

# **SOCIAL VULNERABILITY AND PARTICIPATION IN DISASTER RECOVERY DECISIONS:**

## **Public Housing in Galveston after Hurricane Ike**

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### **Abstract**

Hurricane Ike caused massive damages to Galveston Island's residential structures including four public housing developments in September 2008. These developments were located in neighborhoods with some of the lowest incomes and highest percentages of people of color on the Island. Four months later the Galveston Housing Authority (GHA) decided to demolish all four developments consisting of 569 housing units due to the damages to the buildings. Today, despite federal regulations requiring reconstruction, court orders mandating replacement of the demolished units, and available funding, only 142 low income apartments have been rebuilt. We used the social vulnerability framework to understand these outcomes through the ability of groups to shape post-disaster recovery decisions. This paper argues that one of the overlooked characteristic of social vulnerability is a diminished ability to participate in post-disaster decision-making. There were few local advocates arguing for the preservation of public housing units and even fewer remaining residents to speak up for themselves in the face of strong local resistance to the reconstruction of public housing units or the return of public housing residents. The void of a strong and authentic local pro-public housing perspective in Galveston provided an opening for various local campaigns to claim that their desired plan benefitted the poor. The disaster recovery became an opportunity to remove or reduce public housing units and therefore, public housing residents.

### **Introduction**

On the morning of September 13<sup>th</sup> 2008, Hurricane Ike crossed between Galveston Island and Bolivar Peninsula in Texas as a Category 2 storm, causing \$29.5 billion in damage to the Houston-Galveston area, making it one of the costliest storms in U.S. history (Berg, 2009). The 10 to 15 feet waves generated by the storm damaged more than 75% of the island's residential structures including four public housing developments. These developments were located in neighborhoods with some of the lowest incomes and highest percentages of people of color on the Island and had long been viewed by city leaders as a barrier to revitalization. Four months

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35 later the Galveston Housing Authority (GHA) decided to demolish all four  
36 developments consisting of 569 housing units due to the damages to the buildings.  
37 Today, despite federal regulations requiring reconstruction, court orders mandating  
38 replacement of the demolished public housing units, and available funding to finance  
39 reconstruction, only 282 mixed income apartments have been rebuilt with only half  
40 of those set aside as affordable units and less than half of the displaced public  
41 housing families remain on the Island.

42 Disasters magnify pre-existing social and economic trends in places without  
43 fundamentally changing them (Kates, 1977). The concept of social vulnerability  
44 recognizes that the social inequalities embedded in local sociopolitical systems prior  
45 to a disaster inhibit the ability of different groups of people to cope with and rebound  
46 from disaster events (Blaikie, Cannon, Davis, & Wisner, 1994). It helps explain how  
47 and why residents of public housing, for example, face greater uncertainties and  
48 obstacles for housing recovery than the general population. The social vulnerability  
49 framework has enriched our understanding of disparities in the quality of pre-  
50 disaster housing, exposure to hazards, levels of damage, and access to response and  
51 recovery resources and information. However, despite the implicit recognition that  
52 pre-disaster conditions shape post disaster outcomes, the literature has little to say  
53 about the relationship between social vulnerability and the ability of groups to shape  
54 post-disaster recovery decisions. This relationship is especially important for public  
55 housing residents whose very ability to remain housed is contingent on an often  
56 tenuous social contract.

57 This papers argues that one overlooked characteristic of social vulnerability  
58 is a diminished ability to participate in post-disaster decision-making. Unable to  
59 return to their homes after Hurricane Ike, public housing residents in Galveston  
60 scattered across the region and most found housing off the Island. When a  
61 contentious public process revealed strong local resistance to the repair of public  
62 housing units or the return of public housing residents to their communities, there  
63 were few local advocates arguing for the preservation of public housing units and  
64 even fewer remaining residents to speak up for themselves. The void of a strong and  
65 authentic local pro-public housing perspective provided an opening for various local  
66 campaigns to claim that their desired plan benefitted the poor. The disaster recovery  
67 became an opportunity to remove or reduce public housing units and therefore,  
68 public housing residents.

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70 **Literature Review: Social Vulnerability, Public Housing, and Recovery**  
71 **Outcomes**

72 Social vulnerability acknowledges that disaster risk is not distributed evenly  
73 across a population or a place. Damage levels, for example, are not simply due to  
74 the force of the hazard agent itself, but are also related to income, race/ethnicity,  
75 housing type and tenure, and neighborhood characteristics (Maly & Shiozaki, 2012;  
76 Bolin, 1982 & 1985; Bolin & Bolton, 1983 and 1986; Peacock & Girard, 1997; Van  
77 Zandt, Peacock, Henry, Grover, Highfield, & Brody, 2012; Gotham, 2014;  
78 Highfield, Peacock, & Van Zandt, 2014; Peacock et al., 2014). The relationship  
79 between high levels of damage and social vulnerability (Grigsby, 1963; Myers,  
80 1975) are partly due to the fact that older, lower valued, and poorer quality homes  
81 are more likely to house low-income and minority populations (Van Zandt et al.,  
82 2012; Peacock et al., 2014). Consequently, the physical and social concentration of  
83 damage lead to very different recovery trajectories for housing in lower-income and  
84 minority neighborhoods (Chang, 2010; Comerio, 1997; Green, Bates, & Smyth,  
85 2007; Green & Olshansky, 2012; Zhang, 2012).

86 Post-disaster financial aid programs are usually based on housing loss and are  
87 largely oriented toward the needs of home owners (Freeman, 2004). Hence, renters  
88 or public housing residents often receive very limited and only short-term housing  
89 assistance, which exacerbates socioeconomic inequalities and hinders equitable  
90 housing recovery (Oliver-Smith 1990). Furthermore, these groups have little say  
91 regarding rebuilding/repair decisions or the potential for remaining in place,  
92 regardless of the damage to their home (Comerio, 1997). The literature also suggests  
93 a tendency for the owners of rental properties to increase rents once repairs are  
94 complete, targeting higher-income renters, perhaps in the hopes of recouping  
95 reinvestments more quickly (Quarantelli, 1982; Drabek & Key, 1984; Morrow &  
96 Peacock, 1997; Morrow & Enarson, 1997; Bolin & Stanford, 1998). Such decisions  
97 may reduce the availability of post-disaster rental housing for lower-income  
98 households, revealing a link between social vulnerability and post-disaster recovery.

