# SHINTANI FUNCTIONS AND AUTOMORPHIC L-FUNCTIONS FOR GL(n)

Dedicated to Professor Hideo Shimizu on his sixtieth birthday

## ATSUSHI MURASE AND TAKASHI SUGANO

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**Abstract.** We introduce certain special functions ("Shintani functions") on GL(n) over a non-Archimedean local field. We prove the uniqueness, existence and partial explicit formula of Shintani functions. We give several applications of these local results to the theory of automorphic L-functions for GL(n).

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**Introduction.** In the study of automorphic L-functions, various special functions on reductive groups have been playing fundamental roles. Among others, the spherical function and the Whittaker function have been studied by many mathematicians. The aim of this paper is to introduce and study a new kind of special functions for GL(n) that we call Shintani functions. We investigate their local properties, which is similar to those of the spherical and Whittaker functions, and give several applications to the theory of automorphic L-functions for GL(n).

Shintani functions were first introduced by Shintani for the symplectic groups [Shin 2] in order to study the automorphic *L*-functions of Siegel (or Jacobi) modular forms. Several properties conjectured by him were studied in [M-S 1] and [Mu]. The notion of Shintani functions was later generalized to the case of orthogonal and unitary

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groups and used to obtain a new integral expression of automorphic L-functions for classical groups (cf. [M-S 2]).

To explain our results more precisely, let  $G_o = GL(n-1)$  and G = GL(n) be the general linear groups over an algebraic number field E and embed  $G_o$  into G via

$$g_o \mapsto \begin{bmatrix} g_o & 0 \\ 0 & 1 \end{bmatrix}$$
.

Let f and F be automorphic forms on  $G_o$  and G, respectively. If f is square integrable over  $G_{o,E} \setminus G_{o,A}^1$  (cf. §8.6) and F is cuspidal, then the integral

$$(0.1) W_{f,F}(g) = \int_{G_{o,E} \setminus G_{o,A}} f(x)F(xg)dx (g \in G_{A})$$

is absolutely convergent. We call  $W_{f,F}$  the global Shintani function attached to (f,F). Let  $\mathscr{H}_{G_o,v}=\mathscr{H}(G_o(E_v),\ G_o(\mathfrak{o}_v))$  and  $\mathscr{H}_{G,v}=\mathscr{H}(G(E_v),\ G(\mathfrak{o}_v))$  be the Hecke algebras of  $G_o$  and G at a finite prime v of E, where  $E_v$  denotes the completion of E at v and  $\mathfrak{o}_v$  its integer ring. Assume that f and F are common eigenfunctions under the action of the Hecke algebras  $\mathscr{H}_{G_o,v}$  and  $\mathscr{H}_{G,v}$  for every v. Let  $\xi_v$  (resp.  $\Xi_v$ ) be the Satake parameter at v of f (resp. of F) and denote by  $\xi_v^{\wedge}$  (resp. by  $\Xi_v^{\wedge}$ ) the corresponding C-algebra homomorphism of  $\mathscr{H}_{G_o,v}$  (resp. of  $\mathscr{H}_{G,v}$ ) to C (cf. §1). By definition, for  $\varphi_v \in \mathscr{H}_{G_o,v}$  and  $\varphi_v \in \mathscr{H}_{G,v}$  we have  $f * \varphi_v = \xi_v^{\wedge}(\varphi_v) f$  and  $F * \varphi_v = \Xi_v^{\wedge}(\varphi_v) F$ . Then the restriction W of  $W_{f,F}$  to  $G_v = G_{E_v}$  is a common eigenfunction under the action of  $\mathscr{H}_{G_o,v}$  on the left and that of  $\mathscr{H}_{G,v}$  on the right:

$$(0.2) \varphi_v * W * \Phi_v = \xi_v^{\wedge}(\varphi_v) \Xi_v^{\wedge}(\Phi_v) W,$$

where we put

$$(\varphi_v * W * \Phi_v)(g) = \int_{G_{v,v}} dx \int_{G_v} dy \, \varphi_v(x) W(x^{-1}gy) \Phi_v(y) \qquad (g \in G_v) .$$

The space  $\operatorname{Sh}(\xi_v, \Xi_v)$  of C-valued functions W on  $G_o(\mathfrak{o}_v)\backslash G(\mathfrak{o}_v)/G(\mathfrak{o}_v)$  satisfying (0.2) is called the space of *local Shintani functions* attached to  $(\xi_v, \Xi_v)$ . One of our main results asserts that the dimension of  $\operatorname{Sh}(\xi_v, \Xi_v)$  is equal to one. This implies that the global Shintani function defined by the integral (0.1) splits into the product of local Shintani functions. Moreover we present several integral formulas for local Shintani functions, which yield new integral expressions of automorphic L-functions for GL(n).

We now explain a relation between a recent work of Prasad [Pr] and ours. Let  $(\pi_o, V_o)$  and  $(\pi, V)$  be admissible representations of  $G_o = GL(n-1, E)$  and G = GL(n, E), respectively, where E is a non-Archimedean local field and  $V_o$  (resp. V) is the representation space of  $\pi_o$  (resp. of  $\pi$ ). Assume that there exists a non-zero  $G_o$ -equivariant linear mapping T of V to  $V_o^{\sim}$ , where  $V_o^{\sim}$  is the representation space of the contragredient  $\pi_o^{\sim}$  of  $\pi_o$ . For example, the assumption holds if both of  $\pi_o$  and  $\pi$  are irreducible and

generic (cf. [Pr, Theorem 3]). Suppose that  $\pi_o$  and  $\pi$  are of class 1. Let  $v_o$  (resp. v) be a  $G_o(\mathfrak{o}_E)$ -fixed (resp.  $G(\mathfrak{o}_E)$ -fixed) vector in  $V_o$  (resp. in V) and  $\langle \ , \ \rangle$  the canonical pairing of  $V_o^{\sim} \times V_o$ . Let  $\xi$  (resp.  $\Xi$ ) be the Satake parameter corresponding to  $\pi_o$  (resp.  $\pi$ ). Then the function  $W(g) = \langle T(\pi(g)v), v_o \rangle$  on G is a non-zero local Shintani function for  $(\xi, \Xi)$  in our sense. This implies that

(0.3) 
$$\dim_{\mathbf{C}} \operatorname{Sh}(\xi, \Xi) \ge 1$$

holds at least for a pair  $(\xi, \Xi)$  for which both of  $\pi_o$  and  $\pi$  are irreducible and generic. We note that our proof of the fact (0.3) in §4 is different from the above argument and applies for all the pairs  $(\xi, \Xi)$ , though our consideration is restricted to the case of class 1 representations.

The paper is organized as follows. In Part I, we study the local Shintani functions for GL(n). From §1 to §4, we consider the non-Archimedean case. In §1, after fixing notation, we introduce the notion of local Shintani functions for GL(n) and state the main result of Part I: the uniqueness and existence of local Shintani functions. The object of §2 is to study the structure of the coset space  $G_o(\mathfrak{o}_v) \setminus G(\mathfrak{o}_v) \setminus G(\mathfrak{o}_v)$ , which is crucial to the proof of the uniqueness. In §3, following the method of Shintani [Shin 1] and Kato [Ka], we study the system of difference equations satisfied by the values of Shintani functions. This enables us to reduce the proof of the uniqueness theorem (Theorem 3.1) to a certain integral formula proved in §6. In §4, we prove the existence theorem (Theorem 4.10) by giving an integral expression of Shintani functions. The local Shintani functions in the Archimedean case are defined and studied in §5. In this case, the uniqueness and existence theorems (in an appropriate form) have not yet been established. The aim of the next two sections is to show two integral formulas for local Shintani functions. The first one proved in §6 together with the results of §3 establishes the uniqueness theorem. Both formulas are later used to study certain global integrals of Rankin-Selberg type (cf. §9 and §11).

The theme of Part II is a global application of the local results of Part I. In §8, after recalling the notion of automorphic forms on GL(n), we define the global Shintani function  $W_{f,F}$  attached to (f,F), where f is an automorphic form on  $G_o = GL(n-1)$  with  $\int_{G_o,E\setminus G_{o,A}^1} |f(x)|^2 dx < \infty$  and F is a cusp form on G = GL(n). We also define a twisted global Shintani function, which is needed in the next section. The first global application of Shintani functions is given in §9. To be more precise, we let P and Q be the standard maximal parabolic subgroups of Q of types Q of types Q or espectively. Since Levi subgroups of Q are isomorphic to Q or Q are isomorphic to Q and Q are isomorphic to Q or Q or Q attached to Q or Q or Q or Q. The main result (Theorem 9.4) of §9 asserts that the integral

(0.4) 
$$\int_{Z_{A}G_{E}\backslash G_{A}} F(g)E^{*}(g; s_{1}; f; P)E^{*}(g; s_{2}; \mathbf{1}; Q)dg$$

is expressed essentially in terms of the standard L-function  $L(\tilde{F}; s)$  of  $\tilde{F}(g) = F({}^tg^{-1})$  and the tensor L-function  $L(f \otimes F; s)$  up to certain local factors at the infinite primes. This fact may be considered as an analog of Shimura's result on the Hecke L-functions for GL(2) (cf. [Shim, p. 799]). The proof is based on the first integral formula given in §6 and the fact that the integral (0.4) is equal to a certain integral of the (twisted) global Shintani function  $W_{f,F}(*;s)$  over  $N_A$ , where N is the unipotent radical of P. In the remaining part of the paper (§§10–11), we give another global application, which may be viewed as an analog of the results of our previous paper [M-S 2]. Let  $G_1 = GL(n+1)$  and embed G into  $G_1$  via

$$g \mapsto \begin{bmatrix} 1 & 0 \\ 0 & g \end{bmatrix}$$
.

Let  $P_1$  be the standard parabolic subgroup of  $G_1$  corresponding to the partition n+1=1+(n-1)+1. In §10, we study the orbit structure of  $P_1 \setminus G_1/G$ , which is needed in the proof of the basic identity in the next section. In §11, after recalling the definition of the normalized Eisenstein series  $\mathscr{E}^*(g_1; s, s'; f)$  ( $g_1 \in G_{1,A}$ ,  $s, s' \in C$ ) attached to f with respect to  $P_1$ , we prove the following results (Theorem 11.4):

(i) Let  $\{v_j\}$  be a sequence in  $C_c^{\infty}(\mathbf{R}_+^{\times})$  with  $0 < v_1(x) \le v_2(x) \le \cdots \le 1$  converging to the constant function 1. Assume that Re(s), Re(s') are sufficiently large. Then, as  $j \to \infty$ , the integral

$$\mathscr{Z}_{f,F}^*(s,s';v_j) = \int_{G_F \setminus G_A} F(g) \mathscr{E}^*(g;s,s';f) v_j(|\det g|_A) dg$$

absolutely converges to a value independent of the choice of  $\{v_i\}$ .

(ii) The limit is expressed in terms of the standard L-functions L(F; s),  $L(\tilde{F}; s)$  and the initial value  $W_{f,F}(1)$ .

The key of the proof is the second local integral formula proved in §7 and the basic identity (Proposition 11.6) asserting that  $\mathscr{Z}_{f,F}^*(s,s';v_j)$  is expressed as an integral of the (modified) global Shintani function over  $G_{o,A} \setminus G_A$ .

Recently S. Kato and the first named author have proved an explicit formula for Shintani functions on GL(n) in the non-Archimedean case. Details will appear in a forthcoming paper.

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NOTATION. We denote by  $diag(t_1, ..., t_n)$  the diagonal matrix with entries  $t_i$   $(1 \le i \le n)$ :

$$\operatorname{diag}(t_1,\ldots,t_n) = \begin{bmatrix} t_1 & 0 \\ & \ddots & \\ 0 & t_n \end{bmatrix}.$$

For a matrix A, we denote by  ${}^{t}A$  the transpose of A.

# Part I. Local theory.

## 1. Local Shintani functions.

1.1. Let E be a non-Archimedean local field with the integer ring  $\mathfrak{o} = \mathfrak{o}_E$ . We fix a prime element  $\pi$  of E and put  $q_E = \sharp(\mathfrak{o}/\pi\mathfrak{o})$ . We normalize the Haar measure dx on E by  $\int_{\mathfrak{o}} dx = 1$ . For  $a \in E^{\times}$ , put  $|a|_E = d(ax)/dx$ . Then  $|\pi|_E = q_E^{-1}$ . Define  $\operatorname{ord}_E : E^{\times} \to \mathbb{Z}$  by  $|a|_E = q_E^{-\operatorname{ord}_E(a)} \ (a \in E^{\times})$ . Throughout this paper, we normalize the Haar measure dg on GL(r, E) by  $\int_{GL(r, \mathfrak{o})} dg = 1$ . Fix an integer  $n \ge 2$  and put G = GL(n, E) and  $K = GL(n, \mathfrak{o})$ . Let B denote the subgroup of G consisting of upper triangular matrices. Let  $\delta_B$  be the module of B defined by

$$\delta_{B}(b) = \prod_{i=1}^{n} |t_{i}|_{E}^{n+1-2i} \quad \text{for} \quad b = \begin{bmatrix} t_{1} & * \\ & \ddots & \\ 0 & t_{n} \end{bmatrix} \in B.$$

Let  $T = \{ \operatorname{diag}(t_1, \ldots, t_n) \mid t_1, \ldots, t_n \in E^{\times} \}$  be a maximal split torus of G. The group of unramified characters of T is denoted by  $X_{\operatorname{unr}}(T)$ . For  $\Xi \in X_{\operatorname{unr}}(T)$ , let  $\Xi_i$  be the i-th component of  $\Xi$ :

$$\Xi(\operatorname{diag}(t_1,\ldots,t_n)) = \prod_{i=1}^n \Xi_i(t_i).$$

1.2. We recall several basic facts about the Hecke algebra  $\mathcal{H}_G = \mathcal{H}(G, K)$  (cf. [Ta]; see also [Sa]). By definition,  $\mathcal{H}_G$  is the *C*-algebra of compactly supported bi-*K*-invariant functions on *G*. Let  $\Xi \in X_{unr}(T)$  and extend it to a character of *B* in a natural way. Let  $\phi_{\Xi}$  be the function on *G* given by

(1.1) 
$$\phi_{\pi}(bk) = (\Xi \delta_{R}^{1/2})(b) \quad (b \in B, k \in K).$$

Define a C-algebra homomorphism  $\Xi^{\wedge}$  of  $\mathscr{H}_{G}$  to C by

(1.2) 
$$\Xi^{\wedge}(\Phi) = \int_{G} \Phi(g)\phi_{\Xi}(g)dg \qquad (\Phi \in \mathcal{H}_{G}).$$

Then  $\operatorname{Hom}_{\mathcal{C}}(\mathscr{H}_G, \mathcal{C}) = \{\Xi^{\wedge} \mid \Xi \in X_{\operatorname{unr}}(T)/W_G\}$ , where the Weyl group  $W_G = N_G(T)/T \cong \mathfrak{S}_n$  (the symmetric group of degree n) acts on  $X_{\operatorname{unr}}(T)$  in a natural manner. Furthermore, if F is a bi-K-invariant function on G and satisfies

(1.3) 
$$F * \Phi(g) := \int_{G} F(gy)\Phi(y)dy = \Xi^{\wedge}(\Phi) \cdot F(g) \qquad (g \in G)$$

for every  $\Phi \in \mathcal{H}_G$ , then we have  $F(g) = F(1) \cdot \Psi_{\Xi}(g)$ . Here  $\Psi_{\Xi}$  is the zonal spherical function on G attached to  $\Xi$  given by

(1.4) 
$$\Psi_{\mathcal{Z}}(g) = \int_{K} \phi_{\mathcal{Z}}(kg) dk.$$

It is well-known that

(1.5) 
$$\Psi_{\Xi}(g^{-1}) = \Psi_{\Xi^{-1}}(g)$$

(1.6) 
$$\int_{\mathcal{V}} \Psi_{\Xi}(gkg')dk = \Psi_{\Xi}(g)\Psi_{\Xi}(g') \qquad g, g' \in G.$$

1.3. Let  $G_o = GL(n-1, E)$  and  $K_o = GL(n-1, o)$ . We often regard  $G_o$  as a subgroup of G via the embedding

$$g_o \mapsto \begin{bmatrix} g_o & 0 \\ 0 & 1 \end{bmatrix}.$$

Let  $T_o$  be the group of diagonal matrices in  $G_o$ . For  $\xi \in X_{\text{unr}}(T_o)$ , we define  $\xi^{\wedge} \in \text{Hom}_{\mathbf{C}}(\mathscr{H}_{G_o}, \mathbf{C})$  in a manner similar to that in §1.2. For  $(\xi, \Xi) \in X_{\text{unr}}(T_o) \times X_{\text{unr}}(T)$ , let

(1.7) 
$$\operatorname{Sh}(\xi,\Xi) = \{ W \colon K_o \setminus G/K \to C \mid \varphi * W * \Phi = \xi^{\wedge}(\varphi)\Xi^{\wedge}(\Phi)W \quad (\varphi \in \mathcal{H}_{G_o}, \Phi \in \mathcal{H}_G) \}$$
 where

$$(\varphi * W * \Phi)(g) = \int_{G_{\alpha}} dx \int_{G} dy \, \varphi(x) W(x^{-1}gy) \Phi(y) .$$

We call  $Sh(\xi, \Xi)$  the space of Shintani functions attached to  $(\xi, \Xi)$ . Note that

$$(1.8) W\left(\begin{bmatrix} t'1_{n-1} & 0\\ 0 & 1 \end{bmatrix}g \cdot t1_n\right) = \omega^{-1}(t')\Omega(t)W(g) (t', t \in E^{\times}, g \in G),$$

where we put

(1.9) 
$$\omega = \xi_1 \cdots \xi_{n-1}, \qquad \Omega = \Xi_1 \cdots \Xi_n.$$

In particular, we have

(1.10) 
$$W\left(\begin{bmatrix} 1_{n-1} & 0 \\ 0 & t \end{bmatrix} g\right) = \Omega \omega(t) W(g) \qquad (t \in E^{\times}, g \in G).$$

We are now ready to state one of the main results of the paper.

