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**Published on:** 15 Aug 2008 - IEEE Transactions on Industrial Electronics (IEEE)

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Michael Defoort, Thierry Floquet, Annemarie Kökösy, Wilfrid Perruquetti. Sliding-Mode Formation Control for Cooperative Autonomous Mobile Robots. IEEE Transactions on Industrial Electronics, Institute of Electrical and Electronics Engineers, 2008, 55 (11), pp.3944-3953. 10.1109/TIE.2008.2002717 . inria-00424437

**HAL Id: inria-00424437**

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Submitted on 15 Oct 2009

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# Sliding-Mode Formation Control for Cooperative Autonomous Mobile Robots

Michael Defoort, Thierry Floquet, Annemarie Kökösy, and Wilfrid Perruquetti

**Abstract**—This paper considers the control of a group of autonomous mobile robots. A coordinated control scheme based on a leader–follower approach is developed to achieve formation maneuvers. First and second order sliding-mode controllers are proposed for asymptotically stabilizing the vehicles to a time-varying desired formation. The latter controller, based on the relative motion states, eliminates the need for measurement or estimation of the leader velocity. It enables formation stabilization using a vision system carried by the followers and ensures the collision avoidance from the initial time instance. Experimental investigation has been conducted using a test bench made of three nonholonomic mobile robots in order to demonstrate the effectiveness of the proposed strategy.

**Index Terms**—Formation control, nonholonomic mobile robots, robust control, second-order sliding mode.

## I. INTRODUCTION

THE COORDINATED control of multiple autonomous mobile robots is becoming an important robotics research field. Indeed, there are many potential advantages of such systems over a single robot, including greater flexibility, adaptability, and robustness. Among all the topics of study in this field, this paper focuses on the formation control, which means to control a group of robots to form up and to move in specified geometrical shapes [1], [2]. Many cooperative tasks, such as transportation of large awkward objects, surveillance mapping, search, rescue, or area data acquisition, need the robots to maintain some prescribed formation when moving.

Various control strategies for mobile robot formations have been reported in the literature, including behavior-based methods [3], [4], virtual structure techniques [2], [5], and leader–follower schemes [1], [6]–[9]. Among them, the leader–follower approaches have been well recognized and

become the most popular approaches. The basic idea of this scheme is that one robot is selected as leader and is responsible for guiding the formation. The other robots, called followers, are required to track the position and orientation of the leader with some prescribed offsets. The advantage of using such a strategy is that specifying a single quantity (the leader's motion) directs the group behavior. Therefore, this approach is simple because a reference trajectory is clearly defined by the leader and the internal formation stability is induced by the individual vehicles' control laws.

Using the leader–follower approach, the most common formation control strategies are feedback linearization [1], [6], dynamic feedback linearization [10], backstepping [11], and first order sliding-mode control [12]. In [1] and [6], the absolute velocity of the leader is treated as an exogenous input for the controller. However, in practice, it cannot be directly measured by local sensors carried by the follower. In [9], the authors proposed a formation control approach using motion segmentation and visual servoing techniques. Thus, the problem of distributed formation control in the configuration space is translated into separate visual servoing tasks in the image plane of a central-panoramic camera. Then, the motion of the leader is estimated by the follower through the comparison of the optical flows of two pixels. In [12], the control law requires the absolute velocity and acceleration of the leader.

In practice, it is desired to have the minimum number of communication links between robots. Thus, the global motion states may not be available in some environments because there are no suitable global motion sensors. Lack of sufficient information may cause several problems such as deterioration of the overall control performance, inability to avoid collision, etc. Hence, some methods based on nonlinear observers have been recently investigated in order to estimate the global motion states: the extended Kalman filter [13], the unscented Kalman filter [10], and high gain observer [14]. Although the resulting controllers behave closely to the original one (i.e., with available global motion states) after elapsing the transient time, they suffer from the following practical drawback: some undesirable incidents, such as collision, may happen during the transient time due to the overshoots.

In this paper, a new sliding-mode formation controller which is only based on the relative motion states is derived. It eliminates the need for measurement or estimation of the absolute velocity of the leader and enables formation control using vision systems carried by the followers. Motivated by the possibility of collision avoidance between robots during the whole movement, an integral sliding-mode control strategy is proposed. Indeed, in the conventional sliding-mode control, there

Manuscript received January 15, 2008; revised July 10, 2008. First published August 15, 2008; current version published October 31, 2008. This work was supported in part by a JSPS Postdoctoral Fellowship and in part by FEDER (European Funds of Regional Development) under the ARCIR Robocoop and the AUTORIS-TAT T31 project.

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Digital Object Identifier 10.1109/TIE.2008.2002717

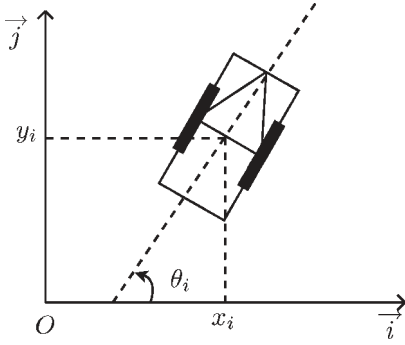


Fig. 1. Nonholonomic differentially driven mobile robot.

is a reaching phase (the transient period until the controlled system reaches the sliding surface from the initial state). During this transient period, the controlled system may be sensitive to parameter variations and disturbances because the sliding mode is not achieved. To overcome the reaching phase problems, the integral sliding-mode principle, introduced in [15], will be used.

The outline of this paper is as follows. Section II describes the dynamic model of a single robot. The coordinated formation control scheme is formulated in Section III. In Section IV, two sliding-mode formation tracking controllers are designed. The first one, based on first order sliding-mode control principle, requires total knowledge of the state variables. The second one is a robust second order sliding-mode controller. It eliminates the need of intervehicle communication, increasing the reliability of the overall system while avoiding collision between robots. Finally, in Section V, experimental results illustrate the effectiveness of the proposed strategy.

## II. MATHEMATICAL MODEL

Consider a multirobot system composed of  $N$  wheeled mobile robots. Each mobile robot, see Fig. 1, is of unicycle type with two driving wheels mounted on the same axis and independently controlled by two actuators (dc motors). The  $i$ th robot is fully described by a 3-D vector of generalized coordinates  $\mathbf{q}_i$  constituted by the coordinates  $(x_i, y_i)$  of the midpoint between the two driving wheels and by the orientation angle  $\theta_i$  with respect to a fixed frame

$$\mathbf{q}_i = [x_i, y_i, \theta_i]^T. \quad (1)$$

Under the hypothesis of *pure rolling* and *nonslipping condition*, the vehicle satisfies the nonholonomic constraint

$$[-\sin \theta_i \quad \cos \theta_i \quad 0] \dot{\mathbf{q}}_i = 0$$

and the ideal kinematic equations are as follows:

$$\dot{\mathbf{q}}_i = \begin{bmatrix} \cos \theta_i & 0 \\ \sin \theta_i & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} w_{l,i} \\ w_{a,i} \end{bmatrix} \quad (2)$$

where  $w_{l,i}$  and  $w_{a,i}$  are the linear and angular velocities, respectively.

