

1                   **Optic nerve intraneural stimulation allows selective visual cortex activation**

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16

17       **Abstract**

18       Retinal prostheses have been developed to restore a functional form of vision in patients affected by

19       outer retinal layer dystrophies. Other approaches, namely optic nerve, thalamic, and cortical

20       prostheses are under investigation to expand this toolbox both as clinical devices and as tools for

21       fundamental research. Optic nerve stimulation is attractive since it bypasses the entire retinal network

22       and directly activates nerve fibers. At the same time, it still takes advantage of the high-level

23       information processing occurring downstream in the visual cortex. Here we show that a new

24       intraneural electrode array (OpticSELINE) is effective in inducing the activation of the visual cortex

25       upon electrical stimulation of the optic nerve. We also demonstrate that intraneural optic nerve

26       stimulation induces selective cortical activation patterns depending on the stimulating electrode, thus

27       suggesting that the OpticSELINE possesses spatial selectivity in fiber stimulation. In conclusion, the

- 1 OpticSELINE can be used both as visual prosthesis for blind patients and as tool to further investigate
- 2 the effect of the electrical stimulation in the visual system.

1 Visual prostheses recently emerged as tool to fight blindness; a medical condition affecting more than  
2 30 million people worldwide<sup>1</sup>. Starting from early pioneering works<sup>2,3</sup>, over the past 50 years several  
3 types of visual prostheses have been proposed and classified by their location along the visual  
4 pathway<sup>4,5</sup>, including: subretinal<sup>6</sup>, epiretinal<sup>7</sup>, suprachoroidal<sup>8</sup>, optic nerve<sup>9</sup>, thalamic<sup>10</sup>, and  
5 cortical prostheses<sup>11</sup>. Retinal implants quickly became the preferred strategies, since they can benefit  
6 from the natural information processing along the visual pathway, despite the limit to treat only  
7 diseases affecting retinal photoreceptors<sup>12</sup>. In various clinical trials, retinal prostheses demonstrated  
8 the capability to restore a functional form of vision<sup>13,14</sup>, and today several research groups are  
9 developing novel retinal prostheses<sup>15-17</sup>. On the other hand, cortical prostheses are still facing several  
10 technical and biological challenges; the invasiveness of surgical implantation, the risk of focal seizures  
11 induced by direct cortical stimulation, and the requirement of high-level information coding pose  
12 serious concerns for their clinical application<sup>12,18</sup>. On the contrary, optic nerve stimulation is  
13 attractive since it bypasses the entire retinal network and directly activates nerve fibers. At the same  
14 time, it still takes advantage of the high-level information processing occurring in the visual cortex.  
15 Optic nerve stimulation has been pioneered with the implantation of a 4-contact epineural electrode in  
16 the intra-cranial trait of a blind subject<sup>19</sup>, in which electrical stimuli have been able to elicit localized  
17 phosphenes. After few months of training and psychophysical testing, the patient has been able to  
18 distinguish line orientations as well as shapes and symbols despite using only 4 electrodes. Another  
19 patient has been implanted later with a 8-contact electrode<sup>20</sup>, confirming the possibility of restoring  
20 functional vision by using optic nerve stimulation<sup>21-23</sup>. However, in those trials the induced  
21 phosphenes have been reported as irregulars; the use of epineural electrodes may be the cause<sup>24</sup>, due  
22 to their limited mechanical stability. Following this approach, an optic nerve prosthesis is currently  
23 under investigation by the C-Sight project, which is testing the stimulation of the intra-orbital region  
24 with a 4-filament electrode; acute results have been documented in rabbits<sup>25,26</sup> and cats<sup>27</sup>. This  
25 project employs penetrating platinum-iridium electrodes, which are characterized by high stiffness  
26 inducing a large mechanical mismatch with the nerve; in turn this may have consequences for chronic  
27 implantation. On the contrary, transverse intra-fascicular multichannel electrode (TIME) arrays,  
28 micro-fabricated via thin-film technology, have already demonstrated their superior capability in

1 several applications related to nerve stimulation<sup>28,29</sup>. Indeed, intraneural electrodes show a higher  
2 selectivity in fiber stimulation<sup>30,31</sup> with respect to epineural electrodes. More recently, a self-opening  
3 intraneural electrode (SELINe) demonstrated its improved mechanical stability<sup>32</sup> and  
4 biocompatibility over a period of 6 months<sup>33</sup>. In this work, a modified version of the previously  
5 described SELINe electrode array<sup>32,33</sup>, has been successfully exploited as visual prosthesis based on  
6 optic nerve stimulation.

