

1 Similarity and diversity of genetic architecture for complex 2 traits between East Asian and European populations

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4 Jinhui Zhang^{1#}, Shuo Zhang^{1#}, Jiahao Qiao^{1#}, Ting Wang^{1*}, Ping Zeng^{1-5*}

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6 1. Department of Biostatistics, School of Public Health, Xuzhou Medical University,
7 Xuzhou, Jiangsu, 221004, China

8 2. Center for Medical Statistics and Data Analysis, Xuzhou Medical University,
9 Xuzhou, Jiangsu, 221004, China

10 3. Key Laboratory of Human Genetics and Environmental Medicine, Xuzhou Medical
11 University, Xuzhou, Jiangsu, 221004, China

12 4. Key Laboratory of Environment and Health, Xuzhou Medical University, Xuzhou,
13 Jiangsu, 221004, China

14 5. Engineering Research Innovation Center of Biological Data Mining and Healthcare
15 Transformation, Xuzhou Medical University, Xuzhou, Jiangsu, 221004, China

16

17 # Co-first authors

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19 Correspondence to

20 [Ting Wang](#), Department of Biostatistics, Xuzhou Medical University, Xuzhou, Jiangsu
21 221004, China. Tel: 86-516-8326 3736; Fax: 86-516-8326 3736; E-mail:
22 100002009025@xzhmu.edu.cn

23 [Ping Zeng](#), Department of Biostatistics, Center for Medical Statistics and Data
24 Analysis, Key Laboratory of Human Genetics and Environmental Medicine, Key
25 Laboratory of Environment and Health, and Engineering Research Innovation Center
26 of Biological Data Mining and Healthcare Transformation, Xuzhou Medical
27 University, Xuzhou, Jiangsu 221004, China. Tel: 86-516-8326 3736; Fax: 86-516-
28 8326 3736; E-mail: zpstat@xzhmu.edu.cn

29 **Abstract**

30 **Background:** Genome-wide association studies have detected a large number of
31 single-nucleotide polymorphisms (SNPs) associated with complex traits in diverse
32 ancestral groups. However, the trans-ethnic similarity and diversity of genetic
33 architecture is not well understood currently.

34 **Results:** By leveraging summary statistics of 37 traits from East Asian ($N_{\max}=254,373$)
35 or European ($N_{\max}=693,529$) populations, we first evaluated the trans-ethnic genetic
36 correlation (ρ_g) and found substantial evidence of shared genetic overlap underlying
37 these traits between the two populations, with $\hat{\rho}_g$ ranging from 0.53 (se=0.11) for
38 adult-onset asthma to 0.98 (se=0.17) for hemoglobin A1c. However, 88.9% of the
39 genetic correlation estimates were significantly less than one, indicating potential
40 heterogeneity in genetic effect across populations. We next identified common
41 associated SNPs using the conjunction conditional false discovery rate method and
42 observed 21.7% of trait-associated SNPs can be identified simultaneously in both
43 populations. Among these shared associated SNPs, 20.8% showed heterogeneous
44 influence on traits between the two ancestral populations. Moreover, we demonstrated
45 that population-common associated SNPs often exhibited more consistent linkage
46 disequilibrium and allele frequency pattern across ancestral groups compared to
47 population-specific or null ones. We also revealed population-specific associated
48 SNPs were much likely to undergo natural selection compared to population-common
49 associated SNPs.

50 **Conclusions:** Our study provides an in-depth understanding of similarity and
51 diversity regarding genetic architecture for complex traits across diverse populations,
52 and can assist in trans-ethnic association analysis, genetic risk prediction, and causal
53 variant fine mapping.

54 **Keywords:** Trans-ethnic analysis, genetic similarity and diversity, summary statistics,
55 genome-wide association study, conditional false discovery rate

56 **Background**

57 Over the past few years, large-scale genome-wide association studies (GWASs) have
58 convincingly detected a large number of genetic loci associated with a series of
59 complex traits by casting single-nucleotide polymorphisms (SNPs) across the entire
60 genome [1], generating novel biological knowledge and renovating diagnostic and
61 treatment tools for diseases [2, 3]. However, existing GWASs have been heavily
62 biased towards European (EUR) individuals, with 66.4% (=7,377/11,113, until 2023-
63 4-25) of studies conducted in EUR but only a few in other populations [4]. As medical
64 genomics studies have become increasingly large and diverse, acquiring insights into
65 similarity and diversity of trait-associated SNPs among distinct populations and
66 consequently the transferability of disease genetic risk is imperative in clinical
67 translation [5].

68 It has been revealed that many trait-associated SNPs identified in GWASs of EUR
69 ancestry can be replicated in other ethnic groups [2, 6-10], in the sense that they show
70 significant association with consistent direction of genetic effects in non-EUR
71 individuals, indicating complex traits enjoy common genetic components across
72 diverse continental populations. For example, the trans-ethnic genetic correlation is
73 0.79 (se=0.04) for ulcerative colitis and 0.76 (se=0.04) for Crohn's disease [11], 0.46
74 (se=0.06) for rheumatoid arthritis (RA) [12], 0.33 (se=0.03) for major depressive
75 disorder [13], and 0.39 (se=0.15) for attention-deficit hyperactivity disorder between
76 the EUR and East Asian (EAS) populations. However, these estimated trans-ethnic
77 genetic correlation are in general significantly less than one in spite of being larger
78 than zero [12, 14], which meanwhile suggests ancestral diversity.

79 Indeed, ancestry-relevant heterogeneity regarding varying allele frequency and
80 linkage disequilibrium (LD) patterns is observed for some causal variants such that a
81 significant association detected in one population is not readily identified in others [5,
82 15, 16]. Notable examples include a nonsense variant in *TBC1D4*, which confers
83 muscle insulin resistance and increases risk for type 2 diabetes (T2D) and is common
84 in Greenland but rare or absent in other populations [17], several common EAS-
85 specific coding variants that influence blood lipids by exerting a protective effect
86 against alcoholism [18, 19], and two loci associated with major depression that are

87 more common in the Chinese population than EUR (i.e., 45% vs. 2% for rs12415800,
88 and 28% vs. 6% for rs35936514) [20, 21]. As another example, multiple associated
89 loci located within *PCSK9*, *APOA*, *APOC*, and *ABCA1* all play key roles in lipid
90 genetics in both the EUR and African (AFR) Americans, yet the precise alleles within
91 each locus differ substantially between the two populations, supporting the
92 perspective that lipid-associated SNPs are largely shared across ancestral groups, but
93 the allelic structure within a locus may be shaped by population history and thus
94 exhibits considerable heterogeneity [22, 23].

95 Prior work has investigated the replicability of GWAS discoveries for some particular
96 traits, displaying the similarity and diversity of associated SNPs across ancestral
97 groups [7, 12, 24, 25]. However, those studies primarily focused on limited traits or a
98 small set of significant loci [7, 24]; it is unknown whether their conclusions can be
99 generalized to other traits or to genome-wide SNPs given the polygenic nature of
100 many complex phenotypes. In addition, some of previous studies focused mainly on
101 the trans-ethnic genetic correlation [12, 25, 26], which however only quantifies an
102 overall genetic similarity across the whole genome and cannot characterize detailed
103 association pattern for individual SNPs. A comprehensive genome-wide assessment of
104 trans-ethnic similarity and diversity of genetic components for traits is challenging
105 because our knowledge of genetic architecture within each population is not fully
106 understood.

107 To fill in this critical knowledge gap mentioned above, here we obtained GWAS
108 summary statistics of 37 complex traits from the EAS and EUR populations to
109 perform a complete comparison of genetic similarity and diversity across the two
110 populations. We first evaluated the trans-ethnic genetic correlation to quantify the
111 extent of common genetic basis to which these traits shared [12]. Then, we identified
112 population-specific and population-common trait-associated SNPs [27, 28].
113 Afterwards, we conducted the marginal genetic correlation analysis and heterogeneity
114 test for these associated SNPs [25]. Finally, we evaluated how the LD and minor
115 allele frequency (MAF) patterns varied among various types of SNPs and studied
116 whether the genetic differentiation between ancestry groups can be explained via
117 natural selection [7, 24]. We also assessed the genetic influence of associated SNPs on
118 traits across populations by calculating a genetic risk score (GRS) [24, 29].

119 **Results**

120 **Overview of the used statistical methods**

121 We here give a brief overview of some important statistical methods employed in our
122 analyses and showed more methodological descriptions in the Materials and Methods
123 Section. Technical details of all used methods could be found in respective original
124 papers. We here analyzed a total of 37 complex traits (10 binary and 27 continuous)
125 using summary statistics obtained from EAS-only or EUR-only GWASs (**Table 1**).

126 We first employed linkage disequilibrium score regression (LDSC) to estimate SNP-
127 based heritability for every trait in each population [30]. Next, to evaluate genetic
128 similarity and diversity of these traits across populations, we calculated the global
129 trans-ethnic genetic correlation (ρ_g) via popcorn [12]. We identified common trait-
130 associated SNPs via the conditional false discovery rate (cFDR) and conjunction
131 conditional false discovery rate (ccFDR) methods in the two populations [27, 28].

