TR/07/89

July 1989

## SMOOTH PARAMETRIC SURFACES AND n-SIDED PATCHES

John A. Gregory Vincent K.H. Lau Jianwei Zhou

Presented at the NATO ASI meeting on 'Computation of Curves and Surfaces', Tenerife , July 1989.

This work was supported by the SERC Grant GR/E/25092 atBrunel University, in collaboration with British Aerospace plc, and by the NSF Grant DMC 8807747 at Arizona State University

Z1642025

# JOHN A. GREGORY, VINCENT K.H. LAU, JIANWEI ZHOU Department of Mathematics and Statistics Brunel University, Uxbridge, UB8 3PH, England.

ABSTRACT. The theory of 'geometric continuity' within the subject of CAGD is reviewed. In particular, we are concerned with how parametric surface patches for CAGD can be pieced together to form a smooth  $C^k$  surface. The theory is applied to the problem of filling an n-sided hole occurring within a smooth rectangular patch complex. A number of solutions to this problem are surveyed.

#### 1. Introduction

The topics addressed by these tutorial lectures are those of polygonal patches and the theory of geometrically smooth parametric surfaces. In particular, we will consider the problem of filling a polygonal hole occurring within a smooth rectangular patch complex. This problem, which is frequently encountered by current 'free-form' or 'sculptured' surface modellers, illustrates the need for the theory of 'geometric continuity' within the subject of CAGD (Computer Aided Geometric Design). This theory is concerned with how parametric surface patches can be pieced together to give a smooth  $C^k$  surface.

A  $C^k$  surface is one which locally admits a  $C^k$  parameterization. Thus the surface can be considered as a collection of overlapping patches, each defined as a  $C^k$  map from an open domain in  $\mathbb{R}^2$  into  $\mathbb{R}^3$ . In CAGD, however, the surface is composed of a number of non-overlapping patches, each defined on a closed domain in  $\mathbb{R}^2$ . We are thus concerned with how to join together such closed patches in order to obtain a  $c^k$  surface.

The simplest type of join between two patches is that of a 'parametric continuous'  $C^k$  join, where the parameter domains of two patches abut along a common edge and the surface is  $C^k$  on the composite domain. In effect, the surface is one composite patch, the domain being the union of the individual patch domains. This is the situation most frequently encountered when composing a surface of rectangular patches, where the patch complex can be considered as a mapping from a parameteric domain subdivided by a regular rectangular mesh. More generally, two patches can have a 'geometric continuous'  $GC^k$  join. Here we will see that, locally, there is a  $C^k$  reparameterization in which the composite surface is parametrically  $C^k$ . A parametric continuous join is then the

special case of where the reparameterization is defined by the identity map.

Whilst current surface modellers use almost entirely rectangular patches, it is impossible to model a complex surface as a single map from a regular rectangular meshed domain. More complex surface topologies will require n-sided polygonal holes within the rectangular patch complex to be filled in. This situation typically arises where two or more rectangularly meshed surfaces are to be blended together. One solution might be to allow n rectangular patches to meet at a common vertex in R<sup>3</sup>, whilst another solution might be the construction of a special polygonal patch. In either case the concept of a geometrically continuous join of the patches is necessary.

The lectures are organised as follows. Section 2 develops the geometric continuity tools needed for the study of smooth parametric surface patch complexes. In section 3, rectangular patch representations are briefly reviewed and the n-sided polygonal hole problem is described. Solutions to the polygonal hole problem are then discussed in sections 4 and 5.

### 2. Geometrically Smooth Parametric Surfaces

The geometric continuous  $GC^k$  join of two surface patches is a terminology that is now well established in CAGD. However, the differential geometer might well prefer to state that two such patches meet with ' contact of order k'. The idea will be explained through that of a reparameterization of the surface, see, for example, DeRose [21], Hollig [52], Gregory and Hahn [39], and Hahn [48]. Here we will summarize some of the material contained in Gregory [37] which is based on the exposition of Hahn [48]. We first introduce the reparameterization approach by considering the simpler case of planar curves.

2.1 Geometric continuous curves

Consider the two planar curve segments

$$p(t) = (t, t^{2} + t^{3}), -2 \le t \le 0,$$

$$q(t) = (2t, 4t^{2}), 0 \le t \le 1.$$
(2.1)

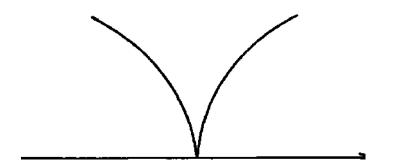
Clearly p(2t), -1 < t < 0, describes the same curve segment as p(t) but with different parameterization. Moreover, p(2t) and q(t) are (parametrically)  $C^2$  at t = 0. We thus say that the orginal parameterizations p(t) and q(t) have a geometric continuous  $GC^2$  join. This idea will be formalized in the definition below but first the need for the use of 'regular' parametric representations is explained.

A univariate parameterization  $p : [a,b] \rightarrow \mathbb{R}^2$  is said to be a regular parametric representation of class  $C^k$ ,  $k \ge 1$ , if the first derivative tangent vector  $p^{(1)}(t)$  does not vanish and if the component functions of p are k times continuously differentiable on [a,b]. Regularity, that is

a non-vanishing first derivative, is in general essential for the smoothness of the curve. For example, consider the parametric curve

$$p(t) = (t^3, t^2), -1 \le t \le 1,$$
 (2.2)

as shown in figure 2.1. Here p(t) is infinitely differentiable on [-1,1]





but the curve does not have a continuous unit tangent vector at (0,0), where t = 0. This behaviour is possible because the first derivative vanishes at t = 0, where the parameterization is said to be singular. Thus we restrict the discussion to parameterizations that are regular.

We now define and give appropriate conditions for the geometric continuous join of two regular parametric curves, see Barsky and DeRose [8] and Goodman [31].

**Definition 2.1.** Let  $\mathbf{p}$ :  $[a,b] \to \mathbb{R}^2$  and  $\mathbf{q}$ :  $[c,d] \to \mathbb{R}^2$  be two regular parametric representations of class  $C^k$ ,  $k \ge 1$ . Then p and q join with geometric continuity  $GC^k$ , at b and c respectively, if there exists a  $C^k$  mapping  $\varphi$ :  $\mathbb{R} \to \mathbb{R}$ , defined in a neighbourhood of c, which is such that (see figure 2.2)

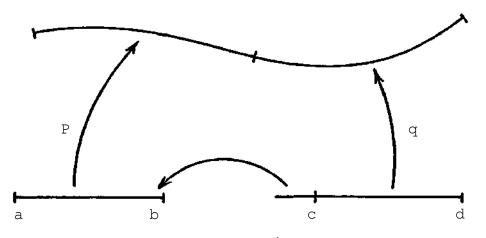


Figure 2.2. Reparameterization for a C<sup>k</sup> curve.

$$\varphi(c) = b, \varphi^{(1)}(c) > 0,$$

$$\frac{d^{i}}{dt^{i}} q(t) |_{t=c} = \frac{d^{i}}{dt^{i}} p(\varphi(t)) |_{t=c} , i = 0, ..., k.$$

$$(2.3)$$

Here  $\varphi(t)$  defines the reparameterization function and the condition (c) > 0 means that  $\varphi$  is monotonic increasing in a neighbourhood of c. This ensures that the reparameterization is orientation preserving and hence that the composite curve will not have a cusp at the join. For our planar curves, conditions (2.3) mean that the composite curve is a C<sup>k</sup> function in a neighbourhood of the join, with respect to the projection onto the tangent line. The conditions are also equivalent to the composite curve being of class C<sup>k</sup> with respect to the arc length parameterization. Thus the terminology of a 'geometric continuous arc length join' is sometimes used to distinguish this case from another type of geometric join, see Hagen (45] and Dyn and Micchelli [26], which is referred to in [37] as a 'geometric continuous Frenet frame join'.

Conditions (2.3) can be expressed in a form which avoids explicit reference to the mapping  $\phi.$  For example, the curves join with  $GC^2$  continuity if

$$\begin{array}{l} q(c) &= p(b) \\ q^{(1)}(c) &= \beta_{1p}^{(1)}(b) \\ q^{(2)}(c) &= \beta_{2p}^{(1)}(b) \\ \end{array}, \quad \beta_{1} > 0 \\ \beta_{1} p^{(2)}(b) \\ \end{array} \right\}$$

$$(2.4)$$

These conditions are obtained using the chain and product rules in (2.3), giving the identifications

$$\beta_1 = \phi^{(1)}(c)$$
,  $\beta_2 = \phi^{(2)}(c)$ 

They are given as k'th order contact of curve conditions for  $k \le 6$  in Geise [30] and their general form, Goodman [31], is given in the following proposition.

Proposition 2.2. The curves p and q join with geometric continuity  $GC^k$  if and only if there exist constants  $\beta_1 > 0$  and  $\beta_2, \ldots, \beta_k$  such that

$$q^{(i)}(c) = \sum_{j=0}^{i} a_{ij} p^{(j)}(b), \quad j = 0, \dots k,$$
 (2.5)

where

$$a_{i0} = \delta_{i0}, i = 0, ..., k,$$

$$a_{ij} = \sum_{i_1 + ... + i_j = i} \left[ i_1 \cdot ... i_j \right] \beta_{i_1} ... \beta_{i_j}, 1 \le j \le i \le k.$$
(2.6)

Here the summation in (2.6) is over positive integers, where if  $\{i_1, ... ij\}$  comprise r distinct elements with multiplicities  $m_1, --., m_{r'}$  then

$$\left[i_{1}, \cdot i_{j}\right] = \frac{i!}{i_{1}! \cdots i_{j}! m_{1}! \cdots m_{r}!}$$

$$(2.7)$$

The construction of geometric continuous parametric curves has been considered by a number of authors, see for example Manning [60], Nielson [61], and Barsky and Beatty [7]. Much of this work has motivated the interest in geometric continuity within the subject of CAGD. Our interest here is in generalizing the reparameterization approach to that of considering the join of two surface patches.

#### 2.2 Geometric continuous surfaces

Given a parametric representation  $p : \Omega \to R^3$  of class  $c^k$  on the closed domain  $\Omega \subset R$  2, we use the notation of the derivative multi-linear map  $\partial^i p | x \text{ at } X = (u, v)$ . Directional derivatives are then denoted by

$$\partial^{i} P \mid_{X} (U_{1}, \dots, U_{i}) = \frac{\partial^{i} P(X)}{\partial U_{1} \dots \partial U_{i}}$$
$$= \frac{\partial^{i}}{\partial s_{1} \dots \partial s_{i}} P(X + s_{1} U_{1} + \dots s_{i} U_{i}) \mid s_{1} = \dots = s_{i} = 0^{(2.8)}$$

where u  $_j$ , j = 1, ..., i, are i vectors (directions) in  $\mathbb{R}^2$ . In particular, first partial derivatives of p(X), X=(u,v), will be denoted variously by

$$\frac{\partial p + x^{(1,0)=\partial_{1,0}p(x)=\partial_{u}p(x)=p_{u}(x)}{\partial p + x^{(0,1)} = \partial_{0,1}p(x) = \partial_{v}p(x) = p_{v}(x)}$$

$$(2.9)$$

A derivative along U(X) = ( $\alpha$ (X),  $\beta$ (X)) is then

$$\partial P + X^{(\alpha X),\beta(X)} = \frac{\partial P(X)}{\partial U} = \alpha(x)\partial_{1,0}P(x) + \beta(x)\partial_{0,1}P(x). \qquad (2.10)$$

Given  $\phi$  :  $\Omega_2\to\Omega_1$  and p :  $\Omega_1\to R^3,$  which are of class  $C^1,$  then the chain rule

$$\partial(\mathbf{p} \circ \varphi) \mid_{\mathbf{X}} = \partial \mathbf{p} \mid_{\varphi(\mathbf{X})} \circ \partial \varphi \mid_{\mathbf{X}}$$
 (2.11)

applies. This can be expressed as a multiplication of the Jacobian matrix representations

$$\partial \varphi + \mathbf{x} = [\partial_{1,0} \varphi \partial_{0,1} \varphi] = \begin{bmatrix} \partial_{1,0} & \varphi_1 & \partial_{0,1} & \varphi_1 \\ \partial_{1,0} & \varphi_2 & \partial_{0,2} & \varphi_2 \end{bmatrix}$$
(2.12)

$$\partial \mathbf{p} + \mathbf{x} = [\partial_{1,0} \varphi \partial_{0,1} \mathbf{p}] = \begin{bmatrix} \partial_{1,0} & \mathbf{p}_{1} & \partial_{0,1} & \mathbf{p}_{1} \\ \partial_{1,0} & \mathbf{p}_{2} & \partial_{0,1} & \mathbf{p}_{2} \\ \partial_{1,0} & \mathbf{p}_{3} & \partial_{0,1} & \mathbf{p}_{3} \end{bmatrix}$$
(2.13)

Here we have assumed a column vector representation  $\varphi = (\varphi_1, \varphi_2)^T$ ,  $p = (P_1, P_2, P_3)$ 'T of the maps and then, for example, U in (2.10) would be expressed in column vector form U(X) =  $(\alpha(X), \beta(X))^T$ .

The parameterization p(X) is said to be 'regular' if  $\partial_1$ ,  $op(^X)$  and  $\partial_0 l^P(^X)$  are linearly independent, that is if the Jacobian matrix (2.13) has rank 2. This regularity assumption corresponds to that for the case of curves and guarantees that a well defined tangent plane exists at each point X of the surface. This implies that cones, cusp ridges and other types of singularity are avoided.