However, in practical applications, the robots operate under uncertainty conditions (parameter variations, unmodeled dy-

namics, etc.). Taking into account the independent actuators of the wheels and the uncertainties yields the following uncertain dynamical model describing the motion of the  $i$ th robot (see [16] for further details):

$$\begin{bmatrix} \ddot{x}_i \\ \ddot{y}_i \\ \ddot{\theta}_i \end{bmatrix} = \begin{bmatrix} -\dot{y}_i \dot{\theta}_i \\ \dot{x}_i \dot{\theta}_i \\ 0 \end{bmatrix} + \begin{bmatrix} \cos \theta_i & 0 \\ \sin \theta_i & 0 \\ 0 & 1 \end{bmatrix} \mathbf{u}_i + \begin{bmatrix} \cos \theta_i & 0 \\ \sin \theta_i & 0 \\ 0 & 1 \end{bmatrix} \Delta_i \mathbf{u}_i + \boldsymbol{\pi}_i(\mathbf{q}_i, \dot{\mathbf{q}}_i). \quad (3)$$

The control input is  $\mathbf{u}_i$

$$\mathbf{u}_i = \begin{bmatrix} u_{1,i} \\ u_{2,i} \end{bmatrix} = \begin{bmatrix} \frac{F_i}{m_i} \\ \frac{\tau_i}{J_i} \end{bmatrix}$$

where  $m_i$  and  $J_i$  are the known nominal robot mass and moment of inertia, respectively.  $F_i$  and  $\tau_i$  denote the force and the torque applied to the robot  $i$ , respectively. The disturbances (for instance, the slipping or skidding effects) are  $\boldsymbol{\pi}_i(\mathbf{q}_i, \dot{\mathbf{q}}_i)$ , and the parameter variations are as follows:

$$\Delta_i = \begin{bmatrix} \varepsilon_i & 0 \\ 0 & \varepsilon'_i \end{bmatrix}$$

where  $\varepsilon_i$  and  $\varepsilon'_i$  represent the variations on the mass and the inertia of the vehicle, respectively.

*Assumption 1:* It is assumed that  $\|\boldsymbol{\pi}_i(\mathbf{q}_i, \dot{\mathbf{q}}_i)\|$  is bounded by a known positive nonlinear function  $\boldsymbol{\Pi}_i(\mathbf{q}_i, \dot{\mathbf{q}}_i)$ , i.e.,

$$\|\boldsymbol{\pi}_i(\mathbf{q}_i, \dot{\mathbf{q}}_i)\| \leq \boldsymbol{\Pi}_i(\mathbf{q}_i, \dot{\mathbf{q}}_i) \quad (4)$$

and that the parameter variations satisfy

$$|\varepsilon_i| < 1 \quad |\varepsilon'_i| < 1. \quad (5)$$

*Remark 1:* The disturbances do not necessarily satisfy the matching condition and are not assumed to be vanishing.

## III. COORDINATED FORMATION CONTROL SCHEME

To achieve the coordinated formation control, it is necessary to describe the relationship, as well as the organization between the robots. Among the different ways of constructing a formation, the leader–follower approach is one of the most important building blocks. A multilayer high level formation can be realized by the combination of a first level called formation's leader and the cascades of several leader–follower pairs.

### A. Leader–Follower Scheme

As shown in Fig. 2, the robot  $k$  follows its leader  $i$ . Let  $l_{ik} \in \mathbb{R}_{>0}$  be the relative Euclidean distance between the robots  $i$  and  $k$  defined as

$$l_{ik} = \sqrt{(x_i - x_k - d \cos \theta_k)^2 + (y_i - y_k - d \sin \theta_k)^2} \quad (6)$$

where  $d$  is the distance between the middle point of the rear axle and the front of the robot. The coordinates  $(x_k + d \cos \theta_k, y_k +$

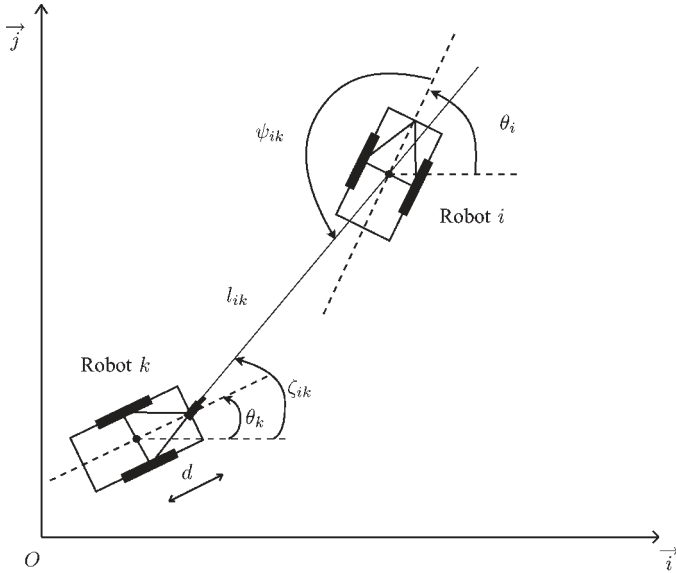


Fig. 2. Leader-follower pair.

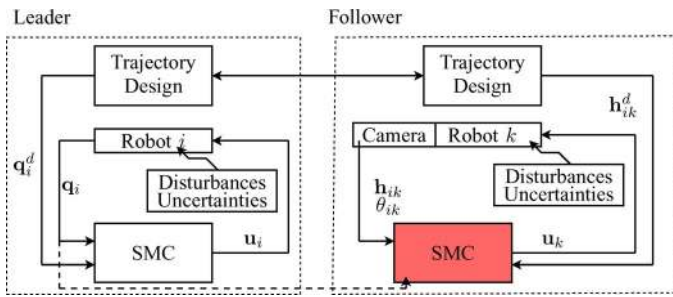


Fig. 3. Control scheme based on a leader-follower approach.

$d \sin \theta_k$ ) denote the position of the camera of the robot  $k$ . The relative bearing  $\psi_{ik} \in (-\pi, \pi]$  is defined as

$$\psi_{ik} = \pi + \zeta_{ik} - \theta_i \quad (7)$$

and  $\zeta_{ik} = \arctan(y_i - y_k - d \sin \theta_k) / (x_i - x_k - d \cos \theta_k)$ .

**Remark 2:** If the robot  $k$  is equipped with a pan-controlled monochromatic camera, the relative coordinates  $l_{ik}$  and  $\psi_{ik}$  can be estimated from a single image (see, for instance, [14]).

The coordination scheme is based on the relative distance and bearing between a robot  $k$  and its leader  $i$  (see Fig. 3). Let  $\mathbf{h}_{ik}$ , which is the relative configuration of the robot  $k$  with respect to the robot  $i$ , be given by  $\mathbf{h}_{ik} = [l_{ik}, \psi_{ik}]^T$ .