132 Relying on cFDR and ccFDR, for each trait we divided all analyzed SNPs into four
133 incompatible groups. For every trait we estimated the marginal genetic correlation (r_m)
134 of SNP effect sizes in each of the four groups using MAGIC [25]. For common trait-
135 associated SNPs, we examined the heterogeneity in genetic effect on the trait across
136 EAS and EUR populations via Cochran's Q test.

137 Then, we obtained the two LD scores and MAF for every SNP in the four groups
138 based on genotypes available from EAS or EUR individuals in the 1000 Genomes
139 Project, and calculated their coefficient of variation of LD scores (LDCV) and
140 coefficient of variation of MAF (MAFCV) across the populations to investigate
141 whether there exist different patterns of LD and MAF for trait-associated SNPs
142 compared to those null ones.

143 Finally, to further demonstrate the direction of genetic differentiation, for each trait we
144 conducted a GRS analysis [29]. We also investigated whether the sample size
145 difference could influence our findings with regards to genetic similarity and diversity
146 of traits between the EAS and EUR populations.

147 **Heritability and trans-ethnic genetic correlation**

148 **Estimated heritability**

149 We first present the estimated SNP-based heritability (\hat{h}^2) of these traits. It was shown
150 some traits (e.g., height and schizophrenia [SCZ]) were more heritable, with a large
151 heritability estimate; but other (e.g., ischemic stroke [IS] and atopic dermatitis [AD])
152 exhibited low heritability (**Table 1**). Although the estimates of heritability were highly
153 correlated between the EAS and EUR populations (Pearson's correlation=0.738,
154 $P=1.83\times 10^{-7}$), there still existed an obvious trans-ethnic distinction in heritability. For
155 example, platelet count (PLT) showed the maximal difference in estimated heritability,
156 with $\hat{h}^2=1.1\%$ (se=1.4%) in EAS but 20.1% (se=1.8%) in the EUR population; in
157 contrast, the heritability of atrial fibrillation (AF) was much larger in the EAS
158 population compared to that in the EUR population (9.5% (se=2.7%) vs. 2.0%
159 (se=0.3%)). By carrying out an approximate normal test, we observed that 70.3%
160 (=26/37) of heritability estimates were significantly different across the two
161 populations (FDR<0.05) (**Table 1**), largely reflecting diversity in polygenic genetic
162 architecture across traits and ancestral groups.

163 We did not find a substantial correlation between sample size and heritability in both
164 populations (Pearson's correlation=0.112 with $P=0.508$ in the EAS population, and
165 Pearson's correlation=-0.025 with $P=0.884$ in the EUR population). However, as
166 expected, we indeed discovered suggestive evidence that larger sample size can lead
167 to more accurate estimate of heritability, with Pearson's correlation=-0.266 in the
168 EAS population and -0.102 in the EUR population between sample size and standard
169 error of heritability. We further used the coefficient of variation of sample sizes (NCV)
170 to measure the difference of sample sizes, but did not observe a significant correlation
171 between NCV and the coefficient of variation of heritability (Pearson's
172 correlation=0.029, $P=0.867$).

173 **Table 1.** Summary information of complex traits analyzed in the present study

trait	\hat{h}_1^2 (se_1)	\hat{h}_2^2 (se_2)	$P_{\Delta h^2}$	$\hat{\rho}_g$ (se, P_ρ)	trait	\hat{h}_1^2 (se_1)	\hat{h}_2^2 (se_2)	$P_{\Delta h^2}$	$\hat{\rho}_g$ (se, P_ρ)
SCZ	0.360 (0.020)	0.364 (0.015)	8.73×10^{-1}	0.74 (0.10, 1.33×10^{-2})	TG	0.117 (0.037)	0.209 (0.045)	1.14×10^{-1}	-
RA	0.143 (0.034)	0.115 (0.016)	4.56×10^{-1}	0.70 (0.14, 2.65×10^{-2})	HbA1c	0.073 (0.014)	0.039 (0.006)	2.56×10^{-2}	0.98 (0.17, 9.25×10^{-1})
AF	0.095 (0.027)	0.020 (0.003)	5.77×10^{-3}	-	eGFR	0.071 (0.007)	0.058 (0.004)	1.07×10^{-1}	0.83 (0.05, 4.42×10^{-4})
T2D	0.066 (0.004)	0.041 (0.002)	2.27×10^{-8}	0.93 (0.04, 5.89×10^{-2})	AAM	0.081 (0.008)	0.125 (0.006)	1.08×10^{-5}	-
COA	0.015 (0.003)	0.043 (0.006)	3.00×10^{-5}	0.57 (0.09, 1.66×10^{-6})	ANM	0.082 (0.013)	0.132 (0.013)	6.54×10^{-3}	0.66 (0.09, 2.34×10^{-4})
AOA	0.015 (0.003)	0.030 (0.003)	4.07×10^{-4}	0.53 (0.11, 1.21×10^{-5})	PLT	0.011 (0.014)	0.201 (0.018)	7.95×10^{-17}	0.84 (0.07, 2.51×10^{-2})
AD	0.008 (0.002)	0.040 (0.015)	3.45×10^{-2}	-	RBC	0.085 (0.010)	0.151 (0.017)	8.19×10^{-4}	0.92 (0.06, 1.39×10^{-1})
BRC	0.053 (0.030)	0.106 (0.010)	9.38×10^{-2}	-	MCV	0.132 (0.018)	0.227 (0.032)	9.67×10^{-3}	0.87 (0.07, 7.48×10^{-2})
IS	0.010 (0.002)	0.008 (0.001)	3.71×10^{-1}	0.89 (0.20, 5.69×10^{-1})	HCT	0.052 (0.006)	0.104 (0.010)	8.24×10^{-6}	0.88 (0.08, 1.38×10^{-1})
PCA	0.038 (0.007)	0.055 (0.007)	8.59×10^{-2}	0.83 (0.14, 2.13×10^{-1})	MCH	0.114 (0.017)	0.224 (0.036)	5.73×10^{-3}	0.87 (0.12, 2.52×10^{-1})
TL	0.075 (0.023)	0.062 (0.014)	6.29×10^{-1}	0.61 (0.20, 4.63×10^{-2})	MCHC	0.040 (0.007)	0.083 (0.014)	6.01×10^{-3}	0.84 (0.15, 2.75×10^{-1})
BMI	0.141 (0.008)	0.181 (0.007)	1.68×10^{-4}	0.84 (0.04, 1.53×10^{-5})	HGB	0.050 (0.006)	0.111 (0.013)	2.04×10^{-5}	0.79 (0.10, 3.70×10^{-2})
height	0.327 (0.018)	0.286 (0.015)	8.01×10^{-2}	0.86 (0.04, 2.57×10^{-4})	MONO	0.059 (0.010)	0.163 (0.019)	1.27×10^{-6}	0.80 (0.09, 2.99×10^{-2})
DBP	0.046 (0.005)	0.106 (0.005)	2.16×10^{-17}	0.73 (0.06, 6.70×10^{-6})	NEUT	0.087 (0.011)	0.026 (0.005)	4.46×10^{-7}	0.77 (0.06, 2.42×10^{-4})
SBP	0.056 (0.006)	0.109 (0.004)	1.99×10^{-13}	0.71 (0.05, 5.62×10^{-9})	EO	0.055 (0.011)	0.130 (0.013)	1.06×10^{-5}	0.76 (0.09, 5.98×10^{-3})
PP	0.037 (0.004)	0.094 (0.004)	7.07×10^{-24}	0.73 (0.07, 8.80×10^{-5})	BASO	0.039 (0.014)	0.058 (0.007)	2.25×10^{-1}	0.63 (0.12, 2.07×10^{-3})
HDL	0.154 (0.033)	0.241 (0.061)	2.10×10^{-1}	-	LYMPH	0.057 (0.010)	0.144 (0.011)	4.85×10^{-9}	0.84 (0.10, 8.26×10^{-2})
LDL	0.058 (0.012)	0.171 (0.029)	3.18×10^{-4}	0.77 (0.18, 1.97×10^{-1})	WBC	0.070 (0.008)	0.139 (0.013)	6.17×10^{-6}	0.75 (0.06, 3.85×10^{-5})
TC	0.054 (0.009)	0.183 (0.026)	2.75×10^{-6}	0.92 (0.11, 4.79×10^{-1})					

174 **Note:** the first ten traits are binary, and the remaining 27 traits are continuous; \hat{h}_1^2 and \hat{h}_2^2 are the estimated heritability of the trait in EAS and EUR GWASs
175 using LDSC; se_1 and se_2 are the standard error of the trait in EAS and EUR GWASs. $P_{\Delta h^2}$ denotes the P values available from an approximate normal test for
176 examining the difference between \hat{h}_1^2 and \hat{h}_2^2 , with the significant difference after multiple-comparison correction showing in bold (FDR<0.05). The
177 significant trans-ethnic genetic correlations (i.e., $\hat{\rho}_g$) compared with one are also displayed in bold. SCZ: schizophrenia; AF: atrial fibrillation; T2D: type 2
178 diabetes; COA: childhood-onset asthma; AOA: adult-onset asthma; AD: atopic dermatitis; BRC: breast cancer; IS: ischemic stroke; PCA: prostate cancer; RA:
179 rheumatoid arthritis; BMI: body mass index; DBP: diastolic blood pressure; SBP: systolic blood pressure; PP: pulse pressure; HDL: high-density lipoprotein
180 cholesterol; LDL: low-density lipoprotein cholesterol; TC: total cholesterol; TG: triglyceride; HbA1c: hemoglobin A1c; eGFR: estimated glomerular filtration
181 rate; AAM: age at menarche, ANM: age at natural (non-surgical) menopause; PLT: platelet count; RBC: red blood cell count; MVC: mean corpuscular
182 volume; HCT: hematocrit; MCH: mean corpuscular hemoglobin; MCHC: mean corpuscular hemoglobin concentration; HGB: hemoglobin concentration;
183 MONO: monocyte count; NEUT: neutrophil count; EO: eosinophil count; BASO: basophil count; LYMPH: lymphocyte count; WBC: white blood cell count;
184 TL: telomere length.

