

## ORIGINAL ARTICLE

# Simvastatin for the Prevention of Exacerbations in Moderate-to-Severe COPD

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## ABSTRACT

**BACKGROUND**

Retrospective studies have shown that statins decrease the rate and severity of exacerbations, the rate of hospitalization, and mortality in chronic obstructive pulmonary disease (COPD). We prospectively studied the efficacy of simvastatin in preventing exacerbations in a large, multicenter, randomized trial.

**METHODS**

We designed the Prospective Randomized Placebo-Controlled Trial of Simvastatin in the Prevention of COPD Exacerbations (STATCOPE) as a randomized, controlled trial of simvastatin (at a daily dose of 40 mg) versus placebo, with annual exacerbation rates as the primary outcome. Patients were eligible if they were 40 to 80 years of age, had COPD (defined by a forced expiratory volume in 1 second [FEV<sub>1</sub>] of less than 80% and a ratio of FEV<sub>1</sub> to forced vital capacity of less than 70%), and had a smoking history of 10 or more pack-years, were receiving supplemental oxygen or treatment with glucocorticoids or antibiotic agents, or had had an emergency department visit or hospitalization for COPD within the past year. Patients with diabetes or cardiovascular disease and those who were taking statins or who required statins on the basis of Adult Treatment Panel III criteria were excluded. Participants were treated from 12 to 36 months at 45 centers.

**RESULTS**

A total of 885 participants with COPD were enrolled for approximately 641 days; 44% of the patients were women. The patients had a mean ( $\pm$ SD) age of 62.2 $\pm$ 8.4 years, an FEV<sub>1</sub> that was 41.6 $\pm$ 17.7% of the predicted value, and a smoking history of 50.6 $\pm$ 27.4 pack-years. At the time of study closeout, the low-density lipoprotein cholesterol levels were lower in the simvastatin-treated patients than in those who received placebo. The mean number of exacerbations per person-year was similar in the simvastatin and placebo groups: 1.36 $\pm$ 1.61 exacerbations and 1.39 $\pm$ 1.73 exacerbations, respectively ( $P=0.54$ ). The median number of days to the first exacerbation was also similar: 223 days (95% confidence interval [CI], 195 to 275) and 231 days (95% CI, 193 to 303), respectively ( $P=0.34$ ). The number of nonfatal serious adverse events per person-year was similar, as well: 0.63 events with simvastatin and 0.62 events with placebo. There were 30 deaths in the placebo group and 28 in the simvastatin group ( $P=0.89$ ).

**CONCLUSIONS**

Simvastatin at a daily dose of 40 mg did not affect exacerbation rates or the time to a first exacerbation in patients with COPD who were at high risk for exacerbations. (Funded by the National Heart, Lung, and Blood Institute and the Canadian Institutes of Health Research; STATCOPE ClinicalTrials.gov number, NCT01061671.)

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**C**HRONIC OBSTRUCTIVE PULMONARY DISEASE (COPD) is the third leading cause of death in the United States.<sup>1</sup> It is characterized by acute exacerbations that are associated with increased hospitalizations and costs of care, worsened quality of life, and increased mortality.<sup>2-9</sup> Effective therapies for the treatment or prevention of exacerbations are limited.

Statins are 3-hydroxy-3-methylglutaryl coenzyme A (HMG-CoA) reductase inhibitors that reduce the risks of acute cardiac events and death.<sup>10-13</sup> Although widely used for their lipid-lowering effects, statins are also reported to have antiinflammatory effects.<sup>14-19</sup> Multiple retrospective studies have shown that statins are beneficial in COPD. Reported benefits include reductions in rates of hospitalization (for COPD or any other reason), lung-function decline, the need for mechanical ventilation, and death.<sup>20-24</sup> However, except for one small, single-center, randomized study,<sup>25</sup> all the studies that have shown beneficial effects of statins in patients with COPD have been retrospective. The Prospective Randomized Placebo-Controlled Trial of Simvastatin in the Prevention of COPD Exacerbations (STATCOPE) was a prospective, multicenter trial conducted by the National Heart, Lung, and Blood Institute (NHLBI) COPD Clinical Research Network to examine the effect of daily treatment with simvastatin for at least 12 months (range, 12 to 36) on the rate of exacerbations among patients with moderate-to-severe COPD and no other indications for statin treatment.