1.4. THEOREM. For every  $(\xi, \Xi) \in X_{unr}(T_o) \times X_{unr}(T)$ , we have  $\dim_{\mathbb{C}} Sh(\xi, \Xi) = 1$ .

## 2. Coset decomposition.

2.1. Let  $Z_o = \{t1_{n-1} \mid t \in E^*\}$  and  $Z = \{t1_n \mid t \in E^*\}$  be the centers of  $G_o$  and G, respectively. In this section, we study the orbit structure of  $Z_oK_o \setminus G/ZK$ , which is crucial to the proof of the uniqueness of Shintani functions.

2.2. For 
$$(\lambda, \mu) = (\lambda_1, \dots, \lambda_{n-1}, \mu_1, \dots, \mu_{n-1}) \in \mathbb{Z}^{n-1} \times \mathbb{Z}^{n-1}$$
, we put

(2.1) 
$$g(\lambda, \mu) = \begin{bmatrix} \pi^{\lambda_1} & & & \\ & \ddots & & \\ & & \pi^{\lambda_{n-1}} & \\ & & & 1 \end{bmatrix} \begin{bmatrix} 1 & & \pi^{-\mu_1} \\ & \ddots & & \vdots \\ & & 1 & \pi^{-\mu_{n-1}} \\ & & & 1 \end{bmatrix} \in G.$$

Let

(2.2) 
$$\Lambda = \{ (\lambda, \mu) \in \mathbb{Z}^{n-1} \times \mathbb{Z}^{n-1} \mid \lambda_{n-1} = 0, \mu_1 \ge \dots \ge \mu_{n-1} \ge 0, \\ \lambda_1 - \mu_1 \ge \dots \ge \lambda_{n-1} - \mu_{n-1} \} .$$

Note that  $\lambda_1 \ge \cdots \ge \lambda_{n-1}$  if  $(\lambda, \mu) \in \Lambda$ . We endow  $\Lambda$  with the lexicographic order. The aim of this section is to show the following result.

#### 2.3. Proposition. We have

$$G = \coprod_{(\lambda,\mu) \in A} Z_o K_o \cdot g(\lambda,\mu) \cdot ZK \quad (disjoint \ union) .$$

PROOF. The assertion is easily verified in the case n=2, hence we assume  $n\geq 3$ . To simplify the notation, we write  $g_1\sim g_2$  if  $g_1\in Z_oK_o\cdot g_2\cdot ZK$ . Let g be an arbitrary element of G. By the Iwasawa decomposition for G and the Cartan decomposition for  $G_o$ , there exists  $(\lambda, \mu')\in Z^{n-1}\times Z^{n-1}$  with  $\lambda_1\geq \cdots \geq \lambda_{n-1}=0, \, \mu_i'\geq 0 \, (i=1,\ldots,n-1)$  such that  $g\sim g(\lambda,\mu')$ . We claim that  $g(\lambda,\mu')\sim g(\lambda,\mu)$  for some  $(\lambda,\mu)\in \Lambda$ . First assume that n=3. Let  $\mu'=(\mu'_1,\mu'_2)$ . If  $\mu'_1<\mu'_2$ , we have

$$g(\lambda, \mu') \sim \begin{bmatrix} 1 & \pi^{\lambda_1 - \lambda_2} & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} g(\lambda, \mu') \begin{bmatrix} 1 & -1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} \pi^{\lambda_1} & 0 & 0 \\ 0 & \pi^{\lambda_2} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & \pi^{-\mu'_1} + \pi^{-\mu'_2} \\ 0 & 1 & \pi^{-\mu'_2} \\ 0 & 0 & 1 \end{bmatrix} \sim g(\lambda_1, \lambda_2, \mu'_2, \mu'_2).$$

Hence we may assume  $\mu_1' \ge \mu_2' \ge 0$ . If  $\lambda_1 - \mu_1' < \lambda_2 - \mu_2'$ , we put  $\mu_1 = \mu_1'$  and  $\mu_2 = \mu_1' - \lambda_1 + \lambda_2 > \mu_2'$ . Then  $(\lambda, \mu) \in \Lambda$  and

$$g(\lambda, \mu') \sim \begin{bmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} g(\lambda, \mu') \begin{bmatrix} 1 & 0 & 0 \\ -\pi^{\lambda_1 - \lambda_2} & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} \pi^{\lambda_1} & 0 & 0 \\ 0 & \pi^{\lambda_2} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & \pi^{-\mu_1} \\ 0 & 1 & \pi^{-\mu'_2} + \pi^{-\mu_2} \\ 0 & 0 & 1 \end{bmatrix} \sim g(\lambda, \mu),$$

which proves the claim in this case. We can prove the claim for  $n \ge 4$  by repeating the above argument.

To prove the disjointness, let  $(\lambda, \mu)$ ,  $(\lambda', \mu') \in \Lambda$  and suppose that  $g(\lambda, \mu) \sim g(\lambda', \mu')$  and  $(\lambda, \mu) < (\lambda', \mu')$ . It is obvious that  $\lambda = \lambda'$ . Let  $\lambda = (\alpha_1, \ldots, \alpha_1, \ldots, \alpha_r, \ldots, \alpha_r)$ , where  $\alpha_1 > \cdots > \alpha_r = 0$  and each  $\alpha_i$  appears  $n_i$  times  $(n_1 + \cdots + n_r = n - 1)$ . Then we have  $\mu = (\beta_1, \ldots, \beta_1, \ldots, \beta_r, \ldots, \beta_r)$  and  $\mu' = (\beta'_1, \ldots, \beta'_1, \ldots, \beta'_r, \ldots, \beta'_r)$ , where each of  $\beta_i$  and  $\beta'_i$  appears  $n_i$  times  $(1 \le i \le r)$  and

(2.3) 
$$\beta_1 \ge \cdots \ge \beta_r \ge 0, \quad \alpha_1 - \beta_1 \ge \cdots \ge \alpha_r - \beta_r$$
$$\beta_1' \ge \cdots \ge \beta_r' \ge 0, \quad \alpha_1 - \beta_1' \ge \cdots \ge \alpha_r - \beta_r'$$

We put

$$\Pi_{\lambda} = \left[ \begin{array}{ccc} \pi^{\lambda_1} & & \\ & \ddots & \\ & & \pi^{\lambda_{n-1}} \end{array} \right] = \left[ \begin{array}{ccc} \pi^{\alpha_1} \cdot 1_{n_1} & & \\ & \ddots & \\ & & \pi^{\alpha_r} \cdot 1_{n_r} \end{array} \right].$$

By the assumption, there exist  $k_o, k'_o \in K_o$  and  $X \in \mathfrak{o}^{n-1}$  such that

$$g(\lambda, \mu) \begin{bmatrix} k_o & X \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} k'_o & 0 \\ 0 & 1 \end{bmatrix} g(\lambda, \mu') .$$

Then we have

$$(2.4) \Pi_{\lambda} k_o = k_o' \Pi_{\lambda}$$

(2.5) 
$$\Pi_{\lambda} \left( \begin{bmatrix} \pi^{-\mu_{1}} \\ \vdots \\ \pi^{-\mu_{n-1}} \end{bmatrix} + X \right) = k'_{o} \Pi_{\lambda} \begin{bmatrix} \pi^{-\mu'_{1}} \\ \vdots \\ \pi^{-\mu'_{n-1}} \end{bmatrix}$$

and hence

(2.6) 
$$\begin{bmatrix} \pi^{-\mu_1} \\ \vdots \\ \pi^{-\mu_{n-1}} \end{bmatrix} \equiv k_o \begin{bmatrix} \pi^{-\mu'_1} \\ \vdots \\ \pi^{-\mu'_{n-1}} \end{bmatrix} \pmod{\mathfrak{o}^{n-1}}.$$

Let  $k_o = (\kappa_{ij})_{1 \le i,j \le r}$   $(\kappa_{ij} \in M_{n_i,n_j}(\mathfrak{o}))$  be the block decomposition of  $k_o$  according to the partition  $n-1=n_1+\cdots+n_r$ . By (2.4),

(2.7) 
$$\kappa_{ii} \in GL_{n_i}(\mathfrak{o}) \quad \text{and} \quad \kappa_{ij} \in \pi^{-\alpha_i + \alpha_j} M_{n_i, n_j}(\mathfrak{o}) \quad \text{if} \quad i > j.$$

By the assumption  $(\lambda, \mu) < (\lambda, \mu')$ , there exist integers c and  $d (1 \le c \le d \le r)$  such that

(2.8) 
$$\beta'_i = \beta_i \ (1 \le i \le c - 1), \quad \beta'_c > \beta_c \quad \text{and} \quad \beta'_c = \cdots = \beta'_d > \beta'_{d+1}.$$

For j  $(1 \le j \le r)$ , put  $\varepsilon_i = {}^t(1, 1, \dots, 1) \in \mathfrak{o}^{n_j}$ . The congruence (2.6) implies

(2.9) 
$$\sum_{j=1}^{r} \kappa_{dj} \cdot \varepsilon_{j} \cdot \pi^{-\beta'_{j}} \equiv \varepsilon_{d} \cdot \pi^{-\beta_{d}} \pmod{\mathfrak{o}^{n_{d}}}.$$

Put  $\eta_j = \kappa_{dj} \cdot \varepsilon_j \cdot \pi^{\beta'_d - \beta'_j}$   $(1 \le j \le r)$ . By (2.3) and (2.7), we have  $\eta_j \in \mathfrak{o}^{n_d}$ . Since  $\beta'_d > \beta_d \ge 0$ , we have  $\sum_{j=1}^r \eta_j \equiv \varepsilon_d \cdot \pi^{\beta'_d - \beta_d} \equiv 0 \pmod{(\pi \mathfrak{o})^{n_d}}$  by (2.9). Observe that  $\eta_j \in (\pi \mathfrak{o})^{n_d}$  if  $c \le j < d$  or if j > d. Since  $\eta_d = \kappa_{dd} \cdot \varepsilon_d \notin (\pi \mathfrak{o})^{n_d}$ , there exists an integer i  $(1 \le i \le c - 1)$  such that  $\eta_i \notin (\pi \mathfrak{o})^{n_d}$ . It follows that  $\alpha_i - \alpha_d + \beta'_d - \beta'_i = 0$ . Since  $\alpha_i - \alpha_d + \beta_d - \beta_i \ge 0$ , we have  $(\beta_d - \beta_i) - (\beta'_d - \beta'_i) \ge 0$ , which contradicts (2.8).

## 3. Uniqueness of Shintani functions.

3.1. Throughout this section, we keep the notation of §§ 1–2. Let  $\xi \in X_{unr}(T_o)$  and  $\Xi \in X_{unr}(T)$ . In this section we prove:

THEOREM. Let  $W \in Sh(\xi, \Xi)$ . If W(1) = 0, then W is identically equal to zero. In particular, we have  $\dim_{\mathcal{C}} Sh(\xi, \Xi) \leq 1$ .

- 3.2. For  $W \in \operatorname{Sh}(\xi, \Xi)$  and  $(\lambda, \mu) \in \Lambda$ , we write  $W(\lambda, \mu)$  for  $W(g(\lambda, \mu))$  to simplify notation. Let  $\Lambda(\lambda, \mu)$  be the set of  $(\lambda', \mu') \in \Lambda$  with  $(\lambda', \mu') < (\lambda, \mu)$ . We denote by  $C[\xi, \xi^{-1}, \Xi, \Xi^{-1}]$  the C-algebra of polynomial functions in  $\xi_i(\pi)$ ,  $\xi_i^{-1}(\pi)$ ,  $\xi_j^{-1}(\pi)$  ( $1 \le i \le n-1$ ,  $1 \le j \le n$ ). In view of Proposition 2.3, the proof of Theorem 3.1 is reduced to the following:
  - 3.3. Proposition. Let  $W \in Sh(\xi, \Xi)$ . For any  $(\lambda, \mu) \in \Lambda$ , we have

(3.1) 
$$W(\lambda, \mu) = \sum_{(\lambda', \mu')} c_{\lambda', \mu'}(\xi, \Xi) W(\lambda', \mu'),$$

where  $(\lambda', \mu')$  runs over the set  $\Lambda(\lambda, \mu)$  and  $c_{\lambda', \mu'}(\xi, \Xi)$  is an element of  $C[\xi, \xi^{-1}, \Xi, \Xi^{-1}]$  depending only on  $(\lambda', \mu')$ .

- 3.4. COROLLARY. Let  $W \in Sh(\xi, \Xi)$  with W(1) = 1. Then the value  $W(\lambda, \mu)$  belongs to  $C[\xi, \xi^{-1}, \Xi, \Xi^{-1}]$  for any  $(\lambda, \mu) \in \Lambda$ .
  - 3.5. To prove Proposition 3.3, we need preparations. Let

$$N_o = \left\{ \begin{bmatrix} 1 & * \\ & \ddots & \\ 0 & 1 \end{bmatrix} \in G_o \right\}, \quad N_o' = \left\{ \begin{bmatrix} 1 & 0 \\ & \ddots & \\ * & 1 \end{bmatrix} \in G_o \right\}, \quad \Pi_\alpha = \begin{bmatrix} \pi^{\alpha_1} & 0 \\ & \ddots & \\ 0 & \pi^{\alpha_{n-1}} \end{bmatrix} \in G_o$$

for  $\alpha = (\alpha_1, \dots, \alpha_{n-1}) \in \mathbb{Z}^{n-1}$ . Let  $I = \{0, 1\}$  and put  $I(d) = \{\varepsilon = (\varepsilon_1, \dots, \varepsilon_{n-1}) \in I^{n-1} \mid \varepsilon_1 + \dots + \varepsilon_{n-1} = d\}$  for  $d (0 \le d \le n-1)$ . For  $\varepsilon \in I^{n-1}$ , put  $N_o(\varepsilon) = \{v = (v_{ij}) \in N_o(\varepsilon) \mid \text{ for } i, j \ (1 \le i < j \le n-1), \ v_{ij} \in o/\pi \varepsilon$  if  $\varepsilon_i > \varepsilon_j$  and  $v_{ij} = 0$  otherwise} and  $N'_o(\varepsilon) = \{v' = (v'_{ij}) \in N'_o(\varepsilon) \mid \text{ for } i, j \ (1 \le j < i \le n-1), \ v'_{ij} \in o/\pi \varepsilon$  if  $\varepsilon_i < \varepsilon_j$  and  $v'_{ij} = 0$  otherwise}. Put  $L = \varepsilon^{n-1}$  (the set of column vectors of size n-1). The following fact is easily verified.

3.6. LEMMA. (i) For d with  $0 \le d \le n-1$ , we have

$$K_o \cdot \begin{bmatrix} \pi 1_d & 0 \\ 0 & 1_{n-1-d} \end{bmatrix} \cdot K_o = \coprod_{\varepsilon \in I(d)} \coprod_{v' \in N_o'(\varepsilon)} K_o \cdot \Pi_{\varepsilon} v' \quad (disjoint \ union).$$

(ii) For d with  $0 \le d \le n$ , we have

$$K \cdot \begin{bmatrix} \pi 1_d & 0 \\ 0 & 1_{n-d} \end{bmatrix} \cdot K = \coprod_{\varepsilon \in I(d)} \coprod_{v \in N_o(\varepsilon)} \coprod_{y \in L/\Pi_\varepsilon L} \begin{bmatrix} v & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1_{n-1} & y \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \Pi_\varepsilon & 0 \\ 0 & 1 \end{bmatrix} K$$

$$\cup \coprod_{\varepsilon \in I(d-1)} \coprod_{v \in N_o(\varepsilon)} \begin{bmatrix} v & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \Pi_\varepsilon & 0 \\ 0 & \pi \end{bmatrix} K \quad (disjoint \ union) .$$

3.7. For d ( $1 \le d \le n-1$ ), we denote by  $\varphi_d$  (resp.  $\Phi_d$ ) the characteristic function of

$$K_o \begin{bmatrix} \pi 1_d & 0 \\ 0 & 1_{n-1-d} \end{bmatrix} K_o \quad \left( \text{resp. } K \begin{bmatrix} \pi 1_d & 0 \\ 0 & 1_{n-d} \end{bmatrix} K \right).$$

For d with  $1 \le d \le n-2$ , we set

$$\Lambda^{+}(d) = \{ (\lambda, \mu) \in \Lambda \mid \lambda_{1} = \dots = \lambda_{d} > \lambda_{d+1}, \lambda_{d} - \mu_{d} = \lambda_{d+1} - \mu_{d+1} \} ,$$
  
$$\Lambda^{-}(d) = \{ (\lambda, \mu) \in \Lambda \mid \lambda_{1} = \dots = \lambda_{d} > \lambda_{d+1}, \lambda_{d} - \mu_{d} > \lambda_{d+1} - \mu_{d+1} \} ,$$

and

$$\Lambda(n-1) = \{(\lambda, \mu) \in \Lambda \mid \lambda_1 = \dots = \lambda_{n-1} = 0, \ \mu_1 = \dots = \mu_{n-1} \}.$$

Then  $\Lambda = \bigcup_{1 \le d \le n-2} (\Lambda^+(d) \cup \Lambda^-(d)) \cup \Lambda(n-1)$  (disjoint union). Put  $x_{\mu} = {}^t(\pi^{-\mu_1}, \ldots, \pi^{-\mu_{n-1}}) \in E^{n-1}$  for  $\mu = (\mu_1, \ldots, \mu_{n-1}) \in \mathbb{Z}^{n-1}$ .

