

# Soluble Amyloid $\beta$ Peptide Concentration as a Predictor of Synaptic Change in Alzheimer's Disease

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**We have characterized amyloid  $\beta$  peptide ( $A\beta$ ) concentration,  $A\beta$  deposition, paired helical filament formation, cerebrovascular amyloid angiopathy, apolipoprotein E (ApoE) allotype, and synaptophysin concentration in entorhinal cortex and superior frontal gyrus of normal elderly control (ND) patients, Alzheimer's disease (AD) patients, and high pathology control (HPC) patients who meet pathological criteria for AD but show no synapse loss or overt antemortem symptoms of dementia. The measures of  $A\beta$  deposition,  $A\beta$ -immunoreactive plaques with and without cores, thioflavin histofluorescent plaques, and concentrations of insoluble  $A\beta$ , failed to distinguish HPC from AD patients and were poor correlates of synaptic change. By contrast, concentrations of soluble  $A\beta$  clearly distinguished HPC from AD patients and were a strong inverse correlate of synapse loss. Further investigation revealed that  $A\beta_{40}$ , whether in soluble or insoluble form, was a particularly useful measure for classifying ND, HPC, and AD patients compared with  $A\beta_{42}$ .  $A\beta_{40}$  is known to be elevated in cerebrovascular amyloid deposits, and  $A\beta_{40}$  (but not  $A\beta_{42}$ ) levels, cerebrovascular amyloid angiopathy, and ApoE4 allele frequency were all highly correlated with each other. Although paired helical filaments in the form of neurofibrillary tangles or a penumbra of neurites surrounding amyloid cores also distinguished HPC from AD patients, they were less robust predictors of synapse change compared with soluble  $A\beta$ , particularly soluble  $A\beta_{40}$ . Previous experiments attempting to relate  $A\beta$  deposition to the neurodegeneration that underlies AD dementia may have failed because they assayed the classical, visible forms of the molecule, insoluble neuropil plaques, rather than the soluble, unseen forms of the molecule. (*Am J Pathol* 1999, 155:853–862)**

Several previous studies<sup>1–5</sup> have reported observing patients who had no overt symptoms of dementia antemortem but, at autopsy, were found to have profuse plaques and tangles sufficient to otherwise qualify for the diagnosis of Alzheimer's disease (AD). Based on these characteristics, we have termed such patients high pathology controls (HPCs) as compared with the usual nondemented elderly controls (ND) who come to autopsy without history of dementia or significant AD pathological findings.<sup>5</sup>

HPC patients may be of great importance in unraveling the relative contributions and sequencing of different postmortem pathological features in AD neurodegeneration and dementia. In some cases, for example, they appear to be very early AD patients just at the margins of expression of overt clinical disease.<sup>2</sup> We have also found that HPC patients show little or no evidence of neocortical or limbic synapse loss,<sup>5</sup> underscoring the critical relationship of neuritic degeneration to dementia. Similarly, by seeking other AD pathophysiological processes that are not extant or not yet fully extant in HPC patients, insight into their significance for the clinical expression of AD may be gained.

Finally, the inclusion of HPC patients in AD studies may offer advantages for correlational statistics because these patients often provide an intermediate subset between ND and AD groups. In scatter plots depicting plaque counts *versus* dementia scores, for example, the data for ND and AD patients tend to cluster at extremes (by definition, ND patients have few plaques and low dementia scores and AD patients have many plaques and high dementia scores).<sup>6</sup> Under these circumstances, correlational statistics essentially draw a straight line connecting the two clusters of data as if there were a continuum of points between them, leading to the potentially spurious inference that the more plaques patients have the more demented they will become.<sup>7</sup> In fact, if one looks at the data for either group alone, the correlation may not be significant at all. For this reason, the ability of HPC patients to provide a more continuous spectrum of data between ND and AD patients lends increased substance to correlational inferences about those groups.

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Supported by NIA AGO7367 (J. Rogers), NIA AG11925 (A. Roher), the Arizona Alzheimer's Center (A. Roher, Y. Shen, and T. Beach), and the Alzheimer's Association (J. Rogers and T. Beach).

Accepted for publication May 12, 1999.

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Similarly, the demonstration that a significant correlation across several experimental groups continues to hold when only one group or another is examined also strengthens any within-subjects inferences that might be drawn from the data.

In the present research, we quantified amyloid  $\beta$  peptide ( $A\beta$ ) concentrations, plaque type, apolipoprotein E (ApoE) allele frequency, cerebrovascular amyloid angiopathy, and paired helical filament formation in entorhinal cortex and superior frontal gyrus of ND, HPC, and AD patients. In contrast to previous qualitative observations wherein an absence of cored  $A\beta$  deposits was suggested to discriminate HPC from AD patients,<sup>3,8</sup> we found the type and number of  $A\beta$  deposits to be similar in these groups. Rather, of the many variables studied, soluble  $A\beta$  concentrations best distinguished HPC from AD patients. Soluble  $A\beta_{40}$ , in particular, was a very robust predictor of synapse loss, giving significant inverse correlations over all patients and within the AD group alone.

## Materials and Methods

### Patient Samples

From among 124 routine brain autopsies of ND patients without prior medical history of dementia, eight were obtained that appeared to exhibit sufficient neocortex  $A\beta$  plaques and entorhinal cortex neurofibrillary tangles to otherwise qualify for the diagnosis of AD.<sup>9</sup> These HPC patients were contrasted with eight randomly selected ND patients who had limited AD pathology and no prior medical history of dementia and eight randomly selected AD patients who had previously received a clinical diagnosis of probable AD that was confirmed neuropathologically at autopsy. *Post hoc* evaluation of the samples by a neuropathologist (T. Beach) using CERAD pathological criteria<sup>9</sup> and Braak staging<sup>10</sup> confirmed the initial assignment of patients to groups with the possible exception of one ND patient who might well have qualified for the HPC group and one HPC patient who could equally have been assigned to the ND group. Since 1) the evaluations were *post hoc*, 2) the primary intent of including an HPC group was to provide a more continuous range of pathology than afforded by ND and AD patients only, and 3) the statistical assessments were not materially affected by reassigning the patients, the original assignments were maintained. The ND, HPC, and AD patients evaluated here represent a second sample from our autopsy population and differ from those we previously tested for inflammatory correlates of dementia.<sup>5</sup> Absence of material symptoms of dementia in HPC and ND patients was confirmed by reference to medical records and interviews with relatives, attending physicians, and nurses. Nonetheless, in the absence of more definitive premortem cognitive status data, the present research focuses on a correlate of dementia that is quantifiable postmortem, synapse loss,<sup>11,12</sup> and not on dementia itself.