Differentiating twice the  $l_{ik}$  and  $\psi_{ik}$  yields the following input-output equations:

$$\ddot{\mathbf{h}}_{ik} = G(I_2 + \Delta_k)\mathbf{u}_k + F + P \quad (8)$$

where

$$G = \begin{bmatrix} \cos \varphi_{ik} & d \sin \varphi_{ik} \\ -\sin \varphi_{ik} & d \cos \varphi_{ik} \end{bmatrix} \frac{1}{l_{ik}}$$

with  $\varphi_{ik} = \psi_{ik} + \theta_{ik}$  and  $\theta_{ik} = \theta_i - \theta_k$ , and

$$F = \begin{bmatrix} \dot{\psi}_{ik}^2 l_{ik} - \dot{\theta}_k l_{ik} \dot{\psi}_{ik} + \dot{\theta}_i (\dot{\theta}_{ik} l_{ik} + 2 \dot{\psi}_{ik} l_{ik}) \\ + \dot{\theta}_i (\cos(\psi_{ik} + \theta_i) \dot{y}_i - \sin(\psi_{ik} + \theta_i) \dot{x}_i) \\ - 2 \dot{l}_{ik} \dot{\psi}_{ik} + \dot{\theta}_k \dot{l}_{ik} - 2 \dot{l}_{ik} \dot{\theta}_i - \sin(\psi_{ik} + \theta_i) \dot{\theta}_{ik} \dot{y}_i \\ - \cos(\psi_{ik} + \theta_i) \dot{\theta}_{ik} \dot{x}_i \end{bmatrix} \frac{1}{l_{ik}}$$

$I_2$  denotes the  $2 \times 2$  identity matrix, and  $P$  reflects the disturbances and the parameter variations.

The required geometry between the leader and its follower is determined by:

- a planned trajectory  $\mathbf{q}_i^d = (x_i^d, y_i^d, \theta_i^d)$  being tracked by the formation's leader. For a unicycle-type mobile robot, a saturated robust controller has been recently proposed in [17] to asymptotically stabilize the tracking errors  $x_i^d - x_i$ ,  $y_i^d - y_i$  and  $\theta_i^d - \theta_i$  in spite of the uncertainties.
- the desired, possibly time-varying, relative distance  $l_{ik}^d$  and angle  $\psi_{ik}^d$  between each leader-follower pair.

**Remark 3:** The following results can be extended to the two leaders scheme (i.e.,  $l-l$  scheme [1]) which is based on the relative distances between a follower robot  $k$  and its leaders  $i$  and  $j$ . In this case, the relative configuration of the robot  $k$  is given by  $\tilde{\mathbf{h}}_{ik} = [l_{ik}, l_{jk}]^T$ . Differentiating twice  $\tilde{\mathbf{h}}_{ik}$  yields an equation similar to (8). Nevertheless, the configuration  $\tilde{\mathbf{h}}_{ik}$  is computationally more difficult to estimate than  $\mathbf{h}_{ik}$  [14].

## B. Control Objective

It is aimed to design a robust control law  $\mathbf{u}_k$  such that the robot  $k$  tracks its leader  $i$  with a desired relative configuration  $\mathbf{h}_{ik}^d = [l_{ik}^d, \psi_{ik}^d]^T$ , in spite of parameter uncertainties and disturbances. Furthermore, in order to prevent collisions between robots from the initial time instance, it is required that the following constraint is fulfilled.

**Constraint 1:** The closed-loop trajectories in (8) remains, in spite of the uncertainties, within a set of the form

$$\Omega_{ik} = \{\mathbf{h}_{ik} \in \mathbb{R}^2 : |l_{ik}^d - l_{ik}| < \alpha_{ik}, |\psi_{ik}^d - \psi_{ik}| < \beta_{ik}\} \quad (9)$$

where  $\alpha_{ik}$  and  $\beta_{ik}$  are suitable strictly positive parameters. This requirement ensures that  $l_{ik}$  is bounded away from zero. Because  $l_{ik} > 0$  and  $d > 0$ , the matrix  $G$  is nonsingular.

**Remark 4:** *Constraint 1* ensures the practical stabilization of the tracking error between the actual and the planned trajectories within a given accuracy for each follower. The trajectories of all the leaders of the formation are designed by the motion planners in such a way that the formation achieves some group objective, like, in particular, the navigation without collision between any robot in an environment with obstacles (the accuracy of the tracking error between the leader and its follower is taken into account when defining, in the motion planners, the safety

distance to avoid collisions between robots). For further details, one can refer to [18].

Define the tracking error vector  $\mathbf{e}_{ik} \in \mathbb{R}^4$  as

$$\mathbf{e}_{ik} = \begin{bmatrix} \mathbf{e}_{ik,1}^T \\ \mathbf{e}_{ik,2}^T \end{bmatrix} \quad (10)$$

where  $\mathbf{e}_{ik,1}$  and  $\mathbf{e}_{ik,2} \in \mathbb{R}^2$  are defined by

$$\begin{cases} \mathbf{e}_{ik,1} = \mathbf{h}_{ik}^d - \mathbf{h}_{ik} \\ \mathbf{e}_{ik,2} = \dot{\mathbf{h}}_{ik}^d - \dot{\mathbf{h}}_{ik} \end{cases} \quad (11)$$

The tracking error dynamics is given by

$$\dot{\mathbf{e}}_{ik} = \begin{bmatrix} \mathbf{e}_{ik,2} \\ \ddot{\mathbf{h}}_{ik}^d - G(I_2 + \Delta_k)\mathbf{u}_k - F - P \end{bmatrix}. \quad (12)$$

**Control objective:** Given  $l_{ik}^d$ ,  $\psi_{ik}^d$ , and the set  $\Omega_{ik}$ , find a controller and the corresponding compact time invariant set  $\Lambda_{ik} \subset \Omega_{ik}$  (i.e., such that all trajectories  $\mathbf{h}_{ik}$  of the closed-loop system in (8), which starts from  $\mathbf{h}_{ik}(0) \in \Lambda_{ik}$ , satisfy  $\mathbf{h}_{ik} \in \Lambda_{ik}$  for all  $t \geq 0$ ), leading to the asymptotic stabilization of the tracking errors  $\mathbf{e}_{ik}$ .

#### IV. CONTROLLER DESIGN

The stabilization of the tracking errors will be achieved by using sliding-mode control laws. The aim of sliding-mode control is to constrain the system trajectories to reach and stay, after a finite time, on a given sliding manifold  $\mathbf{S}_k^r$  in the state space [19]. The sliding manifold is defined by the vanishing of a corresponding sliding variable  $\mathbf{s}_k$  and its successive time derivatives up to a certain order, i.e., the  $r$ th-order sliding set

$$\mathbf{S}_k^r = \left\{ \mathbf{e}_{ik} : \mathbf{s}_k = \dot{\mathbf{s}}_k = \dots = \mathbf{s}_k^{(r-1)} = 0 \right\}.$$

A control law leading to such behavior is called an  $r$ th order ideal sliding-mode algorithm with respect to  $\mathbf{s}_k$ . Higher order sliding modes are characterized by a discontinuous control acting on the higher order time derivatives of the sliding constraint (instead of the first time derivative in first order sliding mode). Preserving the main advantages of the former approach (relative simplicity of design and robustness properties), they can reduce the well-known chattering phenomenon, which consists in large oscillations in the neighborhood of the sliding manifold. Indeed, if the sliding order is higher than the relative degree of the system, the discontinuity induced by the variable structure control law is embedded in the higher order time derivatives of the sliding constraint instead of the first time derivative in classical sliding mode. Furthermore, they guarantee better convergence accuracy (see [20] for a survey).