185 **Estimated trans-ethnic genetic correlation**

186 The trans-ethnic genetic correlation estimates of six traits (i.e., AF, AD, breast cancer
187 [BRC], high-density lipoprotein cholesterol [HDL], age at menarche [AAM], and
188 triglyceride [TG]) were larger than one and thus not included in the following
189 descriptions. All the traits exhibited positive trans-ethnic genetic correlation between
190 the EAS and EUR populations (**Table 1**), with $\hat{\rho}_g$ ranging from 0.53 (se=0.11) for
191 adult-onset asthma (AOA) to 0.98 (se=0.17) for hemoglobin A1c (HbA1c).

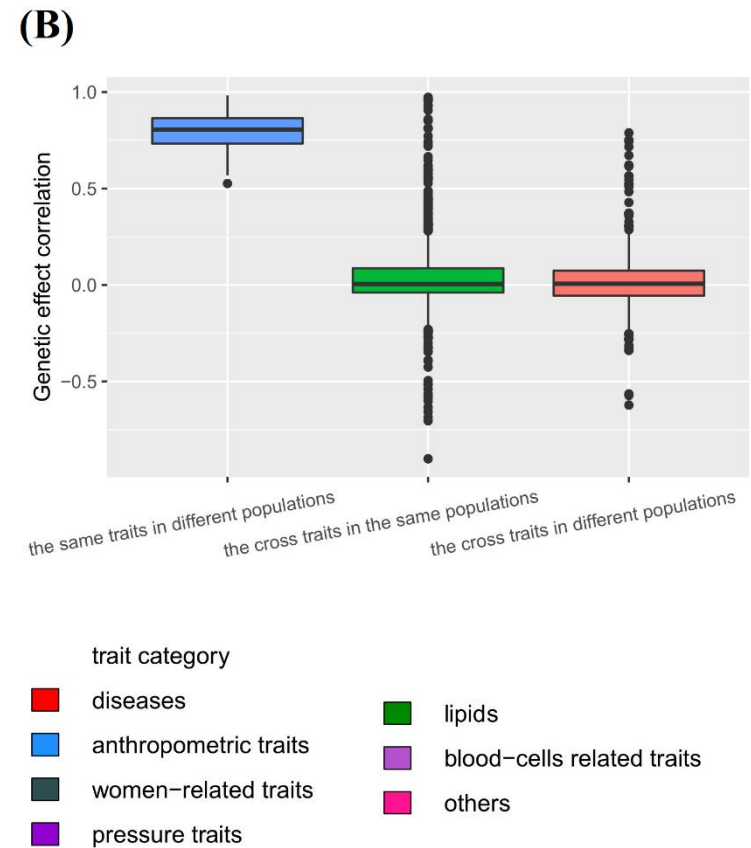
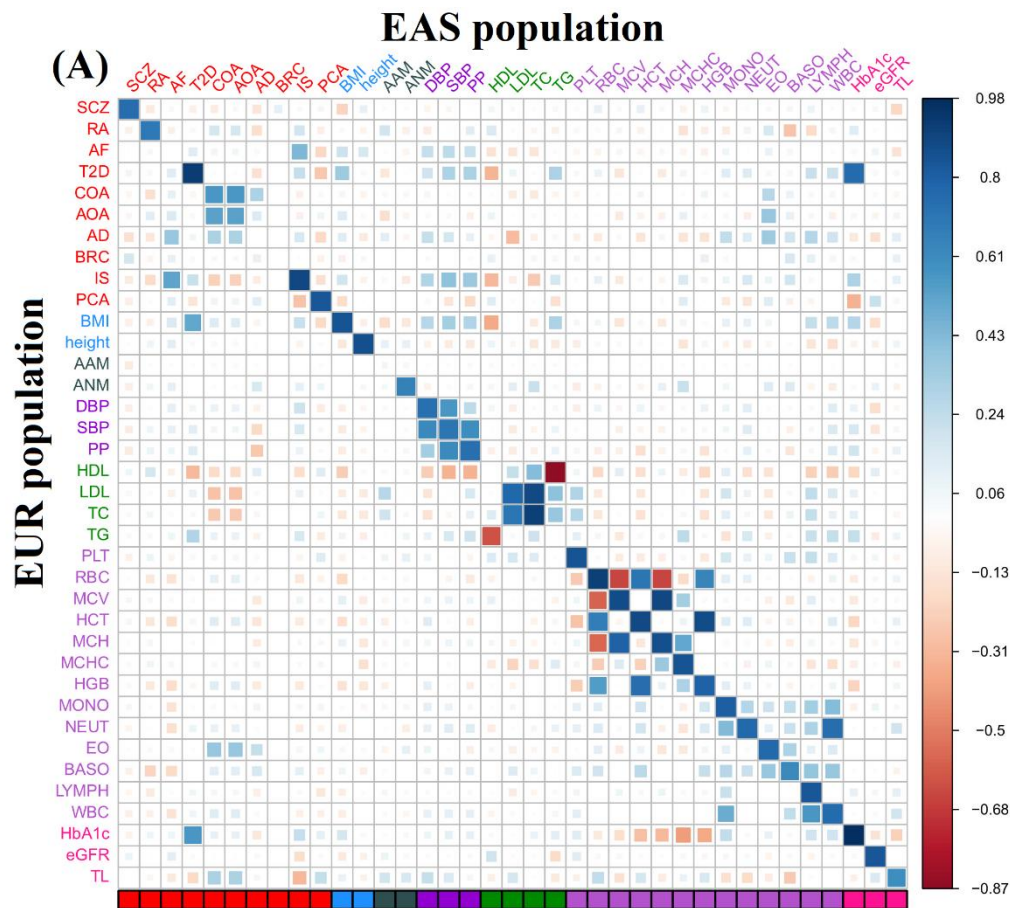
192 All the trans-ethnic genetic correlation estimates were significantly larger than zero
193 ($H_0: \rho_g=0$), but 61.3% (=19/31) were less than one ($H_0: \rho_g=1$) (FDR<0.05), indicating
194 potential heterogeneity in genetic effects across populations. The average of $\hat{\rho}_g$ across
195 traits was 0.79, and the average of $\hat{\rho}_g$ for binary phenotypes was 0.74 (se=0.15),
196 which was slightly (although not significantly, $P=0.217$, possibly due to limited
197 number of binary phenotypes under analysis) smaller than that for continuous ones
198 (the average of $\hat{\rho}_g=0.81$ (se=0.09)).

199 **Comparison for trans-ethnic genetic correlation, cross-trait trans-ethnic genetic** 200 **correlation, and cross-trait genetic correlation**

201 Furthermore, as an empirical comparison, we calculated cross-trait trans-ethnic
202 genetic correlation and anticipated that the cross-trait correlation should be on average
203 much smaller than that for the same traits in the two populations because of greatly
204 distinct genetic foundations. As expected, it was found the same traits generally
205 showed much greater genetic similarity compared to distinct traits although some of
206 them, such as three lipids including low-density lipoprotein cholesterol [LDL], total
207 cholesterol [TC], and TG, as well as three blood pressures including diastolic blood
208 pressure [DBP], systolic blood pressure [SBP], and pulse pressure [PP], also exhibited
209 relatively high cross-trait trans-ethnic genetic correlation (**Figure 1A** and **Table S1**).
210 For instance, the cross-trait trans-ethnic genetic correlation ranged from -0.62 to 0.79,
211 approximately 47.0% were negative, with an average of only 0.02 (**Figure 1B**).

212 We also included the cross-trait genetic correlation in the same population as a
213 reference. Totally, it was seen that the cross-trait genetic correlations in the same

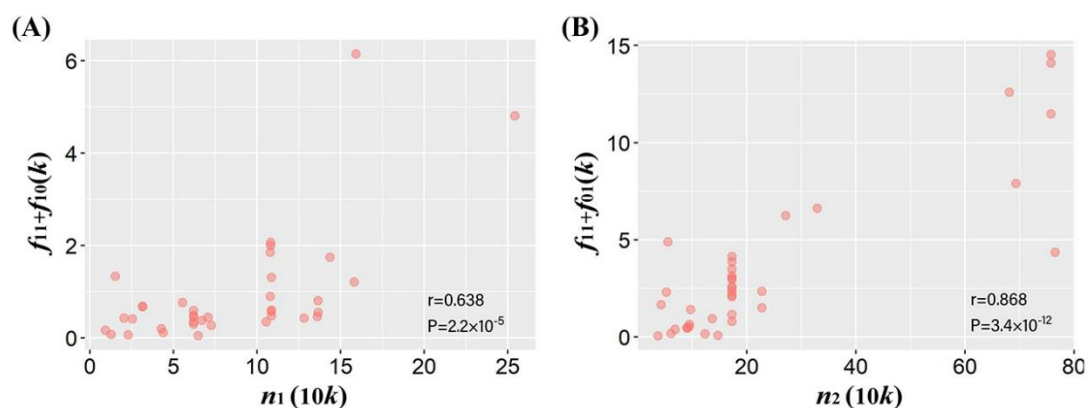
214 population were comparable to the cross-trait trans-ethnic genetic correlations across
215 different populations but were much lower than the trans-ethnic genetic correlation of
216 the same trait in diverse populations (**Figure 1B** and **Table S2**).