99 By definition, public housing communities are socially vulnerable. Public  
100 housing in the United States is only available to households with incomes at 30% of  
101 the Area Median Income (AMI) or less. Public housing communities often have  
102 more residents of color and higher concentrations of poverty than the general  
103 population within a given jurisdiction. Furthermore, public housing itself has little  
104 political or public support at the local or federal levels. Since the early 1970s, there  
105 has been a steady national trend of dismantling public housing communities.  
106 Through federal programs such as HOPE VI and Choice Neighborhoods, local  
107 public housing authorities (PHAs) have replaced public housing units with mixed  
108 income developments or household-based subsidies such as the Housing Choice

109 Voucher. To date, more than 98,592 public housing units have been lost nationally<sup>2</sup>.  
110 A growing number of rent burdened low income households are competing for a  
111 shrinking number of housing subsidies, leaving a significant segment of low income  
112 households trapped in substandard, overcrowded, and overpriced housing (Kamel,  
113 2012).

114 Unlike other types of housing, particularly owner-occupied single-family  
115 housing, there is no previously agreed upon course of action for permanent recovery  
116 of public housing after disasters and consequently their fate is open to discussion by  
117 different political agendas. Furthermore, local governments have little economic  
118 incentives for rebuilding and sometimes face great political resistance against  
119 replacing lost affordable housing units. As a result, PHAs have seized the  
120 opportunity to demolish public housing after disasters using emergency disaster  
121 funding (Graham, 2012). The most well-known example of this dynamic comes  
122 from New Orleans where the displaced tenants of public housing developments and  
123 other renters were significantly underrepresented in the city's and state's recovery  
124 plans for mixed income replacement despite heavy damage to rental housing (Clark  
125 & Rose, 2007) and the large proportion of renters in the pre-Katrina housing market.

126 Participation in local recovery debates provides the opportunity to shape  
127 housing recovery outcomes. Disadvantaged communities are often more vulnerable  
128 to disaster impacts not just because of the inherent lack of wealth, but because pre-  
129 disaster decisions were made about features of risk and vulnerability in these  
130 communities without the input or consent of residents (Dash, Peacock, & Morrow,  
131 1997). Post-disaster, these populations continue to have little access to political  
132 power and often face significant barriers to participating in public recovery  
133 dialogues. The displacement of public housing residents outside their communities  
134 further limits their ability to participate in open forums and enables exclusionary  
135 decision making about recovery. Consequently the fate of public housing residents  
136 is open to public debate and vulnerable to cooptation by local political agendas.

### 137 **Methods and Data Analysis Techniques**

138 This study began with the question, "Why has public housing not been rebuilt in  
139 Galveston despite court orders and federal regulations requiring one-for-one  
140 replacement of all lost units?" Research for this study began three and a half years  
141 following Hurricane Ike and included two data collection techniques: in-depth  
142 interviews<sup>3</sup> and archival research. The interviews included representatives of

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<sup>2</sup> <https://www.huduser.gov/portal/pdredge/pdr-edge-research-032017.html>

<sup>3</sup> Sara Hamideh, the first author on this paper, conducted these interviews as part of her dissertation research. See (Hamideh, 2015).

143 organizations involved in recovery efforts and decisions, particularly those related  
144 to public housing. These organizations were identified based on media articles and  
145 relevant websites. Potential participants including GHA board members, city council  
146 members, local advocates and activists, and Long Term Recovery Committee  
147 leaders were called or emailed up to three times each to solicit their participation in  
148 the study. The first author also identified an additional 10 potential interviewees  
149 using referral sampling (Biernacki & Waldorf, 1981). Interviewees were categorized  
150 by priority, based on their proximity to Galveston, job position, responsibilities  
151 within the city at the time of the study, and sustained involvement in the long-term  
152 recovery process and public housing debates in Galveston.

153 The interviews included a total of 18 individuals representing 21  
154 organizations: representatives of three local government agencies, two local NGOs,  
155 two local nonprofit agencies, four churches and charity organizations, two  
156 businesses, two universities, and six local officials. Of the organizations interviewed,  
157 only GHA was focused on public housing. Fourteen of the organizational  
158 interviewees were non-Hispanic white. Four were African American including one  
159 representative of a government agency, two reverends, and one local NGO director.  
160 Eleven interviewees were men and seven were women. Most interviewees were over  
161 the age of 40.

162 The interviews were all semi-structured, allowing us to gather similar  
163 information from each respondent while also allowing new topics to develop (Berg,  
164 2007; Weiss, 1994). Interviews were designed to take between 30 and 45 minutes  
165 each, but some interviews lasted up to two hours. Interviews were conducted at the  
166 place of the interviewees' choosing, often their workplace in Galveston. Interviews  
167 were audio recorded and transcribed verbatim. The first author wrote detailed  
168 fieldnotes and uploaded these and the transcripts to Atlas.ti software which was used  
169 for qualitative analysis.

170 We collected and reviewed 174 documents including Galveston long-term  
171 recovery plan, GHA public housing rebuilding plans and annual reports, GHA press  
172 releases, lawsuits, legal complaints, and court orders related to public housing,  
173 newspaper and other forms of media reporting about public housing in Galveston,  
174 professional reports commissioned by Galveston City Council about public housing,  
175 blog posts, video recordings of city council meetings, and video recordings of GHA  
176 press conferences. City Council and GHA board meetings were of particular  
177 importance to our study because they offered the only formal opportunities for  
178 former residents to participate in the debates. We reviewed all of the 205 city council  
179 meeting minutes posted on City of Galveston official website that cover council  
180 meetings between September 2008 and December 2014 and found 24 meetings  
181 during which public housing rebuilding schemes were discussed or issues were

182 raised with respect to public housing. We also reviewed all of the 83 GHA Board  
183 meeting minutes between January 2010 and December 2014 posted on the GHA  
184 official website and found 23 meetings during which public housing rebuilding  
185 schemes were discussed or issues were raised with respect to public housing. We  
186 uploaded these documents and recordings to the Atlas.ti software for qualitative  
187 analysis.

