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SEMIGROUPS OVER TREES

ΒY

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ABSTRACT. A semigroup over a tree is a compact semigroup S such that \mathbb{X} is a congruence on S and S/ \mathbb{X} is an abelian tree with idempotent endpoints. Each such semigroup is characterized as being constructible from cylindrical subsemigroups of S and the tree S/ \mathbb{X} in a manner similar to the construction of the hormos. Indeed, the hormos is shown to be a particular example of the construction given herein when S/ \mathbb{X} is an *l*-semigroup. Several results about semigroups whose underlying space is a tree are also established as lemmata for the main results.

Introduction. Recall that a tree is a continuum in which any two points can be separated by a third point. In [3], Hofmann and Mostert prove the following:

Theorem. Let S be a compact semigroup. H is a congruence on S and S/H is an I-semigroup if and only if $S = Horm(X, S_x, m_{xy})$ for some chainable collection (X, S_x, m_{xy}) .

This theorem completely describes the semigroup S in terms of S/H and cylindrical subsemigroups of S. Our purpose here is to generalize this result by obtaining a similar characterization of those compact semigroups S with S/H an abelian tree with idempotent endpoints, thus giving a partial solution to Problem 43 of [1, p. 99] and also to Problem P5 of [3, p. 160].

If $\{x_{\alpha}\}_{\alpha \in D}$ is a net in a space X and $x \in X$, $\{x_{\alpha}\}_{\alpha \in D} \xrightarrow{f} x$, $(\{x_{\alpha}\}_{\alpha \in D} \xrightarrow{f} x)$ will denote the fact that $\{\alpha \in D : x_{\alpha} \in U\}$ is cofinal (residual) in D for each open set U containing x. Otherwise, the notation and terminology will be that of [3]. This work forms part of the author's doctoral dissertation, and he wishes to express his deep gratitude to Professor J. H. Carruth for his many helpful suggestions and his advice, and for his patient listening during its preparation.

The following will be referred to as Koch's theorem throughout this work.

Theorem (Koch [6]). Let S be a compact connected semigroup with identity 1 and minimal ideal $M(S) \neq S$. If each subgroup of S is totally disconnected, then there is a standard thread I in S from 1 to M(S).

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Semigroups on trees. The structure of trees and of semigroups whose underlying space is a tree has been studied in [7], [12], [13], and [14]. We now list some properties established in these works, and we shall use these properties throughout this work without specific reference.

If X is a continuum, X is a tree if and only if X is hereditarily unicoherent and locally connected [13]. If X is a tree and $p \in X$, define \leq_p on X by $x \leq_p y$ if and only if x = y, x = p, or x separates y and p. If $x \in X$, let $M(x) = \{y \in X : x \leq_p y\}$ and L(x) = $\{y \in X : y \leq_p x\}$. Then, \leq_p is a closed partial order on X, M(x) and L(x) are closed subsets of X, and $M(x) - \{x\}$ is an open subset of X for each $x \in X$ [14]. If A and B are connected subsets of X, then $A \cap B$ is connected. If $a, b \in X$, there is a unique arc in X from a to b, denoted [a, b], and $[a, b] = \{a, b\} \cup$ $\{x \in X : x \text{ separates } a \text{ and } b\}$. If $a \in X$ and $\{x_a\}_{a \in D} \subset X$ with $\{x_a\}_{a \in D} \xrightarrow{e} x \in X$, then $\{[a, x_a]\}_{a \in D} \xrightarrow{e} [a, x]$, where convergence in the latter case is in the lim sup-lim inf sense [7]. From this it is easily shown that if $\{y_a\}_{a \in D} \xrightarrow{e} [y, x]$. Finally, each subcontinuum of a tree is itself a tree [12].

Definition 1.1. Let T be a tree. $x \in T$ is an *endpoint* of T if x separates no arc in T.

Lemma 1.2. Let T be a tree and let $x \in T$. If x is not a cutpoint of T, then x is an endpoint of T.

Proof. If A is an arc with endpoints a and b, then A = [a, b] since T is uniquely arcwise connected. If x separates A, then $x \in (a, b)$, whence x separates a and b. But, in that case, x separates T, and so x is a cutpoint of T. The result follows by contraposition.

Our concern will be with semigroups on trees with idempotent endpoints in which the idempotents commute. The following results show we can assume that the trees with which we work are abelian.

Lemma 1.3 (Hunter [4]). Suppose T is a semigroup with zero on a bereditarily unicoherent arcwise connected continuum. If the endpoints of T commute, one with another, then T is abelian.

Lemma 1.4. Suppose T is a semigroup on a tree with idempotent endpoints in which the idempotents commute. Then the maximal subgroups of T are totally disconnected, and hence T has a zero.

Proof. If $e \in E(T)$, then $H_0(e)$, the identity component of H(e), is a subcontinuum of T, and so it is a tree. Thus, $H_0(e) = \{e\}$ by homogeneity, whence H(e) is totally disconnected.

If, now, $e \in E(T) \cap M(T)$, then H(e) = eTe is connected and totally disconnected, and so $H(e) = \{e\}$. Thus, $M(T) \subset E(T)$, and we have M(T) is a singleton, since E(T) is abelian.

Lemma 1.5. If T is an abelian semigroup on a tree with idempotent endpoints. then [0, e] is a standard thread and $H(e) = \{e\}$ for each $e \in E(T)$. Consequently, if $s \in [0, t]$, then $s \leq_u t$.

Proof. According to Lemma 1.4, each maximal subgroup of T is totally disconnected, and so, if $e \in E(T)$, then Koch's theorem implies the existence of a standard thread 1 running from e to 0. But, since [0, e] is the unique arc in T from e to 0, I = [0, e].

Now, since T has idempotent endpoints, $T = \bigcup \{[0, f]: f \in E(T)\}$, and so $eTe = \bigcup \{[0, e_i]: i \in E(T)\}$. Thus, as eTe is a subcontinuum of T, eTe is a tree with idempotent endpoints, and, by [7], no point of H(e) is a cutpoint of T. Hence, $H(e) = \{e\}$ by Lemma 1.2.

Finally, if $s \in [0, t]$, then $s, t \in [0, e]$ for some $e \in E(T)$ since T has idempotent endpoints. Then, $s \in t[0, e] \cap [0, e]t \subset tT \cap Tt$, whence $s \leq_{\mathsf{H}} t$.

Let T be an abelian semigroup on a tree with idempotent endpoints, and let 0 be the zero of T. The relation defined on T by $x \leq y$ if and only $x \in [0, y]$ will be called the cutpoint order on T, and if $x \in T$, M(x) and L(x) will denote the upper and lower sets at x, respectively, with respect to this order only. Since \leq is a closed partial order on T, T is locally convex with respect to \leq [10, Proposition 3 and Corollary 4]. If X = E(T), we define $X' = \{x \in X : x \text{ is iso-} x \in X : x$ lated in $[0, x] \cap X$, and if $x \in X'$, we let $x' = \sup([0, x) \cap X)$. We shall also use this notation consistently throughout this work. We now establish some convergence properties in T.

Proposition 1.6. Let T be an abelian semigroup on a tree with idempotent

endpoints, let X = E(T), and let $\{x_{\alpha}\}_{\alpha \in D} \subset X$ with $\{x_{\alpha}\}_{\alpha \in D} \xrightarrow{e} x \in X$. (a) If $x_{\alpha} \in X'$ for each $\alpha \in D$, $x \in X'$, and $\{x_{\alpha}'\}_{\alpha \in D} \xrightarrow{e} x'$, then there is $\beta \in D$ with $x'_{\alpha} = x'$ for $\alpha \geq \beta$.

(b) If $x \in X'$ and $x_a x = x_a$ for each $\alpha \in D$, then there is $\beta \in D$ with $x_a \in X'$ and $x'_{\alpha} \in X'$ for each $\alpha \geq \beta$.

Proof. For part (a), if $t \in (x', x)$, then $x \in M(t) - \{t\}$ is open in T. Thus, there is $\beta_1 \in D$ with $x_a \in M(t) - \{t\}$ for $a \ge \beta_1$, and so $[0, t] \subset [0, x_a]$ for $a \ge \beta_1$ β_1 . Now, M(t) is closed, and, as $x' \in T - M(t)$, there is $\beta_2 \in D$ with $x'_a \in D$ T - M(t) for $\alpha \ge \beta_2$, whence $x'_{\alpha} \in [0, t]$ for $\alpha \ge \beta_1, \beta_2$. Thus, if $\beta \in D$ with $\beta \ge \beta_1, \beta_2$, then $x'_a = x'$ for $a \ge \beta$ since $[x', t] \cap X = \{x'\}$.

For part (b), suppose $x_{\alpha}x = x_{\alpha}$ for each $\alpha \in D$ and $x \in X'$. Then, $x \in M(x')$ $-\{x'\}$ and $M(x') - \{x'\}$ is an open subset of T, and so there is $\beta \in D$ with x_a $\in M(x') - \{x'\}$ for $a \ge \beta$, whence $x' \in [0, x_a]$ for $a \ge \beta$. Now, for $a \ge \beta$, $x_a[x', x] = [x_ax', x_a]$; and, as $x' \in [0, x_a)$, $x_ax' = x'$ by Lemma 1.5. Moreover, as T is abelian and x_a is idempotent, translation by x_a is a homomorphism,

and hence $(x', x_a) \cap X = \Box$. Thus, for $a \ge \beta$, $x_a \in X'$ and $x' = x'_a$, concluding the proof.