### Brain Samples and Processing

Brains were removed at autopsy, weighed, and immersed in ice-cold 0.1 mol/L phosphate buffer (pH 7.4). They were then sectioned coronally at 1-cm intervals, and blocks of the entorhinal cortex (including the transentorhinal area) at the level of the anterior hippocampus and superior frontal gyrus at the level of the genu of the corpus callosum were dissected. These samples were post-fixed for 24 to 36 hours in ice-cold 4% buffered paraformaldehyde (pH 7.4), cut at 40  $\mu$ m on a freezing microtome or paraffin embedded and cut at 6  $\mu$ m on a rotary microtome, and subjected to histochemical and immunohistochemical procedures. Ventricular cerebrospinal fluid (CSF) samples and contralateral entorhinal cortex and superior frontal gyrus samples were snap frozen and stored at  $-80^{\circ}\text{C}$  until assay by ELISA and other methods.

### Soluble and Insoluble $A\beta_{40}$ and $A\beta_{42}$ Europium Immunoassay (EulA)

As previously described,<sup>13</sup> soluble and insoluble  $A\beta_{40}$  and  $A\beta_{42}$  were measured using EulA methods, with rabbit polyclonal antisera R163 and R165 (P. Metha, New York Institute for Mental Disabilities, Staten Island, NY) as capture antibodies for  $A\beta_{40}$  and  $A\beta_{42}$ , respectively, and Europium-labeled mouse monoclonal antibody 4G8 (Senetec & Mease, Maryland, MO) as the detection antibody. Data for total soluble  $A\beta$  ( $A\beta_{40}$  plus  $A\beta_{42}$ ), total insoluble  $A\beta$  ( $A\beta_{40}$  plus  $A\beta_{42}$ ), and  $A\beta_{40}$  and  $A\beta_{42}$  alone were evaluated. We note that, while correctly distinguishing the  $A\beta_{40}$  from the  $A\beta_{42}$  carboxy terminus of the peptides, our EulA assay does not discriminate full-length  $A\beta$  from  $A\beta$  fragments. Such a discrimination could be an important target for future research, as  $A\beta_{17-42}$ , for example, has been reported to be the major constituent of the diffuse plaque.<sup>14</sup> The soluble and insoluble  $A\beta$  fractions were prepared by finely mincing 200 mg of unfixed entorhinal cortex or superior frontal gyrus from each patient, followed by homogenization in a glass Ten Broeck tissue grinder in the presence of 4 ml of 20 mmol/L Tris/HCl buffer (pH 7.4) containing 3 mmol/L EDTA, 500  $\mu$ g/L leupeptin, 700  $\mu$ g/L pepstatin, 350 mg/L phenylmethylsulfonyl fluoride, 100 mg/L 1,10-phenanthroline, and 100 mg/L benzamidine. The brain homogenates were spun at  $220,000 \times g$  in polyallomer tubes in a Sorval AH-650 rotor for 1 hour at  $4^{\circ}\text{C}$ . For the estimation of soluble  $A\beta$ , an aliquot of 100  $\mu$ l of supernatant was assayed by EulA, as described above. For insoluble  $A\beta$  quantitation, the pellet was dissolved in 5 ml of 98% glass-distilled formic acid and centrifuged at  $220,000 \times g$  for 30 minutes at  $4^{\circ}\text{C}$ . An aliquot of 50  $\mu$ l was diluted 10X with 0.25 mol/L Tris/HCl (pH 7.4) plus 30% acetonitrile, then pH adjusted to 7.4 with 10 N NaOH using a microelectrode. After further dilution (5X) with 20 mmol/L Tris/HCl buffer plus 0.05% Tween-20, an aliquot of 100  $\mu$ l was submitted for EulA. Because the factors that determine  $A\beta$  solubility in tissue are incompletely understood, the techniques used here necessarily establish a working

definition of soluble *versus* insoluble A $\beta$ , as in previous studies.<sup>15</sup> That is, those molecules that remain in the aqueous supernatant after centrifugation at 220,000  $\times g$  for 1 hour are considered as soluble A $\beta$ , and those A $\beta$  aggregates that sediment under these conditions are considered as insoluble A $\beta$ .<sup>15</sup> By ultrafiltration and molecular sieving the soluble fraction appears to contain A $\beta$  oligomers that fall within the following size ranges: >100 kd, 30 to 100 kd, 10 to 30 kd, and <10 kd, corresponding to assemblies of >22 molecules, 7 to 22 molecules, 3 to 7 molecules, and monomers/dimers.<sup>15</sup>

### CSF A $\beta$ 42

CSF samples were submitted blind to Athena Diagnostics, (Worcester, MA), and levels of A $\beta$ 42 were quantified as previously described.<sup>16</sup>

### Immunohistochemistry and Quantification of Plaque Type, Cerebrovascular Amyloid Angiopathy, and Paired Helical Filament Formation

Routine thioflavin S histofluorescence staining to reveal A $\beta$  deposits and neurofibrillary pathology were performed on the entorhinal cortex and superior frontal gyrus sections. Immunohistochemistry of A $\beta$  used mouse monoclonal antibodies 6E10 and 4G8 from Senetek & Mease at 1:500 and 1:1000 dilutions, respectively. No material differences were observed in staining by these antibodies, and the mean is presented here. A $\beta$  deposits were distinguished as having a discernible A $\beta$ -immunoreactive dense core surrounded by A $\beta$ -immunoreactive neurites or as having diffuse A $\beta$  immunoreactivity only.<sup>17</sup> Paired helical filament immunohistochemistry used anti-PHF1 antibody (gift of S. Greenberg) at a 1:500 dilution to reveal penumbras of presumably dystrophic neurites surrounding amyloid cores.<sup>18,19</sup> All immunohistochemistry was performed free-floating at the same time and in the same wells for ND, HPC, and AD patients. As in our previous research,<sup>5</sup> counts of the various elements at  $\times 100$  final magnification were recorded by an observer blind to disease state. For each section, two columns of five nonoverlapping fields stretching from the pial surface to the beginning of the white matter were assayed. The columns were located approximately one-third of the way in from the beginning and end of each structure. Cerebrovascular amyloid angiopathy was quantified by a blind observer on a scale of 0 to 3, with 0 representing no visible vascular-associated A $\beta$  deposits and 3 representing profuse deposition. Representative examples of thioflavin histofluorescent plaques and tangles, A $\beta$ -immunoreactive plaques with and without cores, paired helical filament occurrence around plaques, and cerebrovascular amyloid angiopathy are given in Figure 1.