7

## 8 **Results**

9 **Electrode design and characterization.** The OpticSELINe is a polyimide-based looped structure with  
10 a total length of 33 mm, a maximum width of 3 mm, and an overall thickness of 0.012 mm (**Fig. 1a**). A  
11 polyimide-based extension flat cable of 35 mm allows the connection between the electrode and the  
12 head-plug connector. It has twelve stimulating electrodes (six electrodes per side, area of 0.008 mm<sup>2</sup>)  
13 plus a reference and a ground electrode outside the active area. Each side has two three-dimensional  
14 flaps that extend from the main body and carry two electrodes each; two more electrodes are located  
15 on each side of the main body. The width of the active area is 0.43 mm and the length is 1.25 mm. Each  
16 flap has a width of 0.15 mm and a length of 0.48 mm (**Fig. 1b**). Four alignment bars (width of 0.1 mm)  
17 have been included to ease the insertion procedure and verify that the active area is located inside the  
18 optic nerve (**Fig. 1c**). The OpticSELINe has been designed in agreement with the anatomical structure  
19 of the rabbit's optic nerve, which has an average ( $\pm$  s.e.m.) diameter of  $1.45 \pm 0.04$  mm  
20 (**Supplementary Fig. 1**).

21 The OpticSELINe has been characterized electrochemically and mechanically. First, cyclic voltammetry  
22 has been performed to determine the charge storage capacity of the electrodes (**Fig. 2a**). This test has  
23 been executed before and after an accelerated ageing time equivalent to an implantation time of 6.4  
24 months (6 days at 87 °C)<sup>34</sup>. The mean charge storage capacity is not significantly different before and  
25 after ageing (**Fig. 2b**;  $n = 18$ ;  $p = 0.1919$ , paired t-test). Given the small electrode area (0.008 mm<sup>2</sup>),  
26 this value of charge storage capacity is comparable to values found in literature for gold  
27 microelectrodes<sup>35</sup>. Similarly, the impedance spectroscopy has been performed (**Fig. 2c**), and the mean

1 magnitude at 1kHz has shown non-significant statistical difference before and after ageing (**Fig. 2d**;  $n$   
2 = 30;  $p = 0.7613$ , paired t-test).

3 A mechanical characterization has been performed to verify the compatibility of the electrode array  
4 with insertion forces and the stability within the nerve. During insertion experiments (**Fig. 3a**) two  
5 major force peaks have been observed (**Fig. 3c,e**) corresponding to the insertion of the loop inside the  
6 nerve (peak 1) and to the entry of the enlarged area of the device (peak 2). The stability of the  
7 electrode within the nerve has been evaluated with an extraction experiment (**Fig. 3b**). During  
8 extraction two major force peaks have been observed (**Fig. 3d,f**) corresponding to the force necessary  
9 to extract the three-dimensional flaps (peak 3 and 4) followed by a flat phase relative to the slippage of  
10 the loop through the nerve (5). Measured extraction forces are 25 folds larger than the forces required  
11 to extract an electrode array without three-dimensional flaps, as previously measured in the rat sciatic  
12 nerve<sup>32</sup>. This confirms that the presence of three-dimensional flaps enhances the anchorage of  
13 OpticSELINE within the nerve and provides a better mechanical stability.

14 **Visual stimulation.** Visually-evoked cortical potentials (VEPs) have been characterized in New  
15 Zealand White rabbits upon flash illumination (**Fig. 4a**). The peak amplitudes (PAs) and the peak  
16 latencies (PLs) of the major peaks present in the VEP (N1 and P1) have been measured using an  
17 electrocorticography (ECoG) electrode array (**Fig. 4b**). Each rabbit ( $N = 9$ ) has been stimulated on both  
18 eyes (ipsilateral and contralateral with respect to the ECoG array) with light flashes of increasing  
19 luminance (0.1, 0.5, 1, 5, 10, and 30  $\text{cd s m}^{-2}$ ). As expected the strongest and fastest response occurs for  
20 contralateral stimulation (**Fig. 4c**), since in rabbits 90 to 95 % of the fibers decussate at the level of the  
21 chiasma<sup>36</sup>. Similar to a previous report<sup>37</sup>, the average ( $\pm$  s.e.m.) P1 PL in contralateral stimulation  
22 (**Fig. 4d**) starts at  $33.93 \pm 2.59$  ms for low luminance (0.1  $\text{cd s m}^{-2}$ ) and reaches a plateau latency of  
23  $23.28 \pm 1.86$  ms for higher luminance (5  $\text{cd s m}^{-2}$ ). Also, N1 PL in contralateral stimulation is  
24 comparable to previously reported data ( $29.41 \pm 2.40$  ms,  $25.17 \pm 2.17$  ms,  $24.46 \pm 2.01$  ms,  $20.65 \pm$   
25  $2.79$  ms,  $19.80 \pm 1.35$  ms, and  $20.00 \pm 1.38$  ms respectively for 0.1, 0.5, 1, 5, 10, and 30  $\text{cd s m}^{-2}$ ).