Following Hahn [48], we now define a  $C^k$  patch and consider how two  $C^k$  patches should join to give a surface. For our purposes, we restrict the discussion to patches defined on polygonal domains which hence have straight line edges.

Definition 2.3 (C<sup>k</sup> patch). A C<sup>k</sup> patch,  $k \ge 1$ , is a mapping  $p : \Omega \to \mathbb{R}^3$  of a closed polygonal domain  $\Omega \subset \mathbb{R}^2$  into  $\mathbb{R}^3$  which is a regular parametric representation of class C<sup>k</sup>. Thus p is k-times continuously differentiable on  $\Omega$  and  $\partial p | x$  has rank 2 for all  $X \in \Omega$ .

Definition 2.4 (GC<sup>k</sup> join). Let  $p : \Omega_1 \to R3$  and  $q : \Omega_2 \to R3$  be two patches defined on closed polygonal domains  $\Omega_1$  and  $\Omega_2$  Also, let  $E_2 : [0,1] \to R^2$  be a regular C<sup>k</sup> parameterization of an edge of  $\Omega_2$  Then p joins q with geometric continuity GC<sup>k</sup> (along the edge  $E_2$ ) if there

exists a  $C^k$  diffeomorphism  $\phi$  :  $R^2 \to R^2,$  defined in a neighbourhood of  $E_2,$  such that

(i) (Domain continuation)

$$E_{1}(s) = \varphi(E_{2}(S)) , s \in [0,1] , \qquad (2.14)$$

is an edge of  $\Omega_1$  and interior points of  $\Omega_1$  in a neighbourhood of  $E_1$  are mapped from exterior points of  $\Omega_2$ 

(ii) (Patch continuation) For  $s \in [0,1]$ 

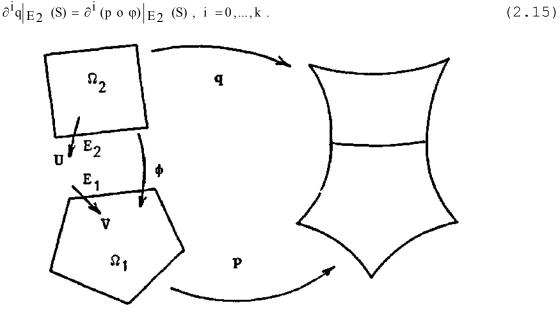


Figure 2.3. Reparameterization for a  $C^k$  surface.

reparameterization which The mapping φ defines а of р for the composite surface is parametrically C<sup>k</sup>. The conditions on in (i) Φ ensure that the reparameterization is orientation preserving. The continuity conditions (2.15) can be replaced by the requirement that for  $s \in [0,1]$ 

$$\frac{\partial^{1} q}{\partial U^{i}} \stackrel{(E_{2}(s))}{=} \frac{\partial^{1}(po\phi)}{\partial U^{i}} \stackrel{(E_{2}(s))}{=} , i = 0, \dots k , \qquad (2.16)$$

where U = U(s) is any non-zero  $C^{k-1}$  transversal vector field defined along the edge  $E_2(s)$  in an outward direction, say. Applying the chain and product rules then gives, for example, the  $GC^2$  surface analogue of (2.4), namely

$$q(E_{2}(S)) = P(E_{1}(S)),$$

$$\frac{\partial q}{\partial U}(E_{2}(S)) = \frac{\partial P}{\partial V_{1}}(E_{1}(s)), \quad V_{1}(s) = \frac{\partial q}{\partial U}(E_{2}(S)),$$

$$\frac{\partial^{2}q}{\partial U^{2}}(E_{2}(S)) = \frac{\partial P}{\partial V_{2}}(E_{1}(s)) + \frac{\partial^{2}P}{\partial V_{1}^{2}}(E_{1}(s)), \quad V_{2}(s) = \frac{\partial^{2}q}{\partial U^{2}}(E_{2}(S)).$$

$$(2.17)$$

Here  $V_1(s)$  will be a  $C^1$  non-zero vector field transversal to  $E_1(s)$  in an inward direction and  $V_2(s)$  will be a C<sup>°</sup> vector field. More generally we have (cf. Proposition 2.2):

Proposition 2.5. Let U :  $[0,1] \rightarrow R^2$  be an (outward) transversal vector field to the edge  $E_2$ . Then the patches p and q join with geometric continuity  $GC^k$  if and only if there exist  $C^{k-1}$  vector fields  $V_i$ :  $\{0,1] \rightarrow R^2$  such that

$$\frac{\partial^{i}q}{\partial U^{i}}(E_{2}(s)) = \sum_{j=0}^{i} \sum_{i_{1}+\ldots+i_{j}=i}^{j} \left[ \begin{array}{c} i\\ i_{1}\cdots i_{j} \end{array} \right] \frac{\partial^{j}p(E_{1}(s))}{\partial V_{1}\cdots \partial V_{j}}, i = 0, \ldots, k, \quad (2.18)$$

where  $V_1$  is an (inward) transversal vector field to the edge  $E_1$ .

Given the  $C^k$  diffeomorphism  $\varphi$  such that (2.16) holds, the necessity of this proposition is demonstrated by application of the chain and product rules. This gives (2.18) where

$$E_{1}(s) = \phi(E_{2}(s)) \text{ and } V_{i}(s) = \frac{\partial^{i} \phi}{\partial U^{i}}(E_{2}(s)) , i = 1, ..., k$$
 (2.19)

Conversely, given  $C^{K}$  vector fields satisfying (2.18) then a  $C^{K}$  diffeomorphism (p, satisfying (2.19), is defined by the Taylor interpolant.

$$\varphi(E_2(s)) + tU(s)) = E_1(s) + \sum_{i=1}^k \frac{t^i}{i!} v_i(s).$$
 (2.20)

A more general construction for the case of the  $c^{k-i}$  vector fields of the proposition is given in Hahn [48].

2.3 A particular parameterization

Consider the case where p :  $\Omega_1 \to R^3$  and q :  $\Omega_1$  +  $R^3$  are parameterized such that they have the C° join

Thus  $E_1(s) = (0, s)$  and  $E_2(s) = (s, 0)$ , see Figure 2.4.

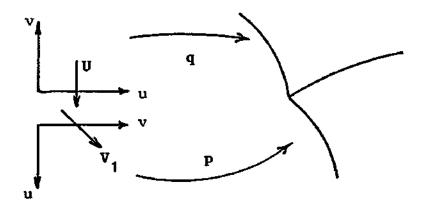


Figure 2.4. A particular parameterization

Furthermore, let

$$U(s) = -\gamma(s) (0,1), \gamma(s) > 0,$$

$$V_{1}(s) = \alpha(s) (1,0) + \beta(s) (0,1), \alpha(s) > 0,$$

$$V_{2}(s) = \sigma(s) (1,0) + \upsilon(s) (0,1),$$

$$(2.21)$$

where the positivity of  $\alpha(s)$  and  $\gamma(s)$  ensures the orientation condition on U(s) and V<sub>1</sub>(s) (more generally  $\alpha(s) \ \gamma(s) > 0$ ). Then given  $\gamma(s)$ , the GC<sup>2</sup> conditions are that there exist  $\alpha(s)$ ,  $\beta(s)$ ,  $\sigma(s)$  and v(s) such that

$$\begin{array}{l} q(s,0) = (s,0) = P(0,s), \\ -\gamma(s)\partial_{0,1} q(s,0) = \alpha(s)\partial_{1,0} P(0,s) + \beta(s)\partial_{0,1} P(0,s), \\ \gamma^{2}(s)\partial_{0,2}q(s,0) = \sigma(s)\partial_{1,0} P(0,s) + v(s)\partial_{0,1} P(0,s) \\ + \alpha^{2}(s)\partial_{2,0} P(0,s) + 2\alpha) (s)\partial_{1,1} P(0,s) + \beta^{2}(s)\partial_{0,2} P(0,s), \end{array} \right\}$$
(2.22)

The diffeomorphism  $\phi,$  given by (2.20), is then defined by

$$\varphi(u, v) = (0, u) - \frac{v}{\gamma(u)} (\alpha(u), \beta(u)) + \frac{v^2}{2\gamma^2(u)} (\sigma(u), v(u)). \qquad (2.23)$$

We may let  $\gamma(s) \equiv 1$  without loss of generality and hence, for example, may replace the  $GC^1$  condition by

$$\partial_{1,0}q(s,0) = \alpha \ (s) \ \partial_{1,0} \ p(0,s) + \beta(s) \ \partial_{0,-1}(0,s) \ . \tag{2.24}$$

In the case of polynomial patches, however, (2.24) can lead to the mistaken assumption that  $\alpha$  (s) and  $\beta$  (s) must be polynomial,whereas taking the cross product with  $\partial_{1,0}P(0,s)$  and  $\partial_{0,1}P(0's)$  shows these to be rational with identical denominators. Hence the form (2.22) is more appropriate. This was observed in Liu [57], Liu and Hoschek [59] and more recently Peters [66].

The  $GC^1$  condition in (2.22) is sometimes replaced by the necessary determinant condition

det 
$$[q_{1,0} p_{1,0} p_{0,1}] = 0$$
 (2.25)

For example, DeRose [22] uses this condition to develop a method for verifying the GC<sup>1</sup> join between two Bernstein-Bézier patches. The determinant condition does net preclude cusp like joins, however, since the orientation requirement  $\alpha(s) \gamma(s) > 0$  is not included.

#### 2.4 Continuity at a vertex

In the two previous subsections, conditions for the smooth join of two patches were developed, either via the existence of a diffeomorphism  $\varphi$  defining a reparameterization as in (2.15) or, equivalently, via explicit consistency conditions of the form (2.17)/(2.22). In order to build surfaces, however, we must consider the situation where a number of patches join subsequently and surround a common vertex in R<sup>3</sup>. In this situation, the GC<sup>k</sup> joins around the vertex must be consistent. More concretely, consider n patches pj: $\Omega j \rightarrow R^3$ , j=0,...,n-1, parameterized such that they have the C<sup>0</sup> joins

$$P_{j+1}(s,0) = p_j(0,s)$$
,  $s \in [0,1]$ ,  $j = 0, ..., 1$ , (2.26)

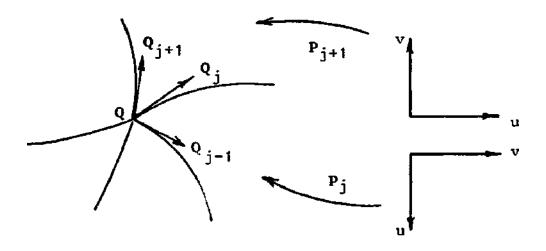


Figure 2.5 Patches surrounding a vertex

as in subsection 3.3, see figure 2.5. Thus the n patches meet at the common vertex  $\mathbf{x}$ 

$$P_{j}(0,0) = Q, j = 0, \dots, n-1,$$
 (2.27)

say, and we call such a vertex an n-vertex. The  ${\rm GC}^k$  condition between  $p_j$  and  $p_{j+i}$  has the representation in terms

of diffeomorphisms  $\phi_{\rm j}$  as

$$\partial^{i} P_{j+1} |_{(s,0)} = \partial^{i} (p_{j} \circ \phi_{j}) |_{(s,0)}$$
,  $i = 0, .0..., k$ , and  $j = 0, ..., n-1$ , (2.28)

where  $\varphi_j(s,0) = (0,s)$ . The requirement that on marching around the vertex one gets back to the starting point then leads to the vertex condition (Hahn [47] and [48])

$$\partial^{i} p_{0}|_{(0,0)} = \partial^{i} (p_{0} \circ \varphi_{0} \circ \varphi_{1} \circ \dots \circ \varphi_{n-1})|_{(0,0)}, i = 0, \dots, k , \qquad (2.29)$$

Hahn shows that this is equivalent to

$$\partial^{i}(\phi_{0} \circ \phi_{1} \circ \dots \circ \phi_{n-1})|_{(0,0)} = \partial^{i} id|_{(0,0)}, i = 0, \dots, k$$
 (2.30)

In particular, with the matrix representation (2.12) we obtain the  $\mathrm{GC}^{\scriptscriptstyle \perp}$  vertex condition

$$\partial \varphi_0 | (0,0) \circ \partial \varphi_1 | | (0,0) \circ \dots \circ \partial \varphi_{n-1} | (0,0) = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$
 (2.31)

An explicit representation of these matrices is given in Hahn [47]. Suppose that the n patches surround the vertex Q with  $GC^1$  continuity and let

$$\partial_{0,1} p_{j}(0,0) = \partial_{1,0} p_{j+1}(0,0) = Q_{j}, j = 0, \dots, n-1,$$
 (2.32)

denote the first partial derivative vectors at the vertex. These vectors will form a star in the tangent plane at Q. The  $GC^1$  condition for i = 1 in (2.28) at the vertex can be written, using the chain rule, as

$$\partial_{\mathbf{P}_{j+1}}\Big|_{(0,0)} = \partial_{\mathbf{P}_{j}}\Big|_{(0,0)} \partial_{\varphi_{j}}\Big|_{(0,0)}$$

that is

$$[Q_{j} Q_{j+1}] = [Q_{j-1} Q_{j}] \circ \partial \varphi_{j}|_{(0,0)}$$

$$(2.33)$$

Thus

$$\partial \varphi_{j} |_{(0,0)} = \begin{bmatrix} 0 & \mu_{j} \\ 1 & \lambda_{j} \end{bmatrix}$$
(2.34)

say, represents the matrix of the coordinate change from the basis  $[Q_j \ Q_{j \ + \ 1}]$  to  $[Q_{j-1} \ Q_{j} \ ]$  and the  $GC^1$  vertex condition takes the form

 $Q_{j+1} = \mu j^{\circ} j - i^{+} \lambda j^{\circ} j, = 0, ..., n-1$  (2.35)

A simple calculation gives

$$\mu_{j} = -\frac{r_{j+1}\sin\theta_{j}}{r_{j-1}\sin\theta_{j-1}}, \lambda_{j} = \frac{r_{j+1}\sin(\theta_{j}+\theta_{j-1})}{r_{j}\sin\theta_{j-1}}, \qquad (2.36)$$

where rj =  $\|Q_{j}\|$  and  $\theta_{j}$  is the angle between  $Q_{j}$  and  $Q_{j+i}$  Conversely, if we wish to construct  $\lambda_{i}$  and  $\mu_{j}$  satisfying the GC<sup>1</sup>- vertex constraint (2.35), then the general solution takes the form (2.36) where  $\Sigma \theta_{j} = 2\pi$ . A particular symmetric solution is given by rj =1and  $\theta_{j} = 2\pi$  /n so that

$$\mu_j = -1$$
 ,  $\lambda_j = 2\cos(2 \pi / n)$  , (2.37)

see Hahn [48] and van Wijk [76].