217

218 **Figure 1.** (A) Estimated trans-ethnic genetic correlation of 37 traits between the EAS and EUR populations. Elements in the diagonal represent
 219 the trans-ethnic genetic correlation for the same traits in the two populations, while elements in the off-diagonal represent the trans-ethnic
 220 genetic correlation for two different traits in the two populations. (B) Comparison of the estimated genetic correlation for the trait in the same
 221 population, two different traits in the same population, and two different traits in the EAS and EUR populations.

222 We did not discover a substantial connection between NCV and $\hat{\rho}_g$ (Pearson's
223 correlation=-0.148, $P=0.426$), implying that the imbalanced sample sizes would not
224 significantly affect the estimate of trans-ethnic genetic correlation. However, we
225 observed that the difference of sample sizes in a pair of traits could affect the
226 significance of $\hat{\rho}_g$. More specifically, $\hat{\rho}_g$ trended to be statistically different from one
227 if larger imbalance of sample sizes was present (NCV=0.43 (se=0.25) for the 17 non-
228 significant traits vs. 0.69 (se=0.27) for the 14 significant ones, $P=0.010$ in terms of the
229 approximate normal test).



230
231 **Figure 2.** (A) Relationship between n_1 and $f_{11}+f_{10}$; (B) Relationship between n_2 and
232 $f_{11}+f_{01}$. Here, f_{10} and f_{01} are the number of identified associated SNPs for the trait in
233 the EAS or EUR population, respectively, and f_{11} is the number of shared trait-
234 associated SNPs; n_1 and n_2 stand for the effective sample size for traits in the EAS or
235 EUR population; r stands for the Pearson's correlation, with P the corresponding P
236 value; k on the x-axis means 1,000 for identified trait-associated SNPs and $10k$ on the
237 y-axis means 10,000 for sample size of traits.

238 Associated SNPs for each trait in the EAS or EUR population

239 To discover trait-associated SNPs shared across the EAS and EUR populations, we
240 carried out the cFDR analysis using a set of distinct SNPs [27, 28], with the number
241 of significant associations displayed in **Table 2**. In general, more associated SNPs
242 (cFDR<0.05) were discovered for most of the analyzed traits (94.6%=35/37, except
243 for telomere length [TL] and HbA1c) in the EUR population (denoted by $f_{01}+f_{11}$ in
244 **Table 2**) compared to the EAS population (denoted by $f_{10}+f_{11}$ in **Table 2**). This is a
245 direct consequence of higher power due to larger sample sizes of EUR traits (**Figure**
246 **2A-B**) and implies that additional trait-associated loci would be detected if increasing
247 samples especially in the EAS population. Only a few of SNPs were detected for

248 some traits such as AD and IS, but much more associated SNPs were identified for
249 others (e.g., BMI and height), partly indicating the polygenic nature of these traits [3,
250 31].

251 **Table 2.** Number of associated SNPs (cFDR/ccFDR<0.05) for traits in the EAS and EUR populations

trait	k	f_{i0}	f_{o1}	f_{i1}	$\hat{\pi}_{11}(\%)$	P_{LRT}	trait	k	f_{i0}	f_{o1}	f_{i1}	$\hat{\pi}_{11}(\%)$	P_{LRT}
SCZ	458,236	154	4,295	605	12.0	1.8×10^{-266}	TG	121,414	162	259	181	30.1	7.3×10^{-86}
RA	203,961	450	781	881	41.7	1.8×10^{-266}	HbA1c	124,962	88	50	106	43.4	3.3×10^{-58}
AF	236,293	166	1,262	242	14.5	1.2×10^{-51}	eGFR	236,693	805	3,413	933	18.1	1.8×10^{-266}
T2D	475,656	2,897	4,331	1,904	20.8	1.8×10^{-266}	AAM	319,439	35	6,271	340	5.1	7.6×10^{-174}
COA	250,069	259	1,877	421	16.5	6.2×10^{-259}	ANM	137,069	31	296	85	20.6	2.3×10^{-48}
AOA	249,871	239	970	432	26.3	1.1×10^{-217}	PLT	222,492	793	2,929	1,205	24.5	1.8×10^{-266}
AD	274,668	81	84	80	32.7	1.2×10^{-51}	RBC	222,589	413	2,258	890	25.0	1.8×10^{-266}
BRC	337,234	3	2,274	71	3.0	2.8×10^{-24}	MCV	222,587	790	2,597	1,273	27.3	1.8×10^{-266}
IS	246,666	10	30	39	49.4	1.6×10^{-25}	HCT	222,528	141	1,691	457	20.0	1.3×10^{-256}
PCA	247,201	134	641	293	27.4	2.4×10^{-171}	MCH	222,441	661	2,308	1,184	28.5	1.8×10^{-266}
TL	125,043	23	17	43	51.8	9.1×10^{-48}	MCHC	222,580	233	817	338	24.4	2.4×10^{-213}
BMI	118,899	438	11,818	768	5.9	1.8×10^{-134}	HGB	222,563	132	1,725	339	15.4	1.9×10^{-226}
height	139,126	3,528	5,292	2,616	22.9	1.8×10^{-266}	MONO	222,622	147	2,524	440	14.1	3.8×10^{-160}
DBP	214,705	84	13,624	468	3.3	2.1×10^{-128}	NEUT	222,435	170	2,020	309	12.4	4.3×10^{-201}
SBP	213,840	165	13,874	642	4.4	6.1×10^{-150}	EO	222,395	114	2,190	334	12.7	6.9×10^{-184}
PP	213,862	46	11,069	409	3.5	2.7×10^{-125}	BASO	222,525	143	598	200	21.3	2.7×10^{-90}
HDL	122,159	218	305	227	30.3	1.2×10^{-104}	LYMPH	222,490	32	2,307	263	10.1	1.3×10^{-160}
LDL	121,447	94	287	178	31.8	1.0×10^{-112}	WBC	222,550	343	2,451	555	16.6	6.7×10^{-272}
TC	122,106	151	367	275	34.7	3.9×10^{-170}							

252 **Note:** k is the total number of SNPs analyzed by cFDR; f_{i0} and f_{o1} are the number of identified SNPs that are only associated with the trait in the
253 EAS or EUR population, respectively, and f_{i1} is the number of shared associated SNPs; $\hat{\pi}_{11}$ is the proportion of trait-associated SNPs that are
254 shared by both populations estimated in terms of the four-group model; P_{LRT} is the adjusted P value of genetic overlap obtained by the LRT
255 method in the four-group model.

256 **Shared associated SNPs of traits between the EAS and EUR populations**

257 Furthermore, we identified many significant SNPs shared across the EAS and EUR
258 populations ($ccFDR < 0.05$, denoted by f_{11} in **Table 2**). On average, 21.7% of
259 associated SNPs were discovered simultaneously for the same traits in both
260 populations. The LRT implemented under the four-group model framework also
261 offered considerably strong evidence supporting common genetic foundation
262 underlying each trait between the two population. The proportion of shared associated
263 SNPs varied substantially across these traits, ranging from only 3.0% for BRC and 3.3%
264 for DBP to 49.4% for IS and 51.8% for TL (**Table 2**).

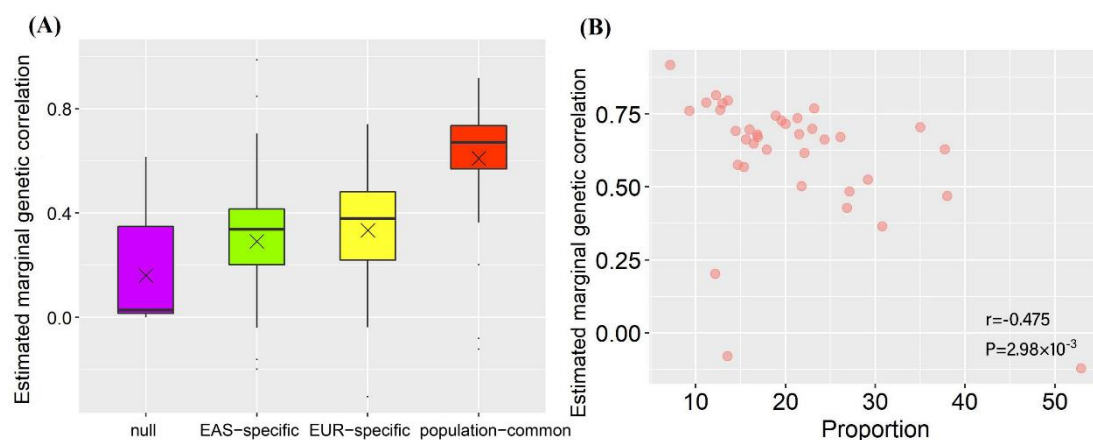
265 On average, approximately 67.1% of trait-associated SNPs in the EUR population
266 were also detected to be significant in the EAS population, but only 26.8% of trait-
267 associated SNPs in the EAS population were replicated to be significant in the EUR
268 population. Among these shared significant SNPs, 44.1% and 77.0% showed genome-
269 wide significance ($P < 5 \times 10^{-8}$) in the EAS or EUR population (**Table S3**). We observed
270 that the number of population-common associated loci (i.e., f_{11}) was negatively
271 correlated with NCV (Pearson's correlation = -0.095, with a marginally significant P
272 value of 0.057), indicating that smaller difference of sample sizes in a pair of traits
273 (e.g., increasing the sample size of traits in the EAS population) might lead to more
274 discoveries of shared SNPs.