Lemma 1.7. Let T be an abelian semigroup on a tree and let X = E(T). If $x \in X'$ and x is not isolated in xX, then

$$D = \{y \in X : xy = y \text{ and } y \text{ is isolated in } yX\}$$

is a directed set under $y \leq z$ if and only if yz = y, and $\{y\}_{y \in D} \xrightarrow{e} x$.

Proof. If $y_1, y_2 \in D$, then, for i = 1, 2, $y_i x = y_i$ and y_i isolated in $y_i X$ imply there is an open set U containing x with $y_i U \cap X = \{y_i\}$ for each i. But x is not isolated in xX and $x \in X'$, whence a simple application of Koch's theorem yields the existence of $y \in U - \{x\}$ with yx = y and y isolated in yX. Clearly $y \in D$ and $y_1, y_2 \leq y$.

To show $\{y\}_{y \in D} \xrightarrow{e} x$, it suffices to show this convergence in X. But, since \leq is a closed partial order on X, X is locally convex with respect to \leq . Now, if $x \in U$ and U is open and convex, a simple application of Koch's theorem yields $D \cap U \neq \Box$, and if $y \in D \cap U$ and $z \in D$ with $y \leq z$, then $y \leq z \leq x$, and so $z \in U$ by convexity. This proves the result.

Semigroups over trees. We now turn our attention to the first of our main results. The following definition is very similar to that of a chainable collection [3, p. 139].

Definition 2.1. $(T, X, S_x, m_{xy}, \eta_x)$ is a generalized collection if: (a) T is an abelian semigroup on a tree with idempotent endpoints and X = E(T). (b) For each $x \in X$, S_x is a cylindrical semigroup with identity 1_x and minimal ideal M_x satisfying:

(i) If $x \notin X'$, then $S_x = H_x = M_x$ is a group, H_x being the group of units of S_x .

(ii) If $x \in X'$, $\eta_x : S_x \to [x', x]$ is a surmorphism, and there is an isomorphism $\psi_x : S_x / \mathcal{H}_x \to [x', x]$ so that $\psi_x \nu_x = \eta_x$, where $\nu_x : S_x \to S_x / \mathcal{H}_x$ is the natural map.

(iii) If $x \neq y$, then $S_x \cap S_y = \Box$.

(c) If x,
$$y \in X$$
 with $xy = x$, then m_{xy} : $S_y \to S_x$ is a homomorphism with:

(i) m_{xx} is the identity.

(ii) If $x \in [0, y)$, then $m_{xy}(S_y) \subset H_x$.

(iii) If xy = x and yz = y, then $m_{xy} \circ m_{yz} = m_{xz}$.

(d) (i) If $x \in X'$, then $m_{x'x} | M_x$ is an injection.

(ii) If $x, y \in X'$, xy = x, and x' = y', then $m_{xy} | \eta_y^{-1} [y', t]$ is an injection into $\eta_x^{-1} [x', t]$, where $t = \sup([x', x] \cap [y', y])$.

(iii) Suppose $\{x_{\alpha}\}_{\alpha \in D} \subset X$ with $\{x_{\alpha}\}_{\alpha \in D} \xrightarrow{e} x$ so that $x_{\alpha}x = x_{\alpha}$ for each $\alpha \in D$

and $x_{\alpha}x_{\beta} = x_{\alpha}$ if $\alpha \leq \beta \in D$. Then, $\phi_x \colon S_x \to \prod\{S_{x\alpha} : \alpha \in D\}$ defined by $\phi_x(s) = (m_{x\alpha x}(s))_{\alpha \in D}$ is an isomorphism of S_x onto proj $\lim\{S_{x\alpha}, m_{x\alpha x\beta}, \alpha \leq \beta \in D\}$.

(iv) If $x, y \in X$ with xy = x, then $\eta_x(m_{xy}(s)) = x \cdot \eta_y(s)$ for each $s \in S_y$. If $(T, X, S_x, m_{xy}, \eta_x)$ is a generalized collection and $S' = \bigcup \{S_x : x \in X\}$, define $p: S' \to X$ by p(s) = x if and only if $s \in S_x$. p is well defined by (b)(iii).

Proposition 2.2. Let $(T, X, S_x, m_{xy}, \eta_x)$ be a generalized collection and let $S' = \bigcup \{S_x : x \in X\}$. If $s, t \in S'$, let $s \cdot t = m_{xp(s)}(s)m_{xp(t)}(t)$, where x = p(s)p(t). With this multiplication, S' is an algebraic semigroup and S' is abelian if and only if each S_x is abelian.

Proof. The proof is straightforward.

Proposition 2.3. Let the assumptions and notation be as in Proposition 2.2. Let Γ be the basis of all open connected subsets of T; and, if $U \in \Gamma$ and $z \in U \cap X$, define (U, z) = U if z is isolated in zX, while $(U, z) = U \cap M(z) - \{z\}$ otherwise. If, then, $V \subset S_z$ is open, let

$$W(U, z, V) = \{s \in S' : p(s) \in (U, z), zp(s) = z, and m_{zp(s)}(s) \in V\}.$$

Then, $\mathbf{T} = \{W(U, z, V): U \in \Gamma, z \in U \cap X, and V \subset S_z \text{ is open}\}\$ is a basis for a topology on S' relative to which S' is a topological semigroup when endowed with the multiplication of Proposition 2.2.

Proof. We first show **T** is a basis: Clearly $S' = W(T, 0, S_0)$, and so $S' = \bigcup T$. Suppose $s \in W(U_1, z_1, V_1) \cap W(U_2, z_2, V_2)$. For each *i*, if z_i is isolated in z_iX , then there is $U'_i \in \Gamma$ with $p(s) \in U'_i \subset U_i$ and $z_iU'_i \cap z_iX = \{z_i\}$. If, on the other hand, $p(s) \in (U_i, z_i) = U_i \cap M(z_i) - \{z_i\}$, then, since (U_i, z_i) is open in *T*, there is $U'_i \in \Gamma$ with $p(s) \in U'_i \subset (U_i, z_i)$. If $U = \bigcap \{U'_i : i = 1, 2\}$, clearly $p(s) \in U$ and *U* is open and connected. If $p(s) \in X'$, then Koch's theorem implies the existence of $z \in U \cap X$ with *z* isolated in *zX* and zp(s) = z, while if $p(s) \notin X'$, pick $z \in (U \cap [0, p(s)) \cap X)$. In either case, $p(s) \in (U, z) \subset U \subset U'_i \subset (U_i, z_i)$, and $z_i z = z_i$ since $z_i U \cap z_i X = \{z_i\}$ for i = 1, 2. Thus, if $V = \bigcap \{m_{z_i z}^{-1}(V_i): i = 1, 2\}$, *V* is an open subset of S_z and $m_{zp(s)}(s) \in V$ since $m_{z_i p(s)}(s) \in V_i$ for each *i*. Then, $s \in W(U, z, V)$, and clearly $W(U, z, V) \subset \bigcap \{W(U_i, z_i, V_i): i = 1, 2\}$.

The topology is Hausdorff: Let $s_1, s_2 \in S'$. If $p(s_1) \neq p(s_2)$ or $p(s_1) = p(s_2) = x$ is isolated in xX, then it follows easily that s_1 and s_2 can be separated by disjoint open sets.

Next, we suppose $x \notin (X' \cup \{0\})$, and let $D = [0, x) \cap X$. Direct D by $y \leq z$ if and only if yz = y, and note that $\{y\}_{y \in D} \xrightarrow{e} x$. Then, by (d)(iii) of Definition 2.1, $s_1 \neq s_2$ implies there is $w \in D$ with $m_{yx}(s_1) \neq m_{yx}(s_2)$ for $w \leq y \in D$. Since $x \in M(w) - \{w\}$, there is $U \in \Gamma$ with $x \in U \subset M(w) - \{w\}$. Let $z \in U \cap X \cap [0, x)$, and note that $m_{zx}(s_1) \neq m_{zx}(s_2)$. Hence, there are disjoint open subsets V_i of S_z with $m_{zx}(s_i) \in V_i$ for i = 1, 2. Thus, $s_i \in W(U, z, V_i)$ for each *i*, and these sets are clearly disjoint.

Lastly, if $x \in X'$, but x is not isolated in xX, then $D = \{y \in xX - \{x\}: y \text{ is} \text{ isolated in } yX\}$ is directed under $y \leq z$ if and only if yz = y, and $\{y\}_{y \in D} \xrightarrow{e} x$ by Lemma 1.7. Again applying (d)(iii) of Definition 2.1, if $w \in D$ with $m_{yx}(s_1) \neq m_{yx}(s_2)$ for $w \leq y \in D$, then there is $U \in \Gamma$ with $x \in U$ and $wU \cap X = \{w\}$ as w is isolated in wX. By Koch's theorem there is $z \in U \cap D$, and if V_1 and V_2 are disjoint open subsets of S_x with $m_{xx}(s_i) \in V_i$ for each *i*, then clearly $s_i \in W(U, z, V_i)$ for each *i* and these sets are disjoint. This exhausts the possible cases.