26 **Electrical stimulation.** The OpticSELINE has been implanted transversally in the optic nerve from the  
27 lateral to the medial side. Because of fiber decussation<sup>36</sup>, electrically-evoked cortical potentials (EEPs)  
28 have been measured with an ECoG array only in the contralateral visual cortex (**Fig. 5a,b**). Cathodic

1 first asymmetrically balanced (1:5) electrical stimuli have been used. The ratio 1:5 has been previously  
2 demonstrated to be a good compromise between total pulse duration and stimulation efficiency<sup>26</sup>. In  
3 the same study, it has been also shown that placing the balancing anodic phase before the cathodic  
4 stimulation phase with a 1:5 ration has no influence. Moreover, we did not introduce any inter-phase  
5 gap since it has been demonstrated to have a significant effect only with symmetrically balanced  
6 stimuli<sup>26</sup>. To avoid fatigue in the nerve due to repetitive stimulations, only 6 electrodes of the  
7 OpticSELINE (1 to 6 in the top shank, from left to right) have been used in the first part of the study. In  
8 this condition, nerve fatigue due to repetitive stimulation has not been observed (**Supplementary Fig.**  
9 **2**).

10 Under electrical stimulation of the optic nerve we found that both N1 and P1 peak amplitudes increase  
11 with the current amplitude of the stimulus (**Fig. 5c,e**). Then, we have measured the minimum current  
12 required to induce the N1 peak in the cortical response (average of the 32 electrodes of the ECoG). N1  
13 current threshold decreases with the increase of the pulse duration; on the contrary, the pulse  
14 duration seems to have a minimal effect on the amount of charges required to activate N1 (**Fig. 5d**), as  
15 previously observed<sup>38</sup>. Therefore, the phase duration has been fixed to 150  $\mu$ s. Under this condition,  
16 the mean ( $\pm$  s.e.m.) N1 threshold results in 204.17  $\mu$ A (**Fig. 5f**). Interestingly, the electrodes on the  
17 main body (numbers 3 and 4) have a mean ( $\pm$  s.e.m.) threshold higher (**Fig. 5g**) than the electrodes on  
18 the flaps (numbers 1, 2, 5, and 6). This could be explained since in the pre-chiasmatic trait of the optic  
19 nerve the fibers with large diameter are localized more in the periphery of the nerve than in the center  
20 (**Supplementary Fig. 3**).

21 Retinal ganglion cells can generate action potentials up to few hundreds of Hz; for such reason, the  
22 artificial reproduction of this code requires high frequency electrical pulsing. We verified the  
23 possibility to use high frequency pulse trains (1, 2, 3, or 4 pulses at 1 kHz of repetition rate) for optic  
24 nerve stimulation (**Fig. 6a**). By increasing the number of pulses within the train (from 1 to 4) at  
25 constant current amplitude, the mean ( $\pm$  s.e.m.) N1 threshold is progressively reduced down to 46.15  
26  $\pm$  11.79 % (for 4 pulses) of the threshold obtained with a single pulse (**Fig. 6b**). Similarly, the cortical  
27 activation (e.g. P1 PA) can be modulated by increasing the number of pulses instead of changing the  
28 current intensity (**Fig. 6c**).

1 **Stimulation selectivity.** Last, we investigated the spatial selectivity of intraneural stimulation of the  
2 optic nerve with single pulses. A blind source separation approach has been used to quantitatively  
3 extract differences between the EEPs resulting from the stimulation through different electrodes of  
4 the OpticSELINE. Independent component analysis (ICA)<sup>39</sup> has been chosen since it is steadily gaining  
5 popularity among blind source separation techniques to disentangle information linearly mixed into  
6 multiple recorded data channels so as to prepare multivariate data sets for more general data mining  
7 40-42 .

8 We hypothesized that each EEP is composed of ‘shared’ components and ‘meaningful’ components: the  
9 former ones are characterized by similar time courses regardless of the stimulating electrode  
10 activated in the OpticSELINE, while the latter ones have time courses that are specific to one specific  
11 stimulating electrode (or to a small subset at maximum). ICA has been performed on the 32 ECoG  
12 recordings in order to highlight the presence of meaningful components that may have dispersed in  
13 the common cortical signal. ICA linearly projects the 32 original time courses into 32 new maximally-  
14 independent time courses, here called independent components (ICs), as weighted sums of the  
15 original time courses. The region of the visual cortex in which each IC is present has been determined  
16 by plotting the activation map (see Methods) of each IC on the 32 electrodes of the recording array  
17 (**Fig. 7a**). In addition, ICs have been classified in different categories, based on their time course  
18 (**Supplementary Fig. 4**), namely: artifact (i.e., containing the stimulation artifact), noise (i.e.,  
19 containing a high frequency signal), flat (i.e., not containing any peaks in their waveform), common  
20 (i.e., having a similar time courses for each of the stimulating channels), and meaningful (i.e., having  
21 different time courses depending on the stimulating channel). In a representative example ( $N = 1$   
22 rabbit, current amplitude of 750  $\mu\text{A}$ ), amongst the 32 ICs, 26 have been labelled as meaningful, 2 as  
23 common, 1 as flat, 1 as noise, and 2 as artifact (**Fig. 7b, Supplementary Fig. 5**). In this representative  
24 example 9 stimulating channels out of 12 resulted in a meaningful cortical activation, while 3 of them  
25 induced flat responses only. By back-projecting only the meaningful ICs onto the original channel  
26 space, the original data can be also filtered to highlight only the meaningful component  
27 (**Supplementary Fig. 6**). By increasing the current amplitude of the pulse, the number of ICs classified  
28 as meaningful increases, it reaches his maximum value (26) at 500  $\mu\text{A}$  until 1000  $\mu\text{A}$ , and then

