# Southern Blot Analysis of *ALL-1* Rearrangements at Chromosome 11q23 in Acute Leukemia

Francesco Lo Coco,<sup>1</sup> Franco Mandelli, Massimo Breccia, Luciana Annino, Cesare Guglielmi, Maria C. Petti, Anna M. Testi, Giuliana Alimena, Carlo M. Croce, Eli Canaani, and Giuseppe Cimino

Hematology, Department of Human Biopathology of the University "La Sapienza," Via Benevento 6, 00161 Rome, Italy [F. L. C., F. M., M. B., L. A., C. G., M. C. P., A. M. T., G. A., G. C.], and Jefferson Cancer Institute, Jefferson Cancer Center and Department of Microbiology and Immunology, Thomas Jefferson University, Philadelphia, Pennsylvania 19107 [C. M. C., E. C.]

### **ABSTRACT**

The chromosome 11q23 band is a genetic region frequently involved in nonrandom karyotypic abnormalities of acute leukemia. A genomic locus named ALL-1 or MLL, where 11q23 breakpoints are clustered, has been recently cloned and characterized. We have made use of an ALL-1-specific probe in Southern blot experiments to analyze the configuration of this gene in a large series of acute leukemia patients, representative of all different myeloid and lymphoid subtypes.

Nine of 145 cases (6.2%) showed abnormal ALL-1 restriction fragments in leukemic DNAs. Of these nine cases, five patients in whom karyotypic data were available displayed chromosome 11q23 aberrations, including t(4;11) (three cases) and t(9;11) (two cases). Immunophenotypic and morphocytochemical characterization of ALL-1-rearranged acute leukemia revealed prevalence of poorly differentiated B lymphoid and/or monoblastic features. Considering the whole series, ALL-1 rearrangements were significantly associated with female sex, higher white blood cell counts at presentation, and very poor clinical outcome. The presence of residual disease was molecularly documented in one case at the time of clinical remission after induction treatment and was followed by early relapse. We conclude that ALL-1 rearrangements are new molecular markers of human leukemia with considerable diagnostic and prognostic relevance.

## INTRODUCTION

The chromosome 11q23 region is involved in a number of karyotypic aberrations described in AL,<sup>2</sup> including t(4;11) (q21;q23), t(9;11) (p22;q23), t(6;11) (q27;q23), t(1;11) (p32;q23), del 11 (q23), and others (1–9). Clinical characteristics of AL patients with 11q23 cytogenetic abnormalities include young age (they are frequently infants less than 1 yr old), massive tumor cell burden, extramedullary involvement, and poor prognostic outcome (1–10). Concerning blast cell features, these tumors may display variable phenotypes, most frequently revealing early B (CD19+/CD10-) ontogeny. However, monoblastic or biphenotypic markers are also observed (4, 8, 9). This heterogeneity probably reflects the involvement of a multipotent progenitor cell able to differentiate into both lymphoid and myeloid lineages.

We and others have recently cloned a genetic locus, named ALL-1 or MLL, where chromosome 11q23 rearrangements are clustered (11, 12). A DNA probe exploring a 14-kilobase region of this locus has been shown to detect specific rearrangements in the majority of AL patients with 11q23 cytogenetic abnormalities (11, 13). Our molecular investigation was now extended to a large series of AL cases with the aim of verifying the incidence and biological and clinical correlations of ALL-1 rearrangements in these patients. We report here that this alteration is found in 6.2% of ALs and is significantly associated with female sex, hyperleukocytosis, and resistant disease.

### MATERIALS AND METHODS

Patients and Samples. Leukemic DNAs were obtained from bone marrow or peripheral blood samples of 145 patients (81 males and 64 females) with acute leukemia observed during the period from January 1987 to January 1992 at the Institute of Hematology of the University "La Sapienza" of Rome. The median age was 28.7 yr (range, 1 to 74.6 yr). The diagnosis of AL was done according to standard FAB criteria (14). Immunophenotypic characterization was performed by indirect immunofluorescence using a wide panel of different lineage-associated markers, as already reported elsewhere (15).