The  $GC^1$  vertex condition (2.35) can clearly also be obtained via the explicit  $GC^1$  condition between the patches of the form

$$\alpha_{j}(s) \partial_{1,0}P_{j}(0,s) + \beta_{j}(s) \partial_{0,1}P_{j}(0,s) + \gamma_{j}(s) \partial_{0,1}P_{j+1}(s,0) = 0 ,$$

$$j = 0, \dots, n-1 ,$$

$$(2.38)$$

where  $\alpha_{i}(s) \gamma_{i}(s) > 0$ . letting  $\gamma_{i}(0) = 1$  without loss of generality then gives, at s = 0,

$$\alpha_{j}(0)Q_{j 1} + \beta_{j}(0)Q_{j} + Q_{j + 1} = 0, j = 0 \dots n-1$$
(2.39)

Thus  $\alpha_j(0) = -\mu_j$  and  $\beta_j(0) = -\lambda_j$  in terms of our previous notation.

2.5 Some general problems in constructing smooth surfaces

The construction of geometric continuous patch complexes involves the development of patch methods which either explicitly or implicitly satisfy the conditions for geometric continuous joins. In the previous subsections we have tri d to present some of the tools which are required in the study of such j ins. Before considering the particular case of

the polygonal hole problem, we conclude this section by describing three general difficulties that can arise in the construction of geometrically smooth patch complexes. The first two of these involves the vertex conditions.

**2.5.1 Twist constraint:** Suppose the individual patches  $p_j : \Omega_j \to \mathbb{R}^3$  surrounding a vertex Q, are of class  $C^2$  and let

$$\partial_{1.1} P_j(0,0) = Q_{j-1.j}$$
,  $j = 0, \dots, n-1$ , (2.40)

denote the 'twist vectors' at the vertex Q. Differentiating (2.38) with respect to s and evaluating at s = 0 then gives the constraints

$$\alpha_{j}(0)Q_{j-1}, j+Q_{j,j+1} = R_{j}, j = 0, ..., n-1,$$
 (2.41)

where

$$-R_{j} = \alpha_{j}^{(1)}(0)Q_{j-1} + \beta_{j}^{(1)}(0)Q_{j} + \gamma_{j}^{(1)}(0)Q_{j+1} + \beta_{j}(0)\partial_{0,2}p_{j}(0,0) .$$
(2.42)

Equations(2.41), considered as a system in the twist variables  $Q_{j-1,j}$ , generates a cyclic matrix with determinant

$$1 + (-1)^{n-1} \prod_{j=0}^{n-1} \alpha_j(0) , \qquad (2.43)$$

where

$$\prod_{j=0}^{n-1} \alpha_j(0) = \prod_{j=0}^{n-1} (-\mu_j) = 1.$$
(2.44)

Thus the system is singular if n is even and non-singular when n is odd. The observation that an even number of vertices can give rise to difficulties in the analysis has been noted by van Wijk [76], Watkins [81], Jones [54] and Peters [65]. It does not imply that analysis in the even vertex case is impossible but that this rank deficient case must be treated with care. An alternative approach which avoids the twist condition is to consider patches which are of class  $C^1$  but not  $C^2$ . For example, the rationally corrected patches of section 3 have such a property, as do patches whose domain is split into subdomains at a vertex (for example, the 'Clough Tocher' triangle split). It should be noted that the case of parametric  $C^{1,1}$  continuity on a regular rectanglular grid patch network, where n = 4 at the vertices, is a special one. Here the constraint (2.41) simplifies and is identically satisfied.

# 2.5.2 A non-regular $GC^1$ constraint for rectangular patches

Consider the  $GC^1$  constraint (2.38), where s = 0 corresponds to an

n-vertex and s = 1 corresponds to an m-vertex. The theory of subsection 2.4 suggests the particular symmetric conditions

$$\alpha_{j}(0) = \gamma_{j}(0) = 1, \beta_{j}(0) = 2\cos(2\pi/n),$$

$$\alpha_{j}(1) = \gamma_{j}(1) - 1, \beta_{j}(1) = 2\cos(2\pi/m).$$
(2.45)

Hence, linear interpolation on  $0 \leq s \leq 1$  gives a sufficient condition for a  $GC^1$  join as

$$\partial_{1,0}P_{j}(0,S) - {}^{2}\{(1-s)\cos(2\pi\pi/n) - s\cos(2\pi/m)\}\partial_{0,1}p_{j}(0,s) + \partial_{0,1}p_{j+1}(s,0) = 0$$

$$(2.46)$$

This is a particularly simple and attractive condition but it leads to a non-regular parameterization if one (but not both) of the vertices is a 4-vertex and the rectangular patch is  $C^2$ , for example, a polynomial patch. Thus suppose n.  $\neq$  4 and m = 4 so that  $\cos(2\pi/m) = 0$  in (2.46). Differentiating(2.46) with respect to s then gives, at s = 1,

$$\partial_{1,1} P_{i}(0,1) + 2 \cos (2\pi/n) \partial_{0,1} P_{i}(0,1) + \partial_{1,1} P_{i+1}(1,0) = 0$$
 (2.47)

Since  $\vartheta_1$ ,  $1 P j(0,1) = -\vartheta_{1,1} P j + 1(1,0)$  at the 4-vertex, we thus have

 $\partial_{1,0}P_i(0,1) = 0$  (unless n = 4 when both vertices are 4-vertices).

We will see later that this problem can be avoided by use of higher degree polynomial coefficients in (2.47). This approach is also used by Goodman [32] in the construction of spline spaces for closed surfaces of rectangular patches. Alternatively, the use of C<sup>1</sup> rationally corrected patches avoids this problem, see subsection 4.2.

## 2.5.3 Blending geometric smooth surfaces

Suppose that a patch p has  $GC^k$  joins  $k \ge 1$ , with patches  $q_1 : \Omega \to \mathbb{R}^3$  a nd  $q2 : \Omega \to \mathbb{R}^3$  along an edge E(s) of ft in the sense that, for j = 1, 2,

$$\partial^{i} q_{j} | E(s) = \partial^{i} (po \phi_{j}) | E(s) , \quad i = 0, \dots, k, \qquad (2.48)$$

where the diffeomorphisns are such that  $\phi_1\,(\,E\,(\,s\,)\,)\,=\,\phi_2\,(\,E\,(\,s\,)\,)$  . Then the blended surface

$$q(X) = \omega_1(X)q_1(X, + \omega_2(X)q_2(X) , \omega_1(X) + \omega_2(X) = 1 , \qquad (2.49)$$

where  $\omega_j : \Omega \to \mathbb{R}$ , j = 1., are  $C^k$  functions, does not, in general, have a  $GC^k$  join with p for a k > 1. This occurs because of the different connecting diffeomorp s  $\varphi_j$ , j = 1, 2, in (2.48) or equivalently different vector fields the right hand sides of derivative conditions

of the form (2.18). A simple example is provided by

$$p(u, v) = (u, u^{2}, v), u \le 0,$$

$$q(u, v) = \frac{1}{2}(u, u^{2}, v) + \frac{1}{2}(2u, 4u^{2}, v), v \ge 0,$$
(2.50)

which only join  $GC^1$  along (0,v), whilst the individual components of the average q have  $GC^{\infty}$  joins with p.

The example does provide an illustration of the following result,

however. Suppose the connecting diffeomorphisms agree up to order k < k along E(s), that is the vector fields in the equivalent derivative conditions agree up to order k. Then the blended surface (2.49) will

have a k + 1' st order continuous join with p. Thus, since  $\varphi_1(E(s)) = \varphi_2(E(s))$ , the blended surface will always have at least a  $GC^1$  join with p.

The fact that blending  $GC^k$  surfaces does not automatically result in  $GC^k$  surface for k > 1, causes difficulty in the construction of high order continuous blended parametric surfaces. We will consider the blending function technique as one method of treating the polygonal hole problem. The difficulty of using blends for the specific polygonal hole problem is discussed in Gregory and Hahn [39].

## 3. Rectangular Patches and the Polygonal Hole Problem

briefly review some standard rectangular patch methods We from Approximation Theory and CAGD and then introduce the polygonal hole problem. In particular, we will need to consider some representations of bicubic tensor product patches and their associated 'rationally corrected' forms. For further details see, for example, the survey papers of Barnhill [5], Boehm et al [14], Pratt 168], or the book of Farin [29]. For simplicity of presentation, most of the patch functions p(u,v) are defined on the unit square  $0 \leq u, v \leq 1$  so that  $p: [0,1]^2 \rightarrow \mathbb{R}^3$ . For  $(s,t) \in [a,b] \times [c,d]$  the transformation

$$u = (s-a)/(b-a)$$
,  $v = (t-c)/(d-c)$  (3.1)

can be made.

## 3.1 Bicubic Hermite patch

The bicubic Hermite patch p :  $[0,1]^2 \rightarrow \mathbb{R}^3$  is defined by

$$p\{u,v\} = [H_0^3(u), H_1^3(u), H_2^3(u), H_3^3(u)]Q[H_0^3(v), H_1^3(v), H_2^3(v), H_3^3(v)]T,$$

$$(u,v) \in [0,1]^2. \quad (3.2)$$

$$Q = \begin{bmatrix} P(0,0) & P(0,1) & P_{V}(0,0) & P_{V}(0,1) \\ p(1,0) & p(1,1) & p_{V}(1,0) & p_{V}(1,1) \\ p_{U}(0,0) & p_{U}(0,1) & p_{UV}(0,0) & p_{UV}(0,1) \\ p_{U}(1,0) & p_{U}(1,1) & p_{UV}(1,0) & p_{UV}(1,1) \end{bmatrix}$$
(3.3)

Here, the cubic Hermite basis on [0,1] is defined by

$$[H_0^3(u), H_1^3(u), H_2^3(u), H_3^3(u)] = [1, u, u^2, u^3]M,$$
(3.4)

where

$${}^{M} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -3 & 3 & -2 & -1 \\ 2 & -2 & 1 & 1 \end{bmatrix}$$
(3.5)

The coefficients of the matrix Q define the interpolation data. The boundary curves p(u,0), p(u,1), p(0,v), p(1,v) and the cross bouydar tangent vector derivatives  $P_v(u,0)$ ,  $P_v(u,1)$   $P_u(0,v)$ ,  $P_u(1,v)$  ar univariate cubic Hermite functions. Thus, for example.

