

1 **Ser14-RPN6 Phosphorylation Mediates the Activation of 26S Proteasomes by cAMP and**  
2 **Protects against Cardiac Proteotoxic Stress in Mice**

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16 **Running Title:** S14-phosphorylated RPN6 in proteotoxicity

17 **ABSTRACT**

18 **Background:** A better understanding of the regulation of proteasome activities can facilitate the  
19 search for new therapeutic strategies. A cell culture study shows that cAMP-dependent protein  
20 kinase (PKA) activates the 26S proteasome by phosphorylating Ser14 of RPN6 (pS14-RPN6),  
21 but this discovery and its physiological significance remain to be established *in vivo*.

22  
23 **Methods:** Male and female mice with Ser14 of Rpn6 mutated to Ala (S14A) or Asp (S14D) to  
24 respectively block or mimic pS14-Rpn6 were created and used along with cells derived from  
25 them. cAMP/PKA were manipulated pharmacologically. Ubiquitin-proteasome system (UPS)  
26 functioning was evaluated with the GFPdgn reporter mouse and proteasomal activity assays.  
27 Impact of S14A and S14D on proteotoxicity was tested in mice and cardiomyocytes  
28 overexpressing the misfolded protein R120G-CryAB (R120G).

29  
30 **Results:** PKA activation increased pS14-Rpn6 and 26S proteasome activities in wild-type (WT)  
31 but not S14A embryonic fibroblasts (MEFs), adult cardiomyocytes (AMCMs), and mouse hearts.  
32 Basal 26S proteasome activities were significantly greater in S14D myocardium and AMCMs  
33 than in WT counterparts. S14D::GFPdgn mice displayed significantly lower myocardial GFPdgn  
34 protein but not mRNA levels than GFPdgn mice. In R120G mice, a classic model of cardiac  
35 proteotoxicity, basal myocardial pS14-Rpn6 was significantly lower compared with non-  
36 transgenic littermates, which was not always associated with reduction of other phosphorylated  
37 PKA substrates. Cultured S14D neonatal cardiomyocytes displayed significantly faster  
38 proteasomal degradation of R120G than WT neonatal cardiomyocytes. Compared with R120G  
39 mice, S14D/S14D::R120G mice showed significantly greater myocardial proteasome activities,  
40 lower levels of total and K48-linked ubiquitin conjugates and of aberrant CryAB protein  
41 aggregates, less reactivation of fetal genes and cardiac hypertrophy, and delays in cardiac  
42 malfunction.

43  
44 **Conclusions:** This study establishes in animals that pS14-Rpn6 mediates the activation of 26S  
45 proteasomes by PKA and that the reduced pS14-Rpn6 is a key pathogenic factor in cardiac  
46 proteinopathy, thereby identifies a new therapeutic target to reduce cardiac proteotoxicity.

47  
48 **Key words:** 26S proteasome, cAMP-dependent protein kinase, Ser14-RPN6 phosphorylation,  
49 cardiac proteotoxicity

50		<b>Non-standard Abbreviations and Acronyms</b>
51	AMCM	adult mouse cardiomyocyte
52	Fsk	forskolin
53	IPTS	increased proteotoxic stress
54	LV	left ventricle/ventricular
55	MEF	mouse embryonic fibroblast
56	NRCM/NMCM	neonatal rat/mouse cardiomyocyte
57	PFI	proteasome functional insufficiency
58	Picl	piclamilast
59	PQC	protein quality control
60	pS14-Rpn6	Serine14-phosphorylated Rpn6
61	S14A	Rpn6/Psmd11 <sup>S14A</sup>
62	S14D	Rpn6/Psmd11 <sup>S14D</sup>
63	UPS	ubiquitin-proteasome system
64	WT	wild-type

## 65 INTRODUCTION

66 The ubiquitin-proteasome system (**UPS**) is responsible for the degradation of most intracellular  
67 proteins. By targeted and timely degradation of terminally misfolded proteins, the UPS is pivotal  
68 to protein quality control (**PQC**) which senses and minimizes the level and toxicity of misfolded  
69 proteins.<sup>1</sup> UPS-mediated proteolysis generally consists of two sequential steps: covalent  
70 attachment of a substrate protein to a chain of ubiquitin molecules, predominantly through K48  
71 linkages, and subsequent degradation of the ubiquitinated protein by the 26S proteasome.<sup>2</sup> It  
72 was widely assumed that the rate of UPS-mediated protein degradation is solely determined by  
73 the rate of ubiquitination. However, emerging evidence suggests that the functionality of 26S  
74 proteasomes is vigorously regulated and dedicates the degradation efficiency of ubiquitinated  
75 proteins, especially misfolded proteins.<sup>3-5</sup> Therefore, a better understanding of the regulation of  
76 proteasome functioning should facilitate the search for ways to accelerate the breakdown of  
77 unwanted and toxic proteins in the cell, a conceivable strategy to prevent or more effectively  
78 treat disease with increased proteotoxic stress (**IPTS**).<sup>4</sup>

79 Phosphorylation has emerged as an important post-translational mechanism for the  
80 regulation of 26S proteasomes in health and disease. There is growing evidence that  
81 proteasome activities can be altered by protein kinases including the cAMP-dependent protein  
82 kinase (PKA),<sup>6-8</sup> protein kinase G (PKG),<sup>9</sup> dual receptor tyrosine kinase 2 (DYRK2),<sup>10</sup> and  
83 calcium/calmodulin-dependent protein kinase II (CaMKII).<sup>11,12</sup> Among these kinases, PKA is the  
84 first and arguably the best studied one to phosphorylate proteasomes. It was first reported that  
85 PKA activates the proteasome via phosphorylation of Ser120 of RPT6, an AAA-ATPase subunit  
86 of the 19S regulatory particle (**RP**) of 26S proteasomes.<sup>6</sup> However, this is refuted later by a  
87 more comprehensive study, which demonstrates exclusively in cultured cells that Ser14 of  
88 RPN6 (a non ATPase subunit of the 19S RP), rather than RPT6, is phosphorylated by PKA and  
89 mediates PKA-induced proteasome activation.<sup>8</sup> Our group also has reported that cAMP  
90 elevation increases pS14-Rpn6 in a PKA-dependent manner and improves UPS proteolytic  
91 function in cultured cardiomyocytes.<sup>13</sup> Despite compelling *in vitro* evidence, none of the  
92 proteasome phosphosites, including Ser14-RPN6, have been genetically tested in animals for  
93 their physiological or pathological significance.

94 Clinical and experimental studies have revealed the occurrence of proteasome functional  
95 insufficiency (**PFI**) and cardiac IPTS during the progression from a large subset of heart  
96 diseases, including cardiac proteinopathy, to heart failure,<sup>14</sup> a leading cause of death and  
97 disability in humans. Patients with hypertrophic cardiomyopathy or heart failure displayed  
98 impaired cardiac proteasome activities.<sup>15</sup> Myocardial accumulation of ubiquitinated proteins in

99 dilated or ischemic cardiomyopathies and the increase of pre-amyloid oligomers in  
100 cardiomyocytes of failing hearts also are indicative of PFI in humans.<sup>16,17</sup> With a mouse model of  
101 cardiomyocyte-restricted proteasome functional enhancement, PFI was first established as a  
102 major pathogenic factor in cardiac proteinopathy and myocardial ischemia/reperfusion (I/R)  
103 injury.<sup>18</sup> Hence, improvement of proteasome function has the potential to become a new  
104 therapeutic strategy for the treatment of heart diseases with IPTS.<sup>4</sup> However, this is hindered by  
105 the lack of effective pharmacological methods. Recent work from our lab has provided  
106 compelling evidence that inhibition of phosphodiesterase 1 (PDE1), which stimulates both PKA  
107 and PKG, facilitates UPS-mediated protein degradation in a PKA- and PKG-dependent manner  
108 in cultured cardiomyocytes and effectively attenuates diastolic malfunction and slowed down the  
109 progression of the CryAB<sup>R120G</sup>-based model of cardiac IPTS.<sup>13</sup> The therapeutic benefits of PDE1  
110 inhibition was associated with increased myocardial pS14-Rpn6,<sup>13</sup> but it remains unknown if  
111 Ser14-RPN6 phosphorylation alone can protect against cardiac IPTS in animals.

112 To address these critical gaps, we have conducted the present study to determine the role  
113 of pS14-RPN6 in the activation of 26S proteasomes by PKA in mice and to determine the  
114 physiological significance of this phosphorylation in disease progression of the CryAB<sup>R120G</sup>-  
115 based proteinopathy mice. Our results provide unequivocal evidence for the first time in animals  
116 that pS14-RPN6 is responsible for the activation of 26S proteasomes by PKA. Moreover, we  
117 have discovered that myocardial pS14-Rpn6 is selectively decreased during disease  
118 progression of the CryAB<sup>R120G</sup>-based proteinopathy; and we demonstrate that this decrease  
119 plays a key pathogenic role in the cardiac proteinopathy, thereby identifies elevating pS14-  
120 RPN6 as a potential strategy to treat heart disease with IPTS.

## 121 **MATERIALS AND METHODS**

122 (An expanded section of Materials and Methods is provided in Online Supplements.)

