

UC Irvine

UC Irvine Previously Published Works

Title

Sharing a quota on cumulative carbon emissions

Permalink

<https://escholarship.org/uc/item/1kv3p879>

Journal

Nature Climate Change, 4(10)

ISSN

1758-678X

Authors

Raupach, MR

Davis, SJ

Peters, GP

et al.

Publication Date

2014

DOI

10.1038/nclimate2384

Peer reviewed

Sharing a quota on cumulative carbon emissions

Michael R. Raupach^{1*}, Steven J. Davis², Glen P. Peters³, Robbie M. Andrew³, Josep G. Canadell⁴, Philippe Ciais⁵, Pierre Friedlingstein⁶, Frank Jotzo⁷, Detlef P. van Vuuren^{8,9} and Corinne Le Quéré¹⁰

Any limit on future global warming is associated with a quota on cumulative global CO₂ emissions. We translate this global carbon quota to regional and national scales, on a spectrum of sharing principles that extends from continuation of the present distribution of emissions to an equal per-capita distribution of cumulative emissions. A blend of these endpoints emerges as the most viable option. For a carbon quota consistent with a 2°C warming limit (relative to pre-industrial levels), the necessary long-term mitigation rates are very challenging (typically over 5% per year), both because of strong limits on future emissions from the global carbon quota and also the likely short-term persistence in emissions growth in many regions.

Climate modelling studies^{1–6} have established a robust near-linear relationship between global warming and cumulative CO₂ emissions since industrialization. This implies that a ‘carbon quota’ or cap on future cumulative CO₂ emissions is required if global warming is to be kept below any nominated limit (such as 2°C above pre-industrial temperatures⁷) with a nominated chance of success^{8–10}. Estimated carbon quotas are significantly smaller than the known global fossil-fuel reserves^{2,11,12}.

The carbon quota implies that future cumulative CO₂ emissions consistent with a given warming limit are a finite common global resource that must necessarily be shared among countries, whether through prior agreement or as an emergent property of individually determined national efforts. The problem of sharing the global mitigation effort is addressed in an extensive literature, from the perspectives of equity, international policy and institutions, and economics and financing^{13–22}. Here, we combine perspectives from two previously distinct strands of analysis — the global carbon quota and effort sharing — to infer the regional and national implications of global carbon quotas under a wide range of possible sharing strategies.

The need for multiple approaches is heightened by the present impasse in the search for long-term climate safety. Two broad approaches have been pursued hitherto in international negotiations: ‘top-down’ international agreements, such as the 1997 Kyoto Protocol²³, and ‘bottom-up’ nationally determined contributions to a global outcome. The top-down approach has made little progress over the last two decades²⁴. An approach based on nationally determined contributions is now being explored²⁵, but current commitments in sum are far short of what is needed to meet internationally agreed climate goals^{26–29}.

The present impasse arises in part because the sharing challenge forms a ‘tragedy of the commons’³⁰ or collective-action dilemma³¹. The challenge of governing common natural resources has developed a rich literature encompassing issues of governance, institutions, communities and ethics^{32–34}. In broad terms, this literature suggests that solutions to the underlying problem of collective action can emerge from individual actions by participants (here, countries),

given adequate social capital³⁵ to support a framework for adaptive governance³³. When the sharing challenge is framed in this way, the emphasis shifts away from questions about global rules (“What shares of the carbon quota should be allocated to every country?”) to questions about consistent local behaviours (“If others acted consistently with our proposed share of the carbon quota, would the global outcome be acceptable to us?”). This view further motivates a direct connection between the global carbon quota and effort-sharing analyses, to explore frameworks that can infer the global implications of a proposed share of the carbon quota by any one country, were others to act on similar principles.

To establish principles, we focus on the sharing of fossil-fuel CO₂ emissions, the largest single contributor to radiative forcing and climate change³⁶. Emissions of CO₂ from land-use change are now a relatively small fraction of total CO₂ emissions ($8 \pm 3\%$)³⁷, declining with time, and subject to significant uncertainty at the global scale and even more at regional scales^{37,38}. Inclusion of CO₂ emissions from land-use change is straightforward in principle, though data uncertainties would require careful assessment. In the absence of historic attribution, the effects would be small for most regions, but large for tropical forest countries where land clearing is still ongoing. More significant at the global scale is the role of non-CO₂ forcing agents, both those accounted (the major non-CO₂ greenhouse gases) and unaccounted (aerosols) in inventories. However, full inclusion of these forcing agents in extensions to carbon quotas at regional and national scales is beyond the present scope, requiring more complex climate modelling to resolve issues such as local impacts of short-lived climate forcers^{39,40}, nonlinear force–response relationships⁴¹ and cooling by some aerosol species³⁶.

Ways of sharing a cumulative emissions quota

A common-pool resource can be shared objectively among participants in a social–ecological system by distributing the resource according to a set of observable metrics. In the case of the carbon quota, two generic metrics are measures of ‘inertia’ (also known as ‘grandfathering’²²) and ‘equity’, the inertia metric reflecting

¹Climate Change Institute, Australian National University, Canberra, Australian Capital Territory 0200, Australia, ²Department of Earth System Science, University of California, Croul Hall, Irvine, California 92697, USA, ³Center for International Climate and Environmental Research – Oslo (CICERO), PO Box 1129 Blindern, N-0318 Oslo, Norway, ⁴Global Carbon Project, Oceans and Atmosphere Flagship, Canberra, Australian Capital Territory 2601, Australia, ⁵IPSL-LSCE, CEA CNRS UVSQ, Centre d’Etudes Orme des Merisiers, 91191 Gif sur Yvette, France, ⁶College of Engineering, Mathematics and Physical Sciences, University of Exeter, Exeter EX4 4QF, UK, ⁷Crawford School of Public Policy, Australian National University, Canberra, Australian Capital Territory 0200, Australia, ⁸PBL Netherlands Environmental Assessment Agency, Postbus 303, 3720 AH Bilthoven, The Netherlands, ⁹Copernicus Institute of Sustainable Development, Faculty of Geosciences, Utrecht University, Postbus 80.115, 3508TC Utrecht, The Netherlands, ¹⁰Tyndall Centre for Climate Change Research, University of East Anglia, Norwich Research Park, Norwich NR4 7TJ, UK. *e-mail: michael.raupach@anu.edu.au