### Synaptic Density Estimates

Protein extracts from entorhinal cortex and superior frontal gyrus homogenates of ND, HPC, and AD patients

were subjected to standard synaptophysin Western blot analysis and densitometry as previously described.<sup>5</sup>

### Apolipoprotein E Allele Frequency

Genomic DNA was extracted<sup>20</sup> from approximately 50-mg cerebellar samples from each patient. The purified DNA was then subjected to PCR amplification using allele-specific oligonucleotide probes that span positions 112 and 158 of the ApoE locus. Amplified ApoE PCR products were digested with restriction enzyme *HhaI* and electrophoresed for classification on polyacrylamide gels.<sup>21</sup>

### Statistics

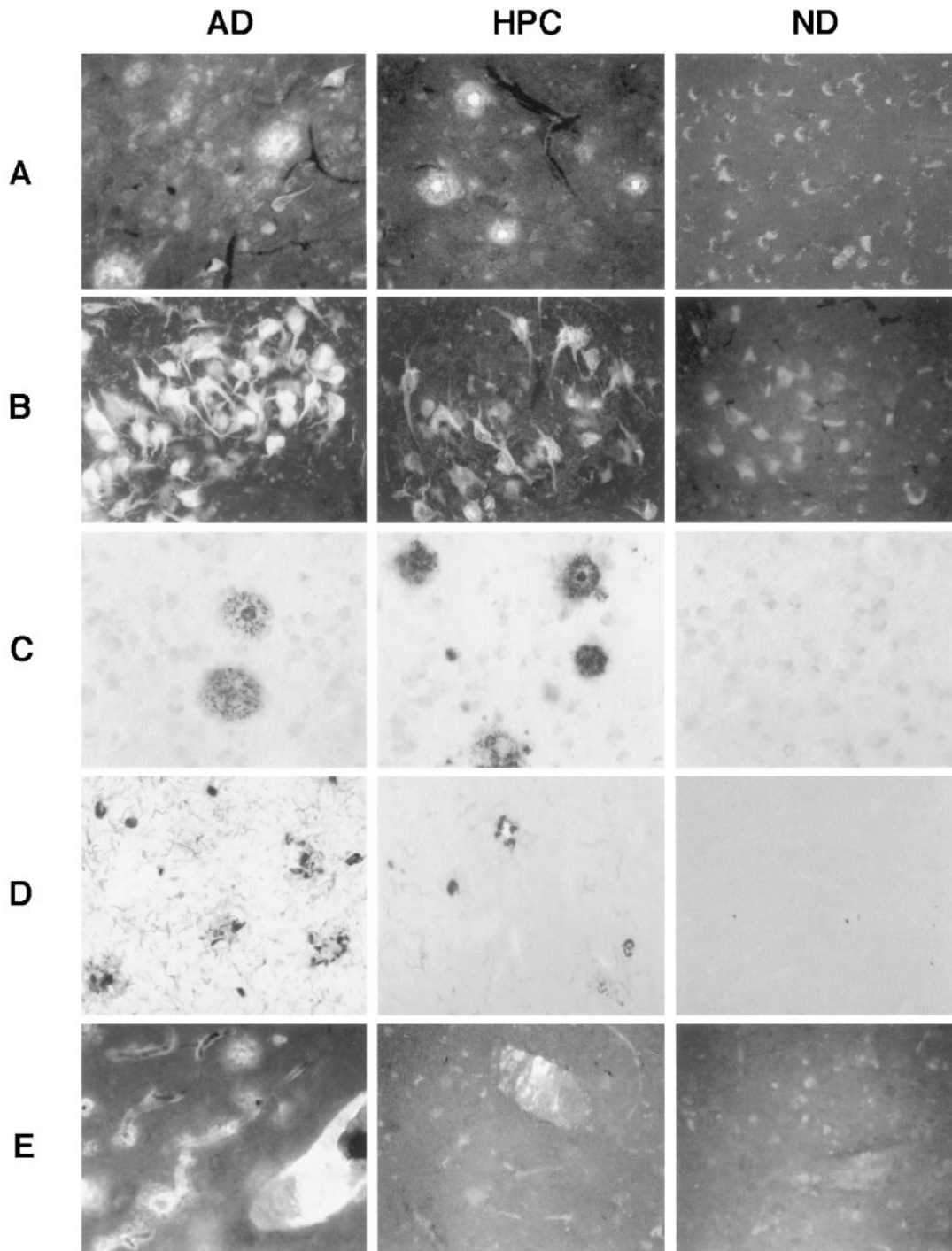
Parametric outcome measures that could be assayed in both entorhinal cortex and superior frontal gyrus were first evaluated by two-way repeated measures analysis of variance (ANOVA), with disease state (AD, HPC, or ND) as the first factor and brain region (entorhinal cortex or superior frontal gyrus) as the second, repeated-measures factor. For variables with overall significance, comparisons were then made between HPC and ND patients only and between HPC and AD patients only using the same two-way repeated-measures ANOVA approach. Nonparametric outcome measures (cerebrovascular amyloid angiopathy, ApoE allele frequency) were evaluated by the Kruskal-Wallis statistic (comparisons across all three groups) and the Mann-Whitney U statistic (comparisons between two groups). Correlations of *a priori* interest were performed using Pearson's *R* statistic. To ensure that significant correlations did not result simply from an anchoring of the data at one extreme by ND patients, Pearson's tests were re-run using HPC and AD patients only. The CSF A $\beta$ 42 data point for one patient was excluded from analysis because it exhibited a mean value more than seven standard deviations from the other patients and more than twice that of the next highest patient. This exclusion altered the significance test by ANOVA from  $P < 0.10$  to  $P < 0.01$ . We note that previous studies have found significant differences in CSF A $\beta$ 42 when AD patients were compared with ND patients (cf Ref. 16).

### Results

#### Patient Entry Characteristics

Summary data for the experiments are given in Table 1, including patient entry statistics. Patients in the AD, HPC, and ND groups were well matched, with statistically similar values for age, gender, postmortem interval, and length of sample storage. For ND patients, the median Braak stage was II, the median CERAD plaque score was 0, and the median CERAD neuropathology diagnosis was "not AD". For HPC patients, the median Braak stage was IV, the median CERAD plaque score was B, and the median CERAD neuropathology diagnosis was "possible AD". For AD patients, the median Braak stage was VI, the





**Figure 1.** Representative examples in AD patients (left panels), HPC patients (middle panels), and ND patients (right panels) of thioflavin histo-fluorescent plaques (A) and tangles (B), Aβ immunoreactive plaques with and without cores (C), paired helical filament occurrence around plaques (D), and cerebrovascular amyloid angiopathy (E).

median CERAD plaque score was C, and the median CERAD neuropathology diagnosis was “definite AD”.