### 123 **Animals**

124 The Rpn6<sup>S14A</sup> (S14A) and Rpn6<sup>S14D</sup> (S14D) knock-in mice were created in the C57BL/6J inbred  
125 background via a contract to Shanghai Biomodel Organism Science & Technology Development  
126 Co., Ltd. (Shanghai, China), using the CRISPR/Cas9 technology to target the point mutation to  
127 the endogenous *Psmc11/Rpn6* gene ([MGI:1916327](https://www.ncbi.nlm.nih.gov/nuccore/MGI:1916327)) which is located in chromosome 11. These  
128 mice had undergone 6 or more generations of back-cross into the C57BL/6J inbred background  
129 before they were cross-bred with GFPdgn transgenic (tg) UPS reporter mice or CryAB<sup>R120G</sup> tg

130 mice,<sup>19,20</sup> respectively to assess their impact on UPS performance and cardiac IPTS. The  
131 protocols for animal care and use in this study have been approved by University of South  
132 Dakota Institutional Animal Use and Care Committee.

### 133 **Statistical methods**

134 GraphPad Prism software (San Diego, CA) was used. All continuous variables are presented as  
135 Mean±SEM unless indicated otherwise. All data were examined for normality with the Shapiro  
136 Wilk's test prior to application of parametric statistical tests. Unless otherwise indicated,  
137 differences between two groups were evaluated by two-tailed unpaired Student's t test;  
138 differences among ≥3 groups were evaluated by one-way or two-way ANOVA followed by  
139 Tukey's test for pairwise comparisons. Serial echocardiographic data were evaluated by two-  
140 way repeated measures ANOVA followed by Tukey's multiple comparisons. A *p* value <0.05 is  
141 considered statistically significant.

## 142 **RESULTS**

### 143 **1. Creation and baseline characterization of Rpn6<sup>S14A</sup> and Rpn6<sup>S14D</sup> mice**

144 To facilitate the investigation in to the (patho)physiological significance of pS14-Rpn6, we  
145 created the S14A and S14D mice for blockade and mimicry of pS14-Rpn6, respectively. Their  
146 genotypes were confirmed by sequencing the targeted segment of the *Psmc11* gene.  
147 Heterozygous and Homozygous S14A and S14D mice are viable and fertile and do not display  
148 discernible gross abnormalities compared with their littermate controls during the first 12 months  
149 of age, the longest time observed in full cohorts so far. Monthly M-mode echocardiography did  
150 not reveal significant difference in LV end-diastolic volume (LVEDV), ejection fraction (EF),  
151 fractional shortening (FS), cardiac output (CO), LV mass index (LV Mass/body weight), or body  
152 weight (BW) between wild type (WT) and littermate S14A or S14D mice in either male or female  
153 cohorts during the first 7 months (S14A) or 6 months (S14D) of age, the oldest cohorts analyzed  
154 so far (*data not shown*).

### 155 **2. S14A blocks the proteasome activation by cAMP/PKA in cells and mice**

156 To determine the role of pS14-Rpn6 in the proteasome activation by cAMP/PKA signaling,  
157 we first created mouse embryonic fibroblast (MEF) cell lines from WT and homozygous S14A  
158 mice and tested their responses to the augmentation of cAMP/PKA signaling by forskolin (Fsk,

159 an adenylate cyclase activator) or piclamilast (Picl, a phosphodiesterase 4 inhibitor). WT MEFs  
160 treated with vehicle control displayed a detectable level of pS14-Rpn6; both forskolin and  
161 piclamilast induced significant increases in pS14-Rpn6 (**Figure 1A, 1B**). However, pS14-Rpn6  
162 was completely lost in S14A MEFs regardless of the treatments, although similar levels of  
163 increases in the phosphorylated forms of other PKA substrates by either treatment were  
164 detected in WT and S14A MEFs (**Figure 1C, 1D**). The 26S proteasome chymotrypsin-like  
165 peptidase activity was discernibly lower in S14A MEFs than in WT MEFs under basal condition  
166 ( $p < 0.005$ ). Treatment with forskolin or both piclamilast and forskolin led to significant increases  
167 in 26S proteasome peptidase activities in WT MEFs, but such effect was completely lost in  
168 S14A MEFs (**Figure 1E, 1F**). In addition, the increase in the 26S proteasome peptidase activity  
169 by forskolin in WT MEFs was abolished by co-treatment with a PKA inhibitor H89 (**Figure 1F**).

170 We also tested the impact of augmentation of cAMP/PKA on proteasome activities in  
171 cultured adult mouse cardiomyocytes (AMCMs). The 26S proteasome chymotrypsin-like activity  
172 was dramatically elevated in WT AMCMs by forskolin, which was abolished by H89. The basal  
173 proteasome peptidase activity was not significantly lower in S14A AMCMs ( $p = 0.505$ ), while it  
174 was significantly higher in S14D AMCMs ( $p = 0.014$ ) compared with WT AMCMs. In both S14A  
175 and S14D AMCMs, the responses to the treatments were completely lost (**Figure 1G, 1H**).

176 We then tested the impact of S14A on cAMP/PKA-induced proteasome activation in mice.  
177 As expected, pS14-Rpn6 was not detected in S14A mouse myocardium regardless of forskolin  
178 treatment (**Figure 2A, 2D**). By contrast, myocardial pS14-Rpn6 in WT mice treated with  
179 forskolin (5mg/kg) was 2.5 folds of that in WT mice treated with vehicle control ( $p < 0.0001$ ) and  
180 this increase was abolished by pre-treatment of PKA inhibitor H89 (**Figure 2A, 2B**). Myocardial  
181 26S proteasome chymotrypsin-like activities in WT mice treated with forskolin were  
182 approximately 3 folds of that in the vehicle control treated WT mice, but this increase was  
183 prevented by pre-treatment of H89 (**Figure 2C**). Treatment with forskolin at either 5 mg/kg or 10  
184 mg/kg caused no changes in the proteasome peptidase activities in S14A mice (**Figure 2C**),  
185 although they induced comparable levels of phosphorylation of other PKA substrates as in WT  
186 mice (**Figure 2D, 2E**), indicative of the requirement of pS14-Rpn6 for PKA to activate 26S  
187 proteasomes.

188 These results together validate in cell cultures that pS14-Rpn6 mediates PKA-induced  
189 activation of 26S proteasomes and, more importantly, provide unequivocally the first *in vivo*  
190 demonstration that pS14-Rpn6 is required for the activation of 26S proteasomes by cAMP/PKA.

### 191 **3. Myocardial pS14-Rpn6 is selectively decreased in CryAB<sup>R120G</sup> mice**

192 Since PFI has proven to play a major role in cardiac pathogenesis,<sup>18,21</sup> Next, we determined  
193 whether blockage of pS14-Rpn6 would impact on CryAB<sup>R120G</sup>-induced cardiac proteinopathy.  
194 CryAB<sup>R120G</sup> tg (R120G) mice are a well-established model of cardiac proteinopathy, developing  
195 concentric cardiac hypertrophy at 3 months of age (3m) and progressing to heart failure by  
196 6m.<sup>20</sup> We crossbred S14A into R120G mice. To our surprise, pS14-Rpn6 was markedly  
197 decreased in R120G mice compared with WT mice at both 3m and 6m (**Figure 3A-3C**). At both  
198 ages, Rpn6 protein levels were comparable between R120G and S14A-coupled R120G mice,  
199 but both were significantly greater than that in WT mice (**Figure 3A, 3B, 3D**). As a result, the  
200 ratios of pS14-Rpn6 to Rpn6 were markedly lower in R120G mice than that in WT mice (**Figure**  
201 **3E**), which indicate that basal levels of pS14-Rpn6 are significantly decreased in R120G mice  
202 during the disease progression. Interestingly, total phosphorylated PKA substrates were  
203 decreased at 3m but increased at 6m in R120G mice compared with littermate non-tg controls  
204 (**Figure 3F and 3G**), indicating that the decrease in the phosphorylation of Ser14-Rpn6 in  
205 R120G mice at 6m is selective. Consistent with decreased pS14-Rpn6 in R120G mice, neither  
206 heterozygous nor homozygous S14A exerted discernible effects on cardiac morphometry or  
207 function of the R120G mice as revealed by serial echocardiography (**Supplementary Figure**  
208 **S1**). These data together suggest that myocardial pS14-Rpn6 in the R120G mice is so  
209 diminished that loss of the residual pS14-Rpn6 does not discernibly alter their proteinopathy  
210 progression.

### 211 **4. Genetic mimicry of pS14-Rpn6 increases myocardial proteasome activities and** 212 **enhances UPS performance**

213 As shown in **Figure 1H**, cardiomyocytes from adult S14D mice displayed increased  
214 proteasome activities. We next tested the effect of genetic mimicry of pS14-Rpn6 on myocardial  
215 proteasomes in mice. GFPdgn protein is a green fluorescent protein (GFP) modified by carboxyl  
216 fusion of degron CL1 and has been well-established to inversely reflect UPS performance.<sup>19</sup> By  
217 crossbreeding tg GFPdgn into S14D mice, we found that myocardial GFPdgn protein but not  
218 mRNA levels were significantly lower in heterozygous and homozygous S14D-coupled GFPdgn  
219 mice, compared with GFPdgn control mice (**Figure 4A-4C**), indicating that S14D decreases  
220 myocardial GFPdgn proteins via a post-transcriptional mechanism. The reduction of GFPdgn  
221 protein was evident primarily in the cardiomyocyte compartment by confocal microscopy



222 **(Figure 4D)**. These data demonstrate that S14D alone can enhance cardiac UPS proteolytic  
223 function.