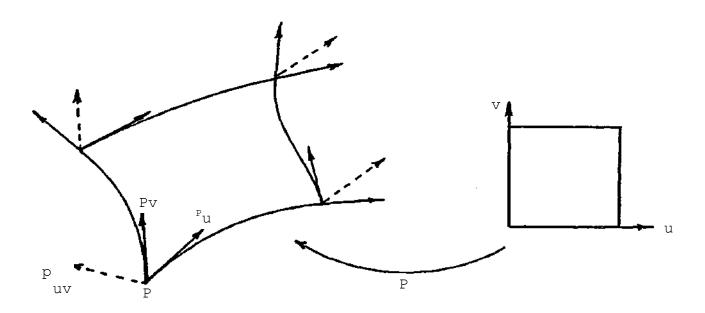


Figure 3.1 Bicubic Hermite patch

$$P(u,0) = H_{0}^{3}(u)p(0,0) + H_{1}^{3}(u)p(1,0) + H_{2}^{3}(u)p_{u}(0,0) + H_{3}^{3}(u)p_{u}(1,0)$$

$$P_{v}(u,0) = H_{0}^{3}(u)P_{v}(0,0) + H_{1}^{3}(u)P_{v}(1,0) + H_{2}^{3}(u)P_{uv}(0,0) + H_{3}^{3}(u)P_{uv}(1,0)$$
(3.6)

# 3.2 Bicubic Bernstein-Bézier patch The bicubic Bernstein-Bezier patch is defined by

$$\begin{split} P(u,v) &= \sum_{i=0}^{3} \sum_{j=0}^{3} B_{j}^{3}(u) B_{i}^{3}(u) B_{j}^{3}(v) Q_{i,j} \\ &= \left[ B_{0}^{3}(u), B_{1}^{3}(u), B_{2}^{3}(u), B_{3}^{3}(u) \right] Q \left[ B_{0}^{3}(V), B_{1}^{3}(u), B_{2}^{3}(u), B_{3}^{3}(u) \right]^{T} (u,v) \in [0,1]^{2} \end{split}$$

where

$$B_{i}^{3}(u) = {3 \choose i} u^{i} (1-u)^{3-i}$$
(3.8)

Thus

$$\left[B_{0}^{3}(u), B_{1}^{3}(u), B_{2}^{3}(u), B_{3}^{3}(u)\right] = \left[1, u, u^{2}, u^{3}\right]M, \qquad (3.9)$$

where

$$\overset{M=}{\begin{bmatrix} 1 & 0 & 0 & 0 \\ -3 & 3 & 0 & 0 \\ 3 & -6 & 3 & 0 \\ -1 & 3 & -3 & 1 \end{bmatrix}$$
 (3.10)

The coefficient matrix  $Q = [Q_{i,i}]$  is the control point matrix of the Bernstein-Bezier patch and the boundary curves and the cross boundary derivatives are univariate Bernstein-Bézier cubics. For example

$$P(u,0) = \sum_{i=0}^{3} B_{I}^{3}(u)Q_{i,0}$$

$$p_{V}(u,0) = 3\sum_{i=0}^{3} B_{i}^{3}(u) (Q_{i,1} - Q_{i,0})$$
(3.11)

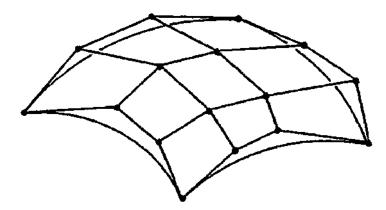


Figure 3.2 cubic Bernstein-Bezier patch

3.3 Bicubic -spline patch

The bicubi spline surface  $p : \mathbb{R}^2 \to \mathbb{R}^3$  is defined by

$$p(s,t) = \sum_{\ell \in Z} \sum_{m \in Z} N_{\ell}(s) N_{m}(t) Q_{\ell,m} , \qquad (3.12)$$

where N,  $\ell$  (s Nm(t) denote the normalized cubic B- splines with the local suppc  $(s\ell-2)^{s}\ell+2$  and  $(t_{m-2},t_{m+2})$  respectively, on the nondecreasing partitions  $\{s\ell\}$ ,  $\ell \in \mathbb{Z}$  and  $\{t_m\}$ ,  $m \in \mathbb{Z}$ . The B-spline surface ha;: including c in each of the independent variables. The restriction  $(s,t) \in [s_i]$  x  $[t_j,t_{j+2}]$  gives the bicubic B-spline patch

$$p(s,t) = \begin{bmatrix} N & N_{i}(s), N_{i+1}(s), N_{i+2}(s) \end{bmatrix} Q \begin{bmatrix} N_{j-1}(t), N_{j}(t), N_{j+1}(t), N_{j+2}(t) \end{bmatrix}^{T},$$

(3.13)

where Q = [(, = i-1,...,i+2, m = j-1,...,j+2, is the control point matrix of t tch. A calculation in terms of the Bernstein-Bezier form, follow, construction of Boehm [10], gives the explicit B-spline basis repress ion

$$\begin{bmatrix} N_{i-1}(s), & N_{i+1}(s), N_{i+2}(s) \end{bmatrix} = \begin{bmatrix} B_0^3(u), B_1^3(u), B_2^3(u), B_3^3(u) \end{bmatrix} W$$
$$= [1, u, u^2, u^3] MW, \qquad (3.14)$$

where M is c by (3.10) and

$$u = (s-s_i)/h_i$$
,  $h_i = s_{i+1} - s_i$ . (3.1.5)

Here W is th isformation matrix

with

$$\lambda_{i} = h_{i}^{2} / [(h_{i-1} + h_{i})(h_{i-2} + h_{i-1} + h_{i}], 
\mu_{i} = h_{i-1}^{2} / ([(h_{i-1} + h_{i})(h_{i-1} + h_{i} + h_{i+1})], 
\alpha_{i} = h_{i-1} / (h_{i-1} + h_{i} + h_{i+1}), 
\beta_{i} = h_{i} + 1 / (h_{i-1} + h_{i} + h_{i+1}).$$
(3.17)

The basis functions Nm (t),  $m = j-1, \ldots, j+2$ , are defined similarly in terms of the variable

$$v = (t-t_j)/h_j$$
,  $h_j$ . =  $t_{j+1}$ .  $t_j$ . (3.18)

For equal intervals, the familiar basis matrix

 $\wedge$ 

$$MW = \frac{1}{6} \begin{bmatrix} 1 & 4 & 1 & 0 \\ -3 & 0 & 3 & 0 \\ 3 & -6 & 3 & 0 \\ -1 & 3 & -3 & 1 \end{bmatrix}$$
(3.19)

is obtained in (3.12) for the case of uniform B-splines.

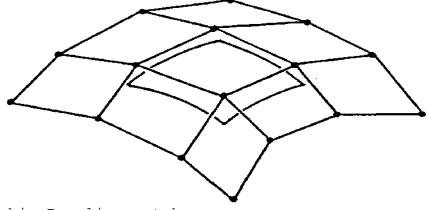


Figure 3.3 Bicubic B-spline patch

#### 3.4 Coons' bicubically blended patch

The Coons' bicubically blended patch is defined by

 $p(u,v) = [H_0^3 \{u\}, H_1^3 (u), H_2^3 (u), H_3^3 (u)] [p(0/v)/p(1,v), p_u(0,v), p_u(1/v)]^T$ 

+ 
$$[p\{u, 0\}, p(u, 1), p_v(u, 0)]_{Pv}(u, 1)] [H_0^3(v), H_1^3(v), H_2^3(v), H_3^3(v)]^T$$

 $- [H_{0}^{3} (u), H_{1}^{3} (u)/H_{2}^{3} (u), H_{3}^{3} (u)]Q[H_{0}^{3} (v), H_{1}^{3} (v), H_{2}^{3} (v), H_{3}^{3} (v)]^{T} (3.20)$ 

where Q is defined as in (3.3) and the cubic Hermite basis functions are defined in (3.4) and (3.5). The Coons' patch is sometimes referred to as a 'Boolean sum' blend of two 'lofted' surface patches and the bicubic Hermite 'tensor product<sup>1</sup> patch (3.2). The boundary curves p(u, 0), p(u, 1), p(0, v), p(1, v) and the cross boundary derivatives Pv(u, 0), Pv(u'1)' Pu(0'v)' Pu(1'v) are not restricted to be cubics. However for (3.20) to be meaningful, we must assume that these boundary terms are consistent with a  $C^{1,1}$  surface. In particular, we have the necessary twist condition that

$$\mathbf{P}_{uv} \equiv \partial^2 \mathbf{p} / \partial \mathbf{u} \partial \mathbf{v} = \partial^2 \mathbf{p} / \partial \mathbf{v} \partial \mathbf{u} = \mathbf{p} \mathbf{v} \mathbf{u}$$
(3.21)

at the four corners of the patch. Otherwise (3.20) is not well defined. In particular, Pv(u,0) and Pv(u,1) are not interpolated by the patch.

3.5 Rationally corrected patches

The rationally corrected form of the Coons' bicubically blended patch allows for different twist terms  $P_{uv}$  and  $p_{vu}$  at the corners of the patch. Thus, for example

$$P_{uv}(0,0) = \partial/\partial u P_{v}(u,0)|_{u=0}$$

$$P_{vu}(0,0) = \partial/\partial v P_{u}(0,v)|_{v=0}$$

$$(3.22)$$

are not necessarily the same. The rationally corrected form of the Coons' patch, see Gregory [34,35] and Barnhill [5}, is defined as in (3.20) but with the lower right 2 x 2 'twist partition' block in the definition of Q, equation (3.3), replaced by

$$\frac{\frac{vp_{uv}(0,0) + up_{vu}(0,0)}{u + v}}{\frac{u + v}{1 - u}} \frac{\frac{(1 - v)p_{uv}(0,1) + up_{vu}(0,1)}{u + 1 - v}}{\frac{vp_{uv}(1,0) + (1 - u)p_{vu}(1,0)}{1 - u + v}} \frac{(1 - v)p_{uv}(1,1) + (1 - u)p_{vu}(1,1)}{1 - u + 1 - v}$$

$$(3.23)$$

It should be noted that the rational forms introduce singularities in order to allow for the possibility that  $p_{uv} \neq p_{vu}$  at the corners. However, these singularities are removable up to first order (the patch is C<sup>1</sup>). For numerical stability, the patch should not be evaluated at the corners. Instead, the given corner values should be used directly.

Replacement of the boundary functions and derivatives in the rationally corrected Coons' patch, with univariate cubic Hermite forms, gives the rationally corrected bicubic Hermite patch. This is defined as (3.2) but with the 'twist partition' in (3.3) replaced by

$$\frac{up_{uv}(0,0) + vp_{vu}(0,0)}{u + v} \qquad \frac{up_{uv}(0,1) + (1 - v)P_{vu}(0,1)}{u + 1 - v}$$

$$\frac{(1 - u)p_{uv}(1,0) + vp_{vu}(1,0)}{1 - u + v} \qquad \frac{(1 - u)p_{uv}(1,1) + (1 - v)p_{vu}}{1 - u + 1 - v}$$

$$(3.24)$$

The cubic Hermite boundary derivatives  $Pv(^{u,0})$ ,  $Pv(^{u+1})$  and the derivatives Pu (0,v) and Pu(1,v) now have the different twist parameters Puv and Pvu respectively in their definitions. For example Pv (u,0) is defined as in (3.6) but  $p_u(0,v)$  is defined by

$$p_{u}(0,v) = H_{0}^{3}(v) p_{u}(0,0) + H_{1}^{3}(v) P_{u}(0,1) + H_{2}^{3}(V) P_{vu}(0,0) + H_{3}^{3}(V) p_{vu}(0,1) .$$
(3.25)

A rationally corrected form of the bicubic Bernstein-Bézier patch can also be derived, by introducing two different control points for each of the four interior control points of the patch, as shown in Figure 3.4, see Chiyokura and Kimura [19] and Chiyokura [18]. Cross boundary derivatives are then defined in terms of the appropriate control points.

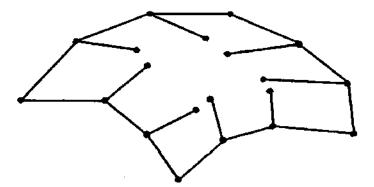
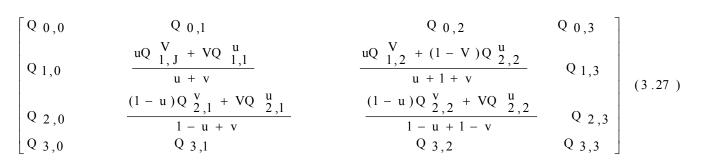


Figure 3.4 Control points of rationally corrected B-B patch

For example,

$$P_{V}(u,0) = 3 \left[ B_{1}^{3}(u) \left( Q_{0,1} - Q_{0,0} \right) + B_{1}^{3}(u) \left( Q_{1,1}^{V} - Q_{1,0} \right) + B_{2}^{3}(u) \left( Q_{2,1}^{V} - Q_{2,0} \right) + B_{3}^{3}(u) \left( Q_{3,1} - Q_{3,0} \right) \right]$$
(3.26)

where  $Q_{1,1}^{v}$  and  $Q_{2,1}^{v}$  denote the interior control points to be associated with the boundary' derivative Pv(u,0). The rationally corrected form of the bicubic Bernstein-Bëzier patch is then derived of the form (3.7) with the control point matrix



Other types of rational schemes are described in the survey paper of Barnhill [5) and Worsey [82] has derived the appropriate rational corrections for the  $C^2$  biquintic blended patch.

#### 3.7 The polygonal hole problem

The rectangular patches described above are typically used to form surface patch complexes defined on regular, rectangular meshed domains. Thus the bicubic Hermite and Bernstein-Bézier patch representations are usually joined to give  $C^1$  surface patch complexes, whilst the bicubic B-spline gives  $C^2$  surface patch complexes. In the following sections we will consider the situation where a rectangular patch complex surrounds an n-sided hole described in the following manner.

Let  $q_j = : \delta \to \mathbb{R}^3$ , j = 0, ..., n-1,  $= [0,2] \times [-1,0]$ , denote a rectangular patch complex surrounding an n-sided hole, where  $q_j(s,0)$ ,  $0 \le s \le 1$ , defines the j' th edge of the hole, see Figure 3.5. The patches are assumed to form a C<sup>k</sup> patch complex in the sense that the composite maps

$$(u, v) \rightarrow \begin{cases} q_{j-1}(1-v, u) \\ q_{j}(u, v) \end{cases}$$
 (3.28)

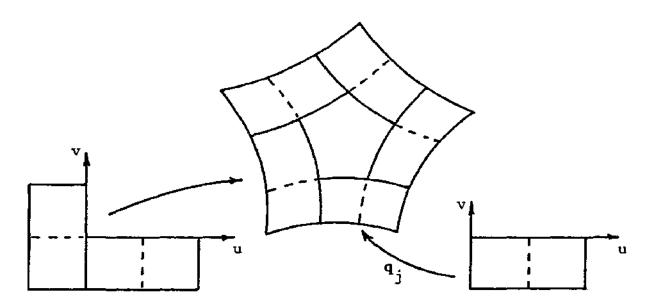


Figure 3.5 Polygonal hole problem

are  $C^k$  continuous on  $[-1,0] \times [-1,1] \cup [0,2] \times [-1,0]$  for  $j = 0, \ldots, n-1$ , see also figure 3.5. In practice, for tensor product patches, this composite map will usually also be  $C^{k,k}$  continuous, particularly at the corner (0,0), and this will be assumed in sections 4 and 5.

