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Smart Grid Stability Prediction Model Using Neural Networks to Handle Missing Inputs

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Abstract: A smart grid is a modern electricity system enabling a bidirectional flow of communication that works on the notion of demand response. The stability prediction of the smart grid becomes necessary to make it more reliable and improve the efficiency and consistency of the electrical supply. Due to sensor or system failures, missing input data can often occur. It is worth noting that there has been no work conducted to predict the missing input variables in the past. Thus, this paper aims to develop an enhanced forecasting model to predict smart grid stability using neural networks to handle the missing data. Four case studies with missing input data are conducted. The missing data is predicted for each case, and then a model is prepared to predict the stability. The Levenberg–Marquardt algorithm is used to train all the models and the transfer functions used are *tansig* and *purelin* in the hidden and output layers, respectively. The model's performance is evaluated on a four-node star network and is measured in terms of the MSE and R² values. The four stability prediction models demonstrate good performances and depict the best training and prediction ability.

Keywords: four-node star network; feedforward neural network; forecast; prediction; smart grid; stability



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1. Introduction

The conventional power grid contains standard power generation units grounded on fossil fuels. With the soaring energy prices, the need for renewable energy sources and climate change, the old power grid is becoming outdated and facing various limitations, such as cybersecurity, privacy and power losses due to one-way communication [1]. This pushes for deploying renewable energy sources to improve sustainability and reliability. A smart grid is a solution to this. The smart grid system is a digital future electricity system that enables a two-way flow of communication, i.e., between the center and the device to the center [2].

This bidirectional communication utilizes advanced computing infrastructure, digital sensing and software capabilities to optimize all the grid components and improve reliability and sustainability. There is a unidirectional flow of energy from the energy provider to the consumer in a traditional grid, and consumers are charged based on their consumption. However, in a smart grid system, the users in the grid can consume, produce, store and trade energy with other users [3]. The smart grid introduces demand response, and the price information is determined as the demand is evaluated with supply and conveyed to the customer.

This paper used the DSGC model to define and relate the price to the grid frequency [2,4]. The mathematical model based on DSGC differential equations seeks to find grid instability for a four-node star architecture [5]. The four-node star architecture consists of a central generation node, the power source and three consumer nodes. The response time of

Sensors **2022**, 22, 4342 2 of 29

the smart grid users is considered to adjust the consumption/production concerning the price changes.

The model involves real-time pricing, and thus the grid stability has to be maintained with fluctuations in reaction times and electricity price of all users. It is critical to evaluate grid stability as the process is time-critical dynamically. This is because smart grid stability prediction helps increase efficiency through grid optimization, improves electrical supply reliability and consistency and analyses disturbances and fluctuations in energy consumption or production.

Before the utilization of modern techniques to predict smart grid stability, traditional approaches consisted of simulations combining fixed values for one subset and fixed distribution of values for the remaining subset variables [6,7]. The generation of electricity by photovoltaic power is related to the global horizontal irradiance. For the unknown cloud statistics, the irradiance is uncertain for predicting the stability in power generation, causing optical instability in the solar irradiance [4]. Measurement-based methods are another complex and challenging traditional method used to predict power grid stability [8].

Various statistical approaches have been investigated, including autoregressive moving average, Kalman filter and Markov chain model [9], which contribute to insufficient reliability of the grid [10]. Other types of early statistical methods [11] for load forecasting in smart grids have various drawbacks and affect the accuracy of the prediction model. These are built by ineffective, simple regression functions, and thereby do not yield good performance in vast uncertainties [12]. Further, traditional approaches, such as time series analysis, ARMA, ARIMA and Markov models for stability forecasting, exist only in specific operating ranges [13,14].

Additionally, some research involved using conventional parametric methods that include linear regression, auto-regressive moving average and the general exponential methods. Although such models return satisfactory prediction accuracy, they persist with major disadvantages, such as improper response and complex computational problems to meteorological variables and nonlinear electrical load [15]. A probabilistic model was introduced in [16] for power stability.

However, some uncertainties have been observed between regular grid operation and cascading failure operation in the simulation result. Adding on, techniques used for stability assessment require extensive computation time and massive data analysis volume, which makes it tough to obtain a reliable prediction and makes it difficult to take decisions for an operating power system [17].

A few hybrid systems used for dynamic stability prediction have been based on unreliable self-organized maps and responded slowly [6,18]. Another method introduced a situational awareness for stability prediction, a perception of elements for a given time and space in the environment [5]. It was proven that optimized deep-learning models are one of the excellent prediction tools for smart grid stability. Using neural networks for stability prediction has various advantages.

They have multiple training algorithms, do not require significant dataset pre-processing and can produce high accuracy values during training and testing [4]. Further, they can recognize different sets within a whole dataset and give adequate results even when the dataset is incomplete or inaccurate [19]. Finally, the ability to implicitly detect complex nonlinear relationships between independent and dependent variables makes it viable for stability prediction [20].

Comprehensive review work in [21] concluded that most of the works on prediction models using machine learning reported little or no information on the presence and handling of missing data. The missing data is omitted in most models, which is ineffective, affecting their performance. The missing data for the analysis results from many things, such as sensor failure, equipment malfunctions, lost files, etc. This challenges the increasing cost and prediction ability of the proposed models. Thus, there is a need for significant research in handling missing data. On the other hand, predicting the data with neural networks or machine-learning models is more efficient than simply omitting the data or resorting to mean values.

Sensors 2022, 22, 4342 3 of 29

Being motivated by the literature, this paper proposes a novel method to predict the smart grid stability of a four-node star network using a neural network with complete and missing input data, consisting of missing input variables. Thus, the significant contributions of this paper are highlighted as follows:

- The classic FFNN is designed to predict the stability of the smart grid system of a four-node star network with complete input data.
- The sub-neural networks are proposed to predict the missing input variables, which
 are caused due to a sensor, network connection or other system failures. Then, the system's stability is forecast using these predicted missing input data.
- The performance of the proposed approach is evaluated in four different case studies in which at least one input variable is missing.

The subsequent sections of the paper are organized as follows: Section 2 presents the comprehensive literature review on smart grid stability prediction using neural networks. Section 3 describes the mathematical modeling and data description of the four-node star network used for the smart grid stability prediction. Section 4 shows the development and performance of the FFNN with complete input data, and Section 5 describes the development and performance evaluation of the FFNN to handle the missing inputs. Finally, Section 6 highlights the conclusions of the proposed work.

2. Literature Review

In this section, an extensive literature survey is conducted on smart grid stability using neural networks. This literature review shows that various neural-network-based techniques have been used for analysis, and the data analyzed in the works are with complete input data. The developed approaches are robust and accurate due to their complex structure that helps classify problems and recognize correlations in raw data and hidden patterns.

A summary of works focused on smart grid stability prediction using various neural networks is highlighted in Table 1. The table contains 55 papers published in the last decade, categorized into publication year, smart grid architecture, neural network type, neural network architecture, activation functions, training algorithms, performance measures and comparison techniques considered for each study. From the research works in Table 1, the year-wise and the publisher-wise contributions to the smart grid's stability prediction during the last decade are shown in Figure 1. Figure 2 depicts the smart grid architectures identified in the literature survey conducted.

The most popular architectures are IEEE bus systems [6,16,18,22,23] and node network types [4,8,24]. Therefore, in this paper four-node star network was selected to perform the proposed research.

In the analysis, several types of neural networks and hybrid networks were identified, as depicted in Figure 3. Among the most popular neural networks identified are FFNN, which includes the hybridized versions, such as FF-BPNN [25] and FF-DNN [26]. In addition, CNN is another most widely used, with its enhanced and hybrid versions, namely ECNN [27] and CNN-RNN [28]. The hybrid versions of LSTM, including LSTM-RNN [29,30] and LSTM-CNN [31], can also be seen in this literature.

In addition, the performance of DNN for stability prediction was improved by hybridizing with RNN, RL [32], CNN and IRBDNN [33]. On the other hand, optimization algorithms, such as SSA, have also been used with RBFNN to obtain the network's optimal weights [7]. The hybrid versions of GRU models, such as BiGRU [8] and GRU-RNN [9], have also been used for node networks' stability prediction. The sub-classification of all these neural-network-based models is also illustrated in Figure 3.