3.8. THE PROOF OF PROPOSITION 3.3. Let  $W \in \operatorname{Sh}(\xi, \Xi)$ . First let  $(\lambda, \mu) \in \Lambda^+(d)$ . Note that  $\mu_d > \mu_{d+1}$ . Put  $\lambda' = (\lambda_1 - 1, \dots, \lambda_d - 1, \lambda_{d+1}, \dots, \lambda_{n-1})$  and  $\mu' = (\mu_1 - 1, \dots, \mu_{d-1}, \mu_{d+1}, \dots, \mu_{n-1})$ . Then  $(\lambda', \mu') \in \Lambda(\lambda, \mu)$ . By Lemma 3.6 and (1.10), we have

$$\Xi^{\wedge}(\Phi_d)\cdot W(\lambda',\mu')\!=\!(W\!*\!\Phi_d)(g(\lambda',\mu'))$$

$$\begin{split} &= \sum_{\varepsilon \in I(d)} \sum_{v \in N_o(\varepsilon)} \sum_{y \in L/\Pi_\varepsilon L} W \begin{pmatrix} \begin{bmatrix} \Pi_{\lambda'} & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1_{n-1} & x_{\mu'} \\ 0 & 1 \end{bmatrix} \begin{bmatrix} v & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1_{n-1} & y \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \Pi_\varepsilon & 0 \\ 0 & 1 \end{bmatrix} \end{pmatrix} \\ &+ \sum_{\varepsilon \in I(d-1)} \sum_{v \in N_o(\varepsilon)} W \begin{pmatrix} \begin{bmatrix} \Pi_{\lambda'} & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1_{n-1} & x_{\mu'} \\ 0 & 1 \end{bmatrix} \begin{bmatrix} v & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \Pi_\varepsilon & 0 \\ 0 & \pi \end{bmatrix} \end{pmatrix} \\ &= \sum_{\varepsilon \in I(d)} \sum_{v \in N_o(\varepsilon)} \sum_{y \in L/\Pi_\varepsilon L} W \begin{pmatrix} \begin{bmatrix} \Pi_{\lambda'+\varepsilon} & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1_{n-1} & \Pi_\varepsilon^{-1}(v^{-1}x_{\mu'}+y) \\ 0 & 1 \end{bmatrix} \\ &+ \sum_{\varepsilon \in I(d-1)} \sum_{v \in N_o(\varepsilon)} \Omega \omega(\pi) \cdot W \begin{pmatrix} \begin{bmatrix} \Pi_{\lambda'+\varepsilon} & 0 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} 1_{n-1} & \pi \cdot \Pi_\varepsilon^{-1}v^{-1}x_{\mu'} \\ 0 & 1 \end{bmatrix} \end{pmatrix} \end{split}$$

(note that  $\Pi_{\lambda'} v \Pi_{\lambda'}^{-1} \in K_o$  for  $v \in N_o(\mathfrak{o})$ ). Observe that  $\Pi_{\varepsilon}^{-1}(v^{-1}x_{\mu'} + y) \in \Pi_{\mu'+\varepsilon}^{-1}L$  for  $v \in N_o(\mathfrak{o})$  and  $y \in L$ . We put  $\varepsilon^d = (1^{(d)}, 0^{(n-1-d)}) \in I^{n-1}$ , where  $1^{(d)} = (1, \ldots, 1)$  (1 repeated d times)

and  $0^{(n-1-d)} = (0, ..., 0)$  (0 repeated n-1-d times). Then we have  $\lambda' + \varepsilon^d = \lambda$  and  $\Pi_{\varepsilon^d}^{-1} x_{u'} = x_u$ . It follows that

$$\Xi^{\wedge}(\Phi_d)\cdot W(\lambda',\mu') = a(\lambda,\mu)W(\lambda,\mu) + \sum_{(\lambda'',\mu'')} a(\lambda,\mu,\lambda'',\mu'')W(\lambda'',\mu''),$$

where  $(\lambda'', \mu'')$  runs over the set  $\Lambda(\lambda, \mu)$ , and  $a(\lambda, \mu)$  (resp.  $a(\lambda, \mu, \lambda'', \mu'')$ ) is an element of  $C^{\times}$  (resp.  $C[\xi, \xi^{-1}, \Xi, \Xi^{-1}]$ ) depending only on  $(\lambda, \mu)$  (resp.  $(\lambda, \mu, \lambda'', \mu'')$ ). Since  $\Xi^{\wedge}(\Phi_d) \in C[\Xi, \Xi^{-1}]$ , we have proved (3.1) for  $(\lambda, \mu) \in \Lambda^+(d)$ .

Next let  $(\lambda, \mu) \in \Lambda^-(d)$  and put  $\lambda' = (\lambda_1 - 1, \dots, \lambda_d - 1, \lambda_{d+1}, \dots, \lambda_{n-1})$  and  $\mu' = \mu$ . Then  $(\lambda', \mu') \in \Lambda(\lambda, \mu)$ . By Lemma 3.6, we have

$$\begin{split} \omega^{-1}(\pi)\xi^{\wedge}(\varphi_{n-1-d})\cdot W(\lambda',\mu') &= \int_{G_o} \varphi_d(x)W(x\cdot g(\lambda',\mu))dx \\ &= \sum_{\varepsilon\in I(d)} \sum_{v'\in N_o'(\varepsilon)} W\left(\begin{bmatrix} \Pi_{\varepsilon}v' & 0 \\ 0 & 1 \end{bmatrix}\begin{bmatrix} \Pi_{\lambda'} & 0 \\ 0 & 1 \end{bmatrix}\begin{bmatrix} 1_{n-1} & x_{\mu} \\ 0 & 1 \end{bmatrix}\right) \\ &= \sum_{\varepsilon\in I(d)} \sum_{v'\in N_o'(\varepsilon)} W\left(\begin{bmatrix} \Pi_{\lambda'+\varepsilon} & 0 \\ 0 & 1 \end{bmatrix}\begin{bmatrix} 1_{n-1} & \Pi_{\lambda'}^{-1}v'\Pi_{\lambda'}\cdot x_{\mu} \\ 0 & 1 \end{bmatrix}\right) \end{split}$$

(note that  $\Pi_{\lambda'}^{-1}v'\Pi_{\lambda'} \in K_o$  for  $v' \in N_o'(\mathfrak{o})$ ). Since  $\lambda_j - \lambda_i - \mu_j \ge -\mu_i$  for  $j \le i$ , we see that  $(\Pi_{\lambda'}^{-1}v'\Pi_{\lambda'}x_{\mu})_i = \sum_{j < i} \pi^{\lambda_j - \lambda_i - \mu_j} \cdot v'_{ij} + \pi^{-\mu_i} \in \pi^{-\mu_i}\mathfrak{o}$ . By an argument similar to that above, we have

$$\omega^{-1}(\pi)\xi^{\wedge}(\varphi_{n-1-d})\cdot W(\lambda',\mu') = b(\lambda,\mu)W(\lambda,\mu) + \sum_{(\lambda'',\mu'')}b(\lambda,\mu,\lambda'',\mu'')W(\lambda'',\mu''),$$

where  $(\lambda'', \mu'')$  runs over the set of  $\Lambda(\lambda, \mu)$ , and  $b(\lambda, \mu)$  (resp.  $b(\lambda, \mu, \lambda'', \mu'')$ ) is an element of  $\mathbb{C}^{\times}$  (resp.  $\mathbb{C}[\xi, \xi^{-1}, \Xi, \Xi^{-1}]$ ) depending only on  $(\lambda, \mu)$  (resp.  $(\lambda, \mu, \lambda'', \mu'')$ ). Since  $\xi^{\wedge}(\varphi_{n-1-d}) \in \mathbb{C}[\xi, \xi^{-1}]$ , we have proved (3.1) for  $(\lambda, \mu) \in \Lambda^{-1}(d)$ .

We postpone the proof of (3.1) for  $(\lambda, \mu) \in \Lambda(n-1)$  until §6 (see the remark after Theorem 6.4).

#### 4. Existence of Shintani functions.

4.1. In this section, we prove the existence of Shintani functions by using an integral expression. We keep the notation of the preceding sections. Let  $w_{\tau}$  be the permutation matrix corresponding to  $\tau \in \mathfrak{S}_n$  (the symmetric group of degree n). We write  $w_t$  for

$$w_{\tau_l} = \left[ \begin{array}{cc} 0 & 1 \\ & \ddots & \\ 1 & 0 \end{array} \right],$$

where  $\tau_l$  is the longest element of  $\mathfrak{S}_n$ . Let B (resp.  $B_o$ ) be the subgroup of G (resp.  $G_o$ ) consisting of upper triangular matrices. Let N (resp.  $N_o$ ) be the unipotent radical of B

(resp.  $B_o$ ), and put  $N' = \{{}^t n \mid n \in N\}$ ,  $N'_o = \{{}^t n_o \mid n_o \in N_o\}$ . Denote by T (resp.  $T_o$ ) the group of diagonal matrices in G (resp.  $G_o$ ). The following result is a direct consequence of the Bruhat decomposition for G.

4.2. LEMMA. We have

$$G = \bigcup_{\tau \in \mathfrak{S}_n} \bigcup_{\eta \in \{0,1\}^{n-1}} B_o \cdot \begin{bmatrix} 1_{n-1} & \eta \\ 0 & 1 \end{bmatrix} w_{\tau} \cdot B.$$

REMARK. The decomposition is not a disjoint union in general.

4.3. For  $g = (g_{ij}) \in G$  and for  $i_1, \ldots, i_r, j_1, \ldots, j_r$   $(1 \le i_1 < \cdots < i_r \le n, 1 \le j_1 < \cdots < j_r \le n)$ , put  $\Delta_{i_1 \cdots i_r, j_1 \cdots j_r}(g) = \det(g_{i_k, j_1})_{1 \le k, l \le r}$ . We define

(4.1) 
$$\alpha_i(g) = \Delta_{1 \cdots i, 1 \cdots i}(w_l g) \qquad (1 \le i \le n)$$
$$\beta_j(g) = \Delta_{2 \cdots j+1, 1 \cdots j}(w_l g) \qquad (1 \le j \le n-1)$$

and make a convention that  $\alpha_0(g) = 1$  and  $\beta_0(g) = -1$ . Note that  $\alpha_n(g) = (-1)^{\lfloor n/2 \rfloor} \det g \neq 0$ , where  $\lfloor n/2 \rfloor$  is an integer satisfying  $0 \leq n/2 - \lfloor n/2 \rfloor < 1$ . Put

$$g_{l} = \begin{bmatrix} 1 & 0 & 1 \\ & \ddots & \vdots \\ 0 & & 1 & 1 \\ 0 & \cdots & 0 & 1 \end{bmatrix} w_{l}.$$

- 4.4. LEMMA. (i)  $g \in B_0 g_1 B$  if and only if  $\alpha_i(g) \neq 0$ ,  $\beta_i(g) \neq 0$   $(1 \leq i \leq n-1)$ .
- (ii) Let  $g \in B_0 g_1 B$  and write

(4.2) 
$$g = \begin{bmatrix} t'_1 & * & 0 \\ & \ddots & & \vdots \\ 0 & t'_{n-1} & 0 \\ 0 & \cdots & 0 & 1 \end{bmatrix} g_l \begin{bmatrix} t_1 & * \\ & \ddots & \\ 0 & & t_n \end{bmatrix}.$$

Then the  $t_i'$ ,  $t_i \in E^{\times}$  are uniquely determined by g and given as follows:

$$t_i' = (-1)^{n-i+1} \frac{\beta_{n-i}(g)}{\alpha_{n-i}(g)} \quad (1 \le i \le n-1) , \qquad t_j = (-1)^j \frac{\alpha_j(g)}{\beta_{i-1}(g)} \quad (1 \le j \le n) .$$

PROOF. First note that  $B_o g_l B \subset B w_l B$ . It is well-known that g belongs to  $B w_l B$  if and only if  $\alpha_1(g) \cdots \alpha_{n-1}(g) \neq 0$ . Let g be an element of  $B w_l B$  and write

$$g = \begin{bmatrix} v & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1_{n-1} & x \\ 0 & 1 \end{bmatrix} w_l b ,$$

where  $v \in N_o$ ,  $x = {}^t(x_1, \ldots, x_{n-1}) \in E^{n-1}$  and  $b \in B$ . It is easily verified that  $g \in B_o g_1 B$  if and only if  $x_1 \cdots x_{n-1} \neq 0$ . Since

$$w_l g = \begin{bmatrix} 1 & 0 \\ 0 & v' \end{bmatrix} \begin{bmatrix} 1 & 0 \\ x' & 1_{n-1} \end{bmatrix} b$$

with some  $v' \in N'_o$  and  $x' = {}^t(x_{n-1}, \ldots, x_1)$ , we obtain

(4.3) 
$$\alpha_i(g) = y_1 \cdots y_i, \quad \beta_i(g) = (-1)^{i+1} x_{n-i} y_1 \cdots y_i,$$

where  $y_i$  is the *i*-th diagonal component of b  $(1 \le i \le n)$ . This implies that  $x_1 \cdots x_{n-1} \ne 0$  if and only if  $\beta_1(g) \cdots \beta_{n-1}(g) \ne 0$ , which proves the first assertion (i). To prove the second one, let

$$g = \begin{bmatrix} t'_1 & * & 0 \\ & \ddots & & \vdots \\ 0 & t'_{n-1} & 0 \\ 0 & \cdots & 0 & 1 \end{bmatrix} g_l \begin{bmatrix} t_1 & * \\ & \ddots & \\ 0 & t_n \end{bmatrix} \in B_o g_l B.$$

Then we have

$$g = \begin{bmatrix} v & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & t_1' \\ & \ddots & \vdots \\ 0 & 1 & t_{n-1}' \\ 0 & \cdots & 0 & 1 \end{bmatrix} w_l \begin{bmatrix} t_1 & & * \\ & t_{n-1}'t_2 & & \\ & & \ddots & \\ 0 & & & t_1't_n \end{bmatrix}$$

with  $v \in N_o$ . By (4.3), we get  $\alpha_i(g) = t_1 \cdot \prod_{k=2}^i t'_{n+1-k} t_k$  and  $\beta_i(g) = (-1)^{i+1} t'_{n-i} \alpha_i(g)$ , which proves the assertion (ii).

- 4.5. Let  $\delta_{B_o}$  and  $\delta_B$  be the modules of  $B_o$  and B, respectively (see, §1.1). For  $\xi \in X_{\text{unr}}(T_o)$  and  $\Xi \in X_{\text{unr}}(T)$ , let  $Y_{\xi,\Xi}$  be a function on G satisfying the following three conditions:
- (4.4) The support of  $Y_{E,E}$  is  $B_0g_1B$ .
- $(4.5) \hspace{1cm} Y_{\xi,\Xi}(b_ogb) = (\xi^{-1}\delta_{B_o}^{1/2})(b_o)(\Xi\delta_B^{-1/2})(b)Y_{\xi,\Xi}(g) \hspace{0.3cm} (b_o \in B_o, \, b \in B, \, g \in G) \; .$
- (4.6)  $Y_{\varepsilon,\Xi}(g_1) = 1$ .
  - 4.6. LEMMA. For  $g \in B_0 g_1 B$ , we have

$$Y_{\xi,\Xi}(g) = (\Xi_n | |_E^{(n-1)/2})(\det g) \cdot \prod_{i=1}^{n-1} (\xi_{n-i}\Xi_i | |_E^{-1/2})(\alpha_i(g))(\xi_{n-i}^{-1}\Xi_{i+1}^{-1} | |_E^{-1/2})(\beta_i(g)).$$

PROOF. This follows from Lemma 4.4 (ii).

4.7. COROLLARY. Assume that  $(\xi, \Xi)$  satisfies

$$(4.7) |(\xi_{n-i}\Xi_i)(\pi)|_E < q_E^{-1/2} \quad and \quad |(\xi_{n-i}^{-1}\Xi_{i+1}^{-1})(\pi)|_E < q_E^{-1/2} \quad (1 \le i \le n-1).$$

Then  $Y_{\xi,\Xi}$  is continuous on G.

4.8. For  $(\xi, \Xi) \in X_{unr}(T_o) \times X_{unr}(T)$ , we set

$$(4.8) W_{\xi,\Xi}(g) = \int_{K_o} dk \, Y_{\xi,\Xi}(k_o g k) .$$

If  $(\xi, \Xi) \in X_{unr}(T_o) \times X_{unr}(T)$  satisfies (4.7), the integral (4.8) is absolutely convergent by Corollary 4.7.

4.9. LEMMA. If 
$$(\xi, \Xi) \in X_{unr}(T_o) \times X_{unr}(T)$$
 satisfies (4.7), we have  $W_{\xi,\Xi} \in Sh(\xi,\Xi)$ .

PROOF. For simplicity, we write Y and W for  $Y_{\xi,\Xi}$  and  $W_{\xi,\Xi}$ . Let  $\varphi \in \mathcal{H}_{G_o}$ . Then we have

$$(\varphi * W)(g) = \int_{G_o} dx \int_{K_o} dk_o \int_{K} dk \, \varphi(x) Y(k_o x^{-1} g k) = \int_{G_o} dx \int_{K} dk \, \varphi(x) Y(x^{-1} g k) .$$

Decompose  $x \in G_o$  into  $k_o^{-1}b_o$   $(k_o \in K_o, b_o \in B_o)$ . Then  $dx = \delta_{B_o}(b_o)d_lb_odk_o$ , where  $d_lb_o$  is a left invariant measure on  $B_o$ . Then

$$\begin{split} (\varphi * W)(g) &= \int_{B_o} d_l b_o \int_{K_o} dk_o \int_{K} dk \; \varphi(b_o) \, Y(b_o^{-1} k_o g k) \delta_{B_o}(b_o) \\ &= \int_{B_o} \varphi(b_o) (\xi \delta_{B_o}^{1/2})(b_o) d_l b_o \int_{K_o} dk_o \int_{K} dk \, Y(k_o g k) = \xi^{\wedge}(\varphi) \cdot W(g) \; . \end{split}$$

The equality  $W * \Phi = \Xi^{\wedge}(\Phi)W$  for  $\Phi \in \mathcal{H}_G$  is proved in a similar way. q.e.d.