#### *Synaptic Density*

As in our previous study,<sup>5</sup> HPC patients had significantly higher (>36%) synaptophysin immunoreactivity on Western blot analysis compared with AD patients ( $F_{1,14} =$

25.8,  $P < 0.0003$ ), whereas they did not differ from ND patients on this measure ( $F_{1,14} = 1.9$ ,  $P > 0.50$ , 7% difference; Table 1).

#### *Aβ Deposition*

The HPC group exhibited significantly greater numbers of thioflavin histo-fluorescent plaques (>1200%;  $F_{1,14} = 35.2$ ,

Table 1. Patient Entry and Experimental Data ( $\bar{X} \pm \text{SEM}$ )

Variable	Patient condition		
	ND	HPC	AD
Age (years)	80 $\pm$ 2	82 $\pm$ 3	81 $\pm$ 2
Sex (M/F)	6/2	6/2	6/2
CSF A $\beta$ 42 (pg/ml)	232.4 $\pm$ 25.0	224.8 $\pm$ 18.8	162.9 $\pm$ 2.9
Autolysis (hours)	3.1 $\pm$ 0.4	3.7 $\pm$ 0.9	3.5 $\pm$ 0.2
Brain weight (g)	1271.9 $\pm$ 50.2	1155.0 $\pm$ 39.9	1112.5 $\pm$ 65.0
ApoE allele distribution			
E2/E2	00%	13%	00%
E2/E3	25%	00%	00%
E3/E3	75%	50%	25%
E2/E4	00%	00%	00%
E3/E4	00%	38%	38%
E4/E4	00%	00%	38%
Entorhinal cortex			
Synaptophysin (OD)	8.8 $\pm$ 0.6	8.1 $\pm$ 0.6	5.9 $\pm$ 0.3
Insoluble A $\beta$ 40 ( $\mu$ g/g)	0.8 $\pm$ 0.3	1.4 $\pm$ 0.3	53.7 $\pm$ 25.9
Insoluble A $\beta$ 42 ( $\mu$ g/g)	8.3 $\pm$ 4.7	64.2 $\pm$ 10.8	117.3 $\pm$ 18.1
Soluble A $\beta$ 40 (pg/g)	1.9 $\pm$ 0.6	4.5 $\pm$ 0.9	66.5 $\pm$ 18.7
Soluble A $\beta$ 42 (pg/g)	0.0 $\pm$ 0.0	6.2 $\pm$ 3.0	15.5 $\pm$ 5.9
Thioflavin plaques (count/mm <sup>2</sup> )	0.4 $\pm$ 0.3	25.8 $\pm$ 4.5	46.6 $\pm$ 13.1
A $\beta$ plaques, no core (count/mm <sup>2</sup> )	0.8 $\pm$ 0.8	13.9 $\pm$ 2.5	17.9 $\pm$ 2.1
A $\beta$ plaques, core (count/mm <sup>2</sup> )	0.0 $\pm$ 0.0	0.5 $\pm$ 0.1	0.7 $\pm$ 0.2
PHF1 plaques (count/mm <sup>2</sup> )	1.1 $\pm$ 0.6	1.1 $\pm$ 0.4	4.5 $\pm$ 0.9
Amyloid angiopathy (0-3)	0.0 $\pm$ 0.0	0.1 $\pm$ 0.1	1.5 $\pm$ 0.5
Thioflavin tangles (count/mm <sup>2</sup> )	0.8 $\pm$ 0.3	12.3 $\pm$ 2.4	48.1 $\pm$ 11.2
Superior frontal gyrus			
Synaptophysin (OD)	10.2 $\pm$ 0.4	9.5 $\pm$ 0.4	7.0 $\pm$ 0.5
Insoluble A $\beta$ 40 ( $\mu$ g/g)	1.1 $\pm$ 0.3	7.4 $\pm$ 4.1	105.4 $\pm$ 40.2
Insoluble A $\beta$ 42 ( $\mu$ g/g)	11.9 $\pm$ 5.6	108.8 $\pm$ 9.1	142.1 $\pm$ 15.6
Soluble A $\beta$ 40 (pg/g)	2.5 $\pm$ 1.5	14.0 $\pm$ 6.2	103.8 $\pm$ 18.4
Soluble A $\beta$ 42 (pg/g)	0.0 $\pm$ 0.0	4.0 $\pm$ 2.7	6.7 $\pm$ 3.7
Thioflavin plaques (count/mm <sup>2</sup> )	7.3 $\pm$ 4.1	77.3 $\pm$ 12.3	76.6 $\pm$ 11.2
A $\beta$ plaques, no core (count/mm <sup>2</sup> )	0.0 $\pm$ 0.0	25.4 $\pm$ 4.6	31.2 $\pm$ 3.3
A $\beta$ plaques, core (count/mm <sup>2</sup> )	0.1 $\pm$ 0.1	2.2 $\pm$ 0.5	1.5 $\pm$ 0.5
PHF1 plaques (count/mm <sup>2</sup> )	0.3 $\pm$ 0.3	0.3 $\pm$ 0.2	3.8 $\pm$ 1.2
Amyloid angiopathy (0-3)	0.0 $\pm$ 0.0	0.8 $\pm$ 0.3	1.9 $\pm$ 0.5
Thioflavin tangles (count/mm <sup>2</sup> )	0.0 $\pm$ 0.0	0.1 $\pm$ 0.1	8.1 $\pm$ 3.8

$P < 0.0001$ ), plaques without cores ( $>4800\%$ ;  $F_{1,14} = 38.3$ ,  $P < 0.0001$ ), or plaques with cores ( $>2700\%$ ;  $F_{1,14} = 26.6$ ,  $P < 0.0002$ ) compared with the ND group, whereas these measures failed to distinguish HPC from AD patients. Indeed, HPC patients had only 16% fewer thioflavin-positive plaques ( $F_{1,14} = 0.6$ ,  $P > 0.50$ ), 20% fewer plaques without cores ( $F_{1,14} = 1.4$ ,  $P > 0.50$ ), and 27% more plaques with cores ( $F_{1,14} = 0.6$ ,  $P > 0.50$ ). Across the brain regions evaluated, none of these measures was a significant within-subjects correlate of synapse loss when all of the groups or the HPC and AD groups together were considered.