DNA Analysis. High-molecular-weight DNA was recovered by digestion with proteinase K, extraction with phenol/chloroform, and precipitation with ethanol. After digestion with the appropriate restriction endonuclease, DNA was electrophoresed in a 0.8% agarose gel, denatured, and transferred onto nitrocellulose membranes. Filters were hybridized overnight with the <sup>32</sup>P random priming labeled probe FA4, washed, and exposed 48 to 72 h at -80°C for autoradiography, using intensifying screens. Hybridization and washing conditions have been described into detail elsewhere (16). The FA4 probe is a 480-base pair genomic Alu-free insert representative of the ALL-1 locus and was derived from the previously reported Dde I fragment (11, 13). Fig. 1 illustrates the partial restriction map of the ALL-1 locus with location of the FA4 probe.

## **RESULTS**

Southern blot analysis with multiple enzyme digestions allowed us to explore a region of 14 kilobases inside the ALL-1 locus. At least two restriction endonucleases (EcoRV, BamHI) were used in all cases. Nine of the 145 patients (6.2%) showed abnormal ALL-1 fragments in their leukemic DNAs. In 5 of these cases, the rearrangements could be detected with both EcoRV and BamHI, whereas in the other 4 cases the abnormal bands were identified with BamHI and XbaI but not with EcoRV. Therefore, two breakpoint clusters were identified, one of 5.4 kilobases falling inside the EcoRV fragment and one of 3.2 kilobases, encompassed by the telomeric BamHI and EcoRV sites (see Fig. 1). Neither clinical nor biological differences were found in the comparison of these two patient subgroups. In summary, all breakpoints clustered in the 8.4-kilobase ALL-1 region encompassed by the telomeric BamHI site and the centromeric EcoRV site shown in Fig. 1. Some representative experiments are illustrated in Fig. 2.

The correlations between ALL-1 configuration and biological and clinical features in the whole series are reported in Table 1. The presence of rearrangements was significantly associated with female sex (P=0.01) and with higher median WBC counts at diagnosis (P<0.05). The biological and clinical characteristics of the 9 patients with ALL-1 rearrangements are shown in Table 2. Two infant leukemias (age, <1 yr) included in this study were both found rearranged at the ALL-1 locus. Abnormal ALL-1 restriction fragments were also detected in a 12-yr-old pediatric patient and in 6 adults. According to FAB criteria, 3 of these cases were diagnosed as poorly differentiated  $(M_{5a})$  monoblastic AL; one was classified as  $M_0$  (minimally differentiated myeloid AL), and 5 showed lymphoid  $L_1$  or  $L_2$  morphocytochemical features. Following immunophenotyping, assignment to the myeloid lineage was confirmed in 2 of the 3  $M_{5a}$  cases (Nos. 2 and 5; Table 2) while the third one (No. 1) showed early B (CD19+/

Received 3/11/93; accepted 6/5/93.

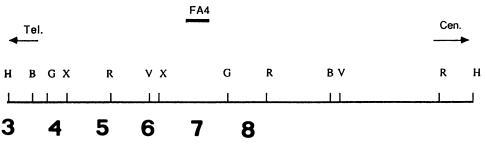
The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked advertisement in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

<sup>&</sup>lt;sup>1</sup> To whom requests for reprints should be addressed.

<sup>&</sup>lt;sup>2</sup> The abbreviation used is: AL, acute leukemia.

Fig. 1. Partial restriction map of the ALL-1 locus at chromosome 11q23, with location of the FA4 probe used for hybridization experiments. H, HindIII; B, BamHI; G, BgIII; X, XbaI; R, EcoRI; V, EcoRV. kb, kilobases; Tel., telomere; Cen., centromere.