Figure 4 summarizes the various training algorithms and activation functions used in the research work reported in Table 1. The figure concludes that the LM algorithm is the most commonly used for training algorithms, followed by Adam's optimization algorithm [29,32]. Furthermore, it further depicts that sigmoid, ReLU, *tansig* and *tanh* are the most frequently used hidden layer activation functions [30,34]. In contrast, the *purelin*

Sensors **2022**, 22, 4342 4 of 29

activation function followed by Sigmoid is most commonly used in the output layer of the neural network [34–36].

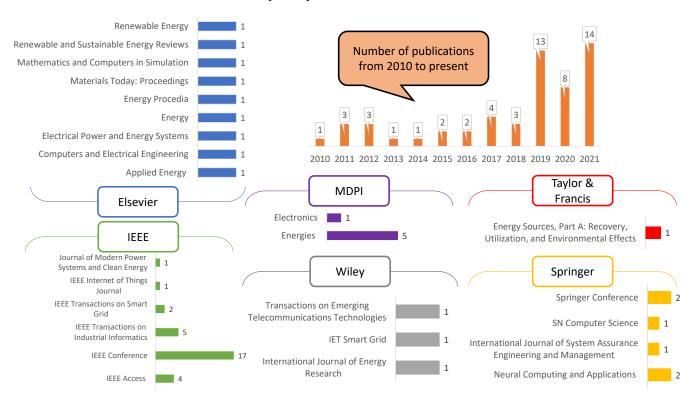


Figure 1. Year-wise and the publisher-wise contributions to smart grid's stability forecasting during the last decade.

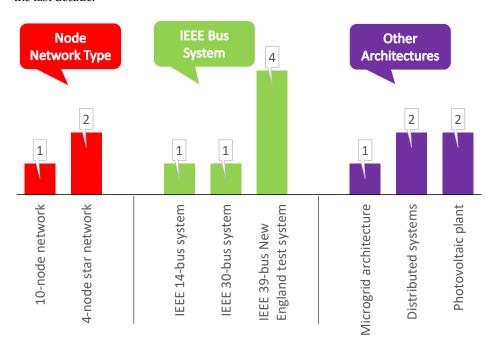


Figure 2. Summary of the smart grid architectures identified in the conducted literature survey.

Sensors 2022, 22, 4342 5 of 29

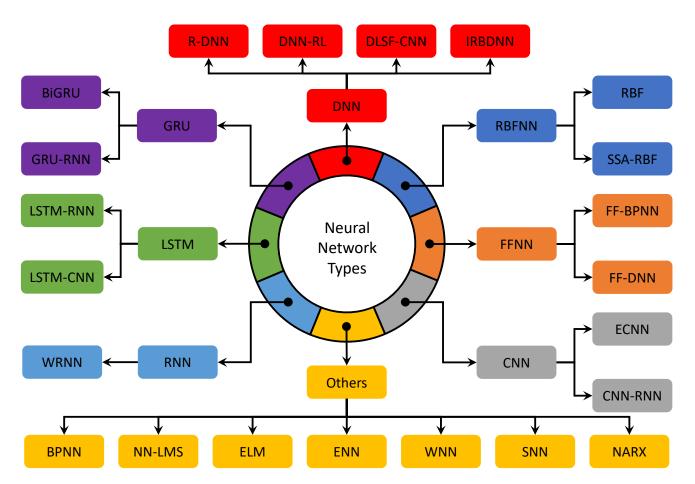


Figure 3. Classification of multiple neural-network-based models developed for smart grid stability prediction.

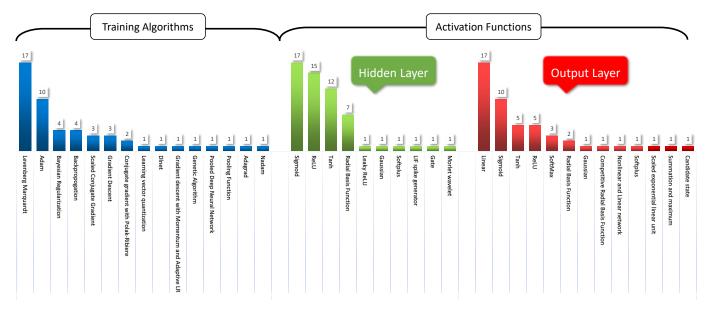


Figure 4. Summary of the various training algorithms and activation functions used in neural-network-based models for smart grid stability prediction.

The significant findings from the literature review on smart grid stability prediction using neural networks are highlighted as follows:

Sensors **2022**, 22, 4342 6 of 29

 No work was conducted to predict stability when there is a missing parameter. Most studies showed that missing data had been either omitted, unreported or replaced with mean/median values.

- The most popular architectures used for the case studies are IEEE bus systems and node network types (see Figure 2).
- Among the several types of conventional and hybrid neural networks proposed in the literature, the FFNN and its hybrid versions, such as FF-BPNN and FF-DNN, are widely presented (see Figure 3 and Table 1).
- The Levenberg–Marquardt algorithm is the most frequently used training algorithm for various networks to predict smart grid stability (see Figure 4).
- The *tansig* and *purelin* activation functions have frequently been used in various networks' hidden and output layers to predict smart grid stability (see Figure 4).

From the above research gaps, this paper made an effort to develop a forecasting model that handles the missing input data. For the proposed neural-network-based forecasting model, the LM training algorithm was selected as it is one of the fastest backpropagation algorithms and is widely recommended in the literature. The literature proves that effective training necessitates a nonlinear and linear combination of activation functions. Thus, the *tansig* and *purelin* activation functions are utilized in the hidden and output layers, respectively.

Further, in our previous work reported in [37], an effort was made to compare the performance of FFNN, cascade and recurrent neural-network-based models for smart grid stability prediction. The work concludes that, for the considered application, the FFNN demonstrated superior performance in terms of the MSE and R² values compared to cascade and recurrent neural networks. On the other hand, over the years, researchers have proposed different methodologies and theories for selecting the number of hidden layers and the number of hidden neurons in each hidden layer. As reported in [38], it was concluded that a network with only one hidden layer but sufficient neurons can achieve better performance.

Moreover, this performance can be further improved by adding additional hidden layers. However, the variation in this performance with a multilayer network is minimal. The work reported in [39] concluded the same, stating that a multilayer network has achieved better performance but increased the complexity of the network. Therefore, for the considered application, the FFNN with a single hidden layer was used for all the cases, which improved the performance in predicting smart grid stability.

Sensors **2022**, 22, 4342 7 of 29

Table 1. Summary of works focused on forecasting smart grid stability using neural networks.