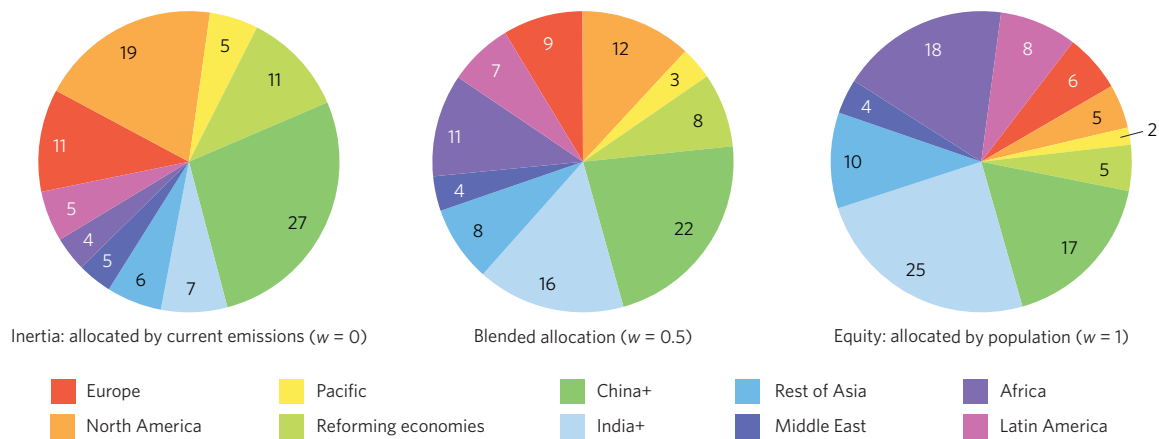


Figure 1 | Sharing the carbon-quota pie. The share of an available carbon quota allocated to 10 regions (Europe, North America, Pacific Organisation for Economic Co-operation and Development countries, reforming economies, China+, India+, Rest of Asia, Middle East, Africa, Latin America) under three sharing principles based on equation (2), with sharing index $w = 0, 0.5$ and 1 . Numbers give the percentage share of the global quota allocated to each region, summing to 100 for each chart. Shares are calculated using equation (2) with emissions (f_j) averaged over last five years of data, and population (p_j) averaged over a five-year period centred on the time at which world population reaches nine billion. See Supplementary Text 1 for details.

the distribution of emissions and the equity metric reflecting the population distribution. These metrics would suggest two alternative sharing principles:

$$s_j(\text{emissions}) = \frac{f_j}{F}; \quad s_j(\text{population}) = \frac{p_j}{P} \quad (1)$$

where s_j is the share of the quota to country j ; f_j and p_j are the emissions (current or cumulative) and population (present or future), respectively, for country j ; and F and P are the corresponding emissions and population for the world. Shares sum to one over all countries ($\sum s_j = 1$) because $\sum f_j = F$ and $\sum p_j = P$. Depending on the choice of reference times for emissions and population, this formulation can accommodate sharing by current or historic emissions, and can account for expected future changes in population.

In their simplest forms, both options in equation (1) face major difficulties. Sharing by present emissions (inertia) would leave developing countries with little access to the energy and development opportunities embodied in remaining future carbon emissions, whereas sharing by population (equity) would impose extremely high mitigation demands on many developed countries. This has motivated the analysis of ‘blended’ sharing principles^{16–18} that can compromise between the endpoint positions. One possibility (among others explored below) is that the share of the quota to country j is:

$$s_j(w) = (1 - w) \frac{f_j}{F} + w \frac{p_j}{P} \quad (2)$$

where w is a ‘sharing index’ between 0 and 1, weighting between the endpoints of sharing by inertia ($w = 0$) and by equity ($w = 1$). This principle also satisfies the requirement $\sum s_j = 1$. It can be regarded as a simplified form of the contraction-and-convergence algorithm^{16–18}, applied to a total carbon quota rather than to emissions trajectories specified through time; the key simplification is independence from specific assumptions about emissions pathways through time.

Using equation (2), Fig. 1 shows how w influences the share of a global carbon quota assigned to 10 regions that span the world (Europe, North America, Pacific Organisation for Economic Co-operation and Development countries, reforming economies, Middle East, China+, India+, Rest of Asia, Africa, Latin America; Supplementary Text 1). The last four regions receive a greater share with increasing weighting of equity (increasing w), while the share for the other six regions decreases. This occurs because the response to increasing w of the share s_j for a region j hinges on whether its per-capita emissions (f_j/p_j) are less or greater than the

global average per-capita emissions (F/P) (Supplementary Text 2); the last four regions have below-global-average per-capita emissions (Supplementary Fig. 1).

The concept of a blended sharing principle can potentially be generalized to include additional metrics of responsibility and/or capability^{19,21} — for example, the distribution of gross domestic product (GDP) as a measure of capability to undertake mitigation efforts (Supplementary Text 2). The influences of emissions and GDP on sharing are broadly similar because both are correlated with development status, and both are very different to the influence of population (Supplementary Fig. 1). Therefore, we focus mainly on emissions and population using equation (2), and briefly explore later the effect of also including GDP in the sharing principle. We also note that allocated shares and quotas are not the same as actual future cumulative emissions if emissions are tradable between countries, as discussed later.

Regional carbon quotas

The global carbon quota from the past to the long-term future (when emissions fall to zero) is:

$$Q_{\text{tot}} = Q_{\text{past}}(\text{FFI}) + Q_{\text{past}}(\text{LUC}) + Q_{\text{future}}(\text{FFI}) + Q_{\text{future}}(\text{LUC}) \quad (3)$$

where Q_{tot} is the quota for anthropogenic CO_2 emissions from a reference time (here 1870) to the far future, including contributions from fossil-fuel combustion and industrial processes (FFI) and net land-use change (LUC); Q_{past} is the past emissions and Q_{future} is the shared available future emissions. Past cumulative CO_2 emissions from 1870 to the end of 2012 were 1,922 Gt CO_2 (1,396 Gt CO_2 from FFI and 526 Gt CO_2 from LUC)³⁷. Global LUC emissions have decreased since 2000 to $8 \pm 3\%$ of total emissions in 2013³⁷ and are expected to continue to decrease; a linear decrease to zero in 2100 would imply $Q_{\text{future}}(\text{LUC}) = 137$ Gt CO_2 .