The  $q_j$  will normally consist of a sub-complex of two or more patches, although for mathematical purposes it has been denoted by one parametric surface here. For example, q<sub>i</sub> could consist of two bicubic patches defined on  $[0,1] \times [-1,0]$  and  $[1,2] \times [-1,0]$ , respectively, where  $q_j(u,v)$ ,  $(u,v) \in [0,1] \times [-1,0]$  defines the patch adjacent to the hole. The patch surface can then be used to give a  $C^1$  surface about the hole. Alternatively, q<sub>j</sub> could consist of a sub-complex of eight patches, where  $[0, \frac{1}{2}] \times [-\frac{1}{2}, 0]$  and  $(u, v) \in [\frac{1}{2}, 1] \times [-\frac{1}{2}, 0]$  define the two  $q_{i}(u,v)$ ,  $(u,v) \in$ patches adjacent to the hole. It is then possible to surround the hole with a C<sup>2</sup> surface by appropriate choice of а bicubic B-spline representation.

We now consider how such polygonal holes can be filled in, making use of the geometric continuity tools developed in section 2.

4. Filling Polygonal Holes with Rectangular Patches

In subsections 4.1 - 4.3 we consider methods of filling an n-sided hole with n rectangular patches  $p_j$ , j = 0, ..., n-1, parameterized as in subsection 2.4. Thus the patches have the  $C^0$  joins  $p_{j + 1}(s, 0) = P_j(0, s)$  and meet at the common vertex  $P_j(0, 0) = Q$ , j = 0, ..., n-1. Along the j ' th edge of the hole we assume that

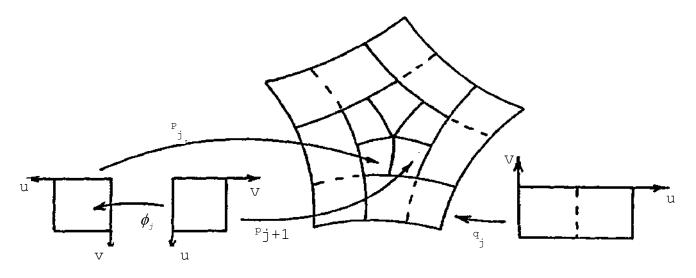
$$p_{j}(s,1) = q_{j}(1/2 - s/2,0),$$

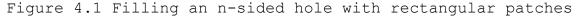
$$p_{j+i}(1,s) = q_{j}(1/2 + s/2,0)$$

$$0 \le s \le 1,$$

$$(4.1)$$

where  $q_i$  is parameterized as in subsection 3.7. This situation is illustrated by figure 4.1. In subsection 4.4, a recursive subdivision technique for filling the hole is discussed.





## 4.1 A bicubic Hermite scheme

Consider the situation where either  $q_j(u,v)$ ,  $(u,v) \in [0,1] \times [-1,0]$  is a bicubic patch or  $q_j(u,v)$ ,  $(u,v) \in [0,\frac{1}{2}] \times [-\frac{1}{2},0]$  and  $(u,v) \quad [\frac{1}{2},1) \times [-\frac{1}{2},0]$  are two bicubic patches, adjacent to the j'th edge of the hole. Then we consider the  $p_j$ ,  $j = 0, \ldots, n-1$  as bicubic patches, which along the j'th edge of the hole satisfy the C<sup>0</sup> conditions (4.1) and the GC<sup>1</sup> conditions

$$\partial_{0,1} p_{j}(s,1) = -\frac{1}{2} \quad \partial_{0,1} q_{j}(1/2 - s/2,0) ,$$

$$\partial_{1,0} p_{j+1}(s,1) = -\frac{1}{2} \quad \partial_{0,1} q_{j}(1/2 + s/2,0) ,$$

$$(4.2)$$

(Here there is a 1/2 scaling factor due to the choice of the parameterizations of the  $q_i$  and  $p_j$ .) These conditions will be satisfied by an appropriate identification of the Hermite data along the edge, see, for example, (3.6). In particular, at the 'mid-point' of the edge we define

$$B_{j} = q_{j}(1/2, 0) = p_{j}(0,1) = p_{j+1}(1,0)$$

$$B_{j}^{u} = \frac{1}{2} \partial_{1,0} q_{j}(1/2, 0) = -\partial_{1,0} p_{j}(0,1) = \partial_{0,1} p_{j+1}(1,0)$$

$$B_{j}^{v} = \frac{1}{2} \partial_{0,1} q_{j}(1/2, 0) = -\partial_{0,1} p_{j}(0,1) = \partial_{1,0} p_{j+1}(1,0)$$

$$B_{j}^{u} \cdot v = \frac{1}{2} \partial_{1,1} q_{j}(1/2, 0) = -\partial_{1,1} p_{j}(0,1) = \partial_{1,1} p_{j+1}(1,0)$$
(4.3)

At the n-vertex we define

$$Q = P_{j}(0, 0) = P_{j+1}(0, 0)$$

$$Q_{j} = \partial_{0, 1}P_{j}(0, 0) = \partial_{1, 0}P_{j+1}(0, 0)$$

$$Q_{j-1, j} = \partial_{1, 1}P_{j}(0, 0)$$
(4.4)

as in subsection 2.4.

It follows that  $p_{j+1}(s,0) = p-i(0,s)$ , since these two univariate functions share common Hermite data. Thus, for a C<sup>1</sup> surface patch complex, it remains to satisfy the GC<sup>1</sup> constraints

$$\phi_{j}(s) = \alpha_{j}(s) \partial_{1,0} P_{j}(0,s) + \beta_{j}(s) \partial_{0,1} P_{j}(0,s) + \gamma_{j}(s) \partial_{0,1} P_{j+1}(s,0) = 0$$

$$j = 0, \dots, n-1$$
, (4.5)

where  $\alpha_j(s)\gamma_j(s) > 0$ , see subsection 2.4. Some difficulties in satisfying such a condition have been discussed in subsection 2.5.2. In particular the simple choice of coefficients (see (2.46) with m=4)

$$\alpha(s) = 1 = \gamma_j(s)$$
,  $\beta_j(s) = -2(1-s)\cos(2\pi/n)$ , (4.6)

was observed to lead to a non-regular parameterization. An alternative quadratic choice which avoids this problem is

$$\alpha_{j}(s) = 1 = \gamma_{j}(s)$$
,  $\beta_{j}(s) = -2(1-s)^{2}\cos(2\pi/n)$ . (4.7)

Gregory and Zhou [43] show that equating coefficients in (4.5) then leads to the following solutions for the polygonal hole problem:

(i) For n = 3, a triangular hole,

$$Q = \frac{1}{3} V + \frac{1}{6} W$$

$$Q_{j} = 2B_{j} + B_{j}^{v} - \frac{2}{3} V - \frac{1}{3} W,$$

$$Q_{j-1,j+1} = -6B_{j} - 4B_{j}^{v} + 2V + \frac{3}{2}$$

$$(4.8)$$

where

$$V = B_1 + B_2 + B_3, W = B_1^V + B_2^V + B_3^V$$
(4.9)

(ii) For n > 4, a general polygonal hole.

$$B_{j} = Q + 2Q_{j} + \frac{1}{2} (Q_{j-1,j} + Q_{j,j+1}) / \cos(2\pi/n) .$$

$$B_{j}^{V} = -3Q - \frac{1}{2} (Q_{j-1,j} + Q_{j,j+1}) / \cos(2\pi/n) .$$

$$Q_{j+1} - 2\cos(2\pi/n)Q_{j} + Q_{j-1} = 0, j = 0, ..., n-1 .$$
(4.11)

Where

In the triangular hole case (4.8), the 3-vertex values are defined in terms of the boundary edge information. For n > 4, there are not enough degrees of freedom at the n-vertex to give such a solution in terms of the surrounding edge information. Thus in (4.10) we propose a solution whereby the Hermite edge values  $B_j$  and  $Bv_j$  are not given a priori but are determined in terms of the degrees of freedom at the n-vertex. In this case Q and the twists  $Q_{j-i,j}$  can be chosen arbitrarily. The first derivative vectors must be chosen such that (4.11) holds. This approach of treating  $B_j$  and BY as the unknowns, with the twists being given, also avoids the twist constraint problem of having to distinguish between odd and even n-vertices as described in subsection 2.5.1.

Goodman [32] uses an identical constraint to (4.5), (4.7) in the development of local support bases for  $GC^1$  spline spaces over closed surfaces of rectangular patches. Van Wijk [75] has also considered the geometric join of bicubic patches. He either assumes that all vertices are odd or assumes a mixture of three and four-vertices. Jones [54] uses higher degree patches to fill n-sided holes, again restricting the discussion to the case of n being odd so that the twist constraints (2.38) are not rank deficient. Biquintic patches are used for the  $GC^1$  case and Jones also presents a  $GC^2$  solution using biseptic patches. A biquartic solution to the  $GC^1$ - problem is provided by a special case of a result of Peters [65].

## 4.2 A rationally corrected scheme

Consider the situation of subsection 4.1, where the surrounding rectangular patch network consists of bicubic patches. The p<sub>j</sub>, j = 0, ..., n-1, which are to fill the hole, are chosen as rationally corrected bicubic patches. The rationally corrected forms introduce additional degrees of freedom. These are used in the solution of the GC<sup>1</sup> constraint equations for the edges around the n-vertex. The rationally corrected forms avoid both the twist constraint problem of subsection 2.5.1 and the non-regular GC<sup>1</sup> constraint problem of subsection 2.5.2.

The use of rationally corrected forms for filling a polygonal hole is suggested by Chiyokura [17,18], using the Bernstein-Bézier representation. For simplicity and uniformity of presentation, we assume here that the  $p_j$  are represented as rationally corrected bicubic Hermite patches, see subsection 3.9. Thus we have the possibility of two twist

parameters  $\partial_u \partial_v p_i$  and  $\partial_v \partial_u p_i$  at each vertex.

The GC<sup>1</sup> conditions (4.2) along the j'th edge of the hole are satisfied by appropriate identification of the Hermite data along the edge, in a similar way to subsection 4.1. In particular, at the 'mid-point' of the j'th edge we define  $B_j$ .  $B_j^U$   $B_j^V$ . as in (4.3) and the twists across the j'th edge of the hole as

$$B_{j}^{u,v} = \frac{1}{2} \partial_{1,1} q_{j} (1/2,0) = \partial_{u} \partial_{v} p_{j} (0,1) = -\partial_{v} \partial_{u} P_{j+1} (1,0)$$
(4.12)

The twists  $9_v 9_u p_j(0,1)$  and  $9_u 9_v P_{j+i}(1,0)$  are yet to be determined. At the n-vertex we define Q and  $Q_j$  as in (4.4) and the two twists

$$Q_{j-1,j} = \partial_{u} \partial_{v} P_{j}(0,0) , Q_{j,j-1} = \partial_{v} \partial_{u} P_{j}(0,0) .$$

$$(4.13)$$

The problem is now to satisfy  $GC^1$  constraint equations j(s) = 0, of the form (4.5), for  $j = 0, \ldots, n-1$ . The use of rationally corrected patches allows the simple choice of coefficients (4.6) in (4.5), which for polynomial patches would lead to a non-regular parameterization. With this choice we proceed in the following asymmetric way: For the patch  $p_j$ , assume the equal twists

$$\partial_{\mathbf{v}}\partial_{\mathbf{u}}\mathbf{p}_{\mathbf{j}}(0,1) = \partial_{\mathbf{v}}\partial_{\mathbf{u}}\mathbf{p}_{\mathbf{j}}(0,1) = \mathbf{B}_{\mathbf{j}}^{\mathbf{u},\mathbf{v}}$$
(4.14)

and for the patch p. define the twist parameter

$$B_{j}^{v,u} = -\partial_{u}\partial_{v}P_{j+1} (1,0)$$
(4.15)

which differs from the other twist parameter  $B_j{}^{u,\,v}=\mathfrak{d}_v\,\mathfrak{d}_u\,p_{i+1.}\,,\!(1,0).$  The constraint  $\phi_j$  (s) = 0 is a cubic equation and hence is uniquely determined by the conditions

$$\varphi_{j}.(0) = \varphi_{j}(1) = \varphi'_{j}.(0) = \varphi'_{j}.(1) = 0$$
(4.16)

The condition  $\phi\left(l\right)$  = 0 is identically satisfied and the other conditions give

$$Q_{j-1} + c_n Q_j + Q_{j+1} = 0,$$

$$Q_{j,j+1} = -Q_{j,j-1} - c_n (6B_j - 6Q - 5Q_j + 2B_j^{v}),$$

$$B_j^{v,u} = B_j^{u,v} + c_n B_j^{v},$$
(4.17)

Where

$$c_n = -2\cos(2\pi 2\pi/)$$
 (4.18)

These conditions can be satisfied for j = 0, ..., n-1, giving a rationally corrected rectangular patch solution to the polygonal hole problem. Note that for polynomial patches  $B_j^{u,v} = B_j^{v,u}$  and then  $B_j^v = 0$  which results in the non-regular parameterization described in subsection 2.5.2 (compare (2.47) with the third equation of (4.17)).