Ref.	Year	Smart Grid Architecture	Neural Network Type	Neural Network Architecture	Activation Hidden Layer	Functions Output Layer	Training Algorithm	Performance Measures	Comparison Techniques
[34]	2021	-	FFNN	2:10:1	Tanh, Sigmoid	Linear	LM, BR, SCG	MSE, R	RTP, SMP, RTP-SMP, GA, ANN, STW
[7]	2021	Smart grid with photovoltaic and wind turbine	SSA-RBFNN	-	_	-	-	RMSE	SSA-RBFNN with and without RES
[40]	2021	-	FFNN	3:20:1	Sigmoid	Linear	LM	MSE, RMSE	PV with ANN, Wind with ANN, Hybrid model with ANN
[32] [29]	2021 2021	- -	DNN-RL LSTM-RNN	- 1:50:50:50:1	Leaky ReLU Tanh	Leaky ReLU Tanh	Adam Adam	MSE MAE, RMSE, MAPE	GBR, SVM
[4]	2021	four-node star	FFNN	24:24:12:1	ReLU	Sigmoid	Adam, GDM, Nadam	Accuracy, Precision, Sensitivity, F-score	CNN, FNN
[9]	2021	-	GRU-RNN	3:15:10:1	Gate	Candidate	AdaGrad	RMSE, MAE	LSSVR, WNN, ELM, SAE, DBN
[41]	2021	-	SNN	784:400:400:11	LIF spike generator	Summation and maximum	-	Precision, Recall, F-score, Accuracy	CNN
[8]	2021	four-node star	LSTM, BiGRU, ELM	12:256:128:1, 12:512:256:1, 12:96:30:1	Sigmoid Softplus	Sigmoid Softplus	Adam	RMSE, MAE, R ² , PICP, PINC, ACE	BiGRU, LSTM, XGB, LGBM, ANN
[42]	2021	Distributed systems	DNN-RL	-	ReLU	ReLU	Adam	Peak, Mean, Var, PAR, Cost, Computation time	C-DDPG, DPCS, SWAA
[11]	2021	-	LSTM, BPNN	6:96:48:1, 6:48:24:1, 6:10:1	RBF	Sigmoid	Adam	MAPE, RMSE	LSTM, BPNN, MLSTM, ELM, MLR, SVR
[43]	2021	-	BPNN	3:2:3	Sigmoid	Linear	BP	RMSE	
[14]	2021	-	FF-DNN	-	ReLU	SELU	PDNN, Pooling function	FA, MAE, RMSE, SoC, HR	SVM, NN-ARIMA, DBN
[44]	2021	-	FFNN	-	ReLU	Alpha	BP	Accuracy, Precision, Recall, F-score	PSO-KNN, PSO-NN, PSO-DT, PSO-RF ARIMA, BPNN, SVM,
[10]	2020	-	CNN-LSTM	-	ReLU	Linear	Adam	RMSE, MAE, NRMSE, F-score	LSTM, CEEMDAN-ARIMA, CEEMDAN-BPNN, CEEMDAN-SVM
[45]	2020	-	RNN, CNN	-	Sigmoid	Tanh	Adam	Area under the curve, F-score, Precision, Recall, Accuracy	Logistic regression, SVM, LSTM
[46]	2020	_	NN-LMS	24:24:24, 24:96:96:4	ReLU	ReLU		,	-
[47]	2020	_	NARX-RNN	2:5:1	Sigmoid	Linear	Conjugate gradient with Polak-Ribiere	NRMSE, RMSE, MAPE	ARMAX

Sensors **2022**, 22, 4342

Table 1. Cont.

Ref.	Year	Smart Grid Architecture	Neural Network Type	Neural Network Architecture	Activation Hidden Layer	Functions Output Layer	Training Algorithm	Performance Measures	Comparison Techniques
[48]	2020	-	FFNN	20:38:1	Tanh	Linear	Conjugate gradient with Polak-Ribiere	MSE	RTEP, LBPP, IBR without ESS
[33]	2020	-	IRBDNN	-	_	_	-	RMSE, MAE, MAPE	DNN, ARMA, ELM
[30]	2020	-	LSTM-RNN	-	Sigmoid, Tanh, ReLU	_	-	Accuracy, Precision, Recall, F-score	GRU, RNN, LSTM
[22]	2020	IEEE 14-bus system	CNN	-	ReLU	Sigmoid	Adam	Precision, Recall, F-score, Row accuracy	SVM, LGBM, MLP
[49]	2019	_	FF-BPNN	_	_	_	GA	MSE, Fitness, Accuracy	_
[50]	2019	-	RNN		Tanh	Sigmoid	BP	MAE, RMSE, MAPE, Pmean	BPNN, SVM, LSTM, RBF
[28]	2019	_	CNN-RNN	100:98:49:1	ReLU	Softmax		MSE, Recall, PTECC	CNN, CNN-RNN, LSTM
[51]	2019	-	ENN	10:1:1	-	-	GDM and Adaptive LR, LM	RMSE, NRMSE, MBE, MAE, R, Forecast skill	Similarity search algorithm, ANN, MLP and ARMA, LSTM
[12]	2019	-	FF-DNN, R-DNN	2:5:2	Sigmoid, Tanh, ReLU	Sigmoid, Tanh, ReLU	LM	MAPE	Ensemble Tree Bagger, Generalized linear regression, Shallow neural networks
[31]	2019	-	CNN, LSTM	05:10:100	ReLU	Softmax	-	MCC, F-score, Precision, Recall, Accuracy	Logistic regression, SVM
[27]	2019	-	ECNN	32:32:1	ReLU	Sigmoid, Softmax	Adam	MAE, MAPE, MSE, RMSE	AdaBoost, MLP, RF
[23]	2019	IEEE 39-bus New England test system	CNN, LSTM	_	Sigmoid	Tanh	GDM	Accuracy	-
[52]	2019	-	FFNN	76:20:1, 92:20:1, 92:20:1	ReLU	Sigmoid	LM	MSE, Accuracy, Precision, Recall, F-score	RF, OneR, JRip, AdaBoost-JRip, SVM and NN (without WOA)
[53]	2019	-	ECNN	-	_	_	-	MSE, RMSE, MAE, MAPE	Willout WOA)
[54]	2019	-	FF-DNN, R-DNN	-	Sigmoid, Tanh, ReLU	Linear	LM	MAPE, Correlation coefficient, NRMSE	ANN, CNN, CRBM, FF-DNN
[25]	2019	-	FF-BPNN	-	-	ReLU	GDM	Mean error, MAD, Percent error, MPE, MAPE	Classical forecasting methods
[26]	2019	-	FF-DNN	1:5:1, 6:5:1	Sigmoid	Linear Nonlinear and	-	MAPE	DNN-ELM
[55]	2018	-	FFNN	-	Sigmoid	linear network	LM	MSE, R	Multilayer ANN Models

Sensors **2022**, 22, 4342 9 of 29

Table 1. Cont.

Ref.	Year	Smart Grid Architecture	Neural Network Type	Neural Network Architecture	Activation		Training Algorithm	Performance Measures	Comparison Techniques
			71		Hidden Layer	Output Layer			
[56]	2018	-	RBF, WRNN	7:4:3	RBF	Competitive	LM	Classification accuracy	Pooling Neural Network, LM
[13]	2018	_	WRNN	2:16:16:4	RBF	RBF	_	RMSE	
[57]	2017	-	FFNN	7:96:48:24:1	Tanh	Gaussian	Dlnet, BP	MAPE	Ten state-of-the-art forecasting methods
[58]	2017	_	FFNN	24:5:1	Sigmoid	Sigmoid	LM	MAPE	AFC-STLF, Bi-level, MI-ANN forecast
[59]	2017	-	Deep learning based short-term forecasting	20:30:25:1	ReLU	ReLU	-	RE	SVM
[24]	2017	10-node network	FFNN, WNN-LQE	8:10:1	Morlet wavelet	Sigmoid	-	SNR	LQE-based WNN, BPNN, ARIMA, Kalman, XCoPred algorithms
[60]	2016	_	FFNN	3:20:10:3	Sigmoid	Linear	LM, BR	MSE, R	LM, BR
[15]	2016	_	FFNN	8:10:1	Sigmoid	Linear	-	MAE, MAPE, RMSE, R ² , MSE	GA-MdBP, CGA-MdBP, CGASA-MdBP
[16]	2015	IEEE 30-bus system	FFNN	4:10:1	RBF	-	SCG supervised learning	MSE, PDF, CDF	-
[61]	2015	_	FFNN	10:1:20	Tanh	Tanh	LVQ	Mean Error, Maximum Error, Success %	-
[62] [17]	2014 2013	- -	FFNN FFNN	7:(10-15):1 -	Sigmoid –	Linear –	LM LM	R, MAPE MER, MAE, MAPE	- -
[63]	2012	Microgrid architecture: residential smart house aggregator	BPNN	10:1:1	Tanh	Linear	LM, SCG	Solar insulation and air temperature	-
[64]	2012	IEEE 39-bus New England test system	FF-BPNN	20:10:5:1	Tanh	Sigmoid	LM, BR	Stability	-
[6]	2012	IEEE 39-bus New England test system	RBF	30:30:9, 30:30:10	RBF	Linear	LM	Training Time, Testing Time, Number of misses, MSE, Classification accuracy %	
[18]	2011	IEEE 39-bus New England test system	RBF	36:36:1	Gaussian	Linear		Training time, Testing time, Number of misses, MSE, False alarms %, Misses %, Classification	Traditional NR method
[65]	2011	Grid-connected PV plant	BPNN	16:15:7:1	Sigmoid	Linear	LM	accuracy % MABE, RMSE, R	-
[66]	2011	Medium tension distribution system	RBF	33:119:33, 33:129:33	RBF	Linear	_	MSE, SPREAD	_
[67]	2010	–	BPNN, FFNN	8:8:30:1	Tanh	Linear	LM, BR	MSE	LM,BR,OSS

Sensors 2022, 22, 4342 10 of 29

3. Mathematical Modeling and Data Description of Four-Node Star Network

In Section 3.1, the mathematical modeling of the four-node star architecture network is developed based on the equations of motion and binding the electricity price to the grid frequency. Then, the description of the generated dataset from the final dynamic equation of DSGC and the correlation analysis between the network parameters are provided.