Multiplication is continuous: Let $s, t \in S'$ with $st \in W(U, z, V)$ and let x = p(s)p(t). Then $x \in (U, z)$, and if z is isolated in zX, there is $0 \in \Gamma$ with $x \in 0$ and $z0 \cap X = \{z\}$. Let U' = (U, z) if $(U, z) = U \cap M(z) - \{z\}$, while we let $U' = 0 \cap U$ if z is isolated in zX. Now, there are $U_i \in \Gamma$ for i = 1, 2 with $p(s) \in U_1, p(t) \in U_2$, and $U_1U_2 \subset U'$. Again, we pick $z_i \in U_i$ for each i so that $s \in W(U_1, z_1, S_{z_1})$ and $t \in W(U_2, z_2, S_{z_2})$, and note that $(U_1, z_1) (U_2, z_2) \subset U'$, whence $z(x_1x_2) = z$ for any idempotents $x_i \in U_i$. Since $st \in W(U, z, V), m_{zx}(st) \in V$, whence $m_{(z_1z_2)x}(st) \in m_x^{-1}(z_1z_2)(V)$, and this set is open in $S_{z_1z_2}$. But,

$${}^{m}(z_{1}z_{2})x^{(st)} = {}^{m}(z_{1}z_{2})z_{1}^{(m}(z_{1}z_{1})(s))m(z_{1}z_{2})z_{2}^{(m}(z_{2}z_{2})(t)),$$

and so there are open sets V'_i in $S_{z_1z_2}$ for i = 1, 2 with

$$m_{(z_1 z_2) z_1} (m_{z_1 p(s)}(s)) \in V'_1$$
 and $m_{(z_1 z_2) z_2} (m_{z_2 p(t)}(t)) \in V'_2$,

and $V'_1 V'_2 \subset m_{z(z_1 z_2)}^{-1}(V)$. If $V_i = m_{(z_1 z_2) z_i}^{-1}(V'_1)$ for i = 1, 2, then $m_{z_1 p(s)}(s) \in V_1$, $m_{z_2 p(t)}(t) \in V_2$, V_i is open in S_{z_i} for each *i*, and so $s \in W(U_1, z_1, V_1)$ and $t \in W(U_2, z_2, V_2)$. A simple calculation now yields

$$W(U_1, z_1, V_1)W(U_2, z_2, V_2) \subset W(U, z, V).$$

Lemma 2.4. Let everything be as in Propositions 2.2 and 2.3. For each $x \in X$, the topology induced on S_x as a subset of S' is the same as the original topology on S_x .

Proof. This follows from the facts that S_x is compact, S' is Hausdorff, and the natural embedding of S_x into S' is continuous.

Proposition 2.5. Let everything be as in Propositions 2.2 and 2.3. Then, endowed with the topology of Proposition 2.3, S' is a compact space.

Proof. Let $\{s_a\}_{a \in D} \subset S'$. Then, $\{p(s_a)\}_{a \in D} \subset X$ and X is compact, whence there is $x \in X$ with $\{p(s_a)\}_{a \in D} \xrightarrow{I} x$, and by possibly picking a subnet, we may assume $\{p(s_a)\}_{a \in D} \xrightarrow{e} x$. By again picking a subnet, we have one of the following cases.

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Case 1. $xp(s_{\alpha}) = x$ for each $\alpha \in D$. Then $\{m_{xp}(s_{\alpha})\}_{a \in D} \subset S_x$, and so there is $s \in S_x$ with $\{m_{xp}(s_{\alpha})\}_{a \in D} \xrightarrow{f} s$ in S_x . Suppose $s \in W(U, z, V)$. Then, as $\{p(s_{\alpha})\}_{a \in D} \xrightarrow{e} x$ and $x \in (U, z)$, there is $\beta_1 \in D$ with $p(s_{\alpha}) \in (U, z)$ for $\beta_1 \leq \alpha \in D$. Moreover, if $\beta \in D$, there is $\alpha \in D$ with $\alpha \geq \beta_1$, β so that $m_{xp}(s_{\alpha})(s_{\alpha}) \in m_{xx}^{-1}(V)$, whence $s_{\alpha} \in W(U, z, V)$. Thus $\{s_{\alpha}\}_{a \in D} \xrightarrow{f} s$ in S'.

Case 2. $x_a = xp(s_a) \neq x$ for each $a \in D$. Since $\{p(s_a)\}_{a \in D} \xrightarrow{e} x$, $\{x_a\}_{a \in D} \xrightarrow{e} x \cdot x = x$. We distinguish two subcases.

The first subcase is $x \notin (X' \cup \{0\})$. Let $E = [0, x) \cap X$, and direct E by $y \leq z$ if and only if yz = y. By (d)(iii) of Definition 2.1, $S_x \simeq$ proj $\lim \{S_y, m_{yz}, y \leq z \in E\}$. We now define a net $\{t_a\}_{a \in D}$ in $\prod \{S_y; y \in E\}$ by $(t_a)_y = 1_y$ if $yp(s_a) \neq y$, while $(t_a)_y = m_{yp(s_a)}(s_a)$ if $yp(s_a) = y$. Then, as $\prod \{S_y; y \in E\}$ is compact, there is $t \in \prod \{S_y; y \in E\}$ with $\{t_a\}_{a \in D} \xrightarrow{f} t$, and standard arguments show $t \in \text{proj } \lim \{S_y, m_{yz}, y \leq z \in E\}$.

Thus, there is $s \in S_x$ with $(m_{yx}(s))_{y \in E} = t$. We now show $\{s_a\}_{a \in D} \xrightarrow{f} s$.

If $s \in W(U, z, v)$, then $p(s) = x \in (U, z)$ and zx = z. It follows that there is an open set U' with $x \in U' \subseteq (U, z)$ and $zU' \cap X = \{z\}$. Since $\{y\}_{y \in E} \xrightarrow{e} x$, there is $w \in E$ with $y \in U'$ for $w \leq y \in E$. Moreover, as $\{p(s_{\alpha})\}_{\alpha \in D} \xrightarrow{e} x$ and $x \in U' \cap M(w) - \{w\}$, there is $\beta \in D$ with $p(s_{\alpha}) \in U' \cap M(w) - \{w\}$ for $\beta \leq \alpha \in D$. Now, since $s \in W(U, z, V)$ and zw = z, $m_{wx}(s) \in m_{zw}^{-1}(V)$, and this set is open in S_w . Thus, as $m_{wx}(s) = t_w$ and $\{t_{\alpha}\}_{\alpha \in D} \xrightarrow{f} t$, $\{\alpha \in D: (t_{\alpha})_w \in m_{zw}^{-1}(V)\}$ is cofinal in D. Thus

$$B = \{ a \in D : (t_a)_w \in m_{zw}^{-1}(V) \text{ and } p(s_a) \in U' \cap M(w) - \{w\} \}$$

is cofinal in D. If $\alpha \in B$, then $p(s_{\alpha}) \in (U, z)$ and $zp(s_{\alpha}) = z$. Moreover, $wp(s_{\alpha}) = w$, so

$$m_{zp(s_a)}(s_a) = m_{zw}(m_{wp(s_a)}(s_a)) \in m_{zw}(m_{zw}^{-1}(V)) \subset V,$$

whence $s_a \in W(U, z, V)$. Thus, $a \in B$ implies $s_a \in W(U, z, V)$, and so $\{s_a\}_{a \in D}$ $\xrightarrow{f} s_a$.

The second subcase is $x \in X'$. Since $\{x_a\}_{a \in D} \xrightarrow{e} x$ and $x_a x = x_a \neq x$ for each $a \in D$, x is not isolated in xX. Thus, if $E = \{y \in xX - \{x\}: y \text{ is isolated in} yX\}$, $\{y\}_{y \in E} \xrightarrow{e} x$ by Lemma 1.7, and so $S_x \simeq \text{proj } \lim\{S_y, m_{yz}, y \leq z \in D\}$. We define the net $\{t_a\}_{a \in D}$ exactly as in the previous subcase, and pick $t \in$ $\Pi\{S_y: y \in E\}$ with $\{t_a\}_{a \in D} \xrightarrow{f} t$. Again, there is $s \in S_x$ with $(m_{xy}(s))_{y \in E} = t$. The proof that $\{s_a\}_{a \in D} \xrightarrow{f} s$ follows as in the previous case.

As this exhausts the possible cases, the result is established.

Lemma 2.6. Let everything be as in Propositions 2.2 and 2.3. Define $p: S' \to X$ by p(s) = x if and only if $s \in S_x$, and define $\eta: S' \to T$ by $\eta(s) = \eta_{p(s)}(s)$. Then, p and η are continuous surmorphisms of S' onto X and T, respectively. **Proof.** p is clearly a surmorphism, and if $U \in \Gamma$, then $p^{-1}(U) = \bigcup \{ W(U, z, S_r) : z \in U \cap X \}$, and so p is indeed continuous.