C



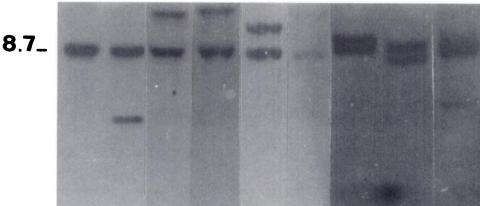


Fig. 2. Southern blot analysis of the ALL-1 locus in some representative cases. Following digestion of DNAs with BamHI and hybridization with the FA4 probe, additional restriction fragments are visible in 8 leukemic patients (Lanes 1 to 8) in addition to the germline 8.7-kilobase band. C, control placental DNA.

l kb

# BAM HI

Table 1 ALL-1 locus configuration and correlations with clinical and biological characteristics of patients

	Rearranged $(n = 9)$	Germline $(n = 136)$	P value
Age (yr)			11
<1	2	0	
1-20	2 2 5	62	
>20	5	74	
Sex			
Male	1	80	
Female	8	56	0.01
WBC (10 <sup>9</sup> /liter)			
Mean	228	39	< 0.05
Range	1.9–740	0.3–375	
FAB			
$M_0$	1	1	
$M_1-M_4$	0	27	
M <sub>5</sub>	3	30	
M <sub>6</sub> -M <sub>7</sub>	0	5	
$L_1$	3	43	
$L_2$	2	24	
L <sub>3</sub>	0	3	
Unclassified	0	3	
Immunophenotype			
Myeloid	2	47	
B-lymphoid	4	55	
T-lymphoid	0	27	
Undifferentiated	3	3	
Not available		4	

CD10-) lymphoid markers. Three other cases were classified as early B by immunophenotype (Nos. 3, 6, and 7). Finally, surface marker analysis showed undifferentiated features and did not allow a precise lineage affiliation in the 3 remaining cases (Nos. 4, 8, and 9). In 5 of the 9 patients with *ALL-1* rearrangements, karyotypic examination

was available and showed a t(4;11) (q21;q3) in three cases and a t(9;11) (p22;q3) in two.

The response to therapy and clinical outcome was evaluable in 8 cases (patient 8 refused treatment). Following conventional aggressive induction therapy (17–19), five cases showed resistant disease and three achieved complete remission. Of these 3, one relapsed at 5 mo and 2 are in complete remission at 7 and 15 mo, respectively (Table 2). Given the heterogeneous treatment regimens, a statistical comparison of clinical outcome and prognosis between the ALL-1 rearranged/ALL-1 germline groups was not feasible.

A Southern blot study of residual disease was performed in 2 cases by analyzing the bone marrow DNAs collected at the time of morphological remission (Fig. 3). In one case, the persistence of a thin abnormal *ALL-1* fragment of the same size than that seen at diagnosis was observed. This patient relapsed 3 mo later. No evidence of residual disease was found in the other case.

## **DISCUSSION**

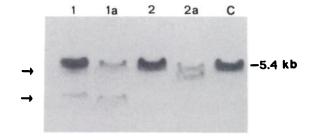
Recent cloning and characterization of the ALL-1/MLL gene involved in 11q23 breakpoints have provided new insights into our knowledge of the genetic mechanisms underlying leukemogenesis (11-13, 20-22). The analysis of ALL-1 complementary DNA indicates that this gene encodes a high-molecular-weight protein with sequence homology to the Drosophila trithorax gene (20-22). This latter is known to be active in crucial steps of fruit fly development. Such similarity suggests that ALL-1 may be a transcription factor involved in the regulation of other genes controlling human development and/or differentiation. In addition, both chromosome 4 and chromosome 11 breakpoints in the t(4;11) cluster in a small DNA region of 8 to 9 kilobases, and heptamer- and nonamer-like sequences have been found in the proximity of breakpoints, indicating the possible involve-