3.1. Mathematical Modeling and Stability Analysis of Four-Node Star Network

In this section, the mathematical modeling of the four-node star network and the stability analysis are conducted. The central node (center of the "star") communicates directly with the consumer nodes in a star network topology. The consumer nodes are connected to the central (generation node), enabling bidirectional communication between each node, which helps them to operate at lower power levels. One of the main advantages of star topology is that the networks are independent. In case of failure or errors in one of the consumer nodes, the other consumer nodes are not affected, and the network operates typically.

The network is formed with one power producer in the center (i.e., generation node) and three consumers (i.e., consumer node). Star topologies depend heavily on delay and averaging time. Intermediate delays in a four-node star topology benefit stability, making it a simple, effective and efficient system [4]. From the literature survey conducted, we observed that the star and bus topologies were popular. A conclusion was drawn that star networks used in previous works having similar objectives showed good performance and can achieve the mathematical modeling for the DSGC system. Thus, the four-node star topology was chosen for this work, and the mathematical model of the DSGC system was obtained for the four-node star architecture network given in Figure 5.

3.1.1. Mathematical Modeling

The mathematical model of the DSGC system is obtained for the four-node star architecture network given in Figure 5. The figure shows that the network is formed with one power producer in the center (i.e., Generation Node) and three consumers (i.e., Consumer Node). The mathematical modeling developed with assumptions, such as no uncertainties and external disturbances comprises two parts. The first describes the generator and load dynamics based on equations of motion. The second part is based on binding the electricity price to the grid frequency [4,5,37,68].

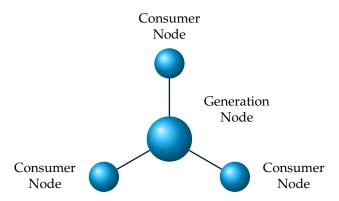


Figure 5. The architecture of the four-node star network.

The first step in the modeling is applying the energy conservation law. As per the energy conservation law, the power balance equation is given as follows:

$$P^s = P^a + P^d + P^t, (1)$$

where P^s is the power generated from source.

Sensors 2022, 22, 4342 11 of 29

In (1), P^d is the dissipated energy from the turbine, which is proportional to the angular velocity square given as,

 $P^d = K_i(\dot{\delta}_i(t))^2, \tag{2}$

where j is the node index (either generator or load), K_j is the friction coefficient of jth node and $\delta_i(t)$ is the rotor angle of jth node defined as,

$$\delta_i(t) = \omega t + \theta_i(t),\tag{3}$$

where ω is the grid frequency and θ_i is the relative rotor angle.

Similarly, in (1), P^a is the accumulated kinetic energy, and P^t is the transmitted power given as,

$$P^{a} = \frac{1}{2} M_{j} \frac{\mathrm{d}}{\mathrm{d}t} (\dot{\delta}_{j}(t))^{2}, \tag{4}$$

$$P^{t} = -\sum_{m=1}^{4} P_{jm}^{\max} \sin(\delta_{m} - \delta_{j}), \tag{5}$$

where M_j is the moment of inertia of jth node and P_{jm}^{max} is the maximum capacity of line between jth and mth node.

By substituting (2), (4) and (5) in (1), P_i^s is obtained as follows:

$$P_{j}^{s} = \frac{1}{2} M_{j} \frac{d}{dt} (\dot{\delta}_{j}(t))^{2} + K_{j} (\dot{\delta}_{j}(t))^{2} - \sum_{m=1}^{4} P_{jm}^{\max} \sin(\delta_{m} - \delta_{j})$$
 (6)

Now, substituting $\delta_j(t)$ from (3) in (6), $\frac{d^2}{dt^2}\theta_j(t)$ is obtained as follows:

$$\frac{\mathrm{d}^2}{\mathrm{dt}^2}\theta_j(t) = P_j - \alpha_j \frac{\mathrm{d}}{\mathrm{dt}}\theta_j(t) + \sum_{m=1}^4 K_{jm} \sin(\theta_m - \theta_j),\tag{7}$$

where P_j is the generated or consumed power, α_j is the damping constant and K_{jm} is the coupling strength between jth and mth nodes. These coefficients are computed as follows:

$$P_{j} = \frac{P_{j}^{s} - K_{j}\omega^{2}}{M_{j}}, \ \alpha_{j} = \frac{2K_{j}}{M_{j}}, \ K_{jm} = \frac{P_{jm}^{\max}}{M_{j}\omega}.$$
 (8)

The final step in the modeling is binding the electricity price to the grid frequency ω , allowing consumers to adjust their consumption or production. Thus, the electricity price p_j for the jth node is computed as,

$$p_j = p_\omega - c_1 \int_{t-T_i}^t \frac{\mathrm{d}}{\mathrm{d}t} \theta_j(t-\tau_j) dt, \tag{9}$$

where p_{ω} is the electricity price when $d\theta_j/dt = 0$, c_1 is the proportionality coefficient, T_j and τ_j are the average and reaction times, respectively.

The power consumed or produced $\hat{P}_i(p_i)$ at price p_i is defined as,

$$\hat{P}_j(p_j) \approx P_j + c_j(p_j - p_\omega),\tag{10}$$

where c_i is the coefficient proportional to the elasticity price.

For the four-node star network shown in Figure 5, it is assumed that the algebraic sum of power consumed or generated is equal to zero. Thus, the assumption is given as,

$$\sum_{j=1}^{4} P_j = 0. (11)$$

Sensors **2022**, 22, 4342 12 of 29

Therefore, the final dynamic equation of DSGC system for the four-node star architecture network is obtained by substituting (7), (9) and (10) in (11) as follows:

$$\frac{\mathrm{d}^2}{\mathrm{d}t^2}\theta_j(t) = P_j - \alpha_j \frac{\mathrm{d}}{\mathrm{d}t}\theta_j(t) + \sum_{m=1}^4 K_{jm} \sin(\theta_m - \theta_j) - \frac{\gamma_j}{T_j} (\theta_j(t - \tau_j) - \theta_j(t - \tau_j - T_j)), \quad (12)$$

where $\gamma_j = c_1 \times c_j$.

3.1.2. Stability Analysis

In the first stage of analyzing the network's dynamical stability around the grid's steady-state operation, the fixed points of the network are computed by solving $\frac{d^2}{dt^2}\theta_j=0$ and $\frac{d}{dt}\theta_j=0$, which are obtained as,

$$\left(\theta_j(t), \frac{\mathrm{d}}{\mathrm{d}t}\theta_j(t)\right) = (\theta_j^*, \omega_j^*). \tag{13}$$

The above equation shows that the fixed point exists only if the grid has an adequate coupling strength coefficient K_{jm} to transmit the power from the generation nodes to the consumer nodes. Furthermore, in the obtained fixed point, the value of ω_j is $\frac{d}{dt}\theta_j$, which is equal to zero. Thus, the value of $\omega_j^*=0$. The fixed points highlight that it only depends on the value of θ_j , which must be analyzed to determine the stability.