Estimation of the global carbon quota Q_{tot} is an ongoing scientific issue. The estimates used here¹⁰ are $Q_{\text{tot}} = 3,500, 4,400$ and $5,300$ Gt CO_2 for warming limits of 2, 2.5 and 3°C , respectively, with 50% chance of success and accounting for both CO_2 and non- CO_2 forcings (all quota estimates are rounded to nearest 100 Gt CO_2). These are larger (more conservative) quotas than estimated elsewhere⁸.

We consider sharing of the available quota of future fossil-fuel emissions $Q_{\text{future}}(\text{FFI})$ from equation (3), henceforth Q_{avail} . The above estimates for Q_{tot} imply that $Q_{\text{avail}} = 1,400, 2,300$ and $3,200$ Gt CO_2 , for warming limits of 2, 2.5 and 3°C at 50% chance of success.

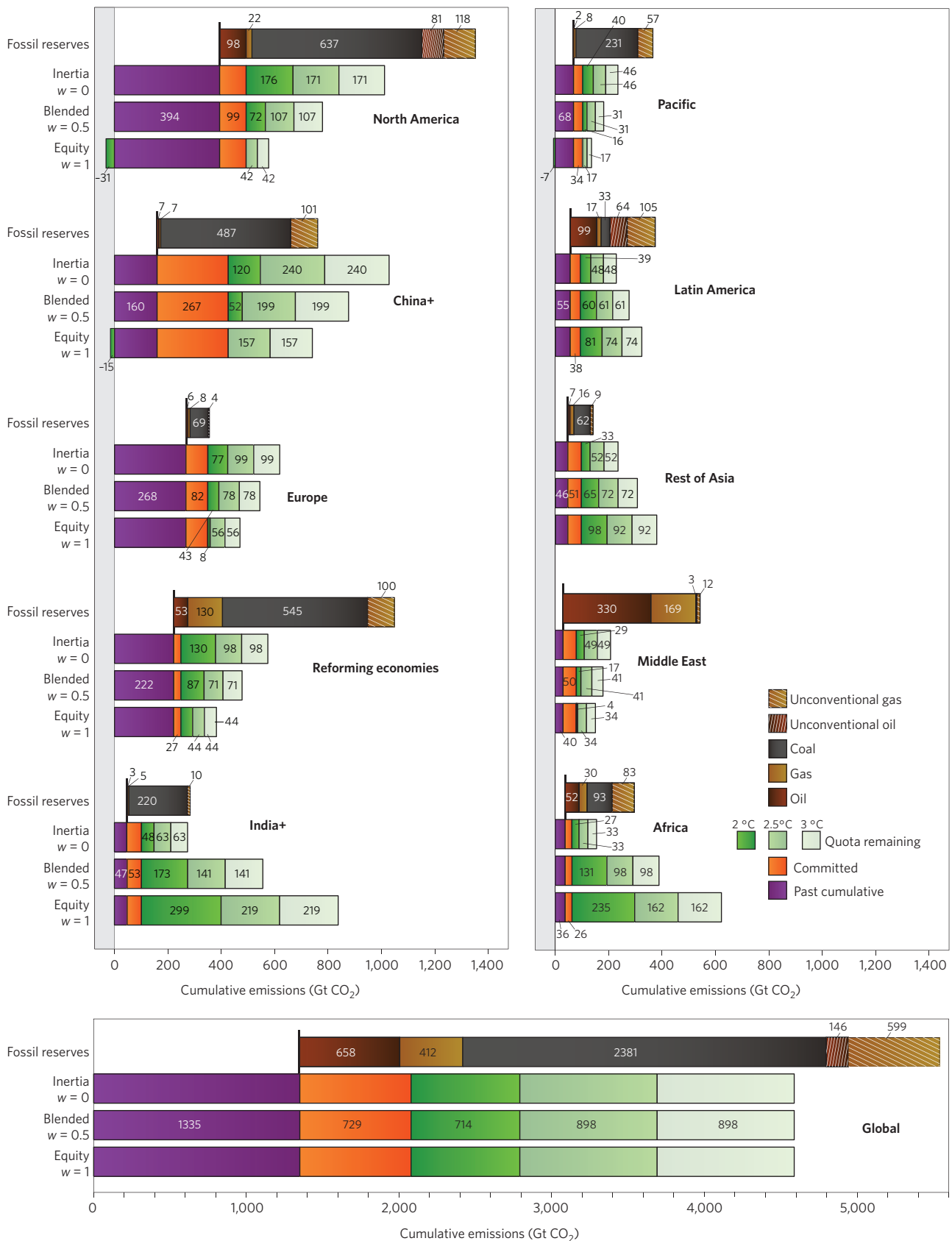


Figure 2 | Quotas, cumulative committed emissions and fossil-fuel reserves. Past cumulative fossil-fuel CO₂ emissions (purple), future committed emissions^{42,43} (orange) and available fossil-fuel carbon quotas to meet warming limits of 2, 2.5 and 3 °C with 50% probability (green), for 10 regions and the world, under inertia, blended and equity sharing principles. Stacked bars are cumulative; numbers give the contribution of each increment in Gt CO₂. Negative increments are shown below the zero axis. Also shown are fossil-fuel reserves (coal, oil, gas, unconventional oil, unconventional gas)¹².

The available carbon quota for country j is a share $s_j Q_{\text{avail}}$ of the global quota. Figure 2 shows the resulting quotas for 10 regions (Fig. 1) and for the world, with shares s_j from equation (2) for three values of w (0, 0.5 and 1, corresponding to inertia-based, blended and equity-based sharing, respectively), and with available quotas Q_{avail} corresponding to warming limits of 2, 2.5 and 3 °C at 50% chance of success. Global quotas are independent of w , but the regional quotas depend strongly on w , with increasing w causing the quotas to increase for regions with low per-capita emissions, and vice versa (Supplementary Text 2).

