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SOME RELATIONS AMONG VARIOUS NUMERICAL INVARIANTS FOR LINKS

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Introduction. Throughout this paper, "a link l of $\mu(l)$ components" means disjoint union of $\mu(l)$ oriented 1-spheres in \mathbb{R}^3 .

In §1, we study some 3-dimensional numerical invariants of links, that is, g(l) (genus of l), u(l) (see Definition 1) and c(l) (see Definition 3) will be defined and we will have some relations among them as follows.

Theorem 1. For any link $l, g(l) \leq c(l)$ and $u(l) \leq c(l)$.

In §2, the 4-dimensional numerical invariants $g^*(l)$, $g^*_r(l)$ (see Definition 4), $u^*(l)$, $u^*_r(l)$ (see Definition 5), $c^*(l)$ and $c^*_r(l)$ (see Definition 6) will be defined and the main theorem will be proved.

Theorem 2. For any link l, we obtain

As is usual, two links l and l' are said to be of the same type or isotopic, denoted by $l \approx l'$, if there exists an orientation preserving homeomorphism f of R^3 onto itself such that f(l) = l'.

 ∂X , Int X and cl X represents the boundary, the interior and the closure of X respectively.

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1. 3-dimensional numerical invariants

Let l be a link of $\mu(l)$ components in \mathbb{R}^3 . It is known in [9], [11] that l always bounds an orientable connected surface F in \mathbb{R} . The minimum genus of these surfaces is called the *genus* of the link l and is denoted by g(l). Note that g(F) denotes the usual genus of a surface F.

Let L be a diagram of l, i.e. L=p(l), where p is a regular projection of \mathbb{R}^{3} to \mathbb{R}^{2} ([2]). L has in general at least one double point if l is not a trivial link (unknotted and unlinked). A link can be deformed into a trivial link by employing a finite number of unlinking operation (Γ) defined as follows.

(Γ) Change an underpass into an overpass at a double point.

DEFINITION 1. The minimum number of unlinking operations required to deform a given link l into a trivial link is called the *unlinking number* of l (in the 3-dimensional sense) and is denoted by u(l).

DEFINITION 2. Let F_0 be a surface which may not be connected and f be an immersion of F_0 into \mathbb{R}^3 . Put $F=f(F_0)$. Suppose that F has a finite number of simple double lines and these double lines do not intersect each other. Each double line J is one of the following three types (see [4])

- (1) a closed curve whose antecedents are closed curve J' and J'' that lie in Int F_0 ,
- (2) an arc whose antecedents are an arc J' that spans ∂F_0 and an arc J'' that lies entirely in *Int* F_0 ,
- (3) an arc whose antecedents are arcs J' and J'' each of which has an end point on ∂F_0 and the other one lies in Int F_0

We call J a singularity of F. The singularities satisfying the condition (1), (2), (3) will be called (simple) loop, ribbon and clasp singularities respectively. [4]

We call F a non-singular surface if f is an embedding.

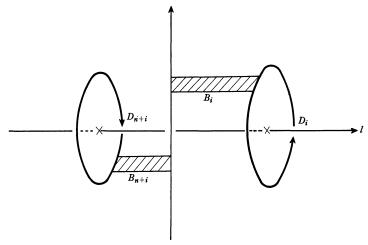
Then, to define the *clasp number* c(l) of a link l we need to prove the following lemma.

Lemma 1. Any link l spans $\mu(l)$ singular disks whose singularities are only clasps and the number of these clasps is finite.