188 We coded and recoded the data in three stages (Saldaña, 2009). First, we  
189 performed open coding of basic themes only. Then, we examined relationships  
190 between basic themes, and performed axial coding to connect similar themes  
191 together under larger concepts. Finally, after identifying core concepts from axial  
192 coding, we started selective coding of the data in relationship to these larger ideas.  
193 Working through the data, we generated theoretical memos that highlighted key  
194 issues and their connections in the data. Themes related to the arguments against  
195 rebuilding public housing and arguments for replacing it with mixed-income,  
196 inclusion and participation of former residents, recovery visions and agendas that  
197 involved public housing, and descriptions of former residents and public housing in  
198 the debates provide the basis for the results discussed below. Our selective coding  
199 focused on understanding whether former residents of public housing were  
200 participating in the debates about rebuilding their homes and how social  
201 vulnerability limited their participation.

202

### 203 **Housing affordability in Galveston**

204 In the past few decades, Galveston's port activities have declined while beach-  
205 related and historical tourism has become the Island's fastest growing industry  
206 (Angelou Economics, 2008; Gulf & South Atlantic Fisheries Foundation, 2010).  
207 Service jobs are essential for the operation of this Galveston's tourism industry and  
208 bring a significant amount of revenue to the city, however, they are typically low-  
209 skill, low wage work. According to Longitudinal Employer-Household Dynamics  
210 (LEHD) data, 14.7% of the 34,480 jobs in Galveston in 2008 were in the  
211 accommodation and food services industries which serve the tourism economy.  
212 From all the jobs in the City, 27% earned employees \$1250 or less a month. Also, on  
213 a higher estimate, Angelou Economics reported that Galveston's tourism industry  
214 provided approximately 9,300 or more than 30% of all jobs in the city prior to Ike.  
215 On average, annual earning of employees in Galveston's tourism sector was only  
216 \$20,610 (Angelou Economics, 2008<sup>4</sup>).

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4 Labor and wage calculations were produced using software created by the Minnesota IMPLAN Group (Angelou Economics, 2008).

217 The city had done little prior to Ike to address the housing needs of low-wage  
218 earners. During the three-year period before the storm, almost 46% of the renter  
219 households in Galveston were paying more than 30% of their household income for  
220 housing, a threshold used by the Department of Housing and Urban Development  
221 (HUD) to indicate rent burden. More specifically, 90% of the low income<sup>5</sup> renters  
222 and 76% of low income homeowners in Galveston spent more than 30% of their  
223 income on housing expenses prior to Hurricane Ike (2007 American Community  
224 Survey 3-Year Estimates). One resident described housing affordability on the  
225 Island before Ike this way:

226 *They [are] only paying you minimum wage for all that, and that's not enough*  
227 *money to survive on, on this island. For people like me, especially with four*  
228 *kids, that's not gonna work. You can't survive off of that. That's gonna pay a*  
229 *light bill. If you got a car that's your gas and lights, and you might not even*  
230 *have enough gas to get to work for the whole week. (As cited in Nolen et al.,*  
231 *2014)*

232 Since the hurricane of 1900, a 10-mile long, 17' high seawall has largely  
233 protected residential structures in Galveston during storms. It has also shaped  
234 development on the Island, separating year-round and working class neighborhoods  
235 from seasonal and affluent neighborhoods. The vast majority of Galveston's year-  
236 round residential housing is located behind the seawall in the city's urban core,  
237 where housing affordability is greater. The median value of owner occupied housing  
238 in the urban core of the city is \$122,000, whereas in the Island vacation areas, the  
239 median home price for single family homes is \$178,000 (2005-2009 ACS, census  
240 track data). Increasing market demands for vacation housing have pushed  
241 development and investment outside the seawall toward the east and west ends of  
242 the Island. In these areas, 55% of the housing is vacant and over 72% of this vacant  
243 housing is for seasonal or vacation use. In the urban core, 24.9% of the units are  
244 vacant and only 16.8% of those vacancies are due to seasonal or vacation use.  
245 Despite the increasing disinvestment in the urban core neighborhoods, they have  
246 remained a stable and affordable option for the city's low income residents.

247 Both housing quality and housing affordability were major issues on the  
248 Island prior to Ike and public housing filled a significant gap in the housing market.  
249 In 2000, 69.2% of the renters in Galveston were very low-, low- or moderate-  
250 income<sup>6</sup> (GHA, 2008). GHA operated 990 public housing units and 1,213 Section 8  
251 units. Most individuals living in public housing have one or more characteristic of  
252 social vulnerability. From the 850 families that were living in GHA's public housing

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<sup>5</sup> Annual household income less than 20000

<sup>6</sup> Extreme need was determined based on percent of the very low income population that paid more than 30% of income for rent. More than 30% of the very low income population were paying more than 30% of income for rent

253 units, 82% were extremely low income, 42% had a disability, 86% had children,  
254 39% were elderly, and 67% were African American, as Table 1 shows. GHA had a  
255 waiting list almost equal to its total number of existing units and vouchers. As Table  
256 2 shows, the year before Ike, a total of 852 families were on the waiting list for public  
257 housing alone, where 93% were extremely low income and 57% were African-  
258 American. An additional 824 families were on the Section 8 waiting list with 73%  
259 identified as “extremely low income” and 76% as African-American (GHA, 2008).

