis is carried out to investigate the influence of two design parameters, λ_1 and λ_2 on the response of SDOF AFS systems with initial periods ranging from 0.2 to 3.0 s
- 19 parameters, λ_1 and λ_2 on the response of SDOF AFS systems with initial periods ranging from 0.2 to 3.0 s and with various strength levels when subjected to far-field and near-fault earthquakes. For the design
- of self-centering systems with combined hysteretic and viscous energy dissipation (AFS) systems, λ_1 is recommended to be in the range of 0.8–1.6 while λ_2 to be between 0.25 and 0.75 to ensure sufficient
- 23 self-centering and energy dissipation capacities, respectively. Copyright © 2009 John Wiley & Sons, Ltd.

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KEY WORDS: self-centering systems; SDOF; near-fault responses; advanced flag-shape; performancebased earthquake engineering (PBEE); energy dissipation

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1. INTRODUCTION

1

The unexpectedly high financial losses related to functional downtime and to structural and non-structural damage from recent large earthquakes near urban centers highlight the limitations behind the modern ductile designs. Stakeholders (owners, communities and regulatory authorities)'s

- 5 expectations may not be achieved without explicit specification of performance of the engineered structures. Some of the shortcomings within traditional seismic codes have been addressed in
- 7 the development of performance-based earthquake engineering (PBEE) [1]. The shift of societal expectation on the resilience of the engineered structures also implies a need for seismic resisting
- 9 systems that allow immediate occupancy in moderately strong events and shall not require significant repair and functional downtime; thus, guaranteeing the operation of essential emergency
- 11 services and minimal disruption to business continuity in extreme seismic events. In search of alternative seismic-resisting systems that would satisfy the higher performance
- 13 objectives of the PBEE, structural systems have been developed with an emphasis on minimizing structural damage and downtime. Initially developed for pre-cast concrete structures under the
- 15 U.S. PRESSS research program in the 1990s [2–4] and later extended to bridge piers (bridge piers [5, 6], a series of innovative moment-resisting connections using post-tensioning concepts
- 17 were further developed for steel moment resisting frames (MRFs) [7–9] and more recently to timber (Laminated-Veneered-Lumber, LVL) multi-storey frame and wall buildings [10]. It was
- 19 demonstrated experimentally and numerically that these systems performed well under simulated seismic loading, exhibiting stable flag-shape hysteresis behaviour. Structural damage and residual
- 21 deformations (therefore repair cost) were minimized to negligible levels through the rocking mechanism and self-centering capabilities without any significant increase to peak responses, as
- shown in pseudo-dynamic testing [4, 5, 10] and numerical analyses [6, 11–13]. Design guidelines for these self-centering systems have also been included in the and ACI design recommendations
- 25 [14], fib Bulletin no. 27 [15] and New Zealand design code [16]. Figure 1 presents some applications of these flag-shaped hysteresis systems.
- 27 Since the 1971 San Francisco earthquake, the peculiarities of the ground motions near the fault with the amplification of seismic wave in the direction of rupture (forward directivity) and/or



Figure 1. Un-bonded post-tensioned beam column joints: (a) Concrete with internal dissipative reinforcement [16] and (b) LVL beam–column joint with external mild-steel energy dissipators tested in New Zealand [10].

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- 1 permanent tectonic deformation (fling-step effect) have been observed [17–19]. These peculiar near-fault ground motions have been shown to cause significant displacement and ductility demand
- 3 in structures as well as a possible amplification in inter-storey shear demand for both long and short period conventional structures [20, 21]. However, prior to the 1997 Uniform Building Code
- [22], there was no near-fault amplification factor for the design hazard spectra. Furthermore, the current approach of amplification for near-fault effects has also been shown to be inconsistent with
 recorded strong ground motion data [19].
- Following the development of the self-centering systems and the uncertainties associated with the near-fault earthquake directivity and fling effects, an advanced self-centering system, herein
- termed as Advanced Flag-Shape (AFS) [23], is proposed as an alternative solution for high-seismic
- 11 performance system in near-fault regions. In AFS systems, self-centering elements are combined in series and/or in parallel with alternative forms of energy dissipation (yielding, friction and
- 13 viscous damping). In this contribution, the concept of AFS systems and the combination of different energy dissipation types on the hysteresis shapes of the self-centering systems are first qualitatively
- 15 described. This comparative analysis is then extended to quasi-static cyclic (push-pull) analyses and NLTHA with two sets of 20 ground motion records. Two governing parameters on the seismic
- 17 response of various AFS SDOF systems are introduced and discussed. Finally, a parametric study of AFS systems is carried out, resulting in the development of a series of inelastic response spectra
- 19 that can be used for design and performance evaluation.

2. SELF-CENTERING SYSTEMS PERFORMANCE MEASURES

21 2.1. Past research on self-centering systems SDOF behaviour

A limited amount of previous studies on effect of hysteresis parameters on self-centering systems have all concentrated on hysteretic-only (yielding or friction -damped) energy dissipations [11, 24–26]. Several governing parameters were generally considered including strength (or strength reduction *R*) factor, post-yield stiffness and energy dissipation coefficient, β .

- Studies [11, 12] have showed that the a flag-shaped hysteretic single-degree-of-freedom (SDOF) system of equal or lesser strength can always match the response of a conventional elastoplastic
- or hysteretic SDOF system in terms of displacement ductility. Using a constant *R*-factor spectra approach, Seo and Sause [26] investigated the ductility demand of hysteretic self-centering systems
- with different hysteresis shapes and different soil conditions. Increased post-yield stiffness and
- 31 energy dissipation coefficient β were shown to decrease the ductility demand for self-centering systems. The use of displacement ductility demand as a response parameter of hysteretic self-
- 33 centering systems however can be a misleading damage parameter, as experimental data for these systems have shown that self-centering systems can be designed to have large displacement ductility
- 35 capacities without significant structural damages [2–5, 7, 8, 10]. Focusing on the equivalent damping ratio ξ_{eq} , several studies investigated the effect of different
- 37 hysteretic SDOF models such as self-centering flag-shape, elastoplastic and degrading stiffness hysteresis. However, these studies did not consider the influence of the different ground motions
- 39 (with directivity effects) or different design parameters of the models.For this study, the approach is to adopt a constant strength SDOF model in order to establish
- 41 a comparable seismic response between the different systems. Ground motion with and without directivity effects are used to investigate its effect on self-centering systems. Previously higher

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1 displacement ductility demand and base-shear demand were observed for self-centering systems with hysteretic-only damping when subjected to near-field ground motions [27].