1 decreases (**Supplementary Fig. 7b**). This is probably due to the beginning of the saturation of the  
2 cortical response; therefore, more ICs are classified as common instead of meaningful.  
3 The activation maps of the 26 meaningful ICs show that they are present in distinct regions of the  
4 visual cortex, which suggests that the components of the original signal are spatially segregated in the  
5 visual cortex (**Fig. 7c**). In addition, each meaningful ICs exhibits a different degree of activation  
6 depending on the stimulating electrode used. To quantify this, for each meaningful IC, the peak-to-  
7 peak amplitude of the early portion of the time courses (from 5 to 25 ms after the pulse) induced by  
8 each stimulating electrode was computed and normalized amongst all the stimulating electrodes. All of  
9 the 9 stimulating electrodes have at least one meaningful IC whose activation is maximized when  
10 stimulating through this particular electrode. Furthermore, by interpolating the contribution of every  
11 stimulating electrode to each IC, we found their distribution map within the optic nerve (**Fig. 8a** and  
12 **Supplementary Fig. 8**). The area corresponding to 90 % of the activation level (red lines) is confined  
13 to a small area around this electrode, or in few cases it spreads over 1 or 2 neighboring electrodes (**Fig.**  
14 **8b**). The spatial segregation of the ICs at the level of the visual cortex (**Fig. 7**) and the high localization  
15 of ICs in correspondence of the OpticSELINE electrodes (**Fig. 8**) are considered indirect signatures that  
16 the different stimulating electrodes recruit different population of optic nerve fibers, thus confirming  
17 that the OpticSELINE allows selective visual cortex activation.

18

## 19 **Discussion**

20 Currently, the research on visual prostheses is largely focused on the development of novel retinal  
21 prosthesis<sup>15-17,43-47</sup>, either subretinal, epiretinal, or suprachoroidal. Nevertheless, beside the early  
22 pioneering works, some research groups are now attempting the stimulation of downstream regions  
23 of the visual pathway<sup>12</sup>. Among those, optic nerve prostheses aim at stimulating the axonal fibers  
24 from retinal ganglion cells during their pathway towards the optic chiasma. Following two initial  
25 studies on two blind patients affected by Retinitis pigmentosa<sup>19,20</sup>, optic nerve stimulation is now  
26 under validation in a clinical trial by another group which is targeting the optic disk in the retina<sup>48</sup>.  
27 In the first clinical trial, the two patients have been implanted with epineural cuff electrodes, which  
28 are less invasive than intraneural arrays. However, epineural electrodes mostly target external fibers,



1 while intraneural electrodes can stimulate also the central area of the nerve and provide higher  
2 selectivity<sup>31</sup>. In addition, intraneural electrodes are mechanically more stable than epineural<sup>32</sup>,  
3 therefore allowing a more reproducible and stable stimulation. For this reason, we selected an  
4 intraneural approach, confirming mechanical stability, due also to the lateral flaps, and selectivity in  
5 fiber recruitment as demonstrated by the blind source separation analysis.

6 In our experimental design, we choose to target the intra-cranial trait of the optic nerve. Epineural  
7 electrodes have been previously implanted in both the intra-orbital<sup>20</sup> and intra-cranial trait of the  
8 nerve<sup>19</sup>. The comparison of the two clinical studies showed that intra-orbital stimulation induces  
9 smaller EEPs with respect to intra-cranial stimulation, while latencies are not significantly different; in  
10 other words, the perceptual threshold is higher for intra-orbital stimulation<sup>9</sup>. In addition, the intra-  
11 orbital trait of the optic nerve must accommodate the eye movements; therefore, an intraneural  
12 electrode may be subjected to high cyclic strain which may later lead to failure. This is minimized in  
13 the intra-cranial trait. A second drawback of the intra-orbital placement of an intraneural electrode is  
14 due to the presence of the central retinal vein and artery entering the nerve approximately 1 cm far  
15 from the eye bulb; therefore, an intraneural placement may risk to damage them. Therefore, intra-  
16 cranial stimulation by intraneural electrodes seems more appropriate than intra-orbital stimulation.