Proof. Let *n* be the unlinking number of *l* and *p* be a regular projection of *l* such that there exist *n* double points p_1, \dots, p_n in p(l) and *l* becomes a trivial link by (Γ)-operation along these points. We may make oriented small unknotted circles c_i , $i=1, \dots, 2n$, near to p_{i_1} linking with *l* as shown Fig. 1 such that $L(l, c_i) = -L(l, c_{n+i}) = 1$ or -1 according as the orientation of *l*, where p_{i_1} is a point of $p^{-1}(p_i) \cap l$ and L(l, c) denotes the linking number of *l* and *c*. Then there exist mutually disjoint bands B_i , $i=1, \dots, 2n$, with $B_i \cap l = \partial B_i \cap l$ an arc and

$$l + \partial (\bigcup_{i=1}^{n} B_i) + (\bigcup_{i=1}^{n} c_i) \approx O^{\mu}$$
$$l + \partial (\bigcup_{i=1}^{2n} B_i) + (\bigcup_{i=1}^{2n} c_i) \approx l$$

where O^{μ} is a trivial link of $\mu = \mu(l)$ components and + means addition in the homology sense. Let $E = \bigcup_{i=1}^{\mu} E_i$ be a union of mutually disjoint spanning disks





of O^{μ} and $B = \bigcup_{i=n+1}^{2n} B_i$. By a slight modification of E, B and $D = \bigcup_{i=n+1}^{2n} D_i$, where D is oriented mutually disjoint disks with $\partial D_i = c_i$, we have $B \cap D = \partial B \cap \partial D$, $B \cap E = (\partial B \cap \partial E) \cup$ (ribbon singularities), $D \cap E =$ (clasp singularities) and $\partial(B \cup D \cup E) \approx l$. For each ribbon singularity J we draw a simple $\operatorname{arc} \alpha_i$ on E to connect a point of ∂E and that of *Int* J and put $\widetilde{E} = cl(E - \bigcup_{i=1}^{i} N_i)$, where r is the number of ribbon types on E and N_i is a regular neighborhood of α_i in E. Then clearly $\partial(B \cup D \cup \widetilde{E}) \approx l$ and the singularities of μ singular disks $B \cup D \cup \widetilde{E}$ are only clasps and of course the clasp number of $B \cup D \cup \widetilde{E}$ is finite. So the proof is complete.

DEFINITION 3. For any link l, there is a singular disk with only clasps which spans l by Lemma 1. The minimum number of the clasps is called the *clasp number* of l, denoted by c(l).

Then we have,

Theorem 1. For any link l, $c(l) \ge u(l)$, $c(l) \ge g(l)$.

Proof. $c(l) \ge u(l)$ is obvious from the definitions of these numbers. So we have to prove $c(l) \ge g(l)$. Let D be singular disks such that c(D) = c(l) and $\partial D = l$, where c(D) is the number of clasps of D. Making use of orientation preserving cuts ([4], [8]) along all clasps, we get an orientable surface F of genus c(l) such that $\partial F = \partial D = l$. So $c(l) \ge g(l)$, which completes the proof.

REMARK. These inequalities can not be replaced by equalities. For example for the knot 6_2 , 6_2 is alternating, so $g(6_2)=2$ ([1]) and $c(6_2)=2$ by using Theorem 1 but $u(6_2)=1$, and for the link \bigcirc , $c(\bigcirc)=u(\bigcirc)=1$ but $g(\bigcirc)=0$.

2. 4-dimensional numerical invariants

Let *l* be a link in $R^{3}[0]$, where $R^{3}[a] = \{(x, y, z, t) \in R^{4} | t=a\}$. Since *l* bounds an orientable connected surface *F* in $R^{3}[0]$, *l* always bounds an orientable locally flat connected surface in $R^{3}[0, t_{0}] = \{(x, y, z, t) \in R^{4} | 0 \le t < t_{0}\}$. The minimum genus of these surfaces is an invariant of the link type ([3], [7]). It is denoted by $g^{*}(l)$ (in the 4-dimensional sense).

DEFINITION 4. Especially for any link l we may span an orientable locally flat surface F in $R^{s}[0, t_{o})$ which has no minimum points with $\partial F = l$ in $R^{s}[0]$. The minimum genus of these surfaces is called the *ribbon type genus* of l and is denoted by $g_{r}^{*}(l)$.

It is clearly that $g_r^*(l)$ is an invariant of the link type of l. Then from the definition of $g^*(l)$, $g_r^*(l)$ and g(l), we have

Lemma 2. For any link $l, g^*(l) \leq g_r^*(l) \leq g(l)$.

