## Social Recovery Model: An 8-Year Investigation of Adolescent 12-Step Group Involvement Following Inpatient Treatment

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**Background:** Despite widespread use of 12-step treatment approaches and referrals to Alcoholics Anonymous (AA) and Narcotics Anonymous (NA) by youth providers, little is known about the significance of these organizations in youth addiction recovery. Furthermore, existing evidence is based mostly on short-term follow-up and is limited methodologically.

**Methods:** Adolescent inpatients (n = 160; mean age = 16, 40% female) were followed at 6-months, and at 1, 2, 4, 6, and 8 years posttreatment. Time-lagged, generalized estimating equations modeled treatment outcome in relation to AA/NA attendance controlling for static and time-varying covariates. Robust regression (locally weighted scatterplot smoothing) explored dose–response thresholds of AA/NA attendance on outcome.

**Results:** The AA/NA attendance was common and intensive early posttreatment, but declined sharply and steadily over the 8-year period. Patients with greater addiction severity and those who believed that they could not use substances in moderation were more likely to attend. Despite declining attendance, the effects related to AA/NA remained significant and consistent. Greater early participation was associated with better long-term outcomes.

**Conclusions:** Even though many youth discontinue AA/NA over time, attendees appear to benefit, and more severely substance-involved youth attend most. Successful early posttreatment engagement of youth in abstinence-supportive social contexts, such as AA/NA, may have long-term implications for alcohol and drug involvement into young adulthood.

Key Words: Mutual-Help Groups, Self-Help, Alcoholics Anonymous, Narcotics Anonymous, Adolescents.

**D** ESPITE LIMITED EXPERIMENTAL evidence in support of Alcoholics Anonymous (AA) as a "stand alone" intervention (e.g., Ferri, Amato et al., 2006), a large body of quasi-experimental and correlational research indicates that posttreatment attendance at mutual-help groups, such as AA and Narcotics Anonymous (NA), is consistently associated with improved substance use outcomes for a wide variety of alcohol dependent patients and may help reduce health care costs (Bond et al., 2003; Gossop et al., 2008;

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Humphreys, 2004; Humphreys and Moos, 2001, 2007; Kaskutas et al., 2002; Kelly, 2003; Kelly et al., 2006; Kissin et al., 2003; Magura et al., 2005; McKay, 2001; Tonigan et al., 2002; Weiss et al., 2005). Consequently, newer, state of the art interventions, such as those tested in the COMBINE Study, incorporate standard referral to such groups (Longabaugh et al., 2005; Pettinati et al., 2005) and prominent addiction and mental health focused organizations advocate use of these resources in practice guidelines (e.g., American Psychiatric Association, 2006; Institute of Medicine, 1998; National Institute of Drug Abuse, 2000; Veterans Health Administration, 2001).

While clinical confidence in the utility of these resources has increased for adults, comparatively little is known about how helpful these organizations are as continuing care resources for adolescents, despite widespread use of 12-step treatment approaches and referrals to AA/NA groups by youth treatment providers (Brown, 2004; Drug Strategies 2003; Kelly et al., in press).

Available adolescent-specific evidence is limited in quantity and in methodological rigor (Kelly and Myers, 2007), but suggests that youth who participate in 12-step fellowships have better treatment outcomes, at least in the short-term (Brown et al., 1990; Alford et al., 1991; Kennedy and Minami, 1993; Hsieh et al., 1998; Kelly et al., 2000, 2002). However, despite this body of research, there remain a number of key questions

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that have not been fully answered regarding the role of AA/NA in youth recovery following treatment. For example, we still know very little about the proportions of treated youth that actually utilize AA/NA, and which youth, in particular, are more or less likely to attend. Similarly, little is known regarding the extent to which adolescents benefit from AA/NA over the longer-term and whether some minimum threshold of attendance is needed before benefits may be realized.

#### Predictors of AA/NA Attendance

Of clinical significance is the question of which adolescents may be more or less likely to attend AA/NA, as more information in this regard could help tailor interventions accordingly. Prior research has examined predictors of adolescent attendance at (Hohman and LeCroy, 1996; Kelly et al., 2000), and active involvement in (Kelly et al., 2002), AA and NA. As with adults (e.g., Emrick et al., 1993; Kelly et al., 2000; Kissin et al., 2003; McKay et al., 1998; Weiss et al., 2000), indices related to addiction severity (e.g., alcohol-related consequences, dependence symptoms) appear to be consistent predictors of initial participation, with more severely substance-involved persons being more likely to participate (Hohman and LeCroy, 1996; Kelly et al., 2000). These types of predictor constructs appear to fit well within the Health Beliefs Model of behavior change (Conner and Norman, 1996; Rosenstock, 1974), especially the central tenet of "perceived severity" (Finney and Moos, 1995). From a socialcognitive learning theory framework (Bandura, 1986), additional psychological variables that pertain to patients' self-appraisal of the extent to which they believe that they can consume alcohol or other drugs in moderation and are confident that they can successfully abstain (abstinence selfefficacy) may also be important to examine, as they directly relate to the central abstinence focus of AA/NA. Common co-occurring behavioral problems (e.g., conduct disorder), and demographic variables, such as age, ethnicity, and gender may also be important to examine. Women, in particular, have been underrepresented in studies of AA (Emrick et al., 1993), but appear to attend meetings at least as frequently as men and report higher involvement than men (Del Boca and Mattson, 2001; Timko et al., 2005; Weisner et al., 1995). The extent to which this may be true of adolescent girls/young women is unknown.