3 2.2. PBEE performance measures

To evaluate the performance objectives of performance-based structural systems, a set of defined engineering demand parameters that are directly related to the structural and non-structural damage levels is required. The current PBEE framework [1] has acknowledged that maximum deforma-

- 7 tion (e.g. inter-storey drift) alone is inadequate to define the damage to a particular structure. Increasingly, it is recognized that residual deformation is an important and complementary damage
- 9 indicator [12, 13]. In FEMA 450 [1], for example, quantifiable limits of residual drifts in relation to various structural performance levels are expressed. In addition, it is recognized that some non-
- 11 structural elements can be acceleration-sensitive [1], in which excessive acceleration can lead to damage and loss of functionality of the structure. Therefore, three non-dimensionless performance
- 13 indices (maximum drift $\psi_{\rm M}$, residual drift $\psi_{\rm R}$ and maximum acceleration $\psi_{\rm A}$ of the SDOF systems) are used.

15 2.3. Global PBEE performance matrix

Extending the concept of multi-level performance levels from FEMA 450 [1] and the 3D performance matrix based on a combination of maximum and residual deformations suggested by

- Pampanin *et al.* [12], it is suggested that a global PBEE performance matrix should include the three performance measures that take into account the structural and non-structural damage. The global PBEE performance matrix, shown schematically in Figure 2, represents a graphical view of
- 21 the concept of accounting for the three performance measures, for a given level of seismic intensity associated with a specified return period. This represents an improved version of FEMA approach
- 23 (e.g. FEMA 450 [1]) of quantifying global performance level as a combination of structural and non-structural performance levels, associated with physical damage indicators such as drift
- 25 limits.

31

2.4. Normalized strength ratio

- 27 Lastly, to make reasonable comparison between each system, the design strength ratio has to be considered, which represents the maximum force demand on the structural system itself. A normal-
- 29 ized strength ratio, $\psi_{\rm S}$, taken as the ratio of the base-shear to the weight of the SDOF system, $W_{\rm SDOF}$, is herein used as a performance measure.

$$\psi_{\rm S} = \frac{V_{\rm Base}}{W_{\rm SDOF}} = C_{\rm s} = \frac{S_{\rm a}}{R/I} \tag{1}$$

where S_a is the design spectral response acceleration, I is the importance factor and R is the 33 strength reduction factor. As this study adopts a constant-strength spectra approach, non-linear SDOF with constant strength, V_{Base} with varying stiffness and periods are used to generate the

35 inelastic spectra in Section 6.

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Figure 2. The concept of global PBEE performance matrix with performance levels as function of maximum drifts, residual drifts and floor accelerations.

1 3. CONCEPTUAL DEVELOPMENT OF ADVANCED FLAG-SHAPE SYSTEMS

3.1. Traditional hysteretic self-centering systems

- 3 As discussed, numerous research, both experimental and numerical in the past two decades have culminated in substantial knowledge base [2–11] and codification of the design of the hysteretic
- 5 self-centering systems [14–16]. More recent developments within the traditional hysteretic selfcentering systems include the extension to other materials including steel [7–9, 28, 29] and timber
- 7 [10]. In addition, the concept has also been extended to different forms in which the self-centering capacity is derived not from un-bonded post-tensioning tendons, but from self-centering braces
- 9 [30-32] and Shape Memory Alloy (SMA) elements [33] also in base isolation application [34]. Regardless the source of self-centering elements (from un-bonded pre-stressing tendons, self-
- 11 centering braces or SMA), traditional self-centering system still relies on the energy dissipating capability from additional energy dissipation hysteretic/yielding devices (either inherent (as in
- 13 SMA), internal or external). The self-centering behaviour can be modelled numerically with an idealized bi-linear elastic spring [35], as shown in Figure 3(a). In order to supply sufficient energy
- 15 dissipation capacity to the self-centering system, additional mild-steel elements (reinforcement bars or yielding angles) were used as hysteretic damping [2–11, 36]. More recent research have
- 17 used friction hysteretic dampers as energy dissipation elements [37, 38]. While friction hysteretic damping has ideally a much higher initial stiffness, the additional hysteretic energy dissipation
- 19 can still be adequately modelled as a bi-linear elasto-plastic (with zero post-yielding stiffness in this case) spring element (Figure 3(b)). The traditional hysteretic self-centering system can be
- 21 modelled as the bi-linear elasto-plastic spring combined in parallel with the bi-linear elastic spring,

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Figure 3. (a) Bi-linear elastic spring model (re-centering); (b) bi-linear elastoplastic spring model (hysteretic energy dissipation); and (c) idealized hysteretic rule for self-centering FS system [16].

1 as shown in its idealized form in Figure 3(c). For brevity, traditional self-centering flag-shape hysteresis system (with hysteretic energy dissipation) is herein referred to as FS system.

3 3.2. Limitations of hysteretic (displacement-proportional) damping under near-fault excitations

While the traditional hysteretic dissipative (i.e. displacement-proportional dissipation) systems may

- 5 be effective in typical far-field earthquakes, such systems may develop lower-than-expected energy dissipation in low-cycle ground motions, characteristics of the near-fault excitation. This is evident
- 7 in numerical studies [20, 21] that have shown that modern ductile multi-storey frame structures can undergo severe inelastic deformations in near-fault excitations, generating excessively high
- 9 ductility demand on the structural elements, particularly tall and flexible structures (of moderate to long periods).
- 11 The conventional assumption of (area-based) equivalent viscous damping, ξ_{SDOF} , and the associated force reduction factor, *R*, are based on the assumption of a full-cycle hysteresis response
- 13 of the SDOF systems to achieve the implied hysteretic energy dissipation [39]. In addition, these are further calibrated with dynamic analysis using ground motion records without any directivity
- 15 effects [39]. For hysteretic self-centering FS systems with moderately low damping, with typical values of ξ_{FS} in between 10 and 15% [40], the peculiar effects of near-fault excitations may lead to
- 17 lower than expected energy dissipation and thus less satisfactory performance of the FS systems.

3.3. BLEV systems—velocity-proportional dissipating mechanisms combination in parallel with self-centering contribution

In order to achieve adequate energy dissipation capacity under near-fault excitations, supplementary velocity-proportional passive dampers can be added to self-centering systems. Several researches

- have combined alternative energy dissipation (friction or viscous) in parallel with self-centering elements, particularly for structural walls [37, 41–43], steel moment frames [29, 38, 44] and bridges [6, 43]. Christopoulos *et al.* [31] have proposed various energy dissipation types (yielding, friction
- and viscous) in parallel with self-centering elements within a self-centering brace units. Such single-type dissipation in parallel with self-centering element can be modelled using a velocity-
- 27 proportional dashpot in parallel with bi-linear elastic self-centering spring, as shown in Figure 4. Such a combination of bi-linear elastic spring in parallel with viscous dashpot is herein called
- 29 BLEV systems.

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Figure 4. BLEV system: (a) schematic SDOF model and (b) idealized hysteretic model.



Figure 5. AFS1 system: (a) schematic SDOF model system and (b) idealized hysteretic model.