260

261 [Table 1 about here]

262 [Table 2 about here]

263

264 Despite the Island’s substantial affordable housing needs, local leaders had  
265 been attempting to demolish existing public housing since 1980s (Lord, 2011). In  
266 2005, GHA razed Old Palm Terrace, a 228-unit public housing development, and  
267 replaced it with The Oaks, a new subdivision with 28 subsidized single-family  
268 homes and 10 duplexes. GHA’s 2008 5-year plan set a goal to increase rental  
269 vouchers, while decreasing the agency’s portfolio of public housing units. GHA  
270 planned to apply to HUD to receive Replacement Housing Factor funding to  
271 demolish and replace units in one of the older complexes, Palm Terrace. Once HUD  
272 funding became available, GHA intended to submit HOPE VI applications for both  
273 Oleander Homes and Palm Terrace Annex to redevelop those sites as mixed-income  
274 developments (GHA, 2008). These projects would have further reduced the city’s  
275 stock of physical public housing units.

276

277

## 278 **Public housing and displacement after Ike**

279 GHA owned 990 public housing units prior to Ike. Out of those units, 528 apartments  
280 suffered substantial damages from the Hurricane, resulting in the immediate  
281 displacement of 578 households (GHA, 2011). Approximately four months after the  
282 storm, the GHA board decided to raze two large public housing developments  
283 immediately, Oleander Homes and Palm Terrace, that made up more than half of of  
284 their multifamily units. In addition, the Board proposed renovation of Cedar  
285 Terrace and Magnolia Homes (GHA, 2009) or the rest of multifamily public housing  
286 units in Galveston. Referring to a HUD website guideline<sup>7</sup> regarding accidental

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<sup>7</sup> HUD, Demolition for an Accidental Loss,



287 losses and without consulting with the residents, GHA was going to speed up the  
288 demolition<sup>8</sup> which would have eliminated all of the 569 multifamily units in the City  
289 without providing permanent replacement housing (Lone Star Legal Aid v.  
290 Galveston Housing Authority, 2013). In response, an advocacy group, Lone Star  
291 Legal Aid (LSLA) filed a complaint with HUD representing displaced residents in  
292 an effort to stop the proposed demolition (Lone Star Legal Aid v. Galveston Housing  
293 Authority, 2009). LSLA requested that GHA create a plan to that protect the rights  
294 of displaced residents during the demolition and rebuilding process (Lone Star Legal  
295 Aid v. Galveston Housing Authority, 2009). LSLA and GHA entered into a  
296 Settlement Agreement with Replacement Plan (also referred to as the Conciliation  
297 Agreement) in March of 2009 (Galveston Housing Authority v. Lone Star Legal Aid,  
298 2009). Under this agreement, GHA committed to rebuild all 569 demolished public  
299 housing units, to provide displaced residents with housing vouchers until rebuilding  
300 was complete, and to guarantee the right of residents to return to the rebuilt units  
301 (LSLA and GHA, 2009b).

302 Even though displaced public housing residents were eligible for temporary  
303 vouchers from the Disaster Housing Assistance Program (DHAP), many families  
304 were not able to find an affordable unit where they could use the DHAP subsidy  
305 (Wilder, 2008; Vinogradsky, 2009). Because the displaced population was  
306 disproportionately minority, many households also faced additional burdens created  
307 by unfair housing practices and enduring racial discrimination in the local housing  
308 market.

309 Demolition of these developments intensified the pre-storm shortage of  
310 affordable low income rental housing in Galveston (Oakley & Ruel, 2010).  
311 According to GHA's 2010-2014 5 year plan, at the time the plan was submitted  
312 2,359 households were on the GHA waiting list for housing assistance (as cited in  
313 LSLA v. GHA, 2013).

314 The loss of public housing in Galveston after Ike decreased the amount of  
315 affordable housing on the Island; displaced low income residents, especially people  
316 of color; and created disparate outcomes between racial groups. The Kirwan Institute  
317 issued a report in December 2011 detailing the "disparities in population losses"  
318 between white and African-American residents following the hurricane. According  
319 to that report, the city of Galveston lost 16.5% of its population between 2000 and  
320 2010 with a 11.4% loss of White population compared to the 36.7% loss in the  
321 African American community (Reece et al., 2011). We compared the pre-Ike racial-

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[https://portal.hud.gov/hudportal/HUD?src=/program\\_offices/public\\_indian\\_housing/centers/sac/demo\\_dispo](https://portal.hud.gov/hudportal/HUD?src=/program_offices/public_indian_housing/centers/sac/demo_dispo)  
<sup>8</sup> by March 23, 2009

322 ethnic composition from 2007 (ACS 3-year) to 2010 to understand how pre-Ike  
323 population trend was exacerbated by the Hurricane. Table 3 presents the absolute  
324 and relative change in the population of each category along with its aggregate  
325 margin of error (margin of error for the difference).<sup>9</sup> The relative change in non-  
326 Hispanic Black population is almost twice the non-Hispanic white population during  
327 the three years following Ike.

328 [Table 3 about here]

329

330 Three years after Ike, 217 of the 578 displaced households were still active in  
331 the DHAP program. While some of the displaced residents were able to use those  
332 temporary vouchers or other resources to find housing on the Island (Oakley & Ruel,  
333 2010), a sizeable portion were forced to move outside Galveston or were unable to  
334 find a unit where they could use the temporary DHAP subsidy (Vinogradsky, 2009).  
335 Moreover, DHAP assistance can not provide permanent housing for displaced  
336 families. Demolition of public housing complexes created a major obstacle against  
337 return and recovery of former residents and other low income renters.