Religious involvement may be an important consideration as 12-step fellowships, while purportedly "spiritual" rather than "religious" in nature, contain a great deal of seemingly religious reference and connotation, which may be a barrier for some (Alcoholics Anonymous, 2001). Although nonreligious adult attendees appear to benefit as much as the more religious, there is evidence that less religious involvement is associated with less attendance (Winzelberg and Humphreys, 1999; Kelly et al., 2006). Thus, the spiritual emphasis may be a barrier for less religiously inclined youth, but has not been examined (Kelly and Myers, 2007).

#### The Influence of Early Posttreatment 12-Step Involvement

A critical juncture occurs when patients treated in residential settings are discharged back to their respective communities. This early postresidential phase (i.e., the first 6 months) often requires demanding adjustments and remains the highest risk period in terms of alcohol and drug relapse for both adults and youth (Brown, 1993; Brown et al., 1989; Godley et al., 2002; Hunt et al., 1971; Vik et al., 1992). Consequently, most programs focus on engaging patients with formal (e.g., outpatient counseling) and informal (e.g., AA/NA) continuing care resources during these early months (Godley et al., 2002). Findings from adult studies also suggest that patients who achieve immediate, rather than delayed, AA/NA attendance may experience significantly better long-term outcomes (Moos and Moos, 2004). Given the pervasive use of the 12step treatment modality in addressing youth alcohol and other drug problems and increased pressure for evidencebased practice (Institute of Medicine, 1998), further research is needed to assess the extent to which treated adolescents meet this key proximal outcome goal and whether such utilization is associated with better long-term outcomes. Such research could have important implications for 12-step theory and efforts to facilitate AA/NA participation (Finney, 1995; Suchman, 1967).

#### Long-Term Recovery in Relation to AA/NA Attendance

Existing research with adolescents consistently reveals beneficial associations between AA/NA attendance and substance use outcomes up through 1 year posttreatment, and in one study up through 2 years posttreatment (Brown et al., 1990; Alford et al., 1991; Kennedy and Minami, 1993; Hsieh et al., 1998; Kelly et al., 2000, 2002). However, despite the treatment emphasis on long-term, even life-long, AA/NA mutual-help participation (Kelly and Yeterian, 2008), we are not aware of any studies that have examined youth AA/NA attendance and any associated benefits over an extended follow-up as youth transition into young adulthood.

Furthermore, although a number of studies have shown associations between AA/NA attendance and outcomes in adolescents, the conclusions that can be drawn remain tenuous due to some key methodological limitations. First, in most youth studies, AA/NA attendance and substance use outcomes are examined concurrently. Second, in many studies, the potential influence of relevant confounds related to better prognosis, such as baseline measures of substance involvement, have not been taken into consideration. Third, when examining AA/NA attendance in predicting subsequent outcomes, most existing youth studies have not taken into account other important factors that may vary over time and influence outcomes, such as use of professional treatments and levels of substance use during preceding follow-up periods. These shortcomings in existing youth studies obfuscate the connection between AA/NA attendance and outcomes (Kazdin and Nock, 2003). Thus, a main aim of the current study was to examine adolescent treatment outcomes in relation to AA/NA attendance over an extended, 8-year follow-up period using rigorous longitudinal statistical modeling while controlling for potential static and time-varying confounds.

## How Often Should Youth Be Advised to Attend AA/NA Groups? Dose–Response Thresholds

Prior studies support the notion that, in general, more frequent AA or NA attendance is associated with better outcomes (i.e., there is a linear relationship). However, little is known about optimal levels of participation or whether some minimum "dose" of participation may be required before benefits are realized. Greater knowledge would help inform clinical recommendations regarding participation levels.

The present study has several aims. First, we describe the rates of AA/NA attendance over 8 years following inpatient treatment and examine predictors of attendance. We hypothesize that youth with greater levels of alcohol/drug involvement and who believe they cannot use alcohol/drugs in a moderate fashion will be more likely to attend these abstinence-oriented organizations. We also predict more religiously involved youth will be more likely to attend, and that girls/young women will be at least as likely to attend as boys/young men. Second, we conduct a rigorous evaluation of the extent to which posttreatment outcome is related to both early and ongoing AA/NA attendance by testing lagged, generalized estimation equations (GEE), in which we control for static baseline predictors (e.g., pretreatment use), as well as time-varying predictors of outcome (e.g., days abstinent in preceding follow-ups; ongoing professional treatment). Finally, using a robust, locally weighted, regression approach [locally weighted scatterplot smoothing (LOWESS)], we explore thresholds and optimal levels of AA/NA attendance in relation to outcome.