224 Moreover, we found that myocardial chymotrypsin-like, trypsin-like and caspase-like 26S  
225 proteasome activities were increased by ~75%, ~50% and ~40% respectively in homozygous  
226 S14D mice ( $p=0.005$ ,  $0.018$  and  $0.024$ , respectively), and increased by ~40%, ~40% and ~25%  
227 respectively in heterozygous S14D mice ( $p=0.116$ ,  $0.041$  and  $0.127$ ) compared with that in WT  
228 mice **(Figure 4E)**, indicating that S14D is capable of increasing all three types of proteasome  
229 peptidase activities at baseline.

230 These results demonstrate that S14D is sufficient to increase myocardial proteasome  
231 activities and enhance cardiac UPS performance.

## 232 **5. Genetic mimicry of pS14-Rpn6 increases proteasome activities and facilitates the** 233 **removal of misfolded proteins in R120G mouse hearts**

234 We next tested whether S14D could enhance proteasome functioning and promote the  
235 removal of misfolded CryAB<sup>R120G</sup> proteins in R120G mouse hearts. By crossbreeding S14D into  
236 R120G mice, we first tested myocardial proteasome peptidase activities in the resultant WT,  
237 S14D/S14D, R120G, and S14D/S14D::R120G littermates. R120G mice at 1m displayed  
238 significantly higher chymotrypsin-like, trypsin-like and caspase-like proteasome activities than  
239 WT mice, consistent with a prior report.<sup>21</sup> Importantly, S14D further increased chymotrypsin-like,  
240 trypsin-like and caspase-like proteasome peptidase activities in R120G mice by ~30%, ~40%  
241 and ~30%, respectively **(Figure 5A)**.

242 Myocardial CryAB levels were markedly lower in S14D/S14D::R120G mice compared with  
243 R120G control mice at 6m **(Figure 5B, 5C)**, without discernible differences in CryAB<sup>R120G</sup> mRNA  
244 levels ( $p=0.9165$ , **Figure 5D**). Misfolded proteins undergo aberrant aggregation, forming  
245 intermediate oligomers that are highly toxic to the cells. Thus, we examined CryAB abundance  
246 in NP-40 soluble (NS) and insoluble (NI; misfolded CryAB oligomers) fractions of myocardial  
247 proteins, and found that the protein levels of CryAB in both NS and NI fractions of  
248 S14D/S14D::R120G mice were markedly lower than those of the littermate R120G control mice  
249 **(Figure 5E-5G)**, indicating that degradation of misfolded CryAB by the proteasome in R120G  
250 hearts is significantly improved by S14D. Aberrant protein aggregation induced by  
251 overexpression of CryAB<sup>R120G</sup> impairs UPS proteolytic function in the heart, resulting in elevated  
252 levels of ubiquitin conjugates.<sup>22</sup> Both myocardial total and K48-linked ubiquitin conjugates were

253 significantly increased in R120G mice at 6m, and the increase was effectively attenuated when  
254 R120G was coupled with S14D (**Figure 5H-5J**).

255 Taken together, these findings compellingly support the conclusion that genetic mimicry of  
256 pS14-Rpn6 increases proteasome proteolytic activity, thereby facilitates the removal of  
257 misfolded proteins, and reduces aberrant protein aggregation in the heart, a key pathological  
258 process in disease with IPTS.

## 259 **6. Amelioration of CryAB<sup>R120G</sup>-induced cardiac pathology by genetic mimicry of pS14-** 260 **Rpn6**

261 We next examined aberrant CryAB-positive protein aggregates using immunofluorescence  
262 confocal microscopy. At 6m, aberrant CryAB-positive protein aggregates were not detected in  
263 WT mouse hearts but were readily detectable in the cardiomyocytes of R120G hearts. More  
264 importantly, the CryAB aggregates were clearly less abundant in S14D/S14D::R120G mice  
265 compared with their littermate R120G control mice (**Figure 6A**). Consistently, R120G mice  
266 developed pronounced cardiac hypertrophy at 6m, as indicated by a higher ventricular weight-  
267 to-body weight ratio (VW/BW), compared with that of WT mice (**Figure 6B**). This increase in  
268 VW/BW ratios was significantly attenuated in the S14D/S14D::R120G group (**Figure 6B**). At the  
269 molecular level, cardiac pathology is commonly accompanied by reactivation of the fetal gene  
270 program. Ventricular mRNA levels of atrial natriuretic factor (ANF), brain natriuretic peptide  
271 (BNP) and  $\beta$ -myosin heavy chain (Myh7) were markedly upregulated and, reciprocally,  $\alpha$ -  
272 myosin heavy chain (Myh6) was downregulated in R120G mice; more importantly, these  
273 changes were significantly blunted in the S14D/S14D::R120G mice (**Figure 6C-6F**). These  
274 results indicate that genetic mimicry of pS14-Rpn6 ameliorates cardiac pathology in  
275 proteinopathy animals.

## 276 **7. Genetic mimicry of PKA-induced proteasome activation attenuates CryAB<sup>R120G</sup>-** 277 **induced cardiac malfunction**

278 The results described above establish that S14D suffices to facilitate proteasomal  
279 degradation of misfolded proteins and thereby attenuate cardiac proteotoxic stress. This  
280 prompted us to further determine the impact on cardiac function. We performed serial  
281 echocardiography on WT, homozygous S14D (S14D/S14D), R120G, and S14D/S14D-coupled  
282 R120G mice at 1m, 3m, 4.5m, and 6m. All the mice of the same sex had comparable body  
283 weights at least during the 6m of observation (**Figure 7A**). Compared with WT mice, R120G

284 mice started displaying a significantly smaller end-diastolic LV internal dimension (LVID;d) and  
285 LVEDV, and greater end-diastolic LV posterior wall thickness (LVPW;d), EF and FS, albeit  
286 unchanged stroke volume (SV), at 3m (**Supplementary Table S1-S3**), indicative of a  
287 compensatory stage. Starting at 3m, R120G mice had discernibly lower heart rate (HR),  
288 consistent with prior reports,<sup>13,20</sup> and thereby lower CO than WT mice (**Supplementary Table**  
289 **S1-S3**). With the disease progression, the systolic function of R120G mice became  
290 compromised, as indicated by the progressive decreases in EF, FS, SV and CO at 4.5m and  
291 6m. These echocardiographic abnormalities were substantially attenuated in the  
292 S14D/S14D::R120G groups (**Figure 7B-7E, Supplementary Table S2, S3**). S14D was also  
293 trending to, not significantly though, restore the heart rate of R120G mice (**Figure 7F**). These  
294 protective effects by S14D knock-in were observed in both female and male mice. These data  
295 show that genetic mimicry of pS14-Rpn6 effectively improves cardiac function and attenuates  
296 CryAB<sup>R120G</sup>-induced cardiomyopathy.

297 Taken together, genetic mimicry of pS14-Rpn6 protects against cardiac proteotoxicity,  
298 thereby attenuates CryAB<sup>R120G</sup>-induced cardiac malfunction.

## 299 **8. cAMP augmentation increased proteasome-mediated degradation of misfolded** 300 **proteins in cardiomyocytes**

301 To test whether the effects observed in the S14D mice are cardiomyocyte-autonomous, we  
302 next used cardiomyocyte cultures to examine the effect of cAMP/PKA activation on proteasomal  
303 degradation of CryAB<sup>R120G</sup>. Hemagglutinin epitope (HA)-tagged CryAB<sup>R120G</sup> was overexpressed  
304 in cultured neonatal rat cardiomyocytes (NRCMs) via adenoviral gene delivery. NRCMs treated  
305 with forskolin exhibited a modest but statistically significant decrease in CryAB<sup>R120G</sup> protein  
306 levels than vehicle treated NRCMs (p=0.0253). This reduction is reversed in the presence of a  
307 proteasome inhibitor bortezomib (BZM), suggesting that the lower CryAB<sup>R120G</sup> protein level is  
308 caused by increased proteasome-mediated degradation (**Figure 8A, 8B**). We next measured  
309 the proteasome flux by quantifying the difference of CryAB<sup>R120G</sup> protein levels in the presence or  
310 absence of proteasome inhibitor. Forskolin treated NRCMs displayed a dramatically higher  
311 proteasome flux of the CryAB<sup>R120G</sup> proteins than vehicle treated cells (p<0.0001, **Figure 8C**),  
312 indicating that cAMP augmentation by forskolin effectively increases proteasome-mediated  
313 degradation of misfolded proteins in the cultured cardiomyocytes. This conclusion is also  
314 supported by the forskolin-induced decreases in CryAB<sup>R120G</sup> protein levels in the NP-40  
315 insoluble protein fractions (**Figure 8D, 8E**).