### Soluble and Insoluble A $\beta$ 40 and A $\beta$ 42 Concentrations (Figure 2)

Like the A $\beta$  plaques that can be seen under the microscope, concentrations of insoluble A $\beta$  (insoluble A $\beta$ 40 plus A $\beta$ 42) distinguished HPC from ND patients ( $F_{1,14} = 89.2$ ,  $P < 0.0001$ ), but failed to discriminate HPC from AD patients ( $F_{1,14} = 2.8$ ,  $P > 0.30$ ). Although in some instances there was a substantial difference among group means, insoluble A $\beta$  levels within groups were often highly variable. Mean insoluble A $\beta$ 40 in AD entorhi-

nal cortex, for example, was  $53.7 \pm 25.9 \mu\text{g/g}$ , some 38-fold higher than the mean for HPC entorhinal cortex. However, one-half of the AD patients actually had concentrations less than  $4 \mu\text{g/g}$ , substantially overlapping the values for HPC patients and leading to a nonsignificant comparison.

In contrast to the results for insoluble A $\beta$ , HPC patients had sevenfold higher soluble A $\beta$  concentrations than ND patients ( $F_{1,14} = 10.0$ ,  $P < 0.008$ ) and sevenfold lower soluble A $\beta$  concentrations than AD patients ( $F_{1,14} = 24.2$ ,  $P < 0.003$ ). Soluble A $\beta$  levels were a significant, inverse, within-subjects correlate of synapse loss regardless of whether all groups ( $r = -0.58$ ,  $P < 0.0001$ ) or the HPC and AD groups ( $r = -0.53$ ,  $P < 0.002$ ) were considered (Figure 3, A-C). The association of soluble A $\beta$ 40 with synapse changes was especially striking and could be observed in AD patients alone even in a single brain region (Figure 3D). Soluble A $\beta$ 42, measured in ventricular CSF, also distinguished HPC from AD ( $F_{1,14} = 9.3$ ,  $P < 0.01$ ) but not ND ( $F_{1,14} = 0.1$ ,  $P > 0.5$ ) patients.

With respect to A $\beta$  amino acid length, A $\beta$ 40, whether in the soluble or insoluble form, more consistently defined HPC and AD patients than A $\beta$ 42. For example, the comparison of HPC with AD patients barely reached signifi-

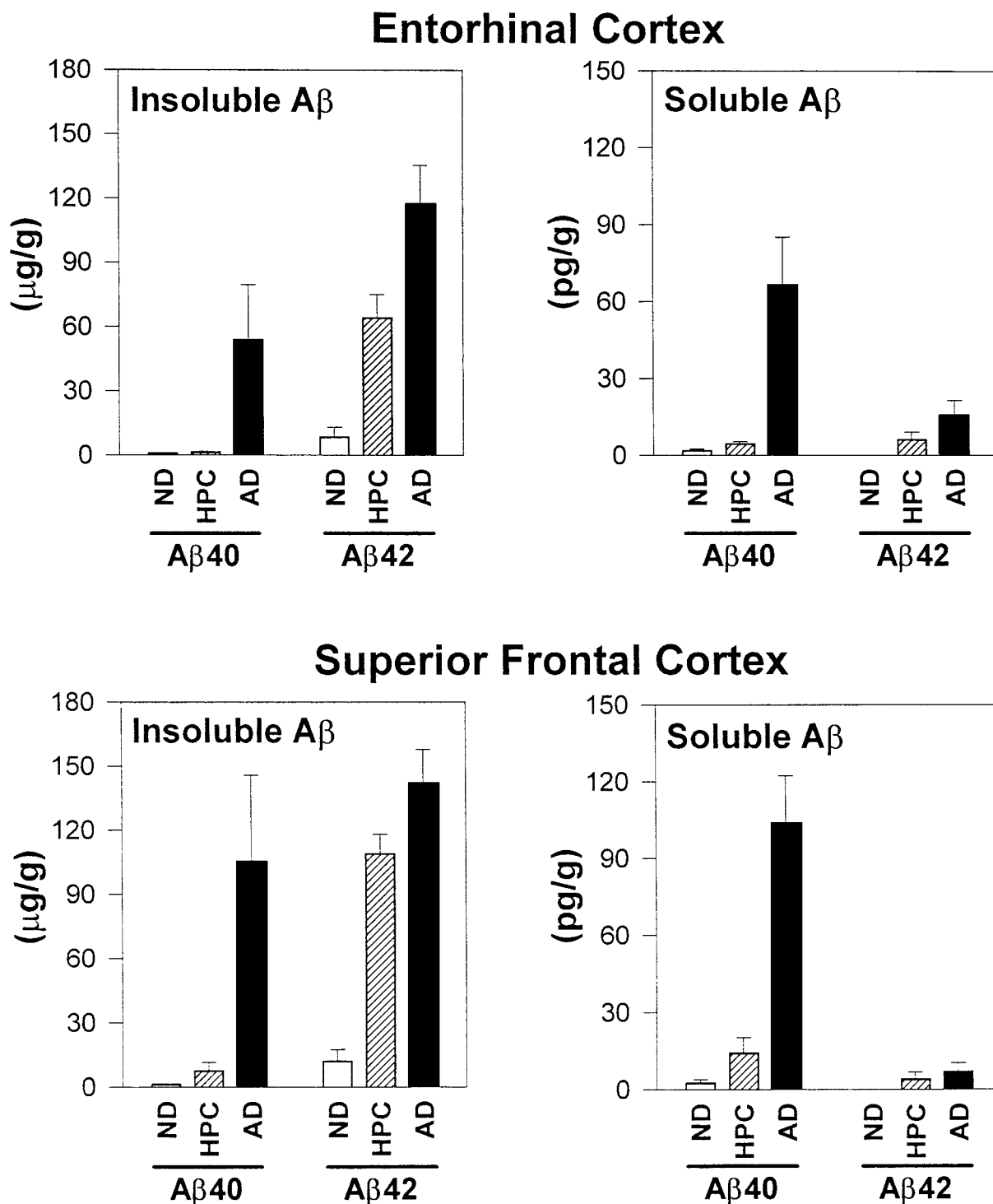
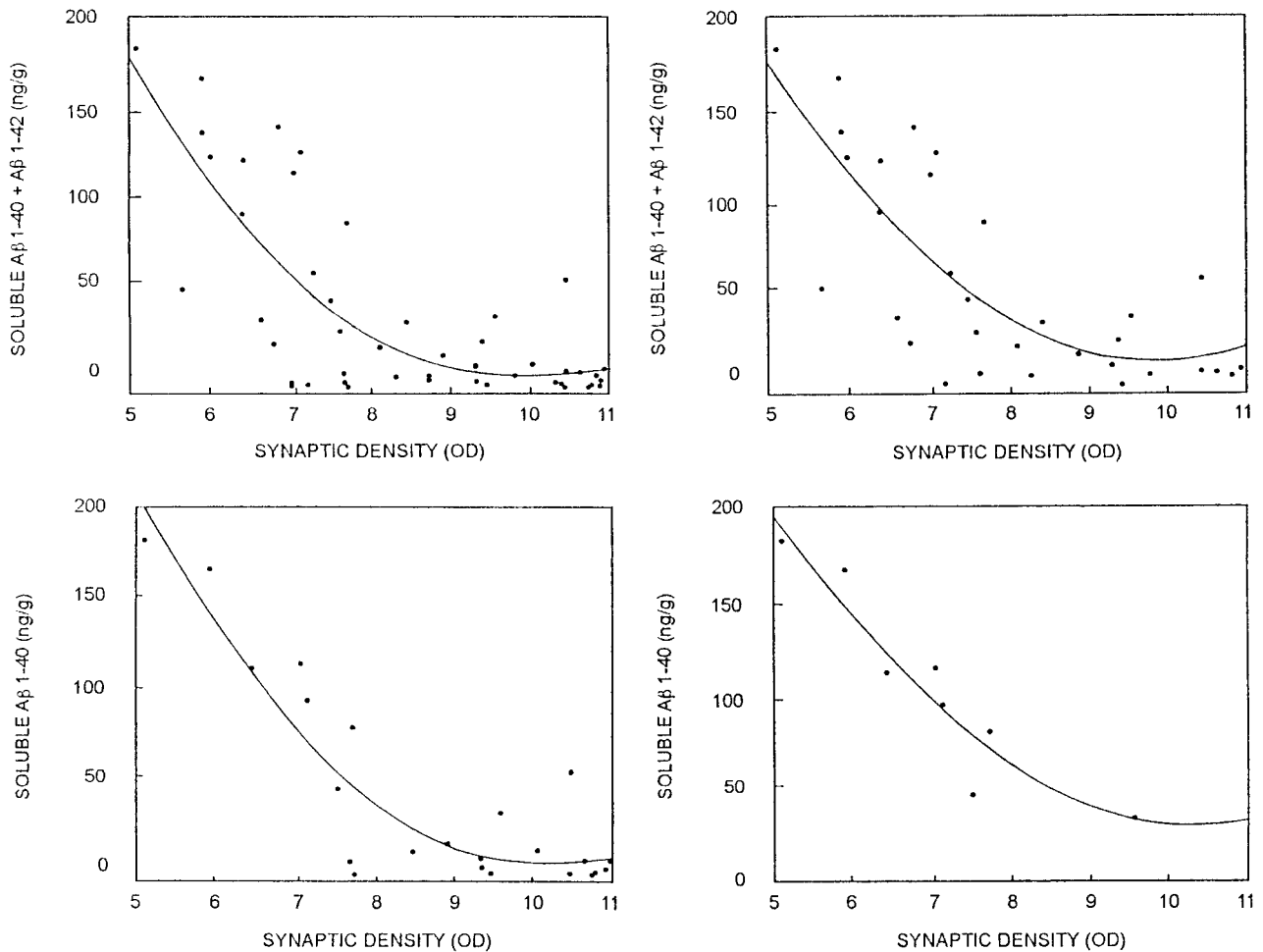