We now turn our attention to η . Since η_x is well defined for each $x \in X$ and the S_x 's are pairwise disjoint, η is well defined. If $t \in T - X$, then there is $x \in X$ with $t \in [0, x]$ since T has idempotent endpoints. Thus, there is $y \in X'$ with $t \in [y', y]$, and so $t \in \eta_y(S_y) \subset \eta(S')$. If $t \in X$, then $\eta(1_t) = \eta_t(1_t) = t$, and so η is surjective. That η is a homomorphism follows essentially from (d)(iv) of Definition 2.1.

We now show η is continuous. Let $s \in S_x \subset S'$ with $\eta(s) = \eta_x(s) \in U \in \Gamma$. We distinguish two cases:

Case 1. $x = \eta(s)$. Then, pick $z \in U \cap X$ with zx = z and $x \in (U, z)$, and let $V = \eta_z^{-1}(U)$. Then V is an open subset of S_z , $\eta_z(m_{zx}(s)) = z\eta_x(s) = z \in U$, and so $m_{zx}(s) \in V$, whence $s \in W(U, z, V)$. Moreover, if $t \in W(U, z, V)$, $p(t) \in (U, z) \subset U$, and since U is connected, if $r = \sup\{[0, z] \cap [0, p(t)]\}$, then $[r, z] \cup [r, p(t)] = [z, p(t)] \subset U$. If $\eta_{p(t)}(t) = p(t)$, then $\eta_{p(t)}(t) \in U$. Suppose $p(t) \in X'$ and $\eta_{p(t)}(t) \in [p(t)', p(t)]$. Since $t \in W(U, z, V)$, $m_{zp(t)}(t) \in V$, and so $z\eta_{p(t)}(t) = \eta_z(m_{zp(t)}(t)) \in \eta_z(V) \subset U$. As $\eta_{p(t)}(t) \in [p(t)', p(t)]$, $\eta_{p(t)}(t) \in [0, r] \cup [r, p(t)]$. If $\eta_{p(t)}(t) \in [0, r]$, then, since $r \in [0, z]$, $\eta_{p(t)}(t) = z\eta_{p(t)}(t) \in U$. If $\eta_{p(t)}(t) \cdot \epsilon(r, p(t))$, then $\eta_{p(t)}(t) \in U$ as $[r, p(t)] \subset U$. In either case, $\eta(t) = \eta_{p(t)}(t) \in U$, and so $\eta(W(U, z, V)) \subset U$.

Case 2. $x \in X'$ and $\eta_x(s) \in [x', x)$. Pick $r \in (\eta_x(s), x)$ and let $U_1 \in \Gamma$ with $x \in U_1 \subset M(r) - \{r\}$. Then, by Koch's theorem, there is $z \in U_1 \cap xX$ with z isolated in zX since $x \in X'$. If $r_1 = \sup([0, z] \cap [0, x])$, then, as U_1 is connected, $[r_1, z] \cup [r_1, x] = [z, x] \subset U_1$. Since $U_1 \subset M(r) - \{r\}, r \in [0, r_1]$, and so $[r_1, x] \cap X = \{x\}$. Moreover, $[r_1, z] = z[r_1, x]$ implies $[r_1, z] \cap X = \{z\}$ as translation by z is a homomorphism, and so z' = x'. If $V = \eta_z^{-1}([z', r) \cap U)$, then V is open in S_z since η_z is continuous. Now, $p(s) = x \in (U, z)$ by choice of z, and $\eta_z(m_{zx}(s)) = z\eta_x(s) = \eta_x(s)$ since $\eta_x(s) \in [x', r), = [z', r)$. Hence, as $\eta_x(s) \in U, m_{zx}(s) \in V$, and so $s \in W(U, z, V)$. Arguments similar to those given in Case 1 show $\eta(W(U, z, V)) \subseteq U$.

Proposition 2.7. Let everything be as in Propositions 2.2 and 2.3. If R is the relation on S' whose cosets are

$$R[s] = \{t \in S': \eta(s) = \eta(t) \text{ and } m_{xp(s)}(s) = m_{xp(t)}(t)\},\$$

where x = p(s)p(t), then R is a closed congruence on S'.

Proof. R is clearly reflexive and symmetric. Suppose (s, t), $(t, u) \in R$. Then, $\eta(s) = \eta(t) = \eta(u)$. Let

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$$x = p(s)p(u), \quad y = p(s)p(t)p(u), \quad z = p(s)p(t), \quad w = p(t)p(u),$$

We must show $m_{xp(s)}(s) = m_{xp(u)}(u)$ to show $(s, u) \in R$. Now, since $\eta(s) = \eta(t) = \eta(u)$, $\eta(s) \in \eta(S_{p(s)}) \cap \eta(S_{p(t)}) \cap \eta(S_{p(u)})$. Moreover, $m_{xp(s)}(s)$, $m_{xp(u)}(u) \in S_{x}$, and by (d)(iv) of Definition 2.1, $\eta(m_{xp(s)}(s)) = x\eta(s)$ and $\eta(m_{xp(u)}(u)) = x\eta(u)$. But, as $\eta(s) = \eta(u)$,

$$x\eta(s) = p(s)p(u)\eta(s) = p(s)p(u)\eta(u) = p(s)\eta(u) = p(s)\eta(s) = \eta(s),$$

Similarly, $\eta(m_{xp(u)}(u)) = \eta(u)$, and so $\eta(s) \in S_x$ and $\eta(m_{xp(s)}(s)) = \eta(m_{xp(u)}(u))$. A similar argument yields the fact that $\eta(s) = \eta(m_{yp(s)}(s)) = \eta(m_{yp(u)}(u))$, and so $\eta(s) \in \eta(S_y)$. Hence $\eta(s) \in \eta(S_x) \cap \eta(S_y)$, and, if $q = \inf(\eta(S_y) \cap \eta(S_x))$ and $r = \sup(\eta(S_y) \cap \eta(S_x))$, then $\eta(s) \in [q, r]$. Moreover, as $\eta(m_{xp(s)}(s)) = \eta(m_{xp(u)}(u)) = \eta(s)$, we have $m_{xp(s)}(s)$, $m_{xp(u)}(u) \in \eta_x^{-1}[q, r]$, and as $\eta(m_{yp(s)}(s)) = \eta(m_{yp(u)}(u)) = \eta(s)$, $m_{yp(s)}(s)$, $m_{yp(u)}(u) \in \eta_y^{-1}[q, r]$. Furthermore, using the facts that (s, t) and (t, u) are in R, it is easily shown that $m_{yx}(m_{xp(s)}(s)) = m_{yx}(m_{xp(u)}(u))$. But, by (d)(i) and (d)(ii) of Definition 2.1, $m_{yx}|\eta_x^{-1}[q, r]$ is an injection into $\eta_y^{-1}[q, r]$, whence $m_{xp(s)}(s) = m_{xp(u)}(u)$. Thus R is indeed transitive. A simple calculation using the fact that η is a homomorphism and the definition of multiplication in S' yields R is a congruence.

A simple argument, using the continuity of η and p and the fact that $m_{xy}(s) = 1 \cdot s$ if xy = x shows that R is closed.

Definition 2.8. Let $(T, X, S_x, m_{xy}, \eta_x)$ be a generalized collection and let $S' = \bigcup \{S_x : x \in X\}$ be the semigroup constructed in Propositions 2.2 and 2.3. If R is the congruence on S' defined in Proposition 2.7, then S = S'/R is called the semigroup over the tree T generated by the generalized collection $(T, X, S_x, m_{xy}, \eta_x)$, and is denoted $S = \widehat{S}(T, X, S_x, m_{xy}, \eta_x)$.

Lemma.2.9. If $(T, X, S_x, m_{xy}, \eta_x)$ is a generalized collection and 0 is the zero of T, then $S = \delta(T, X, S_x, m_{xy}, \eta_x)$ is connected if and only if S_0 is connected.

Proof. An argument utilizing the structure of S similar to that given in the proof of [15, Proposition 3.6, p. 128] is straightforward.

Two of the motivations for this work were a desire to generalize the construction of the hormos to non totally-ordered semilattices, and a desire to obtain a generalization of the following theorem.

Theorem (Hofmann and Mostert [3]). Let S be a compact semigroup. H is a congruence on S and S/H is an I-semigroup if and only if $S = Horm(X, S_x, m_{xy})$ for some chainable collection (X, S_x, m_{xy}) .

The last section of this paper is devoted to the latter of these. As to the

former, we note that, in constructing a hormos from a chainable collection, there was no question as to how to sew the semigroups $\{S_x : x \in X\}$ together. However, it is easy to find examples of semilattices which can be embedded in each of the several nonisomorphic trees so as to contain the endpoints of the tree in each case, and so we include the tree T in the definition of a generalized collection. We now show that the construction given in this section indeed generalizes that of the hormos.

Theorem 2.11. Let $(T, X, S_x, m_{xy}, \eta_x)$ be a generalized collection, and let 0 be the zero of T. If T is an arc and 0 is an endpoint of T, then (X, S_x, m_{xy}) is a chainable collection and $S(T, X, S_x, m_{xy}, \eta_x) \simeq \operatorname{Horm}(X, S_x, m_{xy})$.