A link *l* will be called *split* into two components l_1 and l_2 if there is a 3-ball B^3 such that $l_1 \subset B^3$, $l_2 \subset R^3 - Int B^3$. Then *l* is denoted by $l = l_1 \circ l_2$. Then

Lemma 3. For any link l, there is a number μ such that $g^*(l) = g_r^*(l \circ O^{\mu})$ for some trivial link O^{μ} of μ components.

Proof. Let F be a locally flat orientable surface in $R^{\mathfrak{s}}[0, 1)$ with $\partial F = l$ in $R^{\mathfrak{s}}[0]$ and $g(F) = g^{\mathfrak{s}}(l)$. Let p_1, \dots, p_{μ} be the minimum points of F. We may take μ distinct points q_1, \dots, q_{μ} in $R^{\mathfrak{s}}[-1]$ and disjoint simple arcs $\alpha_1, \dots, \alpha_{\mu}$ and α_i connects p_i with q_i and $\alpha_i \cap R^{\mathfrak{s}}[t]$ is at most one point for each $i, 1 \leq i \leq \mu$ and $t, 0 \leq t < 1$. Then we can deform F to a surface F' by an isotopy along α_i . The minimum points of F' are q_i and $F' \cap R^{\mathfrak{s}}[0, 1)$ has no minimum points. Of course, $F' \cap R^{\mathfrak{s}}[0] \approx l \circ O^{\mu}$, so $g_r^*(l \circ O^{\mu}) \leq g^*(l)$. ([5], [10]).

Conversely, let F_0 be a locally flat surface in $R^{\mathfrak{s}}[0, 1)$ with $F_0 \cap R[0] = l \circ O^{\mu}$ which has no minimum points and $g_r^*(l \circ O^{\mu}) = g(F_0)$. In $R^{\mathfrak{s}}[-1, 0]$ we make $l \times [-1, 0]$. As O^{μ} is splitted from l, O^{μ} bounds mutually disjoint disks D_i , $i=1, \dots, \mu$, in $R^{\mathfrak{s}}[-1, 0]$ which do not intersect with $l \times [-1, 0]$. So $F = F_0 \cup$ $l \times [-1, 0] \cup (\bigcup_{i=1}^{\mu} D_i)$ is a locally flat orientlabe surface with boundary l and $g(F) = g(F_0) = g_r^*(l \circ O^{\mu})$. Therefore $g^*(l) \leq g(l \circ O^{\mu})$, which completes the proof.

Lemma 4 is essential to prove the main theorem.

Lemma 4. Let F be a locally flat orientable surface which has no minimum and maximum points and $F \cap R^{s}[0] = l$, $F \cap R^{s}[1] = l'$. Then there is a locally flat orientable surface F' properly embedded in $R^{s}[0, 1]$ and isotopic to F in $R^{s}[0, 1]$ $(F' \cap R^{s}[0] \approx l$ in $R^{s}[0]$, $F' \cap R^{s}[1] \approx l'$ in $R^{s}[1]$ respectively). Furthermore there exist some disjoint 3-balls B^{s}_{i} , $i=1, \dots, n$, in $R^{s}[0]$ such that

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$$cl(F' - \bigcup_{i=1}^{n} B_{i}^{3} \times [0, 1]) = cl(F' \cap R^{3}[0] - \bigcup_{i=1}^{n} B_{i}^{3}) \times [0, 1].$$

Proof. It may be assumed that F has n critical points and $R^{\mathfrak{s}}[t_i]$ contains only one critical point for t_i , $0 < t_1 < \cdots < t_n < 1$. A critical point p_i may be changed by a critical band B_i^2 for each i (see [6]). We may deform F by an isotopy of $R^{\mathfrak{s}}[0, 1]$ carrying B_i^2 into $R^{\mathfrak{s}}\left[\frac{1}{2}\right]$ so that maximum and minimum points do not appear in the resulting surface. We will write the resulting surface and the band F and B_i^2 again. Since $F \cap R^{\mathfrak{s}}\left(\frac{1}{2}, 1\right]$ is a locally flat orientable surface which has no maximum, minimum points and critical bands,