Next, the Jacobian matrix of the system is obtained to compute the eigenvalues that determine the network's stability. Thus, the Jacobian matrix *J* is calculated as,

$$J = \begin{pmatrix} \frac{\partial}{\partial \theta_j} (\frac{\mathrm{d}}{\mathrm{d}t} \theta_m) & \frac{\partial}{\partial \omega_j} (\frac{\mathrm{d}}{\mathrm{d}t} \theta_m) \\ \frac{\partial}{\partial \theta_j} (\frac{\mathrm{d}}{\mathrm{d}t} \omega_m) & \frac{\partial}{\partial \omega_j} (\frac{\mathrm{d}}{\mathrm{d}t} \omega_m) \end{pmatrix}. \tag{14}$$

The eigenvalues λ of the above Jacobian matrix determine the network's stability. The matrix has infinitely many solutions. However, only a finite number of solutions can have a real positive component (Re(λ) \geq 0), determining the network's instability. In addition, the negative real part (Re(λ) < 0) indicates stability. Therefore, the network's stability condition is summarized as follows:

Stability =
$$\begin{cases} \text{Stable,} & \text{if } \operatorname{Re}(\lambda) < 0, \\ \text{Unstable,} & \text{if } \operatorname{Re}(\lambda) \ge 0. \end{cases}$$
 (15)

3.2. Data Description of Four-Node Star Network

From the differential Equation (12), it is to be noted that the parameters τ_j , P_j and γ_j are the predictive features of the network. The values of these parameters used for the simulation are shown in Table 2 [68]. The value of j ranges from 1 to 4, in which index 1 is the generator node, and the remaining indices (2, 3 and 4) are consumer nodes. Further, the values of simulation constants α_j , T_j and K_{jm} used in the simulation are given in Table 2. The range of P_j ($j \in (2,3,4)$) at the consumer nodes are also shown in Table 2. The value of P_1 at the generating node in the model is computed as,

$$P_1 = \sum_{j=2}^4 P_j. {16}$$

The generated dataset contains 60,000 samples for all the 12 predictive variables and one dependent variable, $Re(\lambda)$. The predictive features are shown in Figure 6a–c, and the dependent variable $Re(\lambda)$, whose values are the real part of the roots from the dynamic equation of DSGC system in (12), is shown in Figure 6d. The dataset is zoomed in, and the region of the first 300 samples is shown in the figures.

Sensors 2022, 22, 4342 13 of 29

Category	Parameter	Range/Value
	$ au_i$	[0.5, 10] s
Predictive features	$P_{i}^{'}$	$[-2.0, -0.5] \text{ s}^{-2}$
	$\gamma_i^{'}$	
	α_i	$0.1 \mathrm{s}^{-1}$
Simulation constants	$T_i^{'}$	2 s

 K_{jm}

 $8 \, {\rm s}^{-2}$

Table 2. Predictive features and simulation constants used for data generation of four-node star network.

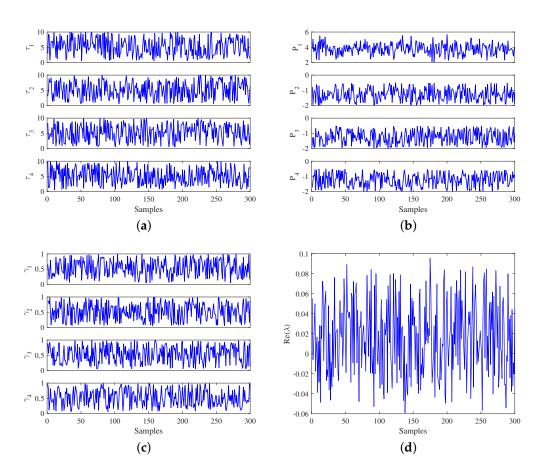


Figure 6. Dataset of predictive and dependent features of four-node star network. (a) Reaction time τ_i ; (b) Produced/consumed power P_i ; (c) Elasticity coefficient γ_i ; (d) Re(λ).

3.3. Correlation Analysis

The Pearson's correlation matrix between the predictive features of the network (τ_j, P_j, γ_j) and dependent variable $\text{Re}(\lambda)$ is shown in Figure 7. As reported in [69], the interpretation from the Pearson's correlation coefficients is given in Table 3. Therefore, from Figure 7 and Table 3, it can be observed that there is a moderate negative correlation coefficient of -0.579 between P_1 and its sum components $(P_2, P_3 \text{ and } P_4)$. In addition, there is a weak positive correlation between dependent variable $\text{Re}(\lambda)$, τ_j and γ_j of around 0.28 and 0.29, respectively. In contrast, there is negligible correlation between dependent variable $\text{Re}(\lambda)$ and P_j . Furthermore, it is worth highlighting that there is a negligible correlation between the predictive features (τ_i, P_i, γ_j) of the network.

As Pearson's correlation matrix describes the strength and associated direction between the variables, it can be concluded that the relationship between any two predictive features or between predictive features and the dependent variable is not very strong. Therefore, in this analysis, all the parameters are considered for developing and evaluating the performance of the proposed model (refer to Section 4). In addition, only the power parameters have been considered for developing and assessing the performance of the

Sensors **2022**, 22, 4342 14 of **29**

proposed model that handles the missing inputs since there is a moderate correlation between P_1 and its sum components compared to other parameters (refer to Section 5).

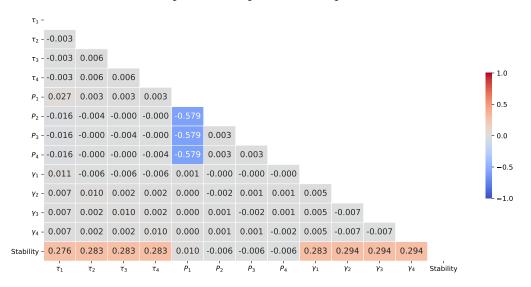


Figure 7. Pearson's correlation matrix of the study variables.

Table 3. Interpretation of Pearson's correlation coefficients.

Coefficient	Interpretation
±0.90-±1.00	Very strong correlation
$\pm 0.70 - \pm 0.89$	Strong correlation
$\pm 0.40 - \pm 0.69$	Moderate correlation
$\pm 0.10 - \pm 0.39$	Weak correlation
$0.00-\pm 0.09$	Negligible correlation

A detailed research flowchart of the complete smart grid stability design model is portrayed in Figure 8.

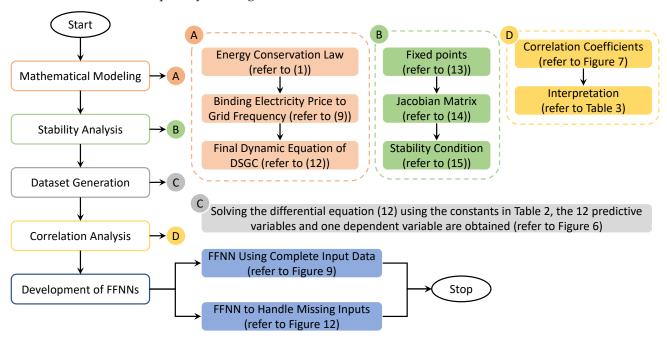


Figure 8. Research flow diagram for the design of smart grid stability model.

Sensors 2022, 22, 4342 15 of 29

4. Development and Performance Evaluation of Feedforward Neural Network

This section develops and evaluates the performance of an FFNN to predict the stability of the smart grid. The methodology for preparing a prediction model using complete input data is shown in Figure 9. The figure shows that data collection, analysis and pre-processing occur first. The input data is identified for the next step, which includes the prediction model to predict stability using the input data. The dataset used in this study consists of 60,000 samples.

The neural network used to predict stability utilizing the input data is a three-layered FFNN as shown in Figure 10. The first layer in the architecture indicates the input layer, which consists of 12 nodes equivalent to the 12 input parameters τ_j , P_j , $\gamma_j \ \forall \ j \in \{1,2,3,4\}$. The number of nodes in the middle layer, i.e., the hidden layer ' N_h ,' is 10, which can be calculated using the number of nodes in input layer ' N_i ' as follows [70]:

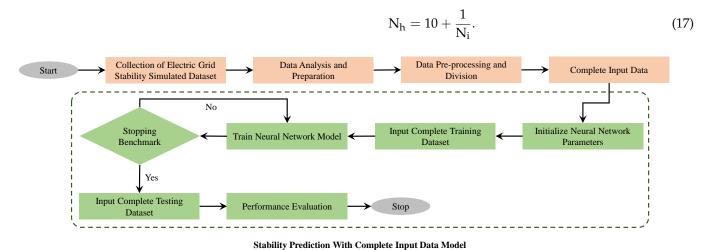


Figure 9. Flow chart of implementation of prediction model with complete input data.