# 4.3 A $GC^k$ scheme

For arbitrary k, it is clearly impossible to construct a rectangular patch solution to the polygonal hole problem based on equating coefficients in  $GC^k$  edge consistency constraints of the form (2.18). Hahn [47] thus tackles the problem by constructing explicit diffeomorphisms  $\phi_j$  for the constraint equations

$$\partial^{i} P_{j+1} \Big|_{(s,0)} = \partial^{i}(p_{j} \circ \varphi_{j}\Big|_{(s,0)}, i=0, \dots, k, and j=0, \dots, n-1,$$

$$(4.19)$$

(see (2.28) and figure 4.1). The surrounding rectangular patch network is assumed to be In particular, the composite map (3.28) is assumed to be  $C^{k'k}$ .

From subsection 2.4 we have, at (0,0),

$$\varphi_{j}(0,0) = (0,0), \ \partial \varphi_{j} |_{(0,0)} = \begin{bmatrix} 0 & \mu_{j} \\ 1 & \lambda_{j} \end{bmatrix}$$
(4.20)

Similarly, at (1,0) we obtain

$$\varphi_{j}(1,0) = (0,1), \ \partial \varphi_{j}|_{(1,0)} = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$
 (4.21)

The properties (4.20) and (4.21) are respectively satisfied by the affine maps

$$(u,v) \rightarrow (u.v,u + A v)$$
, (4.22)

$$(u,v) \rightarrow (-v,u)$$
 . (4.23)

Hence Hahn defines  $\phi_i$  as the blended map

$$\varphi_{i}(u, v) = a(u) (\mu_{i} v, u + \lambda_{i} v) + \beta(u) (-v, u) , \qquad (4.24)$$

where

$$\alpha(u) + \beta(u) = 1 , \alpha(0) = 1 , \beta(1) = 1 . \qquad (4.25)$$

In order to generate consistent  $C^k$  data, the  $\alpha$  and  $\beta$  are constrained to have zero derivatives up to appropriate orders at 0 and 1, so that, up to order k,  $\phi_j$  behaves like (4.22) and (4.23) at (0,0) and (1,0)

respectively. This means that 1'st derivatives of  $\varphi j$  at (0,0) and (1,0) satisfy the derivative equations in (4.20) and (4.21), whilst higher derivatives are zero. Thus a special solution of the vertex constraints (2.30) has been constructed. (Hahn also imposes further constraints on the  $\alpha$  and  $\beta$  in order to generate consistent C<sup>k</sup> data at the n-vertex so that the patches can be constructed using Boolean sum interpolation.)

Given the data for one patch, say  $P_0$ , and consistent vertex values Q,  $Q_j$ ,  $j = 0, \ldots, n-1$  the procedure is to march around the vertex. The data for the other patches is thus generated via the connecting diffeomorphisms  $\varphi_j$  and from the surrounding rectangular patch network.

#### 4.4 A recursive subdivision scheme

The final rectangular patch method we consider for filling an n-sided hole has a very different structure to the previously proposed methods. It is based on a recursive subdivision technique suggested by Catmull and Clark [15] and Doo and Sabin [24]. The method generalizes the subdivision technique for a bicubic B-spline surface over a regular rectangular grid. Such subdivision techniques should be covered elsewhere in these proceedings and we will only give a brief description of the method here, following the notation of Ball and Storey [1,2,3,4],

Consider the bicubic B-spline patch of figure 3.3 with its 16 control points. This patch can be subdivided into 4 sub-patches, each defined by a subset of 16 control points from the 25 points shown in figure 4.2. The new control points are defined by formulae which are special cases of

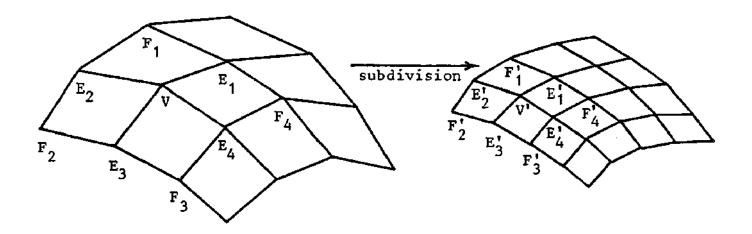
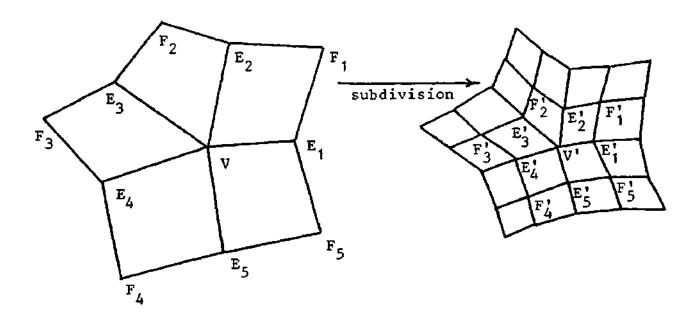


Figure 4.2 Subdivision of bicubic B-spline

those given below. Repeated application of this procedure gives a sequence of control point networks which converges to the B-spline patch. We wish to generalize this subdivision process for control points which are arranged in a network about an n-vertex control point V as shown in figure 4.3. This structure is equivalent to a polygonal hole problem since, away from the n-vertex, the control points define a bicubic B-spline surface around an n-sided hole.



#### Figure 4.3 Subdivision at an n-vertex

The formulae for the subdivision process are given by

 $F_{j}' = \frac{1}{2} (V + E_{j} + F_{j} + E_{j+1}),$ 

There 
$$E'_{j} = \frac{1}{2} (V + E_{j} + F'_{j-1} + F'_{j}),$$
  
 $V' = \frac{1}{2} \alpha_{n}V + \beta_{n} \sum E_{j} / n + \gamma_{n} \sum F'_{j} / n$ 

$$\alpha_n + \beta_n + \gamma_n = 1 \tag{4.27}$$

(4.26)

The formulae for the subdivision process are given by

In [15] and [24] the simple choice of coefficient weights

$$\alpha_n = \beta_n = 1/n$$
,  $= \gamma_n (n-2)/n$  (4.28)

is suggested, which for n = 4 accords with the values required for the bicubic B-spline subdivision.

The procedure is equivalent to generating a sequence of rectangular B-spline surface patch complexes about an 'extraordinary point' defined by the limit of the sequence of V's. Away from the extraordinary point the surface is  $C^2$ . At the extraordinary point geometric considerations apply. Doo and Sabin [24] suggest a matrix eigenproperty analysis of the behaviour at the extraordinary point and this behaviour has been studied in the series of papers by Ball and Storey [1,2,3,4]. In particular, a method of optimizing the coefficient weights in (4.26) is suggested. (It should be noted that the coefficients in (4.26) are different from those used by Ball and Storey due to a rearrangement of the last equation in

30

(4.26).) Finally, in Storey and Ball [75] it is shown that the above recursive subdivision technique can be applied to fill a polygonal hole within a C<sup>1</sup> bicubic Hermite patch complex.

#### 5. Filling Polygonal Holes with Polygonal Patches

In this section we consider methods which have been devised to fill an n-sided hole with a single patch. We thus refer to such a patch as a polygonal patch although a polygonal domain will not always be explicitly defined. For example, subsection 5.3 considers a technique for defining **a** patch on a non-planar domain. The first technique described is that of blending function interpolation.

## 5.1 Blending function methods

Given the n-sided hole problem, a blending function method of filling the hole takes the general form

$$p(v) = \sum_{j=0}^{n-1} \alpha_j(V) P_j(V) , \quad V \in \Omega , \qquad (5.1)$$

where  $\Omega$  is a polygonal domain. The  $p_1$ ;,  $p_1$ :  $\Omega \to R^3$ , are interpolants which match the surrounding rectangular patch network along certain of edges of the domain. The  $\alpha_j(v)$ ,  $\alpha_j$ :  $\Omega \to R$ , are the 'blending functions' which are chosen such that the patch (5.1) matches the rectangular patch network around the entire boundary. In practice, the blend will be a convex combination, that is

$$\sum_{j=0}^{n-1} \alpha_j(\nabla) = 1, \alpha_j(\nabla) \ge 0, \quad \forall \quad \nabla \in \Omega.$$
(5.2)

Blending function methods for defining polygonal patches have been considered by Charrot and Gregory [-16,38], Gregory and Hahn [39,40,41] and Hagen [45]. We illustrate the technique by describing a  $GC^1$  method, where the surrounding patch complex is a  $C^1$  surface.

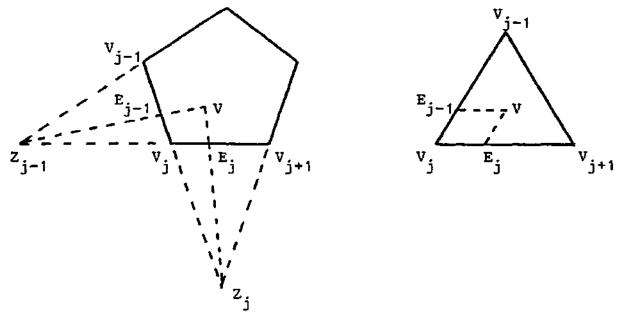
Let the polygonal domain ft be a regular n-gon in  $R^2$  with sides of length unity and centre the origin 0. Its vertices are denoted by Vj and its edges are Ej, parameterized as

$$E_{j}(S) = (1-s)V_{j} + sV_{j+1}$$
, (5.3)

j = 0,...,n-1. The perpendicular distance  $d_j$  of a point V  $\Omega$  from the side  $E_j$  is given by

$$d_{j}(V) = \langle V_{j} - V, Z_{j} - 0 \rangle / \langle Z_{j} - 0, Z_{j} - 0 \rangle^{\frac{1}{2}},$$
 (5.4)

where  $Z_j$  is the point of intersection of  $E_{j-1}$  and  $E_{j+1}$ , and  $\langle ., . \rangle$  is the Euclidean scalar product in  $\mathbb{R}^2$ . We then make use of either of the following local Cartesian coordinate systems ('coordinate charts')  $(u_j, v_j)$  about each vertex  $V_j$ ,  $j = 0, \ldots, n-1$ , see figures 5.1.



Figures 5.1 Construction of the coordinate charts

## (i) Central (radial) projection coordinate charts. Let

$$(u_{j}(V), V_{j}(v)) = \left[\frac{d_{j-1}(V)}{d_{j+1}(V) + d_{j-1}(V)}, \frac{d_{j}(v)}{d_{j-2}(V) + d_{j}(V)}\right].$$
(5.5)

Then  $E_j(u_j) = (1-u_j)v_j + u_jv_{j+1}$  and  $E_{j-1}(1-v_j) = (1-v_j)V_j+v_jV_{j-1}$ . are the projections onto the sides of the rays from V to  $Z_j$  and V to  $Z_{j-i}$  respectively.

#### (ii) Parallel projections. Let

$$(u_{j}(v), v_{j}(v)) = (d_{j_{1}}(v), d_{j}(v)), d_{j}(v) = d_{j}(v) / \sin(2\pi/n).$$
 (5.6)

Then  $E_i(u_i)$  and  $E_{-i-1}(1-v_i)$  are the projections onto the sides of the rays from V parallel to the sides  $E_{i-1}$  and  $E_j$  respectively.

For n > 4 we prefer the use of the central projections, whilst for n = 3 (when the central projections have singularities at the vertices) we prefer the use of the parallel projections. In the latter case, dj, j = 0,1,2, are the barycentric coordinates of the triangle with an unconventional index notation, due to the labelling used for the general polygon. Thus, for n = 3,

$$V = \sum_{j=0}^{2} \hat{d}_{j+1} V_j , \sum_{j=0}^{2} \hat{d}_{j+1} = 1.$$
 (5.7)

-33-

Consider now the rectangular patch complex  $q_j$ ,  $j = 0, \ldots, n-1$ , about the n-sided hole and, in particular, the C<sup>1</sup> composite map (3.28). Let  $P_i(u,v)$ ,  $u \ge 0$ ,  $v \ge 0$ , denote a C<sup>1</sup> extension of this map into the positive quadrant. (In the papers [16], [38], [40] and [41] this is achieved by Boolean sum Taylor interpolation.) Then

$$P_{j}(V) = P_{j}(u_{j}(V), v_{j}(V))$$
(5.8)

defines a function which has  $GC^1$  joins with  $q_{j-i}$  and  $q_j$  along the edges  $E_{j-1}$  and  $E_j$  of the polygonal domain. The joins are geometric continuous ones since  $p_j$  matches the rectangular patches under a reparameterization defined by the coordinate chart map.