## METHODS

#### Participants

Participants (n = 166) were consecutive admissions to 2 privately funded, adolescent inpatient substance use disorder (SUD) treatment centers in the metropolitan San Diego, California region and were recruited for a longitudinal investigation of alcohol and drug problems during adolescence. These centers were abstinence-focused and based on a 12-step treatment model. The average length of stay was 4 weeks (range 4 to 6 weeks). Adolescents who met DSM-III-R (APA, 1987) lifetime criteria for an alcohol use disorder or other drug use disorder were recruited. Adolescents who had history of significant head trauma, lived more than 50 miles from research facility, or did not have a parent or resource person willing to provide corroborating information were excluded. Adolescents displaying evidence of a DSM-III-R Axis I psychiatric disorder, other than conduct disorder, predating the onset of alcohol or drug problems were also excluded.

From the initial sample of 166 adolescents who entered the study, 160 (96.4%) completed the 6-month follow-up assessment, 159 (95.8%) the 1-year follow-up, 150 (90.4%) the 2-year follow-up, 159 (95.8%) completed the 4-year follow-up, 153 (92.2%) the 6-year fol-

low-up, and 139 (83.7%) the 8-year follow-up. At treatment entry the average age of the sample was about 16 years old (Mean = 15.9, SD = 1.27) and 40% were female. The sample consisted of ethnically diverse adolescents: Caucasian (75%), Hispanic (5%), African-American (5%), and other (15%). Socio-economic status (SES; Hollingshead, 1975) at intake was based on parental income, education, and type of employment (Mean Hollingshead Index = 29.8  $\pm$  12.3). At treatment entry, nearly half (47%) of the current sample had received prior alcohol/drug-related outpatient treatment (Mean sessions = 16.2, SD = 20.1; median = 10.0; range 1 to 98). However, for the vast majority (92%), this was their first inpatient treatment experience. Although alcohol was the most commonly used drug, the reported "drug of choice" was amphetamines (53%), followed by cannabis (32%), hallucinogens (7%), alcohol (4%), cocaine (3%), and opiates (<1%). Approximately three-quarters (73.8%) of the sample was enrolled in public or private school; 19.2% reported quitting school and 6.2% were expelled or suspended. Neither demographic nor baseline treatment/clinical variables were associated with participant follow-up (p > 0.27).

#### Procedure

Informed consent from the parent or legal guardian and separate assent from youth was obtained for participation. The consent procedure was approved by the University of California San Diego institutional review board. Following detoxification and medical stabilization in the first week, adolescents were recruited during the second week of treatment. We were unable to recruit only a small number of participants (7%) who left treatment during the first week. Adolescents voluntarily participated in a 90-minute confidential, structured interview and parents completed a separate interview with different interviewers to corroborate information. Interviews were conducted using trained clinical research interviewers, and participants were assured of confidentiality to maximize open disclosure. Posttreatment interviews and assessments were conducted at the 6month, and 1-, 2-, 4-, 6-, and 8-year time points either at the research facility or in the participant's home. For cases in which the participant had moved out of the area, interviews were completed by phone. At each interview point, a random sample of participants (approximately 15%) completed urine toxicology screens to verify self-report data. In only 2 cases were self-report data changed to include additional substance use.

## Measures

*Customary Drinking and Drug Use Record.* The customary drinking and drug use record (CDDR) (Brown et al., 1998) is a well-validated structured interview that assesses alcohol and drug involvement and DSM-III-R/IV SUD diagnoses. The Lifetime version of the CDDR was administered during treatment to assess substance use history, as well as onset and recency of substance problems. Alcohol and drug use to intoxication, abuse/dependence symptoms, and withdrawal symptoms are also assessed. The CDDR has been found to be both reliable and valid when used with adolescents and adults from clinical and community samples (Brown et al., 1998).

*Structured Clinical Interview.* The structured clinical interview (SCI) (Brown et al., 1989) is a 90-minute confidential structured interview used to assess functioning on a variety of personal and behavioral domains. It was administered at baseline and each follow-up and covered the entire follow-up period (e.g., up to 2 years at a time). For the present study, interview data included demographic information (i.e., gender, age, and ethnicity), family history of alcohol/drug problems, religious background and religious practices, as well as previous SUD treatment experiences. Single-item, Likert-scale questions were employed to assess participants' abstinence self-efficacy, perceptions of their ability to use substances in moderation, and

perception that their alcohol or drug use was a problem. Participants were also asked how many AA/NA meetings they attended, as well as how many days they used alcohol and/or drugs during each follow-up. These data were used to calculate the AA/NA attendance and percent days abstinent.

*SES.* The Hollingshead (1975) is a well-established, valid and reliable measure of SES. This was utilized to obtain an estimate of SES. SES was based on a composite of parental income, and occupational and educational status.

*Conduct Disorder Questionnaire*. The conduct disorder questionnaire (CDQ) (Brown et al., 1996) is a structured interview designed to obtain DSM-III-R/DSM-IV diagnostic criteria for conduct disorder and antisocial personality disorder. The CDQ is administered to both the adolescent and the parent. Parent and teen reports were combined so that if any behavior was endorsed by either person it was included in diagnostic classification (Brown et al., 1998).