The regional quotas can be assessed against two independently determined quantities. First, committed emissions (orange bars in Fig. 2) are estimates of future emissions from existing CO₂-emitting infrastructure that will continue for infrastructure lifetimes without early retirement^{42–44} (Supplementary Text 1). Committed emissions in North America, Europe and China exceed quotas for a 2 °C warming limit under equity sharing ($w = 1$), implying a requirement to either retire or improve such infrastructure before its design lifetime, or to compensate these emissions by negative emissions later in the century or by some form of offset such as emissions trading (see below). For the world, committed emissions are about half of the available quota Q_{avail} for a 2 °C warming limit.

Second, quotas can be compared with fossil-fuel reserves of coal, oil, gas, unconventional oil and unconventional gas (Fig. 2). Reserves are the part of total resources currently identified as economically viable for extraction. Globally and in most regions, estimated reserves¹² substantially exceed the global quota Q_{avail} for warming limits up to and beyond 3 °C, in agreement with other assessments^{2,11}. Estimates of total fossil-fuel resources are even larger.

The distribution of the mitigation challenge

A simple measure of the challenge implied by the available quota for any region or country (before any possible redistribution by emissions trading) is the time for which the quota would last if emissions were held steady at current levels until the quota is exhausted, $T_j = s_j Q_{\text{avail}} / f_j$. This ‘emission time’¹⁰ depends strongly on the sharing index w (Supplementary Fig. 2). With pure emission-based sharing ($w = 0$), the emission time for all countries is the same and equals the global emission time Q_{avail} / F . As w increases to yield pure population-based sharing at $w = 1$, the emission time increases (decreases) for regions with per-capita emissions less (greater) than the global average. The response of emission times to w is the same as, and is determined by, the response of shares (s_j) to w (Supplementary Text 2).

If emissions were to decrease at a steady exponential rate starting immediately, an emission time T would correspond to a decrease in emissions at a fractional rate $1/T$ (or $100/T$ per cent per year). However, this estimate of a required reduction rate to meet a given quota is too low if the mitigation effort must first overcome existing emissions growth, because of persistence effects. Persistence in emissions growth arises from the time needed to implement new low-emission energy technologies on the energy supply side, and to adopt energy-efficiency measures and make behavioural changes in energy consumption on the demand side. Persistence is evident in emissions data (Supplementary Fig. 3). The supply-side aspects of this persistence arise mainly from the committed emissions in existing, long-lived energy infrastructure^{42,43} (Fig. 2).

We account for persistence in emissions growth by representing the future emissions of a country, region or the world with an analytic capped-emissions trajectory that blends an initially linear growth at rate r with eventual exponential decline at a mitigation rate m . Continuity requirements determine this trajectory uniquely (Supplementary Text 3):

$$f(t) = f_0 \left(1 + (r + m)t \right) \exp(-mt) \quad (4)$$

where $f(t)$ is the emissions at time t , f_0 is the emissions at the start of mitigation ($t = 0$), and r and m both have units of per year. When mitigation is started at $t = 0$ (with a positive initial growth rate r), the resulting emissions trajectory increases, peaks and eventually declines exponentially at the rate m (Supplementary Fig. 4). A possible delay in starting mitigation can also be included (Supplementary Text 3). By incorporating such a delay, equation (4) can provide a good representation of the trajectories of CO₂ emissions from fossil fuels in the four representative concentration pathway scenarios⁴⁵ before any introduction of negative emissions (Supplementary Fig. 5). This indicates that equation (4) is suitable for empirically describing persistence effects in emissions trajectories.

To meet a specified available cumulative emission quota, persistence in emissions growth causes the necessary eventual characteristic rate of decline in emissions (m) to be typically more than twice the rate $1/T$ that would be required if exponential decline could commence immediately (Supplementary Text 3, Supplementary equation (8)).

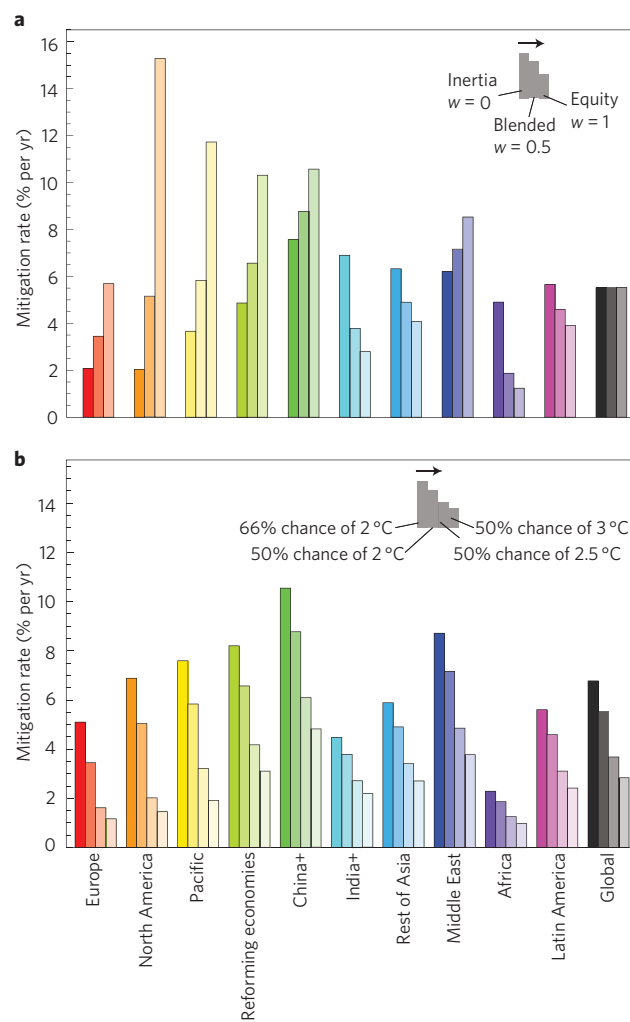


Figure 3 | Dependence of the regional mitigation challenge on the sharing index (w) and the warming limit.

a, Mitigation rates for 10 regions and the world at $w = 0, 0.5$ and 1. Available global fossil-fuel combustion and industrial processes (FFI) carbon quota from 2013 is $Q_{\text{avail}} = 1,400$ Gt CO₂, corresponding to a 2 °C warming limit with 50% success probability.

b, Mitigation rates under a blended sharing principle ($w = 0.5$) in four cases with warming limit and success probability, respectively, equal to 2 °C and 50%; 2.5 °C and 50%; 3 °C and 50%; and 2 °C and 66%. The available global FFI carbon quotas for these four cases are $Q_{\text{avail}} = 1,400, 2,300, 3,200$ and $1,100$ Gt CO₂, respectively¹⁰.