The polygonal patch is now defined by (5.1), where the blending functions are given by

$$\alpha_{j}(V) = \prod_{i \neq j, j-1} d_{i}^{2} / \sum_{\ell=0}^{n-1} \prod_{i \neq \ell, \ell-1} d_{i}^{2}$$
(5.9)

Thus the  $\alpha_j$  satisfy (5.2) and vanish up to first order along the edges  $E_i \ i \neq j-1, j$ . Thus p has a  $GC^1$  join with  $q_j$  along the edge  $E_j$  since along this edge p is a convex combination of  $p_j$  and  $P_{j+1}$  both of which have  $GC^1$  joins with  $q_j$ .

Whilst the above method will work to first order, a blend of k'th order components, k > 1, does not guarantee a  $GC^k$  result, see subsection 2.5.3. This difficulty is resolved in Gregory and Hahn [40] by

constructing a reparameterization of the surface around the hole which is parametrically  $C^k$  around the hole. Also, a specific  $GC^2$  construction is proposed in Gregory and Hahn [41]. Alternatively, a scheme will be proposed in [42], whereby  $p_i$  is defined as a reparameterization of a  $C^k$  extension of the composite map (3.28) into the positive quadrant. The mapping defining the reparameterization will be a blend of the vertex coordinate charts and by this means  $p_j$  and  $p_{j+i}$  will have identical  $GC^k$  joins with  $q_j$ .

Another technique, not described here, is that of blending one-sided interpolants, see, for example, Gregory [36]. Hagen [45] describes such a  $GC^2$  scheme for the triangle and the method is readily generalized to polygonal domains.

#### 5.2 Overlap patches

The following approach, due to Varady [77,78], assumes the situation where  $q_i(u,v)$ ,  $(u,v) \in [0,1] \times [-1,0]$ , is one bicubic patch adjacent to the j'th edge of the hole. The bicubic patch is represented in Hermite form and Varady observes that this patch can be written as a sum of four components, each defined with respect to a coordinate chart about a vertex. Polygonal patches are then defined which have the same structure of a simple linear combination of vertex maps. The method is thus of a similar form to the blending function technique of the previous subsection. However, it differs from it in that the components of the blend only match the adjoining data at one vertex, rather than along

edges, and the blending functions are all unity. It is the choice of the coordinate charts at each vertex which play the important role of producing geometric continuous joins with the adjoining rectangular patches.

The bicubic Hermite patch (3.2) can be written as

$$P(u, v) = \sum_{j=0}^{3} P_j(u_j, v_j) , \qquad (5.10)$$

where

$$P_{j}(u_{j}, v_{j}) = \left[H_{0}^{3}(u_{j}) H_{2}^{3}(u_{j}) H_{2}^{3}(u_{j})\right] \begin{bmatrix} v_{j} \\ P_{j} & P_{j} \\ u_{j} & u_{j} v_{j} \\ P_{j} & P_{j} \end{bmatrix} \begin{bmatrix} H_{0}^{3}(V_{j}) \\ H_{2}^{3}(V_{j}) \\ H_{2}^{3}(V_{j}) \end{bmatrix}.$$
(5.11)

Here we have the coordinate charts  $(u_0, v_0) = (u, v)$  about  $V_0 = (0, 0)$ ;  $(u_1V_1) = (v, l-u)$  about  $V_1 = (1, 0)$ ;  $(u_2, v_2) = (l-u, l-v)$  about  $V_2 = (1, 1)$ ;  $(u_3, v_3) = (l-v, u)$  about  $V_3 = (0, 1)$ . Also  $P_j$ ,  $P_j^{uj}$ ,  $p_j^{uj}$ ,  $p_j^{ujvj}$  represent the function and derivative data at the vertex  $V_j$ , with respect to the coordinate chart at  $V_j$ . The boundary behaviour is then given, for example, by

$$p(u, 0) = P_0(u, 0) + P_1(0, 1, u) ,$$

$$P_u(u, 0) = \partial_{1,0} P_0(u, 0) - \partial_{0,1} P_1(0, 1-u) ,$$

$$P_V(u, 0) = \partial_{1,0} P_0(u, 0) + \partial_{0,1} P_1(0, 1-u) .$$

$$(5.12)$$

Let  $\Omega$  be the regular n-gon with vertices  $V_i$  and edges  $E_i(s) = (1-s)V_{i+} sV_{i+1}$ ,  $j = 0, \ldots, n-1$ , defined as in subsection 5.1. Then the overlap patch takes the form

$$P(V) = \theta + \sum_{j=0}^{n-1} P_j(u_j, v_j), \quad V \in \Omega, \quad (5.13)$$

where

$$p_{j}(u_{j},v_{j}) = \begin{bmatrix} H_{0}^{3}(u_{j}) & H_{2}^{3}(u_{j}) \end{bmatrix} \begin{bmatrix} P^{j-\theta} & P_{j}^{\vee j} \\ P_{j}^{\vee j} & P_{j}^{\vee j \vee j} \end{bmatrix} \begin{bmatrix} H_{0}^{3}(v_{j}) \\ H_{2}^{3}(v_{j}) \end{bmatrix}$$
(5.14)

Here  $\theta$  is the 'origin' of the patch, which in the case n = 4 cancels from

(5.13) and (5.14) to give (5.10) and (5.11). Varady calls (5.13) the 'overlap patch' since it is a summation of individual patches which have an overlapping domain of influence within the polygon.

The choice of the coordinate charts  $(u_j (V), v_j(V))$ ,  $V \in \Omega$ , about each vertex  $V_j$  is crucial to the success of the method. The choice must be made such that the overlap patch has a  $GC^1$  join with its bicubic Hermite patch neighbours. Assume that  $E_j(U_j)$  maps to  $(u_j, 0)$  and  $E_{j-1}(1-v_j)$  maps to  $(0, v_j)$  in the coordinate chart  $(u_j, v_j)$ . Consider without loss of generality the behaviour of p on the side  $E_0$ . Assume that  $p_j(U_j, V_j)$ ,  $j \neq 0,1$ , are zero to first order on  $E_0$ . (This is achieved either by constraining  $u_j = 1$  or  $V_j = 1$  on  $E_0$  for  $j \neq 0,1$ , or by a zero extension of  $P_j(u_j, v_j)$  outside  $[0,1]^2$ .) Then

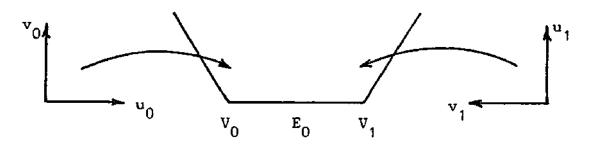


Figure 5.2 The coordinate charts at  $V_0$  and  $V_1$ 

$$p(E_{0}(s)) = P_{0}(s,0) + P_{1}(0,1-s)$$

$$\partial_{s}P_{0}(E_{0}(s)) = \partial_{1,0}P_{0}(s,0) + \partial_{0,1}P_{1}(0,1-s)$$
(5.15)

where  $\partial_s p = \partial p / \partial s$  denotes differentiation along the side. Furthermore, differentiating along the inward normal to the side gives

$$\partial_{n} P(E_{0}(S)) = \alpha(S) \left[ \partial_{1,0} P_{0}(S,0) + \partial_{0,1} P_{1}(0,1-s) \right] + \beta(S) \left[ \partial_{0,1} P_{0}(S,0) + \partial_{1,0} P_{1}(0,1-s) \right] ,$$
(5.16)

where we assume the coordinate charts are such that

$$\alpha(s) = \frac{\partial u_{0}}{\partial n} \bigg|_{E_{0}(S)} = -\frac{\partial v_{1}}{\partial n} \bigg|_{E_{0}(S)}, \qquad (5.17)$$

$$\beta(s) = \frac{\partial v_{0}}{\partial n} \bigg|_{E_{0}(S)} = -\frac{\partial u_{1}}{\partial n} \bigg|_{E_{0}(S)}.$$

Thus p has a  $GC^1$  join with its neighbour  $q_o$  (compare (5.16) with (5.12)).

Varady proposes two constructions of the coordinate charts which satisfy conditions of the above form and which hence produce  $GC^1$  polygonal patch schemes. (The central and parallel projection coordinate charts of the previous subsection are not appropriate.)

## 5.3 Non-planax domains

Hosaka and Kimura [51] and Sabin [69,70,71] have approached the polygonal patch problem by defining surfaces on non-planar domains. The use of non-planar domains introduces degrees of freedom which can be used in the construction of appropriate geometric continuity constraints between patches. We will consider, in particular, the example of a triangular patch derived both by Sabin [71] and Hosaka and Kimura [51]. This patch fills a three-sided hole within a biquadratic Bernstein-Bezier patch complex.

Given an n-sided hole, a "multi-linear' domain is defined by the n-2 constraint equations

$$\sum_{j=0}^{n-1} \prod_{\ell=0}^{k} u_{j+\ell} = n-2-k + A_k \prod_{\ell=0}^{n-1} u_{\ell}, k = 0, \dots, n-3,$$
 (5.18)

where the  $u\ell \ge 0$  are the parameters of the patch and the  $A_k$  are constants. The domain edges are defined by  $u_j = 0$ , j = 0, ..., n-1. The  $u_j$  vary linearly along the adjacent edges  $u_{j-1} = 0$  and  $u_{j+1} = 0$ . On all other edges  $u_j = 1$ .

For the triangular patch the domain is defined by the one constraint equation

$$u_0 + u_1 + u_2 = 1 + A_0 u_0 u_1 u_2 , u_i \ge 0$$
,  $i = 0, 1, 2.$  (5.19)

If  $A_0 = 0$ , the domain is a planar triangle. Sabin sets  $A_0 = 2$  and defines a 'tricubic' patch by

$$p(u_0, u_1, u_2) = u_0^2 (1-2u_1u_2)A + 2u_0u_1(1-u_2)B$$

$$+ u_1^2 (1-2u_2u_0)C + 2u_1u_2(1-u_0)D$$

+  $u_2^2$  (1-2 $u_0u_1$ ) E + 2 $u_0u_2$  (1- $u_1$ ) F

$$+ u_3^2 \quad 0u_1u_2G$$
 ' (5.20)

where A - G define the atrol points of the patch and  $(u_0, u_1, u_2)$  satisfies (5.19).

Along any edge  $u_j = 0$  the patch is a univariate Bernstein-Bezier quadratic and hence can used to fill a triangular hole within a biquadratic rectangular Bernstein-Bezier patch complex. We now show that

$$\hat{P}(u_1, u_2) = p(u_0, u_1, u_2)$$
,  $u_0 = (1-u_1-u_2)/(1-2u_1u_2)$ , (5.21)

be the representation of p as a rational patch  $\hat{p}$  in the coordinate chart  $(u_1, u_2)$ . Then along the edge  $u_2 = 0$ , where  $u_0 = 1-u_1$ , it can be shown that

$$\hat{p}(u_1, 0) = u_0^2 A + 2u_0 u_1 B + u_1^2 C$$
(5.22)

$$\hat{P}_{0,1}(u_1,0) - u_1^2 \hat{p}_{1,0}(u_1,0) = 2u_0^2(F-A) + 4u_0 u_1(G-B) + 2u_1^2(D-C) , \qquad (5.23)$$

see figure 5.3. The first of these equations is the Bernstein-Bezier

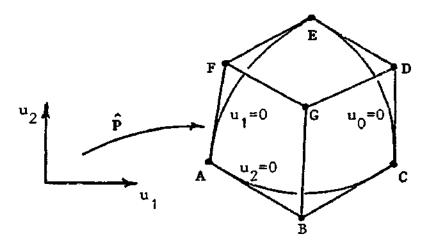


Figure 5.3 The tricubic patch

quadratic along the edge. The second equation is a cross boundary derivative which is compatible with the cross boundary derivative of a biquadratic Bernstein-Bezier patch. Thus the control points of the patches can be chosen such that  $GC^1$  constraints are satisfied across the edges.

Pentagonal patch constructions are given in [5] and [71] but Sabin [72,73] has observed difficulties in using 'multi-linear' domains defined by (5.18) for  $n \ge 6$ . Thus a generalization of the domain may be appropriate.

## 6. Concluding Remarks

In this survey of geometric continuity methods, we have concentrated on the specific problem of filling an n-sided hole within a 'regular' rectangular patch complex. A recent polygonal patch method of DeRose and Loop [23] has not been included. This method constructs a Bernstein-Bezier polynomial defined on an n-1 dimensional simplex. A projection of the simplex into  $\mathbb{R}^2$  then defines the polygonal patch. However, geometric continuous joins, particularly around a vertex, have not yet been developed for this method.

We have also omitted the discussion of the creation of parametric surfaces over more general patch complexes, for example, complexes of triangular patches and 'irregular' complexes of rectangular patches. A number of methods for such complexes having  $GC^1$  joins have been proposed, see for example Herror [49], Jensen [53], Nielson 162], Peters [66], Piper [67] and Saraga. [74]. An extended list of papers involving geometric continuity of surfaces is given in the references [1 - 82]. Recursive subdivision methods for defining surfaces over general mesh networks should be covered elsewhere in these proceedings.

Finally, a comparative study of the methods discussed here has not been made. Some methods are clearly easier than others to implement and the bias of current surface modelling systems towards rectangular patches might seem to favour rectangular patch methods for filling polygonal holes. However, it is a simple matter to reparameterize a given polygonal patch as a complex of rectangular patch mappings. This is achieved by quadrilateral subdivision of the polygonal domain about its centre.

#### Acknowledgements

This work was supported by the SERC Grant GR/E/25092at Brunel University, in collaboration with British Aerospace plc, and by the NSF Grant DMC 8807747 at Arizona State University. We are also pleased to acknowledge previous collaborative work with Jörg M. Hahn, whose insight has greatly helped our own understanding of the subject of smooth parametric surfaces.