#### Missing Data and Imputation

We were unable to verify some of the total percent days abstinent at 6-, 12-, and 24-month follow-ups for some participants, because data had been obtained for the first 3 relapse episodes only in these periods. Thus, if a patient had more than 3 relapses that did not account for the entire follow-up period, then only that proportion of the period was covered (corrected in later follow-ups). This occurred for 16% (n = 25) of patients at the 6 month, 21% (n = 34) at the 12 month, and 25% (n = 39) at the 24-month follow-up. To minimize loss of these data, we prorated available cases for which the first 3 relapse episodes covered a minimum of 70% of the period. The number of cases meeting this criterion was 12, 16, and 19, for the 6-, 12-, and 24-month follow-up periods, respectively (range = 70 to 99%). We then prorated this observed proportion for the entire period. We ran results with, and then without, the prorated cases, and found that the pattern of findings did not differ in any substantive way. We report the results below using the prorated data.

#### RESULTS

#### Rates of AA/NA Attendance Across 8 Years

The proportion of youth attending AA/NA was high in the early months posttreatment and dropped steadily over the 8-years (Fig. 1). During the first 6 months, almost all youth



Fig. 1. Percent of patients attending any, monthly, and weekly AA/NA meetings across 8 years following inpatient treatment.

attended AA/NA at least once, with 83% attending at least monthly, and 65% attending at least weekly, on average. These initially high rates dropped substantially between 6 and 12 months, with the proportion of youth attending "any" meetings dropping to just under two-thirds, "monthly" down to about half, and "weekly" down to about one-third. Between 2 and 8 years, just under one-third to just under onehalf (range 31 to 46%) attended any AA/NA meetings, with about one-quarter (range 19 to 25%) attending at least monthly. Rates of more intensive (i.e., at least weekly) attendance also dropped across the 8 years, from two-thirds of youth in the first 6 months to just over one-quarter between 1 and 2 years, and only 6% between 6 and 8 years.

## Predictors of AA/NA Attendance

We next examined the baseline predictors of AA/NA attendance over the 8-year follow-up period. We tested 4 sets of pertinent baseline variables in relation to AA/NA attendance using Spearman correlations. These 4 sets of variables were (1) demographic (i.e., age, ethnicity, gender), (2) clinical (i.e., substance dependence symptoms, frequency of withdrawal symptoms, frequency of substance use, lifetime frequency of alcohol and drug intoxication, perception of having an alcohol or drug problem, perception of ability to use alcohol/drugs in moderation, abstinence self-efficacy, conduct disorder), (3) prior treatment (outpatient or inpatient), and (4) religious (i.e., religious background and religious practices).

Age, gender, and ethnicity were not associated with AA/NA attendance at any follow-up time point (p > 0.18). Of the clinical/psychological predictor variables, lifetime frequency of alcohol intoxication predicted more attendance at 6 months (r = 0.22, p = 0.004), and the perception that one suffered from an alcohol problem predicted more attendance at 6 months (r = 0.22, p = 0.004) and 6 years (r = 0.18, p = 0.03). Similarly, DSM-IV substance dependence symptoms predicted more attendance at 6 month (r = 0.22, p = 0.005) and 6 years (r = 0.19, p = 0.02). A stronger perception of the ability to use alcohol/drugs in moderation was a predictor of less AA/NA attendance at 6 months (r = -0.32, p < 0.001), 1 year (r = -0.22, p = 0.003),2 year (r = -0.19, p = 0.01) and 4 years (r = -0.25, p = 0.01)p = 0.002). Pretreatment substance use was not related at any follow-up (p > 0.94). Regarding prior treatment, more professional outpatient services for alcohol/drug-related problems was associated with greater AA/NA attendance at 8-years (r = 0.23, p = 0.006). Neither religious background nor religious practices were associated with attendance at any time points (p > 0.14).

We ran a simultaneous linear regression model with these 4 significant predictors of AA/NA attendance to examine their unique, additive predictive ability for early posttreatment attendance (0 to 6 month). These variables accounted for 12% of variance (adjusted) in 6-month AA/NA attendance ( $R^2 Adj = 0.12$ , F = 6.31, p < 0.001). Significant variables

Table 1. Spearman Rank Order Correlations of the Relations Between Youth AA/NA Attendance and Substance Use Outcome

% Days	1	2	3	4	5	6	7	8	9	10	11	12
1 AA/NA 6 month	_											
2 AA/NA 1 year	0.548**	-										
3 AA/NA 2 year	0.490**	0.710**	-									
4 AA/NA 4 year	0.282**	0.483**	0.619**	-								
5 AA/NA 6 year	0.174*	0.316**	0.339**	0.361**	-							
6 AA/NA 8 year	0.013	0.240**	0.179*	0.294**	0.471**	_						
7 Abstinence 6 mont	h 0.543**	0.510**	0.431**	0.205*	0.305**	0.050	-					
8 Abstinence 1 year	0.463**	0.650**	0.456**	0.281**	0.253**	0.169	0.722**	_				
9 Abstinence 2 year	0.375**	0.612**	0.533**	0.365**	0.231**	0.236**	0.431**	0.674**	_			
10 Abstinence 4 year	0.299**	0.391**	0.349**	0.333**	0.233**	0.077	0.304**	0.428**	0.561**	-		
11 Abstinence 6 year	0.270**	0.311**	0.284**	0.249**	0.125	0.019	0.205*	0.310**	0.364**	0.665**	_	
12 Abstinence 8 year	0.172*	0.336**	0.340**	0.275**	0.180*	0.148	0.216*	0.311**	0.363**	0.606**	0.741**	-

AA, Alcoholics Anonymous; NA, Narcotics Anonymous.