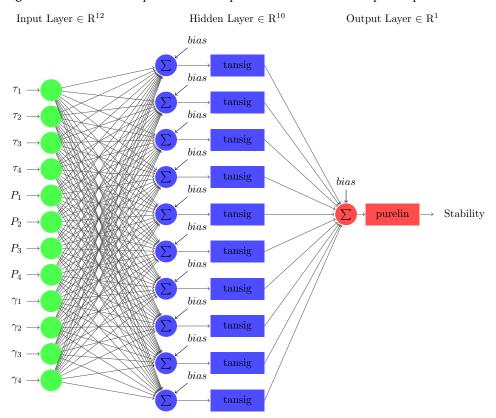


Figure 10. The architecture of FFNN for predicting smart grid's stability.

Sensors **2022**, 22, 4342 16 of **29**

The third layer represents the output layer, consisting of 1 node, the output parameter (Stability). The dataset is divided into 80% and 20% for training and testing. The neural network is trained using the Levenberg–Marquardt algorithm. The *tansig* and *purelin* activation functions are utilized in the hidden and output layers. The training algorithm and activation functions are chosen as per the results of the comprehensive literature review conducted as shown in Figure 4. The training and testing outputs for the neural network are shown in Figure 11a,b. The neural network performance is measured in terms of R² and MSE [71–74]. The model has achieved an R² value of 0.9739 during training and 0.9738 during testing. Additionally, the model achieved MSE values of 0.0077 during both training and testing. Thereby, the accurate performance of the prediction model is depicted as the R², and the MSE values are close to 1 and 0.

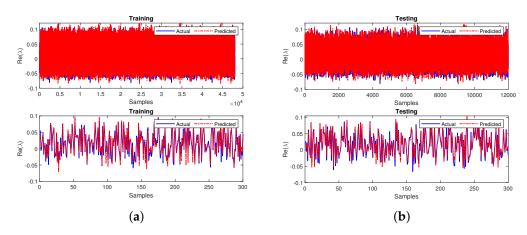


Figure 11. Performance comparison of stability prediction (a) training and (b) testing.

5. Development and Performance Evaluation of Feedforward Neural Network to Handle Missing Input

This section develops and evaluates a novel prediction model to handle missing inputs. The flowchart for the methodology adopted for predicting the missing input data is represented in Figure 12. Herein, four cases of missing inputs are taken, described in the flow chart as Case 1, Case 2, Case 3 and Case 4. This flowchart is represented in three stages.

The first stage includes data collection, analysis, pre-processing and defining the missing inputs. A prediction model is prepared using a sub-neural network to handle the missing inputs in the second stage. After the missing input parameters are predicted, the prediction model that handles missing inputs is prepared to predict stability. The primary neural network is an FFNN trained using the Levenberg–Marquardt algorithm for each case.

The *tansig* and *purelin* transfer functions are used in the hidden and output layers. The dataset consists of 60,000 samples, out of which 80% are used for training and 20% for testing. The input layer consists of 12 nodes corresponding to the 12 input parameters. The output layer consists of one node corresponding to the one output parameter. The number of nodes in the middle layer, i.e., the hidden layer ' N_h ', is 10, which can be calculated using (17).

Standard specifications for each sub-neural model in the four cases are as follows: tansig and purelin transfer functions are used in the hidden and output layers. The dataset, consisting of 60,000 samples, is divided into 80% for training and 20% for testing. The training algorithm used for the sub-neural network is the Levenberg–Marquardt algorithm. Different missing input variables are considered in each layer for each of the four cases, as explained underneath.

Sensors 2022, 22, 4342 17 of 29

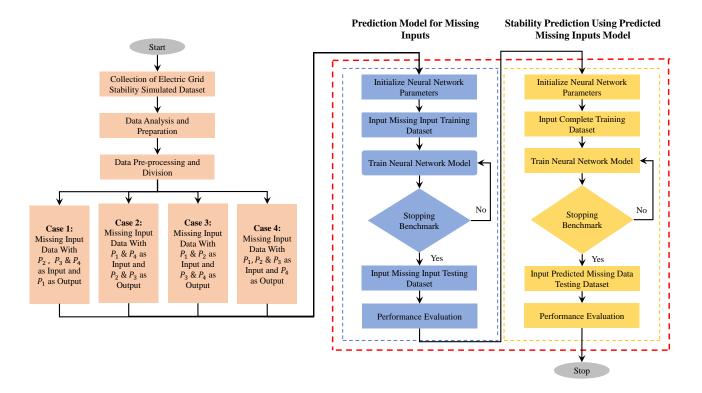


Figure 12. Flow chart of implementation of prediction model that handles missing input data for the four cases.

5.1. Case 1

One missing input variable is considered in the first case, which will be predicted using a sub-neural network. The sub-neural-network model for this section is an FFNN that consists of three layers (refer to Figure 13). The first input layer consists of three nodes composed of three nodes similar to the three input parameters: accumulated power (P_2), dissipated power (P_3) and transmitted power (P_4). The last layer consists of one output node composed of one output parameter, i.e., source power (P_1). The number of nodes in the hidden layer is 10, computed using (17).

The training and testing outputs for the case 1 sub-neural network is shown in Figure 14a,b for 60,000 samples and zoomed in for 300 samples as shown in the bottom subplot. The neural network performance is measured in terms of R^2 and MSE. The model achieved an R^2 value of 0.9992 during training and testing. Additionally, the model achieved MSE values of 0.0008 during training and 0.0008 during testing.

The primary neural network was trained to predict stability using the predicted output variables. The testing output variables of the sub-neural network are substituted in the primary neural network. Finally, the leading neural network is tested after predicting the missing input variables. The training and testing outputs for the case 1 primary neural network is shown in Figure 15a,b for 60,000 samples and zoomed in for 300 samples as shown in the bottom subplot. The neural network performance is measured in terms of R^2 and MSE. The model achieved an R^2 value of 0.9721 during training and 0.8413 during testing. Additionally, the model achieved MSE values of 0.0080 during training and 0.0085 during testing.

Sensors 2022, 22, 4342 18 of 29

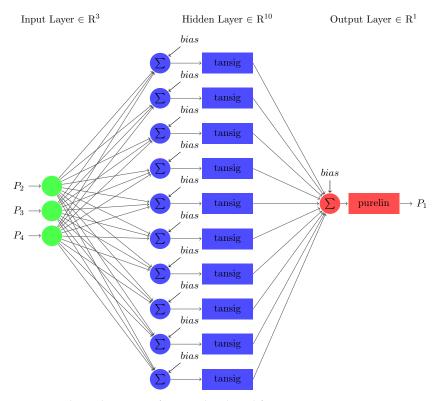


Figure 13. The architecture of FFNN developed for case 1.

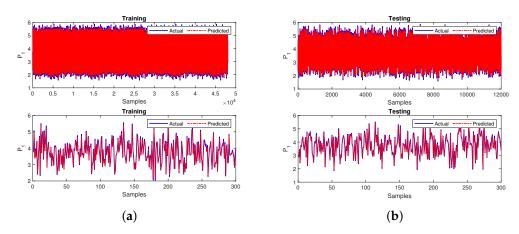


Figure 14. Performance of the sub-neural network for case 1 during (a) training and (b) testing.

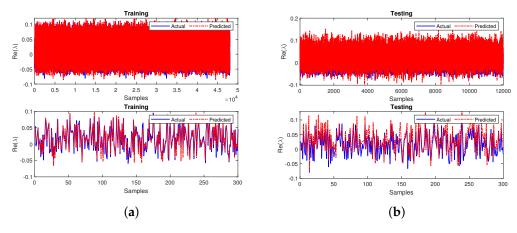


Figure 15. Performance of the primary neural network for case 1 during (a) training and (b) testing.

Sensors 2022, 22, 4342 19 of 29

5.2. Case 2

Case 2 involves two missing input variables for stability prediction using FFNN as shown in Figure 16. The network shows that the input layer consists of two nodes relative to the input parameters: the source and transmitted powers (i.e., P_1 and P_4). The output layer has two nodes corresponding to accumulated and dissipated power (i.e., P_2 and P_3). The number of nodes in the hidden layer is 10 (refer to (17)). In the next step, the testing output variables of the sub-neural network are substituted and trained in the primary neural network model.