Table 2 Clinical and biological features of ALL-1-rearranged patients

Patient	Age/sex	FAB	WBC (×10 <sup>9</sup> /liter)	Immunophenotype	Karyotype	Treatment outcome
1	12/F	M <sub>5a</sub>	340	Early B <sup>a</sup>	t(9;11) (p22;q23)	Resistant/lost to follow up
2	1/ <b>M</b>	M <sub>5a</sub>	40	Myeloid <sup>b</sup>	t(9;11) (p22;q23)	Resistant/dead
3	28/F	$L_1$	380	Early B	t(4;11) (q21;q23)	CR <sup>c</sup> + 15 mo
4	1/ <b>F</b>	$L_1$	12	Undifferentiated <sup>d</sup>	NA	Resistant/dead
5	63/F	M <sub>5a</sub>	1.9	Myeloid	NA	Lost to follow up
6	35/F	$L_2$	740	Early B	t(4;11) (q21;q23)	CR/relapsed at 5 mo/dead
7	64/F	$\overline{L_2}$	194	Early B	t(4;11) (q21;q23)	Resistant/dead
8	52/F	$\overline{L_1}$	328	Undifferentiated	NA	CR + 7 mo
9	19/F	$M_0$	23	Undifferentiated f	NA	Resistant/dead

a TdT+/CD19+/CD10-.

<sup>&</sup>lt;sup>f</sup>TdT-/DR-/CD19-/Cd13-/CD33+/CD7+.



#### Eco RV

Fig. 3. Southern blot hybridization of diagnostic (Lanes 1a and 2a) and remission (Lanes 1 and 2) bone marrow DNA in two patients. The specific ALL-1 rearrangement detected at presentation was not further visible at remission in one case (Lanes 2a and 2; Patient 3 in Table 2) and persisted as a thin residual band in the other case (Lanes 1a and 1; Patient 6 in Table 2). C, control placental DNA. The 5.4-kilobase germline band detectable on EcoRV digests is indicated by the bar. Rearranged bands are indicated by the arrows.

ment in this recombination of the V-D-J recombinase active in immunoglobulin gene rearrangements (23).

Besides its biological significance, ALL-1 is considered to be a novel tumor-specific marker with relevant diagnostic and prognostic usefulness, particularly for characterization studies of leukemia at diagnosis and for the monitoring of residual disease.

In the present analysis, we confirm the phenotypic and clinical correlations previously observed in AL patients with karyotypic 11q23 aberrations. Our study showed the consistent association of ALL-1 rearrangement with infant leukemias (24), hyperleukocytosis, and very poor clinical outcome. While these data were in line with those available from karyotypic studies, the 8:1 female:male ratio of ALL-1 rearrangements observed here was considerably higher than that previously reported (1, 2, 4).

As to leukemic cell ontogeny, we found a prevalence of early B lymphoid, undifferentiated, or immature monoblastic markers. Together with the extremely poor clinical outcome of ALL-1-rearranged patients, these data contribute to classify this disease as a multipotent stem cell tumor in which conventional chemotherapy regimens are usually ineffective in remission induction. Finally, we have shown the use of this new leukemic marker to specifically identify residual disease at remission after induction treatment. However, since the sensitivity of Southern analysis is limited, polymerase chain reaction strategies should be developed in the future in order to better evaluate the quality of remission.

In conclusion, we believe that, based on both the molecular abnormality and the stem cell phenotypic features of this leukemic subset, individually tailored highly aggressive treatments should be consid-

ered for these patients, including the use of allogeneic bone marrow transplantation as consolidation therapy in the first complete remission.