\**p* < 0.05;\*\**p* < 0.01.

n varies between 133 and 159 across follow-up points due to missing data.

in the prediction equation were perceived ability to consume alcohol or drugs in moderation (b = -4.13, SE = 1.68, t = -2.46, p = 0.01) and perception of having a problem with alcohol (b = 0.32, SE = 0.14, t = 2.26, p = 0.02).

#### Relations Between AA/NA Attendance and Outcomes

Table 1 shows the zero-order Spearman rank correlational relationships between AA/NA attendance and percent days abstinent (PDA) from alcohol and other drugs throughout the 8 years of follow-up. The magnitudes of these bivariate correlations are initially moderate to large (r = 0.46-0.65), but decrease over time. These correlations reveal a pattern of significant relationships in the expected direction across time. Of further note is that AA/NA attendance in the first 6 and 12 months posttreatment was significantly associated with better outcome at every subsequent outcome time point, but the relationship between early (6 month) AA/NA attendance and PDA can be seen to gradually decline in magnitude over the 8-year follow-up.

# Controlled Longitudinal Modeling of AA/NA Attendance and PDA

To test the prospective relationship between AA/NA attendance in a given follow-up period and substance use in the subsequent period, we used generalized estimation equations (GEE) to model PDA at the 12-month assessment (i.e., 6 to 12 month PDA) through 8-years incorporating both static (intake) and lagged, time-varying predictors. To determine which baseline variables to control, we examined 5 sets of predictor variables (1) demographic (age, gender, ethnicity, religious background, and practices), (2) substance-related (pretreatment frequency of use, lifetime frequency of alcohol and other drug intoxication, DSM-IV alcohol/drug dependence symptoms, withdrawal symptoms, beliefs about ability to use alcohol or other drugs in moderation), (3) psychological (conduct disorder diagnosis, self-efficacy), (d) treatment (prior outpatient or inpatient

services), and (e) family (family history of alcohol/drug dependence). Given the number of follow-up points, we retained only those variables that predicted outcome on at least 2 follow-up occasions.

We found 2 baseline predictors of posttreatment alcohol/drug use. A greater perceived ability to use alcohol/drugs in moderation was a consistent predictor of less abstinence at every follow-up time point up through 8 years (Mean r = -0.21; range = -0.18 to -0.22, p 0.008-0.03). And, gender predicted outcome at 4-, 6-, and 8-year follow-ups with girls/young women having more abstinent days than boys (Mean r = -0.30, p < 0.001). Therefore, these were retained as control variables. Baseline frequency of substance use (i.e., in the 90 days prior to treatment) was also retained as a static covariate. Percent days abstinent in each preceding posttreatment follow-up period was entered as a time-varying covariate to help rule out the possibility that only patients with good outcomes were attending and benefiting from AA/NA. To control for further variables that might confound AA/NA-outcome associations, the formal service utilization variables of posttreatment professional aftercare sessions, as well as any ongoing outpatient/inpatient treatment received in any follow-up, were also included as time-varying lagged covariates.

We first wanted to examine the influence of early posttreatment AA/NA attendance (0 to 6 month) on later substance use outcomes and to test whether this effect changed over the 8-year follow-up. Concordant with the zero-order correlational results in Table 1, the significant interaction between AA/NA attendance and time shown in Table 2 (b = -0.1158, p = 0.0002) suggests that early participation predicted greater subsequent abstinence over time, but the effect was not consistent. Rather, its influence diminished across the 8 years of the study such that the predicted effect of attendance in the first 6 months on subsequent PDA was reduced to essentially 0 by the final follow-up. (This can be calculated by subtracting the AA6m × time interaction effect (-0.1158) from the effect of AA6m (0.51) for each follow-up time point).

Table 2. Lagged GEE Model of Youth Treatment Outcome Over 8 Years in Relation to AA/NA Attendance in the First 6 Months Posttreatment

Parameter	GEE parameter estimate	Empirical standard error	95% confid	ence limits	Ζ	p	
Intercept	51.5854	10.9072	30.2077	72.9631	4.73	<0.0001	
Time	1.0041	1.6354	-2.2012	4.2094	0.61	0.5392	
Gender	-11.0712	4.1317	-19.1692	-2.9733	-2.68	0.0074	
PDA 6 month	0.3156	0.0681	0.1821	0.4491	4.63	<0.0001	
Pretreatment PDA	-0.0987	0.0860	-0.2673	0.0700	-1.15	0.2514	
Moderate use	-2.6835	1.5748	-5.7702	0.4031	-1.70	0.0884	
Formal Treatment 6 month	-0.4683	4.6025	-9.4889	8.5524	-0.10	0.9190	
Aftercare <sup>a</sup> 6 month	0.7602	0.8735	-0.9519	2.4724	0.87	0.3841	
AA/NA 6 month	0.5079	0.1213	0.2702	0.7455	4.19	<0.0001	
Time $\times$ AA/NA 6 month	-0.1158	0.0316	-0.1777	-0.0540	-3.67	0.0002	

AA, Alcoholics Anonymous; NA, Narcotics Anonymous; GEE, generalized estimation equations; PDA, percent days abstinent. <sup>a</sup>Square root transformed n = 150.