Upon prediction of the missing input variables, the primary neural network is tested. The MSE and R^2 performance measures are used to handle the missing data for the prediction model. The sub-neural-network model achieved MSE values of 0.1661 during the training and 0.1667 during testing. The R^2 values are 0.7082 during training and 0.7072 during testing. The sub-neural network's performances during training and testing for the first 300 samples are shown in Figure 17a,b, respectively.

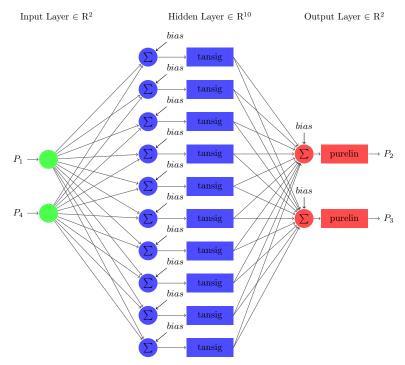


Figure 16. The architecture of FFNN developed for case 2.

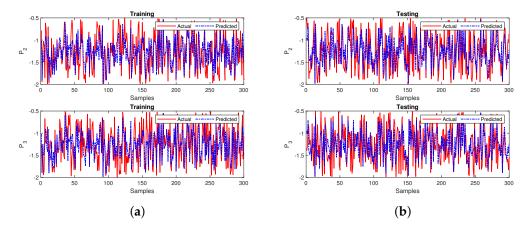


Figure 17. Performance of the sub-neural network for case 2 during (a) training and (b) testing.

Sensors **2022**, 22, 4342 20 of 29

The next step of case 2 involves training the primary neural network by utilizing the obtained testing output variables from the sub-neural network that is also measured in terms of MSE and R^2 values. The neural network attains an MSE of 0.0077 during training and testing. Furthermore, the R^2 values have obtained 0.9738 during the training and testing phases. The final developed model's performance for all the 60,000 samples and the first 300 samples of both the phases are represented in Figure 18a,b, respectively. The response in plots of the final leading model showcases the best prediction and tracking ability at both phases. The MSE and R^2 values relative to 0 and 1, respectively, indicate that the final proposed model for this case gives a superior performance.

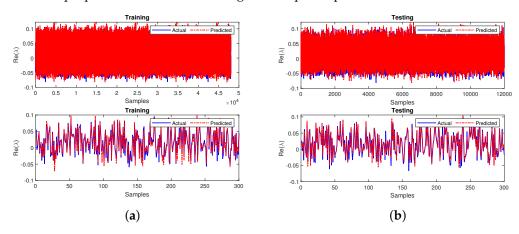


Figure 18. Performance of the primary neural network for case 2 during (a) training and (b) testing.

5.3. Case 3

Further, Case 3 uses two missing input variables for a feedforward sub-neural network for stability prediction as shown in Figure 19. The input layer has two nodes relative to the two input parameters: the source power and accumulated power (i.e., P_1 and P_2). The output layer has two nodes corresponding to the two output parameters, dissipated and transmitted powers (i.e., P_3 and P_4). The number of nodes in the hidden layer is 10. In the next step, the testing output variables of the sub-neural network are substituted and trained in the primary neural network model. Upon prediction of the missing input variables, the primary neural network is trained. The MSE and R^2 performance measures are used to handle the missing data for the prediction model. The sub-neural-network model achieved MSE values of 0.1659 during the training and 0.1673 during the testing phases.

The R^2 values are found to be 0.7085 and 0.7061 during training and testing. The subneural network's performance during training and testing for the first 300 samples is shown in Figure 20a,b, respectively.

Next, the primary neural network was trained by utilizing the obtained testing output variables from the sub-neural network measured using MSE and R^2 values. The neural network attained an MSE of 0.0083 during training and 0.0082 during testing. Furthermore, the R^2 values obtained were 0.9720 during training and 0.9721 during the testing phases. The final developed model's performance for the 60,000 samples and the first 300 zoomedin samples at both stages are represented in Figure 21a,b, respectively. The response in plots of the final leading model showcases the best prediction and tracking ability at both phases. The MSE and R^2 values relative to 0 and 1, respectively, indicate that the final proposed model for this case gives a satisfactory performance.

Sensors 2022, 22, 4342 21 of 29

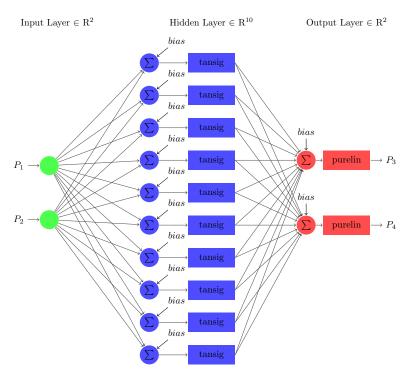


Figure 19. The architecture of FFNN developed for case 3.

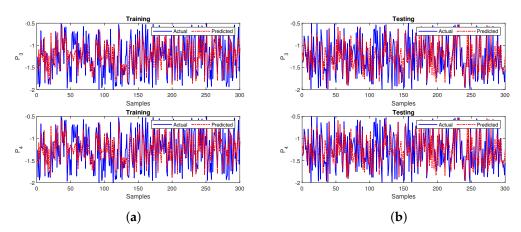


Figure 20. Performance of the sub-neural network for case 3 during (a) training and (b) testing.

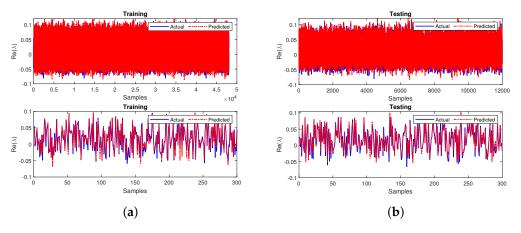


Figure 21. Performance of the primary neural network for case 3 during (a) training and (b) testing.

Sensors 2022, 22, 4342 22 of 29

5.4. Case 4

Finally, the study of case 4 considers one missing input variable that is predicted using a sub-neural network as shown in Figure 22. Here, the first input layer has three nodes representing the input parameters: the source power, accumulated power and dissipated power (i.e., P_1 , P_2 and P_3). The last layer, the output layer, is composed of one node corresponding to the transmitted power (i.e., P_4). The number of nodes in the hidden layer is 10. The primary neural network was trained to use the predicted output variables to predict the stability after replacement in the primary neural network.

Once the missing input variable is predicted, the primary neural network is trained similarly to previous cases. The model's performance handling the missing data is measured using R^2 and MSE. The sub-neural-network model achieved MSE values are 0.0001 in during training and testing and an R^2 value of 0.9999 during the training and testing phases. The performance of the sub-neural-network model developed for all the 60,000 samples and the first 300 samples zoomed in is depicted in Figure 23a,b for training and testing, respectively.

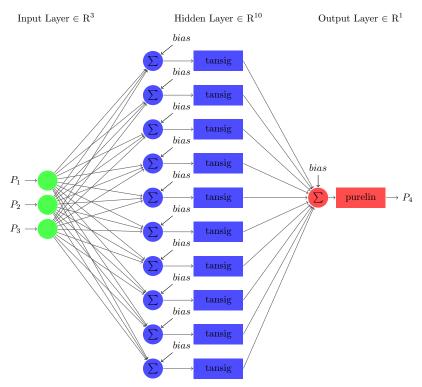


Figure 22. The architecture of FFNN developed for case 4.

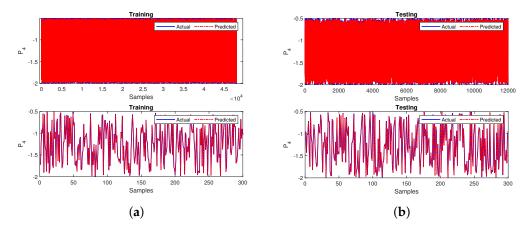


Figure 23. Performance of the sub-neural network for case 4 during (a) training and (b) testing.