17 Compared to retinal prostheses, and in particular epiretinal implants, optic nerve stimulation differs  
18 since it activates only axonal fibers. During epiretinal stimulation, electric pulses may induce a direct  
19 activation of retinal ganglion cell fibers or an indirect activation via the internal retinal circuit. It is  
20 known that brief (hundreds of  $\mu$ s) cathodic epiretinal stimulation preferentially excites the axons of  
21 retinal ganglion cells, while pulses longer than 1 ms excite both retinal ganglion cells and bipolar cells  
22<sup>16,49,50</sup>. A recent study has demonstrated that the increase of the stimulation to 25 ms per phase  
23 significantly reduces the unwanted activation of axon of passage. In turns, this enhances the indirect  
24 activation of retinal ganglion cells via the internal retinal circuits, which provides a more focal  
25 activation avoiding streak responses<sup>51</sup>. The disadvantage of long stimulations (25 ms per phase) is  
26 the reduction of the frame rate available. Currently the Argus II operates in the range of 3 to 60 Hz<sup>52</sup>  
27; however, the increase of the pulse duration to 25 ms may limit the maximum frame rate to about 10  
28 Hz. An attractive strategy for visual prostheses is neuromorphic encoding<sup>53-55</sup>, where the conversion

1 between an image and the pattern of electrical stimulation delivered to the tissue is based on an in-  
2 silico morphing of the retinal process. In this case the stimulation pattern delivered to the neurons  
3 (e.g. retinal ganglion cells) can be a representation of the natural code. Retinal ganglion cells can fire  
4 action potentials up to few hundreds of Hz, therefore the reproduction of this natural code may  
5 require a high temporal precision. It is now evident that an epiretinal strategy may not combine the  
6 use of long pulses (e.g. 25 ms per phase) with a neuromorphic approach. On the contrary we have  
7 demonstrated that optic nerve stimulation can be implemented at high frequency (i.e., 1 kHz) thus  
8 allowing the reproduction of the natural code of retinal ganglion cells with high temporal fidelity.  
9 Optic nerve stimulation appears as good strategy for neuromorphic prostheses or for the testing of  
10 neuromorphic algorithms in animal models.

11 An important aspect to enable the OpticSELINE as visual prosthesis is the capability to induce selective  
12 optic nerve stimulation and generate spatially organized phosphenes. The first clinical trial with  
13 epineural electrodes already demonstrated that optic nerve stimulation may induce spatially  
14 organized phosphenes<sup>22</sup>. The C-Sight project demonstrated in rabbits that the position of the cortical  
15 channel with the highest amplitude can be spatially modulated by applying current-steering methods  
16 to the intraneural stimulation of the intra-orbital tract of the optic nerve<sup>25</sup>. We have found that with  
17 an intraneural multi-electrode array is possible to associate ‘meaningful’ cortical activation patterns  
18 with specific electrodes of the array, confirming that the OpticSELINE induces activation of the visual  
19 cortex in a reproducible and spatially organized manner. This is possible because of the selective  
20 activation of nerve fibers, which could open the possibility to elicit behaviorally relevant visual  
21 activation patterns by optimizing the stimulation protocol. This represents a fundamental  
22 breakthrough towards the implantation of the optic nerve stimulation to restore functional vision.

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22

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28

## 29 **Author contributions**

30 V.G. designed the stimulation protocol, performed data analysis and blind source separation. A.C.  
31 designed and fabricated the OpticSELINE and performed mechanical and electrochemical  
32 characterizations. P.V. performed in-vivo and histological experiments. F.A. performed data analysis  
33 and conceived the blind source separation approach. S.A.R.P. participated in the design of the  
34 stimulation protocol and in data analysis. D.L.D.P. participated in the design and microfabrication of  
35 the OpticSELINE and performed mechanical characterizations. S.M. designed the study and supervised  
36 the activities related to electrode development and the blind source separation approach. D.G.  
37 designed the study, led the project, and wrote the manuscript. All the authors read, edited, and  
38 accepted the manuscript.

39

**1 Competing Financial Interests statement**

2 The authors declare no competing financial interests.

1 **Figure 1 | OpticSELINE design and fabrication.** **a**, Sketch of the OpticSELINE. The red box highlights  
2 the connection area between the electrode and the flat cable terminated with an Omnetics connector  
3 (not shown). The blue box highlights the active area where 2 flaps and 6 electrodes are visible.  
4 Dimensions are in mm. **b**, Magnification of the active area after microfabrication. **c**, Enlarged view of  
5 the flaps, electrodes, and alignment bars after three-dimensional shaping. **d**, Picture of one side of the  
6 OpticSELINE. The electrode enlargement is used as a stopper to avoid excessive insertion of the array  
7 within the nerve.

8

9 **Figure 2 | Electrochemical characterization.** **a**, Cyclic voltammetry performed on the electrodes  
10 before (orange) and after (green) accelerated ageing. Representative example of 1 electrode with an  
11 overlay of 10 repetitions. **b**, Quantification of the mean ( $\pm$  s.d.) charge storage capacity ( $n = 18$ ). **c**,  
12 Mean ( $\pm$  s.d.) magnitude (top) and phase (bottom) of the electrode impedances before (orange) and  
13 after (green) accelerated ageing ( $n = 30$ ). **d**, Quantification of the mean ( $\pm$  s.d.) impedance magnitude  
14 at 1 kHz.