4.10. We can now prove the existence of Shintani functions, which completes the proof of Theorem 1.4. The proof was suggested to us by Fumihiro Sato.

THEOREM. For every  $(\xi, \Xi) \in X_{unr}(T_o) \times X_{unr}(T)$ , there exists a  $W \in Sh(\xi, \Xi)$  with W(1) = 1.

PROOF. Let  $X_o$  be the set of  $(\xi, \Xi) \in X_{\mathrm{unr}}(T_o) \times X_{\mathrm{unr}}(T)$  satisfying (4.7) and  $\xi_i(\pi)$ ,  $\Xi_j(\pi) > 0$   $(1 \le i \le n-1, 1 \le j \le n)$ . Then  $X_o$  is a (2n-1)-dimensional real submanifold of the complex manifold  $X_{\mathrm{unr}}(T_o) \times X_{\mathrm{unr}}(T)$ . Let  $(\xi, \Xi) \in X_o$ . Since  $Y_{\xi,\Xi}$  is positive on an open dense subset  $B_o g_1 B$  of G, we have  $W_{\xi,\Xi}(1) > 0$ . By Lemma 4.9,  $W_{\xi,\Xi}^{\sim}(g) = W_{\xi,\Xi}(g) / W_{\xi,\Xi}(1) \in \mathrm{Sh}(\xi,\Xi)$ . It follows from Corollary 3.4 that  $W_{\xi,\Xi}^{\sim}(g)$  is a polynomial function in  $\xi_1^{\pm 1}(\pi), \ldots, \xi_{n-1}^{\pm 1}(\pi), \ldots, \Xi_n^{\pm 1}(\pi)$  for each  $g \in G$ . This implies that, for each  $g \in G$ , the function  $(\xi,\Xi) \mapsto W_{\xi,\Xi}^{\sim}(g)$  on  $X_o$  can be continued to a holomorphic function on  $X_{\mathrm{unr}}(T_o) \times X_{\mathrm{unr}}(T)$ . By analytic continuation, we see that  $W_{\xi,\Xi}^{\sim}(1) = 1$  and  $W_{\xi,\Xi}^{\sim} \in \mathrm{Sh}(\xi,\Xi)$  for  $(\xi,\Xi) \in X_{\mathrm{unr}}(T_o) \times X_{\mathrm{unr}}(T)$ , which completes the proof of the theorem.

q.e.d.

## 5. Shintani functions at the infinite primes.

5.1. In this section, we let  $E = \mathbf{R}$  or  $\mathbf{C}$ . We normalize the Haar measure dx on E

as follows:

$$dx = \begin{cases} \text{the usual Lebesgue measure} & \text{if } E = R \\ 2d(\operatorname{Re} x)d(\operatorname{Im} x) & \text{if } E = C. \end{cases}$$

For  $a \in E^{\times}$ , put  $|a|_{E} = d(ax)/dx$ . Let G = GL(n, E) and

$$K = \begin{cases} O(n, R) & \text{if } E = R \\ U(n) & \text{if } E = C \end{cases}$$

The Haar measure dk on K is always normalized so that the total measure of K is equal to 1. We normalize the Haar measure dg on G by

$$\int_{G} f(g)dg = \int_{N} dn \int_{(E^{\times})^{n}} d^{\times} t_{1} \cdots d^{\times} t_{n} \int_{K} dk f\left(n \begin{bmatrix} t_{1} & & \\ & \ddots & \\ & & t_{n} \end{bmatrix} k\right) \prod_{i=1}^{n} |t_{i}|_{E}^{-n+2i-1}$$

for  $f \in C_c^{\infty}(G)$ , where  $dn = \prod_{i < j} dn_{ij}$  is the Haar measure on  $N = \{n = (n_{ij}) \in G \mid n_{ii} = 1, n_{ij} = 0 \}$  if  $i > j\}$ . Let Lie(G) be the Lie algebra of G and  $\mathcal{U}_G$  the universal enveloping algebra of Lie(G)  $\otimes_R C$ . We denote by  $\mathscr{L}_G$  the center of  $\mathscr{U}_G$ . For  $X \in \text{Lie}(G)$  and  $f \in C^{\infty}(G)$ , put

(5.1) 
$$R_X f(g) = \frac{d}{dt} f(g \cdot \exp(tX)) \bigg|_{t=0}, \qquad L_X f(g) = \frac{d}{dt} f(\exp(-tX) \cdot g) \bigg|_{t=0}.$$

These actions of Lie(G) on  $C^{\infty}(G)$  extend to those of  $\mathcal{U}_G$  in a natural way.

5.2. Let T be the group of diagonal matrices in G and  $\mathcal{U}_T$  the universal enveloping algebra of  $\text{Lie}(T)_C = \text{Lie}(T) \otimes_R C$ . Then

$$\mathscr{Z}_{G} \cong (\mathscr{U}_{T})^{W_{G}}$$

via the Harish-Chandra isomorphism, where  $W_G = N_G(T)/T$  (for example, see [G-V, §2.6]). Denote by  $X_{unr}(T)$  the group of continuous homomorphisms of T to  $\mathbb{C}^{\times}$  trivial on  $T^1 = \{\operatorname{diag}(\varepsilon_1, \ldots, \varepsilon_n) \mid \varepsilon_i \in E^{\times}, \mid \varepsilon_i \mid_E = 1 \ (1 \le i \le n)\}$ . The differential  $d\Xi \in (\operatorname{Lie}(T)_C)^*$  of  $\Xi \in X_{unr}(T)$  determines an element  $\Xi^{\wedge}$  of  $\operatorname{Hom}_C(\mathscr{Z}_G, \mathbb{C})$  via the isomorphism (5.2). It is known that  $\operatorname{Hom}_C(\mathscr{Z}_G, \mathbb{C}) = \{\Xi^{\wedge} \mid \Xi \in X_{unr}(T)/W_G\}$ .

5.3. For  $\Xi \in X_{unr}(T)$ , we set

(5.3) 
$$\Psi_{\Xi}(g) = \int_{K} \phi_{\Xi}(kg) dk ,$$

where  $\phi_{\mathcal{Z}}(g)$  is defined as in (1.1). We now recall several well-known facts about the spherical function  $\Psi_{\mathcal{Z}}(g)$ :

$$\Psi_{\pi}(1) = 1 \ .$$

(5.5) 
$$\Psi_{\Xi}(kgk') = \Psi_{\Xi}(g) \qquad k, k' \in K, \quad g \in G.$$

(5.6) 
$$\int_{K} \Psi_{\Xi}(gkg')dk = \Psi_{\Xi}(g)\Psi_{\Xi}(g').$$

(5.7) 
$$\Psi_{\pi}(g^{-1}) = \Psi_{\pi^{-1}}(g).$$

$$(5.8) R_{\mathsf{Z}}\Psi_{\mathsf{z}} = \Xi^{\wedge}(Z) \cdot \Psi_{\mathsf{z}} Z \in \mathscr{Z}_{\mathsf{G}}.$$

5.4. LEMMA. Let  $\Xi \in X_{unr}(T)$  and  $F \in C^{\infty}(K \setminus G/K)$ . If

$$(5.9) R_z F = \Xi^{\wedge}(Z) \cdot F$$

holds for any  $Z \in \mathcal{Z}_G$ , then we have  $F(g) = F(1)\Psi_{\Xi}(g)$ .

PROOF. This follows from [G-V, Theorem 3.2.3] and [H, Proposition 5.32].

5.5. Let  $G_o = GL(n-1, E)$  and define  $T_o$ ,  $K_o$  similarly as T and K. Let  $\xi \in X_{unr}(T_o)$ ,  $\Xi \in X_{unr}(T)$  and put  $\omega = \xi_1 \cdots \xi_{n-1}$ ,  $\Omega = \Xi_1 \cdots \Xi_n \in X_{unr}(E^{\times})$ . We now define the space  $Sh(\xi, \Xi)$  of *Shintani functions* on G attached to  $\xi$  and  $\Xi$  to be the space of  $W \in C^{\infty}(K_o \setminus G/K)$  satisfying

(5.10) 
$$L_z R_Z W = \xi^{\wedge}(z) \Xi^{\wedge}(Z) \cdot W \qquad z \in \mathscr{Z}_{G_0}, \quad Z \in \mathscr{Z}_{G}.$$

(5.11) 
$$W\left(\begin{bmatrix} t'1_{n-1} & 0 \\ 0 & 1 \end{bmatrix} \cdot g \cdot t1_n\right) = \omega^{-1}(t')\Omega(t) \cdot W(g) \qquad t, t' \in E^{\times}.$$

5.6. REMARK. It is an open problem to compute  $\dim_{\mathcal{C}} Sh(\xi, \Xi)$  in the Archimedean case.

## 6. Integral formula (I).

- 6.1. Let E be a local field (either Archimedean or non-Archimedean). In this section, we show an integral formula for Shintani functions on G = GL(n, E), which is crucial to the proof of the uniqueness theorem in the non-Archimedean case (Theorem 3.1). We use the same notation as in §1 (resp. §5) in the non-Archimedean (resp. Archimedean) case.
  - 6.2. Let  $\zeta_E(s)$  be the local zeta function of E:

(6.1) 
$$\zeta_{E}(s) = \begin{cases} (1 - q_{E}^{-s})^{-1} & \text{if } E \text{ is non-Archimedean} \\ \pi^{-s/2} \Gamma\left(\frac{s}{2}\right) & \text{if } E = \mathbf{R} \\ (2\pi)^{1-s} \Gamma(s) & \text{if } E = \mathbf{C} \end{cases}.$$

Let  $\Xi = (\Xi_1, \dots, \Xi_n) \in X_{\text{unr}}(T)$ . Denote by  $L_E(\Xi; s)$  the standard L-factor attached to  $\Xi$  given as follows:

$$(6.2) L_E(\Xi; s) = \begin{cases} \prod_{i=1}^n (1 - \Xi_i(\pi) q_E^{-s})^{-1} & \text{if } E \text{ is non-Archimedean} \\ \prod_{i=1}^n \zeta_E(s + \mu_i) & \text{if } E \text{ is Archimedean} \end{cases},$$

where  $\Xi_i(t) = |t|_E^{\mu_i}(\mu_i \in C)$  in the Archimedean case. For  $\chi \in X_{unr}(E^{\times})$ , we put

(6.3) 
$$\chi \otimes \Xi = (\chi \Xi_1, \ldots, \chi \Xi_n) \in X_{unr}(T).$$

6.3. For  $s \in C$ , we define a function  $v_{E,s}$  on  $E^{n-1}$  as follows: If E is non-Archimedean,

(6.4a) 
$$v_{E,s}(X) = \begin{cases} 1 & \text{if } X \in L \\ q_E^{-ls} & \text{if } X \in \pi^{-l} L_{\text{prim}} \ (l > 0) \end{cases},$$

where  $L = o_E^{n-1}$  and  $L_{prim} = L - \pi L$ . If E is Archimedean, then

(6.4b) 
$$v_{E,s}(X) = \begin{cases} (1 + {}^{t}XX)^{-s/2} & \text{if } E = R \\ (1 + {}^{t}\bar{X}X)^{-s} & \text{if } E = C. \end{cases}$$

Note that, in the non-Archimedean case,  $v_s(X) = q_E^{-ls}$  if and only if

$$\begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix} \sim g(0^{(n-1)}, l^{(n-1)})$$

- (cf. §2). The main result of this section is as follows:
- 6.4. THEOREM (the first integral formula). Let  $\xi \in X_{\text{unr}}(T_o)$ ,  $\Xi \in X_{\text{unr}}(T)$  and assume that Re(s) is sufficiently large. For  $W \in \text{Sh}(\xi, \Xi)$ , we have

$$\int_{E^{n-1}} W\left(\begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix}\right) v_{E,s+(n-1)/2}(X) dX = \frac{L_E(\Omega \omega \otimes \Xi^{-1}; s)}{\zeta_E\left(s+\frac{n-1}{2}\right) L_E\left(\Omega \omega \otimes \xi; s+\frac{1}{2}\right)} W(1).$$

Here  $\Omega = \Xi_1 \cdots \Xi_n$  and  $\omega = \xi_1 \cdots \xi_{n-1}$ .

REMARK. Consider the non-Archimedean case and put  $v_l = \text{vol}(\{X \in E^{n-1} \mid v_s(X) = q_E^{-ls}\})$ . Then we have

$$v_l \! = \! \begin{cases} 1 & \text{if} \quad l \! = \! 0 \\ q_E^{l(n-1)}(1-q_E^{1-n}) & \text{if} \quad l \! > \! 0 \end{cases}$$

and the integral of the theorem is equal to  $\sum_{l=0}^{\infty} v_l W(0^{(n-1)}, l^{(n-1)}) q_E^{-l(s+(n-1)/2)}$ . This implies that  $W(0^{(n-1)}, l^{(n-1)})$  is uniquely determined by  $\xi$ ,  $\Xi$  and W(1), and that  $W(0^{(n-1)}, l^{(n-1)}) \in C[\xi, \xi^{-1}, \Xi, \Xi^{-1}]$  if W(1) = 1, which completes the proof of Proposition 3.3 (and hence Theorem 3.1).

6.5. Throughout the remainder of this section, we assume that Re(s) is sufficiently large. For  $r, r' \ge 1$ , let  $\sigma_{r,r'}$  be a function on  $M_{r,r'}(E)$  given as follows: If E is non-Archimedean,  $\sigma_{r,r'}$  is the characteristic function of  $M_{r,r'}(\mathfrak{o}_E)$ . If E is Archimedean,

$$\sigma_{r,r'}(X) = \begin{cases} \exp(-\pi \operatorname{tr}({}^{t}XX)) & \text{if} \quad E = R \\ \exp(-2\pi \operatorname{tr}({}^{t}\overline{X}X)) & \text{if} \quad E = C \end{cases}.$$

If r=r', we write  $\sigma_r$  for  $\sigma_{r,r}$ . We often omit the subscripts (r,r') and r if there is no fear of confusion. The following result is elementary.

6.6. LEMMA. (i) If 
$$X = \int_{r'}^{r} \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in M_{r+r'}(E),$$

we have  $\sigma_{r+r'}(X) = \sigma_r(a)\sigma_{r,r'}(b)\sigma_{r',r}(c)\sigma_{r'}(d)$ .

- (ii)  $\int_{M_{r,r'}(E)} \sigma_{r,r'}(X) dX = 1$ . (iii)  $\int_{E^{\times}} |t|_{E}^{s} \sigma_{1}(t) d^{\times}t = \zeta_{E}(s)$   $(d^{\times}t = dt/|t|_{E})$ .
- (iv) Let  $\Xi \in X_{unr}(T)$  and define  $\phi_{\Xi} : G \to \mathbb{C}$  by (1.1). Then

$$\int_{G} \phi_{\Xi}(g)\sigma_{n}(g) |\det g|_{E}^{s+(n-1)/2} dg = L_{E}(\Xi; s) .$$

6.7. LEMMA. For  $X \in E^{n-1}$ , we have

(6.5) 
$$\int_{E^{\times}} \sigma_{n-1,1}(tX)\sigma_1(t)|t|^s d^{\times}t = \zeta_E(s)v_{E,s}(X).$$

The proof in the Archimedean case is straightforward and we omit it. Suppose that E is non-Archimedean. The assertion is obvious if  $X \in L = o_E^{n-1}$ . Let  $X \in \pi^{-1}L_{\text{prim}}$  (l > 0). Since both sides of (6.5) is left  $GL_{n-1}(\mathfrak{o}_E)$ -invariant as a function of  $X \in E^{n-1}$ , we may assume that  $X = {}^{t}(\pi^{-1}, 0, \dots, 0)$ . Then (6.5) follows from an elementary formula  $\int_{E^{\times}} \sigma(t\pi^{-l})\sigma(t)|t|^{s}d^{\times}t = \zeta_{E}(s) \cdot q_{E}^{-ls} (l>0).$ q.e.d.

6.8. LEMMA. Let  $W \in Sh(\xi, \Xi)$  and let  $\Phi$  (resp.  $\varphi$ ) be a bi-K- (resp. bi-K<sub>o</sub>-) invariant function on G (resp.  $G_o$ ). Then, for any  $g \in G$ , we have

(6.6) 
$$\int_{G} W(gy)\Phi(y)dy = W(g)\int_{G} \phi_{\Xi}(y)\Phi(y)dy,$$

(6.7) 
$$\int_{G_0} W \left( \begin{bmatrix} x & 0 \\ 0 & 1 \end{bmatrix} g \right) \varphi(x) dx = W(g) \int_{G_0} \phi_{\xi^{-1}}(x) \varphi(x) dx ,$$

if the integrals are convergent.

**PROOF.** The left-hand side of (6.6) is equal to  $\int_G \Phi(y) F_q(y) dy$ , where  $F_q(y) =$  $\int_K W(gky)dk$   $(y \in G)$ . Observe that  $F_g(y)$  satisfies (1.3) (resp. (5.9)) in the non-Archimedean case (resp. in the Archimedean case) and  $F_g(1) = W(g)$ . Then, by the uniqueness of spherical functions (see §1.2 and Lemma 5.4), we have  $F_g(y) = W(g)\Psi_{\Xi}(y)$ , which implies (6.6). The assertion (6.7) is proved similarly.