275 **Characteristics of shared associated SNPs**

276 **Similarity and heterogeneity of associated SNPs across populations**

277 It needs to highlight that we can divide all analyzed SNPs into four groups based on
278 the associations identified above: (i) null SNPs; (ii) EAS-specific associated SNPs; (iii)
279 EUR-specific associated SNPs; (iv) population-common associated SNPs. For these
280 SNPs, it is shown that population-common associated SNPs often exhibited a
281 maximal positive correlation in effect sizes compared to population-specific
282 associated SNPs and null ones (**Figure 3A** and **Table S4**). For example, the marginal
283 genetic correlation of effect sizes for shared trait-associated SNPs was 0.92 ($se = 0.04$)
284 for TL, followed by 0.81 ($se = 0.02$) for white blood cell count (WBC) and 0.80
285 ($se = 0.03$) for NEUT, with an average estimate of 0.61 ($se = 0.04$) across these traits,

286 which was much higher than that for EAS-specific ($\hat{r}_m=0.29$ (se=0.05)) or EUR-
287 specific ($\hat{r}_m=0.33$ (se=0.05)) trait-associated SNPs or null SNPs ($\hat{r}_m=0.09$ (se=0.02)).



288
289 **Figure 3.** (A) Estimated marginal genetic correlation of effect sizes for SNPs in the
290 four incompatible groups; (B) Relationship between proportions of genetic effect
291 heterogeneity of shared associated SNPs and the cross-population marginal genetic
292 correlations of SNP effect sizes; × means the median.

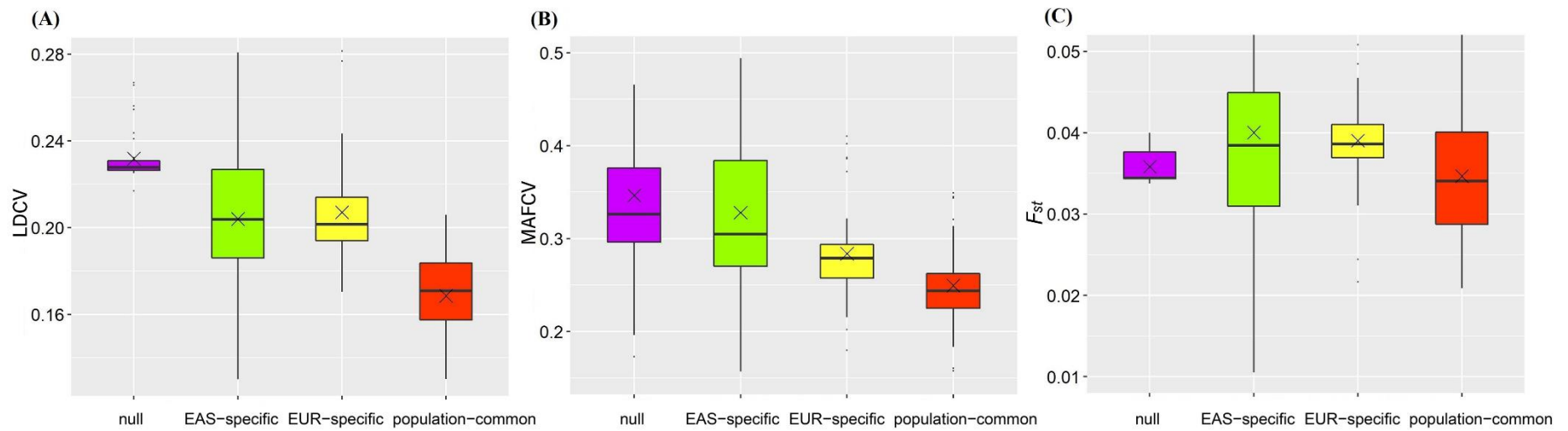
293 We found that the effect size slopes of population-common associated SNPs of eight
294 traits (i.e., AF, AD, BRC, TL, SBP, PP, age at natural non-surgical menopause [ANM],
295 and basophil count [BASO]) were not significantly different from one (FDR>0.05)
296 (Supplement File and Figure S1), suggesting that effect sizes of shared associated
297 SNPs are considerably consistent in magnitude for these traits; whereas great
298 deviations of the estimated slopes from one were observed for the remaining 29 traits
299 (FDR<0.05) (e.g., HbA1c, T2D, estimated glomerular filtration rate (eGFR), SCZ and
300 AAM), indicating substantial trans-ethnic diversity of SNP effect sizes for these traits.
301 In addition, we did not detect substantial evidence supporting the influence of
302 imbalanced sample sizes (i.e., NCV) on the estimated slope ($P=0.053$).

303 We next performed a heterogeneity test using Cochran's Q test and again focused only
304 on population-common associated SNPs because the population-specific associated
305 SNPs can be naturally considered to be heterogeneous (i.e., theoretically, their SNP
306 effect sizes were non-zero in one population but zero in another population). On
307 average, approximately 20.8% of the common trait-associated SNPs, ranging from 7.1%
308 for TL to 52.9% for AAM, showed heterogeneous genetic effect on traits between the
309 two ancestral populations after Bonferroni's correction for multiple comparisons
310 (Table S5). We here used Bonferroni's method to take the LD among local SNPs into

311 account as it was much more stringent compared to FDR. The high heterogeneity in
312 SNP effect sizes for AAM was in agreement with a prior finding that AAM-associated
313 SNPs often exhibited distinct effect sizes across populations [32]. It can be expected
314 that greater proportion of shared trait-associated SNPs having heterogeneous effects
315 would lead to weaker trans-ethnic marginal genetic correlation (Pearson's correlation=
316 0.48, $P=2.98\times 10^{-3}$) (**Figure 3B**). However, we found little evidence supporting the
317 influence of the sample size difference in a pair of traits (i.e., NCV) on the proportion
318 of population-common SNPs with heterogeneous effects ($P=0.771$).

319 **Difference in LD, MAF, and F_{st} for trait-associated SNPs across populations**

320 First, we observed that, except for three lipid traits (i.e., TL, HDL, and LDL), all the
321 remaining traits showed substantial different variations in LD for SNPs in various
322 groups between the EAS and EUR populations ($FDR<0.05$) (**Figure 4A** and **Figure**
323 **S2**). The average coefficient of variation of LD scores (LDCV) for population-
324 common SNPs was smaller compared to that for null SNPs (0.22 (se=0.02) vs. 0.30
325 (se=0.02), $P=1.04\times 10^{-17}$), for these population-specific loci in EAS (0.22 (se=0.02) vs.
326 0.28 (se=0.04), $P=1.82\times 10^{-9}$) or EUR (0.22 (se=0.02) vs. 0.28 (se=0.03), $P=1.52\times 10^{-$
327 ¹²).



328
 329 **Figure 4.** (A) Distribution for the average LDCV across all analyzed traits in the four groups of SNPs; (B) Distribution for the average MAFCV
 330 across all analyzed traits in the four groups of SNPs; (C) Distribution for the average F_{st} across all analyzed traits in the four groups of SNPs; ×
 331 means the median.

332 On the other hand, we observed that, except for three traits (i.e., HDL, TG, and ANM),
333 all the remaining traits showed substantially different MAFCV for SNPs in distinct
334 groups between the EAS and EUR populations ($FDR < 0.05$) (**Figure 4B** and **Figure**
335 **S3**). On average, the MAFCV for population-common loci were much smaller
336 compared to that for null SNPs (0.33 (se=0.07) vs. 0.46 (se=0.10), $P = 1.54 \times 10^{-12}$), for
337 these population-specific loci in EAS (0.33 (se=0.07) vs. 0.43 (se=0.11), $P = 7.30 \times 10^{-8}$)
338 or EUR (0.33 (se=0.07) vs. 0.40 (se=0.07), $P = 2.22 \times 10^{-8}$).

339 We found that SNPs suffered from natural selection for more than half of traits
340 ($62.2\% = 23/37$) ($FDR < 0.05$) (**Figure S4**). For all analyzed traits, we observed that
341 population-common loci tended to have a smaller mean F_{st} compared with population-
342 specific associated SNPs in EAS (0.056 (se=0.01) vs. 0.062 (se=0.02), $P = 0.032$) or
343 EUR (0.056 (se=0.01) vs. 0.063 (se=0.006), $P = 6.77 \times 10^{-4}$), and they also showed a
344 lower mean F_{st} relative to null ones (0.056 (se=0.01) vs. 0.060 (se=0.001), with a
345 marginally significant $P = 0.086$) (**Figure 4C**). Furthermore, we did not observe
346 significant correlation between F_{st} and LDCV ($P = 0.781$) as well as between F_{st} and
347 MAFCV ($P = 0.602$) (**Figure S5**), indicating the between-population diversity of LD
348 and MAF patterns is not possibly confounded by the differentiation in F_{st} .