In order to fulfill *Constraint 1*, it is necessary that the state  $\mathbf{h}_{ik}$  is constrained to asymptotically track  $\mathbf{h}_{ik}^d$  with some prescribed dynamics in spite of the uncertainties. To achieve this goal, the reaching phase must be removed because the output behavior, during this phase, cannot be predetermined by the sliding surface. Hence, the sliding variable is designed in order to eliminate the reaching phase. The sliding-mode control algorithm is designed in two steps: 1) the selection of a suitable

sliding variable  $\mathbf{s}_k$  such that, while sliding, the control objective is fulfilled and 2) the design of the corresponding control input  $\mathbf{u}_k$  constraining the system trajectories to evolve on the sliding surface from the initial time instance.

This design can be made using two levels of information.

- 1) The first one, based on first-order sliding-mode control, requires the knowledge of the velocity  $\dot{\mathbf{q}}_i$  of the robot  $i$ , which is quite complex from the point of view of information flow. It also requires the velocity  $\dot{\mathbf{q}}_k$  of the robot  $k$ .
- 2) The second one, based on second-order sliding-mode control, is simpler from the information flow point of view and does not require information about the velocities of the robots  $i$  and  $k$ .

##### A. First Order Sliding-Mode Controller ( $r = 1$ )

In this part, the control objective is to generate a first order sliding mode on a sliding surface appropriately chosen for each follower, that is to say to constrain the system trajectories to evolve from the initial time instance on  $\mathbf{S}_k^1 = \{\mathbf{e}_{ik} : \mathbf{s}_k = 0\}$ .

*Assumption 2:* It is assumed that each robot  $k$  knows the following: 1) its relative position  $[l_{ik}, \psi_{ik}]$ ; 2) its relative orientation  $\theta_{ik}$ ; 3) its velocity  $\dot{\mathbf{q}}_k$ ; 4) the angle  $\theta_i$  of the leader  $i$ ; and 5) the velocity  $\dot{\mathbf{q}}_i$  of the leader  $i$ .

The time derivatives  $\dot{l}_{ik}$  and  $\dot{\psi}_{ik}$  of the relative position can be computed because  $l_{ik}$ ,  $\psi_{ik}$ ,  $\theta_{ik}$ ,  $\theta_i$ ,  $\dot{\mathbf{q}}_i$ , and  $\dot{\mathbf{q}}_k$  are known. Therefore, the values of  $G$  and  $F$  can be computed.

*Assumption 3:* It is supposed that, within the set  $\Omega_{ik}$ , the following parameter uncertainties are bounded as follows:

$$\|G\Delta_k G^{-1}\| \leq 1 - c_k \quad (13)$$

with  $0 < c_k < 1$ .

Consider the following feedback applied to system (12):

$$\mathbf{u}_k = -G^{-1} \left( -\ddot{\mathbf{h}}_{ik}^d + F + \mathbf{v}_k \right) \quad (14)$$

where  $\mathbf{v}_k \in \mathbb{R}^2$  is the new control input. Thus, the control objective becomes, to asymptotically stabilize, the uncertain “double integrator” system

$$\begin{aligned} \dot{\mathbf{e}}_{ik} = & \begin{bmatrix} 0_2 & I_2 \\ 0_2 & 0_2 \end{bmatrix} \mathbf{e}_{ik} + \begin{bmatrix} 0_2 \\ I_2 \end{bmatrix} [(I_2 + G\Delta_k G^{-1})\mathbf{v}_k] \\ & + \begin{bmatrix} 0_2 \\ I_2 \end{bmatrix} \left[ -P + G\Delta_k G^{-1} (F - \ddot{\mathbf{h}}_{ik}^d) \right] \end{aligned} \quad (15)$$

where  $0_2$  denotes the  $2 \times 2$  zero matrix.

Let us define the sliding variable as

$$\mathbf{s}_k(t) = \mathbf{e}_{ik,2}(t) + K_k \mathbf{e}_{ik,1}(t) + L_k \mathbf{d}_k(t) \quad (16)$$

with

$$K_k = \begin{bmatrix} K_{1,k} & 0 \\ 0 & K_{2,k} \end{bmatrix} \quad L_k = \begin{bmatrix} L_{1,k} & 0 \\ 0 & L_{2,k} \end{bmatrix}.$$

$K_{1,k}$ ,  $K_{2,k}$ ,  $L_{1,k}$ , and  $L_{2,k}$  are strictly positive constants that will be defined later. The term  $\mathbf{d}_k \in \mathbb{R}^2$  induces the integral

term and provides one more degree of freedom in the sliding variable design. Its dynamics fulfill the differential equation

$$\begin{cases} \dot{\mathbf{d}}_k(t) = \mathbf{e}_{ik,1}(t) \\ \mathbf{d}_k(0) = L_k^{-1}(-\mathbf{e}_{ik,2}(0) - K_k \mathbf{e}_{ik,1}(0)). \end{cases} \quad (17)$$

The control law  $\mathbf{v}_k$  is designed as follows:

$$\mathbf{v}_k = -K_k \mathbf{e}_{ik,2} - L_k \mathbf{e}_{ik,1} + \Delta \mathbf{v}_k \quad (18)$$

where  $\Delta \mathbf{v}_k$  represents the sliding-mode control part that is designed to reject the perturbations and is given by

$$\Delta \mathbf{v}_k = -\lambda_k \text{Sign}(\mathbf{s}_k). \quad (19)$$

The signum function is defined as  $\text{Sign}([s_{1,k}, s_{2,k}]^T) = [\text{sign}(s_{1,k}), \text{sign}(s_{2,k})]^T$ . The gain  $\lambda_k$  is expressed as

$$\lambda_k = \frac{\rho_k + \eta}{c_k} \quad (20)$$

with the constant  $\eta > 0$  and the known function  $\rho_k \geq \max_{|\varepsilon_k|, |\varepsilon'_k|} \|G\Delta_k G^{-1}(F - \ddot{\mathbf{h}}_{ik}^d + K_k \mathbf{e}_{ik,2} + L_k \mathbf{e}_{ik,1})\| + \max_{\|\pi_k\| \leq \Pi_k} \|P\|$ .

**Theorem 1:** Under Assumptions 1–3, the controller defined by (14), (18), and (19) with the sliding variable (16) and (17) ensures that the tracking errors given by (12) are asymptotically stable. Moreover, if  $\mathbf{h}_{ik}(0) \in \Lambda_{ik}$ , the collision avoidance between the leader and its corresponding follower is guaranteed from the initial time instance.