## METHODS

### STUDY DESIGN AND OVERSIGHT

In this randomized, parallel-group, placebo-controlled trial, participants were randomly assigned in a 1:1 ratio to receive simvastatin orally at a dose of 40 mg or an identical-appearing placebo once daily. Participants were recruited from 45 sites (29 sites in the United States and 16 in Canada). Written informed consent was obtained from all participants. The institutional review board at each center approved the study protocol. The complete protocol, including methods and the statistical analysis plan, is available with the full text of this article at NEJM.org. The study drugs, simvastatin and placebo, were purchased, prepared, and supplied by Temple University School of Phar-

macy Current Good Manufacturing Practices Services. All the authors assume responsibility for the data and analyses, the adherence of the study to the protocol, and the completeness and accuracy of the reported findings.

### PARTICIPANT POPULATION

We enrolled patients, 40 to 80 years of age, with a clinical diagnosis of moderate-to-severe COPD, defined according to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria<sup>26</sup> (a ratio of forced expiratory volume in 1 second [FEV<sub>1</sub>] to forced vital capacity [FVC] of <70% after bronchodilator use and an FEV<sub>1</sub> of <80% of the predicted value after bronchodilator use). Participants were former or current smokers with a lifetime cigarette consumption of 10 or more pack-years who also met at least one of the following criteria within the year before enrollment: use of supplemental oxygen, receipt of systemic glucocorticoids or antibiotic agents for respiratory problems, or presentation to the emergency department or hospitalization for COPD exacerbation.

We excluded patients who were already receiving statins; those who should have been receiving statins according to the Adult Treatment Panel III risk stratification<sup>27</sup>; those who were receiving drugs that are contraindicated with statins; those who had active liver disease, alcoholism, or allergy; and those who were unable to take statins. Patients who met the criteria for statin treatment were excluded from the study on the basis of established guidelines.<sup>27</sup> Before patients were enrolled, lipid levels were measured after the patient had fasted for 12 hours.

After STATCOPE began on March 4, 2010, the Food and Drug Administration (FDA) issued a warning (on June 8, 2011) against the concomitant use of amlodipine or high doses of verapamil with simvastatin.<sup>28</sup> This announcement resulted in discontinuation of the study drug in participants from both study groups who were receiving amlodipine or high-dose verapamil (16 patients in the simvastatin group and 20 in the placebo group). The STATCOPE data and safety monitoring board subsequently recommended the exclusion of patients with diabetes, according to the medical history or a glycated hemoglobin level of more than 6.5%, which resulted in discontinuation of the study treatment in 28 participants (14 in each group).

## OUTCOMES

The primary outcome was the effect of simvastatin on the exacerbation rate, which was defined as the number of exacerbations per person-year. Secondary outcomes included the time to the first exacerbation, the severity of exacerbations, the number of acute cardiovascular events, quality of life, and changes in spirometric variables.

Exacerbations were defined as an increase in severity or new onset of two or more respiratory symptoms (cough, sputum, wheezing, dyspnea, or chest tightness) lasting for at least 3 days and requiring treatment with antibiotics or systemic glucocorticoids.<sup>26</sup> Exacerbation severity was graded according to the following scale: mild (required only home management, with or without contact with a health care provider), moderate (required a visit to an emergency department), severe (required hospitalization), and very severe (required intubation and mechanical ventilation).

## STUDY VISITS

Spirometric variables (FVC and FEV<sub>1</sub>) and disease-specific and general quality of life (assessed with the use of the St. George's Respiratory Questionnaire [SGRQ]<sup>29</sup> and the Medical Outcomes Study 36-Item Short-Form Health Survey [SF-36],<sup>30</sup> respectively) were measured at baseline and at 12, 24, and 36 months (and at the final visit if that did not coincide with an annual visit). Scores on the SGRQ range from 0 to 100, with lower scores indicating better functioning and with a minimal clinically important difference of 4 points.<sup>29</sup> The SF-36 is a self-administered general health questionnaire that generates scores across eight health dimensions. Scores range from 0 to 100, with higher scores indicating better health on each dimension.<sup>30</sup> Clinically important changes in the SF-36 score are increases of 5 to 10 points in specific domains.<sup>31</sup>

Spirometry was performed according to American Thoracic Society–European Respiratory Society guidelines.<sup>32</sup> Data are presented as the percent of reference predicted values, with the use of prediction equations from Hankinson et al.<sup>33</sup> Only data obtained after the patient had used a bronchodilator were analyzed.