Proof. Since T has idempotent endpoints and the cutpoint order agrees with the H-order by Lemma 1.5, the endpoint other than 0 is an identity for T. Thus T is an I-semigroup, and if $1 = \sup T$, then 1 is the identity of T. If $x \in X$ with x not isolated in $[0, x] \cap X$, then $b \mapsto (m_{yx}(b))_{y < x}$ is an isomorphism of H_x onto proj $\lim \{H_y, m_{yz}, y \le z < x\}$ after (c)(ii) and (d)(iii) of Definition 2.1, and it is now clear that (X, S_x, m_{xy}) is a chainable collection. If R is the congruence defined on $S' = \bigcup \{S_x : x \in X\}$ in Proposition 2.7, and if R' is the congruence defined on S' as a chainable collection, it is routine to check R = R'.

Let \mathbf{T}_1 be the topology on S' as a chainable collection, and let \mathbf{T}_2 be the topology on S' as described in Proposition 2.3. We show $i: (S', \mathbf{T}_1) \to (S', \mathbf{T}_2)$ by i(s) = s is continuous. Let $s \in S_x \subset S'$ with $s \in W(U, z, V)$. Then U is an open connected subset of T, $p(s) = x \in (U, z)$ with zx = z, and $m_{zx}(s) \in V$ with V an open subset of T. Now, (U, z) = U if $z \in (X' \cup \{0\})$, while (U, z) = U $\cap (z, 1]$ otherwise, and we let $U' = U \cap [z, 1] \cap X$ if $z \in (X' \cup \{0\})$, while $U' = U \cap (z, 1] \cap X$ otherwise. Then $W(U', m_{z\mu}^{-1}(V)) \in \mathbf{T}_1$, where $\mu = \inf U'$, and clearly $s \in W(U', m_{z\mu}^{-1}(V))$ and $i(W(U', m_{z\mu}^{-1}(V))) \subset W(U, z, V)$. Thus i is indeed continuous, and since (S', \mathbf{T}_1) is compact and (S', \mathbf{T}_2) is Hausdorff, i is an isomorphism. Since R = R', the induced map i^* : Horm $(X, S_x, m_{xy}) \to \delta(T, X, S_x, m_{xy}, \eta_x)$ is an isomorphism, thus proving the theorem.

We note that, as a result of this theorem, the inclusion of the basis for $S_x - H_x$ for each $x \in X'$ in the definition of the topology for a chainable collection is superfluous.

Proposition 2.12. Let $(T, X, S_x, m_{xy}, \eta_x)$ be a generalized collection and let \overline{T} be a subtree of T with idempotent endpoints. If $\overline{X} = \overline{T} \cap X$, then $(\overline{T}, \overline{X}, S_x, m_{xy}, \eta_x)$ is a generalized collection and $S(\overline{T}, \overline{X}, S_x, m_{xy}, \eta_x)$ is a subsemigroup of $S(T, X, S_x, m_{xy}, \eta_x)$.

Proof. The proof is straightforward and uninteresting.

A characterization of semigroups over trees. We are now ready to turn our attention to the main result of this work, a characterization of those compact semigroups S with H a congruence on S and S/H an abelian tree with idempotent endpoints. In particular, we show that each such is $S(S/H, E(S/H), S_x, m_{xy}, \eta_x)$, where S_x is a suitably chosen cylindrical subsemigroup of S for each $x \in E(S/H)$, and m_{xy} is translation by 1_x . Conversely, if $S = S(T, X, S_x, m_{xy}, \eta_x)$, then we show that H is a congruence on S and $S/H \simeq T$. It is because of this fact that we call such a semigroup a semigroup over the tree T. We first establish some technical lemmata we shall need for the proof of our main result, and we begin by quoting the following theorem, which is proved in [2].

Theorem 3.1. (Hofmann and Mislove [2]). Let S be a compact semigroup with identity such that S/M(S) is connected. If $sH(1) \subseteq H(1)s$ for each $s \in S$, then the identity component of the centralizer of H(1) in S meets M(S).

Lemma 3.2. Let S be an algebraic semigroup and let $e \in E(S)$. If $e \in Se$ and $s \in eS$, then es = ese = se. In particular, $(es, se) \in H$ implies es = ese =se. Finally if H is a congruence and S/H is abelian, then $E(S) \subseteq Z(S)$, the centralizer of S.

Proof. We prove only the last statement. If $s \in S$ and $e \in E(S)$, then (es, se) $\in H$ as S/H is abelian, and so es = se by the first part.

Lemma 3.3. Let S be a compact semigroup with \mathcal{H} a congruence and S/ \mathcal{H} an abelian tree with idempotent endpoints. Let $X = E(S/\mathcal{H})$, let $x \in X'$, and suppose $\phi_1: \Sigma \to \eta^{-1}[x', x]$ and $\phi_2: \Sigma \to \eta^{-1}[x', x]$ are homorphisms with $\eta(\phi_1(\Sigma)) = \eta(\phi_2(\Sigma)) = [x', x], \eta: S \to S/\mathcal{H}$ being the natural map. Then $\phi_1(\Sigma) \cdot \mathcal{H}_x$ $= \phi_2(\Sigma) \cdot \mathcal{H}_x$.

Proof. We show this first for x isolated in xX.

Claim 1. There is $t \in [0, x)$ with $[0, y] \cap [0, x] \subset [0, t]$ for each $y \in xX - \{x\}$. Proof. Suppose not, and let $D = \{y \in xX - \{x\}\}$. If, then, $t_y = \sup([0, y] \cap [0, x])$ for each $y \in D$, we have $\{t_y\}_{y \in D} \xrightarrow{e} x$, where we direct D by $y \leq z$ if and only if $t_y \in [0, t_x]$. Since xX is compact, there is $z \in xX$ with $\{y\}_{y \in D} \xrightarrow{f} z$, and by possibly picking a subnet, we may assume convergence. Now $\{[0, y]\}_{y \in D} \xrightarrow{e} [0, z]$, and $t_y \in [0, y]$ for each $y \in D$, whence $x \in [0, z]$ as $\{t_y\}_{y \in D} \xrightarrow{e} x$. But $z \in xX$, and so zx = z. Therefore z = x, contradicting the fact that x is isolated in xX, since $\{y\}_{y \in D} \xrightarrow{e} z$. This establishes the claim.

Let $t \in [0, x)$ with $[0, y] \cap [0, x] \subset [0, t]$ for each $y \in D$, and let $A = \eta^{-1}[x', x]$. Then A is clearly a compact subsemigroup of S with group of units H_x . Pick $s \in A$ with $\eta(s) \in (t, x]$.

Claim 2. $H_S(s) = sH_x = H_xs$.

Proof. First note that $E(S) \subset Z(S)$ by Lemma 3.2. If $u \in H_S(s)$, then there are $a, b \in S$ with u = sa and s = ub, whence s = sab. Thus, $s = sl_y$ for $l_y \in \Gamma(ab) \cap E(S)$, and so $ul_y = u$ as $(s, u) \in \mathcal{H}$. If z = xy, then $sl_z = sl_zl_y = s$ and $ul_z = u$, whence $z\eta(s) = \eta(s)$. Now $z \in xX$ and $\eta(s) = z\eta(s) \in z[0, x] = [0, z]$, so $\eta(s) \in [0, z] \cap [0, x]$. Since $\eta(s) \in (t, x]$, we have z = x. Hence, $al_x, bl_x \in H_x$ since $l_y \in \Gamma(ab)$ and $l_xl_y = l_x$. Moreover, $s(al_x) = (sa)l_x = ul_x = u$, and so $H_S(s) \subset SH_x$.

If $a \in H_x$, then $\eta(sa) = \eta(s)\eta(a) = \eta(s)x = \eta(s)$, and so $sH_x \subset H_s(s)$. Therefore $H_s(s) = sH_x$, and a similar argument shows $H_s(s) = H_xS$, proving the claim.

Now, $A = H_x \cup \eta^{-1}[x', x)$, and $\eta(H_x) \cap \eta(\eta^{-1}[x', x)) = \Box$. Hence, since H_x is closed in A and η is a closed map, H_x is not open in A as [x', x] is connected.

It follows that there is a one parameter semigroup in A containing 1_x whose closure meets M(A), and from this that A/M(A) is connected. This, along with Claims 1 and 2 imply that A satisfies the hypotheses of Theorem 3.1. Therefore, the identity component of the centralizer of $H(1_x)$ in A, C, meets M(A), and since 1_x is isolated in C, there is a one-parameter semigroup in C containing 1_x whose closure meets $M(C) \subseteq M(A)$. Thus there is a homomorphism $\phi: \Sigma \times H_x \rightarrow A$ with $\phi((0, 0), b) = b$ for each $b \in H_x$ and $\phi(\Sigma \times H_x) \notin H_x$ [3, p. 87, 2.3]. Hence, $\eta(\phi(\Sigma \times H_x)) = [x', x]$, and it now suffices to show $\phi(\Sigma \times H_x) = \phi'(\Sigma) \cdot H_x$ for any $\phi': \Sigma \rightarrow A$ with $\eta(\phi'(\Sigma)) = [x', x]$.