$$\left(F \cap R^{\mathfrak{s}}\left[\frac{1}{2}, 1\right] - \partial(\bigcup_{i=1}^{n} B_{i}^{\mathfrak{s}})\right) \cup (\bigcup_{i=1}^{n} \alpha_{i} \cup \overline{\alpha}_{i}) \approx (F \cap R^{\mathfrak{s}}[1]) \times \left[\frac{1}{2}, 1\right]$$

in $R^{3}\left[\frac{1}{2}, 1\right]$ (for α_{i} and $\overline{\alpha}_{i}$ see Fig. 2) Then using the same argument as in [10] we may assume that the critical bands do not intersect with each other. Put $F_{1} = F \cap R^{3}[1] \times \left[\frac{1}{2}, 1\right]$. Because $F \cap R^{3}\left[0, \frac{1}{2}\right)$ has no minimum, maximum points and critical bands, we see

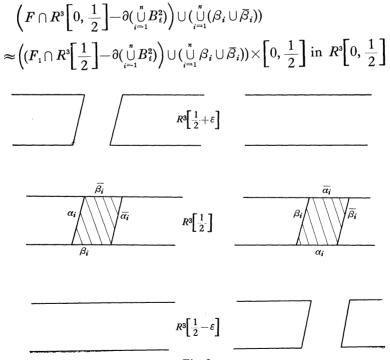


Fig. 2

Then we project mutually disjoint bands $\bigcup_{i=1}^{n} B_{i}^{2}$ in $R^{3}\left[\frac{1}{2}\right]$ to $R^{3}[0]$ by a natural projection p i.e. for any points $(x, y, z, t) \in R^{4}$, $p(x, y, z, t) = (x, y, z, 0) \in R^{3}[0]$. Then we can take mutually disjoint 3-balls B_{i}^{3} each of which contains only one band properly, i.e. Int $B_{i}^{3} \supset Int p(B_{i}^{2})$ and $\partial B_{i}^{3} \supset \partial(p(B_{i}^{2}))$. So we may easily determine the surface F' to be a required one. This completes the proof.

Let l be a link in \mathbb{R}^3 (or $\mathbb{R}^3[0]$). l is called a *weak ribbon link* if l bounds a singular surface F in \mathbb{R}^3 of genus 0 with $\partial F = l$ and mutually disjoint ribbon singularities. And l is called a *weak slice link* if l bounds a non-singular locally flat orientable surface F of genus 0 in $\mathbb{R}^3[0, \infty)$ with $\partial F = l$. ([3], [4]).

Then if l is a weak ribbon link l is also a weak slice link (see Lemma 5).

Lemma 5. *l* is a weak ribbon link if and only if *l* bounds a non-singular locally flat orientable surface *F* in $R^{3}[0, 1)$ of genus 0 with $\partial F = l$ which has no minimum points.

Proof. If l is a weak ribbon link, there is a singular surface F_0 in $R^3[0]$ of genus 0 with $\partial F_0 = l$ and just ribbon singularities. Now we take small disks D_i , $i=1, \dots, n$, on F_0 along the singularities such that $cl(F_0 - \bigcup_{i=1}^{n} D_i)$ is a non-singular surface and $l \cap (\bigcup_{i=1}^{n} \partial D_i) = \phi$. As $\partial (\bigcup_{i=1}^{n} D_i)$ is a trivial link, we may construct mutually disjoint cones $p_i^* \partial D_i$ in $R^3 \left[0, \frac{1}{2} \right]$, where p_1, \dots, p_n are different points in $R^3 \left[\frac{1}{2} \right]$. Then $(F_0 - \bigcup_{i=1}^{n} D_i) \cup (\bigcup_{i=1}^{n} p_i^* \partial D_i)$ is a required surface F.