- 1 Intuitively, added velocity-proportional dampers yield higher energy dissipation capacity under near-fault excitations. However, self-centering systems with viscous-only damping would encounter
- 3 limited energy dissipation and excessive induced damper force in scenario of relatively low or excessively high excitation velocity, respectively. Parallel lessons can be learnt from the use of
- 5 supplementary viscous dampers in parallel with base-isolation system, where, as noted by Kelly [32], where added viscous damping reduces base-shear but increases floor acceleration and inter-
- 7 storey drifts.

9

3.4. AFS systems—velocity-proportional and displacement-proportional dissipating mechanisms combined in parallel with self-centering contribution

Given the limitations of either hysteretic-only or viscous-only energy dissipations, the possibility of combining different dissipation mechanisms in parallel and/or in series within the self-centering systems becomes more attractive. Herein, two other AFS systems are briefly discussed: AFS1 and

- 13 AFS2. One variant of AFS systems is to combine in parallel displacement-proportional damping and velocity-proportional damping with self-centering elements (herein referred to AFS1). Figure 5
- 15 shows the idealized SDOF model for the hysteresis behavior of the AFS1 system. Displacementproportional damping can provide sufficient energy dissipation and strength at low excitation
- 17 velocity while the inherent advantages of velocity-proportional damping can reduce the displacement demand at high excitation velocity.
- 19 The combination of various dissipative mechanisms is not entirely novel and the concept has been widely used for base-isolation systems, where supplementary dampers are used in parallel
- 21 or in series with lead-rubber-bearing (LRB) isolators [45, 46]. It has been shown that the use of frictional dampers (e.g. LRB) in parallel with viscous dampers can reduce maximum responses in near-fault events without significant increase in base-shear [45].

Q1



Figure 6. AFS2 system: (a) schematic SDOF model and (b) idealized hysteretic model.



Figure 7. (a) AFS2 connections for moment-resisting steel frame; (b) AFS2 precast concrete bridge pier (adopted from [43]); and (c) BLEV connection structural wall [43].

- The second variant of AFS system, herein referred to as Advanced Flag-Shape 2 (AFS2) system, utilizes a combination of a velocity-dependent damper in series with a friction-slip element, in
 place of the linear viscous damper alone in the AFS1 systems. The schematic SDOF of the AFS2
- 3 place of the linear viscous damper alone in the AFS1 systems. The schematic SDOF of the AFS2 system is given in Figure 6(a). Figure 6(b) provides an idealized hysteretic behaviour of the
- 5 AFS2 system, with the distinct characteristic being the pre-defined maximum force generated from velocity-dependent dampers. Alternative, AFS2 configuration can be achieved by using highly
- 7 non-linear viscous dampers within the AFS1 system.

3.5. Practical implementation of AFS systems

9 The AFS systems described here are generally implementable with the existing technology and devices. Previous work by Kurama [41] have presented some practical implementations of the

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- 1 BLEV system for precast concrete walls and frames. Marriott [43] has performed shaking-table test on BLEV and AFS1 systems on structural walls as shown in Figure 7(c). In their contributions
- 3 [31, 32], Christopoulos, Tremblay and co-authors have implemented, tested and patented a practical self-centering brace device with parallel alternative energy dissipation devices, similar to the
- 5 AFS systems as shown in Figure 6(a). Herein, some additional conceptual applications of the AFS2 connections for precast concrete bridge-pier and steel moment-resisting frames are shown
- 7 in Figures 7(a) and (b).

4. AFS SDOF MODELS GOVERNING PARAMETERS

9 4.1. SDOF hysteretic models

Four SDOF hysteretic models are considered: (a) bi-linear ElastoPlastic model (EP), (b) flag-shape hysteresis model (FS), (c) Bi-Linear Elastic in parallel with Viscous damper model (BLEV), (d)

- Advanced Flag-Shaped 2 model (AFS2). The schematic SDOF model and idealized hysteretic model for FS, BLEV and AFS2 are as shown in Figures 2, 4 and 6.
- The SDOF systems are taken to be representing of a prototype bridge pier (Figure 8(a) and (b)), designed to drift of 2% with respect to a monolithic reinforced concrete system. The backbone
- force-displacement capacity curve is given in Figure 8(c). The prototype SDOF structure has an effective period of 1.6s and an initial period of 1.0s. In order to make comparisons between
- each connection system, the critical section at the base-to-foundation interface was assigned
- 19 similar monotonic force-displacement loading envelope. Owing to the complex nature of the velocity-proportional dampers' contribution, two critical points were made constant for each SDOF
- 21 systems—(a) the 'yield' point and (b) the ultimate point.

4.2. Equivalent viscous damping, ξ_{eq} , and excitation velocity

- 23 The SDOF models are calibrated to achieve a target monotonic force-displacement envelope under cyclic push-pull analysis with assumed sinusoidal excitation velocity of 150 mm/s (0.47 Hz
- at 160 mm amplitude corresponding to 2% drift of the prototype SDOF). The choice of the excitation velocity is based on the minimum peak ground velocity (PGV) of earthquakes of large
- magnitude ($M_w \ge 6$ and peak ground acceleration, PGA, $\ge 0.4g$). The calibrated SDOF models



Figure 8. (a) Prototype Bridge Pier; (b) simple SDOF representation; (c) design force–displacement response of the SDOF model; and (d) hysteresis of the calibrated SDOF models.

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| | Area- | based equivalent viscous damp | ing, $\xi(\%)$ |
|------------|-------------------------|-------------------------------|-------------------------|
| SDOF model | $V = 150 \mathrm{mm/s}$ | $V = 300 \mathrm{mm/s}$ | $V = 450 \mathrm{mm/s}$ |
| EP | | 31.2 | |
| FS | | 18.6 | |
| BLEV | 18.1 | 28.1 | 34.9 |
| AFS2 | 17.0 | 24.1 | 29.7 |

Table I. Equivalent viscous damping, ξ , of the calibrated SDOF models.

1 and the associated ξ_{eq} are shown in Figure 8(d) and Table I. As expected, systems with velocityproportional damping would generate higher amount of energy dissipation (as measured by ξ_{eq}).

3 However as discussed in Section 3.2, for near-fault excitation, the non-linear peak responses are no longer a function of the implied energy dissipated under the ξ_{eq} assumption. The corrollary of

5 this will be evident when the hysteretic-dissipation-only (EP and FS) systems are compared, using NTHA, to systems with velocity-proportional viscous damping.

7 4.3. Governing design parameters for AFS systems

A well-designed AFS system would have adequate self-centering capacity and energy dissipation 9 under any excitation (low or high excitation velocity). In order to achieve this, two parameters are suggested: λ_1 and λ_2 .