#### REFERENCES

- Arthur, D. C., Bloomfield, C. D., Lindquist, L. L., and Nesbit, M. E. Translocation 4;11 in acute lymphoblastic leukemia: clinical characteristics and prognostic significance. Blood, 59: 96-99, 1982.
- Kaneko, Y., Maseki, N., Takasaki, N., Sakurai, M., Hayashi, Y., Nakazawa, S., Mori, T., Sakurai, M., Takeda, T., Shikano, T., and Hiyoshi, Y. Clinical and hematologic characteristics in acute leukemia with 11q23 translocations. Blood, 67: 484-491, 1086
- Pui, C. H., Raimondi, S., Murphy, S. B., Ribeiro, R. C., Kalwinsky, D. K., Dahl, G. V., Crist, W. M., and Williams, D. L. An analysis of leukemic cell chromosomal features in infants. Blood, 69: 1289-1295, 1987.
- Raimondi, S., Peiper, S. C., Kitchingman, G. R., Behm, F. G., Williams, D. L., Hancock, M. L., and Mirro, J. Jr. Childhood acute lymphoblastic leukemia with chromosomal breakpoints at 11q23. Blood, 73: 1627-1634, 1989.
- Meloni-Balliet, A. M., Morgan, R., Janice, P., and Sandberg, A. A. Translocation t(1;11) (p32 \(^1\)3), a new subgroup within M4 acute nonlymphocytic leukemia. Cancer Genet. Cytogenet., 37: 269-273, 1989.
- Feder, M., Finan, J., Besa, J., and Nowell, P. A 2p;11q chromosome translocation in dysmyelopoietic preleukemia. Cancer Genet. Cytogenet., 15: 143-146, 1985.
- Pui, C. H., Frankel, L. S., Carrol, A. J., Raimondi, S. C., Shuster, J. J., Head, D. R., Crist, W. M., Land, V. J., Pullen, J., Steuber, C. P., Behm, F. G., and Borowitz, M. J. Clinical characteristics and treatment outcome of childhood acute lymphoblastic leukemia with the t(4;11) (q21 \ 3): a collaborative study of 40 cases. Blood, 77: 440-447, 1991.
- Crist, W. M., Cleary, M. L., Grossi, C. E., Prasthofer, E. F., Heggie, G. D., Omura, G. A., Carrol, E. J., Link, M. P., and Sklar, J. Acute leukemias associated with the 4;11 chromosome translocation have rearranged immunoglobulin heavy chain genes. Blood, 66: 33-38, 1986.
- Mirro, J., Kitchingman, G., Williams, D., Lauzon, G. J., Callihan, T., and Zipf, T. F. Clinical and laboratory characteristics of acute leukemia with the 4;11 translocation. Blood, 67: 689-697, 1986.
- Rubin, C. M., Le Beau, M. M., Mick, R., Bitter, M. A., Nachman, J., Rudinsky, R., Appel, H. J., Morgan, E., Suarez, C. R., Schumacher, H. R., Subramanian, U., and Rowley, J. D. Impact of chromosomal translocations on prognosis in childhood acute lymphoblastic leukemia. J. Clin. Oncol., 9: 2183-2188, 1991.
- Ćimino, G., Moir, D. T., Canaani, O., Williams, K., Crist, W. M., Katzav, S., Cannizzaro, L., Lange, B., Nowell, P. C., Croce, C. M., and Canaani, E. Cloning of ALL-1, the locus involved in leukemias with the t(4;11) (q21;q23), and t(9;11) (p22;q23), t(11;19) (q23;p13) chromosome translocations. Cancer Res., 51: 6712-6714, 1991.
- Ziemin-van der Poel, S., McCabe, N., Gill, H. J., Espinosa, R., III, Patel, Y., Harden, A., Rubinelli, P., Smith, S. D., Le Beau, M., Rowley, J. D., and Diaz, M. O. Identification of a gene, MLL, that spans the breakpoint in 11q23 translocations associated with human leukemia. Proc. Natl. Acad. Sci. USA, 88: 10735-10739, 1991.
- Cimino, G., Nakamura, T., Gu, Y., Canaani, O., Prasad, R., Crist, W. M., Carrol, A., Baer, M., Bloomfield, C. D., Nowell, P. C., Croce, C. M., and Canaani, E. An alternate 11 kb transcript in leukemic cell lines with the t(4;11) (q21q23) chromosome translocation. Cancer Res., 52: 3811-3813, 1992.
- Bennet, J. M., Catovsky, D., Daniel, M. T., Flandrin, G., Galton, D. A. G., Gralnick, H. R., and Sultan, C. Proposal for the classification of the acute leukemias. Br. J. Haematol., 33: 451-458, 1900.
- Lo Coco, F., Pasqualetti, D., Lopez, M., Panzini, E., Gentile, A., Latagliata, R., Monarca, B., and De Rossi, G. Immunophenotyping of acute myeloid leukemia: relevance of analysing different lineage associated markers. Blut, 58: 235-239, 1989.
- 16. Diverio, D., Lo Coco, F., D'Adamo, F., Biondi, A., Fagioli, M., Grignani, F., Rambaldi, A., Rossi, V., Avvisati, G., Petti, M. C., Testi, A. M., Liso, V., Specchia, G., Fioritoni, G., Recchia, A., Frassoni, F., Ciolli, S., and Pelicci, P. G. Identification of DNA rearrangements at the retinoic acid receptor alpha (RAR-alpha) locus in all patients with acute promyelocytic leukemia (APL) and mapping of APL breakpoints