316 Consistent with in vivo data, by overexpressing HA-tagged CryAB<sup>R120G</sup> proteins in cultured  
317 neonatal mouse cardiomyocytes (NMCMs) isolated from WT and homozygous S14D mice, we  
318 observed significantly lower CryAB<sup>R120G</sup> protein levels in S14D/S14D cardiomyocytes than in WT  
319 cardiomyocytes (p=0.003, **Figure 8F, 8G**).

320 These results confirm that both cAMP augmentation and S14D knock-in promote  
321 proteasome-mediated degradation of misfolded proteins in cardiomyocytes.

## 322 **DISCUSSION**

323 PFI is implicated in the progression from a large subset of heart diseases, including those with  
324 IPTS, to heart failure.<sup>9,14,18</sup> There are currently no effective therapies targeting cardiac IPTS.<sup>4</sup>  
325 Hence, a better understanding on proteasome phospho-regulation is undoubtedly of high  
326 significance to guide the development of new therapeutic strategies. Previously, Goldberg's  
327 group demonstrated exclusively in cultured cells that cAMP/PKA activates 26S proteasomes by  
328 directly phosphorylating RPN6 at Ser14.<sup>8,23</sup> Taking advantage of our newly created S14A and  
329 S14D mice, the present study has established for the first time in animals that pS14-RPN6 is  
330 responsible for the activation of 26S proteasomes by PKA. More importantly, here we have  
331 discovered a selective downregulation of myocardial pS14-Rpn6 during cardiac IPTS and  
332 further demonstrated that enhancing 26S proteasomes via genetic mimicry of pS14-Rpn6  
333 protects against cardiac IPTS induced by CryAB<sup>R120G</sup> in animals and thereby effectively curtails  
334 cardiac malfunction and disease progression. These new findings establish a novel concept that  
335 selective impairment of the proteasome activation by PKA is a key pathogenic factor for cardiac  
336 proteinopathy and its targeting can conceivably be exploited to develop new strategies for  
337 treating heart disease with IPTS. This is highly significant because a large subset of  
338 cardiovascular disease possesses cardiac IPTS.<sup>4</sup>

339

### 340 **1. pS14-RPN6 is solely responsible for 26S proteasome activation by cAMP/PKA** 341 **signaling**

342 PKA has been long implicated in proteasome phosphoregulation.<sup>24,25</sup> A recent study showed  
343 that Ser14-RPN6 was selectively phosphorylated by cAMP/PKA and this phosphorylation was  
344 solely responsible for cAMP/PKA-mediated 26S proteasome activation in cultured non-cardiac  
345 cells.<sup>8</sup> We have subsequently demonstrated that cAMP augmentation markedly increased pS14-  
346 Rpn6 in cultured cardiomyocytes in a PKA-dependent manner, which was associated with

347 expedited degradation of a surrogate UPS substrate.<sup>13</sup> However, the physiological relevance of  
348 pS14-Rpn6 has not been established in the animals. Here, our experiments in cell cultures and  
349 in mice unequivocally establish that Ser14 of Rpn6 is the primary, if not the only, phosphosite  
350 responsible for the activation of 26S proteasomes by cAMP/PKA. First, with S14A mice, our  
351 findings provide compelling support that pS14-Rpn6 is required for PKA to activate the  
352 proteasome. Augmentation of cAMP with an adenylate cyclase activator significantly increased  
353 myocardial pS14-Rpn6 proteins and 26S proteasome activities in a PKA-dependent manner in  
354 WT mice, but such effects were completely lost in S14A mice (**Figure 2**). Similar findings were  
355 observed in cultured MEFs and AMCMs derived from S14A mice (**Figure 1A-1G**). Second,  
356 myocardium and cardiomyocytes from S14D mice displayed significantly higher proteasome  
357 peptidase activities than WT controls (**Figure 1H, 4E**); and S14D enhanced cardiac UPS  
358 proteolytic function (**Figure 4A-4D**), together proving that pS14-Rpn6 is sufficient to activate the  
359 proteasome. Along with related prior reports,<sup>8,23</sup> the data of the present study suggest that other  
360 proteasome phosphosites identified as targets of PKA via *in vitro* assays are unlikely  
361 physiologically relevant. Therefore, our newly created knock-in (S14A and S14D) mice confer  
362 valuable *in vivo* tools to define the (patho)physiological significance of proteasome activation by  
363 cAMP/PKA, adding a new dimension to the (patho)physiology of cAMP/PKA signaling.

## 364 **2. Pathogenic significance of the selective impairment of pS14-RPN6 in cardiac** 365 **response to IPTS**

366 Desmin-related cardiomyopathies (DRC) are the cardiac aspect of desmin-related  
367 myopathies (DRM) that are pathologically featured by intra-sarcoplasmic desmin-positive  
368 aberrant protein aggregates.<sup>26,27</sup> DRMs arise from mutations in a number of genes, such as  
369 desmin,<sup>28</sup> plectin,<sup>29</sup> and CryAB.<sup>30</sup> DRC is the main cause of death in human DRM and  
370 exemplifies the pathophysiological significance of IPTS and aberrant protein aggregation in  
371 cardiac muscle. The CryAB<sup>R120G</sup>-based DRC mouse model used in the present study  
372 recapitulates most aspects of human DRC, including intra-sarcoplasmic aberrant protein  
373 aggregation, cardiac hypertrophy, a restrictive cardiomyopathy stage followed by eventually a  
374 dilated cardiomyopathy/congestive heart failure stage, and shortened lifespan,<sup>18,20,31</sup> thus the  
375 R120G mice are widely used as an animal model to study cardiac IPTS.<sup>13,32,33</sup> PFI is the major  
376 pathogenic factor in heart diseases with IPTS, which was best demonstrated by that  
377 cardiomyocyte-restricted enhancement of proteasome function markedly decreased aberrant  
378 protein aggregation, attenuated cardiac malfunction, and delayed premature death of the  
379 R120G mice.<sup>18</sup> R120G mice display elevated myocardial proteasome peptidase activities to

380 compensate for the misfolded protein overload (**Figure 5A**), consistent with a prior report.<sup>22</sup>  
381 However, to our surprise, pS14-Rpn6 is not part of the natural compensatory response but  
382 rather contributes to the insufficiency. This is reflected by marked decrease of myocardial pS14-  
383 Rpn6 in R120G mice at both early (3m) and late (6m) stages of disease progression (**Figure 3**).  
384 Interestingly, the downregulation of pS14-Rpn6 at 3m was associated with an overall decrease  
385 in phosphorylated PKA substrates but, at 6m, it was dissociated with the significant increases of  
386 other phosphorylated PKA substrates (**Figure 3F, 3G**), indicating that the decreased pS14-  
387 Rpn6 is due to a selective or compartmentalized defect in PKA-mediated phosphorylation of  
388 Ser14-RPN6. It will be very interesting and important to delineate the mechanism underlying the  
389 decrease of pS14-Rpn6 in cardiac IPTS.

390 Moreover, we have established the defect in Ser14-RPN6 phosphorylation in the response  
391 to IPTS as a major pathogenic factor in cardiac proteotoxicity, and our data provide compelling  
392 genetic evidence that enhancement of 26S proteasome functioning by pS14-Rpn6 protects the  
393 heart against proteotoxic stress. Upon the adaptive elevations of proteasome activities induced  
394 by CryAB<sup>R120G</sup>, S14D further increased myocardial 26S proteasome peptidase activities, which  
395 conceivably increases the UPS capacity to degrade misfolded proteins (**Figure 5A**). As a result,  
396 the steady-state misfolded CryAB was remarkably reduced (**Figure 5B**). When UPS function  
397 becomes inadequate or impaired, misfolded proteins undergo aberrant aggregation with forming  
398 intermediate oligomers that is believed highly toxic to the cells.<sup>34</sup> Here we also show that both  
399 total and NP-40-insoluble CryAB (misfolded oligomers) in R120G hearts were markedly  
400 decreased by S14D (**Figure 5E**). An increase in total ubiquitinated proteins in the cell can be  
401 caused by to increased ubiquitination or inadequate proteasomal degradation and is indicative  
402 of defective proteostasis. Consistent with prior reports,<sup>9,18</sup> significant increases in myocardial  
403 total and K48-linked ubiquitinated proteins, indicative of PFI, were observed in R120G mice, but  
404 such increases were substantially attenuated by S14D (**Figure 5H-5J**), demonstrating that  
405 genetic mimicry of pS14-Rpn6 effectively facilitates the degradation of ubiquitinated proteins  
406 and thereby improves proteostasis. Consistently, aberrant CryAB protein aggregates in R120G  
407 mice were markedly decreased as well (**Figure 6A**). At the same time point, the cardiac  
408 hypertrophy of R120G mice as indicated by increased VW/BW ratios, was attenuated by S14D,  
409 which were further supported by blunted reactivation of representative fetal genes (**Figure 6B-**  
410 **6F**), indicating that S14D-induced improved removal of pathogenic proteins significantly  
411 attenuates cardiac pathology. Functionally, S14D significantly delayed cardiac malfunction,  
412 evident by attenuation of the decreases in LV EF, FS, SV, and CO (**Figure 7**). These findings

413 unequivocally demonstrate that genetic mimicry of proteasome activation by PKA protects  
414 against cardiac proteotoxicity.