- 11 The first parameter is the moment contribution ratio λ_1 and it is typically adopted in the design of traditional flag-shape systems [14–16]. λ_1 in its generic form represents the force or moment
- 13 ratio between the self-centering contribution and the energy dissipation contribution, as shown in Equation (2), with reference to the symbols used in Figures 4–6

15
$$\lambda_1 = \frac{M_{\text{recentering}}}{M_{\text{dissipating}}} \approx \frac{F_{\text{BLE}}}{F_{\text{EP}} + F_{\text{V}}}$$
(2)

The inverse of λ_1 is also typically used as a governing parameter of self-centering system as the energy dissipation coefficient, β :

$$\beta = 1/\lambda_1 = M_{\text{dissipating}}/M_{\text{recentering}} \leqslant 0.5[14]$$
(3)

19 For traditional hysteretic FS systems, a fully self-centering capacity can, in principle, be guaranteed by assuming an appropriate force/moment contribution ratio, $\lambda_1 \ge 1$ or $\lambda_1 \ge 1.15$ [16] when

21 the possible material over-strength in energy dissipating devices is considered. To reaffirm the same threshold value for λ_1 for AFS systems, push-pull analyses are carried out with varying

23 λ_1 values and results are presented in Figure 9. For the FS system, it was observed that full static self-centering can be achieved with $\lambda_1 > 1.2$. For BLEV systems (viscous-only dissipation) the

- 25 dynamic residual displacement is zero as viscous dampers have no force resistance at rest. For the AFS2 system, the threshold λ_1 appears to be between 0.92 and 1.2, but the actual self-centering
- 27 threshold can be lower, depending on the viscous-damper contribution (e.g. 53% of total dissipative force in the prototype model). The conclusion of these analyses is that the existing threshold of
- 29 $\lambda_1 \ge 1.25$ typically used to account for material over-strength in FS design is still applicable for the AFS systems.

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Figure 9. Influence of λ_1 ratio—the force/moment ratio between contribution from self-centering and energy dissipation elements for: (a) FS; (b) BLEV; and (c) AFS2.

- 1 The second parameter λ_2 is the ratio between the viscous or velocity-dependent force/moment contributions and the total dissipative force/moment. Equation (4) presents a generic form of λ_2 .
- 3 The λ_2 ratio controls the distribution of velocity-dependent and displacement-dependent dissipation of the system. Therefore, by limiting λ_2 to a threshold value, the system can be designed to avoid
- 5 excessive force/acceleration with high velocity excitation. The velocity-dependent force/moment contribution, F_v can be also controlled by limiting the threshold friction slip force for the AFS2
- 7 system

19

$$\lambda_2 = \frac{M_{\text{velocity-dependent-dissipation}}}{M_{\text{total-dissipation}}} \approx \frac{F_{\text{V}}}{F_{\text{EP}} + F_{\text{V}}} = \frac{F_{\text{V}}}{F_{\text{BLE}}} \lambda_1 \tag{4}$$

- 9 The full range (0-1) of the λ_2 ratio would define all the systems discussed in this paper: BLEV systems have a λ_2 ratio equal to 1 (100% viscous-damping), whereas traditional FS systems have
- 11 a λ_2 ratio equal to 0 (no viscous contribution). AFS systems are between these two extremes. For instance, the calibrated SDOF AFS2 model for the prototype bridge pier has a λ_2 ratio of 0.56, for
- 13 the given range of velocity assumed. Table II presents a qualitative force–displacement view of the relationship between λ_1 and λ_2 on the hysteretic behaviour of the AFS hysteresis systems. It is
- 15 evident that as λ_1 increases, the hysteretic energy dissipated by the systems decreases. Conversely, as λ_2 increases, the velocity-dependent damping increases, which can then lead to higher energy
- 17 dissipation in extreme earthquake events such as those with near-fault directivity effects.

4.4. Effective damping (equivalent viscous damping)

The equivalent viscous damping values $\xi_{eq,SYS}$ of the each system can be evaluated from the hysteresis dissipation contribution at a range of displacement ductility levels for varying levels of excitation velocities (low, moderate and high velocities). Using area-based (geometric stiffness) method [40], the equations for the relationship between $\xi_{eq,SYS}$ and ductility can be derived analytically for AFS systems:

$$\xi_{\rm eq,AFS} = \xi_{\rm Elastic} + \xi_{\rm Viscous} + \xi_{\rm Hysteretic} \tag{5}$$

$$\xi_{\text{eq,AFS}} = \xi_{\text{Elastic}} + \frac{\lambda_2}{2(\lambda_1 + 1)\mu^{0.25}} \beta_V S_V \{T_{\text{Eff}}\} + \frac{(1 - \lambda_2)}{(\lambda_1 + 1)} \frac{2(\mu - 1)(1 - r)}{\pi\mu(1 + r(\mu - 1))}$$
(6)

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Table II. Qualitative force–displacement of systems with varying values of λ_1 and λ_2 .

Figure 10. Area-based equivalent viscous damping, $\xi_{eq,SYS}$ -ductility relationship for the prototype SDOF systems, as described by Equation (6) (AFS system: $\lambda_1 = 1.21$ and $\lambda_2 = 0.54$).

1 where r is post-yield stiffness, μ is the design structural ductility, β_V is a damping reduction factor (depending on the dampers' placement, e.g. for SDOF, $\beta_V \approx 1.0$) and $S_V{T_{\text{Eff}}}(\text{in m/s})$ is

3 the velocity spectra ordinate corresponding to the effective period, T_{Eff} . Equation (6) is plotted in Figure 10 for various λ_1 , λ_2 ratios and excitation velocities. The equation and curves are useful

5 in design within a direct-displacement-based design (DDBD) framework [47]. The results for the elastoplastic and flag-shape systems are consistent with the existing design equations derived and

7 given for DDBD [39, 40, 47]. The influence of higher excitation velocities is more significant in BLEV system with higher λ_2 ratio, in comparison with AFS system. While not elaborated here, a

9 correction factor is needed to reduce the area-based equivalent viscous damping with calibration to non-linear time-history analyses result [39].

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5. NON-LINEAR DYNAMIC TIME-HISTORY ANALYSES

5.1. Non-linear time-history analyses

- 3 Non-linear time-history analyses (NLTHA) were carried out on the prototype SDOF hysteresis models described in Section 4.1 to verify the enhanced performance of the AFS systems. Analyses
- 5 were done using the finite-element program RUAUMOKO2D [48] and a Newmark-beta integration scheme with a time-step of 0.002 s. A Rayleigh damping model proportional to the tangent stiffness
- 7 was used specifying 5% of the critical damping.