Throughout the trial, participants were followed at clinic visits every 3 months and by means of monthly telephone contacts during months without a clinic visit. During each contact, par-

ticipants were queried about the interim development of exacerbations and study-drug adherence.

## INTERIM ANALYSIS, STOPPING GUIDELINES, AND MONITORING PLAN

The data and safety monitoring board met approximately every 6 months to review recruitment and follow-up rates, study-drug adherence, safety, and efficacy results. Reviews of outcome data at the meetings involved multiple statistical testing performed on a set of accumulating data, with the use of a sequential monitoring plan that was based on the alpha spending approach of Lan and DeMets.<sup>34</sup>

The formal guidelines for stopping the study owing to futility were not part of the monitoring plan, but as specified in the protocol, the results of analyses that were based on stochastic curtailment were presented to the data and safety monitoring board. At the March 2013 meeting, there were no indications of a treatment effect; however, the board members voted to continue the study. At the October 2013 meeting, with 878 participants having undergone randomization, the primary outcome was the same in the two study groups (COPD exacerbation rate, 1.33 exacerbations per person-year in each group). The power of the study, which was conditional on these observed rates, was 5%. There were no treatment effects in any subgroup. The rates of moderate and severe exacerbations did not differ significantly between the two study groups, and the numbers of deaths were similar (27 deaths in the simvastatin group and 26 in the placebo group). The data and safety monitoring board therefore voted to stop STATCOPE for futility.

## STATISTICAL ANALYSIS

Sample-size and power considerations were based on the annual rate of exacerbations as the primary outcome. We estimated that the placebo group would have an average of 1.54 exacerbations per person-year and that simvastatin would reduce this rate by 15%. The estimated rate for the placebo group was based on the observed exacerbation rate in the placebo group of a previous trial of azithromycin conducted by the COPD Clinical Research Network.<sup>8</sup>

We based our sample-size calculations on a two-sided alpha level of 0.05, a study power of 90%, the expected number of exacerbations per person-year

in the placebo group (1.54), the expected number of exacerbations per person-year in the simvastatin group ( $1.54 \times 0.85 = 1.31$ ), a mean duration of follow-up of 2 years, and an equal probability of assignment to the placebo or simvastatin group. We calculated that we would need to enroll 911 patients; assuming a 10% loss to follow-up, the total sample-size estimate was 1002 patients.

An important secondary outcome was the time to the first exacerbation. Assuming that simvastatin treatment would reduce the exacerbation rate by 15% and adjusting for the 10% loss to follow-up, we estimated that 1126 patients would be required in order to provide the study with 90% power for this secondary outcome. Following FDA recommendations and guidance from the data and safety monitoring board for the exclusion of patients who were receiving certain drugs and the exclusion of patients with diabetes, respectively, we projected that 74 additional participants would be needed, for a final study population of 1200 patients.

All the patients who underwent randomization were followed until the end of the study. The final analysis was performed on an intention-to-treat basis. COPD exacerbation rates in the two study groups were compared with the use of a rate ratio. The independence of individual exacerbations was ensured by considering participants to have had two separate exacerbations if the onset dates were at least 14 days apart. Exacerbation rates in each group and the between-group differences were analyzed with the use of negative binomial regression modeling and time-weighted intention-to-treat analyses with adjustments of confidence intervals for between-participant variation (overdispersion).

Secondary outcome measures were assessed at baseline and at 12, 24, and 36 months (and at the final visit if it did not coincide with an annual visit). Analyses of the time to the first exacerbation and the rate of death from any cause were performed with the use of Kaplan–Meier methods, with survival curves for the probability of remaining outcome-free in the two groups as a function of time from randomization. Curves were compared with the use of the log-rank test. Continuous outcome measures, including absolute and relative changes in FEV<sub>1</sub> and FVC, dyspnea assessment, and SGRQ scores were computed as rates of change over time, from baseline to the date of the last observation.