415 The present study, to our best knowledge, provides the first genetic evidence that PKA-  
416 induced proteasome activation protects against proteinopathy in animals, indicating that pS14-  
417 RPN6 should be explored as a potentially new strategy to treat heart disease with IPTS.

### 418 **3. Augmentation of pS14-RPN6 as a potentially new strategy to treat heart disease**

419 Despite great advances achieved from numerous preclinical studies over the past decades  
420 in the understanding of PKA in the heart, the precise roles of PKA in cardiac pathogenesis and  
421 therapeutics remain to be fully understood. A recent study from our group demonstrates that  
422 PDE1 inhibition, which activates both PKA and PKG, protects against proteinopathy-based  
423 heart failure likely by facilitating proteasome-mediated degradation of misfolded proteins.  
424 R120G mice treated with either a pan PDE1 inhibitor IC86430 or a selective PDE4 inhibitor  
425 piclamilast exhibited significantly increased pS14-Rpn6.<sup>13</sup> Similarly, genetic or pharmacological  
426 PDE10A inhibition, which also increases both cAMP and cGMP, was reported to attenuate Ang-  
427 II-induced cardiomyocyte hypertrophy *in vitro* and restrain pressure overload-induced cardiac  
428 remodeling and dysfunction *in vivo*.<sup>35</sup> Earlier PDE2 inhibition was also shown to protect hearts  
429 from pathological remodeling via a localized cAMP/PKA signaling pathway.<sup>36</sup> Cardiac  
430 hypertrophy is the most common adaptive response of the heart during virtually all heart  
431 diseases inherited or acquired, in which increased protein synthesis is inevitable, resulting in  
432 increased production of misfolded proteins.<sup>14</sup> Therefore, it is highly possible that pS14-Rpn6  
433 mediates, at least in part, the attenuation of cardiac hypertrophy by the inhibition of PDE1,  
434 PDE2, or PDE10A.

435 However, controversy exists about the role of PKA in cardiac pathogenesis. Most reports  
436 support the involvement of PKA in the development of cardiomyopathy and PKA inhibition as a  
437 potential therapeutic target to treat heart disease. For example, activation of cAMP/PKA  
438 signaling during the myocardial ischemia contributes to I/R injury;<sup>37</sup> activation of PKA  
439 constitutively<sup>38</sup> or by chronic sympathetic stimulation<sup>39,40</sup> induces maladaptive cardiac  
440 hypertrophy and ultimately heart failure; beta blockers are one of the most widely prescribed  
441 classes of drug that improve LV function and reverse remodeling in human heart failure.<sup>41</sup> The  
442 present study has demonstrated compellingly that cAMP/PKA protects against cardiac  
443 proteotoxicity through improving protein quality control. Therefore, precisely targeting pS14-  
444 Rpn6 would potentially serve as an effective therapeutic strategy to treat heart disease with

445 IPTS, while bypassing possible adverse effects of global PKA activation. Currently, this does not  
446 seem to be practical, but a good understanding of the cAMP/PKA signaling at the proteasome  
447 nanodomain should facilitate the search for a pharmacological approach to selectively augment  
448 cAMP/PKA signaling to the proteasome. An adjunct treatment to minimize undesired effects of  
449 PKA activation, such as recently proposed duo-activation of PKA and PKG,<sup>4</sup> could be an  
450 immediately translatable solution.