5.2. Strong ground motion records

- 9 Two suites of strong ground motion records were used, representing both far-field and nearfault events. The elastic response spectra for both suites are shown in Figure 11. The first suite
- 11 of earthquakes is an ensemble of 20 scaled historical 'far-field' strong ground motion records from California and representative of earthquakes having a probability of exceedance of 10% in
- 13 50 years. These records were related to soil types C or D (NEHRP categories [1]), with hypocentre depth ranging between 13 and 25 km. The characteristics of the far-field suite of records are
- 15 presented in Table III. The second suite of earthquakes is an ensemble of 20 historical earthquake records, selected based on its PGV/PGA ratio (at least 0.08 g/ms^{-1}) and distance from fault (less
- 17 than 10 km). The near-fault earthquake suite is checked to ensure clear forward directivity and/or fling effect is observed within the ground motion records. The source mechanism and soil type
- 19 are selected such that a range of different properties are considered. The characteristics of the near-fault suites are presented in Table IV. Scaling of the earthquakes was done according to
- the standard FEMA [1] approach.

5.3. Comparison of NLTH seismic response of the four SDOF systems

- Figures 12(a)-(d) present the NLTHA responses under both suites of earthquakes for all four SDOF systems. The figures show the scatter plots of all the NLTHA results and the horizontal bar indicates the mean and the mean + one standard deviation (STDV) of the result.
- 25 indicates the mean and the mean \pm one standard deviation (STDV) of the result.



Figure 11. Acceleration response spectra: (a) 20 scaled far-field ground motions and (b) 20 scaled near-fault ground motions.

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| | Scaled PGV/PGA ratio (s) | 0.131 | 0.144 | 0.135 | 0.134 | 0.092 | 0.035 | 0.081 | 0.059 | 0.084 | 0.105 | 0.078 | 0.156 | 0.263 | 0.074 | 0.131 | 0.086 | 0.264 | 0.101 | 0.139 | 0.145 | | |
|---------------------------------|-----------------------------|-------------------|-------------------------|-------------------|----------------------------|--------------------------|---------------------|----------------------|----------------|-----------------------|------------------------|-------------|-----------------|-----------------|-----------------|----------------------|-----------------------|----------------------|----------------------|--------------------|-------------------|--|--|
| | Scaled PGV (cm/s) | 51.6 | 43.9 | 47.3 | 48.5 | 40.7 | 36.9 | 39.3 | 47.4 | 33.3 | 39.9 | 43.4 | 176.7 | 131.2 | 49.2 | 48.4 | 49.8 | 54.5 | 62.4 | 43.7 | 54.1 | | |
| notions. | Scaled PGA (g) | 0.401 | 0.311 | 0.356 | 0.370 | 0.452 | 1.071 | 0.496 | 0.819 | 0.406 | 0.387 | 0.571 | 1.155 | 0.509 | 0.678 | 0.376 | 0.589 | 0.211 | 0.631 | 0.320 | 0.382 | | |
| ground r | Scaling factor | 3.00 | 1.07 | 2.29 | 0.79 | 1.27 | 3.00 | 2.15 | 3.00 | 1.50 | 2.75 | 1.19 | 2.45 | 1.68 | 3.00 | 1.36 | 2.46 | 1.82 | 1.42 | 2.09 | 1.82 | | |
| :d far-field | Soil type (NEHRP) | D | D | D | U | D | D | D | D | U | C | D | D | D | D | D | D | U | U | U | D | | |
| he 20 scale | Rclosest (km) | 18.2 | 13.9 | 21 | 19.6 | 15.8 | 25.4 | 25.5 | 23.9 | 14.6 | 17.7 | 14.5 | 14.4 | 16.1 | 24.2 | 25.8 | 20.26 | 23.6 | 18.5 | 23.3 | 24.9 | | |
| lable III. Characteristics of t | Station | Brawley | El Centro Imp. Co. Cent | Plaster City | Beverly Hills 14145 Mulhol | Canoga Park-Topanga Clan | Glendale-Las Palmas | LA—Hollywood Stor FF | LA—N Faring Rd | N Hollywood—Coldwater | Sunland-Mt Gleason Ave | Capitola | Gilroy Array #3 | Gilroy Array #4 | Gilroy Array #7 | Hollister Diff. Army | USGS Anderson Dam -DS | Fortuna Fortuna Blvd | Rio Dell Overpass—FF | Desert Hot Springs | Yemo Fire Station | | |
| L | Mw | 6.7 | 6.7 | 6.7 | 6.7 | 6.7 | 6.7 | 6.7 | 6.7 | 6.7 | 6.7 | 6.9 | 6.9 | 6.9 | 6.9 | 6.9 | 6.9 | 7.1 | 7.1 | 7.3 | 7.3 | | |
| | Year | 1987 | 1987 | 1987 | 1994 | 1994 | 1994 | 1994 | 1994 | 1994 | 1994 | 1989 | 1989 | 1989 | 1989 | 1989 | 1989 | 1992 | 1992 | 1992 | 1992 | | |
| 5 | Earthquake event | Superstition Hils | Superstition Hils | Superstition Hils | Northridge | Northridge | Northridge | Northridge | Northridge | Northridge | Northridge | Loma Prieta | Loma Prieta | Loma Prieta | Loma Prieta | Loma Prieta | Loma Prieta | Cape Mendocino | Cape Mendocino | Landers | Landers | | |
| | Name | EQ1 | EQ2 | EQ3 | EQ4 | EQ5 | EQ6 | EQ7 | EQ8 | EQ9 | EQ10 | EQ11 | EQ12 | EQ13 | EQ14 | EQ15 | EQ16 | EQ17 | EQ18 | EQ19 | EQ20 | | |