6.9. The proof of Theorem 6.4. Let  $W \in Sh(\xi, \Xi)$ . To prove the theorem, we calculate the integral

$$I_{W}(s) = \int_{G} W(g)\sigma(g^{-1})\Omega\omega(\det g^{-1})|\det g|_{E}^{-(s+(n-1)/2)}dg$$

in two ways. We first apply (6.6) to  $I_{W}(s)$  and get

$$I_{\mathbf{W}}(s) = W(1) \int_{G} \phi_{\Omega^{-1}\omega^{-1}\otimes\Xi}(g)\sigma(g^{-1}) |\det g|_{E}^{-(s+(n-1)/2)} dg$$
$$= W(1) \int_{G} \phi_{\Omega\omega\otimes\Xi^{-1}}(g)\sigma(g) |\det g|_{E}^{s+(n-1)/2} dg.$$

By Lemma 6.6 (iv), we have

(6.8) 
$$I_{\mathbf{W}}(s) = W(1)L_{\mathbf{E}}(\Omega\omega \otimes \Xi^{-1}; s).$$

Next decompose  $g \in G$  into

$$g = \left[ \begin{array}{cc} g_o & 0 \\ 0 & 1 \end{array} \right] \left[ \begin{array}{cc} 1_{n-1} & X \\ 0 & t \end{array} \right] k \quad (g_o \in G_o, \, X \in E^{n-1}, \, t \in E^\times, \, k \in K) \; .$$

Then  $dg = dg_o dX d^*t dk$  and we have

$$I_{W}(s) = \int_{G_{o}} dg_{o} \int_{E^{n-1}} dX \int_{E^{\times}} d^{\times}t \ W\left(\begin{bmatrix} g_{o} & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1_{n-1} & X \\ 0 & t \end{bmatrix}\right) \sigma(g_{o}^{-1}) \sigma(t^{-1}) \sigma(t^{-1}X)$$

$$\times (\Omega \omega)^{-1} (t \cdot \det g_{o}) |t|_{E}^{-(s+(n-1)/2)} |\det g_{o}|_{E}^{-(s+(n-1)/2)}.$$

It follows from (6.7), Lemma 6.6 and (1.10) that

$$\begin{split} I_{W}(s) &= \int_{G_{o}} \phi_{\Omega\omega \otimes \xi}(g_{o}) \sigma(g_{o}) |\det g_{o}|_{E}^{s+(n-1)/2} dg_{o} \\ &\times \int_{E^{n-1}} dX \int_{E^{\times}} d^{\times}t \ W \bigg( \begin{bmatrix} 1_{n-1} & X \\ 0 & t \end{bmatrix} \bigg) \sigma(t^{-1}) \sigma(t^{-1}X) (\Omega\omega)^{-1}(t) |t|_{E}^{-(s+(n-1)/2)} \\ &= L_{E} \bigg( \Omega\omega \otimes \xi; s + \frac{1}{2} \bigg) \int_{E^{n-1}} \bigg( \int_{E^{\times}} \sigma(t) \sigma(tX) |t|_{E}^{s+(n-1)/2} d^{\times}t \bigg) W \bigg( \begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix} \bigg) dX \ . \end{split}$$

By Lemma 6.7, we have

(6.9) 
$$I_{W}(s) = \zeta_{E}\left(s + \frac{n-1}{2}\right)L_{E}\left(\Omega\omega \otimes \xi; s + \frac{1}{2}\right)\int_{E^{n-1}}W\left(\begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix}\right)v_{E,s+(n-1)/2}(X)dX$$
.

The theorem now follows from (6.8) and (6.9).

q.e.d.

# 7. Integral formula.

7.1. We keep the notation of §6. Let  $G_1 = GL(n+1, E)$  and embed G = GL(n, E) into  $G_1$  via

$$i: g \mapsto \begin{bmatrix} 1 & 0 \\ 0 & g \end{bmatrix}.$$

Note that

$$l(g_o) = \begin{bmatrix} 1 & 0 \\ g_o \\ 0 & 1 \end{bmatrix}$$

for  $g_o \in G_o = GL(n-1, E)$ . Let  $P_1 = N_1 M_1$  be the standard parabolic subgroup of  $G_1$  corresponding to the partition n+1=1+(n-1)+1, where

$$N_1 = \left\{ \begin{bmatrix} 1 & * & * \\ 0 & 1_{n-1} & * \\ 0 & 0 & 1 \end{bmatrix} \in G_1 \right\}, \qquad M_1 = \left\{ \begin{bmatrix} t & 0 \\ g_o \\ 0 & t' \end{bmatrix} \middle| t, t' \in E^{\times}, g_o \in G_o \right\}.$$

Then we have the Iwasawa decomposition  $G_1 = P_1 K_1$ , where

$$K_1 = \left\{ \begin{array}{ll} GL(n+1,\,\mathfrak{o}) & \quad \text{if} \quad E \text{ is non-Archimedean} \\ O(n+1,\,\boldsymbol{R}) & \quad \text{if} \quad E = \boldsymbol{R} \\ U(n+1) & \quad \text{if} \quad E = \boldsymbol{C} \,. \end{array} \right.$$

Put

$$Y_o = \begin{bmatrix} 1 & 0 \\ 1_{n-1} \\ 1 & 1 \end{bmatrix} \in G_1.$$

For  $g \in G$ , we decompose  $\Upsilon_o \cdot \iota(g)$  into

$$n_1(g) \left[ \begin{array}{cc} \alpha(g) & 0 \\ \beta(g) & \\ 0 & \alpha'(g) \end{array} \right] k_1(g) \; ,$$

where  $n_1(g) \in N_1$ ,  $\alpha(g)$ ,  $\alpha'(g) \in E^{\times}$ ,  $\beta(g) \in G_o$  and  $k_1(g) \in K_1$ . For  $W \in Sh(\xi, \Xi)$  and  $s, s' \in C$ , we define the integral

(7.1) 
$$Z_{W}(s,s') = \int_{G_{\alpha}\backslash G} W(\beta(g)^{-1}g) |\alpha(g)|_{E}^{s+(n-1)/2} |\alpha'(g)|_{E}^{-(s'+(n-1)/2)} dg.$$

Note that the integrand does not depend on the choice of  $\alpha(g)$ ,  $\alpha'(g)$  and  $\beta(g)$ , and is

left  $G_o$ -invariant.

7.2. THEOREM (the second integral formula). Let  $W \in Sh(\xi, \Xi)$  and assume that Re(s) and Re(s') are sufficiently large. Then we have

$$Z_{W}(s,s') = \frac{1}{\zeta_{E}(s+s')} \frac{L_{E}(\Xi;s)L_{E}(\Xi^{-1};s')}{L_{E}\left(\xi^{-1};s+\frac{1}{2}\right)L_{E}\left(\xi;s'+\frac{1}{2}\right)} W(1).$$

7.3. To prove the theorem, we define a function  $N_{G,s}$  on G as follows  $(s \in C)$ . Let

$$g = k \begin{bmatrix} t_1 & 0 \\ & \ddots \\ 0 & t_n \end{bmatrix} k' \quad (k, k' \in K, t_1, \dots, t_n \in E^{\times})$$

be a Cartan decomposition of  $g \in G$ . We may assume that  $t_1, \ldots, t_n > 0$  in the Archimedean case. If E is non-Archimedean, we put

(7.2a) 
$$N_{G,s}(g) = \prod_{\text{ord}_E(t_i) < 0} |t_i|_E^{-s}.$$

If E is Archimedean, we put

(7.2b) 
$$N_{G,s}(g) = \begin{cases} \prod_{i=1}^{n} (1+t_i^2)^{-s/2} & \text{if } E = \mathbb{R} \\ \prod_{i=1}^{n} (1+t_i^2)^{-s} & \text{if } E = \mathbb{C} \end{cases}.$$

We define a function  $N_{G_o,s}$ :  $G_o \rightarrow C$  in a similar manner. It is easy to see that

$$(7.3) N_{G,s}(kgk') = N_{G,s}(g)$$

$$(7.4) N_{G_s}(^t g^{-1}) = |\det g|_F^s \cdot N_{G_s}(g)$$

$$(7.5) N_{G,s}(g)N_{G,s'}(g) = N_{G,s+s'}(g)$$

 $(g \in G, k, k' \in K, s, s' \in C)$ . The following integral expression of  $N_{G,s}$  is well-known.

7.4. Lemma. Assume that Re(s) is sufficiently large. For  $g \in G$ , we have

$$\int_{G} \sigma(yg)\sigma(y)|\det y|_{E}^{s}dy = \zeta_{E}^{(n)}(s)N_{G,s}(g),$$

where  $\zeta_{E}^{(n)}(s) = \prod_{i=0}^{n-1} \zeta_{E}(s-i)$ .

7.5. LEMMA. Let  $\Xi \in X_{unr}(T)$  and  $s_1, s_2 \in C$ . Assume that  $Re(s_1)$  and  $Re(s_2 - s_1)$  are sufficiently large. Then we have

(7.6) 
$$\int_{G} \phi_{\Xi}(g) |\det g|_{E}^{s_{1}} N_{G,s_{2}}(g) dg = \frac{L_{E}\left(\Xi; s_{1} - \frac{n-1}{2}\right) L_{E}\left(\Xi^{-1}; s_{2} - s_{1} - \frac{n-1}{2}\right)}{\zeta_{E}^{(n)}(s_{2})}.$$

PROOF. We write  $A(\Xi; s_1, s_2)$  for the left-hand side of (7.6). Let  $\Psi_{\Xi}(g) = \int_K \phi_{\Xi}(kg) dk$  be the zonal spherical function attached to  $\Xi$  (cf. §1.2 and §5.3). By Lemma 7.4, we have

$$\begin{split} \zeta_E^{(n)}(s_2) A(\Xi; s_1, s_2) &= \int_G dg \int_G dy \, \phi_\Xi(g) |\det g|_E^{s_1} \sigma(yg) \sigma(y) |\det y|_E^{s_2} \\ &= \int_G dg \int_G dy \, \Psi_\Xi(y^{-1}g) \sigma(g) \sigma(y) |\det g|_E^{s_1} |\det y|_E^{s_2 - s_1} \,. \end{split}$$

By (1.6) (or (5.6) in the Archimedean case),  $\zeta_E^{(n)}(s_2)A(\Xi; s_1, s_2)$  equals

$$\int_G \Psi_{\Xi}(g)\sigma(g)|\det g|_E^{s_1}dg\int_G \Psi_{\Xi}(y^{-1})\sigma(y)|\det y|_E^{s_2-s_1}dy.$$

The proposition now follows from Lemma 6.6 (iv) (note that  $\Psi_{\mathfrak{g}}(y^{-1}) = \Psi_{\mathfrak{g}^{-1}}(y)$ ). q.e.d.

7.6. Proposition. For  $g \in G$ , we have

$$\begin{aligned} N_{G,s}(g) &= N_{G_o,s}(\beta(g)) \cdot |\alpha'(g)|_E^{-s} \\ N_{G,s}({}^tg^{-1}) &= N_{G_o,s}({}^t\beta(g)^{-1}) \cdot |\alpha(g)|_E^{s} . \end{aligned}$$

**PROOF.** The latter formula is an immediate consequence of the former, since  $|\det g|_E = |\alpha(g)\alpha'(g) \det \beta(g)|_E$ . To prove the first formula, we may suppose that Re(s) is sufficiently large. For  $g_1 \in G_1$ , put

$$B_{s}(g_{1}) = \int_{G_{0}} dx \int_{E^{\times}} d^{\times}t \int_{E^{n-1}} dX \, \sigma \left( \begin{bmatrix} 0 & x & X \\ 0 & 0 & t \end{bmatrix} g_{1} \right) \sigma(x) |\det x|_{E}^{s} |t|_{E}^{s-n+1}.$$

Let

$$g_{1} = \begin{bmatrix} \alpha & * & * \\ 0 & \beta & * \\ 0 & 0 & \alpha' \end{bmatrix} k_{1} \quad (\alpha, \alpha' \in E^{\times}, \beta \in G_{o}, k_{1} \in K_{1})$$

be an Iwasawa decomposition of  $g_1$ . Applying Lemma 7.4 to  $G_0$ , we have

$$\begin{split} B_{s}(g_{1}) &= \int_{G_{o}} dx \int_{E^{\times}} d^{\times}t \int_{E^{n-1}} dX \, \sigma \bigg( \begin{bmatrix} 0 & x\beta & \alpha'X + * \\ 0 & 0 & \alpha't \end{bmatrix} \bigg) \sigma(x) |\det x|_{E}^{s} |t|_{E}^{s-n+1} \\ &= \int_{G_{o}} \sigma(x\beta)\sigma(x) |\det x|_{E}^{s} dx \int_{E^{\times}} \sigma(\alpha't) |t|_{E}^{s-n+1} d^{\times}t \int_{E^{n-1}} \sigma(\alpha'X) dX \\ &= \zeta_{E}^{(n-1)}(s) N_{G_{o},s}(\beta) |\alpha'|_{E}^{-s} \zeta_{E}(s-n+1) = \zeta_{E}^{(n)}(s) N_{G_{o},s}(\beta) |\alpha'|_{E}^{-s} \,. \end{split}$$

In view of Lemma 7.4, it now remains to show

(7.7) 
$$B_s(Y_o \cdot \iota(g)) = \int_G \sigma(yg)\sigma(y) |\det y|_E^s dy.$$

Since both sides of (7.7) is right K-invariant as functions in  $g \in G$ , we may suppose that g is of the form

$$\begin{bmatrix} g_o & b \\ 0 & a \end{bmatrix} \quad (g_o \in G_o, a \in E^{\times}, b \in E^{n-1}) .$$

Then the left-hand side of (7.7) equals

$$\int_{G_o} dx \int_{E^{\times}} d^{\times}t \int_{E^{n-1}} dX \sigma \left( \begin{bmatrix} X & xg_o & xb + aX \\ t & 0 & at \end{bmatrix} \right) \sigma(x) |\det x|_E^s |t|_E^{s-n+1}.$$

On the other hand, decomposing  $y \in G$  into

$$k \begin{bmatrix} x & X \\ 0 & t \end{bmatrix} \quad (k \in K, x \in G_o, t \in E^{\times}) ,$$

we see that the right-hand side of (7.7) equals

$$\begin{split} &\int_{G_o} dx \int_{E^\times} d^\times t \int_{E^{n-1}} dX \, \sigma \bigg( \begin{bmatrix} x & X \\ 0 & t \end{bmatrix} \! \bigg) \sigma \bigg( \begin{bmatrix} x & X \\ 0 & t \end{bmatrix} \bigg) |\det x|_E^s |t|_E^{s-n+1} \\ &= \int_{G_o} dx \int_{E^\times} d^\times t \int_{E^{n-1}} dX \, \sigma \bigg( \begin{bmatrix} xg_o & xb + aX \\ 0 & at \end{bmatrix} \bigg) \sigma \bigg( \begin{bmatrix} x & X \\ 0 & t \end{bmatrix} \bigg) |\det x|_E^s |t|_E^{s-n+1} \,. \end{split}$$

This proves the proposition.

q.e.d.

7.7. We now finish the proof of Theorem 7.2. Let  $W \in Sh(\xi, \Xi)$  and assume that Re(s) and Re(s') are sufficiently large. We calculate the integral

(7.8) 
$$J_{W}(s,s') = \int_{G} W(g) N_{G,s'+(n-1)/2}(g) N_{G,s+(n-1)/2}({}^{t}g^{-1}) dg$$

in two ways. By (7.4) and Lemma 6.8,  $J_{W}(s, s')$  equals

$$\begin{split} \int_G W(g) |\det g|_E^{s+(n-1)/2} N_{G,s+s'+n-1}(g) dg \\ &= W(1) \int_G \phi_E(g) |\det g|_E^{s+(n-1)/2} N_{G,s+s'+n-1}(g) dg \;. \end{split}$$

From Lemma 7.5, we get

(7.9) 
$$J_{W}(s,s') = \frac{L_{E}(\Xi;s)L_{E}(\Xi^{-1};s')}{\zeta_{E}^{(n)}(s+s'+n-1)} W(1).$$

On the other hand, by Proposition 7.6 and (7.4),  $J_W(s, s')$  equals

$$\int_{G_o\backslash G} dg \int_{G_o} dg_o W(g_o g) N_{G_o, s+s'+n-1}(g_o \cdot \beta(g))$$

$$\times |\alpha(g) \cdot \det(g_o \cdot \beta(g))|_E^{s+(n-1)/2} |\alpha'(g)|_E^{-(s'+(n-1)/2)}$$

(note that  $\alpha(g_o g) = \alpha(g)$ ,  $\alpha'(g_o g) = \alpha'(g)$  and  $\beta(g_o g) = g_o \cdot \beta(g)$  for  $g_o \in G_o$  and  $g \in G$ ). Changing the variable  $g_o$  into  $g_o \cdot \beta(g)^{-1}$  and applying Lemma 6.8 and Lemma 7.5, we get

(7.10) 
$$J_{W}(s,s') = Z_{W}(s,s') \times \frac{L_{E}\left(\xi^{-1}; s + \frac{1}{2}\right) L_{E}\left(\xi; s' + \frac{1}{2}\right)}{\zeta_{E}^{(n-1)}(s+s'+n-1)}.$$

The theorem is a consequence of (7.9) and (7.10).

q.e.d.