Conversely, let F be a locally flat orientable surface of genus 0 with $\partial F = l$ which has no minimum points and is embedded in $R^3[0, 1)$. We can bring the maximum points of F to $R^3[2]$ by the same technique we used to prove Lemma 3 without making new maximum and minimum points and with ∂F fixed. Put the deformed surface F'. Clearly $F' \cap R^3[1] \approx O^n$ and $F' \cap R^3[0, 1]$ has no minimum and maximum points. So by Lemma 4, we may construct a proper surface F'' in $R^3[0, 1]$ which is isotopic to $F' \cap R^3[0, 1]$ and there exist mutually disjoint 3-balls B_{i}^3 , $i=1, \dots, p$, in $R^3[0]$ such that

$$cl(F'' - \bigcup_{i=1}^{p} B_{i}^{3} \times [0, 1]) \approx cl(F'' \cap R^{3}[0] - \bigcup_{i=1}^{p} B_{i}^{3}) \times [0, 1]$$

and the mutually disjoint bands B_i^2 are properly embedded in $B_i^3 \times \left[\frac{1}{2}\right]$. Let $D, i=1, \dots, n$, be mutually disjoint disks in $R^3[1]$ with boundary O^n . Then we project $\widetilde{F} = F'' \cup (\bigcup_{i=1}^n D_i)$ on $R^3[0]$ by a natural projection p. Then we may easily prove that $\partial p(\widetilde{F}) \approx l$ and the singularities of $p(\widetilde{F})$ are only ribbon singularities by

an easy modification of disks and bands. Now the proof is complete.

REMARK. From this Lemma, l is a weak ribbon link if and only if $g_r^*(l)=0$ (Clearly l is a weak slice link if and only if $g^*(l)=0$).

DEFINITION 5. The minimum number of unlinking (Γ) operations required to deform a given link l into a weak slice link, a weak ribbon link are called the *unlinking number* of l (in the 4-dimensional sense), denoted by $u^*(l)$, $u_r^*(l)$ respectively. We may easily prove the following.

Lemma 6. For any link l, $u^*(l) \leq u_r^*(l) \leq u(l)$.

By Lemma 1 any link l in $R^{3}[0]$ may span $\mu(l)$ singular disks D whose only singularities are finite clasps. Let $\alpha_{1}, \dots, \alpha_{n}$ be all the clasps on D and take mutually disjoint regular neighborhoods $\bigcup_{i=1}^{n} N(\alpha_{i}: R^{3}[0])$. Then $\partial(N(\alpha_{i}: R^{3}[0]) \cap D) \approx \mathbb{O}$. Let p_{1}, \dots, p_{n} be different points in $R^{3}[1]$ and make a cone $\tilde{D}_{i} = p_{i}^{*}(\partial(N(\alpha_{i}: R^{3}[0]) \cap D))$ for each i and we may construct these cones not to intersect with each other. Then $\tilde{D} = (D - \bigcup_{i=1}^{n} N(\alpha_{i}: R^{3}[0])) \cup (\bigcup_{i=1}^{n} \tilde{D}_{i})$ is a locally flat $\mu(l)$ disks with singularities p_{1}, \dots, p_{n} such that $\partial \left(N\left(p_{i}: R^{3}\left[\frac{1}{2}, \frac{3}{2}\right]\right) \cap \tilde{D}\right) \approx \mathbb{O}$, $\partial \tilde{D} = l$ and \tilde{D} has no minimum points. So we may define the clasp number of a link (in the 4-dimensional sense) as follows.

Let F be an orientable surface of genus 0 with μ boundaries. Suppose that f is a locally flat immersion of F in $R^3[0, \infty)$ such that $f(\partial F) = l$ is a given link l in $R^3[0]$, $f(Int F) \subset R^3(0, \infty)$ and the singularities of f(Int F) are finite points p_1, \dots, p_n with $\partial B^4(p_i) \cap f(Int F) \approx \mathbb{O}$.

DEFINITION 6. For all the locally flat immersions satisfying the above condition, the minimum number of these singularities is called the *clasp number* of *l* and is denoted by $c^*(l)$. Especially when we restrict Definition 6 only for the locally flat immersions which has no minimum points, the minimum number of these singularities is denoted by $c_r^*(l)$.

Then the next Lemma is trivial from the definition and the explanation above Definition 6.