## RESULTS

### ENROLLMENT AND FOLLOW-UP

Screening for the study, randomization, and follow-up of the participants are shown in Figure 1. Enrollment began March 4, 2010, and the last participant was enrolled on October 11, 2013. Participants were followed for a mean ( $\pm$ SD) of  $641 \pm 354$  days in the simvastatin group and  $639 \pm 351$  days in the placebo group.

### CHARACTERISTICS OF THE PARTICIPANTS

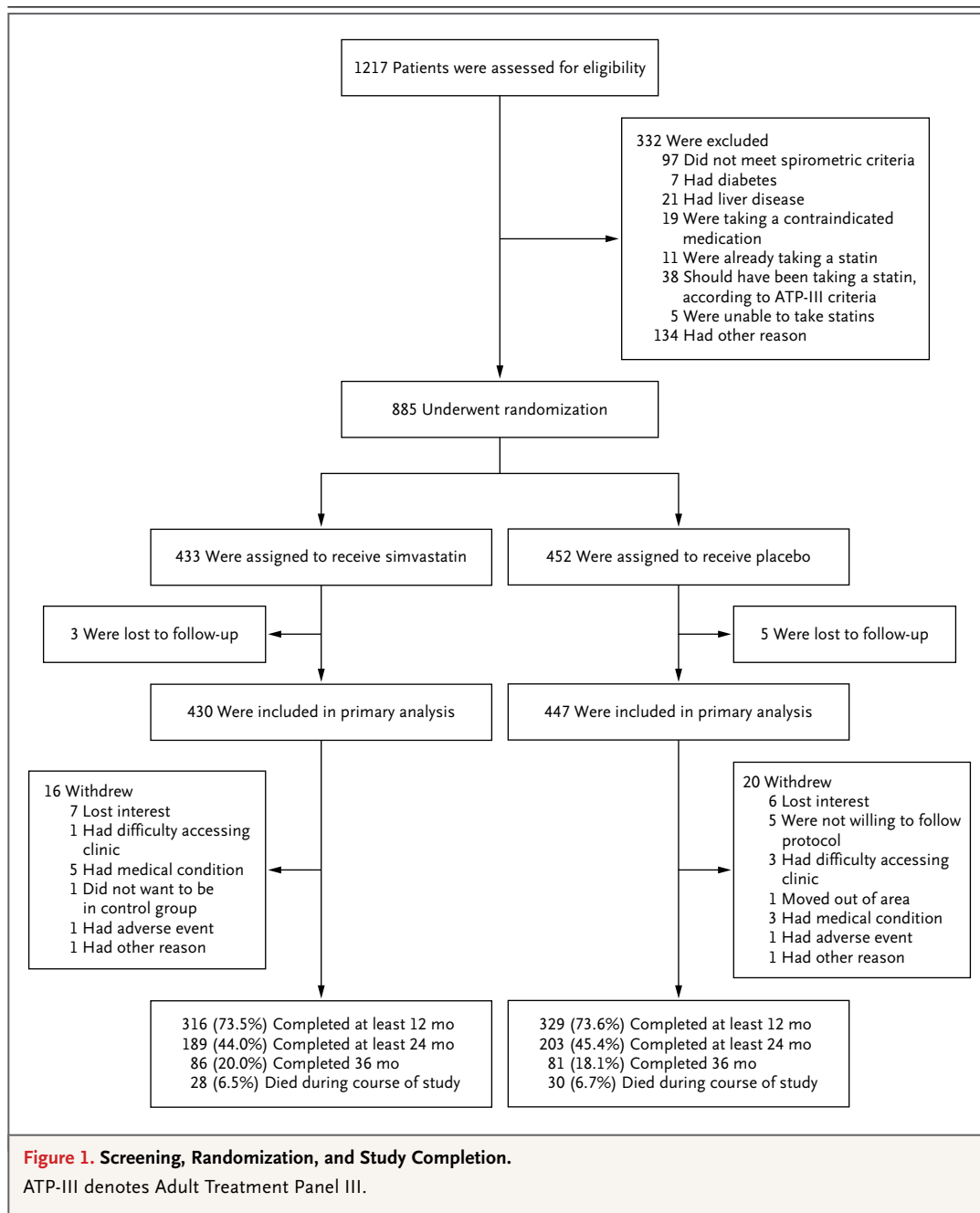
The demographic and baseline clinical characteristics of the participants are shown in Table 1 (for complete demographic and baseline clinical data, see Table S1 in the Supplementary Appendix, available at NEJM.org). We randomly assigned 885 participants with COPD to the two study groups. A total of 44% of the patients were women. The patients had a mean age of  $62.2 \pm 8.4$  years, an FEV<sub>1</sub> that was  $41.6 \pm 17.7\%$  of the predicted value, and a smoking history of  $50.6 \pm 27.4$  pack-years. There were no significant differences between the two groups with respect to any characteristics at baseline. Follow-up visit information was not available for 8 of the 885 participants (0.9%), so 430 patients in the simvastatin group and 447 in the placebo group were included in the primary analysis.