# Part II. Global theory.

## 8. Global Shintani functions attached to automorphic forms.

8.1. Throughout Part II, we fix a finite extension E of Q. Let  $\mathscr{P}$  be the set of primes of E and  $\mathscr{P}_f$  (resp.  $\mathscr{P}_\infty$ ) the set of finite (resp. infinite) primes of E. For  $v \in \mathscr{P}$ ,  $E_v$  stands for the completion of E at v and write  $|\cdot|_v$  for the normalized valuation  $|\cdot|_{E_v}$  (see §1.1 and §5.1). For  $v \in \mathscr{P}_f$ , let  $\mathfrak{o}_v$  be the ring of integers of  $E_v$  and fix a prime element  $\pi_v$  of  $\mathfrak{o}_v$ . We put  $q_v = \#(\mathfrak{o}_v/\pi_v\mathfrak{o}_v)$ . The adele ring  $A = A_E$  (resp. the idele group  $A^\times = A_E^\times$ ) of E is the restricted direct product of  $E_v$  (resp.  $E_v^\times$ ) with respect to  $\prod_{v \in \mathscr{P}_f} \mathfrak{o}_v$  (resp.  $\prod_{v \in \mathscr{P}_f} \mathfrak{o}_v^\times$ ). We write  $|a|_A$  for the idele norm of  $a \in A^\times : |a|_A = \prod_{v \in \mathscr{P}} |a|_v$ . Denote by  $d_E$  the discriminant of E. We set

(8.1) 
$$\xi_{E}(s) = |d_{E}|^{s/2} \prod_{v \in \mathscr{P}} \zeta_{E_{v}}(s) , \qquad \xi_{E}^{(n)}(s) = \prod_{i=0}^{n-1} \xi_{E}(s-i)$$

(for the definition of  $\zeta_{E_v}(s)$ , see §6.2). The (completed) Dedekind zeta function  $\xi_E(s)$  is holomorphic except at simple poles s=0 and s=1, and satisfies the functional equation  $\xi_E(s) = \xi_E(1-s)$ .

8.2. We consider G = GL(n) as a linear algebraic group defined over E and denote by  $G_A = G(A)$  the adelization of G over E. Throughout Part II, we define the Haar measure dg on  $G_A$  to be the product measure  $\prod_{v \in \mathscr{P}} dg_v$ , where each Haar measure  $dg_v$  on  $G_{E_v}$  is normalized as in §1.1 and §5.1. For  $v \in \mathscr{P}$ , let  $K_v$  be a maximal compact subgroup of  $G_v = G(E_v)$  given by

$$K_v = \begin{cases} GL(n, o_v) & \text{if } v \in \mathscr{P}_f \\ O(n, R) & \text{if } E_v = R \\ U(n) & \text{if } E_v = C \end{cases}$$

Denote by  $C^{\infty}(G_E \setminus G_A/K_A)$  the space of smooth functions on  $G_E \setminus G_A/K_A$ , where  $K_A = \prod_{v \in \mathscr{P}} K_v$ . Let T be the group of diagonal matrices in G and  $W_G = N_G(T)/T$  the Weyl

group of (G, T).

8.3. Let  $v \in \mathscr{P}_{\infty}$ . Denote by  $\mathscr{Z}_{G_v}$  the center of the universal enveloping algebra of  $\operatorname{Lie}(G_v) \otimes_{\mathbf{R}} C$ . Then  $\mathscr{Z}_{G_v}$  acts on  $C^{\infty}(G_E \setminus G_A/K_A)$  via right translations. Recall that  $\operatorname{Hom}_{\mathbf{C}}(\mathscr{Z}_{G_v}, C) = \{\Xi^{\wedge} \mid \Xi \in X_{\operatorname{unr}}(T_v)/W_G\}$  (cf. §5.2). Next let  $v \in \mathscr{P}_f$ . The Hecke algebra  $\mathscr{H}(G_v, K_v)$  acts on  $C^{\infty}(G_E \setminus G_A/K_A)$  via

$$(F * \Phi)(g) = \int_{G} F(gy)\Phi(y)dy \qquad (F \in C^{\infty}(G_E \backslash G_A/K_A), \ \Phi \in \mathcal{H}(G_v, K_v)),$$

Recall that  $\operatorname{Hom}_{\mathbf{C}}(\mathcal{H}(G_v, K_v), \mathbf{C}) = \{\Xi^{\wedge} \mid \Xi \in X_{\operatorname{unr}}(T_v)/W_G\}$  (cf. §1.2).

- 8.4. Let  $\Omega$  be a Hecke character of E unramified everywhere. By definition,  $\Omega$  is a continuous homomorphism of  $E^{\times} \setminus A^{\times}$  to  $C^{\times}$  trivial on  $\prod_{v \in \mathscr{P}_f} \mathfrak{o}_v^{\times} \times \prod_{v \in \mathscr{P}_{\infty}} E_v^1$ , where  $E_v^1 = \{t \in E_v^{\times} \mid |t|_v = 1\}$  for  $v \in \mathscr{P}_{\infty}$ . Denote by  $C^{\infty}(G_E \setminus G_A/K_A; \Omega)$  the space of  $F \in C^{\infty}(G_E \setminus G_A/K_A)$  satisfying  $F(tg) = \Omega(t)F(g)$   $(g \in G_A, t \in A^{\times})$ . Let  $\Xi = (\Xi_v) \in \prod_{v \in \mathscr{P}} X_{\mathrm{unr}}(T_v)$ . Under the assumption  $\Xi_{v,1} \cdots \Xi_{v,n} = \Omega_v$  for every  $v \in \mathscr{P}$ , we let  $\mathscr{A}(G_E \setminus G_A/K_A; \Omega; \Xi)$  be the space of  $F \in C^{\infty}(G_E \setminus G_A/K_A; \Omega)$  satisfying the following conditions:
- (8.2) For every  $v \in \mathcal{P}_f$ , we have  $F * \Phi = \Xi_v^{\wedge}(\Phi)F$   $(\Phi \in \mathcal{H}(G_v, K_v))$ .
- (8.3) For every  $v \in \mathscr{P}_{\infty}$ , we have  $R_{Z}F = \Xi_{v}^{\wedge}(Z)F$   $(Z \in \mathscr{Z}_{G_{v}})$ .
- (8.4) F is slowly increasing on  $G_E \setminus G_A$  (cf. [G-J, §10]).

We call  $\mathscr{A}(G_E \setminus G_A; \Omega; \Xi)$  the space of automorphic forms on G with eigenvalues  $\Xi$ . By definition,  $F \in \mathscr{A}(G_E \setminus G_A/K_A; \Omega; \Xi)$  is cuspidal if  $\int_{N_E \setminus N_A} F(ng) dn = 0$   $(g \in G_A)$  for the unipotent radical N of any proper parabolic subgroup of G. Let  $\mathscr{A}_{\text{cusp}}(G_E \setminus G_A/K_A; \Omega; \Xi) = \{F \in \mathscr{A}(G_E \setminus G_A/K_A; \Omega; \Xi) \mid F \text{ is cuspidal}\}$ . If  $F \in \mathscr{A}_{\text{cusp}}(G_E \setminus G_A/K_A; \Omega; \Xi)$ , F is rapidly decreasing on  $G_E \setminus G_A$  (cf. [G-J, §10]).

8.5. Let  $F \in \mathcal{A}(G_E \setminus G_A/K_A; \Omega; \Xi)$ . We define the (completed) standard L-function  $\xi(F; s)$  by

(8.5) 
$$\xi(F; s) = |d_E|^{ns/2} \prod_{v \in \mathscr{P}} L_{E_v}(\Xi_v; s) ,$$

where  $L_{E_v}(\Xi_v; s)$  is defined by (6.2). Put

(8.6) 
$$\tilde{F}(g) = F(^tg^{-1}).$$

Then we see that  $\tilde{F} \in \mathcal{A}(G_E \setminus G_A/K_A; \Omega^{-1}; \Xi^{-1})$  with  $\Xi^{-1} = (\Xi_v^{-1})_{v \in \mathscr{P}}$  and that  $\xi(\tilde{F}; s) = \prod_{v \in \mathscr{P}} L_{E_v}(\Xi_v^{-1}; s)$ . It is known (cf. [G-J, §13]) that  $\xi(F; s)$  is continued to a meromorphic function of s on C and satisfies the functional equation

(8.7) 
$$\xi(F;s) = \xi(\tilde{F};1-s).$$

Moreover,  $\xi(F; s)$  is entire if F is a cusp form. For a Hecke character  $\chi$  of E, define the twisted L-function of F by  $\xi(\chi \otimes F; s) = |d_E|^{ns/2} \prod_{v \in \mathscr{D}} L_{E_v}(\chi_v \otimes \Xi_v; s)$  (cf. §6.2).

8.6. Recall that  $G_a = GL(n-1)$  is embedded into G via

$$g_o \mapsto \begin{bmatrix} g_o & 0 \\ 0 & 1 \end{bmatrix}.$$

Let  $(\Omega, \omega)$  be a pair of Hecke characters of E both unramified everywhere. We put

$$C_{L^2}^{\infty}(G_{o,E}\backslash G_{o,\mathbf{A}}/K_{o,\mathbf{A}};\omega) = \left\{ f \in C^{\infty}(G_{o,E}\backslash G_{o,\mathbf{A}}/K_{o,\mathbf{A}};\omega) \left| \int_{G_{o,E}\backslash G_{o,\mathbf{A}}^1} |f(x)|^2 dx < \infty \right. \right\},$$

where  $G_{o,A}^1 = \{x \in G_{o,A} \mid |\det x|_A = 1\}.$ 

LEMMA. Let  $f \in C^{\infty}_{L^2}(G_{o,A} \backslash K_{o,A}; \omega)$  and  $F \in C^{\infty}(G_E \backslash G_A / K_A; \Omega)$ . Assume that F is rapidly decreasing on  $G_E \backslash G_A$ . Then the integral

(8.8) 
$$W_{f,F}(g) = \int_{G_{0,F} \backslash G_{0,A}} f(x)F(xg)dx$$

converges absolutely and uniformly for g in a compact subset of  $G_A$ .

PROOF. Let C be a compact subset of  $G_A$ . The estimate due to Jacquet and Shalika [J-S2, p. 799] asserts that for every N>0 there exists a positive constant c=c(C,N) depending only on C and N such that

$$|F(xg)| \le c \cdot \operatorname{Inf}(|\det x|_{A}^{-N}, |\det x|_{A}^{N}) \qquad (x \in G_{0,A}, g \in C).$$

Then the integral (8.8) is majorized by

$$c' \left\{ \int_{G_{0,E} \setminus G_{-1}^{1}} |f(x)|^{2} dx \right\}^{1/2} \times \int_{0}^{\infty} t^{\mu_{\omega}} \cdot \operatorname{Inf} \left\{ t^{-(n-1)N}, t^{(n-1)N} \right\} d^{\times} t ,$$

where c' is a positive constant depending only on C and N, and  $\mu_{\omega} \in \mathbf{R}$  is defined by  $|\omega(a)| = |a|_{\mathbf{A}}^{\mu_{\omega}} (a \in \mathbf{A}^{\times})$ . If we take N sufficiently large, the last integral is convergent and we are done.

8.7. Suppose that  $\Xi = (\Xi_v) \in \prod_{v \in \mathscr{P}} X_{unr}(T_v)$  and  $\xi = (\xi_v) \in \prod_{v \in \mathscr{P}} X_{unr}(T_{o,v})$  satisfy  $\Xi_{v,1} \cdots \Xi_{v,n} = \Omega_v$  and  $\xi_{v,1} \cdots \xi_{v,n-1} = \omega_v$  for every  $v \in \mathscr{P}$  with certain Hecke characters  $\Omega$  and  $\omega$ , where T (resp.  $T_o$ ) is the group of diagonal matrices in G (resp.  $G_o$ ). We set

$$\mathscr{A}_{L^{2}}(G_{o,E}\backslash G_{o,A}/K_{o,A};\omega;\zeta) = \mathscr{A}(G_{o,E}\backslash G_{o,A}/K_{o,A};\omega;\zeta) \cap C_{L^{2}}^{\infty}(G_{o,E}\backslash G_{o,A}/K_{o,A};\omega).$$

Let  $F \in \mathscr{A}_{\operatorname{cusp}}(G_E \backslash G_A / K_A; \Omega; \Xi)$  and  $f \in \mathscr{A}_{L^2}(G_{o,E} \backslash G_{o,A} / K_{o,A}; \omega; \xi)$ . We call the function  $W_{f,F}(g)$  on  $G_A$  defined by (8.8) the global Shintani function attached to (f,F). Since the restriction of  $W_{f,F}$  to  $G_{E_v}$  is in  $\operatorname{Sh}(\xi_v,\Xi_v)$ , the uniqueness of local Shintani functions at the non-Archimedean primes (Theorem 3.1) implies

$$(8.9) W_{f,F}(g) = W_{\infty}(g_{\infty}) \prod_{v \in \mathscr{P}_f} W_v(g_v),$$

where  $g = g_{\infty} \prod_{v \in \mathscr{P}_f} g_v \in G_{A}$  with  $g_{\infty} \in G_{\infty} = \prod_{v \in \mathscr{P}_{\infty}} G_v$ ,  $W_{\infty}$  is the restriction of  $W_{f,F}$  to  $G_{\infty}$  and, for  $v \in \mathscr{P}_f$ ,  $W_v$  is the element of  $\operatorname{Sh}(\xi_v, \Xi_v)$  with  $W_v(1) = 1$ .

8.8. Let  $f \in \mathscr{A}(G_{o,E} \setminus G_{o,A}/K_{o,A}; \omega; \xi)$  and  $F \in \mathscr{A}_{\operatorname{cusp}}(G_E \setminus G_A/K_A; \Omega; \Xi)$  (we do not suppose that  $f \in \mathscr{A}_{L^2}$ ). In the next section, we need the following twisted form of the global Shintani function:

(8.10) 
$$W_{f,F}(g;s) = \int_{G_0, F \setminus G_0, A} f(x)F(xg) |\det x|_A^s dx \qquad (g \in G_A, s \in C).$$

Due to the results of Piatetski-Shapiro [PS, §2], we have the following:

- (i) The integral (8.10) is absolutely convergent in the half plane  $\operatorname{Re}(s) > c$  for some c. (Note that the integral is absolutely convergent for any  $s \in C$  if  $f \in \mathscr{A}_{L^2}(G_{o,E} \setminus G_{o,A} \setminus K_{o,A}; \omega; \xi)$  (cf. Lemma 8.6).)
- (ii) The function  $W_{f,F}(g;s)$  is continued to an entire function of s on C and satisfies the functional equation  $W_{f,F}(g;s) = W_{f,F}({}^tg^{-1}; -s)$ .
  - (iii) We have

$$W_{f,F}(g;s) = \int_{N_{\sigma,A}\backslash G_{\sigma,A}} W_{f,\bar{\psi}}(x) W_{F,\psi}\left(\begin{bmatrix} x & 0 \\ 0 & 1 \end{bmatrix} g\right) |\det x|_A^s dx ,$$

where  $W_{f,\bar{\psi}}$  and  $W_{F,\psi}$  are the usual Whittaker functions attached to f and F:

$$W_{f,\bar{\psi}}(x) = \int_{N_{o,E} \setminus N_{o,A}} f(nx) \bar{\psi} \left( \sum_{i=1}^{n-2} n_{i,i+1} \right) dn ,$$
  
$$W_{F,\psi}(g) = \int_{N_{F} \setminus N_{A}} F(ng) \psi \left( \sum_{i=1}^{n-1} n_{i,i+1} \right) dn$$

( $\psi$  is a nontrivial additive character of  $E \setminus A$ ). Note that this implies  $W_{f,F}(g;s) \equiv 0$  unless f is generic.

Moreover, we have the following Euler product for  $W_{f,F}(1; s)$  (cf. [J-S2]; see also [Bu]):

(8.11) 
$$W_{f,F}(1;s) = Z_{\infty}(f \otimes F;s)L\left(f \otimes F;s + \frac{1}{2}\right),$$

where

$$Z_{\infty}(f \otimes F; s) = \int_{N_{\sigma,\infty} \setminus G_{\sigma,\infty}} W_{f,\bar{\psi}}(x) W_{F,\psi}\left(\begin{bmatrix} x & 0 \\ 0 & 1 \end{bmatrix}\right) |\det x|_{\infty}^{s} dx$$

and  $L(f \otimes F; s)$  is the tensor L-function of the pair (f, F):

$$L(f \otimes F; s) = \prod_{v \in \mathcal{P}_f} \left\{ \prod_{1 \leq i \leq n-1} \prod_{1 \leq j \leq n} (1 - \xi_{i,v}(\pi_v) \Xi_{j,v}(\pi_v) q_v^{-s}) \right\}^{-1}.$$

- 9. Rankin-Selberg convolution (I).
- 9.1. In this section, we give an application of the first integral formula stated in

§6 to an integral expression of the automorphic L-functions for GL(n). For  $s \in \mathbb{C}$  and  $X = \prod_{v \in \mathscr{P}} X_v \in A^{n-1}(X_v \in E_v^{n-1})$ , we put

$$(9.1) v_s(X) = \prod_{v \in \mathscr{P}} v_{E_{v'}s}(X_v),$$

where  $v_{E_{v'}s}: E_v^{n-1} \rightarrow C$  is given by (6.4).

9.2. PROPOSITION. Let  $F \in \mathcal{A}_{cusp}(G_E \setminus G_A/K_A; \Omega; \Xi)$  and  $f \in \mathcal{A}(G_{o,E} \setminus G_{o,A}/K_{o,A}; \omega; \xi)$ . If  $Re(s_1)$  and  $Re(s_2)$  are sufficiently large, then

$$\begin{split} \int_{A^{n-1}} W_{f,F} & \left( \begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix}; s_1 \right) v_{s_2}(X) dX \\ &= |d_E|^{n-1} \frac{\xi \left( \Omega \omega \otimes \tilde{F}; (n-1)s_1 + s_2 - \frac{n-1}{2} \right)}{\xi_E(s_2) \xi \left( \Omega \omega \otimes f; ns_1 + s_2 - \frac{n}{2} + 1 \right)} \times W_{f,F}(1;s_1) \,. \end{split}$$

(For the definition of  $W_{f,F}(g; s)$ , see §8.8.)