Lemma 7. For any link l, $c^*(l) \leq c_r^*(l) \leq c(l)$

Modifying the technique we used to prove Lemma 3, we obtain

Lemma 8. For any link l, there is a number μ such that $c^*(l) = c_r^*(l \circ O^{\mu})$ for some trivial link O^{μ} .

Now we will examine the relation between $g^*(l)$, $c^*(l)$, $u^*(l)$ and $g^*_r(l)$, $c^*_r(l)$, $u^*_r(l)$.

Lemma 9. For any link, $g^*(l) \leq c^*(l)$, $g^*_r(l) \leq c^*_r(l)$.

Proof. Let F be a locally flat non-singular surface except $c^*(l)$ points p_1, \dots, p_n , where $n = c^*(l)$, with $\partial F = l$ and $l_i = \partial N(p_i: R^3[0, \infty)) \cap F \approx \mathbb{O}$. Then l_i may span an orientable surface F_i of genus 0 in $\partial N(p_i: R^3[0, \infty))$. So

$$\widetilde{F} = (F - \bigcup_{i=1}^{n} N(p_i: R^3[0, \infty))) \cup (\bigcup_{i=1}^{n} F_i)$$

is a non-singular locally flat orientable surface of genus n with $\partial \vec{F} = l$. Thus $g^*(l) \leq c^*(l)$. We can prove $g^*_r(l) \leq c^*(l)$ by using the technique to prove the first half of Lemma 9. Now the proof is complete.

Lemma 10. For any link l, $c^*(l) \le u^*(l)$ and $c^*_r(l) \le u^*_r(l)$.

Proof. Let l be a link in $R^{3}[0]$. Now we perform $u^{*}(l)$ -times (or $u_{r}^{*}(l)$ -times) (Γ) operation to l in $R^{3}(0, 1)$ so that l' in $R^{3}[1]$ is a weak slice (or weak ribbon) link. Then there exist proper annuli F_{0} in $R^{3}[0, 1]$ with $\partial F_{0} = l \cup (-l')$ and F_{0} has no minimum and maximum points and singularities are finite points p_{1}, \dots, p_{n} in Int F_{0} , where $n = u^{*}(l)$ (or $u_{r}^{*}(l)$), such that $\partial N(p_{i}: R^{3}[0,\infty)) \cap F_{0} \approx \mathbb{O}$. As l' is a weak slice (or a weak ribbon) link, we may span a locally flat orientable surface F_{1} in $R^{3}[1, \infty)$ with $\partial F_{1} = l'$ (if l' is a weak ribbon link, F_{1} has no minimum points by Lemma 5). Then there is a singular surface $F_{0} \cup F_{1}$ of genus 0 whose boundary is l. Thus $c^{*}(l) \leq u^{*}(l)$ (or $c_{r}^{*}(l) \leq u_{r}^{*}(l)$). This completes the proof of Lemma 10.

And by Lemma 11, $c_r^*(l) = u_r^*(l)$ follows.

Lemma 11. For any link $l, u_r^*(l) \leq c_r^*(l)$.