Figure 2. Soluble and insoluble Aβ40 and Aβ42 concentrations in superior frontal gyrus and entorhinal cortex of ND, HPC, and AD patients.

cance for insoluble Aβ42 ( $F_{1,14} = 7.2, P < 0.02$ ) and did not reach significance for soluble Aβ42 ( $F_{1,14} = 1.4, P > 0.50$ ). By contrast, HPC and AD patients differed significantly, sometimes by as much as 50-fold, with respect to Aβ40 (for the soluble form,  $F_{1,14} = 18.0, P < 0.0009$ ; for the insoluble form,  $F_{1,14} = 5.3, P < 0.04$ ).

#### Paired Helical Filament Formation

Paired helical filament formation in the form of thioflavin histofluorescent neurofibrillary tangles was significantly greater in HPC compared with ND patients (>1400%;  $F_{1,14} = 22.8, P < 0.0003$ ), whereas it was significantly



**Figure 3.** Synaptic density estimates from synaptophysin Western blot analysis (synaptic density OD) as a function of entorhinal cortex and superior frontal gyrus soluble A $\beta$  concentrations. **Upper left panel:** Sum of soluble A $\beta$ 40 plus A $\beta$ 42 versus synaptophysin optical density (OD) in all patients. **Upper right panel:** Sum of soluble A $\beta$ 40 plus A $\beta$ 42 in HPC and AD patients only. Correlations within individual structures or for soluble A $\beta$ 40 and A $\beta$ 42 alone were also highly significant and gave trends similar to those illustrated here. For example, the **bottom panels** show the data for soluble A $\beta$ 40 in superior frontal gyrus of all patients (**left**) or AD patients alone (**right**).

lower (<80%) in HPC compared with AD patients ( $F_{1,14} = 8.7, P < 0.02$ ). PHF1 immunoreactivity of neurites surrounding amyloid cores was also significantly lower (>80%) in HPC compared with AD patients ( $F_{1,14} = 11.9, P < 0.004$ ), but was statistically similar (0% difference) in HPC and ND patients ( $F_{1,14} = 0.0, P > 0.50$ ). Both measures were significant, inverse, within-subjects correlates of synaptic loss (for tangles,  $R_{\text{all groups}} = -0.45, P < 0.002$ , and  $R_{\text{HPC+AD}} = -0.38, P < 0.04$ ; for PHF1 immunoreactivity,  $R_{\text{all groups}} = -0.48, P < 0.006$ , and  $R_{\text{HPC+AD}} = -0.44, P < 0.02$ ; Figure 4).