15

16 **Figure 3 | Mechanical characterization.** **a,b**, Scheme for the ex-vivo insertion (**a**) and extraction (**b**)  
17 experiments in explanted optic nerves from New Zealand White rabbits. **c**, Forces during insertion in  
18 the optic nerve. Several insertion trials ( $n = 10$ ) are shown in grey while, in red, a selected example is  
19 labelled with the different phases of insertion. Peak 1 is relative to the insertion of the loop and peak 2  
20 is relative to the entry of the enlarged area. Mean ( $\pm$  s.d.,  $n = 10$ ) insertion forces are  $32.5 \pm 22.7$  mN  
21 (peak 1) and  $223.4 \pm 92.2$  mN (peak 2). **d**, Forces during extraction from the optic nerve. Several  
22 extraction trials ( $n = 10$ ) are shown in grey while, in red, a selected example is labelled with the  
23 different phases of extraction. Peaks (3 and 4) are relative to the extraction of the flaps and the flat  
24 phase (5) is relative to the slippage of the loop through the nerve. Mean ( $\pm$  s.d.,  $n = 10$ ) extraction  
25 forces are  $101.2 \pm 36.2$  mN (peak 3) and  $100.3 \pm 38.5$  mN (peak 4). **e**, The different phases of insertion  
26 (1 and 2) are sketched. **f**, The different phases of extraction (3 to 5) are sketched.

27

1 **Figure 4 | Visually-evoked cortical potentials.** **a**, Recordings of VEPs have been performed with a  
2 ECoG array covering the visual cortex and a flash stimulation (4 ms, white LED) in the ipsilateral  
3 (black) or contralateral (red) eye. **b**, Example of traces (synchronous average of 10 responses) to a  
4 flash illumination of  $0.5 \text{ cd s m}^{-2}$  at the ipsilateral (black) or contralateral (red) eye. The dashed lines  
5 represent the occurrence of the flash. **c**, Example traces obtained from the average of the 32 recording  
6 channels (showed in **b**) for both ipsi- (black) and contra- (red) lateral stimulation. P0, N1 and P1 peaks  
7 are visible. The dashed lines represent the occurrence of the flash. **d**, Mean ( $\pm$  s.e.m.) of the P1 PAs  
8 (left) and PLs (right) with respect to the flash luminance ( $N = 9$ ). P1 PAs and PLs have been measured  
9 in each rabbit from the average of the 32 recording channels.

10

11 **Figure 5 | Electrically-evoked cortical potentials.** **a**, EEPs have been evoked via the implanted  
12 OpticSELINE with biphasic current pulses. **b**, Example of traces (synchronous average of 10 responses)  
13 to a current pulse of 2 mA and 150  $\mu\text{s}$  delivered from the electrode 6. The dashed lines represent the  
14 occurrence of the current pulse. **c**, Example of traces (synchronous average of 10 responses) to  
15 increasing current pulses of 150  $\mu\text{s}$  from 1 recording electrode (red box in panel **b**). The appearance of  
16 N1 and P1 peaks is visible. **d**, Mean ( $\pm$  s.e.m.) N1 activation thresholds in  $N = 1$  rabbit depending on  
17 pulse duration (circles, left y axis). The activation threshold is plotted also as charge delivered  
18 (squares, right y axis). The dotted lines show the activation threshold for current pulses of 150  $\mu\text{s}$ ,  
19 corresponding to 250  $\mu\text{A}$  and 37.4 nC. **e**, Mean ( $\pm$  s.e.m.) amplitude of N1 (squares) and P1 (circles) for  
20 current pulses of 150  $\mu\text{s}$  with respect to the stimulation amplitude ( $N = 4$ ). The insert shows a  
21 magnification from 0 to 300  $\mu\text{A}$  (x axis) and from 0 to 75  $\mu\text{V}$  (y axis). **f**, Activation thresholds in  $N = 4$   
22 rabbits for current pulses of 150  $\mu\text{s}$  ( $p = 0.86$ , Kruskal-Wallis). The dotted line represents the average  
23 threshold (204.17  $\mu\text{A}$ ,  $N = 4$ ). **g**, Mean ( $\pm$  s.e.m.) activation thresholds ( $N = 4$ ;  $p = 0.25$ , one-way  
24 ANOVA) depending on the electrode (from 1 to 6). In panels **d**, **e**, **f**, and **g**, the responses from the 32  
25 recording electrodes have always been averaged. In panels **d**, **e**, and **f**, for each rabbit the  
26 quantifications from each of the 6 electrodes (from 1 to 6) have been averaged.