<sup>&</sup>lt;sup>b</sup> CD13+/CD33+.

<sup>&</sup>lt;sup>c</sup> CR, complete remission; NA, not available.

<sup>&</sup>lt;sup>d</sup> TdT-/DR+/CD19+.
<sup>c</sup> TdT+/DR+/CD19-.

- within the RAR-alpha second intron. Blood, 79: 3331-3336, 1992.
- Mandelli, F., Vegna, M. L., Avvisati, G., Amadori, S., Spadea, A., Cacciola, E., Cantore, N., De Laurenzi, A., De Rosa, C., Fioritoni, G., Gabbas, A., Liso, V., Malleo, C., Miraglia, E., Montillo, M., Perricone, R., Peta, A., Ricciuti, F., and Petti, M. C. A randomized study of the efficacy of postconsolidation therapy in adult acute non lymphocytic leukemia: a report of the Italian Cooperative Group GIMEMA. Ann. Hematol., 64: 166-172, 1992.
- Mandelli, F., Annino, L., Vegna, M. L., Camera, A., Ciolli, S., Deplano, W., Fabiano, F., Ferrara, F., Ladogana, S., Muti, G., Peta, A., Recchia, A., Sica, S., Stasi, R., Tabilio, A., and Visani, G. GIMEMA ALL 0288: a multicentric study on adult acute lymphoblastic leukemia. Preliminary results. Leukemia, 6 (Suppl. 2): 182–185, 1992.
- Amadori, S., Giona, F., Giuliano, M., Moleti, M. L., Pession, A., Rolla, M., Rondelli, R., Testi, A. M., and Mandelli, F. Therapeutic strategies for post-remission treatment in childhood acute myeloid leukemia (AML). The AIEOP experience 1987-1991. Leukemia, 6 (Suppl. 2): 44-47, 1992.
- 20. Gu, Y., Nakamura, T., Alder, H., Prasad, R., Canaani, O., Cimino, G., Croce, C. M.,

- and Canaani, E. The t(4;11) chromosome translocation of human acute leukemias involves fusion between the ALL-1 gene encoding a protein with homology to Drosophila trithorax gene, a gene AF-4 on chromosome 4. Cell, 71: 701-708, 1992.
- Tkachuck, D. C., Kohler, S., and Cleary, M. L. Involvement of a homolog of *Droso-phila* trithorax by 11q23 chromosomal translocations in acute leukemias. Cell, 71: 691-700, 1992.
- Djabali, M., Selleri, L., Parry, P., Brower, M., Young, B. D., and Evans, G. A. A trithorax-like gene is interrupted by chromosome 11q23 translocation in acute leukemias. Nature Genet., 2: 113-118, 1992.
- Gu, Y., Cimino, G., Alder, H., Nakamura, T., Prasad, R., Canaani, O., Moir, D. T., Jones, C., Nowell, P. C., Croce, C. M., and Canaani, E. The t(4;11) (q21;q23) chromosome translocation in acute leukemias involve the VDJ recombinase. Proc. Natl. Acad. Sci. USA, 89: 10464-10468, 1992.
- Cimino, G., Lo Coco, F., Biondi, A., Elia, L., Luciano, A., Croce, C. M., Masera, G., Mandelli, F., and Canaani, E. ALL-1 gene at chromosome 11q23 is consistently altered in acute leukemia of early infancy. Blood, 82: 544-546, 1993.