*Proof:* Let us choose the candidate Lyapunov function

$$V_k = \frac{1}{2} \mathbf{s}_k^T \mathbf{s}_k.$$

Using (15)–(20), the time derivative of  $V_k$  along the trajectory of system (12) is expressed as

$$\begin{aligned} \dot{V}_k &= \mathbf{s}_k^T \left( (I_2 + G\Delta_k G^{-1}) \mathbf{v}_k + G\Delta_k G^{-1} (F - \ddot{\mathbf{h}}_{ik}^d) \right) \\ &\quad + \mathbf{s}_k^T (K_k \mathbf{e}_{ik,2} + L_k \mathbf{e}_{ik,1} - P) \\ &= -\mathbf{s}_k^T (I_2 + G\Delta_k G^{-1}) \lambda_k \text{Sign}(\mathbf{s}_k) - \mathbf{s}_k^T P \\ &\quad + \mathbf{s}_k^T G\Delta_k G^{-1} (F - \ddot{\mathbf{h}}_{ik}^d + K_k \mathbf{e}_{ik,2} + L_k \mathbf{e}_{ik,1}) \\ &\leq -\lambda_k \|\mathbf{s}_k\| + (1 - c_k) \lambda_k \|\mathbf{s}_k\| + \|P\| \|\mathbf{s}_k\| \\ &\quad + \left\| G\Delta_k G^{-1} (F - \ddot{\mathbf{h}}_{ik}^d + K_k \mathbf{e}_{ik,2} + L_k \mathbf{e}_{ik,1}) \right\| \|\mathbf{s}_k\| \\ &\leq -c_k \lambda_k \|\mathbf{s}_k\| + \rho_k \|\mathbf{s}_k\| \\ &\leq -\eta \|\mathbf{s}_k\|. \end{aligned}$$

Thus, it is guaranteed that the output trajectories reach the surface in spite of uncertainties and disturbances. Moreover, the initial condition  $\mathbf{d}_k(0)$  is determined such that the sliding variable always satisfies  $\mathbf{s}_k(0) = 0$ . Hence, the trajectory evolves on the sliding surface  $\{\mathbf{s}_k = 0\}$  from the initial time instance without any reaching phase and remains there.

The time derivative in (16) yields the sliding dynamics

$$\dot{\mathbf{s}}_k = \dot{\mathbf{e}}_{ik,2} + K_k \mathbf{e}_{ik,2} + L_k \mathbf{e}_{ik,1}. \quad (21)$$

In sliding mode, the equivalent control  $\mathbf{v}_k^{\text{eq}}$ , obtained by writing  $\dot{\mathbf{s}}_k = 0$  (see [22] for further details), is given by

$$\mathbf{v}_k^{\text{eq}} = (I_2 + G\Delta_k G^{-1})^{-1} \left( -G\Delta_k G^{-1} (F - \ddot{\mathbf{h}}_{ik}^d) + P \right) + (I_2 + G\Delta_k G^{-1})^{-1} (-K_k \mathbf{e}_{ik,2} - L_k \mathbf{e}_{ik,1}).$$

Substituting the previous equation  $\mathbf{v}_k^{\text{eq}}$  into (15), one obtains the equivalent closed-loop dynamics, in sliding mode

$$\dot{\mathbf{e}}_{ik} = \begin{bmatrix} 0_2 & I_2 \\ -L_k & -K_k \end{bmatrix} \mathbf{e}_{ik}. \quad (22)$$

Therefore, in sliding mode, the tracking errors are constrained to fulfill the following differential equations:

$$\begin{cases} \left( \ddot{l}_{ik}^d - \ddot{l}_{ik} \right) + K_{1,k} \left( \dot{l}_{ik}^d - \dot{l}_{ik} \right) + L_{1,k} (l_{ik}^d - l_{ik}) = 0 \\ \left( \ddot{\psi}_{ik}^d - \ddot{\psi}_{ik} \right) + K_{2,k} \left( \dot{\psi}_{ik}^d - \dot{\psi}_{ik} \right) + L_{2,k} (\psi_{ik}^d - \psi_{ik}) = 0. \end{cases} \quad (23)$$

The positive constants  $K_{1,k}$ ,  $K_{2,k}$ ,  $L_{1,k}$ ,  $L_{2,k}$ , and the corresponding nonempty set of initial conditions  $\Lambda_{ik} \subset \Omega_{ik}$  are selected straightforwardly using pole assignment technique such that, in sliding mode, the tracking errors are exponentially stable and the set  $\Lambda_{ik}$  is positively invariant for the equivalent closed-loop system (23), i.e.,  $\forall t \geq 0, \mathbf{h}_{ik}(t) \in \Lambda_{ik}$ .

Using the control input with the proposed sliding variable, the system (15) can be controlled in sliding mode from the initial time instance. Thus, the discontinuous control term maintains the system on the sliding surface by rejecting the effect of the uncertainties for  $t \geq 0$ . The outputs are insensitive to the system uncertainties, and the closed-loop behavior in (12) is given by (22), which is asymptotically stable. As the transient process is removed, collision avoidance is ensured in spite of the uncertainties from the initial time instance. ■

## B. Second Order Sliding-Mode Controller ( $r = 2$ )

Because the proposed first order sliding-mode controller depends on  $\dot{\mathbf{q}}_i$  and  $\dot{\mathbf{q}}_k$ , it is necessary that the robot  $k$  has knowledge of its velocity and the velocity of the robot  $i$ . In order to minimize the information flow, a controller that only needs the formation error  $\mathbf{e}_{ik,1}$  is proposed hereafter. Its design is still based on sliding-mode control. However, as the state  $\mathbf{e}_{ik,2}$  is not available, the sliding variable (16) and (17) cannot be considered in the controller design. A second order sliding-mode controller is proposed to circumvent this difficulty.

**Assumption 4:** It is assumed that each robot knows the following: 1) its relative position  $[l_{ik}, \psi_{ik}]$  2) its relative orientation  $\theta_{ik}$ .

Therefore,  $G$  can be computed. Because  $F$  in the model (8) is unknown, it is treated as model uncertainty of the system.

**Assumption 5:** It is assumed that  $\mathbf{e}_{ik,2}(0)$  is known, that is to say  $\dot{\mathbf{h}}_{ik}(0)$  is known.

**Remark 5:** The condition of the knowledge of  $\dot{\mathbf{h}}_{ik}(0)$  is not conservative. Indeed, the initial configuration of the robot formation can be divided into two cases.

- 1) All the initial robot velocities are equal to zero. Then, one has  $\mathbf{e}_{ik,2}(0) = \dot{\mathbf{h}}_{ik}^d(0)$ .



- 2) The initial robot velocities are not equal to zero. In this case, before applying the proposed controller, one can design a robust finite time exact differentiator [21] in order to obtain the initial conditions  $\dot{\mathbf{h}}_{ik}(0)$ .