#### References

- [1] Ball, A.A. and Storey, D.J.T. (1984) 'Recursively generated B-spline surfaces', in Proceedings CAD84, Butterworths, 112-119.
- [2] Ball, A.A. and Storey, D.J.T. (1986) 'A matrix approach to the analysis of recursively generated B-spline surfaces', Computer Aided Design 18, 437-442.
- [3] Ball, A.A. and Storey, D.J.T. (1988) 'Conditions for tangent plane continuity over recursively generated B-spline surfaces', ACM Trans, on Graphics, vol.7, 83-102.
- [4] Ball, A.A. and Storey, D.J.T. (1988) 'An investigation of curvature variations over recursively generated B-spline surfaces', preprint.
- [5] Barnhill, R.E. (1977) 'Representation and approximation of surfaces', in J.R. Rice (ed.), Mathematical Software III, Academic Press, 69-120.

- [6] Barsky, B.A. (1981) The beta-spline : a local representation based on shape parameters and fundamental geometric measures, Ph.D. dissertation, University of Utah, Salt Lake City, Utah.
- [7] Barsky, B.A. and Beatty, J.C. (1983) 'Local control of bias and tension in Beta-splines', ACM Trans, on Graphics 2, 109-134.
- [8] Barsky, B.A. and DeRose, T.D. (1984) 'Geometric continuity of parametric curves', Tech. Report UCB/CSD 84/205, Computer Sci. Division, University of California, Berkeley.
- [9] Barsky, B.A. and DeRose, T.D. (1985) 'An intuitive approach to geometric continuity for parametric curves and surfaces', in N. Magenary and D. Thalmann (eds.). Computer Generated Images - The state of the art, Springer, 159-175.
- [10] Boehm, VI. (1977) 'Cubic B-spline curves and surfaces in computer aided geometric design', Computing 19, 29-34.
- [11] Boehm, W. (1985) 'Curvature continuous curves and surfaces', Computer Aided Geometric Design 2, 313-323.
- [12] Boehm, W. (1986) 'Smooth curves and surfaces' in G.E. Farin (ed.), Geometric Modelling : algorithms and new trends, SIAM, Philadelphia, 175-184.
- [14] Boehm, W. , Farin, G. and Kahmann, J. (1984) 'A survey of curve and surface methods in CAGD', Computer Aided Geometric Design 1, 1-60.
- [15] Catmull, E.E. and Clark, J.H. (1978) 'Recursively generated B-spline surfaces on arbitrary topological meshes', Computer Aided Design 10, 350-355.
- [16] Charrot, P. and Gregory, J.A. (1984) 'A pentagonal surface patch for computer aided geometric design<sup>1</sup>, Computer Aided Geometric Design 1, 87-94.
- [17] Chiyokura, H. (1986) 'Localised surface interpolation method for irregular meshes', in T.L. Kunii (ed.), Advanced Computer Graphics, Springer-Verlag, Tokyo, 3-19.
- [18] Chiyokura, H. (1988) Solid Modelling with DESIGNBASE, Addison-Wesley.
- [19] Chiyokura, H. and Kimura, F. (1983) 'Design of solids with freeform surfaces', Computer Graphics 17, 287-298.
- [20] Coons, S.A. (1967) 'Surfaces for computer-aided design of space forms', M.I.T. MAC-TR-41, available from NTIS, U.S. Depart, of Commerce, Springfield, VA 22151.
- [21] DeRose, T.D. (1985) Geometric continuity : a parameterization independent measure of continuity for computer aided geometric design, Ph.D. dissertation, University of California, Berkeley, Available as Tech. Report UCB/CSD 86/255.
- [22] DeRose, T.D. (1989) 'Necessary and sufficient conditions for tangent plane continuity of Bezier surfaces', preprint.
- [23] DeRose, T.D. and Loop, C.T. (1988) 'S-patches : a class of representations for multi-sided surface patches', Tech. Report 88-05-02, Depart. of Computer Sci., FR-35, University of Washington, Seattle, U.S.A.

- [24] Doo, D. and Sabin, M.A. (1978) 'Behaviour of recursive division surfaces near extraordinai-y points<sup>1</sup>, Computer Aided Design 10, 350-355.
- [25] Doo, D. (1978) 'A subdivision algorithm for smoothing down irregularly shaped polyhedrons', in Proc. of Interactive Techniques in CAD, Bologna, 157-165.
- [26] Dyn, N. and Micchelli, C.A. (1988) 'Piecewise polynomial spaces and geometric continuity of curves', Numer. Math. 54, 319-337.
- [27] Farin, G.E. (1982) 'A construction for visual C<sup>1</sup> continuity of polynomial surface patches', Computer Graphics and Image Processing 20, 272-282.
- [28] Farin, G.E. (1987) Geometric Modelling : algorithms and new trends, SIAM, Philadelphia, PA.
- [29] Farin, G.E. (1988) Curves and surfaces for computer-aided geometric design. Academic Press.
- [30] Geise, G. (1962) 'Uber beriihrende Kegelschnitte einer ebenen kurve', ZAMM 42, 297-304.
- [31] Goodman, T.N.T. (1985) 'Properties of beta-splines', J. Approx. Theory 44,132-153.
- [32] Goodman, T.N.T. (1989) 'Closed surfaces defined from biquadratic splines', preprint.
- [33] Goodman, T.N.T. and Lee, S.L. (1987) 'Geometrically continuous surfaces defined parametrically from piecewise polynomials', in R.R. Martin (ed.), The Mathematics of Surfaces II, Clarendon Press, Oxford, 343-361.
- [34] Gregory, J.A. (1974) 'Smooth interpolation without twist constraints', in R.E. Barnhill and R.F. Riesenfeld (eds.), Computer Aided Geometric Design, Academic Press, New York, 71-87.
- [35] Gregory, J.A. (1983) 'C<sup>1</sup> rectangular and non-rectangular surface patches', in R.E.Barnhill and W. Boehm (eds.), Surfaces in CAGD, North-Holland, Amsterdam, 25-33.
- [36] Gregory, J.A. (1986) 'N-sided surface patches', in J.A. Gregory (ed.), The Mathematics of Surfaces, Clarendon Press, Oxford, 217-232.
- [37] Gregory, J.A. (1989) 'Geometric continuity', in T.Lyche and L.L. Schumaker (eds.), Mathematical Methods in Computer Aided Geometric Design, Academic Press.
- [38] Gregory, J.A. and Charrot, P. (1980) 'A C<sup>1</sup> triangular interpolation patch for computer-aided geometric design', Computer Graphics and Image Processing 13, 80-87.
- [39] Gregory, J.A. and Hahn, J. (1987) 'Geometric continuity and convex combination patches'. Computer Aided Geometric Design 4, 79-89.
- [40] Gregory, J.A. and Hahn, J. (1987) 'Polygonal patches of high order continuity', Tech. Report TR/01/87, Brunei University, Uxbridge, Middlesex, U.K.
- [41] Gregory, J.A. and Hahn, J. (1989) 'AC<sup>2</sup> polygonal surface patch', Computer Aided geometric Design 6, 69-75..
- [42] Gregory, J.A., Hahn, J. and Lau, V.K.H. (1989) 'High order continuous polygonal patches', in preparation.
- [43] Gregory, J.A. and Zhcu, J. (1989), in preparation.

- [45] Hagen, H. (1986) 'Geometric surface patches without twist constraints', Computer Aided Geometric Design 3, 179-184.
- [46] Hagen, H. and Schulze, G. (1987) 'Automatic smoothing with geometric surface patches', Computer Aided Geometric Design 4, 231-235.
- [47] Hahn, J. (1988) 'Filling polygonal holes with rectangular patches', to appear in Proc. of conference 'Theory and practice of geometric modeling'.
- [48] Hahn, J. (1989) 'Geometric continuous patch complexes', Computer Aided Geometric Design 6, 55-67.
- [49] Herron, G. (1985) 'Smooth closed surfaces with discrete triangular interpolants', Computer Aided Geometric Design 2, 297-306.
- [50] Herron, G. (1987) 'Techniques for visual continuity', in G.E. Farin (ed.), Geometric Modeling : algorithms and new trends, SIAM, Philadelphia, 163-174.
- [51] Hosaka, M. and Kimura, F. (1984) 'Non-four-sided patch expressions with control points'. Computer Aided Geometric Design 1, 75-86.
- [52] Höllig, K. (1986) 'Geometric continuity of spline curves and surfaces', Computer Sci. Tech. Report 645, University of Wisconsin, Madison.
- [53] Jensen, T. (1987) 'Assembling triangular and rectangular patches and multivariate splines', in G.E. Farin (ed.). Geometric Modelling : algorithms and new trends, SIAM, Philadelphia, 203-220.
- [54] Jones, A.K. (1988) 'Non-rectangular surface patches with curvature continuity', Computer Aided Design 20, 325-335.
- [55] Kahmann,J. (1983) 'Continuity of curvature between adjacent Bezier patches', in R.E. Barnhill and W. Boehm (eds.), Surfaces in Computer Aided Geometric Design, North-Holland, Amsterdam, 65-75.
- [56] Liang, Y.D. (1988) 'Geometric continuity for curves and surfaces', Zhejiang University, Hangzhou, preprint.
- [57] Liu, D. (1986) 'A geometric condition for smoothness between adjacent Bezier surface patches', Acta Mathematicae Applicatae Sinica 9, 432-442.
- [58] Liu, D. (1988) ' GC<sup>1</sup> continuity conditions between two adjacent rational Bezier surface patches\*, preprint.
- [59] Liu, D. and Hoschek, J. (1989) 'GC<sup>1</sup> continuity conditions between adjacent rectangular and triangular Bezier surface patches'. Computer Aided Design 21, no.4, 194-200.
- [60] Manning, J.R. (1974) 'Continuity conditions for spline curves'. Computing J. 17, 181-186.
- [61] Nielson, G.M. (1974) 'Some piecewise polynomial alternatives to splines under tension', in R.E. Barnhill and R.F. Riesenfeld (eds.), Computer Aided Geometric Design, Academic Press, New York, 209-235.
- [62] Nielson, G.M. (1987) 'A transfinite, visually continuous, triangular interpolant', in G.E. (ed.), Geometric Modelling : algorithms and new trends, SIAM, Philadelphia, 235-246.

- [63] Nielson, G.M. (1986) 'Rectangular v-splines', IEEE Computer Graphics and Applications 6, 35-40.
- [64] Peters, J. (1988) 'Local cubic and bicubic C<sup>1</sup> surface interpolation with linearly varying boundary normal', to appear in Computer Aided Geometric Design.
- [65] Peters, J. (1988) 'Local piecewise cubic C<sup>1</sup> surface interpolants via splitting and averaging', to appear.
- [66] Peters, J. (1989) 'Local interpolation of a cubic curve mesh by a piecewise biquartic C<sup>1</sup> surface without splitting', Tech. Report no. 89-25, University of Wisconsin, Madison.
- [67] Piper, B.R. (1987) 'Visually smooth interpolation with triangular Bezier patches', in G.E. Farin (ed.), Geometric Modelling : algorithms and new trends, SIAM, Philadelphia, 221-233.
- [68] Pratt, M.J. (1986) 'Parametric curves and surfaces as used in computer aided design', in J.A. Gregory (ed.), The Mathematics of Surfaces, Clarendon Press, Oxford, 19-45.
- [69] Sabin, M.A. (1968) 'Parametric surface equations for non-rectangular regions', Tech. Report VTO/MS/147, British Aircraft Corporation.
- [70] Sabin, M.A. (1969) 'Conditions for continuity of surface normals between adjacent parametric patches', Tech. Report VSO/MS/151, British Aircraft Corporation.
- [71] Sabin, M.A. (1983) 'Non-rectangular surface patches suitable for inclusion in a B-spline surface', in T. Hagen (ed.), Proc. Eurographics, North-Holland, 57-69.
- [72] Sabin, M.A. (1985) Letter to the editor, Computer Aided Geometric Design 1, 289-290.
- [73] Sabin, M.A. (1986) 'Some negative results in n-sided patches'. Computer Aided Design 18, 38-44.
- [74] Sarraga, R.F. (1987) ' G<sup>1</sup> interpolation of generally unrestricted cubic Bezier curves'. Computer Aided Geometric Design 4, 23-39.
- [75] Storey, D.J.T. and Ball, A.A. (1989) 'Design of an n-sided surface patch from Hermite boundary data', Computer Aided Geometric Design 6, 111-120.
- [77] Varady, T. (1987) 'Survey and new results in n-sided patch generation', in R.R. Martin (ed.), The Mathematics of Surfaces II, Clarendon Press, Oxford, 203-235.
- [79] Veron, M., Ris, G. and Musse, J.P. (1976) 'Continuity of biparametric surface patches<sup>1</sup>, Computer Aided Design 8, 267-273.
- [80] Vinacua, A. and Brunet, P. (1988) 'A construction for VC<sup>1</sup> continuity of rational Bezier patches', preprint.
- [81] Watkins, M.A. (1988) 'Problems in geometric continuity<sup>1</sup>, Computer Aided Design 20, 499-502.
- [82] Worsey, A.J. (1984) 'A modified C<sup>2</sup> Coons' patch', Computer Aided Geometric Design 1, 357-360.