**PROOF.** Observe that the restriction of  $W_{f,F}(*;s)$  to  $G_{E_v}$  belongs to  $Sh(\xi_v^s, \Xi_v)$ , where  $\xi_v^s = (\xi_{v,i} \cdot | |_{E_v}^s)_{1 \le i \le n-1}$ . Then the proposition is an immediate consequence of Theorem 6.4.

9.3. In the remainder of this section, we let  $F \in \mathcal{A}_{\operatorname{cusp}}(G_E \setminus G_A/K_A; \Omega; \Xi)$  and  $f \in \mathcal{A}_{L^2}(G_{o,E} \setminus G_{o,A}/K_{o,A}; \omega; \xi)$ . To define a Rankin-Selberg convolution, we introduce certain Eisenstein series on G = GL(n). Let P and Q be the standard maximal parabolic subgroups of G of types (n-1, 1) and (1, n-1), respectively. Namely,  $P = N_P M_P$  and  $Q = N_O M_O$  where

$$\begin{split} N_P = & \left\{ \begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix} \right\}, \quad M_P = \left\{ \begin{bmatrix} g_o & 0 \\ 0 & t \end{bmatrix} \middle| g_o \in G_o = GL(n-1), \ t \in GL(1) \right\}, \\ N_Q = & \left\{ \begin{bmatrix} 1 & X' \\ 0 & 1_{n-1} \end{bmatrix} \right\}, \quad M_Q = & \left\{ \begin{bmatrix} t & 0 \\ 0 & g_o \end{bmatrix} \middle| g_o \in G_o, \ t \in GL(1) \right\}. \end{split}$$

Note that P and Q are not conjugate in G if  $n \ge 3$ . Let  $\delta_P$  and  $\delta_Q$  be the modules of  $P_A$  and  $Q_A$ . We use the same letters  $\delta_P$  and  $\delta_Q$  to denote their natural extensions to  $G_A$ . Namely,

(9.2) 
$$\delta_{P}\left(\begin{bmatrix} g_{o} & * \\ 0 & t \end{bmatrix} k\right) = \left| \frac{\det g_{o}}{t^{n-1}} \right|_{A}$$

(9.3) 
$$\delta_{Q}\left(\begin{bmatrix} t & * \\ 0 & g_{o} \end{bmatrix} k\right) = \left| \frac{t^{n-1}}{\det g_{o}} \right|_{A}$$

 $(g_o \in G_{o,A}, t \in A^{\times}, k \in K_A)$ . For  $g \in G_A$  and  $s \in C$ , we get

(9.4) 
$$\phi(g; s; f, \Omega^{-1}; P) = (\omega \Omega)^{-1}(t) f(g_0) \delta_P(g)^{s/n+1/2}$$

$$\left(g\!=\!\!\begin{bmatrix}g_o & * \\ 0 & t\end{bmatrix}\!\!k,\, n\!\in\!N_{\!A}\!,\, g_o\!\in\!G_{o,\!A}\!,\, t\!\in\!A^\times,\, k\!\in\!K_{\!A}\right)$$

(9.5) 
$$\phi(g; s; 1; Q) = \delta_0(g)^{s/n + 1/2}.$$

Define the Eisenstein series as follows (cf. [J-S1]):

(9.6) 
$$E(g; s; f, \Omega^{-1}; P) = \sum_{\gamma \in P_E \setminus G_E} \phi(\gamma g; s; f, \Omega^{-1}; P)$$

(9.7) 
$$E(g; s; \mathbf{1}; Q) = \sum_{\gamma \in Q_E \setminus G_E} \phi(\gamma g; s; \mathbf{1}; Q).$$

The series are absolutely convergent if Re(s) is sufficiently large. Put

(9.8) 
$$E^*(g; s; f, \Omega^{-1}; P) = \xi(\Omega \omega \otimes f; s+1)E(g; s; f, \Omega^{-1}; P).$$

Then  $E^*(g; s; f, \Omega^{-1}; P)$  is continued to an entire function of s and satisfies the functional equation  $E^*(g; s; f, \Omega^{-1}; P) = E^*({}^tg^{-1}; -s; \tilde{f}, \Omega; P)$ . Next set

(9.9) 
$$E^*(g; s; \mathbf{1}; Q) = \xi_E\left(s + \frac{n}{2}\right) E(g; s; \mathbf{1}; Q) .$$

Then  $E^*(g; s; 1; Q)$  is continued to a meromorphic function of s on C, holomorphic except at simple poles s = n/2 and -n/2 with residues  $|d_E|$  and  $-|d_E|$ , respectively, and satisfies the functional equation  $E^*(g; s; 1; Q) = E^*({}^tg^{-1}; -s; 1; Q)$ . The normalized Eisenstein series (9.8) and (9.9) are slowly increasing functions of g on  $G_E \setminus G_A$  with central characters  $\Omega^{-1}$  and 1 (the trivial character), respectively. We now define a convolution attached to (f, F) of Rankin-Selberg type by

$$(9.10) Z_{f,F}^*(s_1,s_2) = \int_{Z_AG_F\backslash G_A} F(g)E^*(g;s_1;f,\Omega^{-1};P)E^*(g;s_2;1;Q)dg.$$

The integral (9.10) is absolutely convergent if  $Re(s_1)$  and  $Re(s_2)$  are sufficiently large. By the properties of the Eisenstein series stated above,  $Z_{f,F}^*(s_1, s_2)$  is continued to an entire function of  $(s_1, s_2)$  on  $C^2$  (note that the Eisenstein series is orthogonal to any cusp forms). The main result of this section is stated as follows:

9.4. THEOREM. We have

$$Z_{f,F}^{*}(s_{1},s_{2}) = |d_{E}|^{n-1} \xi \left(\Omega \omega \otimes \tilde{F}; \frac{n-1}{n} s_{1} + \frac{1}{n} s_{2} + \frac{1}{2}\right) W_{f,F}\left(1; \frac{s_{1} - s_{2}}{n}\right).$$

9.5. To prove the theorem, we need some preparation. For  $i, j \ (1 \le i, j \le n, i \ne j)$ ,

put  $U_{ij} = \{1_n + a \cdot E_{ij} \mid a \in E\}$ , where  $E_{ij} = (\delta_{ik}\delta_{jl})_{1 \le k, l \le n} \in M_n(E)$ . For  $j \ (1 \le j \le n)$ , let  $w_j \in G_E$  be the permutation matrix corresponding to the transposition  $(1 \ j)$  and put  $U_j = \prod_{1 \le i < j} U_{ij}$ . Note that  $U_j$  is a subgroup of  $G_E$  and  $U_n = N_{P,E}$  (cf. §9.3). The Bruhat decomposition for G implies

(9.11) 
$$E(g; s; \mathbf{1}; Q) = \sum_{j=1}^{n} \sum_{u \in U_j} \phi(w_j u g; s; \mathbf{1}; Q).$$

9.6. The following result is elementary and we omit its proof.

LEMMA. For  $X \in A^{n-1}$  and  $s \in C$ , we have

$$\phi\left(w_n\begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix}; s; \mathbf{1}; Q\right) = v_{s+n/2}(X).$$

9.7. THE PROOF OF THEOREM 9.4. Set

$$Z_{f,F}(s_1, s_2) = \int_{Z_4G_F \setminus G_4} F(g)E(g; s_1; f, \Omega^{-1}; P)E(g; s_2; \mathbf{1}; Q)dg.$$

Note that  $Z_{f,F}^*(s_1, s_2) = \xi_E(s_2 + n/2)\xi(\Omega\omega \otimes f; s_1 + 1)Z_{f,F}(s_1, s_2)$ . Unwinding the Eisenstein series  $E(g; s_1; f, \Omega^{-1}; P)$ , we have

$$\begin{split} Z_{f,F}(s_{1},s_{2}) &= \int_{Z_{A}P_{E}\backslash P_{A}} F(p)\phi(p;s_{1};f,\Omega^{-1};P)E(p;s_{2};\mathbf{1};Q)d_{l}p \\ &= \int_{G_{o,E}\backslash G_{o,A}} dg_{o} \int_{E^{n-1}\backslash A^{n-1}} dX \, F\left(\begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix} \begin{bmatrix} g_{o} & 0 \\ 0 & 1 \end{bmatrix}\right) f(g_{o}) |\det g_{o}|_{A}^{s_{1}/n-1/2} \\ &\times E\left(\begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix} \begin{bmatrix} g_{o} & 0 \\ 0 & 1 \end{bmatrix}; s_{2};\mathbf{1};Q\right), \end{split}$$

where

$$d_l p = |\det g_o|^{-1} dg_o dX \left( p = \begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix} \begin{bmatrix} g_o & 0 \\ 0 & 1 \end{bmatrix} \right)$$

is a left invariant measure on  $Z_A \setminus P_A$ . By (9.11),  $Z_{f,F}(s_1, s_2)$  equals

$$\sum_{j=1}^{n} \int_{G_{o,E} \setminus G_{o,A}} dg_{o} \int_{E^{n-1} \setminus A^{n-1}} dX F \begin{pmatrix} 1_{n-1} & X \\ 0 & 1 \end{pmatrix} \begin{bmatrix} g_{o} & 0 \\ 0 & 1 \end{bmatrix} f(g_{o}) |\det g_{0}|_{A}^{s_{1}/n-1/2}$$

$$\times \sum_{u \in U_{j}} \phi \left( w_{j} u \begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix} \begin{bmatrix} g_{o} & 0 \\ 0 & 1 \end{bmatrix}; s_{2}; \mathbf{1}; Q \right).$$

We claim that the term for j with  $1 \le j \le n-1$  vanishes. Observe that every  $w_j u$  ( $u \in U_j$ ) normalizes  $N_{P,A}$  and that  $\phi(g; s; 1; Q)$  is a left  $N_{P,A}$ -invariant function of g. Then we have

$$\begin{split} \int_{E^{n-1}\backslash A^{n-1}} F\left(\begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix} \begin{bmatrix} g_o & 0 \\ 0 & 1 \end{bmatrix}\right) \sum_{u\in U_j} \phi\left(w_j u \begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix} \begin{bmatrix} g_o & 0 \\ 0 & 1 \end{bmatrix}; s_2; \mathbf{1}; Q\right) dX \\ &= \sum_{u\in U_j} \phi\left(w_j u \begin{bmatrix} g_o & 0 \\ 0 & 1 \end{bmatrix}; s_2; \mathbf{1}; Q\right) \int_{E^{n-1}\backslash A^{n-1}} F\left(\begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix} \begin{bmatrix} g_o & 0 \\ 0 & 1 \end{bmatrix}\right) dX = 0 \end{split}$$

by the cuspidality of F, which proves our claim. Thus  $Z_{f,F}(s_1, s_2)$  equals

$$\begin{split} \int_{G_{o,E}\backslash G_{o,A}} dg_o \int_{A^{n-1}} dX F & \begin{pmatrix} \begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix} \end{bmatrix} \begin{bmatrix} g_o & 0 \\ 0 & 1 \end{bmatrix} \end{pmatrix} f(g_o) |\det g_o|_A^{s_1/n-1/2} \\ & \times \phi & \begin{pmatrix} w_n \begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix} \end{bmatrix} \begin{bmatrix} g_o & 0 \\ 0 & 1 \end{bmatrix}; s_2; \mathbf{1}; Q \end{pmatrix}. \end{split}$$

Since

$$\phi\left(w_{n}\begin{bmatrix}1_{n-1} & X\\ 0 & 1\end{bmatrix}\begin{bmatrix}g_{o} & 0\\ 0 & 1\end{bmatrix}; s_{2}; \mathbf{1}; Q\right) = |\det g_{o}|_{\mathbf{A}}^{-(s_{2}/n+1/2)}v_{s_{2}+n/2}(g_{o}^{-1}X)$$

by Lemma 9.6,  $Z_{f,F}(s_1, s_2)$  equals

$$\begin{split} & \int_{A^{n-1}} \left\{ \int_{G_{o,E} \backslash G_{o,A}} F \left( \begin{bmatrix} g_o & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix} \right) f(g_o) |\det g_o|_A^{(s_1 - s_2)/n} dg_o \right\} v_{s_2 + n/2}(X) dX \\ & = \int_{A^{n-1}} W_{f,F} \left( \begin{bmatrix} 1_{n-1} & X \\ 0 & 1 \end{bmatrix}; \frac{s_1 - s_2}{n} \right) v_{s_2 + n/2}(X) dX \,. \end{split}$$

The theorem now follows from Proposition 9.2.

q.e.d

9.8. REMARK. In view of Theorem 9.4 and §8.8 (iii), we have proved that the product  $E(g; s_1; f, \Omega^{-1}; P)E(g; s_2; 1; Q)$  has no cuspidal component unless f is generic.

#### 10. Orbit decomposition.

10.1. Let  $G_1 = GL(n+1)$ . We often regard G = GL(n) (and  $G_o = GL(n-1)$ ) as a subgroup of  $G_1$  via the embedding

$$\iota(g) = \begin{bmatrix} 1 & 0 \\ 0 & g \end{bmatrix} \quad (g \in G) \ .$$

Let  $P_1$  be the standard parabolic subgroup of  $G_1$  corresponding to the partition n+1=1+(n-1)+1 as in §7.1. Recall that  $P_1=N_1M_1$ , where

$$N_1 = \left\{ \begin{bmatrix} 1 & * & * \\ 0 & 1_{n-1} & * \\ 0 & 0 & 1 \end{bmatrix} \in G_1 \right\} \quad \text{and} \quad M_1 = \left\{ \begin{bmatrix} t \\ g_o \\ t' \end{bmatrix} \middle| t, t' \in GL(1), g_o \in G_o \right\}.$$

For  $i \ (1 \le i \le n+1)$ , let  $e_i = (0, ..., 0, 1, 0, ..., 0) \in E^{n+1}$  be the vector with the *i*-th

component 1 and the others 0. Then  $P_1 = \{g_1 \in G_1 \mid g_1 \cdot e_1 = \lambda e_1, {}^t g^{-1} \cdot e_{n+1} = \lambda' e_{n+1}$ 

- 10.2. Let  $\mathscr{X} = \{(x, y) \in E^{n+1} \times E^{n+1} \mid \langle x, y \rangle := {}^{t}xy = 1\}$ . The group  $G_{1,E}$  acts on  $\mathscr{X}$  transitively by  $g_1 \cdot (x, y) = (g_1 x, {}^{t}g_1^{-1}y)$ . Then  $G_E$  is the isotropy subgroup of  $(e_1, e_1) \in \mathscr{X}$  in  $G_{1,E}$ .
  - 10.3. Define the elements  $Y_i$  ( $0 \le i \le 5$ ) of  $G_{1,E}$  as follows:

$$(10.1) \quad Y_{0} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1_{n-1} & 0 \\ 1 & 0 & 1 \end{bmatrix}, \quad Y_{1} = \begin{bmatrix} 1 & 0 & 0 \\ e & 1_{n-1} & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad Y_{2} = 1_{n+1}, \quad Y_{3} = \begin{bmatrix} 0 & 0 & -1 \\ 0 & 1_{n-1} & 0 \\ 1 & -^{t}e & 0 \end{bmatrix},$$

$$Y_{4} = \begin{bmatrix} 0 & 0 & -1 \\ 0 & 1_{n-1} & 0 \\ 1 & 0 & 0 \end{bmatrix}, \quad Y_{5} = \begin{bmatrix} 1 & -^{t}e & 0 \\ e & (1_{n-1} - e \cdot^{t}e) & 0 \\ 0 & 0 & 1 \end{bmatrix},$$

where  $e = {}^{t}(1, 0, ..., 0) \in E^{n-1}$  (e=1 if n=2). We put  $\mathcal{Q}_i = \Upsilon_i^{-1} P_1 \Upsilon_i \cap G$ . Then, viewed as subgroups of G, the  $\mathcal{Q}_i$ 's are given as follows:

$$\begin{aligned} (10.2) \quad & \mathcal{Q}_o = \left\{ \begin{bmatrix} g_o & 0 \\ 0 & 1 \end{bmatrix} \middle| g_o \in G_o \right\}, \quad & \mathcal{Q}_1 = \left\{ \begin{bmatrix} 1 & * & * \\ 0 & g' & * \\ 0 & 0 & t \end{bmatrix} \middle| g' \in GL(n-2), \ t \neq 0 \right\}, \\ & \mathcal{Q}_2 = \left\{ \begin{bmatrix} g_o & * \\ 0 & t \end{bmatrix} \middle| g_o \in G_o, \ t \neq 0 \right\}, \quad & \mathcal{Q}_3 = \left\{ \begin{bmatrix} 1 & 0 & 0 \\ * & g' & 0 \\ * & * & t \end{bmatrix} \middle| g' \in GL(n-2), \ t \neq 0 \right\}, \\ & \mathcal{Q}_4 = \left\{ \begin{bmatrix} g_o & 0 \\ * & t \end{bmatrix} \middle| g_o \in G_o, \ t \neq 0 \right\}, \quad & \mathcal{Q}_5 = \left\{ \begin{bmatrix} t & * & * \\ 0 & g' & * \\ 0 & 0 & t' \end{bmatrix} \middle| g' \in GL(n-2), \ t, \ t' \neq 0 \right\}. \end{aligned}$$

- 10.4. Proposition. (i)  $G_{1,E} = \coprod_{i=0}^{5} P_{1,E} Y_i l(G_E)$  (disjoint union).
- (ii) If i>0, there exists a normal subgroup  $U_i$  of  $\mathcal{Q}_i$  such that  $U_i$  is the unipotent radical of a proper parabolic subgroup of G and that  $Y_iU_iY_i^{-1} \subset N_1$ .