This occurs because the persistence in emissions growth in the early phase of the mitigation effort has to be compensated by more rapid later decline (larger m).

Figure 3a shows the mitigation rates m needed to meet an available carbon quota $Q_{\text{avail}} = 1,400 \text{ Gt CO}_2$ (a 2°C warming limit at 50% success probability), for the world and in 10 regions, with sharing index $w = 0, 0.5$ and 1 . The required global mitigation rate to meet the quota is 5.5% per year (independent of sharing index) — more than twice the reduction rate $1/T$ if exponential decline could start immediately, because of persistence in emissions growth. This result is consistent with scenario-based analyses that account for policy delay^{46,47}.

With pure emissions-based sharing ($w = 0$), m varies little among regions (Fig. 3a); it is not identical across regions (in contrast with the emission time T ; Supplementary Fig. 2) because of regional variations in the initial growth rate r . With pure population-based sharing ($w = 1$), m varies greatly among regions, from 1.4% per year (Africa) to over 15% per year (North America). With a blended sharing principle ($w = 0.5$), required mitigation rates are intermediate between the endpoint options $w = 0$ and $w = 1$, but very different in most cases from a simple average of the endpoints. For North America, the required mitigation rate at $w = 0.5$ is about 30% more than with emissions-based sharing ($w = 0$); for Africa, it is about 70% less. Therefore, a shift from an emissions-based to blended sharing principle leads to large benefits for developing regions at the cost of a much smaller increase in the demands on developed regions, as quantified by fractional changes in the required mitigation rates m .

Regional mitigation rates are also strongly sensitive to the global carbon quota, determined by the warming limit and probability of success. If the required probability of success for a 2°C limit is increased from 50% (as in Fig. 3a) to 66%, then the required global mitigation rate m increases from 5.5 to 7% per year, with commensurate increases for regions and countries (Fig. 3b). For warming limits of 2.5 and 3°C at 50% success probability, the required global mitigation rates fall to 3.7 and 2.9% per year, respectively, with commensurate decreases at regional and national levels. Even a 3°C limit (with a much higher risk of dangerous climate change⁴⁸) requires significant global and national mitigation.

To explore further the distribution of the mitigation challenge at national level, we focus on a set of 14 representative countries (Supplementary Text 1) that span the development spectrum in terms of both per-capita emissions and rates of development (Supplementary Fig. 6; a national-level counterpart of Fig. 2 is given in Supplementary Fig. 7). The required mitigation rates m for these countries, before any possible emissions trading, are plotted against present per-capita emissions in Fig. 4. Increasing equity (larger w) causes the mitigation challenge to respectively increase and decrease for countries with per-capita emissions above and below the world average, pivoting about that point. For least-developed countries with very low per-capita emissions, a shift from $w = 0$ (inertia) to 0.5 (blended) reduces the mitigation challenge from near the world average to near zero, because these countries collectively account only for a small share of global emissions.

The implication is that a blended sharing principle can ameliorate the opposite difficulties associated with the endpoint sharing principles at $w = 0$ (which would be prohibitive for least-developed countries) or $w = 1$ (which would be prohibitive for developed countries because of required mitigation rates exceeding 15% per year). Such compromises will be necessary in applying “equity principles of responsibility and capability to apportion the burden of emissions reductions [and] address concerns of both the global North and South”²⁴.

Together, Figs 3 and 4 demonstrate the interplay between the three major factors determining required regional and national mitigation rates: the warming limit, the nominated chance of success and the sharing principle (here w). The first two are comparably important everywhere. The sharing principle (w) has dominant but opposite effects for countries at opposite ends of the development spectrum,

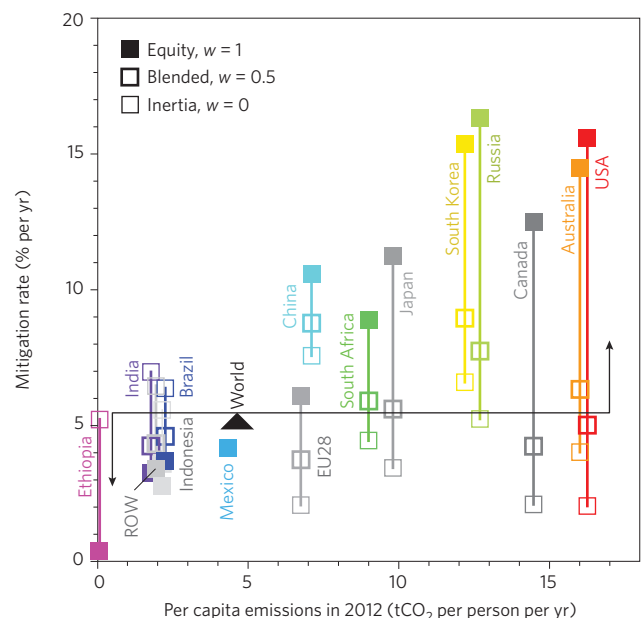


Figure 4 | Distribution of the mitigation challenge among countries.

Mitigation rates (m) for 14 countries and regions spanning the development spectrum and for the whole world, with sharing index $w = 0$ (open squares), 1 (filled squares) and 0.5 (half-open squares). Horizontal axis is 2012 per-capita fossil-fuel CO_2 emissions. Available global fossil-fuel carbon quota from 2013 is $Q_{\text{avail}} = 1,400 \text{ Gt CO}_2$, corresponding to a 2°C warming limit with 50% success probability. With increasing equity in the sharing principle, the mitigation challenge increases for countries to the right of the point for the world (the pivot for the see-saw) and decreases for countries to the left. Mexico is so close to the pivot that symbols are indistinguishable. ROW, rest of world; EU28, the 28 member states of the European Union.

but small effects for countries close to the pivot point defined by global-average per-capita emissions (Fig. 4). In particular, China has a high required mitigation rate (because of currently high emissions growth) that is not strongly sensitive to the choice of w .