Let us define the sliding variable as follows:

$$\bar{\mathbf{s}}_k = \begin{bmatrix} \bar{s}_{1,k} \\ \bar{s}_{2,k} \end{bmatrix} = \mathbf{e}_{ik,1} + K_k \bar{\mathbf{d}}_k + L_k \bar{\mathbf{c}}_k \quad (24)$$

where

$$K_k = \begin{bmatrix} K_{1,k} & 0 \\ 0 & K_{2,k} \end{bmatrix} \quad L_k = \begin{bmatrix} L_{1,k} & 0 \\ 0 & L_{2,k} \end{bmatrix}$$

are strictly positive definite diagonal matrices. The functions  $\bar{\mathbf{d}}_k$  and  $\bar{\mathbf{c}}_k$  still induce integral terms. Their dynamics fulfill the following differential equations:

$$\begin{cases} \dot{\bar{\mathbf{d}}}_k = \mathbf{e}_{ik,1} \\ \dot{\bar{\mathbf{c}}}_k = \bar{\mathbf{d}}_k \\ \bar{\mathbf{d}}_k(0) = L_k^{-1} (-\mathbf{e}_{ik,2}(0) - K_k \mathbf{e}_{ik,1}(0)) \\ \bar{\mathbf{c}}_k(0) = L_k^{-1} (-\mathbf{e}_{ik,1}(0) - K_k \bar{\mathbf{d}}_k(0)) \end{cases} \quad (25)$$

Differentiating twice the variable (24) gives

$$\ddot{\bar{\mathbf{s}}}_k = K_k \mathbf{e}_{ik,2} + L_k \mathbf{e}_{ik,1} + \ddot{\mathbf{h}}_{ik}^d - G(I_2 + \Delta_k) \mathbf{u}_k - F - P. \quad (26)$$

Let us define the following state feedback control:

$$\mathbf{u}_k = -G^{-1} \left( \begin{bmatrix} v_{1,k} \\ v_{2,k} \end{bmatrix} - L_k \mathbf{e}_{ik,1} - \ddot{\mathbf{h}}_{ik}^d \right) \quad (27)$$

such that one gets

$$\ddot{\bar{\mathbf{s}}}_k = (I_2 + G\Delta_k G^{-1}) \begin{bmatrix} v_{1,k} \\ v_{2,k} \end{bmatrix} - \bar{P} \quad (28)$$

where

$$\bar{P} = F + P - K_k \mathbf{e}_{ik,2} + G\Delta_k G^{-1} (L_k \mathbf{e}_{ik,1} + \ddot{\mathbf{h}}_{ik}^d).$$

*Assumption 6:* It is supposed that, within the set  $\Omega_{ik}$ , the following parameter variations are bounded as follows:

$$0 < \delta_k \leq \|I_2 + G\Delta_k G^{-1}\| \leq \delta'_k. \quad (29)$$

Furthermore, one can get an upper bound of the uncertainties  $\|\bar{P}\|$  within the set  $\Omega_{ik}$ , i.e., there are a positive constant  $\bar{\rho}_k$  such that

$$\|\bar{P}\| \leq \bar{\rho}_k. \quad (30)$$

According to (28), the relative degree (see [23] for details) of system (12) with respect to the sliding variable  $\bar{\mathbf{s}}_k$  is two. Thus, one must design a second order sliding-mode algorithm that only requires the knowledge of  $\bar{\mathbf{s}}_k$  (that is to say, only the relative configuration). One can use the sampled twisting algorithm, described in [20], i.e.,  $\forall j = \{1, 2\}$

$$v_{j,k} = \begin{cases} -\mu_k \text{sign}(\bar{s}_{j,k}), & \text{if } \bar{s}_{j,k} \Delta_{\bar{s}_{j,k}} > 0 \\ -\nu_k \text{sign}(\bar{s}_{j,k}), & \text{if } \bar{s}_{j,k} \Delta_{\bar{s}_{j,k}} \leq 0 \end{cases} \quad (31)$$



Fig. 4. Miabot robots.

TABLE I  
SPECIFICATIONS OF THE ROBOT

<b>Processor:</b>	
Name	Atmel ATmega64
Flash Memory	64Kb
EEPROM	2Kb
SRAM	4Kb
Speed	up to 14.5 MIPS
<b>Drive train:</b>	
Dual DC Motors	6V
Encoders	0.04mm resolution
Wheel diameter	52mm
Gearbox Ratio	8:1
Speed	3m/s
<b>Batteries:</b>	
NiMH	6 × 1.2V AA NiMH cells
Operation	Approx. 2H
<b>Communications:</b>	
Wireless	Bluetooth
Range	up to 100m
Speed	Bidirectional at 11.5kb/s
<b>Chassis:</b>	
Construction	aluminium
Case dimensions	7.5cm <sup>3</sup>

with

$$\Delta_{\bar{s}_{j,k}} = \begin{cases} 0, & \text{if } \gamma = 0 \\ \bar{s}_{j,k}(\gamma\tau) - \bar{s}_{j,k}((\gamma-1)\tau), & \text{if } \gamma \geq 1 \end{cases}$$

where  $\tau$  is the sampling period, and  $\nu_k$  and  $\mu_k$  are positive constants satisfying the following conditions:

$$\nu_k > \frac{\bar{\rho}_k}{\delta_k} \quad \mu_k > \frac{\delta'_k \nu_k}{\delta_k} + 2 \frac{\bar{\rho}_k}{\delta_k}.$$

This algorithm provides good robustness properties. It does not require the knowledge of the time derivative of the sliding variable  $\bar{\mathbf{s}}_k$  and takes into account some practical constraints such as the sampling of the measurement and the control.

*Remark 6:* One can note that the suboptimal algorithm described in [24] could also be applied. After an initialization time, this algorithm needs, in real time, the exact knowledge of the singular value of the sliding variable, that is to say, the



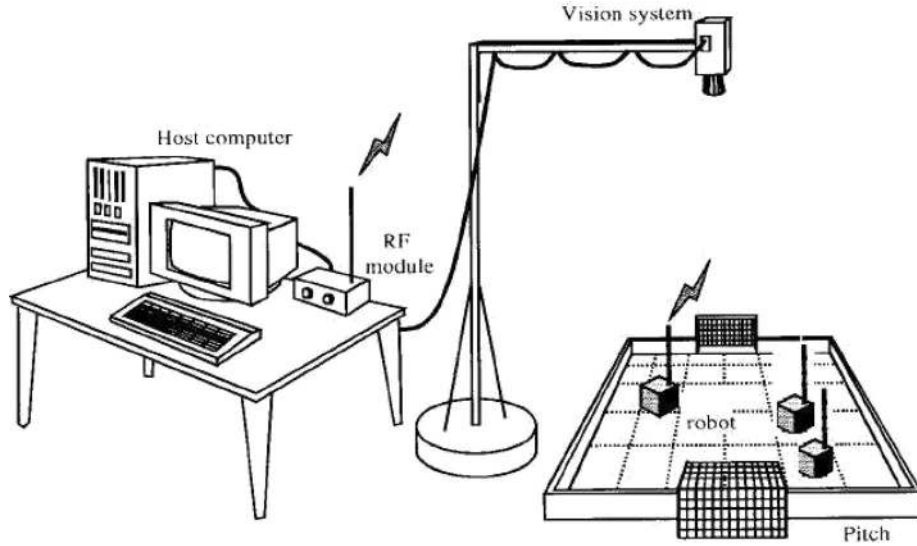


Fig. 5. Overview of the platform.

corresponding value when  $\bar{s}_k = 0$ . Thus, its implementation is less easy than the sample twisting algorithm.