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| | Scaled PGV/PGA ratio (s) | 0.202 | 0.168 | 0.157 | 0.148 | 0.255 | 0.243 | 0.241 | 0.101 | 0.212 | 0.242 | 0.193 | 0.189 | 0.172 | 0.318 | 0.266 | 0.210 | 0.145 | 0.074 | 0.094 | 0.138 | 4 | 4 | |
|-------------------------------|-----------------------------|---------------------------|------------------|---------------------------|-----------------|---------------------|--------------------|--------------------|-------|----------|-------------------|-----------------|-------------------|-----------------------|---------|---------|---------|-------------|-----------------|----------------------|----------------|---|---|--|
| ions. | Scaled PGV (cm/s) | 65.1 | 51.4 | 53.4 | 41.5 | 45.1 | 58.0 | 57.2 | 44.5 | 42.4 | 33.1 | 40.0 | 54.6 | 35.6 | 66.6 | 63.8 | 104.1 | 70.5 | 76.1 | 57.2 | 70.0 | | | |
| round mot | Scaled PGA (g) | 0.329 | 0.312 | 0.347 | 0.285 | 0.180 | 0.244 | 0.242 | 0.450 | 0.203 | 0.139 | 0.212 | 0.295 | 0.211 | 0.214 | 0.244 | 0.505 | 0.495 | 1.048 | 0.623 | 0.517 | | | |
| normal) g | Scaling Factor | 0.39 | 0.53 | 0.41 | 0.82 | 0.43 | 0.64 | 0.52 | 0.55 | 0.33 | 0.44 | 0.73 | 0.89 | 0.38 | 0.38 | 0.55 | 2.07 | 0.58 | 1.47 | 0.51 | 0.72 | | | |
| ault (fault 1 | Soil Type (NEHRP) | В | D | D | Α | в | D | D | В | Щ | Ш | A | D | A | D | A | A | D | A | A | А | | | |
| led near-fi | Rclosest (km) | 6.50 | 5.92 | 5.30 | 5.92 | 7.01 | 3.95 | 0.56 | 1.00 | 1.47 | 3.31 | 0.92 | 9.31 | 3.88 | 96.6 | 0.32 | 10.92 | 7 | 0.1 | 1.81 | 0.53 | | | |
| Characteristics of the 20 sca | Station | Rinaldi Receiving Station | Newhall Fire st. | Sylmar-Olive view Med Ctr | Los Angeles Dam | Jensen Filter Plant | El Centro Array #5 | El Centro Array# 7 | KJMA | Takatori | Port Island (0 m) | Kobe University | Saratoga W Valley | Los Gatos Pres Center | TCU068 | CHY101 | Gebze | Tabas | Coyote Lake Dam | Pacoima Dam Abutment | Lucerne Valley | | | |
| ble IV. | MW | 6.7 | 6.7 | 6.7 | 6.7 | 6.7] | 6.6] | 6.5] | 6.9 | 6.9 | 6.9 | 6.9 | 6.9 | 6.9 | 7.6 | 7.6 | 7.4 | 7.35 | 6.2 | 6.6] | 7.3 | | | |
| Ta | Year | 1994 | 1994 | 1994 | 1994 | 1994 | 1979 | 1979 | 1995 | 1995 | 1995 | 1995 | 1989 | 1989 | 1999 | 1999 | 1999 | 1978 | 1984 | 1971 | 1992 | | | |
| 5 | Earthquake event | Northridge | Northridge | Northridge | Northridge | Northridge | Imperial Valley | Imperial Valley | Kobe | Kobe | Kobe | Kobe | Loma Prieta | Loma Prieta | Chi Chi | Chi Chi | Kocaeli | Tabas, Iran | Morgan Hill | San Fernando | Landers | | | |
| | Name | EQ21 | EQ22 | EQ23 | EQ24 | EQ25 | EQ26 | EQ27 | EQ28 | EQ29 | EQ30 | EQ31 | EQ32 | EQ33 | EQ34 | EQ35 | EQ36 | EQ37 | EQ38 | EQ39 | EQ40 | | | |

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Figure 12. Scatter plot and statistical results of NLTHA on four SDOF systems under: far-field (FF) and near-fault (NF) earthquakes: (a) residual deformation, ψ_R ; (b) maximum deformation, ψ_M ; (c) peak strength ratio, ψ_S ; and (d) peak acceleration, ψ_A .

- 1 The performance of the different systems in terms of the three performance indices described in Section 2.2 and strength ratio, ψ_s , is compared and the following observations can be 3 made:
 - (1) Residual drift, ψ_R : Based on Figure 12(a), EP systems have significant ψ_R , up to 0.65%, indicative of possible loss of functionality in the large seismic event. The high post-yield stiffness in the prototype structure, however, reduces the ψ_R of the EP system.
- (2) *EP vs self-centering systems*: In addition to the higher ψ_R, it is interesting to note that the EP system has similar deformation demand (ψ_M) to the FS system without significant increase in ψ_S consistently with previous studies [11, 26].
- (3) Hysteretic vs viscous damping only—FS vs BLEV: The systems with viscous damping-11 only, BLEV have lower ψ_M and ψ_A responses. Naturally, the trade off for the improved performance is a considerable increase in the ψ_S for BLEV when compared with other
- 13 systems. In a real structure, this would indicate higher required strengths of the superstructure and foundation. Particularly, in near-fault events, the ψ_S response of BLEV is 24% higher 15 on an average with a larger scatter than in the far-field events, as the viscous dampers are
- very much sensitive to the scatter of the excitation velocities. $\psi_{\rm M}$ for BLEV is about half of the $\psi_{\rm M}$ for FS, highlighting the improved energy dissipation from viscous dampers.
- (4) Advanced self-centering with viscous dampers—BLEV vs AFS2: With velocity-dependent 19 energy dissipation, both BLEV and AFS2 systems have lower mean $\psi_{\rm M}$, responses with

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- lower dispersion (low STDV values) in both far-field and near-fault cases. It can be seen here that the ψ_M of BLEV is much lower than the design drift (2%), indicating significant conservatism in the calibration velocity of 150 mm/s. For the design of the BLEV and AFS2 systems with significant velocity-dependent energy dissipation, it is proposed to vary the design velocity based on the design velocity spectra and effective period [49].
- (5) Strength ratio, ψ_S—BLEV vs EP, FS and AFS2: One common criticism on adding supplementary viscous damping is the possible increase in base-shear either from stiffening of the structure, particularly when introducing bracing systems, or excessive viscous damping
 forces from high-velocity events. As mentioned in (3), the BLEV systems have significantly higher ψ_S particularly in near-fault events when compared with conventional EP and FS
 systems. In contrary, the AFS2 system managed to control the ψ_S ratio with the added friction-slip element, thus limiting the force within the viscous dampers.
- (6) *Peak acceleration*, ψ_A: Figure 12(d) shows that average ψ_A is relatively constant across all systems, with increasing ψ_S (and hence dissipated energy) decreases corresponding acceleration demand, ψ_A (corresponding inertia force). It is worth noting that ψ_A would be a more critical parameter in multi-degree-of-freedoms (MDOF) structures. Preliminary analysis on MDOF structures of the same four SDOF systems has shown a 26% reduction in the floor accelerations for AFS2 [49].
- 19 (7) Overall performance: AFS2 system provides more consistent performance in terms of all performance indicators, $\psi_{\rm M}$, $\psi_{\rm R}$ and $\psi_{\rm S}$
- 21 5.4. Seismic response under far-field earthquakes
- To further illustrate the seismic response of the different systems, a time-history response of the SDOF systems for one far-field event (Capitola Station, Loma Prieta 1989 earthquake) is shown in Figure 13. All self-centering systems achieved zero residual displacement, $\psi_{\rm R}$, with clear flag-
- 25 shaped hysteresis response. All the systems achieved satisfactory $\psi_{\rm S}$ and $\psi_{\rm M}$ responses—indicative of satisfactory assumption of the $\xi_{\rm sys}$ under far-field earthquakes. The performance of self-centering
- 27 systems was not compromised by the lower ξ_{sys} (given in Table I), consistent with previous research [11, 12, 26]. The energy dissipation in the BLEV system is less effective at the lower excitation
- 29 velocity of far-field earthquakes—particularly when compared with the AFS2 system.