The regional mitigation rates in Figs 3a and 4 pivot around a very challenging global mitigation rate of 5.5% per year, for a warming limit of 2°C with 50% chance of success. If the associated global carbon quota from ref. 10 ($Q_{\text{tot}} = 3,500 \text{ Gt CO}_2$) is reduced to a more stringent $3,000 \text{ Gt CO}_2$ (ref. 8), then the required global mitigation rate increases further to 7.9% per year, and regional rates increase correspondingly.

Additional factors

To this point, we have not yet considered several additional factors that can be assessed within the framework of equation (2) or its generalizations (Supplementary Text 3) as part of future climate policy regimes.

Extent of inclusion of historic emissions. It has been suggested^{41,49,50} that historic responsibility for climate change be included in principles for sharing the mitigation challenge. In a carbon-quota approach, this involves defining an attribution start date in the past and then sharing cumulative global emissions from that time onwards rather than from the present (Supplementary Text 4). A shift to this sharing principle has no effect on the required global mitigation rates, but has large implications for regions and countries. With historic attribution, required mitigation rates for developed regions become very large because attributed historic emissions approach (or even exceed) allocated shares for future emissions (Supplementary Fig. 8). The corresponding benefits for

developing regions are not as large as might be expected because historic emissions for these regions are low.

Effect of delaying mitigation. It is well known that a delay in starting mitigation has a profound effect on the steepness of the mitigation challenge^{51,52}. Noting that our analysis already includes persistence before a peak in emissions is reached, an additional 10-year delay would increase the required global mitigation rate m from 5.5 to over 9% per year (with global quota $Q_{\text{avail}} = 1,400 \text{ Gt CO}_2$), with commensurate increases in regions (Supplementary Fig. 9).

Consumption-based and territorial emissions accounting. Consumption-based inventories for national CO_2 emissions⁵³ augment established territorial inventories⁵⁴ by attributing emissions to countries where products are consumed rather than where emissions of manufacture occur^{55–57}. Under consumption-based accounting, the emissions of manufacturing-export countries, such as China, are reduced by up to 20% in recent years (relative to territorial accounting) and emissions of importing countries are correspondingly increased^{37,58}. Use of consumption-based rather than territorial emissions leads to only a small change in shares and mitigation rates for regions and countries (Supplementary Fig. 10), because the favourable effects of consumption-based accounting for manufacturing-export countries are offset by the effects of their typically high persistence in emissions growth. Still, consumption-based emissions accounting may contribute to negotiation of agreements²⁴.

Effect of timing of population distribution. Sharing by population can be based on a future population forecast (the default for this Perspective; Supplementary Text 1), or on the present population distribution. There is only a small sensitivity of required mitigation rates to whether sharing occurs on the population distribution at a future time when the global population is nine billion, or on the distribution in 2013 with a global population of seven billion (Supplementary Fig. 11).

Effect of including GDP in the sharing principle. Equation (2) can be generalized to include additional metrics such as GDP (Supplementary Text 2). If the emissions distribution in equation (2) is replaced completely with the GDP distribution, the resulting effect on shares and mitigation rates is moderate, but not large (Supplementary Fig. 12), because both emissions and GDP are correlated with development status. Sharing principles combining three or more metrics (emissions, GDP, population, and so on) can be constructed (Supplementary Text 2). The most important clusters of metrics are those that represent development status (such as emissions and GDP) and those representing population.

Negative emissions. Model-based scenario studies indicate pathways to a range of warming limits, through transformations in energy systems and other mitigation measures¹³. For limits around 2 °C or less, such scenarios often depend on the use of negative emissions^{13,59–61} through strategies such as land-based biosequestration or bioenergy with carbon capture and storage. Most 2 °C scenarios propose significant gross negative emissions to offset gross positive emissions that may be difficult or impossible to avoid, and many propose net negative emissions from the late twenty-first century. To the extent that gross negative emissions offset gross positive emissions, they are handled naturally by the cumulative carbon quota approach because the carbon quota applies to net (gross positive less gross negative) emissions. This applies at regional and national scales just as at the global scale.

Shared responsibility and collective achievability

A longstanding idea in analyses of burden sharing has been that countries need to test and explain how their own nominated climate goals fit with a global outcome^{16,20,22,62,63}. Engagement in such testing is a

key requirement for a robust solution to the climate change challenge through adaptive governance. As a methodological contribution to assist in this kind of testing, the present work combines existing analyses of the global carbon quota and effort sharing. The carbon-quota approach offers the important simplification of independence from assumptions about emissions pathways in time, yielding a transparent methodology for translating global to national carbon quotas under a wide range of possible sharing principles.

The question of achievability is clearly central¹³. The required global mitigation rates emerging from our analysis are high, typically over 5% per year for a 2 °C limit at 50% success probability (and 8% per year for China, a rate that remains very high under any sharing principle; Fig. 4). These rates can be compared with the distribution of maximum mitigation rates in the ensemble of scenarios in the Intergovernmental Panel on Climate Change Fifth Assessment Report (Supplementary Fig. 13). For scenarios with CO_2 peaking below 530 ppm, the median of the distribution of maximum rate of emissions decline is approximately consistent with the required rates from our analysis if there is no delay in starting mitigation, but even a five-year delay causes the required rate to approach the upper edge of the distribution.

Although the global quota is determined biophysically, the resulting distribution of effort among countries can be made more achievable by emissions or quota trading and related instruments. These can help to make very high national mitigation targets achievable, given sufficient globally connected trading systems and an effective price on emissions. Quota trading means that an initial quota does not determine the actual future cumulative emissions for a country; it also can improve the overall cost-effectiveness of the global mitigation effort, and facilitate transfer payments between countries to help achieve desired distributional outcomes. It is an open question whether such payments can be actually implemented on a large scale.

For the emergence of long-term, cooperative solutions to anthropogenic climate change^{33,35}, one essential element is an ability to perceive the consistent global consequences of local actions, given great differences in national economies and histories. The social capital that underpins cooperative governance of the commons takes time to evolve, but the biophysical realities of climate change demand solutions within decades. This is why the development of new perspectives on the sharing challenge is vital.