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#### 457 **Disclosures**

458 None.

#### 459 **Supplemental Material**

460 I. Expanded Materials and Methods<sup>42-46</sup>

461 II. Supplemental Tables S1–S3

462 III. Supplemental Figures S1

463 Major Resource Table



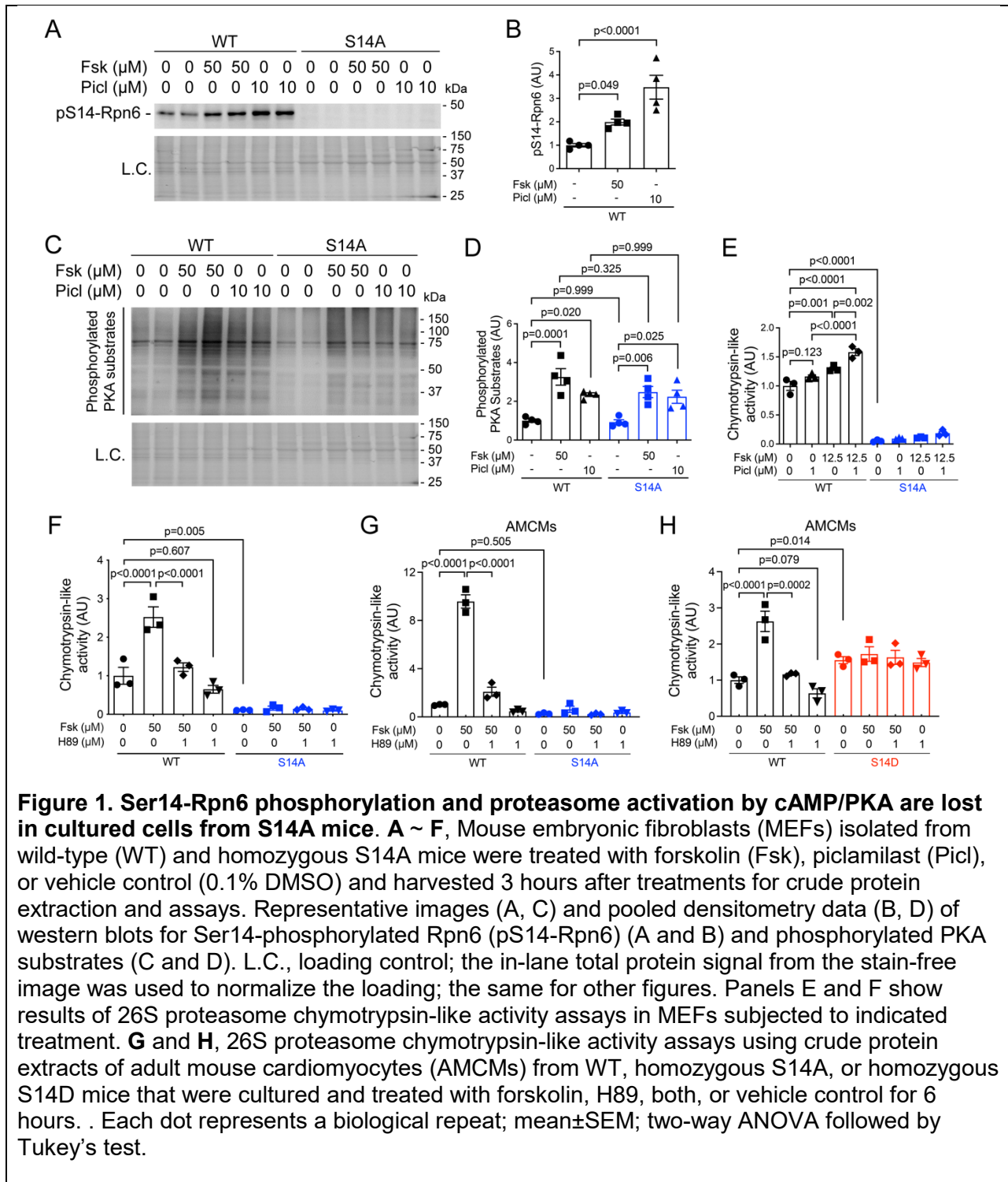
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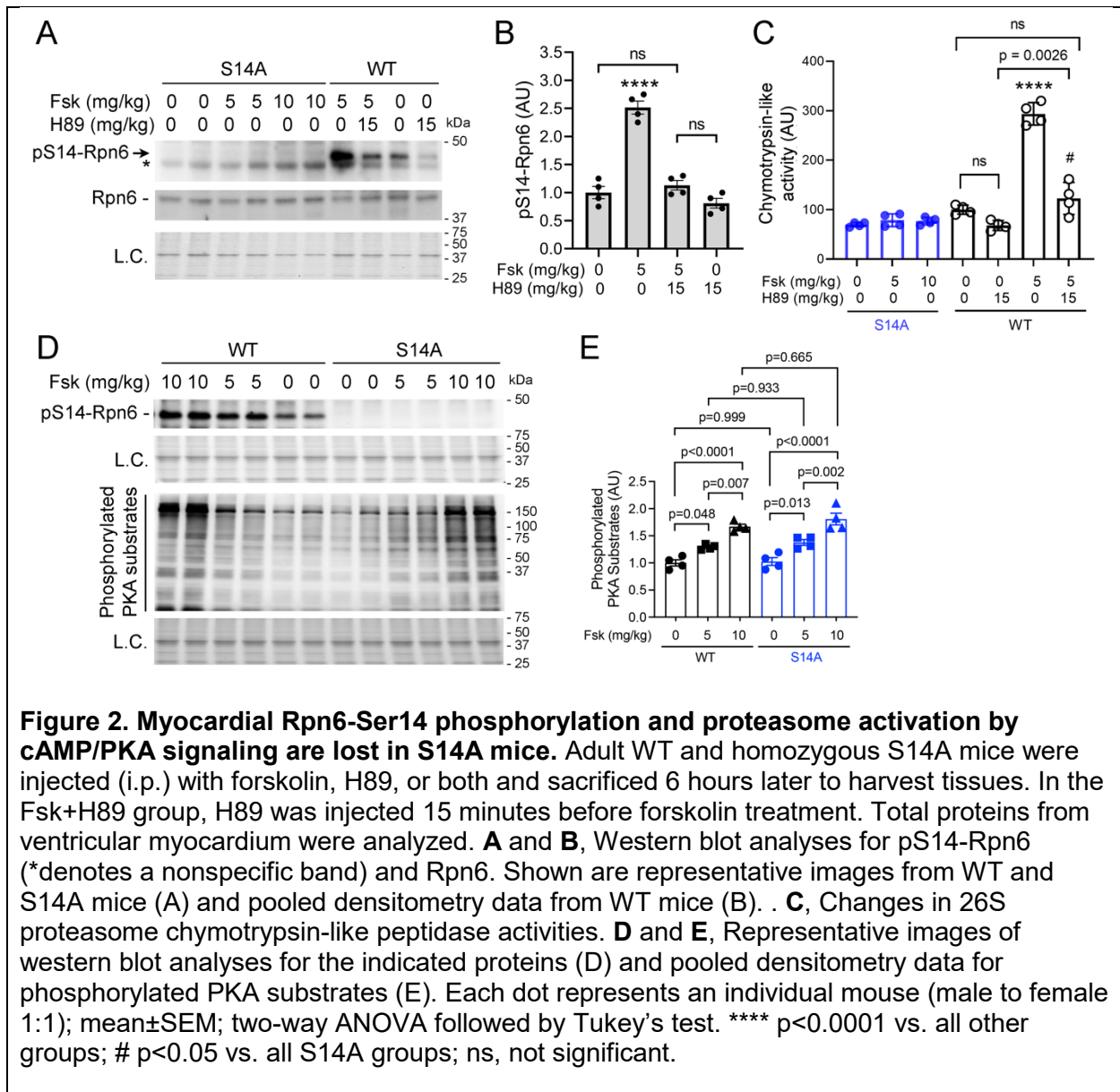
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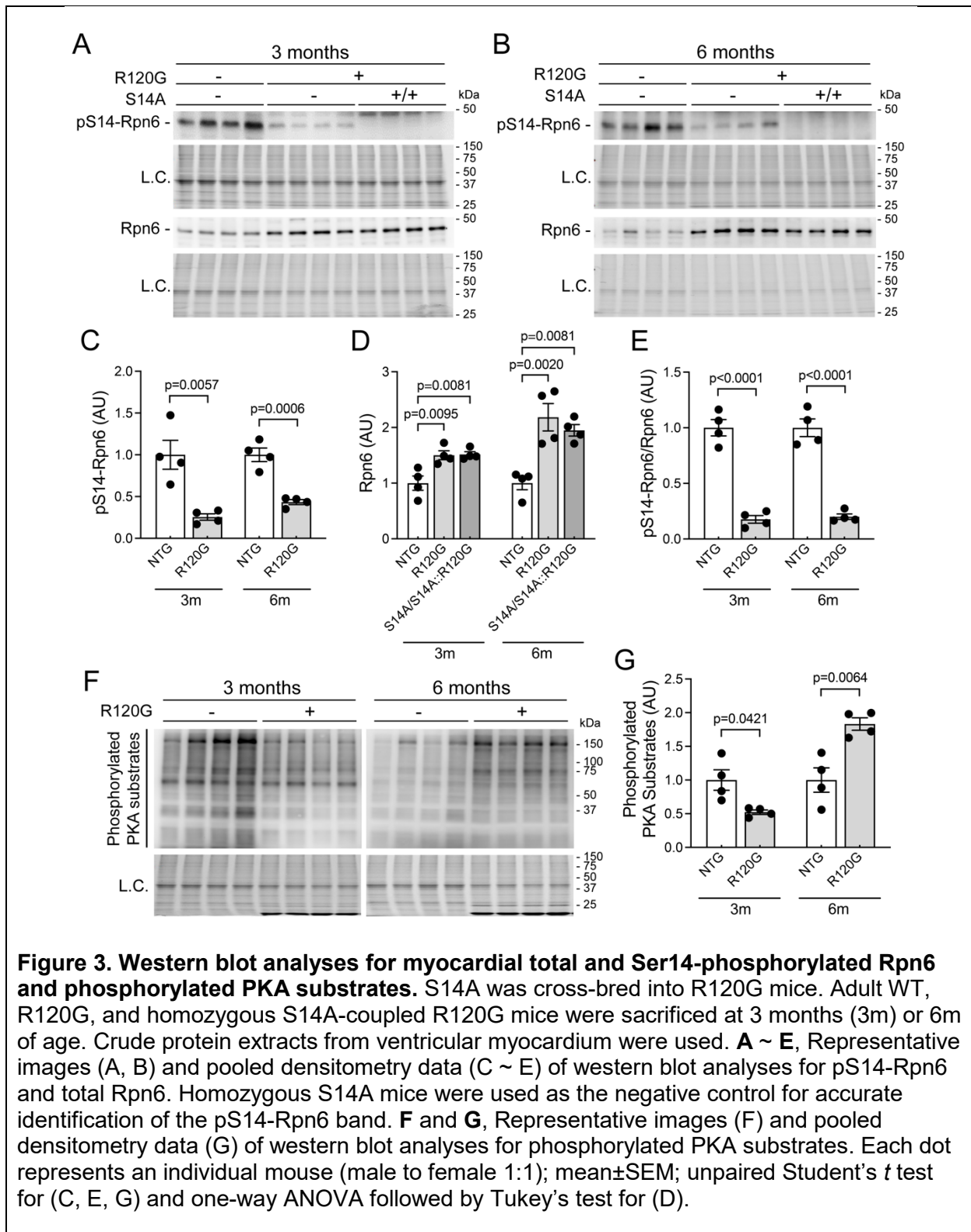
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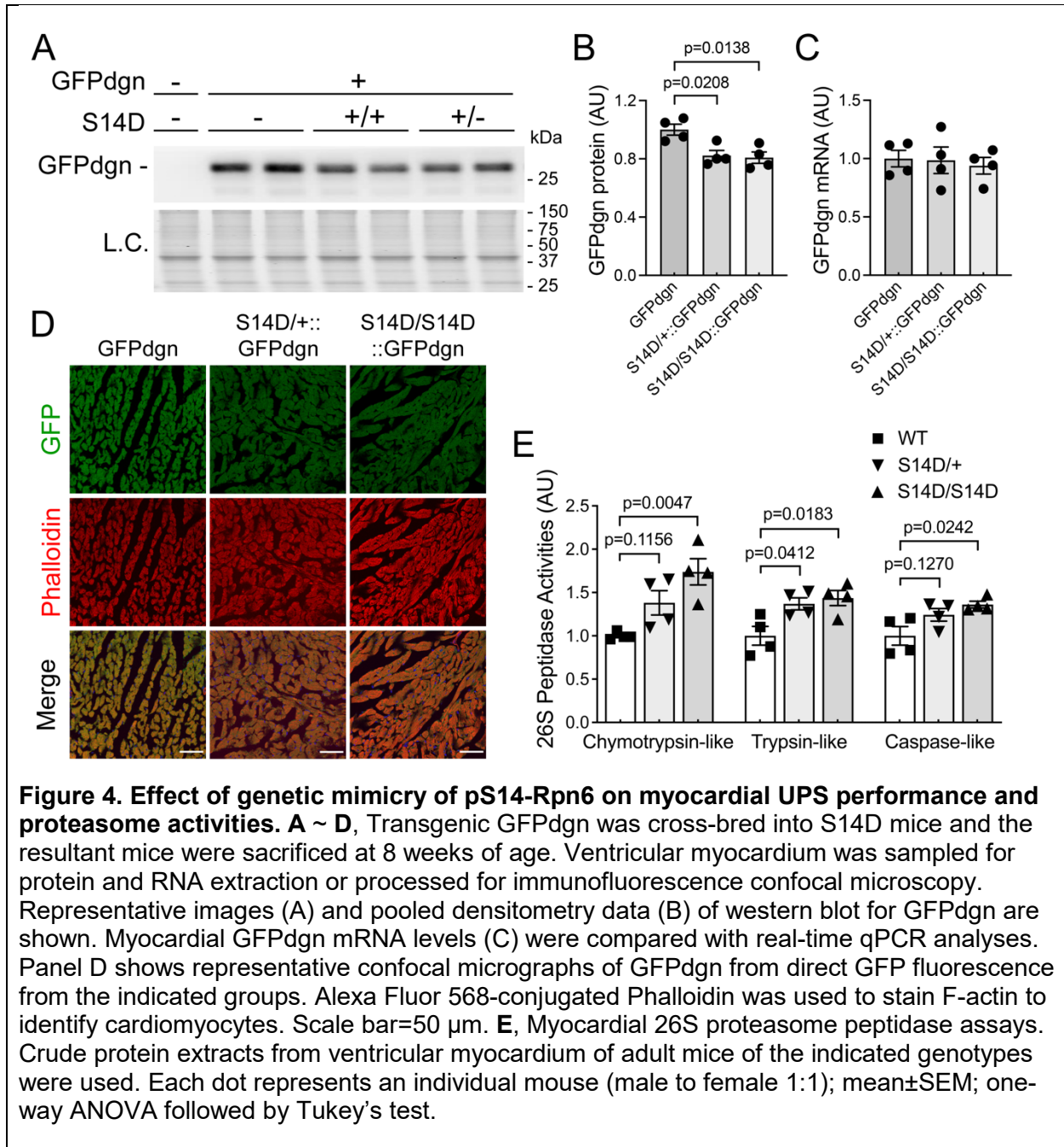
594 **Figures with Figure Legends**  
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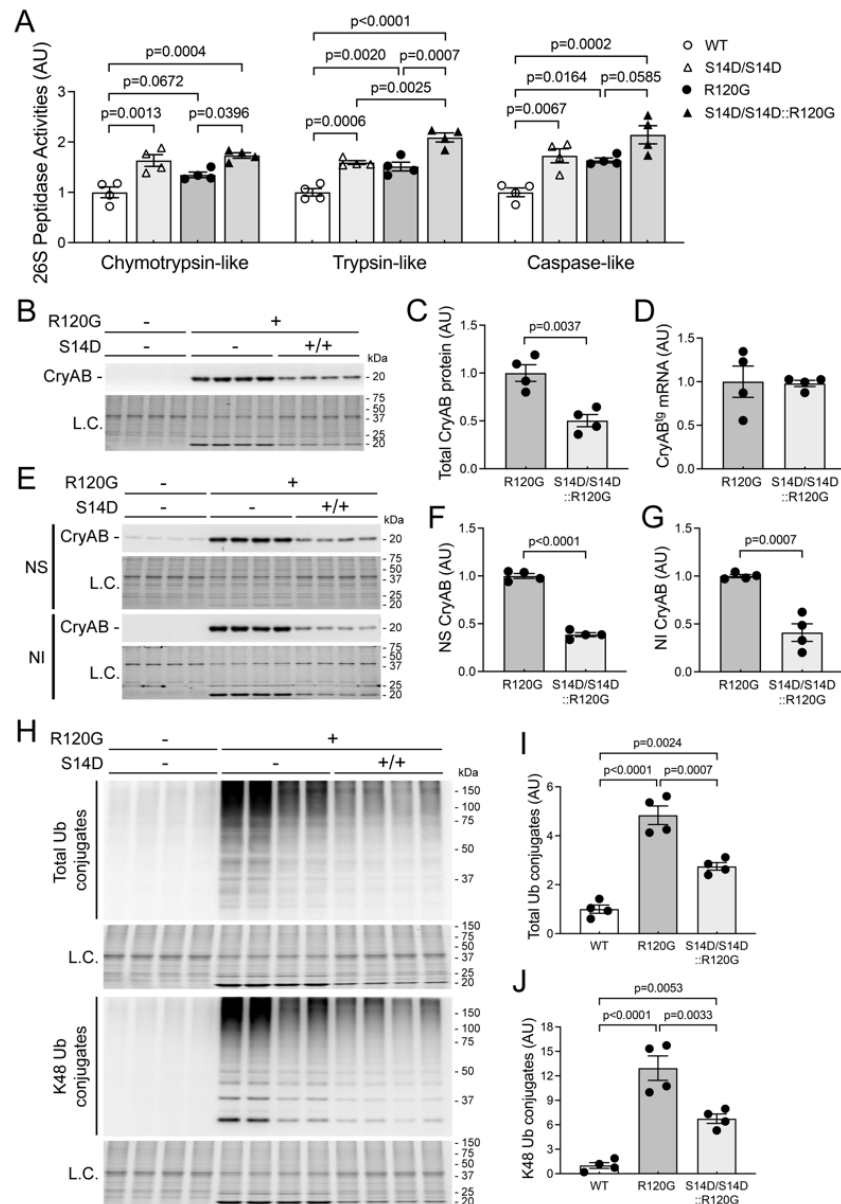