Proof. Let l be a link in $R^{3}[0]$ and F be a surface in $R^{3}[0, 1)$ which has no minimum points with $\partial F = l$ and $c_{r}^{*}(l)$ be the number of clasps. F has msingular points p_{1}, \dots, p_{m} and n maximum points p_{m+1}, \dots, p_{m+n} , where $m = c_{r}^{*}(l)$. We may connect these points to distinct points q_{1}, \dots, q_{m+n} in $R^{3}[2]$ by disjoint arcs $\alpha_{1}, \dots, \alpha_{m+n}$ such that $\alpha_{i} \cap F = \partial \alpha_{i} \cap F = p_{i}$ and $\alpha_{i} \cap R^{3}[t]$ is at most one point for each $i, 0 < t \le 2$. By an isotopy we may bring p_{i} to q_{i} along α_{i} with ∂F fixed to make a new surface F' which is isotopic to F and $F' \cap R^{3}[1] \approx$ $\bigcirc \dots \odot \bigcirc \odot \circ^{n}$, where the number of \bigcirc is m. By Lemma 4, F' is deformed to F''which is a proper surface in $R^{3}[0, 1]$ and is isotopic to $F' \cap R^{3}[0, 1](F'' \cap R^{3}[0] \approx$ $F' \cap R^{3}[0]$ in $R^{3}[0]$ and $F'' \cap R^{3}[1] \approx F' \cap R^{3}[1]$ in $R^{3}[1]$), and $cl(F'' - \bigcup_{i=1}^{p} B_{i}^{3} \times [0, 1])$ $= cl(F'' \cap R^{3}[0] - \bigcup_{i=1}^{p} B_{i}^{3}) \times [0, 1]$ for some mutually disjoint 3-balls B_{i}^{3} in $R^{3}[0]$. Let D_{i}^{3} , $i=1, \dots, m$, be mutually disjoint 3-balls in $R^{3}[1]$ such that D_{i}^{3} contains only one \bigcirc in its interior and $D_{i}^{3} \cap D_{j}^{2} = \phi$, where D_{j}^{2} is a spanning disk of O_{j} which is a component of O^{n} , for each $i, j, 1 \le i \le m, m+1 \le j \le m+n$. Then we may take a simple arc β_{i} in $p(D_{i}^{3}) - \bigcup_{i=1}^{p} B_{j}^{3}$ to connect two points of l as shown in

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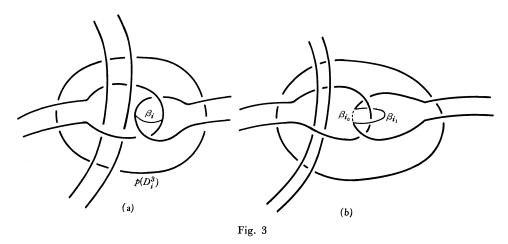


Fig. 3 (a) such that O becomes a trivial link by the (Γ) operation along $p^{-1}(\beta_i) \cap R^3[1]$ in D_i^3 for each *i*, where *p* is a natural projection of $R^3[0, 1]$ to $R^3[0]$. Then we determine β_{i_0} , β_{i_1} as shown in Fig. 3 (b) which may be taken in the neighborhood of β_i and $F''' = (F'' - (\bigcup_{i=1}^{m} \beta_{i_0} \times [0, 1])) \cup (\bigcup_{i=1}^{m} \beta_{i_1} \times [0, 1]) \cup (\bigcup_{j=m+1}^{m+n} D_j) \cup (\bigcup_{j=1}^{2m} D_p)$, where D_i and D_{m+i} are disjoint disks in Int D_i^3 . Then as F''' has no minimum points, $\partial F''' \cap R^3[0] = l'$ is a weak ribbon link by Lemma 5 and l is obtained from l' by $c_r^*(l)$ -times (Γ) operation. So $u_r^*(l) \leq c_r^*(l)$ which completes the proof.

Let $\sigma(l)$ be the signature of a link (for the definition of (l), see [7]), then it is known $\frac{1}{2}(|\sigma(l)| - \mu(l) + 1) \leq g^*(l)$ by Theorem 9.1 [7].

Now we complete our researches.

Theorem 2. For any link l, we obtain $\frac{1}{2}(|\sigma(l)| - \mu(l) + 1) \leq g^*(l)$ and

$$\begin{array}{c|c} g^*(l) \leq g^*_r(l) \leq g(l) \\ & & \wedge \parallel & & \wedge \parallel \\ c^*(l) \leq c^*_r(l) \leq c(l) \\ & & \wedge \parallel & & \vee \parallel \\ u^*(l) \leq u^*_r(l) \leq u(l) \end{array}$$

REMARK. If *l* is a non-trivial weak ribbon link of 1 component, then $g_r^*(l) = c_r^*(l) = u_r^*(l) = 0$, but $g(l) \cdot c(l) \cdot u(l) \neq 0$.

Question. In the above diagram of 4-dimensional numerical invariants of links, which inequality can be replaced by an equality?

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