### Cerebrovascular Amyloid Angiopathy

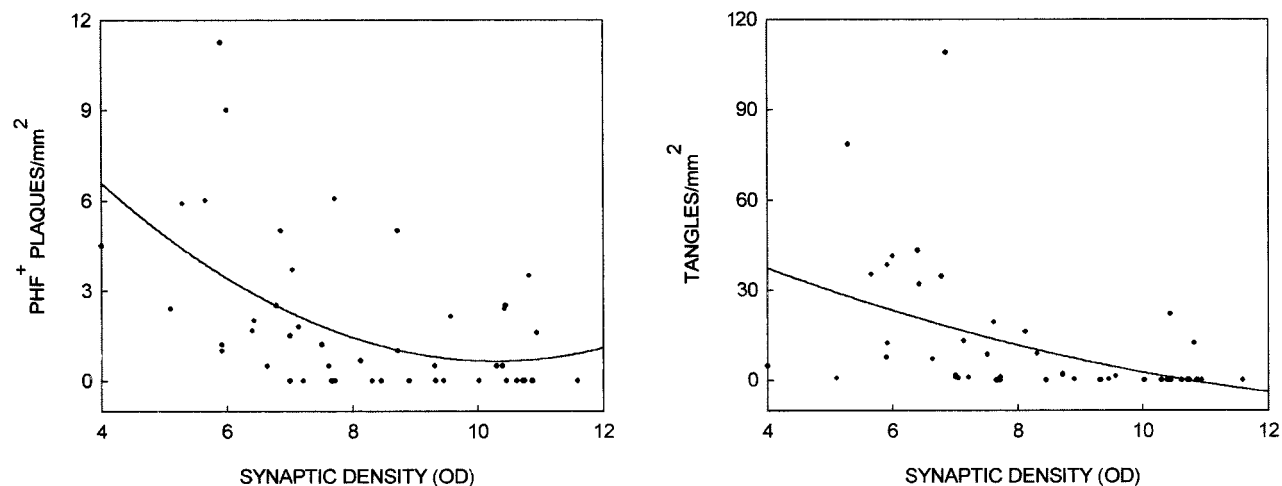
This variable successfully discriminated HPC from ND patients ( $U = 88, P < 0.02$ ) and HPC from AD patients ( $U = 62, P < 0.007$ ).

### Apolipoprotein E Allele Frequency

Of the ND patients sampled, none were heterozygous or homozygous for the ApoE4 allele. Of the HPC patients

sampled, three were heterozygous and none were homozygous for the E4 allele. Of the AD patients sampled, three were heterozygous and three were homozygous for the E4 allele. These distributions resulted in a significant difference in ApoE4 allele frequency among the groups ( $KW = 9.99, P < 0.01$ ). We note that patients were selected for study without any prior knowledge of their ApoE types.

Although all of the measures of visible AD pathology (ie, thioflavin histofluorescent plaques, diffuse plaques, cored plaques, PHF1-immunoreactive plaques, and thioflavin histofluorescent tangles) exhibited significant effects of ApoE4 allele frequency, it is important to recognize that our study and others (cf Ref. 22) did not include balanced representation of ApoE4 allele frequency in all of the patient groups because the patients were randomly selected from the available autopsy pool. As a result, no patient in the ND group was either heterozygous or homozygous for the ApoE4 allele. Such a circumstance substantially violates ANOVA assumptions, and indeed, when only HPC and AD groups, both of which did contain ApoE4 patients, or AD patients alone were con-



**Figure 4.** Synaptic density estimates from synaptophysin Western blot analysis as a function of entorhinal cortex and superior frontal gyrus paired helical filament immunoreactive (PHF<sup>+</sup>) plaques/mm<sup>2</sup> (left panel) or thioflavin histofluorescent tangles/mm<sup>2</sup> (right panel). Data points for ND, HPC, and AD patients are included.

sidered, the significant effects of ApoE4 allele frequency on plaque and tangle burden vanished. By contrast, across all subjects, HPC plus AD subjects, or AD patients alone, ApoE4 allele frequency had a significant effect on soluble A $\beta$ 40 concentrations (all subjects,  $F_{2,21} = 35.12$ ,  $P < 0.0001$ ; AD and HPC,  $F_{2,13} = 17.77$ ,  $P < 0.002$ ; AD only,  $F_{2,5} = 8.05$ ,  $P < 0.03$ ), insoluble A $\beta$ 40 concentrations (all subjects,  $F_{2,21} = 72.11$ ,  $P < 0.0001$ ; AD and HPC,  $F_{2,13} = 39.95$ ,  $P < 0.0001$ ; AD only,  $F_{2,5} = 12.00$ ,  $P < 0.02$ ), and soluble A $\beta$ 42 concentrations (all subjects,  $F_{2,21} = 16.71$ ,  $P < 0.0001$ ; AD and HPC,  $F_{2,13} = 7.98$ ,  $P < 0.006$ ; AD only,  $F_{2,5} = 27.45$ ,  $P < 0.003$ ). Insoluble A $\beta$ 42 concentrations were not significantly affected by ApoE4 allele frequency.

Although correlations of nonparametric variables with parametric variables can only be viewed as suggestive, clear within-subjects relationships of ApoE4 with increased levels of soluble A $\beta$ 40 ( $r = 0.85$ ,  $P < 0.001$ ) and insoluble A $\beta$ 40 ( $r = 0.53$ ,  $P < 0.01$ ) but not with levels of soluble or insoluble A $\beta$ 42 were observed. In turn, both increased ApoE4 allele frequency ( $r = 0.59$ ,  $P < 0.01$ ) and A $\beta$ 40 levels (soluble A $\beta$ 40,  $r = 0.67$ ,  $P < 0.001$ ; insoluble A $\beta$ 40,  $r = 0.53$ ,  $P < 0.01$ ) were highly associated with increased occurrence and severity of cerebrovascular amyloid angiopathy. ApoE4, A $\beta$ 40, and cerebrovascular amyloid angiopathy were equally or even more strongly associated when AD and HPC patients alone were considered. Conversely, in both all patients and AD plus HPC patients alone, the 42-amino-acid form of A $\beta$  was not significantly correlated with ApoE frequency or cerebrovascular amyloid angiopathy.

### Brain Regional Differences

Across patients, synaptophysin levels ( $F_{1,21} = 20.0$ ,  $P < 0.006$ ), tangle counts ( $F_{1,21} = 39.8$ ,  $P < 0.0001$ ), plaque counts ( $F_{1,21} = 32.1$ ,  $P < 0.0005$ ), soluble A $\beta$ 40 and A $\beta$ 42 ( $F_{1,21} = 5.2$ ,  $P < 0.04$ ), and insoluble A $\beta$ 40 and A $\beta$ 42 ( $F_{1,21} = 4.3$ ,  $P < 0.05$ ) all exhibited statistically significant differences when entorhinal cortex was com-

pared with superior frontal gyrus, with the latter being higher on all measures except neurofibrillary tangles. Nonetheless, virtually all these measures in entorhinal cortex and superior frontal gyrus were significantly correlated across the two brain regions (synaptophysin,  $r = 0.45$ ,  $P = 0.03$ ; neurofibrillary tangles,  $r = 0.88$ ,  $P < 0.001$ ; thioflavin histofluorescent plaques,  $r = 0.70$ ,  $P < 0.001$ ; soluble A $\beta$ 40,  $r = 0.89$ ,  $P < 0.001$ ; soluble A $\beta$ 42,  $r = 0.64$ ,  $P < 0.001$ ; insoluble A $\beta$ 40,  $r = 0.85$ ;  $P < 0.001$ ; and insoluble A $\beta$ 42,  $r = 0.79$ ,  $P < 0.001$ ), suggesting that although the two brain regions have different predilections for AD changes, such changes occur in parallel in both structures. Similar results on brain regional changes in AD pathology were obtained in our previous study of ND, HPC, and AD patients.<sup>5</sup>