Let $s \in \phi'(\Sigma)$ with $\eta(s) \in (t, x]$. Then there is $s' \in \phi(\Sigma \times H_x)$ with $\eta(s') = \eta(s)$, and so there is $b \in H_x$ with s = s'b by Claim 2. Hence $s = s'b \in \phi(\Sigma \times H_x)H_x = \phi(\Sigma \times H_x)$, and so $\phi'(\Sigma) \subset \phi(\Sigma \times H_x)$ since $\phi'(\Sigma)$ is generated by $\{s \in \phi'(\Sigma): \eta(s) \in (t, x]\}$. Therefore, $\phi'(\Sigma) \cdot H_x \subset \phi(\Sigma \times H_x) \cdot H_x = \phi(\Sigma \times H_x)$.

Now, there is $r \in H$ with r > 0 and $\phi((p, s(p)), 1_x) \in \eta^{-1}(t, x]$ for $r > p \in H$, and so $\eta(\phi((p, s(p)), 1_x)) = \eta(s)$ for some $s \in \phi'(\Sigma)$. Moreover, by Claim 2, there is $b_p \in H_x$ with $\phi((p, s(p)), 1_x) = sb_p$, whence

$$\phi(\{(p, s(p)): p < r\} \times \{1_{\nu}\}) \subset \phi'(\Sigma) \cdot H_{\nu}.$$

But $\Sigma \times \{1_x\} = \langle \{(p, s(p)): p < r\} \times \{1_x\} \rangle^*$, and so

$$\phi(\Sigma \times \{1_x\}) = \phi(\langle \{(p, s(p)) : p < r\} \times \{1_x\})^*) \subset \phi'(\Sigma) \cdot H_x.$$

Finally, $\phi(\Sigma \times H_x) = \phi(\Sigma \times \{1_x\}) \cdot H_x \subset \phi'(\Sigma) \cdot H_x \cdot H_x = \phi'(\Sigma) \cdot H_x$. This esblishes the desired result in the case that x is isolated in xX.

Now, suppose $x \in X'$ and x is not isolated in xX. If $D = \{y \in xX: y \in S : y \in Y\}$ isolated in yX}, then D is directed under $y \leq z$ if and only if yz = y and $\{y\}_{y \in D} \xrightarrow{e} x$ by Lemma 1.7. Moreover, by possibly picking a residual subset of D, we may assume y' = x' for each $y \in D$ by Proposition 1.6. Now, if $\{H_a\}_{a \in E}$ is a universal subnet of $\{H_y\}_{y \in D}$ [5, p. 81], then $\{H_a\}_{a \in E}$ converges to some subsemigroup H of S. Moreover, as $\eta: S \to S/H$ is continuous, if $\{h_y\}_{y \in D} \xrightarrow{f} b \in S$, then $\{\eta(b_y)\}_{y \in D} \xrightarrow{f} \eta(b)$, and so $\eta(b) = x$ since $\eta(b_y) = y$ for each $y \in D$ and $\{y\}_{y \in D} \to x$. Thus $b \in H_x$, and we have $H \subset H_x$. But $H_x \subset H$ since $1_y H_x \subset H_y$ for each $y \in D$, and so $H = H_x$.

Now, let $\phi_1: \Sigma \to \eta^{-1}[x', x]$ and $\phi_2: \Sigma \to \eta^{-1}[x', x]$ be homomorphisms with $\eta(\phi_1(\Sigma)) = \eta(\phi_2(\Sigma)) = [x', x]$. If $y \in D$, since $E(S) \subset Z(S)$ by Lemma 3.2, translation by 1_y is a homomorphism, and moreover,

$$\eta(1_{y} \cdot \eta^{-1}[x', x]) = \eta(1_{y}) \cdot [x', x] = [\eta(1_{y})x', \eta(1_{y})x] = [\eta(1_{y})', \eta(1_{y})],$$

whence $1_y \cdot \eta^{-1}[x', x] \subset \eta^{-1}[\eta(1_y)', \eta(1_y)]$. Hence, if $y \in D$, then $\phi_{iy}: \Sigma \to \eta^{-1}[\eta(1_y)', \eta(1_y)]$ by $\phi_{iy}(s) = 1_y \cdot \phi(s)$, i = 1, 2, are homormophisms and $\eta(\phi_{iy}(\Sigma)) = [\eta(1_y)', \eta(1_y)]$ for each *i*. Thus, $\phi_{1y}(\Sigma) \cdot H_y = \phi_{2y}(\Sigma) \cdot H_y$ by the first part of this proof. Therefore,

$$\phi_1(\Sigma) \cdot H_x = \phi_1(\Sigma) \cdot \lim H_y = \lim \phi_1(\Sigma) \cdot H_y = \lim \phi_{1y}(\Sigma) \cdot H_y$$
$$= \lim \phi_{2y}(\Sigma) \cdot H_y = \phi_2(\Sigma) \cdot H_x.$$

This concludes the proof of the lemma.

Main theorem. Let S be a compact semigroup. H is a congruence on S and S/H is an abelian tree with idempotent endpoints if and only if $S(T, X, S_x, m_{xy}, \eta_x)$ for some generalized collection $(T, X, S_x, m_{xy}, \eta_x)$.

Proof. We first establish necessity. Suppose S is a compact semigroup with \mathcal{H} a congruence on S and S/ \mathcal{H} an abelian tree with idempotent endpoints. We now construct a generalized collection $(T, X, S_x, m_{xy}, \eta_x)$.

Let T = S/H and let X = E(S/H). Then T has a zero by Lemma 1.4. Let $x \in X'$ and consider $\eta^{-1}[x', x]$, $\eta: S \to S/H$ being the natural map. If x is isolated in xX, then, as in the proof of Lemma 3.4, there is a homomorphism $\phi: \Sigma \times H_x \to \eta^{-1}[x', x]$ with $\phi((0, 0), b) = b$ for each $b \in H_x$ and $\eta(\phi(\Sigma)) = [x', x]$. If $(r, r') \in \Sigma$ and $b \in H_x$, then

$$\phi((r, r'), 1_x)b = \phi((r, r'), b) = \phi((0, 0), b)\phi((r, r'), 1_x) = b\phi((r, r'), 1_x),$$

and so $\phi(\Sigma \times \{1_x\}) \subset Z(H_x)$. Thus, if C_x is the identity component of the centralizer of H_x in $\eta^{-1}[x', x]$, then C_x contains $1_{x'}$.

If, on the other hand, x is not isolated in xX, then arguments similar to those given in the consideration of the analogous part of the proof of Lemma 3.3 show that $1_{x'} \in C$, the identity component of the centralizer of H_x in $\eta^{-1}[x', x]$.

If now, H is the group of units of C, then H is closed in C, $H \neq C$, and, as C is connected, H is not open in C. Since $C \subseteq \eta^{-1}[x', x], 1_x$ is isolated in the

set of idempotents of C, and so there is a one-parameter semigroup $f: \mathbf{H} \to C$ with $f(0) = 1_x$ and $f(\mathbf{H}) \notin H$. Since C is a subset of the centralizer of H_x in $\eta^{-1}[x', x]$, there is a homomorphism $\phi: \Sigma \times H_x \to \eta^{-1}[x', x]$ with $\phi((0, 0), b) = b$ for each $b \in \mathbf{H}_x$ and $\eta(\phi(\Sigma \times H_x)) = [x', x]$ [3, p. 87, 2.3].

Thus, in either case, if $x \in X'$, there is a homomorphism $\phi: \Sigma \times H_x \to \eta^{-1}[x', x]$ with $\phi((0, 0), b) = b$ for each $b \in H_x$ and $\eta(\phi(\Sigma \times H_x)) = [x', x]$. Pick one such, and let $S_x = \phi(\Sigma \times H_x)$. If $x \notin X'$, let $S_x = H_x$. If $x, y \in X$ with xy = x, let $m_{xy}: S_y \to S_x$ be defined by $m_{xy}(s) = 1_x s$. Since S/\mathbb{H} is an abelian tree with idempotent endpoints, $E(S) \subset Z(S)$ by Lemma 3.2, and so m_{xy} is a homomorphism. If $y \notin X'$, then $S_y = H_y$ and, if $b \in H_y$

$$m_{xy}(b)m_{xy}(b^{-1}) = (1_xb)(1_xb^{-1}) = 1_x(bb^{-1}) = 1_x1_y = 1_x,$$

whence $m_{xy}(S_y) \subset H_x \subset S_x$. Suppose $y \in X'$. If $x \notin X'$, then $x[y', y] \subset [0, x]$ and, as translation by x is a homomorphism, $x[y', y] \cap X = \{xy', x\}$, whence xy' = x. Hence if $s \in S_y$,

$$\eta(m_{xy}(s)) = \eta(1_x s) = \eta(1_x)\eta(s) = x\eta(s) = x,$$

and so $m_{xy}(s) \in H_x \subset S_x$. Suppose $x \in X'$. Then $x[y', y] \subset [0, x]$, and $x[y', y] \cap X = \{xy', x\}$ as above. Thus xy' = x or xy' = x', and so, if $s \in S_y \subset \eta^{-1}[y', y]$, then $\eta(m_{xy}(s)) = \eta(1_x s) = x\eta(s) \in [x', x]$, whence $m_{xy}(S_y) \subset \eta^{-1}[x', x]$. Therefore, if $S_y = \phi(\Sigma \times H_y)$, then $m_{xy} \circ \phi|(\Sigma \times \{1_y\})$ is a homomorphism of $\Sigma \times \{1_y\}$ into $\eta^{-1}[x', x]$, and so $m_{xy}(\phi(\Sigma \times \{1_y\})) \subset S_x$ by Lemma 3.3. Thus

$$\begin{split} m_{xy}(S_y) &= m_{xy}(\phi(\Sigma \times H_y)) = m_{xy}(\phi(\Sigma \times \{1_y\})H_y) \\ &= m_{xy}(\phi(\Sigma \times \{1_y\})m_{xy}(H_y)S_x \cdot H_x = S_x, \end{split}$$

the containment following from the obvious fact that $m_{xy}(H_y) \subset H_x$.