338

### 339 **The struggle over rebuilding**

340 The ability of local officials to rebuild public housing and other low to moderate  
341 income housing depends on federal recovery funding, particularly CDBG allocations  
342 by Congress. However, rebuilding also requires support from local officials, which  
343 can be a significant hurdle for public housing. The Action Plans Texas Department  
344 of Housing and Community Affairs (TDHCA) had developed for spending CDBG  
345 recovery funds gave Councils of Governments (COGs) and local jurisdictions  
346 significant control over prioritizing the needs for spending (TDHCA, 2009a,b) and  
347 lacked state oversight to ensure local jurisdictions will rebuild affordable and  
348 government assisted housing lost in Hurricane Ike (TDHCA, 2009b). Consequently,  
349 two housing advocacy groups, the Texas Low-Income Housing Information Service  
350 (TLIHIS) and Texas Appleseed, filed multiple complaints with HUD in 2009 and  
351 2010 raising concerns about inability of the State to affirmatively further fair housing  
352 in its use of disaster recovery funds and asking HUD to require revisions of recovery  
353 plans in accordance with Fair Housing requirements (TLIHIS vs. State of Texas,  
354 2009; Texas Appleseed and TLIHIS vs. State of Texas, 2009). Accepting these  
355 concerns, HUD facilitated a conciliation agreement between advocates and the State  
356 requiring Texas to set money aside from the CDBG recovery funds for TDHCA's  
357 affordable housing programs including public housing. More specifically, the

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<sup>9</sup> We used 2007 (ACS 3-year) to 2010

358 agreement stated that “no less than \$50 million from the TDHCA’s affordable  
359 housing funds shall be available for use in the city of Galveston for the one for one  
360 replacement of all family and elderly public housing units destroyed by Ike.” (Texas  
361 Appleaseed and TLIHIS vs. State of Texas, 2010, p16).

362         Eventhough state and federal agencies eventually committed funding and  
363 legal commitment to rebuilding, GHA’s demolition decision preceeded any local  
364 plans for rebuilding. Initially board members said they hoped to rebuild everything  
365 in two years. Later they committed to a time frame of no more than five years (Evans,  
366 2009). In the years following the demolition, the GHA produced multiple plans for  
367 rebuilding. Each faced persistent and multifaceted local opposition. Each successive  
368 plan reduced the number of public housing units to be rebuilt on the original sites  
369 and increased the number of vouchers and scattered site units (See table 4). With  
370 each plan, the opportunities for diplaced housing residents to return to their original  
371 homes diminished. For example, GHA’s 2009 plan proposed replacing 569 public  
372 housing units with 340 apartments, townhomes and duplexes on the four public  
373 housing sites, with another 229 units scattered throughout the city. In 2011, GHA’s  
374 *Scattered Sites Initiative* increased number of scattered site units to 247 to be located  
375 across Galveston Island in neighborhoods that were not impacted by Hurricane Ike  
376 (GHA, 2011a,b).

377         GHA’s 2012 plan, *Mixed Income Communities Initiative*, limited the  
378 construction of new public housing units at the original sites to mixed income  
379 developments where 51 percent of the units must be public housing and 49 percent  
380 market rate. Consistent with both the federal HOPE VI and Choice Neighborhood  
381 programs, this approach eandeavored to use GHA’s rebuilding efforts to revitalize  
382 Galveston’s low income neighborhoods and stimulate private investment. Despite  
383 persistent local opposition to rebuilding any form of government assisted housing,  
384 this last plan ultimately gained support from local authorities. In 2014 construction  
385 of the first mixed income development at the Cedar Terrace site began.

386

387         [Table 4 about here]

388         The inability to reach an agreement for a rebuilding plan not only extended the  
389 waiting time and uncertainty of return for displaced residents of the demolished  
390 units, it also magnified the unmet housing needs of low income Galvestonians. By  
391 2011 at least 186 displaced households were still waiting to return to Galveston, and  
392 1138 new households were on the public housing waiting list (GHA, 2011).  
393 Nonetheless, debates about the plans largely disregarded the magnitude and  
394 legitimacy of low income housing needs.

395

396 **Who speaks for public housing?**

397 Involvement in post-Ike recovery planning was shaped by long standing race- and  
398 class-based differences among Galvestonians. One local advocate for low income  
399 families described the historical exclusion that people of color had experienced in  
400 Galveston and its affect on how they viewed post-disaster planning:

401 *This is what people of color in Galveston, African American people, have been*  
402 *feeling for years. They have been beat down for so long, that they don't believe*  
403 *anything good is going to happen... The African American population were*  
404 *skeptical because they were like they never have done that and it ain't going*  
405 *to happen, and it didn't.*

406 When low income Galvestonians, and in particular public housing residents, were  
407 given a chance to participate in decision-making, the outcomes were different. For  
408 example, GHA's initial committment to rebuild every unit destroyed by Ike at its  
409 original location was based on costs, access to jobs and services, and most  
410 importantly, input from displaced residents (Oakley, Ruel, & Reid, 2010). In sharp  
411 contrast to the Galveston elite and even the general public on the Island, public  
412 housing residents spoke on behalf of the preservation of their homes.

413 Worried about the long wait for public housing, local and state housing  
414 advocates raised the issue frequently in city council meetinsg and in interviews with  
415 local newspapers. For instance, David Miller, president of the National Association  
416 for the Advancement of Colored People (NAACP) chapter in Galveston, described  
417 talking to residents every day who wanted to return to the Island but could not  
418 because affordable housing was not available (abcNews, 2012, August 28). Phillips  
419 who led Galveston County Coalition for Justice said in a GHA workshop in 2010  
420 *"We didn't need a court to tell us we need to rebuild our public housing ... We don't*  
421 *want to be like New Orleans and wait five years for our new homes but we haven't*  
422 *hit a nail yet"* (White, 2010). In post-disaster surveys conducted by GHA, public  
423 housing residents expressed a strong desire to return home.

424 Two months after Ike, local officials initiated a community-based planning  
425 process by forming the Galveston Community Recovery Committee (GCRC) with  
426 330 members. The goals of this large participatory initiative were to unify recovery  
427 efforts, achieve consensus on a recovery vision, and develop a long-term recovery  
428 plan. GCRC was intended to be inclusive and open, however, public housing tenants  
429 had a negligible presence in the committee meetings and many of the approximately  
430 20 African-American participants had to divide their time between the Northside  
431 Galveston Taskforce, a minority advocacy group and GCRC (Lord, 2011). Not  
432 surprisingly, public housing remained marginal to GCRC concerns and discussions,

433 and the committee's Long Term Recovery Plan failed to address either public  
434 housing or affordable housing issues.