349 **Overall and partial GRS of trait-associated SNPs**

350 First, we considered the GRS calculated from all associated SNPs (i.e., $f_{10} + f_{11}$ for
351 EAS or $f_{01} + f_{11}$ for EUR) as an overall measurement of genetic effect on a given trait in
352 each population. Among these, most of the traits had a substantially different GRS
353 between the two populations (**Figure S6**). For example, six traits (i.e., RA, T2D,
354 childhood-onset asthma [COA], AOA, BRC and prostate cancer [PCA]) showed a
355 higher overall genetic effect on EAS, while some (i.e., SCZ, AF, AD and IS)
356 displayed a larger overall genetic effect on EUR.

357 We further generated the GRS computed with only population-common associated
358 SNPs (i.e., f_{11}) or only population-specific associated SNPs (i.e., f_{10} for EAS or f_{01} for
359 EUR), respectively. We viewed these new GRSs to quantify a measurement of partial
360 genetic effect because only part of associated SNPs is employed. Interestingly, 16
361 traits (i.e., RA, T2D, AD, PCA, DBP, SBP, LDL, TC, TG, eGFR, ANM, mean
362 corpuscular hemoglobin [MCV], hematocrit [HCT], monocyte count [MONO],

363 eosinophil count [EO] and WBC) had consistent partial genetic effect compared to the
364 overall one. Specifically, 15 traits (e.g., AF, COA, AOA, BRC, BMI, height, PP,
365 HDL, HbA1c, RBC, mean corpuscular hemoglobin [MCH], mean corpuscular
366 hemoglobin concentration [MCHC], hemoglobin concentration [HGB], NEUT, and
367 BASO) showed consistent partial genetic effect in terms of GRS calculated with EAS-
368 or EUR-specific associated SNPs, whereas only 4 traits (i.e., SCZ, AAM, PLT, and
369 lymphocyte count [LYMPH]) exhibited consistent partial genetic effect in terms of
370 GRS calculated with shared associated SNPs. Particularly, IS and TL exhibited a
371 completely opposite partial genetic effect in terms of the EAS/EUR-specific
372 associated SNPs or population-common associated SNPs compared with the overall
373 genetic impact measured with all trait-associated SNPs.

374 **Discussion**

375 **Summary of results in the present study**

376 The present study has analyzed a total of 37 complex traits and sought to compare
377 shared and distinct genetic components underlying them between the EAS and EUR
378 populations. We discovered there existed pervasive consistence in heritability and
379 trans-ethnic genetic correlation for these traits. Additionally, it needs to highlight that
380 the trans-ethnic genetic correlation of continuous traits was on average higher
381 compared to those binary traits, which may be due to the loss of information when
382 converting some continuous phenotypes into binary ones (e.g., using HbA1c to define
383 T2D) or in part reflects the discrepancy of classification and diagnosis of diseases in
384 distinct populations.

385 Using cFDR [27, 28] we detected a great number of population-common association
386 signals as well as many population-specific associated SNPs. A further exploration
387 demonstrated these shared trait-associated SNPs generally showed the maximal
388 positive correlation in effect sizes compared to population-specific trait-associated
389 SNPs and null ones [7, 25]. Interestingly, we observed that even the shared
390 association signals also exhibited a considerable degree of heterogeneity in genetic
391 influence on traits across the EAS and EUR populations.

392 Furthermore, we revealed that population-specific associated SNPs were often more
393 possible to suffer from natural selection compared with population-common
394 associations, whereas population-common associated SNPs often displayed more
395 consistent patterns in LD and MAF across continental populations.

396 Especially, the GRS analysis showed that population-common and population-specific
397 associated SNPs have potentially different genetic influence on phenotypic variation
398 and that the genetic differentiation from associated SNPs may at least partly explain
399 the observed phenotypic variation across diverse ancestral groups. For example, the
400 average GRS of SCZ in the EUR population was on average higher than that in the
401 EAS population, partly contributing to the observation that SCZ was more prevalent
402 in individuals of EUR ancestry than those of EAS ancestry [33]. The mean GRS of
403 T2D was higher in the EAS population than that in the EUR population, supported by
404 the observed incidence difference between the populations [34, 35]. It was reported

405 that the absolute risk of T2D tended to be higher among Asians compared with
406 Caucasians for any given level of body mass index [BMI] and waist-hip ratio [34]. As
407 another example, for PCA higher mean GRS was observed in EAS compared to EUR,
408 in line with a previous study which indicated that more than half of SNPs showed
409 larger effect sizes in EAS than EUR [36].

410 However, we also observed patterns that seemed to be opposite against prior findings.
411 For example, it was shown the mean GRS of AD in EUR was higher than that in EAS,
412 in contrast to previous observation that Asians and Pacific Islanders were seven-fold
413 more likely than whites to be diagnosed with AD because stronger Th17/Th22
414 polarization and mutations in immune-related genes such as *DEFBI* [37-39]. These
415 inconsistent results can be expected because of the complicated nature of these traits,
416 and can be explained by gene-gene/gene-environment interaction, ethnic difference
417 and genetic factors that are largely underappreciated in our current study.

418 **Comparison our discoveries to prior studies**

419 Like the findings obtained from prior studies that however often focused only on a
420 single trait [11, 40, 41], our work, which considered much more traits and diseases,
421 further confirmed extensive genetic overlap and identified a large number of common
422 associated genetic loci across different populations. From a biological perspective,
423 there is no doubt about the widespread existence of population-shared risk variants
424 because the risk variants targeted by GWASs are often common genetic loci that are
425 believed to be of ancient origin and largely shared among different populations.

426 As revealed in our study, while some of associated SNPs vary substantially across
427 populations, common associated SNPs in the EAS and EUR populations nevertheless
428 often show much more similar effect sizes and effect directions; therefore, at least part
429 of trait-associated SNP mapping results discovered in one population can be
430 transferred to the other populations [42]. It needs to highlight that we may
431 underestimate the degree of genetic sharing between various ancestry groups because
432 the much smaller number of individuals in the EAS GWASs reduces power to detect
433 homogeneity of effect compared to the EUR GWASs. These findings are largely
434 consistent with some recent discoveries that most common causal SNPs were shared
435 across the EAS and EUR populations, high-posterior SNPs identified by fine-mapping

436 often showed highly correlated effects, and population-specific genetic regions likely
437 harbored common trait-associated SNPs which however failed to be detected in the
438 other GWASs due to differences in LD, MAF, and/or sample size [43].

439 On the other hand, despite highly shared genetic architecture, we indeed found
440 evidence of heterogeneity at trait-associated variants, which meanwhile challenges in
441 assessing the transferability of risk variants across different ethnic populations based
442 on associations discovered in EUR GWASs [44, 45]. For example, although the trans-
443 ethnic marginal genetic correlation for population-common associated SNPs of
444 HbA1c between EAS and EUR was as high as 0.63, heterogeneity was detected at
445 37.7% shared associated loci. This diversity may point to the difference in clinical
446 definitions and phenotype measurements [46]; and it can be in part explained by
447 interaction between gene-gene and gene-environment [47].

448 The genetic difference may also underlie the well-known trans-ethnic dissimilarities
449 in prevalence or characteristics of the traits [48-52]. In our analysis, these population-
450 specific association signals largely indicate the significant trans-ethnic difference,
451 which exist in distinct LD and allele frequency [7, 53-55]. In addition, many studies
452 have revealed that, unlike in most European ancestry populations, the population
453 genetic history of non-European ancestry groups has undergone selective pressure due
454 to the effects of malaria and other infectious diseases on erythrocytes [40, 56].
455 Another possible factor for the genetic inconsistency of complex traits between
456 ancestry groups may be due to sample size difference and thus different statistical
457 power between EAS and EUR studies.

458 **Important scientific implications of our findings**

459 Our findings regarding the degree of common or diverse genetic components of the
460 traits across ancestral groups have important implication in practice. For example,
461 theoretically, genetic correlation offers the maximal boundary of trans-ethnic genetic
462 prediction power [5, 12]; however, both overall trans-ethnic correlation and marginal
463 trans-ethnic correlation imply low accuracy when implementing genetic prediction in
464 one population of interest on the basis of associated loci discovered in other
465 populations [25], indicating the need to carry out GWASs with more ancestral groups.

466 In addition, it is helpful for aggregating multiple study cohorts across ethnicities to

467 conduct trans-ethnic GWAS analysis [57-60], developing trans-ethnic genetic risk
468 prediction [25, 61], and fine-mapping causal genetic variants in minority populations
469 [62, 63]. It also holds the key to benefit more ethnic groups from current medical
470 genomics researches [64-66]. All of these offer promising avenues in post-GWAS era
471 by integrating trans-ethnic information.