**Theorem 2:** Under Assumptions 1 and 4–6, using only the relative configuration, the controller defined in (27) and (31), with the sliding variable (24) and (25), ensures that the tracking errors given by (12) are asymptotically stable. Moreover, if  $\mathbf{h}_{ik}(0) \in \Lambda_{ik}$ , the collision avoidance between the leader and its corresponding follower is guaranteed from the initial time instance.

*Proof:* The proof is quite similar to the proof of Theorem 1 and is omitted. ■

## V. EXPERIMENTS

### A. Experimental Setup

To demonstrate the effectiveness of the proposed second order sliding-mode controller, experiments have been conducted on a test bench which consists of three nonholonomic mobile robots, called MIABOT (see Fig. 4). The detailed specifications of a mobile robot are given in Table I.

The perspective view of the platform with the vision system, host computer, communication system, and pitch is shown in Fig. 5. The vision system consists of a TMC-7 charge-coupled device camera with a resolution of  $320 \times 240$  pixels and an image grabber with a processing rate of 30 frames/s. The vision system recognizes each vehicle by the color marker adhibited on the top of each one. A virtual vision sensor is fixed on the front of each follower ( $d = 5$  cm). It translates the relative positions obtained by the actual vision system into the relative motion states from the view of the follower. These data are transmitted to the host computer. Because the localization of the robots is made only by the vision system, its processing rate limits the sampling time for the controller. It is set to  $\tau = 60$  ms. In the experiments, the robots are controlled with a host computer, which is a Pentium processor with 2.4 GHz. However, it is easy to control the robots as a decentralized system because the proposed strategy is decentralized. A private IP is assigned to each robot beforehand, and mobile robots

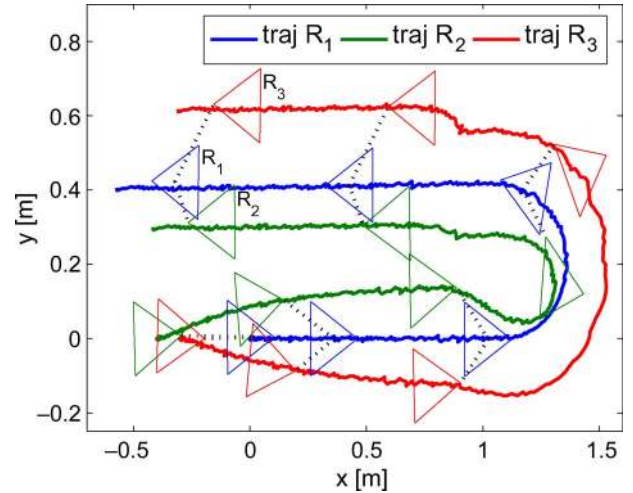


Fig. 6. Trajectories of the leader  $R_1$  and the followers  $R_2$  and  $R_3$ .

(clients) are connected with a server through the communication system, which is a radio frequency module.

### B. Experimental Results

A scenario with one leader and two followers is considered. The objective is that the formation moves in a time-varying geometric shape characterizing by the desired parameters

$$\begin{aligned} l_{12}^d &= 0.175 - 0.025 \tanh(10(t - 5.5)) & \psi_{12}^d &= -\frac{\pi}{4} \\ l_{13}^d &= 0.25 + 0.05 \tanh(10(t - 5.5)) & \psi_{13}^d &= \frac{\pi}{4}. \end{aligned}$$

These parameters have been planned such that the desired trajectories are sufficiently smooth. They characterize a triangular formation with modification of its size at  $t = 5.5$  s (i.e.,  $l_{12}^d : 0.2 \text{ m} \rightarrow_{t=5.5 \text{ s}} 0.15 \text{ m}$  and  $l_{13}^d : 0.2 \text{ m} \rightarrow_{t=5.5 \text{ s}} 0.3 \text{ m}$ ). The formation's leader is  $R_1$ . Its desired trajectory is planned using the algorithm given in [18]. It consists of two straight lines traveled with a linear velocity of 0.5 m/s and an arc of a circle. It

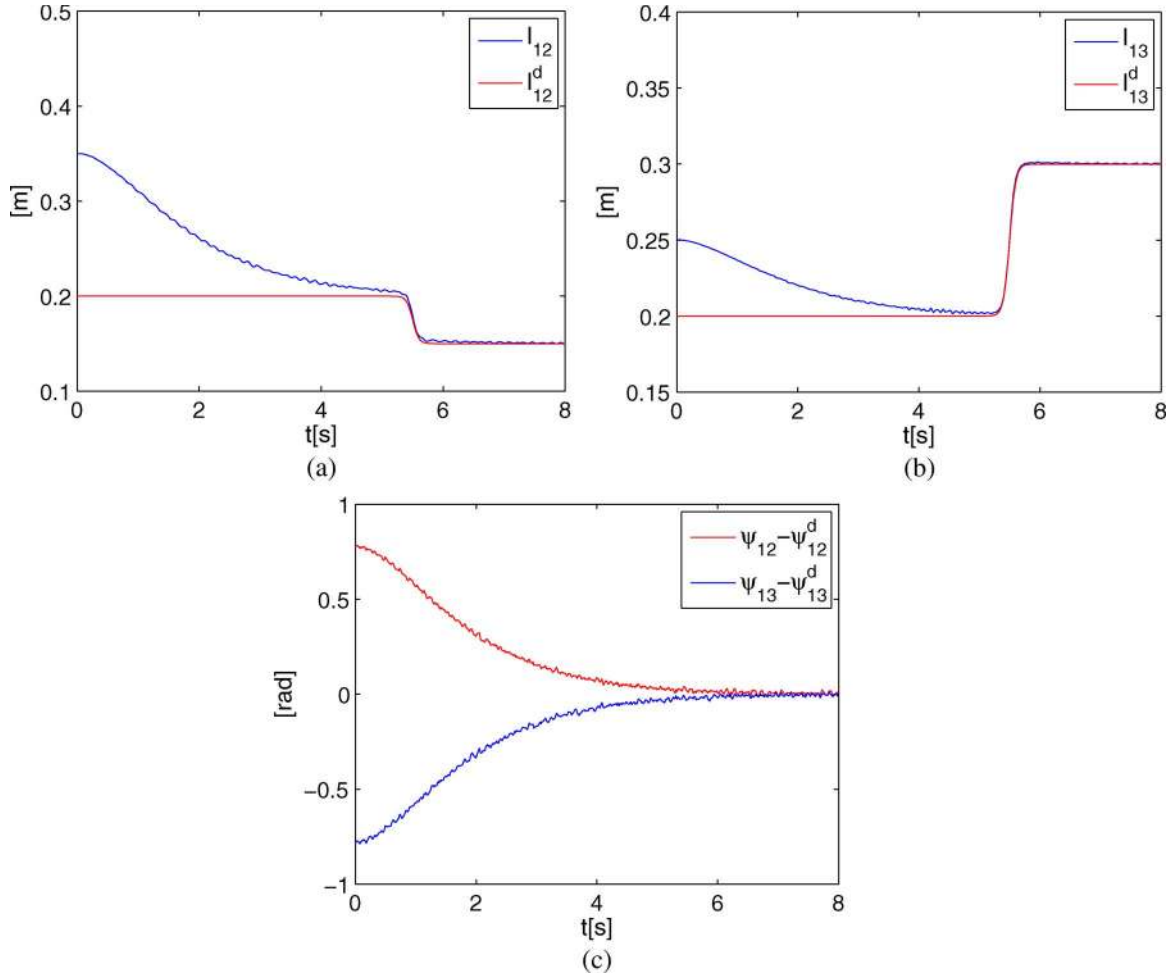
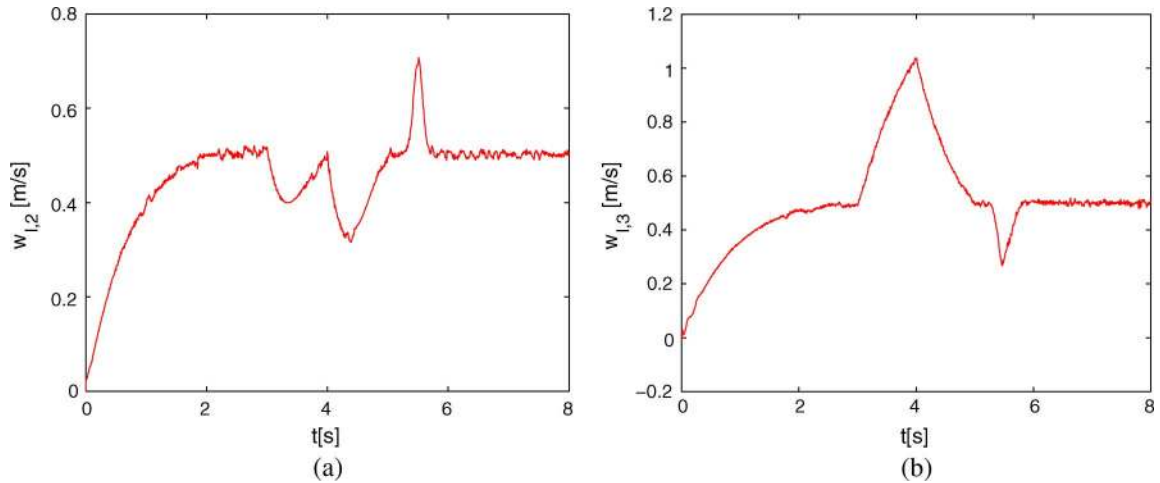