Table 3. Lagged GEE Model of Youth Treatment Outcome in Relation to AA/NA Attendance Over 8 Years

Parameter	GEE parameter estimate	Empirical standard error	95% confid	ence limits	Ζ	p	
Intercept	37.3071	6.9601	23.6656 50.9486		5.36	<0.0001	
Time	1.4424	0.8693	-0.2614	3.1462	1.66	0.0971	
Gender	-9.3380	2.6605	-14.5526	-4.1234	-3.51	0.0004	
Pretreatment PDA	-0.0811	0.0490	-0.1772	0.0150	-1.65	0.0980	
Moderate use	-1.8816	0.9646	-3.7722	0.0090	-1.95	0.0511	
Aftercare <sup>a</sup> 6 month	0.4349	0.5158	-0.5761	1.4460	0.84	0.3991	
Formal Treatment <sup>b</sup>	5.5669	3.2856	-0.8727	12.0065	1.69	0.0902	
AA/NA <sup>b</sup>	1.9517	0.4512	1.0674	2.8360	4.33	<0.0001	
PDA <sup>b</sup>	0.5030	0.0371	0.4304	0.5757	13.56	< 0.0001	

AA, Alcoholics Anonymous; NA, Narcotics Anonymous; GEE, generalized estimation equations; PDA, percent days abstinent. <sup>a</sup>Square root transformed; <sup>b</sup>lagged, time-varying, covariate (*n* = 160).

Table 3 shows the results of the ongoing effects of AA/NA attendance over the entire 8-years using the lagged, longitudinal GEE models controlling for various confounds. For those unfamiliar with GEE, the single parameter estimates for timevarying covariates shown in Tables 2 and 3 represent averaged, lagged, effects over the entire 8-year period. As shown in Table 3, participation in AA/NA across the 8-year followup period independently and consistently predicted a greater PDA after taking other static and time-varying covariates into account (p = 0.0001). Specifically, for every AA/NA meeting youths attended, there was an additional subsequent gain of almost 2 days (1.95) of abstinence over and above the effects of other factors. Gender also made a significant independent contribution to the model with girls, on average, having about 9 days more abstinence than boys (p = 0.0004). Substance use in the preceding follow-up period was found also to independently predict use in subsequent follow-ups (p < 0.0001). Youth perceptions that they could not moderate their substance use was on the border of statistical significance (p = 0.05) and there was a trend for formal treatment (p = 0.09).

#### Dose-Response Curves

To examine the relationship between dose of AA/NA attendance and substance use outcome, robust, LOWESS curves were fit to the data depicting the relation between

AA/NA attendance in the first 6 months and concurrent (same 6-month period) and subsequent (following 6-month period) PDA. Rather than forcing a single linear functional relationship on the data, the LOWESS approach allows the observations to pull the regression line using a localized, weighted least squares approach (Cleveland, 1993). Figure 2 shows the relationship between the average number of 12-step meetings attended per week in the first 6 months (calculated as a simple average of total number of meetings divided by the number of weeks) and PDA during the same and subsequent 6-month time periods (the straight OLS regression lines are shown for comparison). Observable in both graphs is that there is no noticeable minimum threshold of attendance before benefits are realized. Rather, there is a steep linear increase in PDA with better outcomes associated with as few as one meeting per week. The graph does suggest an upper threshold with attendance of 3 or more meetings per week, on average, associated with complete abstinence. Of note, these figures also illustrate that among those who do not attend AA/NA, there is a large range of outcomes. By contrast, among those who attend 12-step meetings very regularly, the large majority have high rates of abstinence.

### DISCUSSION

This study found that youth AA/NA attendance early posttreatment was common and relatively intensive, but



Fig. 2. Exploration of thresholds of AA/NA attendance in relation to concurrent and subsequent percent days abstinent (PDA) using robust locally weighted scatterplot smoothing (LOWESS) curves (OLS regression line also shown).

declined steadily over time. We also found that objective and subjective indices related to addiction severity predicted youth AA/NA attendance following treatment. Despite the observed declining attendance rates over the 8 years, early posttreatment AA/NA attendance predicted long-term salutary outcomes. Furthermore, the effects associated with ongoing attendance remained significant and consistent. Finally, exploratory dose-response curve analyses suggested that even relatively small amounts of AA/NA was associated with benefits, with a strong linear association evident up through 3 meetings per week.

## Rates of Attendance

The rates of AA/NA attendance in the current sample were somewhat higher than those reported previously (Brown, 1993; Kelly and Myers, 2007). Youth in the current study were recruited from traditional 12-step-oriented residential programs that may have been particularly adept at facilitating youth involvement and/or directing youth to suitable AA/NA groups. However, the decline in the proportion of youth attending and the frequency of attendance was comparable to other studies (Kelly and Myers, 2007). Noticeable in our data was a steep decline in AA/NA attendance during the 6- to 12-month time period after leaving residential treatment, followed by a continuing, although less sharp, decline over the remaining 8 years.