### COPD EXACERBATIONS

A total of 1982 acute COPD exacerbations occurred during the study: 965 exacerbations among the 430 patients in the simvastatin group and 1017 exacerbations among the 447 patients in the placebo group. The COPD exacerbation rates were similar in the simvastatin and placebo groups:  $1.36 \pm 1.61$  exacerbations per person-year and  $1.39 \pm 1.73$  exacerbations per person-year, respectively ( $P = 0.54$ ) (Fig. 2). We found no effect of sex on the exacerbation rate.

The median number of days to the first exacerbation was also similar in the two groups: 223 days (95% confidence interval [CI], 195 to 275) in the simvastatin group and 231 days (95% CI, 193 to 303) in the placebo group ( $P = 0.34$ ) (Fig. 3). The time to the first exacerbation in the simvastatin group was slightly shorter for men than for women, but this difference did not reach statistical significance ( $P = 0.09$ ) (Fig. S1 in the Supplementary Appendix).

The severity of the exacerbations was not af



fected by the study-drug assignment or sex. The number and severity of exacerbations in the simvastatin and placebo groups are shown in Tables S2 and S3 in the Supplementary Appendix, and rates of exacerbation according to severity are shown in Table S4 in the Supplementary Appendix.

A total of 296 of 877 participants (33.8%) had three or more exacerbations during the study (155 patients in the placebo group and 141 in the

simvastatin group; the difference was not significant). The study-drug assignment had no effect on the frequency of exacerbations (Fig. S2 in the Supplementary Appendix). There was no effect of study-drug assignment on the percentage of patients who received antibiotic or glucocorticoid therapy for an exacerbation (Table S8 in the Supplementary Appendix). Smoking status, study center, patient's age, severity of airflow obstruction

tion (i.e., GOLD stage), and use or nonuse of oxygen did not affect the outcomes (Fig. S3 in the Supplementary Appendix).

#### SECONDARY OUTCOMES

There was no effect of simvastatin on lung function as assessed spirometrically. Likewise, simvastatin had no effect on the general or respiratory-specific quality of life, as assessed by the SF-36

and SGRQ, respectively (Table S5 in the Supplementary Appendix).

#### ADVERSE EVENTS

The most common adverse events, aside from exacerbations, were pneumonia and other respiratory and cardiovascular events. The rates of all nonfatal adverse events (the number of serious adverse events per person-year) were similar in

**Table 1. Characteristics of the Patients.\***

Characteristic	Simvastatin (N=433)	Placebo (N=452)
Age — yr	62.2±8.5	62.3±8.4
Female sex — no. (%)	184 (42.5)	203 (44.9)
FEV <sub>1</sub> after bronchodilator use — liters (% of predicted value)†	1.2±0.6 (41.5±17.8)	1.2±0.6 (41.6±17.6)
FEV <sub>1</sub> :FVC — %†	44.4±12.6	44.3±13.5
GOLD stage — no./total no. (%)‡		
2	142/430 (33.0)	145/449 (32.3)
3	142/430 (33.0)	163/449 (36.3)
4	146/430 (34.0)	141/449 (31.4)
Smoking history — pack-yr	50.0±26.1	51.2±28.7
Current smoking — no. (%)	133 (30.7)	143 (31.6)
COPD medication — no. (%)		
Inhaled glucocorticoids only	14 (3.2)	12 (2.7)
Long-acting muscarinic antagonist only	29 (6.7)	35 (7.7)
Long-acting beta <sub>2</sub> -agonist only	10 (2.3)	10 (2.2)
Inhaled glucocorticoids and long-acting muscarinic antagonist	5 (1.2)	9 (2.0)
Inhaled glucocorticoids and long-acting beta <sub>2</sub> -agonist	71 (16.4)	89 (19.7)
Long-acting muscarinic antagonist and long-acting beta <sub>2</sub> -agonist	25 (5.8)	23 (5.1)
Inhaled glucocorticoids, long-acting muscarinic antagonist, and long-acting beta <sub>2</sub> -agonist	223 (51.5)	228 (50.4)
None	56 (12.9)	46 (10.2)
Entry criteria — no. (%)		
Acute COPD exacerbation requiring hospitalization or ED visit within previous 12 mo	216 (49.9)	238 (52.7)
Systemic glucocorticoid or antibiotic use within previous 12 mo	367 (84.8)	382 (84.5)
Use of supplemental oxygen within previous 12 mo		
All patients who met this criterion	198 (45.7)	222 (49.1)
Patients who met only this criterion	48 (11.1)	53 (11.7)