In any case, $m_{xy}(S_y) \in S_x$, and $m_{xy}(S_y) \in H_x$ if $x \in [0, y)$. In particular, if $y \in X'$ and x = y', $M_y \in H_x$, and so $m_{xy}|M_y$ is the identity map, and hence it is an injection. If $x \in X$, let $\eta_x = \eta | S_x$. If $x, y \in X$ with xy = x and $s \in S_y$, $\eta_x(m_{xy}(s)) = \eta(1_x s) = x\eta(s) = x\eta_y(s)$. As S_x is cylindrical, $\mathcal{H}_{S_x} = \mathcal{H}_S \cap (S_x \times S_x)$ [9, Lemma 2.4], and so (b)(ii) of 2.1 holds. Moreover, if xy = x and yz = y, then $m_{xy} \circ m_{yz} = m_{xz}$ is clear as $1_x 1_y = 1_x$.

Suppose $x, y \in X'$, xy = x, and x' = y'. Then, if $t = \sup[[x', x] \cap [y', y]]$ and if $s \in \eta_y^{-1}[y', t]$, then $\eta(s) \in [x', t]$, and so $x\eta(s) = \eta(s)$ by Lemma 1.5. Hence $m_{xy}(s) = 1_x s = s$, and so $m_{xy}[\eta_y^{-1}[y', t]]$ is an injection into $\eta_x^{-1}[x', t]$.

We have shown that $(T, X, S_x, m_{xy}, \eta_x)$ satisfies all the conditions of Definition 2.1 except (d)(iii) and (b)(iii). We consider these in that order.

Suppose $\{x_{\alpha}\}_{\alpha \in D} \subset X$ such that $\{x_{\alpha}\}_{\alpha \in D} \xrightarrow{e} x \in X$ with $x_{\alpha}x = x_{\alpha}$ for each $\alpha \in D$ and $\alpha \leq \beta$ implies $x_{\alpha}x_{\beta} = x_{\alpha}$. Let $\phi_x \colon S_x \xrightarrow{\to} \prod \{S_x \colon \alpha \in D\}$ be defined by $\phi_x(s) = (m_{x_{\alpha}x}(s))_{\alpha \in D}$.

 ϕ_x is a homomorphism as each m_{xax} is, and clearly $\phi_x(S_x) \subset$ proj $\lim \{S_{xa}, m_{xax\beta}, a \leq \beta \in D\}$. Since $\{1_{xa}\}_{a \in D} \xrightarrow{e} 1_x$, if $\phi_x(s) = \phi_x(t)$, then

$$s = (\lim 1_{x_{a}})s = \lim 1_{x_{a}}s = \lim (\phi_{x_{a}}(s))_{a} = \lim (\phi_{x_{a}}(t))_{a} = t,$$

whence ϕ_x is one-to-one. To show ϕ_x is surjective, fix $(s_a)_{a \in D} \in$ proj $\lim \{S_{x_a}, m_{x_a x \beta}, a \leq \beta \in D\}$. We distinguish two cases.

Case 1. $x \notin X'$, in which case $S_x = H_x$. By possibly picking a subnet, either $x_a \in X'$ for each $a \in D$ or $x_a \notin X'$ for each $a \notin D$. In the former case, if U is a connected open subset of T containing x, then there is $y \in U \cap [0, x) \cap X$ so $x \in U \cap M(y) - \{y\}$. Thus there is $\beta \in D$ with $x_a \in U \cap M(y) - \{y\}$ for $\beta \leq a \in D$, whence $y \in [0, x_a]$ for $\beta \leq a \in D$. As $y, x_a \in U$ and U is connected, $[y, x_a] \subset U$ for $\beta \leq a \in D$, and, since $y \in [0, x_a] \cap X$, $x'_a \in U$ for $\beta \leq a \in D$. Thus $\{x'_a\}_{a \in D} \xrightarrow{\leftarrow} x$, and therefore, since $x'_a \leq \eta(s_a) \leq x_a$ for each $a \in D$, we have $\{\eta(s_a)\}_{a \in D} \xrightarrow{\leftarrow} x$ if $x_a \in X'$ for each $a \in D$, this fact being obvious if $x_a \notin D$.

As S is compact, there is $s \in S$ with $\{s_a\}_{a \in D} \xrightarrow{f} s$, and, by the above, $s \in S_x$. Standard arguments now show $1_{xa} \cdot s = s_a$ for each $a \in D$, so $\phi(s) = (s_a)_{a \in D}$.

Case 2. $x \in X'$. According to Lemma 1.6, we may assume $x_a \in X'$ and $x'_a = x'$ for each $a \in D$. Let $S_x = \phi(\Sigma \times H_x)$ with $\phi((0, 0), b) = b$ for each $b \in H_x$. Then, by Lemma 3.3, $S_{xa} = [(m_{xax} \circ \phi)(\Sigma \times \{1_x\})] \cdot H_{xa}$, and so, for each $a \in D$, there are $t_a \in \phi(\Sigma \times \{1_x\})$ and $h_a \in H_{xa}$ with $s_a = m_{xax}(t_a)h_a$. There is $t \in \phi(\Sigma \times \{1_x\})$ $\phi(\Sigma \times \{1_x\})$ with $\{t_a\}_{a \in D} \xrightarrow{f} t$, and so there is a subnet $\{t_\beta\}_{\beta \in E}$ with $\{t_\beta\}_{\beta \in E} \xrightarrow{e} t$. Since S is compact, there is $b \in S$ with $\{b_\beta\}_{\beta \in E} \xrightarrow{f} b$, and, since $\eta: S \to S/H$ is continuous and $\{x_\beta\}_{\beta \in E} \xrightarrow{e} b$. Now, $s = tb \in \phi(\Sigma \times \{1_x\}) \cdot H_x = S_x$, and again standard arguments show $\phi(s) = (s_a)_{a \in D}$.

Thus, property (d)(iii) of Definition 2.1 is fulfilled, and, to accomplish (b)(iii), we make the following inessential changes: for $x \in X$, let $T_x = \{x\} \times S_x$, and, if $x, y \in X$ with xy = x, define $m'_{x,y}: T_y \to T_x$ by $m'_{x,y}(y, s) = (x, m_{x,y}(s))$. Let $\eta'_x: T_x \to [x', x]$ be defined by $\eta'_x(x, s) = \eta_x(s)$. Clearly $(T, X, T_x, m'_{x,y}, \eta'_x)$ is a generalized collection.

Let $\overline{S} = \mathcal{S}(T, X, T_x, m'_x, \eta'_x)$. We show $\overline{S} \simeq S$. Let $S' = \bigcup \{T_x : x \in X\}$ be the semigroup constructed in Propositions 2.2 and 2.3, and define $f: S' \to S$ by f(x, s) = s for each $(x, s) \in S'$. We will show that f is a continuous surmorphism and that $\rho_f = R$, the congruence defined in Proposition 2.7.

Clearly f is well defined. Let $s \in S$. If $\eta(s) = x \in X$, then $s \in H_x \subset S_x$, and so $(x, s) \in T_x$ and f(x, s) = s. Suppose $\eta(s) \in (x', x)$ for some $x \in X'$. Then, since $\eta(S_x) = [x', x]$, there is $t \in S_x$ with $\eta(t) = \eta(s)$, whence $(s, t) \in \mathbb{H}_S$, and so there are a, $b \in S$ with sa = t and tb = s. Then, s = sab, and, therefore $s = s1_y$

where $1_y \in \Gamma(ab)$. Now, $a1_y$, $b1_y \in S1_y$ and $\{(a1_yb1_y)^n\}_{n \in \omega} \xrightarrow{f} 1_y$, whence $a1_y$, $b1_y \in H_y$. If z = xy, then

$$m_{zy}(S_x) \subset S_z$$
, and $m_{zx}(t)m_{zy}(b1_y) = (1_zt)(1_zb1_y) = 1_ztb = 1_zs = s$.

Thus, $s \in S_z$, and so $(z, s) \in T_z$ and f(z, s) = s. Therefore, f is surjective.