**PROOF.** Let  $(x, y) \in \mathcal{X}$  and write

$$x = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}_{n-1}^{1}, \quad y = \begin{bmatrix} y_1 \\ y_2 \\ y_3 \end{bmatrix}_{n-1}^{1}.$$

Put

$$\begin{split} & \mathscr{X}_0 = \left\{ (x,y) \in \mathscr{X} \, \middle| \, x_3 \neq 0, \, y_1 \neq 0 \right\} \,, \quad \mathscr{X}_1 = \left\{ (x,y) \in \mathscr{X} \, \middle| \, x_2 \neq 0, \, x_3 = 0, \, y_1 \neq 0 \right\} \\ & \mathscr{X}_2 = \left\{ (x,y) \in \mathscr{X} \, \middle| \, x_2 = 0, \, x_3 = 0, \, y_1 \neq 0 \right\} \,, \quad \mathscr{X}_3 = \left\{ (x,y) \in \mathscr{X} \, \middle| \, x_3 \neq 0, \, y_1 = 0, \, y_2 \neq 0 \right\} \\ & \mathscr{X}_4 = \left\{ (x,y) \in \mathscr{X} \, \middle| \, x_3 \neq 0, \, y_1 = 0, \, y_2 = 0 \right\} \,, \quad \mathscr{X}_5 = \left\{ (x,y) \in \mathscr{X} \, \middle| \, x_3 = y_1 = 0 \right\} \,. \end{split}$$

We have  $\mathscr{X} = \coprod_{i=0}^{5} \mathscr{X}_{i}$  (disjoint union) and  $\mathscr{X}_{i} = P_{1,E} \Upsilon_{i} \cdot (e_{1}, e_{1})$  ( $0 \le i \le 5$ ), which proves (i). Put

$$U_1 = U_2 = U_5 = \left\{ \begin{bmatrix} 1_{n-1} & * \\ 0 & 1 \end{bmatrix} \in G \right\} \quad \text{and} \quad U_3 = U_4 = \left\{ \begin{bmatrix} 1_{n-1} & 0 \\ * & 1 \end{bmatrix} \in G \right\}.$$

Then it is easily checked that each  $U_i$  satisfies the condition of (ii). q.e.d.

# 11. Rankin-Selberg convolution (II).

11.1. We keep the notation of §8 and §10. Let  $F \in \mathscr{A}_{\operatorname{cusp}}(G_E \setminus G_A/K_A; \Omega; \Xi)$  and  $f \in \mathscr{A}_{L^2}(G_{o,E} \setminus G_{o,A}/K_{o,A}; \omega; \xi)$ . Put  $K_{1,A} = \prod_{v \in \mathscr{P}} K_{1,v}$ , where  $K_{1,v}$  is defined in the same way as that for  $K_v$  in §8.2. For  $s, s' \in C$ , we define a function  $\phi(*; s, s'; f)$  on  $G_{1,A}$  by

(11.1) 
$$\phi \left( n_1 \begin{bmatrix} t \\ g_o \\ t' \end{bmatrix} k_1; s, s'; f \right) = f(g_o) |t|_{A}^{s+n/2} |t'|_{A}^{-(s'+n/2)},$$

where  $n_1 \in N_{1,A}$ ,  $t, t' \in A^{\times}$ ,  $g_o \in G_{o,A}$  and  $k_1 \in K_{1,A}$ . If Re(s) and Re(s') are sufficiently large, the Eisenstein series

(11.2) 
$$\mathscr{E}(g_1; s, s'; f) = \sum_{\gamma_1 \in P_{1,E} \setminus G_{1,E}} \phi(\gamma_1 g_1; s, s'; f)$$

is absolutely convergent. Put

(11.3) 
$$\mathscr{E}^*(g_1; s, s'; f) = \xi_{\mathcal{E}}(s+s'+1)\xi(f; s'+1)\xi(\tilde{f}; s+1)\mathscr{E}(g_1; s, s'; f),$$

where  $\tilde{f}(x) = f({}^tx^{-1}) \in \mathscr{A}_{L^2}(G_{o,E} \setminus G_{o,A}/K_{o,A}; \omega^{-1}; \xi^{-1})$  and  $\xi(f; s)$  is the completed standard L-function of f (see §8.5). Then  $\mathscr{E}^*(g_1; s, s'; f)$  is continued to a meromorphic function of (s, s') on  $\mathbb{C}^2$  and satisfies the functional equation

$$\mathscr{E}^*(g_1; -s', -s; f) = \mathscr{E}^*(g_1; s, s'; f)$$
.

Moreover,  $\mathscr{E}^*(g_1; s, s'; f)$  is a slowly increasing function of  $g_1$  on  $G_{1,E} \setminus G_{1,A}$ .

11.2. Let  $C_c^{\infty}(\mathbf{R}_+^{\times})$  be the space of compactly supported smooth functions on  $\mathbf{R}_+^{\times}$  (the set of positive real numbers). For  $v \in C_c^{\infty}(\mathbf{R}_+^{\times})$ , we set

(11.4) 
$$\mathscr{Z}_{f,F}^{*}(s,s';v) = \int_{G_{F}\backslash G} F(g)\mathscr{E}^{*}(\iota(g);s,s';f)v(|\det g|_{A})dg .$$

Since F(g) is rapidly decreasing and  $\mathscr{E}^*(\iota(g); s, s'; f)$  is slowly increasing on  $G_E \setminus G_A$ , the integral (11.4) is absolutely convergent and defines a meromorphic function of (s, s') on

 $C^2$ . Note that the integral

$$\int_{G_{E}\backslash G} F(g)\mathscr{E}^*(\iota(g); s, s'; f) dg$$

is not necessarily absolutely convergent.

11.3. Take a sequence  $\{v_j\}$  in  $C_c^{\infty}(\mathbf{R}_+^{\times})$  satisfying

$$(11.5) 0 < v_1(x) \le v_2(x) \le \cdots \le 1$$

$$\lim_{j \to \infty} v_j(x) = 1$$

for every x>0. The aim of this section is to show the following:

11.4. THEOREM. Assume that Re(s), Re(s') are sufficiently large. Then we have

$$\lim_{j\to\infty} \mathscr{Z}_{f,F}^*(s,s';v_j) = |d_E|^{n-1}\xi\left(F;s+\frac{1}{2}\right)\xi\left(\tilde{F};s'+\frac{1}{2}\right)\cdot W_{f,F}(1).$$

(Note that the limit is independent of the choice of  $\{v_i\}$ .)

11.5. For  $g \in G_A$ , we take  $\alpha(g)$ ,  $\alpha'(g) \in A^{\times}$  and  $\beta(g) \in C_{o,A}$  so that

(11.7) 
$$Y_{o} \cdot \iota(g) = n_{1} \begin{bmatrix} \alpha(g) \\ \beta(g) \\ \alpha'(g) \end{bmatrix} k_{1}$$

 $(n_1 \in N_{1,A}, k_1 \in K_{1,A})$ . We put

(11.8) 
$$\mathscr{Z}_{f,F}(s,s';v) = \int_{G_F \setminus G_A} F(g) \mathscr{E}(\iota(g);s,s';f) v(|\det g|_A) dg.$$

Note that

(11.9) 
$$\mathscr{Z}_{f,F}^{*}(s,s';v) = \xi_{E}(s+s'+1)\xi(f;s'+1)\xi(\tilde{f};s+1) \cdot \mathscr{Z}_{f,F}(s,s';v) .$$

11.6. Proposition (Basic identity). For  $v \in C_c^{\infty}(\mathbb{R}_+^{\times})$ , we have

$$\mathscr{Z}_{f,F}(s,s';v) = \int_{G_{0,A}\backslash G_{A}} W^{v}_{f,F}(\beta(g)^{-1}g) |\alpha(g)|_{A}^{s+n/2} |\alpha'(g)|_{A}^{-(s'+n/2)} dg,$$

where we put

$$(11.10) W_{f,F}^{v}(g) = \int_{G_{\sigma,E} \setminus G_{\sigma,A}} f(x)F(xg)v(|\det x \cdot \det g|_{A})dx (g \in G_{A}).$$

PROOF. Unwinding the Eisenstein series in (11.8) and using Proposition 10.4 (i), we obtain

$$\begin{split} \mathscr{Z}_{f,F}(s,s';v) &= \int_{G_E \setminus G_A} F(g) \sum_{i=0}^5 \sum_{\gamma \in \mathscr{Q}_{i,E} \setminus G_E} \phi(\Upsilon_i \gamma g;s,s';f) v(|\det g|_{\mathbf{A}}) dg \\ &= \sum_{i=0}^5 \int_{\mathscr{Q}_{i,\mathbf{A}} \setminus G_A} dg \int_{\mathscr{Q}_{i,E} \setminus \mathscr{Q}_{i,\mathbf{A}}} F(qg) \phi(\Upsilon_i qg;s,s';f) v(|\det(qg)|_{\mathbf{A}}) d_l q \;, \end{split}$$

where  $d_lq$  is a left invariant measure of  $\mathcal{Q}_{i,A}$ . Since F is cuspidal, the integral over  $\mathcal{Q}_{i,E} \setminus \mathcal{Q}_{i,A}$  vanishes for  $i \ge 1$  in view of Proposition 10.4 (ii). It follows that  $\mathcal{Z}_{i,F}(s,s';v)$  is equal to

$$\int_{G_0, A\setminus G_A} \left\{ \int_{G_0, E\setminus G_0, A} F(xg) \phi(Y_0 xg; s, s'; f) v(|\det x \cdot \det g|_A) dx \right\} dg.$$

Since

$$\phi(Y_{o}xg; s, s'; f) = \phi(xY_{o}g; s, s'; f) = f(x\beta(g))|\alpha(g)|_{A}^{s+n/2}|\alpha'(g)|_{A}^{-(s'+n/2)}$$

for  $x \in G_o(A)$  and  $g \in G(A)$ , the integral over  $G_{o,E} \setminus G_{o,A}$  is equal to  $|\alpha(g)|_A^{s+n/2} \cdot |\alpha'(g)|_A^{-(s'+n/2)} W_{f,F}^o(\beta(g)^{-1}g)$ . This completes the proof of the proposition. q.e.d.

11.7. For 
$$g = \prod_{v \in \mathscr{D}} g_v \in G_A$$
 and  $s \in \mathbb{C}$ , we put

$$(11.11) N_{G_A,s}(g) = \prod_{v \in \mathscr{P}} N_{G_v,s}(g_v)$$

(for the definition of  $N_{G_v,s}$ , see (7.2)). For  $v \in C_c^{\infty}(\mathbb{R}_+^{\times})$  and  $s, s' \in \mathbb{C}$ , we set

(11.12) 
$$J_{f,F}(s,s';v) = \int_{G_A} W_{f,F}^v(g) N_{G_A,s'+n/2}(g) N_{G_A,s+n/2}({}^tg^{-1}) dg.$$

11.8. Lemma. For  $v \in C_c^{\infty}(\mathbb{R}_+^{\times})$  and  $s, s' \in \mathbb{C}$  with Re(s), Re(s') sufficiently large, we have

$$J_{f,F}(s,s';v) = |d_E|^{-(n-1)+n(n-1)/4} \frac{\xi(\tilde{f};s+1)\xi(f;s'+1)}{\xi_F^{(n-1)}(s+s'+n)} \mathcal{Z}_{f,F}(s,s';v).$$

PROOF. Observe that  $\varphi * W_{f,F}^{v} = \xi_{v}^{\wedge}(\varphi) \cdot W_{f,F}^{v}$  for  $\varphi \in \mathcal{H}_{G_{o,v}}$   $(v \in \mathcal{P}_{f})$  and that  $L_{z}W_{f,F}^{v} = \xi_{v}^{\wedge}(z) \cdot W_{f,F}^{v}$  for  $z \in \mathcal{Z}_{G_{o,v}}$   $(v \in \mathcal{P}_{\infty})$ . It follows that for any bi- $K_{o,A}$ -invariant function  $\varphi$  we have

$$\int_{G_{\varrho,A}} W^{\upsilon}_{f,F}\left(\begin{bmatrix} x & 0 \\ 0 & 1 \end{bmatrix} g\right) \varphi(x) dx = W^{\upsilon}_{f,F}(g) \int_{G_{\varrho,A}} \phi_{\xi^{-1}}(x) \varphi(x) dx$$

if the integral is absolutely convergent. Here we put  $\phi_{\xi^{-1}}(x) = \prod_{v \in \mathscr{P}} \phi_{\xi_v^{-1}}(x_v)$  for  $x = \prod_{v \in \mathscr{P}} x_v \in G_{o, A}$ . On the other hand, by Proposition 7.6 we have

$$\begin{split} N_{G_A,s'+n/2} & \left( \begin{bmatrix} x & 0 \\ 0 & 1 \end{bmatrix} g \right) N_{G_A,s+n/2} \left( \begin{bmatrix} {}^t x^{-1} & 0 \\ 0 & 1 \end{bmatrix} {}^t g^{-1} \right) \\ & = N_{G_{G_A,s'+n/2}} (x \cdot \beta(g)) N_{G_{G_A,s+n/2}} ({}^t (x \cdot \beta(g))^{-1}) \times |\alpha(g)|_A^{s+n/2} |\alpha'(g)|_A^{-(s'+n/2)} \,. \end{split}$$

The lemma is then proved by an argument similar to that of §7.7. q.e.d

11.9 Let  $\{v_j\}$  be a sequence in  $C_c^{\infty}(\mathbf{R}_+^{\times})$  satisfying (11.5) and (11.6). In view of Lemma 11.8 and (11.9), it remains to show the following result to complete the proof of Theorem 11.4:

LEMMA. Assume that Re(s) and Re(s') are sufficiently large. Then

$$\lim_{j \to \infty} J_{f,F}(s,s';v_j) = |d_E|^{n(n-1)/4} \frac{\xi\left(F;s + \frac{1}{2}\right)\xi\left(\tilde{F};s' + \frac{1}{2}\right)}{\xi_E^{(n)}(s+s'+n)} \times W_{f,F}(1).$$

PROOF. By the definition of  $W_{f,F}^{v}$  and the left  $K_A$ -invariance of  $N_{G_A,s+s'+n}(g)$ , the integral  $J_{f,F}(s,s';v_i)$  is equal to

$$\int_{G_A} dg \int_{G_0, F \setminus G_{0,A}} dx \, f(x) N_{G_A, s+s'+n}(g) \, |\det g|_A^{s+n/2} v_j(|\det x \cdot \det g|_A) \int_{K_A} F(xkg) dk \, .$$

By an argument similar to that in the proof of Lemma 6.8, we have

$$\int_{K_A} F(xkg)dk = F(x) \int_{K_A} \phi_{\Xi}(kg)dk ,$$

where  $\phi_{\mathcal{Z}}(g) = \prod_{v \in \mathscr{P}} \phi_{\mathcal{Z}_v}(g_v)$  for  $g = \prod_{v \in \mathscr{P}} g_v \in G_{\mathcal{A}}$ . It follows that  $J_{f,F}(s,s';v_j)$  equals

$$\int_{G_{0,E}\backslash G_{0,A}} f(x)F(x) \left\{ \int_{G_{A}} \phi_{\Xi}(g) N_{G_{A,S+S'+n}}(g) | \det g |_{A}^{s+n/2} v_{j}(|\det x \cdot \det g |_{A}) dg \right\} dx .$$

By (11.5), we have

$$\left| \int_{G_A} \phi_{\Xi}(g) N_{G_A,s+s'+n}(g) |\det g|_A^{s+n/2} v_j(|\det x \cdot \det g|_A) dg \right|$$

$$\leq \int_{G_A} \phi_{\operatorname{Re}(\Xi)}(g) N_{G_A,\operatorname{Re}(s+s'+n)}(g) |\det g|_A^{\operatorname{Re}(s)+n/2} dg.$$

Observe that the last integral is absolutely convergent if Re(s) and Re(s') are sufficiently large and that the value is independent of j and  $x \in G_{o,A}$ . Since the integral  $\int_{G_{o,E} \setminus G_{o,A}} f(x)F(x)dx$  is absolutely convergent (cf. Lemma 8.6), we may applying Fubini's theorem and Lebesgue's convergence theorem to obtain

$$\begin{split} \lim_{j \to \infty} J_{f,F}(s,s';v_j) &= \int_{G_{o,E} \backslash G_{o,A}} f(x)F(x) \bigg\{ \int_{G_A} \phi_{\Xi}(g) N_{G_A,s+s'+n}(g) |\det g|_A^{s+n/2} \\ & \times \lim_{j \to \infty} v_j (|\det x \cdot \det g|_A) dg \bigg\} dx \\ &= \int_{G_{o,E} \backslash G_{o,A}} f(x)F(x) dx \int_{G_A} \phi_{\Xi}(g) N_{G_A,s+s'+n}(g) |\det g|_A^{s+n/2} dg \;. \end{split}$$

Since the integral over  $G_A$  is equal to

$$|d_{E}|^{n(n-1)/4} \frac{\xi\left(F; s + \frac{1}{2}\right)\xi\left(\tilde{F}; s' + \frac{1}{2}\right)}{\xi_{E}^{(n)}(s + s' + n)}$$

by Lemma 7.5, we have completed the proof of the lemma.

q.e.d.

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FACULTY OF SCIENCE

KYOTO SANGYO UNIVERSITY

FACULTY OF SCIENCE

HIROSHIMA UNIVERSITY

Мотоуама, Камідамо

HIGASHI-HIROSHIMA 739

Куото 603

Japan

Japan