### 435 **Social vulnerability and barriers to participation**

436 This study began by asking why the demolished public housing units in Galveston  
437 had not been replaced fully almost a decade after Ike. We were particularly interested  
438 in how the conditions of social vulnerability before the storm contributed to unequal  
439 housing outcomes during recovery. We found that social vulnerability limited  
440 participation through three distinct mechanisms: the physical displacement of public  
441 housing residents, the stigmatization of public housing, and the reduction of  
442 residents to housing units in the debates.

### 443 Out of sight, out of mind

444 The limited presence of former residents in the local public housing debates partially  
445 explains the failure to rebuild public housing. Residents of public housing,  
446 disproportionately African-American and low income, had very limited political  
447 influence in the debates. There was a sense that Galveston's elite, sometimes referred  
448 to as Born on the Island (BOI) and from wealthier local families, are the only group  
449 who can influence decisions and pursuing their interests in recovery. Although BOI  
450 referred to wealthy locals in the public vernacular, in reality many public housing  
451 residents were BOI as well, with connections to the Island that went back  
452 generations.

453 Physical displacement outside the Island significantly limited the ability of  
454 displaced residents to participate in the public housing debates. One of the local  
455 reverends from a church on the North Side, where all four public housing  
456 developments were located, described for us the absence of displaced residents in  
457 debates:

458 *My neighborhood are all gone... And those who are gone can't come back*  
459 *because there is no transportation ... the ones that are going to be affected*  
460 *the most, are the ones that [can't come back]... They never went back to get*  
461 *them. ... You're stuck. You grab whatever you can take on that bus. ... If a*  
462 *percentage of people who are actually for it [rebuilding public housing], are*  
463 *not here, who else is going to be back? ... Because everybody else is against*  
464 *it. So if you have more against, and they are the ones who are present ... [they]*  
465 *are the majority ... and in a democratic [system] who's going to win that? The*  
466 *majority. Because you've got the voting power, you've got everything.*

467 After reviewing all of the City Council and GHA meetings when public  
468 housing issues were discussed, we found only one instance of a former resident of  
469 the demolished units (self-identified) speaking about replacement of lost units. As

470 shown in Table 5, during the City Council meetings about public housing 49 people  
471 spoke in support of rebuilding either public housing units or in support of the mixed  
472 income scheme. Majority of those people were residents of Galveston that lived in  
473 private housing or in other forms of government assisted housing on the island such  
474 as the elderly housing developments owned by GHA. However, none of those  
475 supporters identified as a former resident. From the 18 people that spoke in support  
476 of public housing during GHA meetings or press conferences, seven were Galveston  
477 residents and two among them were former resident of the demolished developments  
478 who expressed their need for returning home.

479

[Table 5 about here]

481

482 When GHA decided to demolish their public housing developments, housing  
483 advocates such as LSLA and TLIHIS became involved. They worked to preserve  
484 the rights and pursue the interest of tenants through legal actions such as the  
485 Conciliation Agreement. That settlement also included an agreement from GHA to  
486 “meet and consult with the displaced tenants’ representative on at least a quarterly  
487 basis regarding the planning and implementation of the demolition and replacement”  
488 (National Housing Law Project, 2009). Nevertheless, advocates were not always  
489 present or included in local deliberations where some Galveston residents took  
490 strong stands against rebuilding or the construction of mixed income developments  
491 in lieu of public housing. In a strong anti-public housing environment, even victories  
492 of the advocates were difficult to enforce without local political support. For  
493 example, GHA’s 2012 rebuilding plan made a dramatic departure away from the  
494 terms of the Conciliation Agreement. Although the original agreement with HUD  
495 was between GHA and LSLA, the new terms contained in GHA’s 2012 plan were  
496 not negotiated with LSLA and had not been approved by LSLA (LSLA v. GHA,  
497 2013). As a result, even strong advocacy on the behalf of residents was not enough  
498 to fill the void created by the absence of the residents themselves.

499

500 Using stigma to win: move the weak out and let the strong move in

501 The presence of stigma related to public housing, even post disaster, is well-  
502 established. After Katrina, Baton Rouge area GOP Congressman Richard Baker  
503 exulted to the New Orleans Times-Picayune, “We finally cleaned up public housing  
504 in New Orleans... We couldn’t do it, but God did” (Hirsch & Levert, 2009, p. 212).  
505 This stigma is often race and class-based, but also reflects a larger uneasiness with

506 public housing itself, which has always been marginal within the provisions of the  
507 US welfare state (Hackworth, 2006).

508 Even though public housing residents suffered disaster losses, they were often  
509 not considered equally deserving of the right to return home as other Galvestonians.  
510 Opponents of rebuilding used the negative perceptions of public housing to put their  
511 return against successful recovery for the Island. Several interviewees and local  
512 reporters connected opposition to rebuilding public housing to racism. Based on the  
513 high percentage of people of color in GHA's public housing developments and on  
514 the waiting list, they described GHA's failure to replace all demolished units as a  
515 continuation of racial exclusion and discrimination. According to the former chair  
516 of a local philanthropic organization,

517 ... [R]ight after the storm, some people thought that "this is great, we got rid  
518 of all this blighted ugly places, let's just not bring it back. And that has  
519 degenerated into a racist classist conversation that is very unpleasant.

520 Petitions and campaigns for blocking GHA rebuilding plans with comments  
521 like the followings demonstrate that racial and class-based stigmas played a role in  
522 objecting to public housing recovery.

523 "The island is a tourist destination and the public housing unfortunately was  
524 linked with crime, prostitution, drugs, vagrancy, public drunkenness and  
525 loitering in city streets. People felt unsafe especially at night in some areas...  
526 Galveston was a dump before Ike and will be a dump after Ike if it is not cleaned  
527 up and the people removed that are sucking the life out of the island." (As cited  
528 in TLIHIS and Texas Appleseed v. State of Texas, 2009).