472 **Potential limitations**

473 The present study is not without limitation. First, as mentioned before, the studies in
474 EAS are in generally underpowered due to much smaller sample size compared to that
475 in EUR and hence our results may be affected by power issues. The small sample size
476 may also lead to unstable effect estimation for these SNPs. Moreover, as shown before,
477 we cannot completely rule out the possibility that the imbalanced sample sizes can
478 also partly interpret the observed trans-ethnic genetic difference in traits. For example,
479 we found that sample size was significantly positively correlated with the number of
480 trait-associated loci in both populations, and that the estimated trans-ethnic genetic
481 correlation would become less significantly different from one when the difference of
482 sample sizes in a pair of traits reduced. Thus, the external validation of our results
483 with larger sample size especially for EAS GWASs is warranted.

484 Second, besides the difference in sample sizes, other discrepancies in study designs
485 such as phenotypic definition, statistical methods, and covariate adjustment can be
486 also contributable to the observed trans-ethnic genetic similarity and diversity.
487 Examining and quantifying the relative contributions are imperative for understanding
488 genetic heterogeneity across populations. However, compared to the difference in
489 sample sizes which are already reported in summary statistics, the design
490 discrepancies in GWAS are difficult to handle with only summary statistics. It needs
491 large-scale individual-level data of phenotypes and genotypes, and is thus challenged
492 by privacy concerns when sharing data [67].

493 Third, individuals of EAS and EUR ancestries are to a great extent genetically similar,
494 whereas more major genetic differences are expected to be found between AFR and
495 non-AFR populations [68]. Therefore, it is not clear whether our findings can be
496 generalized to comparison in other populations such as EUR and AFR. Unfortunately,
497 the number of GWASs performed in individuals of AFR descent is still too limited to

498 enable comparative studies.

499 Fourth, our analysis only considered common SNPs (MAF>0.01) and ignored rare
500 variants, which usually have a recent origin compared with common ones from
501 ancient origin. Theoretically, rare risk variants might be more likely to be population-
502 specific and could possibly carry a greater risk effect, which probably leads us to
503 underestimate the genetic heterogeneity across populations.

504 Fifth, to our knowledge, this is the first time that the cFDR method has been
505 employed in detecting trans-ethnic genetic overlap for a large range of complex traits.
506 However, it implements association mapping at a fixed FDR level rather than the
507 standard error measure such as family-wise error rate (FWER) or type I error rate
508 which is more widely-used in a typical GWAS. FDR is more liberal compared to
509 FWER; thus, we can discover a much larger number of trait-associated SNPs.
510 Although the cFDR method has been well-established under the context of pleiotropy-
511 informed association mapping in ancestry-matched populations [27, 28] and also
512 demonstrated to be well-calibrated in our study (**Figures S7-S8**), its ability of
513 controlling FWER is less understood. Consequently, we just considered cFDR as a
514 powerful tool for discovering evidence of trans-ethnic genetic overlap in our
515 application, and by no means attempted to replace the standard GWAS analysis
516 strategy with cFDR nor the cFDR level (e.g., 0.05 used here) with the genome-wide
517 significance level (e.g., 5×10^{-8}).

518 **Conclusions**

519 Our work provides an in-depth understanding of similarity and diversity regarding
520 genetic architecture for complex traits across diverse populations, and can assist in
521 trans-ethnic association analysis, genetic risk prediction, and causal variant fine
522 mapping.

523 **Materials and Methods**

524 **Summary statistics of 37 complex traits**

525 We obtained summary statistics (e.g., marginal effect size and standard error) of 37
526 complex traits (10 binary and 27 continuous) analyzed on EAS-only or EUR-only
527 individuals (**Table 1** and **Tables S6-S7**). These traits included lipids (e.g., TG), blood
528 cell phenotypes (e.g., neutrophil [NEUT] and MONO), diseases (e.g., BRC, T2D, and
529 prostate cancer [PCA]), and anthropometric phenotypes (e.g., BMI and height).

530 For each analyzed trait, we carried out stringent quality control in both populations by
531 following previous work [12, 30, 69]: (i) filtered out SNPs without rs label; (ii)
532 deleted non-biallelic SNPs and those with strand-ambiguous alleles; (iii) removed
533 SNPs whose alleles did not match with those in the 1000 Genomes Project; (iv)
534 excluded duplicated SNPs; (v) filtered out palindromic SNPs containing the same
535 bases; (vi) kept only common SNPs (MAF>0.01 in each population) which were also
536 included within the 1000 Genomes Project and shared between the EAS and EUR
537 GWASs.

538 Here, MAF was calculated with genotypes of EAS ($N=504$) or EUR ($N=503$)
539 individuals in the 1000 Genomes Project if missing in the original summary statistics
540 data; the threshold value of 0.01 for MAF was selected as it was widely used in
541 summary statistics-based studies [12, 30, 69]. We further aligned the effect allele of
542 all remaining SNPs for each trait between the two populations.

543 **Estimation of heritability and cross-trait genetic correlation in the same** 544 **population**

545 We first employed LDSC to estimate SNP-based heritability for each trait separately
546 in the two populations [30]. The LDSC software (version v1.0.1) was downloaded
547 from <https://github.com/bulik/ldsc> and the analysis was conducted with default
548 parameter settings. The LD score was calculated with genotypes of SNPs (MAF>0.01
549 and the P value of Hardy Weinberg equilibrium test $>1.0 \times 10^{-5}$) with a 10Mb window
550 on EAS or EUR individuals in the 1000 Genomes Project. Then, the LD score of SNP
551 was regressed on the square of Z -statistic of the analyzed trait, with the regression
552 slope offering an unbiased estimate for heritability. Besides quality control procedures

553 described above, we here further removed SNPs located within the major
554 histocompatibility complex region (chr6: 28.5~33.5Mb) because of its complicated
555 structure which was often difficult to estimate accurately from an external reference
556 panel [30, 70]. Relying only on summary statistics and LD scores, LDSC can be also
557 applied to calculate the cross-trait genetic correlation in the same population [69].

558 **Estimation of trans-ethnic genetic correlation across populations**

559 To evaluate genetic similarity and diversity for these traits across populations, we
560 calculate the global trans-ethnic genetic correlation (ρ_g) via popcorn [12].
561 Conceptually, ρ_g is defined as the correlation between SNP effect sizes of the trait in
562 various ancestral groups to measure the extent to which the same SNP exhibits the
563 same or similar impact on phenotypic variation [12, 25, 26]. Methodologically,
564 popcorn was proposed from the Bayesian perspective by assuming effect sizes of
565 SNPs following an infinitesimal model [71], and can be considered as a natural trans-
566 ethnic extension of LDSC. The trans-ethnic LD score of each SNP was downloaded
567 from <https://github.com/brielin/popcorn>, which was calculated with genotypes of EAS
568 or EUR individuals in the 1000 Genomes Project between the focal SNP and all the
569 flanking ones within a 10Mb window. To obtain an unbiased estimate of trans-ethnic
570 genetic correlation, we implement an unbounded estimation algorithm in popcorn,
571 which likely leads to an estimate less than -1 or greater than 1.

572 **Identification of associated SNPs and shared genetic overlap across populations**

573 **Conditional false discovery rate and conjunction conditional false discovery rate**

574 From a statistical perspective, we observe that the identification of trans-ethnic
575 genetic overlap can be handled by applying the similar principle of detecting
576 pleiotropic associations for genetically correlated traits in a single ancestral group.
577 Over the past few years many methods have been developed for detecting pleiotropy
578 [72-74]. Among those, cFDR is a novel pleiotropy-informed method to discover
579 genetic overlap and can be viewed a novel extension of the classical FDR for a single
580 trait in one population to the same trait in trans-ethnic cases [27, 28]. By integrating
581 association results from multiple traits, this method could offer important sights into
582 trans-ethnic genetic overlap and increased power to identify less significant

583 association signals.

584 In our application context, the null hypothesis of FDR is no association between a
585 SNP and the trait of focus in one population. Based on this definition and the principle
586 of FDR, cFDR is logically defined as the posterior probability that a random SNP is
587 null for the trait in one population given that the observed P values for the trait in both
588 populations are less than a predetermined threshold. Formally, with two sets of P
589 values as input, cFDR is calculated as $\text{cFDR}_{1|2} = \text{Prob}(H_0^{(1)} | P_1 < p_1, P_2 < p_2)$, where p_1
590 and p_2 are the observed P values of a particular SNP for the trait in the two
591 populations, respectively; and $H_0^{(1)}$ denotes the null hypothesis indicating there does
592 not exist an association between the SNP and the trait in the first population. cFDR is
593 efficiently estimated with an empirical Bayesian manner that was proposed for
594 computing the local FDR [75].

595 As the principal and conditional positions for the trait in cFDR described above are
596 exchangeable between the populations, $\text{cFDR}_{2|1}$ is defined in a similar way. Therefore,
597 ccFDR for identifying shared SNPs is simply expressed as $\text{ccFDR}_{1|2} = \max(\text{cFDR}_{1|2},$
598 $\text{cFDR}_{2|1})$, which is defined as the probability that a particular SNP has a false positive
599 association with the trait in the two populations given the observed P values. Finally,
600 SNPs with ccFDR less than a given significance threshold can be prioritized to be
601 population-common SNPs. Although the traditional meta-analysis is also often
602 applied in trans-ethnic association studies [76-81]; however, the association discovered
603 by meta-analysis cannot certainly suggest trans-ethnic genetic overlap because such
604 association might be only present in one special population.