5.5. Seismic response under in near-fault earthquakes and near-fault effects

- 31 A time-history response of the alternative SDOF systems for one near-fault event (Gebze Station, Kocaeli 1999 earthquake) is shown in Figure 14 The EP system exhibited significant 'crawling'
- towards the negative displacement with relatively high ψ_R . FS system performed satisfactorily when compared with EP, but with zero ψ_R . Self-centering systems with viscous energy dissipation
- 35 (BLEV and AFS) performed significantly better, with lower ψ_M and zero ψ_R . In contrary to the far-field earthquake response of the BLEV system, higher ψ_S from the unconstrained BLEV
- 37 system is observed in this near-fault event. And evidently, the AFS2 system, where the friction-slip element in AFS2 connection limits the peak viscous damping force, has a lower ψ_{s} . Generally,
- 39 all systems performed better in far-field excitations compared with near-fault excitations, with 55–69% amplification in the ψ_M (Figure 12(b)). In comparison with the far-field earthquake
- 41 example, systems with hysteretic-only energy dissipation have higher ψ_{M} under near-fault excitation as expected. The efficiency and advantage of AFS systems (BLEV and AFS2) are more appreciable
- 43 in near-fault earthquakes.

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Figure 13. Example of non-linear time-history and force-displacement responses of four SDOF systems under far-field earthquake (Capitola Station, Loma Prieta, CA 1989 earthquake).

1 5.6. Limitation of the SDOF analysis

Non-linear analysis of the SDOF system can simplify the complexity of the non-linear response of structures under earthquake loading. In addition to modelling near-SDOF structures, SDOF analysis is also a close approximation to the real-structure behaviour using the substitute-structure

- 5 approach [47]. The use of inelastic SDOF analysis also allows the generation of inelastic design spectra that can be used for design. While the SDOF analysis performed in this study shows
- 7 the general trend of the seismic response and the associated governing global parameters of the different systems, it has some acknowledged limitations, particular when extending to real MDOF
- 9 structures. Typically, hysteretic damping can be easily added in parallel to the self-centering elements
- 11 [2–5, 7–10]. Considering the complexity of adding supplementary viscous dampers to actual structures, where the geometric positioning and kinematic consideration of the devices are crucial to
- 13 the performance of these devices, the proposed SDOF systems may be less realistic when extending from a bridge pier or wall system to a MDOF building. In addition, the rate of deformation
- 15 (velocity) induced on the viscous dampers can be influenced by its placement on the structure and the geometry of the MDOF structure.

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Figure 14. Example of non-linear time-history and force-displacement responses of four SDOF systems under near-fault earthquake (Gebze Station, Kocaeli, Turkey 1999 earthquake).

1 Several studies have considered further the practical placement and its implication on the seismic response of the self-centering systems. Kurama [41] proposed the placement of viscous dampers

3 as diagonal braces connected to rocking walls. Marriott [43] has investigated the kinematic effect of dampers connected at the base of a rocking wall in which the achieved global damping (in

- 5 terms of equivalent viscous damping) is in the order of 5-20% of the provided viscous damping [43]. In considering the reduction in induced velocity up the structure, Kam *et al.* [49] have
- proposed equations to approximate effective velocity of viscous dampers for AFS MDOF frame structures.

9 6. PARAMETRIC ANALYSIS OF ADVANCED FLAG SHAPE 2 (AFS2) SYSTEMS

6.1. Range of key parameters for AFS2 systems

- 11 The parametric study is carried out over a range of initial period of 0.2–3.0 s using the suites of earthquakes presented in Section 5.2. Three parameters are considered: the moment ratio λ_1 , the
- 13 viscous-hysteretic dissipation ratio λ_2 and the yield strength ratio ψ_{S-Y} . Three realistic values of

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Figure 15. Inelastic spectra for average maximum drift, ψ_M for AFS hysteretic systems under far-field earthquakes (Y-axis: ψ_M (%); X-axis: period T (s).

- 1 the strength ratio ψ_{S-Y} are considered: 0.05 W_{SDOF} 0.15 W_{SDOF} and 0.5 W_{SDOF} representing weak, moderately strong and very strong systems. λ_1 is taken to range from 0.8 to 1.6, giving a higher and
- 3 lower range of self-centering capacity for the systems. Lastly, λ_2 is taken to range from 0 to 1.0, to fully describe the full spectrum of self-centering systems without any velocity-dependent energy
- 5 dissipation (i.e. $FS \lambda_2 = 0$) up to systems with 100% velocity-dependent energy dissipation (i.e. $BLEV \lambda_2 = 1$). Constant and realistic values of post-yield stiffness of 0.075 and SDOF weight,
- 7 W_{SDOF} of 12,000 kN are used.

6.2. Parametric analysis result—maximum deformation parameters, ψ_{M}

9 The mean values over the suites of far-field and near-fault earthquakes of the ψ_M are given in Figures 15 and 16, respectively.

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Figure 16. Inelastic spectra for average maximum drift, ψ_M for AFS hysteretic systems under near-fault earthquakes (Y-axis: ψ_M (%); X-axis: period T (s).

1 6.2.1. Influence of λ_1 ratio. Primarily, the λ_1 ratio is to control the level of residual deformation, ψ_R , hence the self-centering capacity. From the result shown in Figures 15 and 16, it is observed

3 that λ_1 ratio has no significant effect on ψ_M in both the far-field set and near-fault set of earthquakes. This implies that while increasing λ_1 ratio decreases the energy dissipation capacity in terms 5 of ξ_{eq} , the decrease of ξ_{eq} is not too significant, particularly for the typical design range of λ_1

- 5 of ξ_{eq} , the decrease of ξ_{eq} is not too significant, particularly for the typical design range of λ_1 ratios (0.8–1.6). This is consistent with previous research [11] on self-centering FS systems with
- 7 varying λ_1 ratio (expressed as $\beta = 1/\lambda_1$). This is also implied in Figure 10 for FS system ($\lambda_2 = 0$), ξ_{SYS} is rather consistent for medium-high ductility values, $\mu > 2.0$. The influence of λ_1 on the
- 9 $\psi_{\rm M}$ responses increases as the system becomes stronger (when ψ_{S-Y} is $\ge 0.15 W_{\rm SDOF}$) and as the level of viscous damping increases (when λ_2 is ≥ 0.5). Thus, by satisfying $0.8 < \lambda_1 < 1.6$, sufficient
- 11 energy dissipation is provided, particularly for the AFS system with some viscous damping.