### Prediction of Synaptic Change

When all of the parametric variables were placed into a step-wise linear regression model with synapse change as the outcome measure, soluble A $\beta$ 40 and A $\beta$ 42 concentrations were found to account for 40% of the variance, with no other significant predictors. This remained true when AD patients only were considered ( $R^2 = 0.38$ ,  $P < 0.01$ ). Of the two, soluble A $\beta$ 40 accounted for substantially more of the variance than soluble A $\beta$ 42. Forcing neurofibrillary tangles and PHF1-immunoreactive plaques into the model added 2% to the variance prediction. Forcing the remaining variables into the model added only an additional 2%.

### Discussion

Comparisons of HPC with AD patients can help indicate pathophysiological processes that may be critical to the development of overt dementia. That is, one would expect a pathophysiological relevant process to be present in AD patients but significantly reduced or absent in HPC or preclinical AD patients. Several of the variables



assayed here meet this criterion, and several others do not.

Plaque type, whether distinguished by thioflavin histofluorescence or A $\beta$  immunohistochemistry or by the presence or absence of a distinct core, did not discriminate HPC from AD patients, although it did distinguish HPC from ND patients. These findings argue against the assumption that HPC, early AD, or pathological aging patients fail to show symptoms of dementia or evidence of neurodegeneration, despite profuse A $\beta$  deposition, because their plaques are almost wholly of the diffuse type.<sup>3,8,23-25</sup> HPC and AD patients shared statistically similar numbers of A $\beta$  deposits without a discernible core. Likewise, the presence of plaques with a more compacted form, in particular, an aggregated A $\beta$  core surrounded by a halo of A $\beta$ -immunoreactive neurites,<sup>17,23</sup> had little predictive power. Indeed, if anything, HPC patients had more plaques with cores than AD patients (cf superior frontal gyrus, Table 1).

Like inflammation, which we examined in a previous study of HPC patients,<sup>5</sup> soluble A $\beta$  (including the A $\beta$  that has reached the CSF), cerebrovascular amyloid angiopathy, and paired helical filaments in the form of neurofibrillary tangles and neuropil threads surrounding amyloid cores did distinguish HPC from AD patients. Although soluble A $\beta$  concentrations predicted synapse changes in the patients better than any of the other parametric variables studied, we would be remiss in failing to point out the striking absence of neurofibrillary tangle pathology in frontal neocortex of HPC patients. Only one of eight HPC patients exhibited any tangles in superior frontal gyrus, and these were sparse, whereas all eight HPC patients had many entorhinal cortex tangles. Nearly identical results were obtained in our previous research with six other HPC patients.<sup>5</sup>

Of the different length A $\beta$  fragments, A $\beta$ 40, whether in soluble or insoluble form, was a particularly strong correlate of synapse changes and readily discriminated HPC from AD patients. A $\beta$ 42 was much less robust in this regard and correlated poorly with synapse loss. Taken together with the data on soluble *versus* insoluble A $\beta$ , our results therefore emphasize the potential importance of soluble A $\beta$ 40 and the relatively weak contribution of insoluble A $\beta$ 42 to AD pathophysiology. Although insoluble A $\beta$ 42 may be an essential element in neuropil A $\beta$  deposition and a necessary participant in other neurodegenerative mechanisms,<sup>13,23</sup> neither it nor the other measures associated with it (eg, neuropil plaques) accounted for AD synapse loss or the absence of overt dementia in HPC patients. By contrast, our findings are consistent with previous results demonstrating an important role for the soluble forms of A $\beta$  in AD pathology<sup>15,26</sup> and A $\beta$  toxicity.<sup>27,28</sup> This may not be altogether surprising when one considers that soluble A $\beta$  has the potential to affect neurons and neurites over a much wider area than insoluble A $\beta$ , which is essentially pinned to fixed points in the neuropil in the form of plaques.

Both cerebrovascular amyloid angiopathy and ApoE4 allele frequency were highly correlated with A $\beta$ 40 levels and with each other, whereas they were not correlated with A $\beta$ 42 levels. The ANOVA results with ApoE also

showed significant effects of ApoE4 on cerebrovascular amyloid and soluble amyloid, but not on diffuse plaques, neuritic plaques, or insoluble A $\beta$ 42, the primary constituent of the neuritic plaque.<sup>29</sup> These results confirm and extend those of Ishii et al,<sup>30</sup> who found ApoE4 allele frequency to correlate with A $\beta$ 40 but not A $\beta$ 42 concentrations, Greenberg et al,<sup>31</sup> who found ApoE4 allele frequency to correlate with earlier onset of hemorrhage in cerebrovascular amyloid angiopathy, and Alonzo and colleagues<sup>32</sup> and Roher and colleagues,<sup>29</sup> who found A $\beta$ 40 levels to be much higher in cerebrovascular amyloid deposits than neuropil amyloid deposits. Conversely, as expected for the major A $\beta$  species in plaques,<sup>29</sup> A $\beta$ 42 levels were highly correlated with plaque density measurements, whereas A $\beta$ 40 levels were not. Collectively, then, our data do not confirm the suggestion that ApoE4 patients experience an increased neuritic amyloid plaque burden.<sup>33</sup> Rather, ApoE4 appears mainly to increase cerebrovascular amyloid burden, as previously reported,<sup>33</sup> as well as soluble amyloid burden.

In conclusion, many of the controversies about A $\beta$  and its pathophysiological relevance may follow from the fact that the visible, insoluble form of the peptide has always been used in attempts to establish correlations with AD neurodegeneration and dementia.<sup>2,4,6,7,12</sup> Our data suggest that it is not the A $\beta$  that we can see, the diffuse or classical plaque, but the soluble A $\beta$  that we cannot see that best explains A $\beta$  neurotoxicity.

### Acknowledgments

We thank Heather Lampert and Rhonda Lee for assistance with the experiments and Dr. Michael Boss (Athena Diagnostics) for his help in the evaluation of CSF A $\beta$ 42 levels. This paper is dedicated to the memory of our colleague W. Harold Civin, M.D.

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