27



1 **Figure 6 | Electrically-evoked cortical potentials with pulse trains.** **a**, EEPs have been evoked via  
2 the implanted OpticSELINE with biphasic current pulses arranged in packages containing 1, 2, 3, or 4  
3 pulses at 1 kHz of repetition rate. **b**, Normalized mean ( $\pm$  s.e.m.) of N1 activation threshold with stimuli  
4 composed of 1 to 4 pulses ( $N = 4$ ). The normalization has been performed with respect to the stimulus  
5 with 1 pulse. **c**, Normalized means ( $\pm$  s.e.m.) of P1 PA ( $N = 4$ ) for trains with 1, 2, 3, or 4 pulses (circles,  
6 squares, triangles, and diamonds respectively). The normalization has been performed with respect to  
7 the amplitude for 1 pulse at 1000  $\mu$ A. In panels **b**, and **c**, the responses from the 32 recording  
8 electrodes have always been averaged. Moreover, for each rabbit the quantifications from each of the 6  
9 OpticSELINE electrodes (from 1 to 6) have been averaged.

10

11 **Figure 7 | Activation maps of the meaningful ICs.** **a**, Example of an activation map corresponding to  
12 IC 1 projected in correspondence of the ECoG array. **b**, Example of the activation map, the PVAf, and  
13 the time courses of a representative meaningful component (IC 1). A time course is shown for each  
14 stimulating electrode of the OpticSELINE. **c**, The 26 meaningful ICs are present in distinct regions of  
15 the visual cortex. Representative example from  $N = 1$  rabbit with a current amplitude of 750  $\mu$ A.

16

17 **Figure 8 | ICs distribution map at the level of the optic nerve.** **a**, Example of the distribution maps  
18 of the first 4 meaningful ICs within the optic nerve. Enclosed in the red contour is the region of the  
19 optic nerve which activation level is larger than 90% of the maximal activation. **b**, Overlay of the 90%  
20 activation contours (red) of all the meaningful ICs. The dotted circle represents the optic nerve. The  
21 sketch of the OpticSELINE is in black in both **a** and **b**. Representative example from  $N = 1$  rabbit with a  
22 current amplitude of 750  $\mu$ A.

## 1 **Methods**

2 ***Electrode microfabrication.*** The OpticSELINE was developed by using micro photolithography and  
3 thin-film techniques. A silicon wafer was used as sacrificial layer. After the cleaning of silicon wafer  
4 (10 min Acetone; DI rinse; 10 min Isopropanol), two layers of polyimide PI2610 (HD Microsystems)  
5 were spun on the substrate (2000 rpm for 30 s). Samples were hard baked in an oven with nitrogen  
6 flux at 350 °C for 1 hr. LOL and S1813 (Microposit) were spin-coated on the wafer respectively at 1000  
7 rpm for 20 s and 4500 rpm for 30 s. The substrate was exposed by using a glass photomask at a dose  
8 of 180 mJ cm<sup>-2</sup>. The sample was developed in MF319 for 30 s and rinsed in DI water. A layer of  
9 titanium (20 nm) and gold (250 nm) were sputtered on the substrate and a lift-off technique was used  
10 to release the pattern of traces, active sites and pads (overnight immersion in remover 1165). Two  
11 layers of PI2610 were spun on the substrate (2000 rpm for 30 s) and hard baked in the oven with  
12 nitrogen flux at 350 °C for 1 hr. An aluminum mask (200 nm) was deposited on the substrate by  
13 thermal evaporation. S1813 was spun on the sample and exposed (glass photomask, 180 mJ cm<sup>-2</sup>) and  
14 the wafer was developed in MF319 for 30 s. S1813 was removed (2 min in remover 1165) and dry  
15 etching was used to etch the excess of polyimide (40 sccm of O<sub>2</sub>; 150 W, 1 hr). The aluminum mask  
16 was etched away and electrodes were peeled off from the wafer. The three-dimensional geometry was  
17 conferred to the OpticSELINE by securing the device on a stainless-steel mold. The mold has 4 holes in  
18 correspondence of the 4 flaps; a needle was used to secure each flap inside the hole. Alignment holes  
19 were included on the mold to ease the placement of the device. A thermal treatment (1 hr, 200 °C) was  
20 used to memorize the curved shape of the flaps. The 3D devices were connected to the polyimide-  
21 based extension cable: a silver conductive glue was used to connect the corresponding pads of the two  
22 elements (Ablestik-Henkel; 1 hr at 130 °C). Then the flexible extension cable was connected to a  
23 printed circuit board (PCB) by silver conductive glue. A surgical needle with a looped wire (Ethicon)  
24 was inserted through the device. Flexible wires were soldered to the PCB and then a linear Omnetics  
25 connector was attached. Two-component biocompatible silicone (Silbione-Bluestar Silicones) was  
26 applied on all the soldering.

27 ***Electrochemical characterization.*** Cyclic Voltammetry was performed using a three-electrode setup  
28 immersed in phosphate-buffered saline (PBS) solution and applying a 10-cycle potential ramp at a

1 scan rate of 1.5 V s<sup>-1</sup> between -0.5 V and 0.5 V. Impedance measurement was performed using a three-  
2 electrode setup immersed in PBS solution and applying a sinusoid of 10 mV between 100 Hz and  
3 100 kHz. Accelerated aging test was performed for 6 days at temperature of 87 °C with an  
4 accelerating factor of 32. Different OpticSELINE arrays have been immersed in glass beakers filled  
5 with PBS solution; the beakers were sealed and stored in oven.