605 Because cFDR and ccFDR are constructed for relatively independent SNPs, to
606 generate uncorrelated SNPs, following prior work [82] we applied the LD pruning
607 (the width of SNP window=50 and $r^2=0.1$) in PLINK with genotypes of EAS or EUR
608 individuals separately in the 1000 Genomes Project as the reference panel for LD
609 calculation, and then combined the two sets of SNPs available from both populations
610 (**Table S6**). In addition, based on findings observed in other studies [73, 74],
611 genetic/phenotypic correlation between traits can result in inflated test statistics.
612 Therefore, to minimize false discovery in our cFDR analysis, we further generated
613 uncorrelated Z -statistics for every trait across populations by multiplying them by the

614 inverse of a correlation matrix, which can be easily calculated in terms of the two
615 statistics of null SNPs (e.g., SNPs with $P > 10^{-4}$) [72, 83]. This decorrelation strategy
616 maximizes the transformed test statistics and the original ones [84]; therefore, it has
617 the minimal influence on association identification. These uncorrelated Z -statistics
618 were ultimately transformed into two-sided P values for the cFDR analysis based on
619 normal approximation.

620 Our simulation studies already demonstrated that the cFDR method could maintain
621 well-calibrated control of FDR at the given level and behaved better when identifying
622 population-common trait-associated SNPs compared to the naïve minimum P -value
623 method (**Supplement notes** and **Figures S7-S8**).

624 **Various types of SNPs and four-group model**

625 Relying on cFDR and ccFDR, for each trait we could divide all the analyzed SNPs
626 into four incompatible groups: (i) not associated with the trait in neither population
627 (i.e., null SNPs); (ii) only associated with the trait in the EAS population but not in
628 the EUR population (i.e., EAS-specific associated SNPs); (iii) only associated with
629 the trait in the EUR population but not in the EAS population (i.e., EUR-specific
630 associated SNPs); (iv) associated with the trait in both the two populations (i.e.,
631 population-common associated SNPs).

632 To measure the degree of genetic components shared by the same trait across the
633 populations, we further applied the four-group model [85] which examined SNPs in
634 the four groups mentioned above. This model aims to estimate the proportions of
635 SNPs in each group, and employs the LRT method to assess the statistical significance
636 for overall trans-ethnic genetic overlap. Statistically, the four-group model assumes
637 that P values of null SNPs (not associated with the trait in neither populations) follow
638 the uniform distribution and P values of non-null SNPs (associated with the trait at
639 least in one population) follow the Beta distribution.

640 **Genetic correlation and heterogeneity of SNPs between the two populations**

641 **Marginal genetic correlation across populations**

642 For every trait we calculated the marginal genetic correlation (r_m) of SNP effect sizes
643 in each of the four groups using a recently proposed method called MAGIC [25].
644 Compared to the traditional Pearson's correlation, which often underestimates the
645 marginal genetic correlation thus leads to the so-called correlation attenuation because
646 of failing to take the estimation error of effect sizes into account [25, 86], MAGIC has
647 the advantage of generating unbiased correlation estimation by accounting for the
648 uncertainty under the framework of measurement error model [87].

649 **Linear regression for SNP effect sizes**

650 We also carried out a simple linear model without the intercept term for only
651 population-common associated SNPs of each trait by regressing effect sizes of SNPs
652 in one population on those in another population. The slope of the linear regression
653 model provides an indicator for the relative magnitude of effect sizes for shared trait-
654 associated SNPs between the two populations. For common associated SNPs, we
655 examined the heterogeneity in genetic effect of SNPs on the trait across EAS and
656 EUR populations via Cochran's Q test in the R metafor package.

657 **Characteristics of associated SNPs between EAS and EUR populations**

658 **Patterns for LD, MAF, and Wright's fixation index**

659 After detecting common associations, we wondered whether there exist different
660 patterns of LD and MAF for trait-associated SNPs compared to those null ones. To
661 this aim, we first obtained the two LD scores for every SNP in the four groups based
662 on genotypes available from EAS or EUR individuals in the 1000 Genomes Project,
663 and then calculated their LDCV across the populations. Here, coefficient of variation,
664 rather than variance, was utilized because SNPs with higher LD scores tended to have
665 greater variation between populations [7, 24]. In a similar way, we calculated
666 MAFCV for each SNP between the two populations to evaluate how MAF varies
667 between populations.

668 We further evaluated whether an identified trait-associated SNP had been under

669 natural selection. If this was the case, then a substantial between-population
670 differentiation would be observed in the allele frequency [24, 88]. To this aim, we
671 applied the Wright's fixation index (F_{st}) to evaluate such an allele frequency diversity
672 across populations under natural selection [24, 88, 89], and calculated F_{st} of each SNP
673 in the four groups for every trait with genotypes available from EAS and EUR
674 individuals in the 1000 Genomes Project using PLINK.

675 To examine LDCV, MAFCV, and F_{st} in the four groups, we carried out the Kruskal
676 test for each trait, with the resulting P values being FDR corrected to account for
677 multiple testing using the Benjamini-Hochberg procedure. We also performed a paired
678 test to compare the average of LDCV, MAFCV, and F_{st} across the traits while simply
679 ignoring the uncertainty of the estimated average in each group.

680 **Partial and overall genetic risk score analysis**

681 To further demonstrate the direction of genetic differentiation, for each trait we
682 conducted a GRS analysis [29]. The calculated GRS in part measures the stratification
683 of the whole population based on estimates of individual's genetic susceptibility. In
684 our analysis the GRS of a given individual was simply computed as $GRS = \sum_j^J G_j \hat{\beta}_j / J$,
685 where J was the number of SNPs used and G represented the genotype (coded as 0, 1,
686 or 2) available from the EAS or EUR individuals in the 1000 Genomes Project. For
687 binary phenotypes, $\hat{\beta}$ was the original marginal SNP effect size; whereas, for the
688 compatibility across populations, for continuous phenotypes we re-scaled $\hat{\beta}$ based on
689 Z-statistic [90]; that is, $\hat{\beta}_{new} = Z / \sqrt{2 \times MAF \times (1 - MAF) \times \text{sample size} + Z^2}$.

690 For each pair of traits under analysis, three types of GRS were generated using three
691 distinct sets of SNPs (**Table 2**), including population-specific loci ($J=f_{10}$ or f_{01}),
692 population-common loci ($J=f_{11}$) and population-associated loci ($J=f_{10}+f_{11}$ or $f_{01}+f_{11}$).
693 For the convenience of description, we referred to the first two GRSs as partial GRS,
694 while the third one as overall GRS.

695 **Exploring the influence of sample size difference**

696 We finally attempted to investigate whether the sample size difference could influence
697 our findings with regards to genetic similarity and diversity of traits between the EAS

698 and EUR populations. First, after obtaining the heritability estimate and its standard
699 error for a given trait in the two populations (denoted by \hat{h}_1^2 and \hat{h}_2^2 , se_1 and se_2 ,
700 respectively), we studied the influence of sample sizes of traits on the estimated
701 heritability and its standard error.

702 Second, to assess the impact of sample size on the trans-ethnic diversity of estimated
703 heritability, we employed NCV to measure the difference of sample sizes, and
704 examined its relation with the coefficient of variation of heritability, the estimated
705 slope of effect sizes for population-common SNPs and the proportion of population-
706 common SNPs with heterogeneous effects.

707 Third, we implemented an approximation normal test to examine the significance of
708 the difference in the estimated heritability between the two populations by calculating
709 $u = (\hat{h}_1^2 - \hat{h}_2^2) / (se_1^2 + se_2^2)^{-1/2}$. We obtained the P value of u using the standard normal
710 distribution as the null distribution, with the issue of multiple testing corrected via by
711 the Benjamini-Hochberg method. By doing this, we explicitly took the difference of
712 sample sizes in traits into account by modeling its standard error when comparing
713 heritability.

714 **Declarations**

715 **Ethics approval and consent to participate**

716 All methods were carried out in accordance with relevant guidelines and regulations
717 (declaration of Helsinki).

718 **Consent for publication**

719 Not applicable.

720 **Availability of data and materials**

721 All data generated or analyzed during this study are included in this published article
722 and its supplementary information file.

723 **Competing interests**

724 The authors declare that the research was conducted in the absence of any commercial
725 or financial relationships that could be construed as a potential conflict of interest.

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743 **Authors' contributions**

744 PZ conceived the idea for the study. PZ and JZ obtained and cleared the datasets; TW,
745 PZ, JQ, SZ and JZ performed the data analyses. TW, PZ, JQ, SZ, and JZ interpreted
746 the results of the data analyses. TW, PZ and JZ wrote the manuscript with the help
747 from other authors.

748 **Abbreviations**

749 SNP: single-nucleotide polymorphism
750 GWAS: genome-wide association study
751 EUR: European
752 EAS: East Asian
753 LD: linkage disequilibrium
754 cFDR: conditional false discovery rate
755 ccFDR: conjunction conditional false discovery rate
756 MAF: minor allele frequency
757 GRS: genetic risk score
758 LDSC: linkage disequilibrium score regression
759 LDCV: coefficient of variation of LD scores
760 MAFCV: coefficient of variation of MAF
761 F_{st} : Wright's fixation index

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