To show the continuity of f, let $\{(x_a, s_a)\}_{a \in D} \subset S'$ with $\{(x_a, s_a)\}_{a \in D} \xrightarrow{e}$ (x, s). Then $\{s_{\alpha}\}_{\alpha \in D}$ is a net in S, and so there is $t \in S$ with $\{s_{\alpha}\}_{\alpha \in D} \xrightarrow{f} t$. By picking a subnet, we may assume $\{s_a\}_{a \in D} \xrightarrow{e} t$. If $y_a = xx_a$ for each $a \in D$, then, by possibly picking a subnet, we may assume that either $y_a \neq x$ for each $a \in D$ or $y_a = x$ for each $a \in D$. In the first case, x is not isolated in xX, and as in the last case of the proof of Proposition 2.5, we pick a net $\{y\}_{y \in E} \subset xX$ with yz = yif $y \leq z$ and $\{y\}_{y \in E} \xrightarrow{e} x$. Moreover, as is shown in that proof $\{\alpha \in D: yy_{\alpha} = y\}$ is residual in D for each $y \in E$. If $y_{\alpha} = x$ for each $\alpha \in D$, we let $\{y\}_{y \in E}$ be the constant net $\{x\}$. Fix $y \in E$ and let $\beta \in D$ with $yy_{\alpha} = y$ for $\beta \leq \alpha \in D$. Then, ${m_{yx}(s_{\alpha})}_{\beta \leq \alpha} \subset S_{y}$ and ${m_{yx_{\alpha}}(s_{\alpha})}_{\beta \leq \alpha} \xrightarrow{e} m_{yx}(s)$ in S_{y} as a subset of S'. More-over, ${1_{y}s_{\alpha}}_{\beta \leq \alpha} \xrightarrow{e} 1_{y}t$ in S as multiplication in S is continuous. But, $m_{yx_{\alpha}}(s_{\alpha})$ = $1_y s_a$ for each $\beta \leq a \in D$, and since S_y is a closed subset of S, $1_y t \in S_y$. But, by Lemma 2.4, the topology on S_y as a subset of S' is the same as the topology on S_y as a subset of S, and so $m_{yx}(s) = 1_y t$. Since $y \in E$ is arbitrary and $1_y s =$ $m_{yx}(s)$ for each $y \in E$, $s = 1_x s = (\lim 1_y)s = \lim 1_y s = \lim 1_y t = t$, the last equality following from the fact that $\{s_a\}_{a \in D} \xrightarrow{e} t$ and $\{1_x\}_{a \in D} \xrightarrow{e} 1_x$. Thus, $\{f(x_{\alpha}, s_{\alpha})\}_{\alpha \in D} \xrightarrow{e} f(x, s)$, and f is indeed continuous.

Suppose (x, s), $(y, t) \in S'$. Then, if z = xy,

$$f((x, s)(y, t)) = f(z, m_{zx}(s)m_{zy}(t)) = f(z, (1_{z}s)(1_{z}t))$$
$$= 1_{z}st = 1_{x}1_{y}st = 1_{x}s1_{y}t = st = f(x, s)f(y, t),$$

whence f is a homomorphism.

. . . .

We now show $\rho_f = R$. If f(x, s) = f(y, t), then s = t, and so $\eta(s) = \eta(t)$. Moreover, if z = xy, then

$$m'_{zx}(x, s) = (z, m_{zx}(s)) = (z, 1_z s) = (z, 1_z t) = (z, m_{zy}(t)) = m'_{zy}(t).$$

Therefore, since $\eta'_x(x, s) = \eta(s) = \eta(t) = \eta'_y(y, t)$, $((x, s), (y, t)) \in \mathbb{R}$, and so $\rho_j \subseteq \mathbb{R}$.

If, conversely, $((x, s), (y, t)) \in R$, then $\eta(s) = \eta'_x(x, s) = \eta'_y(y, t) = \eta(t)$. But, $l_x s = s$ and $l_y t = t$ as $s \in S_x$ and $t \in S_y$, and so $l_y s = s$ and $l_x t = t$ since (s, t), $\in \mathcal{H}$. Now, if z = xy, then $s = l_x l_y s = l_z s = m_{zx}(s) = m_{zy}(t) = l_z t = l_x l_y t = t$, and we have f(x, s) = s = t = f(y, t), whence $((x, s), (y, t)) \in \rho_f$. Therefore $R \subset \rho_f$, and so $R = \rho_f$. We therefore have the following commutative diagram:



and i is an isomorphism since $R = \rho_{f}$. This concludes the proof of the necessity.

To show sufficiency, suppose $S = S(T, X, S_x, m_{xy}, \eta_x)$ is the semigroup over the tree T generated by the generalized collection $(T, X, S_x, m_{xy}, \eta_x)$. First, to see that H is a congruence, let $(a, b) \in H$ and let $c \in S$. If $a \in S_x$ and $b \in S_y$, then $1_x a = 1_y a = a$ and $1_x b = 1_y b = b$. Thus, $a, b \in S_x$, where z = xy, and so $(a, b) \in H_{S_z}$ [9, Lemma 2.4]. If $c \in S_w$ and v = zw, then $(m_{vz}(a), m_{vz}(b)) \in H_{S_v}$ as m_{vz} is a homomorphism, and, since S_v is cylindrical, H_{S_v} is a congruence, whence $(m_{vz}(a)m_{vw}(c), m_{vz}(b)m_{vw}(c)) \in H_{S_v}$. But, $ac = m_{vw}(a)m_{vw}(c)$ and $bc = m_{vz}(b)m_{vw}(c)$ and $H_{S_v} \subset H$ and so $(ac, bc) \in H$. Similarly, $(ca, cb) \in H$, and so H is indeed a congruence on S.

Let $\eta: S' = \bigcup \{S_x: x \in X\} \to T$ be defined by $\eta(s) = \eta_x(s)$, where $s \in S_x$, and let $\phi: S' \to S = S'/R$ be the natural map, R being the congruence defined in Proposition 2.7, and, lastly, let $\nu: S \to S/\mathcal{H}$ be the natural map. We show $S/\mathcal{H} \simeq T$ by showing that $\rho_{\eta} = \rho_{\nu \circ \phi}$, thus establishing that S/\mathcal{H} is an abelian tree with idempotent endpoints.

If $(s, t) \in \rho_{\eta}$, then $\eta_{p(s)}(s) = \eta_{p(t)}(t)$, where $p: S' \to X$ is defined by p(s) = x if and only if $s \in S_x$. If x = p(s)p(t), then

$$\eta_{x}(m_{xp(s)}(s)) = x\eta_{p(s)}(s) = x\eta_{p(t)}(t) = \eta_{x}(m_{xp(t)}(t)),$$

and so $\nu(\phi(m_{xp(s)}(s))) = \nu(\phi(m_{xp(t)}(t)))$ as η_x is the H-class map on S_x . Thus, $(m_{xp(s)}(s), m_{xp(t)}(t)) \in \rho_{\nu_0\phi}$, and, furthermore,

$$\begin{split} \eta(m_{xp(s)}(s)) &= x\eta_{p(s)}(s) = p(t)p(s)\eta_{p(s)}(s) = p(t)\eta_{p(s)}(s) = p(t)\eta_{p(t)}(t) \\ &= \eta_{p(t)}(t) = \eta_{p(s)}(s), \end{split}$$

and so $(s, m_{xp(s)}(s)) \in R$. Similarly, $(m_{xp(t)}(t), t) \in R$, and therefore, $(s, m_{xp(s)}(s))$, $(m_{xp(t)}(t), t) \in R \subset \rho_{\nu_0\phi}$, and, since $(m_{xp(s)}(s), m_{xp(t)}(t)) \in \rho_{\nu_0\phi}$, $(s, t) \in \rho_{\nu_0\phi}$. Thus, $\rho_{\eta} \subset \rho_{\nu_0\phi}$.

Suppose now that $(s, t) \in \rho_{\nu \circ \phi}$. Then $\phi(s) \in \phi(t)S \cap S\phi(t)$, and so there is $b \in S'$ with $\phi(s) = \phi(tb)$. Hence, $(s, tb) \in R$, and so $\eta(s) = \eta(tb) = \eta(t)\eta(b)$. Therefore, $\eta(s) \leq_{\Re} \eta(t)$, and similar arguments yield $\eta(s) \leq_{\Re} \eta(t)$, $\eta(t) \leq_{\Re} \eta(s)$, and $\eta(t) \leq_{\Re} \eta(s)$.

 $\eta(s)$. Thus, $(\eta(s), \eta(t)) \in \Re \cap \mathfrak{L} = \mathfrak{H}_T$, and, since all the subgroups of T are trivial, $\eta(s) = \eta(t)$, whence $(s, t) \in \rho_\eta$, and $\rho_{\nu \circ \phi} \subset \rho_\eta$. We therefore have the desired result.

The author is indebted to Professor Thomas Hays for the argument for the continuity of the function *f* in the proof of the necessity in this theorem.

We note that, in view of Lemmas 1.3 and 1.4, we have really characterized those compact semigroups S with H a congruence on S and S/H a tree with idempotent endpoints in which the idempotents commute as semigroups over trees.

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