Received 30 June 2014; accepted 27 August 2014;
published online 21 September 2014

References

- Allen, M. R. *et al.* Warming caused by cumulative carbon emissions: towards the trillionth tonne. *Nature* **458**, 1163–1166 (2009).
- Meinshausen, M. *et al.* Greenhouse gas emission targets for limiting global warming to 2 °C. *Nature* **458**, 1158–1162 (2009).
- Zickfeld, K., Eby, M., Matthews, H. D. & Weaver, A. J. Setting cumulative emissions targets to reduce the risk of dangerous climate change. *Proc. Natl Acad. Sci. USA* **106**, 16129–16134 (2009).
- Matthews, H. D., Gillett, N. P., Stott, P. A. & Zickfeld, K. The proportionality of global warming to cumulative carbon emissions. *Nature* **459**, 829–833 (2009).
- Raupach, M. R. *et al.* The relationship between peak warming and cumulative CO_2 emissions, and its use to quantify vulnerabilities in the carbon–climate–human system. *Tellus B* **63**, 145–164 (2011).
- Raupach, M. R. The exponential eigenmodes of the carbon–climate system, and their implications for ratios of responses to forcings. *Earth Syst. Dynam.* **4**, 31–49 (2013).
- European Commission *The 2 °C Target: Background on Impacts, Emission Pathways, Mitigation Options and Costs* (European Commission, 2008).
- Collins, M. *et al.* in *IPCC Climate Change 2013: The Physical Science Basis* (eds Stocker, T. F. *et al.*) Ch. 12, 1029–1136 (Cambridge Univ. Press, 2013).
- Matthews, H. D., Solomon, S. & Pierrehumbert, R. Cumulative carbon as a policy framework for achieving climate stabilization. *Phil. Trans. R. Soc. A* **370**, 4365–4379 (2012).
- Friedlingstein, P. *et al.* Persistent growth of CO_2 emissions and implications for reaching climate targets. *Nature Geosci.* (in the press).
- GEA *Global Energy Assessment — Toward a Sustainable Future* (Cambridge Univ. Press and International Institute for Applied Systems Analysis, 2012).