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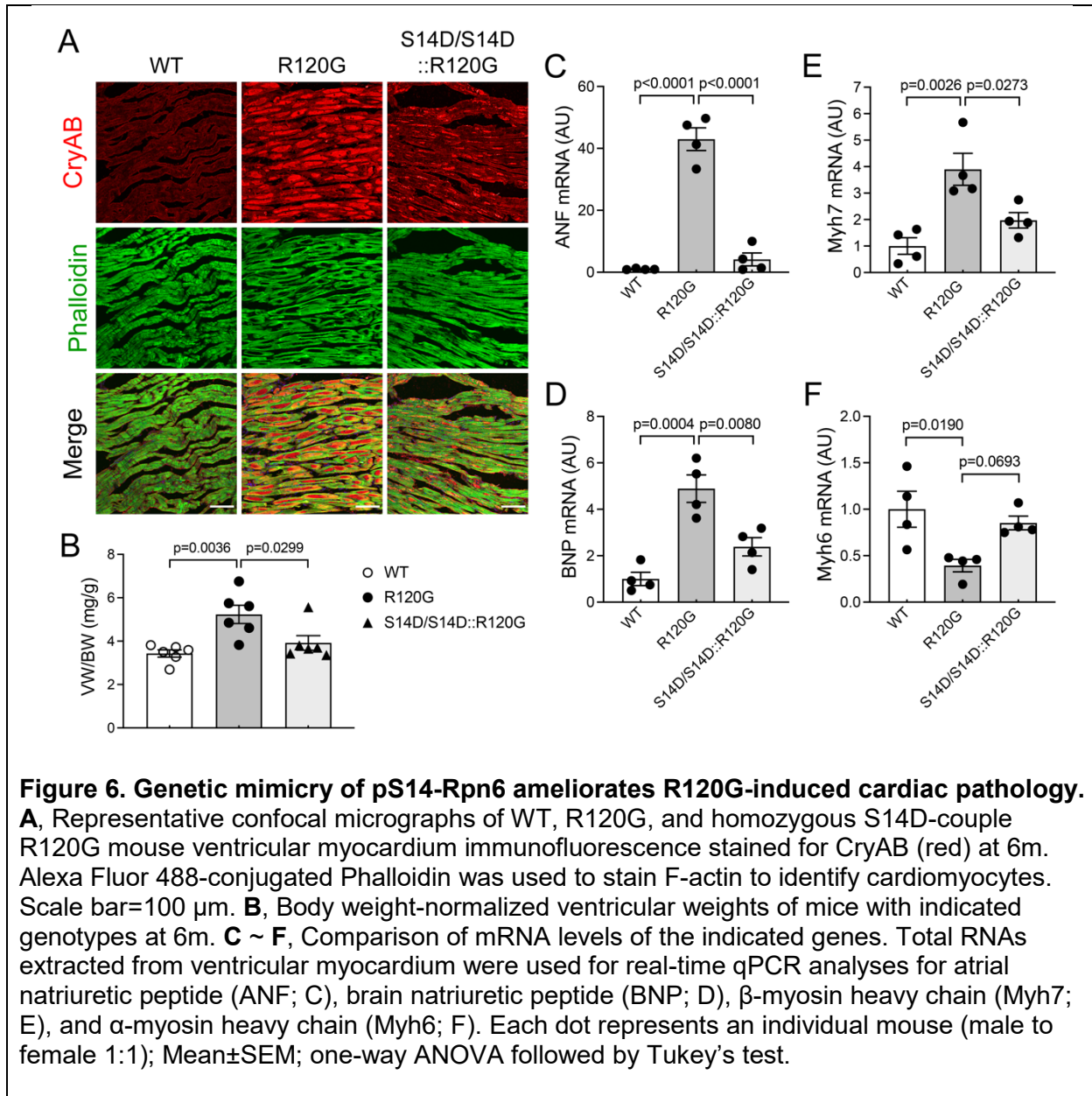