529 One of the leading local voices against rebuilding described the return of  
530 public housing residents in this way, "this is lose-lose. These people are low income  
531 minorities getting here, they have a bad life. It's bad for all of us. Because they don't  
532 do well they get into crime and things like that." Such stigmas were perceived by  
533 displaced residents as tools for exclusion. A former resident of Cedar Terrace  
534 described active opposition against rebuilding as a method of excluding low income  
535 people from the future of the Island: "They want the people who've lived here the  
536 majority of their lives to stay out. They want the tourists to come back. Move the  
537 weak out and let the strong move in (As cited in Wilder, 2008)."

### 538 Disaster victims reduced to housing units

539 Displaced and stigmatized public housing residents had little standing in many of  
540 the heated arguments both for and against rebuilding. These arguments tended to  
541 focus on the benefits or losses that Galveston as a whole would experience from  
542 replacing public housing units; whereas benefits and losses to the displaced residents

543 were often absent from the debates. This subtle distinction is important. In this  
544 discourse, displaced residents were reduced to housing units. The debate over  
545 rebuilding became a disagreement over the number and type of units to be  
546 constructed rather than returning residents to their homes. The people themselves,  
547 already marginalized because of their poverty, race, and housing tenure before Ike,  
548 were further dehumanized and marginalized after the disaster in the face of broader  
549 concerns over the strength of the local housing market and the economic well-being  
550 of the city.

551 Much of the debates over rebuilding public housing was concerned with its  
552 benefits to housing market in Galveston instead of displaced residents. One of the  
553 arguments used against proposals to rebuild subsidized housing was that the high  
554 percentage of vacant properties before the storm meant that there was no demand for  
555 new affordable housing units in Galveston. Opponents suggested that "*The agency*  
556 *[GHA] should consider whether it's appropriate to rebuild any subsidized housing*  
557 *on an island with so much vacant property* (TLIHIS and Texas Appleseed v. State  
558 of Texas, 2009)." While vacancy rate was approximately 30% before Hurricane  
559 Ike<sup>10</sup>, it was noted by housing advocates and local planners that such high vacancy  
560 did not necessarily reflect oversupply of habitable and available rental properties. A  
561 sizeable proportion of vacant properties were either not well-maintained or were  
562 only available for occasional rent in the tourist seasonal rental market. This  
563 perspective expressed concern with the well-being of Galveston's private  
564 multifamily rental housing market and argued that because multifamily landlords  
565 and developers suffered losses from Ike they could not compete in price and quality  
566 with mixed income developments funded by tax dollars. These free market  
567 proponents argued that it should be private developers creating affordable housing  
568 in Galveston, not public entities such as the GHA (Oakley & Ruel, 2010). But  
569 housing market in Galveston was expanding high end vacation home developments,  
570 and clearly failed or were not interested in providing affordable housing for both  
571 middle income and lower income households.

572 One of the long-standing proposals from the removal campaign was giving  
573 displaced residents vouchers so they can decide whether to live in Galveston  
574 \_\_ where opponnets claimed job opportunities are scarece \_\_ or elsewhere with more  
575 job opportunities and lower risk of hurricanes. According to Lewis Rosen, who ran  
576 for Mayor in 2012 promising to block rebuilding plans, "*The Housing Authority*  
577 *should not be in the business of building homes, especially where we don't have job*  
578 *opportunities for people. We need to provide housing for people who have the*  
579 *opportunity to move where the jobs are. And we can do that through vouchers.*" (As

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<sup>10</sup> According to 2007 3-year ACS residential vacancy was estimated at 28.9% with a margin of error of 2.4%.



580 cited in Pitman, 2012). However, without quality affordable housing in Galveston  
581 or nearby, vouchers would have failed at providing meaningful choice for displaced  
582 residents, especially those employed in low wage tourism jobs on the Island (Smith,  
583 2012).

584 Public housing rebuilding plans were often evaluated based on goals other  
585 than helping displaced residents. When GHA changed their rebuilding plan in  
586 2011—increasing the number of market rate and scattered site units and reducing  
587 the number of public housing units at the original sites—the mayor at the time was  
588 leading a push to attract middle income professionals and revitalize the city. He  
589 argued that replacing public housing with mixed income developments would help  
590 both the city and low income residents, “[Hurricane Ike] gave the city a rare  
591 opportunity to start fresh by bulldozing projects that ... shouldn't have been around  
592 as long as they were (Pitman, 2012).” Some business interests also saw mixed  
593 income developments in the downtown area as an opportunity to attract more tourists  
594 to Historic Downtown and facilitate economic development. A downtown-seaport  
595 partnership expressed interest in collaborating with GHA to redevelop Magnolia  
596 Homes as part of a larger push to improve downtown “by putting into the mix  
597 opening up the streets so it’s not a fortress and is walkable and livable (White,  
598 2010).”

599 To the extent that the interests of public housing residents were present in this  
600 debate, it was focused on ways that redevelopment might provide them with a  
601 different, and therefore better future. Supporters argued that these communities  
602 would provide low income residents with new opportunities to climb out of poverty.  
603 They frequently referenced successful mixed income developments in places like  
604 Atlanta and New Orleans, but made little mention of the small percentages of public  
605 housing residents that returned after construction (Graham, 2012). With the debate  
606 focused on new construction, advocates for public housing residents were left with  
607 the reality of further delays before displaced residents would return to Galveston. As  
608 one advocate stated, “I’ll bet you a nickel that 24 months from today there will not  
609 be a shovel turned (As cited in Smith, 2012).”