Sensors 2022, 22, 4342 23 of 29

Further, the primary neural network was trained similarly to other cases by utilizing the testing output variables from the sub-neural network. The model obtains an MSE of 0.0084 during training and 0.0084 during the testing phase. The R² values were 0.9717 during training and 0.9715 during testing. The performance of the final developed neural network having 60,000 samples and the first 300 samples during both training and testing are shown in Figure 24a,b, respectively. The model's response offers both phases the best training and prediction ability. The MSE values obtained are close to 0, and the R² values are relative to 1, highlighting that the proposed model is accurate for stability prediction.

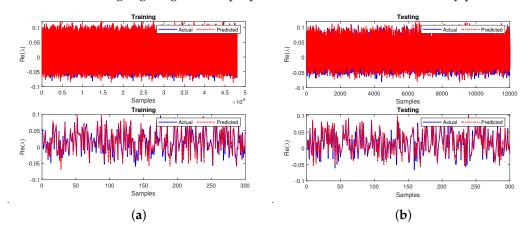


Figure 24. Performance of the primary neural network for case 4 during (a) training and (b) testing.

5.5. Summary

Table 4 depicts the performance evaluation of the developed FFNN model using complete input data and the model that handles the missing inputs (Case 1, Case 2, Case 3 and Case 4). The R^2 and MSE results of the sub-neural-network model of case 4, with one missing input variable: transmitted power (i.e., P_4), shows the model's best training and prediction ability in both phases. For all the sub-neural-network models, the R^2 value has achieved at least 70% and 97% for the primary neural network. We noticed that the MSE values obtained are close to 0. Furthermore, the R^2 values are relative to 1, which indicates the excellent performance of all the models.

Table 4. Performance evaluation comparison of the FFNN with complete input data and the FFNN that handles the missing data.

Category	Case	Network	Stage	R ²	MSE
With Complete Input Data	-	Primary	Training Testing	0.9739 0.9738	0.0077 0.0077
	Case 1	Sub Primary	Training Testing Training Testing	0.9992 0.9992 0.9721 0.8413	0.9739 0.0077 0.9738 0.0077 0.9992 0.0008 0.9992 0.0008 0.9721 0.0080
Model that Handles Missing Input	Case 2	Sub Primary	Training Testing Training Testing	0.7072 0.9738	0.1667 0.0077
Data	Case 3	Sub Primary	Training Testing Training Testing	0.7061 0.9720	0.1673 0.0083
	Case 4	Sub Primary	Training Testing Training Testing		

Sensors **2022**, 22, 4342 24 of 29

6. Conclusions

The primary goal of this paper was to tackle the issue of stability prediction when there are missing variables involved. This missing variable could be due to the failure of a sensor, network connection or other system. This paper successfully solved this issue by proposing a novel FFNN model that handles missing inputs. The model's performance was evaluated on a four-node star network. In this study, four cases of missing input variables were taken.

For each case, a sub-neural network was first prepared to predict the missing variables, and then these predicted values were fed into the primary neural network to predict the stability. Among all four cases, case 4 showed the best performance with an MSE value of 0.0001 and an $\rm R^2$ value of 0.9999 during training and testing for the sub-neural network. In addition, the primary network showed an MSE value of 0.0084 and an $\rm R^2$ value of 0.9717 during training and 0.9715 during testing. For all the four cases, the models achieved an MSE close to 0 and an $\rm R^2$ value close to 1 thus indicating the excellent performance of the prediction models.

However, this work was limited to predicting the power parameter using a sub-neural network because the algebraic sum of the power consumed or generated was assumed to be zero, and uncertainties and disturbances were not considered. Moreover, the reaction time and price elasticity parameters are highly nonlinear in the considered dataset. As a result, this proposed model faces a shortcoming in predicting the missing variables (reaction time and price elasticity) using a sub-neural network that predicts the stability using a primary network. Therefore, extending the proposed model to predict these highly nonlinear input parameters will be addressed in our future work.

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Conflicts of Interest: The authors declare no conflict of interest.

Abbreviations

The following abbreviations are used in this manuscript:

AdaBoost Adaptive Boosting

AdaGrad Adaptive Gradient algorithm
Adam Adaptive Movement Estimation

ACE Average Coverage Error

Adaptive LR Adaptive Linear Regression

AFC Accurate and Fast Converging

ANN Artificial Neural Network

ARIMA Autoregressive Integrated Moving Average

ARMA Autoregressive Moving Average

ARMAX Autoregressive-Moving-Average Model With Exogenous Inputs

BiGRU Bidirectional Gated Recurrent Unit

BP Back Propagation

Sensors 2022, 22, 4342 25 of 29

BPNN Back Propagation Neural Network

BR Bayesian Regularization

C-DDPG Centralized Based Deep Deterministic Policy Gradient

CDF Cumulative Distribution Function

CEEMDAN Complete Ensemble Empirical Mode Decomposition Adaptive Noise

CGA Chaos Search Genetic Algorithm

CGASA Chaos Search Genetic Algorithm Furthermore, Simulated Annealing

CNN Convolutional Neural Network

CRBM Convolutional Restricted Boltzmann Machine

DBN Deep Belief Network
DNN Deep Neural Network

DPCS Distributed Power Consumption Scheduling

DSGC Decentral Smart Grid Control

DT Decision Tree

ECNN Enhanced Convolutional Neural Network

ELM Extreme Learning Machine
ENN Elman Neural Network
ESS Energy Storage Systems
FA Forecast Accuracy
FF Feedforward

FFNN Feedforward Neural Network

FS Forecast Skill
GA Genetic Algorithm

GBR Gradient Boosting Regression
GDM Gradient Descent Method
GRU Gated Recurrent Unit

HR Hit Rate

IBR Inclining Block Rate

IRBDNN Iterative Resblock Based Deep Neural Network

KNN k-Nearest Neighbors
LBPP Load Based Pricing Policy
LGBM Light Gradient Boosting Machine
LIF Leaky Integrate and Fire Neuron

LM Levenberg–Marquardt
LMS Lagrange Multiplier Selection
LQE Link Quality Estimation

LSSVR Least Squares Support Vector Regression

LVQ Learning Vector Quantization
MABE Mean Absolute Bias Error
MAD Median Absolute Deviation
MAE Mean Absolute Error

MAPE Mean Absolute Percentage Error

MBE Mean Biased Error

MCC Matthews Correlation Coefficient MdBP Modified Back Propagation

MER Mean Error Rate

MI-ANN Mutual Information Artificial Neural Network

MLP Multi-Layer Perceptron

MLR Multi-variable Linear Regression
MLSTM Multiplicative Long Short-Term Memory

MNE Mean Normalized Error MPE Mean Percentage Error MSE Mean Square Error

Nadam Nesterov-accelerated Adaptive Moment Estimation

NARX Nonlinear Autoregressive Network With Exogenous Inputs

NN Neural Network

NRMSE Normalized Root Mean Square Error

PAR Peak To Average Ratio

Sensors 2022, 22, 4342 26 of 29

PDF Probability Density Function

PDNN Pooling Based Deep Neural Network
PICP Prediction Interval Coverage Probability
PINC Prediction Interval Nominal Confidence

PSO Particle Swarm Optimization

PTECC Proportion Of Total Energy Classified Correctly

PV Photo Voltaic

R Correlation Coefficient
RBF Radial Basis Function
RBFNN Based Radial Basis Function
RDNN Recurrent Deep Neural Network

RE Relative Error

ReLU Rectified Linear Activation Unit RES Renewable Energy Sources

RF Random Forest

RL Reinforcement Learning
RMSE Root Mean Square Error
RNN Recurrent Neural Network
RTEP Real Time Electrical Pricing

RTP Real Time Price
SAE Sparse Auto Encoder
SCG Scaled Conjugate Gradient
CMB Secret Modulet Price

SMP Spot Market Price
SNN Spiking Neural Network
SNR Signal to Noise Ratio
SoC Speed of Convergence

SPREAD Spread of Radial Basis Functions

SSA Salp Swam Algorithm
STLF Short Term Load Forecasting
STW Sliding Time Window
SVM Support Vector Machine

SWAA Sample Weighted Average Approximation

Tanh Hyperbolic Tangent Function
WNN Wavelet Neural Network
WOA Whale Optimization Algorithm
WRNN Wavelet Recurrent Neural Network

XGB Extreme Gradient Boosting

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