12. BGR Energy Study 2013: *Reserves, Resources and Availability of Energy Resources* (Federal Institute for Geosciences and Natural Resources, 2013).
13. Clarke, L. *et al.* in *IPCC Climate Change 2014: Mitigation of Climate Change* (eds Edenhofer, O. *et al.*) Ch. 6 (Cambridge Univ. Press, 2014).
14. Stavins, R. *et al.* in *IPCC Climate Change 2014: Mitigation of Climate Change* (eds Edenhofer, O. *et al.*) Ch. 13 (Cambridge Univ. Press, 2014).
15. Höhne, N., den Elzen, M. G. J. & Escalante, D. Regional GHG reduction targets based on effort sharing: a comparison of studies. *Clim. Policy* **14**, 122–147 (2014).
16. Meyer, A. *Contraction and Convergence. The Global Solution to Climate Change* Schumacher Briefings 5 (Green Books, 2000).
17. Hohmeyer, O. & Rennings, K. *Man-made Climate Change: Economic Aspects and Policy Considerations* (ZEW Economic Studies, Centre for European Economic Research, 1999).
18. Bows, A. & Anderson, K. Contraction and convergence: an assessment of the CCOptions model. *Climatic Change* **91**, 275–290 (2008).
19. Dellink, R. *et al.* Sharing the burden of financing adaptation to climate change. *Glob. Environ. Change* **19**, 411–421 (2009).
20. Bartsch, U. & Müller, B. *Fossil Fuels in a Changing Climate — Impacts of the Kyoto Protocol and Developing Country Participation* (Oxford Univ. Press, 2000).
21. Fussler, H.-M. How inequitable is the global distribution of responsibility, capability, and vulnerability to climate change: a comprehensive indicator-based assessment. *Glob. Environ. Change* **20**, 597–611 (2010).
22. Ringius, L., Torvanger, A. & Underdal, A. Burden sharing and fairness principles in international climate policy. *Int. Environ. Agreem. P* **2**, 1–22 (2002).
23. UNFCCC *Kyoto Protocol to the United Nations Framework Convention on Climate Change* (United Nations Framework Convention on Climate Change, 1997).
24. Grasso, M. & Roberts, T. A compromise to break the climate impasse. *Nature Clim. Change* **4**, 543–549 (2014).
25. European Climate Foundation *Taking Stock — The Emission Levels Implied by the Pledges to the Copenhagen Accord* (European Climate Foundation, 2010).
26. Den Elzen, M. G. J. *et al.* The Copenhagen Accord: abatement costs and carbon prices resulting from the submissions. *Environ. Sci. Policy* **14**, 28–39 (2011).
27. Rogelj, J. *et al.* Analysis of the Copenhagen Accord pledges and its global climatic impacts — a snapshot of dissonant ambitions. *Environ. Res. Lett.* **5**, 034013 (2010).
28. Rogelj, J. *et al.* Copenhagen Accord pledges are paltry. *Nature* **464**, 1126–1128 (2010).
29. UNEP *The Emissions Gap Report 2013* (United Nations Environment Program, 2013).
30. Hardin, G. The tragedy of the commons. *Science* **162**, 1243–1248 (1968).
31. Kolstad, C. *et al.* in *IPCC Climate Change 2014: Mitigation of Climate Change* (eds Edenhofer, O. *et al.*) Ch. 3 (Cambridge Univ. Press, 2014).
32. Ostrom, E. *Governing the Commons: The Evolution of Institutions for Collective Action* (Cambridge Univ. Press, 1990).
33. Dietz, T., Ostrom, E. & Stern, P. C. The struggle to govern the commons. *Science* **302**, 1907–1912 (2003).
34. Lejano, R. P., Araral, E. & Araral, D. Interrogating the commons: introduction to the Special Issue. *Environ. Sci. Policy* **36**, 1–7 (2014).
35. Pretty, J. Social capital and the collective management of resources. *Science* **302**, 1912–1914 (2003).
36. Myhre, G. *et al.* in *IPCC Climate Change 2013: The Physical Science Basis* (eds Stocker, T. F. *et al.*) Ch. 8, 659–740 (Cambridge Univ. Press, 2013).
37. Le Quéré, C. *et al.* Global carbon budget 2013. *Earth Syst. Sci. Data* **6**, 235–263 (2014).
38. Gasser, T. & Ciais, P. A theoretical framework for the net land-to-atmosphere CO₂ flux and its implications in the definition of “emissions from land-use change”. *Earth Syst. Dynam.* **4**, 171–186 (2013).
39. Shindell, D. & Faluvegi, G. Climate response to regional radiative forcing during the twentieth century. *Nature Geosci.* **2**, 294–300 (2009).
40. Bernsten, T. K. *et al.* Response of climate to regional emissions of ozone precursors: sensitivities and warming potentials. *Tellus B* **57**, 283–304 (2005).
41. Trudinger, C. M. & Enting, I. G. Comparison of formalisms for attributing responsibility for climate change: non-linearities in the Brazilian proposal approach. *Climatic Change* **68**, 67–99 (2005).
42. Davis, S. J., Caldeira, K. & Matthews, H. D. Future CO₂ emissions and climate change from existing energy infrastructure. *Science* **329**, 1330–1333 (2010).
43. Davis, S. J. & Socolow, R. H. Commitment accounting of CO₂ emissions. *Environ. Res. Lett.* **9**, 084018 (2014).
44. Davis, S. J., Peters, G. P. & Caldeira, K. The supply chain of CO₂ emissions. *Proc. Natl Acad. Sci. USA* **108**, 18554–18559 (2011).
45. van Vuuren, D. P. *et al.* The representative concentration pathways: an overview. *Climatic Change* **109**, 5–31 (2011).
46. Kriegler, E. *et al.* The role of technology for achieving climate policy objectives: overview of the EMF 27 study on global technology and climate policy strategies. *Climatic Change* **123**, 353–367 (2014).
47. Riahi, K. *et al.* Locked into Copenhagen pledges — implications of short-term 386 emission targets for the cost and feasibility of long-term climate goals. *Technol. Forecast. Soc.* <http://dx.doi.org/10.1016/j.techfore.2013.09.016> (in the press).
48. Schellnhuber, H. J., Cramer, W., Nakicenovic, N., Wigley, T. M. L. & Yohe, G. *Avoiding Dangerous Climate Change* (Cambridge Univ. Press, 2006).
49. Den Elzen, M. G. J. *et al.* *The Brazilian Proposal and Other Options for International Burden Sharing: An Evaluation of Methodological and Policy Aspects using the FAIR Model* (National Institute of Public Health and the Environment, 1999).
50. Den Elzen, M. G. J., Schaeffer, M. & Lucas, P. L. Differentiating future commitments on the basis of countries' relative historical responsibility for climate change: uncertainties in the 'Brazilian proposal' in the context of a policy implementation. *Climatic Change* **71**, 277–301 (2005).
51. Den Elzen, M. G. J., van Vuuren, D. P. & van Vliet, J. Postponing emission reductions from 2020 to 2030 increases climate risks and long-term costs. *Climatic Change* **99**, 313–320 (2010).
52. Stocker, T. F. The closing door of climate targets. *Science* **339**, 280–282 (2013).
53. Peters, G. P., Minx, J. C., Weber, C. L. & Edenhofer, O. Growth in emission transfers via international trade from 1990 to 2008. *Proc. Natl Acad. Sci. USA* **108**, 8903–8908 (2011).
54. Andres, R. J. *et al.* A synthesis of carbon dioxide emissions from fossil-fuel combustion. *Biogeosciences* **9**, 1845–1871 (2012).
55. Peters, G. P. & Hertwich, E. G. CO₂ embodied in international trade with implications for global climate policy. *Environ. Sci. Technol.* **42**, 1401–1407 (2008).
56. Hertwich, E. G. & Peters, G. P. Carbon footprint of nations: a global, trade-linked analysis. *Environ. Sci. Technol.* **43**, 6414–6420 (2009).
57. Davis, S. J. & Caldeira, K. Consumption-based accounting of CO₂ emissions. *Proc. Natl Acad. Sci. USA* **107**, 5687–5692 (2010).
58. Peters, G. P. *et al.* Rapid growth in CO₂ emissions after the 2008–2009 global financial crisis. *Nature Clim. Change* **2**, 2–4 (2011).
59. Azar, C., Lindgren, K., Larson, E. & Mollersten, K. Carbon capture and storage from fossil fuels and biomass — costs and potential role in stabilizing the atmosphere. *Climatic Change* **74**, 47–79 (2006).
60. Van Vuuren, D. P. & Riahi, K. The relationship between short-term emissions and long-term concentration targets. *Climatic Change* **104**, 793–801 (2011).
61. Fuss, S. *et al.* Betting on negative emissions. *Nature Clim. Change* **4**, 850–853 (2014).
62. Garnaut, R. *Garnaut Climate Change Review: Final Report* (Cambridge Univ. Press, 2008).
63. Garnaut, R. *The Garnaut Review 2011: Australia in the Global Response to Climate Change* (Cambridge Univ. Press, 2011).

Acknowledgements

M.R.R. and J.G.C. acknowledge support from the Australian Climate Change Science Program of the Department of Environment, Australian Government. G.P.P. and R.M.A. were supported by the Norwegian Research Council (236296). P.C. acknowledges support from the European Commission's 7th Framework Programme under Grant Agreements 603864 (HELIX) and the ERC Synergy Project P-IMBALANCE. P.F. was supported by the European Commission's 7th Framework Programme under Grant Agreements 282672 (EMBRACE) and 603864 (HELIX). F.J. was supported by the Australian Research Council (grant DP110102057). C.L.Q. was supported by the UK Natural Environment Research Council (NERC)'s International Opportunities Fund (project NE/103002X/1) and EU/FP7 project GEOCarbon (283080). The authors are grateful to C. Wilson and H. Ransan-Cooper for insightful comments. This work is a contribution to the Global Carbon Project (www.globalcarbonproject.org).

Author contributions

M.R.R. designed the study, carried out calculations and coordinated the conception and writing of the paper. S.J.D. contributed data on committed emissions and drew figures. G.P.P. and R.M.A. contributed data on committed emissions and resources. All authors contributed to the writing of the paper.

Additional information

Supplementary information is available in the [online version of the paper](http://www.nature.com/natureclimatechange). Reprints and permissions information is available online at www.nature.com/reprints. Correspondence and requests for materials should be addressed to M.R.R.

Competing financial interests

The authors declare no competing financial interests.