Decelerating rates of AA/NA meeting attendance are also the norm among adult samples (Bond et al., 2003; Kelly and Moos, 2003; Tonigan et al., 2002) and could be explained by a number of different processes. For example, a substantial proportion may find the logistics of sustained attendance difficult, certain aspects of AA/NA meetings objectionable, or perceive it to be unhelpful or insufficient to meet their recovery needs, and subsequently discontinue. Alternatively, individuals may relapse and feel reluctant to return (Kelly et al., 2008). On the positive side, patients may benefit sufficiently from initial exposure and/or participation so that they stabilize in their recovery and do not perceive a need for continued attendance. Some of these individuals may continue to practice 12-step-related behaviors over time, despite little or no attendance (e.g., "nonattending participators", Weiss et al., 2005). Although one study with youth found that 12-step meeting attendance was very highly correlated with 12-step practices and facilitated maintenance of abstinence motivation (Kelly et al., 2002), the influence of 12-step practices beyond meeting attendance warrants further focus in future investigations.

Of potential clinical importance is that the rates and duration of AA/NA attendance were lower than many treatment clinicians perceive as optimal (Kelly et al., in press), suggesting potential barriers for youth in meeting this theoretically key proximal 12-step treatment outcome (Kelly et al., 2008). At least some of these barriers could be developmental (Vik et al., 1992), related to the large age difference between youth and most community AA members, whose average age is 48 years old in the United States. (Alcoholics Anonymous, 2005). Prior research has found that youth are unlikely to find AA/NA helpful, attend as often, or benefit as much unless at least some other youth are present, suggesting that a concentrated focus on identifying and referring adolescents to suitable youth-oriented meetings might enhance engagement and retention (Brown, 1993; Kelly et al., 2005). Although such meetings are not common, most large communities have vouth-specific AA/NA meetings; locating such meetings in smaller communities may be more difficult and could pose a barrier to youth AA/NA attendance.

#### Predictors of AA/NA Attendance

Similar to other studies, youth in the current study were more likely to participate if they had greater history of alcohol/drug involvement, thought they had a problem with interventions.

alcohol, and did not consider themselves able to control their substance use. Interestingly, the most robust predictor of both AA/NA attendance and substance use outcomes over time was related to this construct of perceived addiction severity (Finney and Moos, 1995), such that those who believed that they were unable to use in moderation were significantly more likely to be abstinent and to be attending AA/NA compared to those who believed they could successfully moderate their use. If confirmed by additional research, this may prove useful as an efficient screening question for tailoring

Contrary to findings among adult treatment samples (Kelly et al., 2006; Tonigan et al., 2002; Winzelberg and Humphreys, 1999), the degree of religious involvement at the time of treatment entry was not a significant predictor of youth AA/NA attendance. The explicit quasi-religious/spiritual elements of AA/NA meetings may not be perceived by youth as a significant barrier to ongoing participation (Kelly et al., 2008). In terms of other demographic predictors, girls/young women were just as likely as boys/young men to attend AA/NA, similar to results found with adults (Del Boca and Mattson, 2001; Timko et al., 2005; Weisner et al., 1995).

We were able to explain only a minority of the variance in early AA/NA attendance using indirect patient-level variables (i.e., 12%). Asking patients directly whether they intend to go to AA/NA meetings may improve prediction. Also, given that family member AA/NA participation (Kelly, Myers & Rodolico, in press) and treatment staffs' degree of 12-step facilitation (e.g., Kelly and Moos, 2003; Sisson and Mallams, 1981; Tonigan et al., 2002), have both been shown to have a significant influence on patient attendance, it is likely that taking these other levels into account will help explain further variability in AA/NA attendance.

### AA/NA Attendance and Treatment Outcome

Despite quite high rates of AA/NA discontinuation over time, the relationship between AA/NA attendance and outcomes remained quite stable and positive. Specifically, AA/NA attendance was consistently associated with better substance use outcomes over the 8-year period. Importantly, this effect held even after controlling for other possible confounds related to better prognosis (i.e., levels of substance use prior to treatment and in each preceding follow-up period), ongoing formal treatment service utilization, and other significant predictors of outcome. Furthermore, our examination of the short- and long-term outcome effects of early posttreatment AA/NA attendance (0 to 6 month) suggests that what is accomplished therapeutically during this demanding early posttreatment period may have long-term recovery ramifications (Moos and Moos, 2004). However, the observed negative interaction effect suggests that the influence of early AA/NA attendance will decay over time without ongoing participation. Whether AA/NA's recovery-related benefit is mediated through behavioral and environmental changes provoked by AA/NA (e.g., avoidance of high risk environments;

"social self-regulation", Kelly, 2001) or cognitive and emotional shifts (e.g., belief that one cannot use substances in moderation) remains to be clarified. Given the potential importance of this finding, a possible area for future research could be in developing and testing a brief, motivational, 12step facilitation targeting youth AA/NA attendance early posttreatment (e.g., Kahler et al., 2004).