\* Plus-minus differences are means ±SD. There were no significant differences between the simvastatin and placebo groups, and there was no imbalance regarding race between the two groups. COPD denotes chronic obstructive pulmonary disease, ED emergency department, FEV<sub>1</sub> forced expiratory volume in 1 second, and FVC forced vital capacity.

† Spirometric measurements were available for 430 patients in the simvastatin group and 449 in the placebo group.

‡ The Global Initiative for Chronic Obstructive Lung Disease (GOLD) staging system is used to assess the severity of lung disease, with stage 4 indicating the most severe disease.

the simvastatin and placebo groups ( $0.63 \pm 1.56$  events and  $0.62 \pm 1.48$  events, respectively;  $P > 0.20$  for all comparisons), except for nonfatal serious adverse events involving the gastrointestinal tract, which were more frequent with simvastatin than with placebo (in 30 patients [0.05 events per person-year] vs. 17 patients [0.02 events per person-year],  $P = 0.02$ ) (Table 2). There were 28 deaths in the simvastatin group and 30 in the placebo group ( $P = 0.89$  by life-table analysis).

#### PERMANENT DISCONTINUATION OF STUDY DRUG AND STUDY WITHDRAWAL

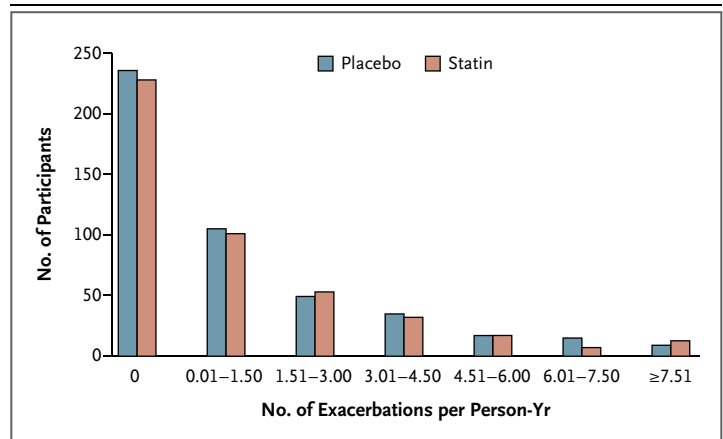
The most common reason for discontinuation of the study drug was the use of incompatible medications; other common reasons were a diagnosis of diabetes and the FDA warning about additional incompatible medications.<sup>28</sup> A small number of participants had myalgias or abnormal laboratory values, but the rates did not differ according to study-drug assignment (Table S6 in the Supplementary Appendix). A total of 36 participants (4.1%) declined to continue participation for various reasons, all of which were unrelated to the study-drug assignment (Table S7 in the Supplementary Appendix).