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- 1 6.2.2. Influence of λ_2 ratio. It can be seen that with minor addition of viscous energy dissipation (e.g. $\lambda_2 \ge 0.25$), significant reduction in the maximum drift demand, ψ_M (up to 50%) can be
- 3 achieved. With increasing λ_2 ratios, ψ_M generally decreases. This reduction of ψ_M with increasing λ_2 is more significant for moderately strong and weak systems ($\psi_{S-Y} \leq 0.15 W_{\text{SDOF}}$). However,
- 5 for weak systems ($\psi_{S-Y} = 0.5 W_{\text{SDOF}}$) in near-fault earthquakes, it was found that λ_2 has lower efficiency in reducing ψ_M , as the fling-pulse effect would effectively push the seismic response
- 7 to the post-yield stiffness branch for most of the weak systems. For very strong systems ($\psi_{S-Y} = 0.5 W_{\text{SDOF}}$), the response is dominated by the non-linear elastic spring (un-bonded post-tensioned
- 9 tendons), such that the systems are behaving linearly ($\xi_{eq} \approx \xi_{Elastic}$), thus are not sensitive to λ_1 and λ_2 ratios. Therefore, to control ψ_M response of AFS systems, λ_2 is suggested to be at least 0.25.
- 11 6.2.3. Near-fault earthquakes effect and design implications. Comparing the inelastic spectra generated by the near-fault earthquakes (Figure 16) to the inelastic spectra generated by the far-
- field earthquakes (Figure 15), a significant amplification of $\psi_{\rm M}$ response (up to 100–200%) can be clearly observed, particularly for SDOF systems with period exceeding 1.0 s and SDOF systems
- 15 without velocity-dependent energy dissipation ($\lambda_1 = 0$). This highlights the necessity to explicitly consider the near-fault source effects in the design. With increasing λ_2 ratios, the ψ_M decreases
- 17 significantly, as higher excitation velocity in near-fault earthquakes induced higher energy dissipation from the viscous dampers. It is also observed that the influence of λ_1 on the seismic response
- 19 is more significant in near-fault excitation, as the amount of energy dissipation is more critical. For far-field earthquakes, the ψ_{S-Y} of the SDOF systems has less influence on the ψ_M , partic-
- 21 ularly for systems with low λ_2 ratio. However, in near-fault excitations, the ψ_{S-Y} of the system can affect the ψ_M significantly. In controlling the ψ_M in near-fault earthquakes, the advantage of
- having viscous energy dissipation (as per AFS systems) becomes more obvious. With increasing λ_2 ratio, the ψ_M decreases measurably, as higher excitation velocity in near-fault earthquakes induced
- 25 higher energy dissipation from the viscous dampers. For example, to achieve $\psi_M = 2.0\%$ (typical drift limit states corresponding to Ultimate Limit State design [1, 22]), with low λ_2 ratio, the system
- has to be stiff and strong (e.g. $T_{\text{SDOF}} \leq 0.4$ s, $\lambda_2 = 0$ and $\psi_{S-Y} = 0.15 W_{\text{SDOF}}$). With increasing λ_2 ratio, the required stiffness decreases (e.g. $T_{\text{SDOF}} \leq 1.0$ s, $\lambda_2 = 1.0$ and $\psi_{S-Y} = 0.15 W_{\text{SDOF}}$). Thus,
- 29 the inelastic spectra given in Figure 16 can be a useful design chart for AFS systems.

6.3. Residual drift, $\psi_{\rm R}$

- 31 The ψ_R responses of AFS2 systems for both far-field and near-fault earthquakes for the range of λ_1 and λ_2 ratios considered are insignificant, thus not shown here. The ψ_R is less 0.10% for
- 33 most cases except for systems with $\lambda_1 = 0.8$ and $\lambda_2 = 0$. With increasing λ_1 and λ_2 , ψ_R exhibits a decreasing trend. The design yield strength of the SDOF systems, ψ_{S-Y} seems to have negligible
- 35 effect on $\psi_{\rm R}$. In general, for $T_{\rm SDOF} > 0.2$ s, the adoption of $\lambda_1 > 0.8$ would ensure self-centering capacity for most AFS systems (with any λ_2 and ψ_{S-Y}).

37 6.4. Parametric analysis result—maximum acceleration, ψ_A

The mean values over the suite of near-fault earthquakes of the normalized maximum acceleration damage index, ψ_A , is given in Figure 17. In general, ψ_A ratio decreases with decreasing values of

- strength ratio, ψ_{S-Y} and with increasing period. However, for AFS systems ($\lambda_2 \ge 0.25$) with very short period ($T \le 0.4$ s), the ψ_A is higher for weak systems and lower for stronger systems. For
- 41 short period ($T \leq 0.4$ s), the ψ_A is higher for weak systems and lower for stronger systems. For these very stiff, yet weak AFS systems, the ψ_A response is amplified by the very high displacement

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Figure 17. Inelastic spectra for average maximum acceleration, ψ_A for AFS hysteretic systems under near-fault earthquakes. (Y-axis: ψ_A (g); X-axis: period (s).

- ductility and large post-yield stiffness, r (0.075× initial stiffness). As λ₂ increases, there is a trend of reduction in ψ_A. This is associated with the increased energy dissipation, particularly derived
 from velocity-dependent dampers highly activated in the near-fault events. However, for SDOF
- structures, ψ_A does not vary significantly due to the lack of MDOF amplification.

7. CONCLUSIONS

This paper introduced and demonstrated the use of combination of various alternative energy dissipation elements (hysteretic, viscous or visco-elasto-plastic) in series and/or in parallel to self-centering elements for self-centering systems. The velocity-proportional viscous and displacement

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- 1 proportional hysteretic energy dissipations in the so-called Advanced Flag-Shape (AFS) systems have improved performance in both near-fault and far-field earthquakes, while still achieving self-
- 3 centering capability. From NLTHA, AFS systems have lower average maximum and residual drifts with smaller dispersions and without significant increase in base-shear. The analysis result of these
- 5 self-centering systems also shows that albeit having smaller ξ_{eq} values, they can achieve higher if not comparable seismic performance, in contrary to the 'desirable fat hysteresis loop' assumption.
- 7 High damping forces from velocity-dependent dampers can be controlled by implementing a friction slipping element in series with a viscous damping contribution as in the AFS.
- 9 The inelastic displacement and acceleration spectra generated from the extensive parametric analysis on SDOF AFS systems on both suites of far-field and near-fault earthquakes have shown the
- 11 influences of two design parameters, λ_1 and λ_2 (representing, respectively, the ratio of self-centering vs energy dissipation moment contribution and the ratio of viscous vs hysteretic dissipating contri-
- bution) on the seismic behaviour of the AFS systems. For the design of self-centering systems with combined hysteretic and viscous energy dissipation (AFS) systems, the ratio λ_1 is recommended to
- 15 be at least 0.8 1.6 while the ratio λ_2 is 0.25 0.75 to ensure sufficient self-centering and energy dissipation capacities, respectively.
- 17 While the AFS systems have shown tremendous potential structurally, more refined analytical and experimentally investigations on MDOF structures and dynamic shaking-table tests are ongoing

19 at the University of Canterbury, to confirm the viability of this second generation of self-centering systems. More necessarily, industry cooperation in developing economical velocity-dependent

21 dampers is crucial in furthering this system.

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