Fig. 7. Tracking errors.

Fig. 8. Linear velocities of robots  $R_2$  and  $R_3$ .

has been designed such that the followers have sufficient control authority to track the desired configuration and stay inside the game area. Note that the tracking of the planned trajectory by the formation's leader is achieved via first order sliding-mode control because it knows its own velocity.

The initial configurations of the robots, which characterize a linear formation, are  $\mathbf{q}_1(0) = [0 \text{ m}, 0 \text{ m}, 0 \text{ rad}]^T$ ,  $\mathbf{q}_2(0) =$

$[-0.4 \text{ m}, 0 \text{ m}, 0 \text{ rad}]^T$ , and  $\mathbf{q}_3(0) = [-0.3 \text{ m}, 0 \text{ m}, 0 \text{ rad}]^T$ . Hence, the geometrical shape of the group will be reconfigured from a "linear" to a "triangular" shape. Their initial velocities are zero. The velocity of the leader  $R_1$  is not known by the followers. Thus, the first order sliding-mode controller cannot be used. That is why, in order to design the control inputs  $\mathbf{u}_2$  and  $\mathbf{u}_3$ , the second-order sliding-mode controller

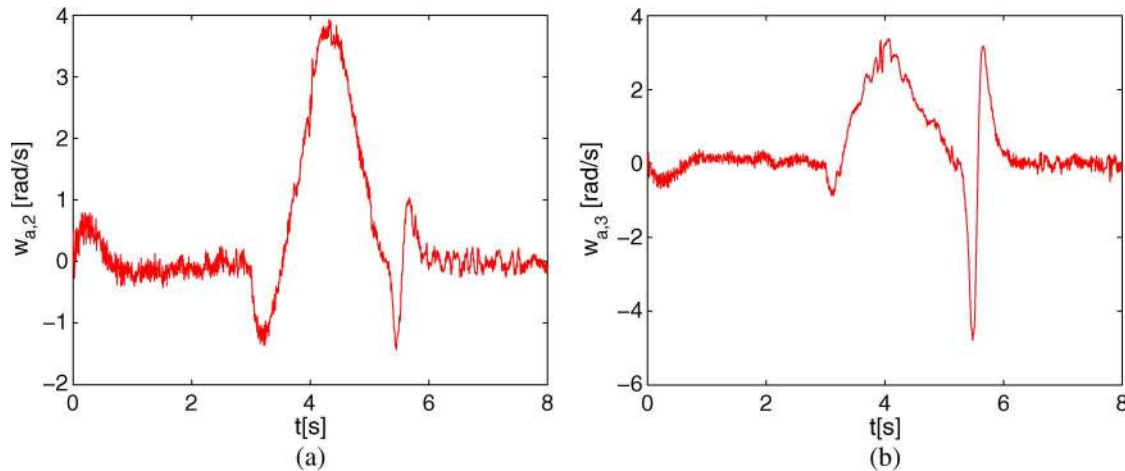


Fig. 9. Angular velocities of robots  $R_2$  and  $R_3$ .

is applied. The parameters of the sliding variable (24) are as follows:

$$K_2 = K_3 = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix} \quad L_2 = L_3 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}.$$

The controller gains are  $\nu_2 = \nu_3 = 4$  and  $\mu_2 = \mu_3 = 20$ .

Under the proposed formation controller, the trajectories of the two robots, recorded by the vision system, are shown in Fig. 6. The corresponding tracking errors for the two followers are shown in Fig. 7. One can see that they are asymptotically stable in spite of the uncertainties. The geometric shape of the formation is reconfigured in a “triangular” shape in 5 s. Then, this triangular formation is tracked even after the modification of its size at  $t = 5.5$  s. Figs. 8 and 9 show the linear and angular velocities of the two followers, respectively. One can note that, when the leader turns back, robot  $R_3$  accelerates (i.e., the linear velocity increases until 1 m/s), whereas robot  $R_2$  slows down in order to keep the triangular shape.

## VI. CONCLUSION

In this paper, the formation control problem for wheeled mobile robots has been addressed. A robust second order sliding-mode controller is proposed to control the leader–follower formation using only the measurement of relative configurations between robots. The controller does not need measurement or estimation of the leader velocity. It achieves the asymptotical stabilization of the vehicles toward a time-varying desired formation, in spite of the unavoidable presence of parametric uncertainties and disturbances, using the on board vision system. Furthermore, it ensures the collision avoidance from the initial time instance. Experimental results have demonstrated the effectiveness of the proposed strategy.

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