#### LIPID LEVELS

Total cholesterol, high-density lipoprotein and low-density lipoprotein (LDL) cholesterol, and triglyceride levels were similar in the simvastatin and placebo groups at baseline; the LDL cholesterol levels were  $113.9 \pm 28.2$  mg per deciliter ( $2.95 \pm 0.73$  mmol per liter) and  $114.4 \pm 27.6$  mg per deciliter ( $2.96 \pm 0.71$  mmol per liter), respectively. At 1 year after randomization, high-density lipoprotein cholesterol levels in the simvastatin group had increased by 2.5 mg per deciliter (0.06 mmol per liter), whereas the levels in the placebo group had decreased by 0.5 mg per deciliter (0.01 mmol per liter) ( $P = 0.01$ ); LDL cholesterol levels had decreased by 33.2 mg per deciliter (0.86 mmol per liter) in the simvastatin group, as compared with 6.6 mg per deciliter (0.17 mmol per liter) in the placebo group ( $P < 0.001$ ). (Complete data on lipid levels are provided in Table S9 in the Supplementary Appendix.)

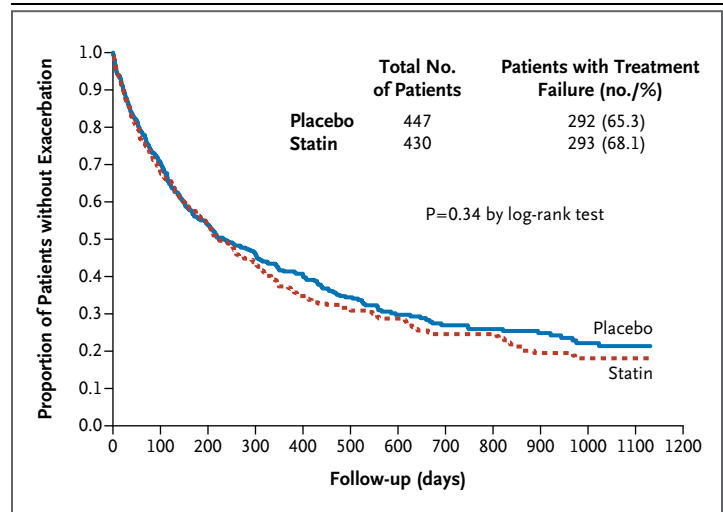
#### DISCUSSION

In this large, prospective, multicenter, randomized trial, we found that 40 mg of daily simvastatin had no effect on the frequency of exacerbations, the



**Figure 2. Acute Exacerbations of Chronic Obstructive Pulmonary Disease per Person-Year, According to Study Group.**

The mean ( $\pm$ SD) number of exacerbations per person-year were similar in the simvastatin and placebo groups:  $1.36 \pm 1.61$  exacerbations per person-year and  $1.39 \pm 1.73$  exacerbations per person-year, respectively.



**Figure 3. Effect of Simvastatin on the Time to the First Acute Exacerbation of Chronic Obstructive Pulmonary Disease.**

There were no significant between-group differences in the time to the first exacerbation. The median time to the first exacerbation was 223 days (95% CI, 195 to 275) in the simvastatin group and 231 days (95% CI, 193 to 303) in the placebo group.

time to the first exacerbation, or the severity of exacerbations in patients with moderate-to-severe COPD who were at high risk for exacerbations. Simvastatin also had no effect on lung function or on general or disease-specific quality of life. The rates of serious adverse events were low and similar in the two study groups. These data show that daily use of 40 mg of simvastatin has no role in preventing exacerbations in moderate-to-severe COPD.

**Table 2. Nonfatal Serious Adverse Events and Fatal Events, According to Study Group.**

Event	Simvastatin (N=430)	Placebo (N=447)	P Value
Nonfatal serious adverse event — no. of events/ person-yr			
Acute exacerbation	0.32	0.32	0.99
Respiratory event			
Pneumonia	0.01	<0.01	0.56
Chronic bronchitis	0.02	0.02	0.53
Other	0.01	0.01	0.75
Cancer			
Lung cancer	0.02	0.03	0.81
Other	0.03	0.03	0.21
Cardiovascular event			
Cardiac event	0.04	0.01	0.35
Other	0.03	0.08	0.23
Gastrointestinal tract event			
Other	0.11	0.11	0.99
Total	0.63	0.62	0.96
Fatal event — no. of patients (%)			
Acute exacerbation	6 (1.4)	5 (1.1)	0.72
Other respiratory event			
Pneumonia	1 (0.2)	1 (0.2)	0.99
Chronic bronchitis	0	2 (0.4)	0.16
Other	6 (1.4)	4 (0.9)	0.49
Cancer			
Lung cancer	3 (0.7)	4 (0.9)	0.73
Other	0	1 (0.2)	0.33
Cardiovascular event			
Heart	0	1 (0.2)	0.33
Other	4 (0.9)	3 (0.7)	0.67
Gastrointestinal tract event			
Other	8 (1.9)	6 (1.3)	0.56
Total	28 (6.5)	30 (6.7)	0.89