Developmental barriers notwithstanding, the present findings, in combination with other shorter-term investigations, suggest that AA/NA may be beneficial for some youth in their recovery in the short- and long-term, particularly those who are more severely affected and who believe it is unlikely that they can successfully moderate their substance use. Little is known empirically regarding exactly why or how 12-step group participation might aid in the recovery process, but useful theoretical explications have been proposed (e.g., McCrady, 1994; Moos, 2007). Ongoing recovery-specific support from organizations like AA may help reduce relapse risk, in part, by providing a social context where sober role-models and friends are available and provide alternative socially rewarding activities (Brown, 2001; Moos, 2007; Longabaugh et al., 1998). Studies that conducted formal mediational tests to examine how AA/NA exert beneficial effects have found that participation may help maintain motivation for abstinence, enhance coping and self-efficacy, and facilitate abstinence-reinforcing changes in the social network (Bond et al., 2003; Connors and Tonigan, 2001; Humphreys and Noke, 1997; Kaskutas et al., 2002; Morgenstern et al., 1997; Morgenstern and Bates, 1999; Kelly et al., 2000, 2002; Owen et al., 2003). More research is needed to understand how robust such mechanisms are across samples and through developmental transitions, and whether these mechanisms are temporally stable or change over time (Brown, 1993; Brown and Ramo, 2006; Gorski and Miller, 1986).

## Thresholds of AA/NA Attendance

Our investigation of thresholds of AA/NA attendance in relation to outcome suggests that youth may benefit from even limited exposure posttreatment. For patients selecting into AA/NA, even one or 2 meetings per week were associated with marked increases in abstinence, and a threshold of 3 meetings per week was associated with complete abstinence. These findings suggest that meeting a criterion of "90 meetings in 90 days" or other highly intensive adult-derived clinical recommendation may not be critical for this age-group. Furthermore, qualitative investigations of AA/NA attendance with youth (e.g., Kelly et al., 2008), suggest that while adolescents may not immerse themselves as intensively into 12-step-specific program content as adults (e.g., working the 12-steps), they may still derive benefit from the more general group therapy processes (Yalom, 1995) inherent in AA/NA group meetings (e.g., "universality", "instillation of hope", "catharsis"; McCrady, 1994; Kelly et al., 2008). These findings imply that adolescents may not need to attend as frequently as their more chronically dependent older adult counterparts so as to obtain similar outcomes. Furthermore, compared to adults, youth may obtain AA/NA recovery-related benefits through different processes, or by similar processes, that possess a different degree of salience depending on developmental stage (Kelly et al., 2000).

## Limitations

Generalizations should be made with caution in light of some important limitations. First, we used only a simple measure of frequency of AA/NA attendance and did not assess the degree of involvement. Although this straightforward measure was predictive in our study, we do not know the extent to which these youth may be continuing to engage in 12-step practices, such as using a sponsor or helping others. In addition, observational study design precludes true cause and effect inferences regarding the benefits of AA/NA attendance. However, we conducted controlled, time lagged, analyses to help rule out possible confounds. A further limitation is that we used data aggregated over long time periods (up to 2 years at a time), which does not allow for examination of the more fine-grained, dynamic relationships between 12-step attendance topography and substance use outcomes. The data also were obtained mostly by self-report, which can be prone to recall and social desirability biases. However, standardized procedures to minimize these biases were implemented (Babor et al., 1987; Brown, 2004). Also, in keeping with other reports (Harrison et al., 2007), our urine toxicology verification on a random sample of subjects suggests that the self-reports are valid. This study also examined only 12-step and not other mutual-help groups (e.g., SMART Recovery, Secular Organization for Sobriety). Further investigation is warranted to see if youth might derive more or less benefit from other organizations. Finally, generalizations to other treated youth should be made with caution as our sample was comprised of adolescents, recruited from 12-step-oriented inpatient settings and contained a high number of youth reporting amphetamine use (53%), which may be higher than other U.S. regions where amphetamine use is less common. To the extent that youth who use amphetamine/methamphetamine in addition to alcohol and other drugs may differ systematically from youth who do not use amphetamines, findings here may not generalize.

#### Summary and Conclusions

Alcohol and other drug use disorders are chronic conditions that frequently require ongoing management, monitoring, and intervention to achieve lasting remission and recovery (McLellan, 2002). Widely available, recovery-specific resources, such as AA and NA, have shown to have both utility and cost-effectiveness (Humphreys and Moos, 2001, 2007; Kelly and Yeterian, 2008), and thus serve an important public health role in helping to reduce the endemic problems arising from substance misuse. For youth who develop sufficiently severe alcohol- and drug-related problems to warrant residential treatment so early in their development, ongoing support from fellowships like AA and NA may help buffer the risk of relapse as normative rates of substance use rise among their peers in the general population and where support for recovery may be minimal (Grant et al., 2004; Johnston et al., 2007; SAMHSA, 2006). From a recovery management perspective (White et al., 2006), this study suggests that, similar to older adults, treated youth with more severe substance-involvement appear to attend and benefit from the use of these organizations over time. Successful early posttreatment engagement of youth in abstinence-supportive social contexts may have long-term implications for alcohol and drug involvement into young adulthood.

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