610 Interests of displaced residents were of secondary importance and  
611 misrepresented in the public housing debates. The lack of meaningful participation  
612 from former residents allowed mixed income proponents to present their plan as the  
613 only solution that can benefit the poor. The removal campaign often argued against  
614 bringing displaced residents back to hazard-prone and low opportunity  
615 neighborhoods of Galveston. In a petition against rebuilding public housing on the  
616 Island signed by more than 2000 people, some people expressed concerns related to  
617 flood risk: “The Island is in a flood zone and is not an appropriate place for public  
618 housing as everyone has seen after Hurricane Ike. Building structures on the island

619 *is more costly because of hurricane building standards and insurance is much more*  
620 *costly. Evacuating low income residents is costly and dangerous to everyone*  
621 *involved.”*(As cited in Stanton, 2009). The removal policy agenda often ignored  
622 possibility of using effective building and design strategies that can mitigate risk of  
623 hazards particularly hurricanness to residents of coastal areas, high or low income. In  
624 addition, the same group rarely discussed implications of high risk of hurricanes on  
625 the Island for bringing back private homeowners or other recovery projects in  
626 Galveston.

627

628 **Conclusion: vulnerable not only to disasters but also to local politics aimed at**  
629 **eliminating affordable housing**

630 Galveston provided a particularly appropriate case to understand lack of  
631 representation for vulnerable population in recovery because the poor were  
632 physically displaced outside the barrier island. Our study shows that being  
633 vulnerable means more than living in hazardous areas and having limited access to  
634 recovery resources. It also implies less control and representation in decisions about  
635 one’s recovery. Hence making recovery of those people a political contest as what  
636 is in the best interest of the city rather than what is in the best interest of residents.  
637 Consequently, displaced residents of public housing are not seen as disaster victims  
638 like everyone else, but as the government assisted units they lived in. Rebuilding  
639 that unit is the issue of discussion rather than supporting displaced residents to  
640 recover.

641 Without adequate affordable housing in Galveston, public housing residents  
642 were forced to move away from established roots and out of the city of Galveston.  
643 The burden of GHA’s conduct fell disproportionately on people of color and on  
644 families with children (LSLA v. GHA, 2013). The effects of this population decline  
645 is evident in local community centers in African-American neighborhoods. Burkley,  
646 the pastor of Mt. Olive Missionary Baptist Church, an African-American  
647 congregation near the former public housing sites and many Ike-damaged abandoned  
648 rental homes described this loss as a race and class issue:

649 *“I’m suffering big time. Members that I had were all gone. We have a smaller*  
650 *number of members at church. You just can’t make the determination and say we’re*  
651 *not going to let this group of people come back. Because they are the worst set of*  
652 *folks.”*

653 Although housing advocates were vocal against both removal of public  
654 housing and mixed income schemes, they had little influence in local recovery  
655 debates. In the local representation vacuum from displaced residents as the main  
656 stakeholders of public housing debates, several agendas filled the void by proposing

657 plans and claiming to be pursuing the best for those residents and the city. Our  
658 analysis shows how lack of representation and stigmas attached to this vulnerable  
659 population provided an opportunity to pursue different plans without considering  
660 their impacts on displaced residents. Socially vulnerable populations face significant  
661 barriers in participating in post-disaster discourse and as a result, face even greater  
662 barriers to housing recovery. This study demonstrated that while it is important that  
663 all groups have access to and can influence recovery decisions, it is even more  
664 important to secure that access and influence for groups that are targeted for  
665 elimination such as residents of public housing.

666

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915 *Table 1 Families in the GHA Public Housing Units, 2008*

	# of families	% of total families
Total # of families	852	
Extremely low income (<=30% AMI)	694	82%
Very low income (>30% but <=50% AMI)	128	15%
Low income (>50% but <80% AMI)	23	3%
Families with children	727	86%
Elderly families	325	39%
Families with Disabilities	359	42%
Race/ethnicity		
1. White	274	32%
2. Black	573	67%
3. Native American	9	1%
4. American Asian	0	0%

Source: GHA 5 year Plan for FFU 2008 -20012 (GHA FFY 2009 – 2013)

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918 *Table 2 Families on the Public Housing and the Section 8 Tenant-based Assistance Waiting List, 2008*

	Public Housing		Section 8	
	# of families	% of total families	# of families	% of total families
Waiting list total	852		824	
Extremely low income (<=30% AMI)	790	93%	598	73%
Very low income (>30% but <=50% AMI)	56	7%	182	22%
Low income (>50% but <80% AMI)	5	.6%	361	4%
Families with children	395	46%	324	40%
Elderly families*	17	2%	1	.2%
Families with Disabilities	114	13.38%	15	2%
Race/ethnicity				
1. White	331	39%	183	22%
2. Black	487	57%	629	76%
3. Native American	12	1.4%	4	.5%
4. American Asian	1	0.1%	1	.1%

Sources: GHA 5 year Plan for FFU 2008 -20012 (GHA FFY 2009 – 2013)

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921 *Table 3 Change in population composition after Hurricane Ike*

	<b>Absolute change ACS 2007-ACS 2010</b>	<b>MOE</b>	<b>% change ACS 2007-ACS 2010</b>
Total	-4961	±2568	-9.2%
Hispanic	631	±2433	4.2%
Not-Hispanic White	-2967	±2146	-11.8%
Not-Hispanic Black	-2367	±1723	-22.0%
Not-Hispanic other	-258	±917	-8.7%

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924 *Table 4 GHA replacement plans*

<b>GHA Plan year</b>	<b>New units</b>	<b>On the same footprints</b>	<b>Scatter-site</b>
2009	1,500		
2009	569	390	179
2009	569	340	229
2011			247
2011 mixed income	569	51% public /49% market	
2012 mixed income	141	51% public /49% market	288 in Galveston; 100 Off the Island

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927 *Table 5 people speaking in support of rebuilding public housing, 2008-2014*

<b>Public Meetings</b>	<b>Total # people speaking</b>	<b># Galveston residents</b>	<b># former public housing residents</b>	<b># organizations rep. or officials</b>
Galveston City Council	49	31	0	18
Galveston Housing Authority	18	7	2	9

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