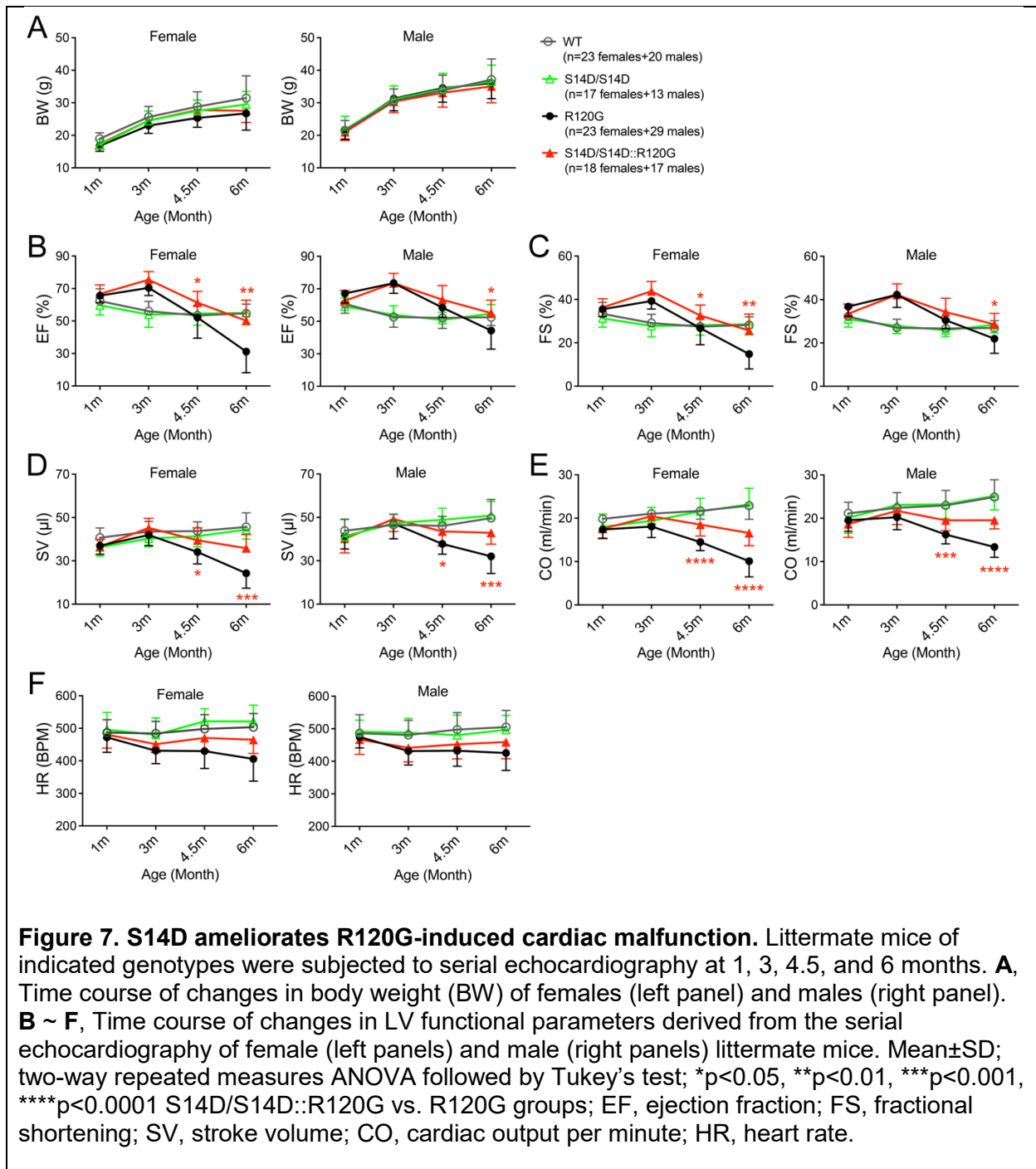


**Figure 5. Effect of S14D on myocardial 26S proteasome activities and the abundance of CryAB proteins and ubiquitin conjugates in R120G mice.** S14D was cross bred into R120G mice. **A**, 26S proteasome peptidase activity assays. Crude protein extracts from ventricular myocardium of WT, homozygous S14D, R120G, and S14D/S14D::R120G littermate mice at 1m were used. **B ~ J**, Littermate WT, R120G, and S14D/S14D::R120G mice were sacrificed at 6m. Proteins and RNAs from ventricular myocardium were analyzed. Representative images (**B**, **E**) and pooled densitometry data (**C**, **F**, **G**) of western blots for CryAB in the total (**B**, **C**), NP-40-soluble (NS; **F**) and insoluble (NI; **G**) protein fractions are shown. Transgenic CryAB mRNA levels (**D**) were assessed using real-time reverse-transcriptase PCR. Representative images (**H**) and pooled densitometry data (**I**, **J**) of western blots for total (**I**) and K48-linked (**J**) ubiquitin conjugates. Each dot represents an individual mouse (male to female 1:1); mean±SEM; two-way ANOVA followed by Tukey's test for (**A**), unpaired Student's *t* test for (**C**, **D**, **F**, **G**), and one-way ANOVA followed by Tukey's test for (**I**, **J**).

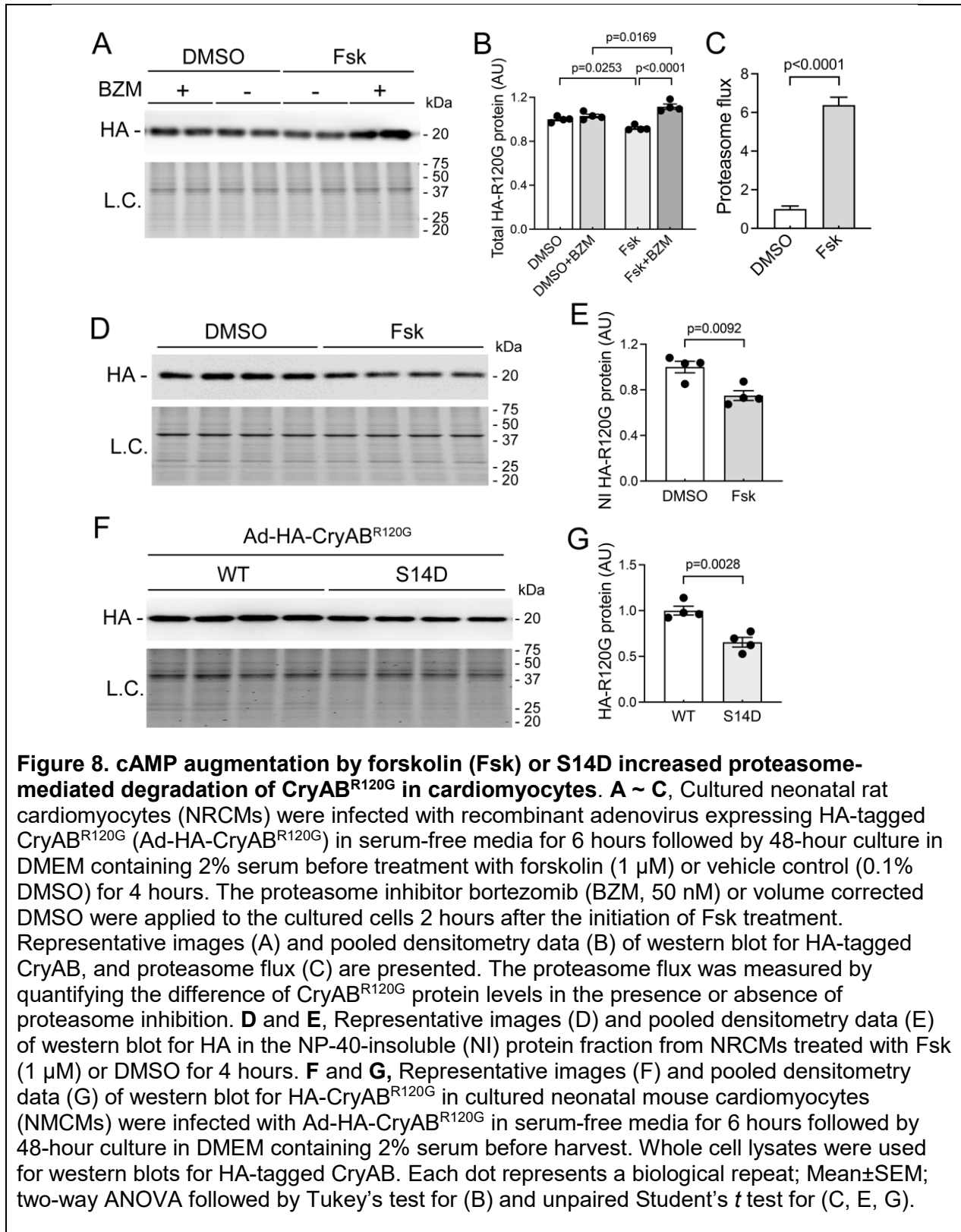




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603 **Novelty and Significance**

604 **What is Known?**

- 605 • Increased proteotoxic stress (IPTS) and proteasome functional insufficiency (PFI)
- 606 contribute to cardiac pathogenesis.
- 607 • Phosphorylation at Ser14 of proteasome subunit RPN6 (pS14-RPN6) mediates
- 608 specifically PKA-induced 26S proteasome activation in cultured cells.
- 609 • Proteasome enhancement may become a new therapeutic strategy for diseases with
- 610 IPTS.

611 **What New Information Does This Article Contribute?**

- 612 • Establishing in animals that pS14-RPN6 is responsible for the activation of 26S
- 613 proteasomes by PKA.
- 614 • Selective defect in myocardial pS14-RPN6 represents a major pathogenic factor for
- 615 cardiac proteinopathy.
- 616 • Genetic mimicry of pS14-RPN6 increases proteasome activities and proteasomal
- 617 degradation of misfolded proteins and protects against cardiac proteinopathy in animals.

618 Means to enhance the proteasome is highly sought. The (patho)physiological significance of

619 PKA was extensively studied but its role in proteostasis remains obscure. A cell culture study

620 reveals that pS14-RPN6 mediates PKA-induced activation of 26S proteasomes but this

621 discovery and its importance remain to be established in vivo. By creating knock-in mice and

622 cells to block or mimic pS14-Rpn6, here we have established for the first time in animals that

623 pS14-RPN6 mediates the activation of 26S proteasomes by cAMP/PKA and that pS14-RPN6

624 can be augmented to reduce proteotoxicity, thereby identifying potentially a new strategy to treat

625 disease with IPTS.