Our results differ from previously reported findings that statins prevent exacerbations, attenuate lung-function decline, and reduce mortality among patients with COPD.<sup>20-24</sup> A retrospective analysis of a large Canadian database showed that statin therapy in patients with COPD who did not have cardiovascular disease was associated with large reductions in the rate of hospitalization due to

COPD and cardiovascular morbidity and mortality.<sup>24</sup> A matched cohort study involving 76,232 patients showed that among those who received a moderate statin dose ( $\geq 40$  mg per day), the odds ratios for influenza-related death and pneumonia-related death were significantly reduced.<sup>22</sup> Similar reductions in mortality were reported with statin use in population studies involving Japanese and Norwegian patients with COPD.<sup>35,36</sup> Keddissi et al. compared the annual decline in the FVC and FEV<sub>1</sub> according to statin use or nonuse in current and former smokers who were treated at a Veterans Affairs (VA) medical center.<sup>23</sup> Study participants who used statins had smaller declines, as compared with nonusers, in FEV<sub>1</sub> ( $P < 0.001$ ) and FVC ( $P < 0.001$ ). The VA Normative Aging Study showed that patients using statins had significantly less decline in FEV<sub>1</sub>, as compared with patients who did not use statins ( $P < 0.001$ ).<sup>20</sup> The underuse of statins in persons with cardiac risk factors who have been included in retrospective studies may account in part for the differences between our findings and those previously reported.<sup>37</sup>

We chose to use simvastatin because it is one of the most effective HMG-CoA reductase inhibitors for lowering cardiovascular risk, it is widely available and affordable as a generic drug, and the literature documenting its pleiotropic actions is extensive.<sup>38,39</sup> The antilipid effects of simvastatin are dose-dependent, as are the risks of side effects such as myopathy.<sup>28</sup> The antiinflammatory effects of simvastatin are less dependent on the dose, with nearly maximal reductions in serum C-reactive protein (CRP) levels at a dose of 20 to 40 mg per day.<sup>40</sup> We believed that antiinflammatory effects were more important than antilipid effects in producing the clinical benefits we were seeking. Thus, the balance of risk to potential benefit, as well as reports of clear benefits with respect to mortality with simvastatin at the 40-mg daily dose, led us to favor this lower dose over a dose of 80 mg per day (which has greater antilipid effects).<sup>41,42</sup>

Our study has some limitations. First, it was terminated early for futility with respect to a treatment effect. However, a thorough examination of the data by the STATCOPE data and safety monitoring board and the investigators showed no signal for a delayed effect of simvastatin on the exacerbation rate. Furthermore, we did not



use a marker of systemic inflammation (serum CRP level) to screen patients for enrollment in the study, and this may have limited our ability to detect an effect of simvastatin in reducing the rate of exacerbations.<sup>43</sup> Also, the participants in our study had moderate-to-severe airway obstruction, and it is unclear whether our observation that simvastatin was not beneficial would apply to patients with less impairment. Finally, new FDA recommendations regarding the interactions of simvastatin with other drugs were announced during the study period. These recommendations hindered recruitment to a study that was already impeded by high background statin use in clinical practice. Despite these recruitment challenges, relatively few participants withdrew from the study or discontinued the study drug. The low rate of withdrawal allowed us to conclusively

evaluate the effect of simvastatin on the occurrence of exacerbations in our study population.

In conclusion, simvastatin at a dose of 40 mg daily, in addition to usual care, did not reduce the exacerbation rate or prolong the time to the first exacerbation among patients with moderate-to-severe COPD who were at high risk for exacerbations. Furthermore, simvastatin had no effect on lung function, quality of life, the rate of severe adverse events, or mortality. Thus, our data did not show a therapeutic benefit of statins in patients with moderate-to-severe COPD.

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Disclosure forms provided by the authors are available with the full text of this article at [NEJM.org](http://NEJM.org).

#### APPENDIX

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