6 **Mechanical tests.** Both experiments were performed using the same setup composed of a press to  
7 secure the nerve, a 10 N load cell, and an explanted rabbit optic nerve. During insertion experiments,  
8 first the nerve was pierced by the needle, then the electrode was pulled at a constant speed of 15 mm  
9 min<sup>-1</sup> to insert the device inside the nerve. During extraction experiments, first the device was  
10 implanted inside the nerve, then the electrode was pulled at a constant speed of 15 mm min<sup>-1</sup> to  
11 completely extract the device from the nerve. In both cases, insertion and extraction forces were  
12 measured by a load cell.

13 **Animal handling and surgery.** Animal experiments were performed under the animal authorization  
14 GE1416. Female New Zealand White rabbits (> 16 weeks, > 2.5 kg) were sedated with an  
15 intramuscular injection of xylazine (5 mg kg<sup>-1</sup>). Anesthesia and Analgesia were provided with an  
16 intramuscular injection of an anesthetic mix composed by: medetomidine (0.5 mg kg<sup>-1</sup>), ketamine (25  
17 mg kg<sup>-1</sup>), and buprenorphine (0.03 mg kg<sup>-1</sup>). If required, anesthesia was prolonged with a second  
18 injection (half dose) of the anesthetic mix. Eye drops were placed on the eye to prevent eye drying.  
19 The rabbit was placed on a heating pad at 35°C for the entire procedure. Oxygen was provided with a  
20 mask to prevent hypoxia during the anesthesia. The head was shaved and cleaned with 70% ethanol  
21 and betadine. The rabbit's head was then secured gently within a stereotactic frame (David Kopf  
22 Instruments). Prior to cortical skin incision, a mix of lidocaine (6 mg kg<sup>-1</sup>), bupivacaine (2.5 mg kg<sup>-1</sup>),  
23 and epinephrine (0.1 mg kg<sup>-1</sup>) was injected subcutaneously on the surgical sites. After 5 minutes, the  
24 skin was opened and pulled aside to expose the skull; finally, the skull was cleaned with cotton swabs.  
25 First a temporal craniotomy was made to access the left optic nerve. The OpticSELINE was inserted in  
26 the left optic nerve from lateral to medial in the pre-chiasmatic area. Then a second craniotomy was  
27 made to expose the right visual cortex. A32-channel epi-dural ECoG array (E32-1000-30-200;  
28 NeuroNexus) was placed on the visual cortex. All rabbits were euthanized at the end of the acute

1 recording procedures, while still under anesthesia, with an intravenous injection of pentobarbital (120  
2 mg kg<sup>-1</sup>).

3 **Optic nerve anatomy.** To determine the average nerve diameter, optic nerves ( $n = 10$ ) were explanted  
4 from 5 female New Zealand white rabbits (> 16 weeks, > 2.5 kg), immediately embedded in the  
5 optimum cutting temperature compound (O.C.T. Tissue-Tek, Qiagen), and frozen at -20 °C. 10- $\mu$ m  
6 sections were obtained with a cryostat (Leica Microsystems) and mounted on glass slides. Images  
7 were taken with a slide scanner (VS120-L100, Olympus).

8 For the myelin staining, the optic nerve was extracted after surgery and fixed overnight in PFA 4%.  
9 The tissue was then dehydrated with increasing concentrations of ethanol and embedded in paraffin.  
10 5- $\mu$ m thick sections were cut using a microtome (Leica Microsystems) in the portion close to the optic  
11 chiasma. The Woelcke staining for myelin was performed on the sections after dewaxing by  
12 specialized technicians and the images acquired in transmitted light at 40X magnification and  
13 analyzed using ImageJ.

14 For the myelin basic protein staining, antigen retrieval in citrate buffer (pH 6) was performed on  
15 dewaxed sections. The samples were then blocked for one hour in PBS-Triton 0.1 % + NGS 5 %  
16 (Jackson Immuno Research) and incubated at 4 °C overnight with the primary antibody (rabbit anti-  
17 MBP 1:200, ab40390, Abcam). The following day, the sections were washed in PBS and incubated 1  
18 hour at room temperature with the secondary antibody (goat anti rabbit Alexa-488). The samples  
19 were mounted for imaging using Fluoromount (Sigma-Aldrich) solution. The images were acquired  
20 with a confocal microscope (LSM-880, Carl Zeiss) at 63 X magnification and analyzed using ImageJ.

21 **Electrophysiology.** For optic nerve stimulation the OpticSELINE was attached to a current stimulator  
22 (IZ2MH; Tucker-Davis Technologies), while for cortical recordings the ECoG array (E32-1000-30-200;  
23 NeuroNexus) was connected to an amplifier (PZ5; Tucker-Davis Technologies) via a 32-channels  
24 analog headstage (ZIF-Clip® Analog Headstage; Tucker-Davis Technologies). Optic nerve stimulation  
25 was performed with 13 pulse amplitudes (10, 25, 50, 75, 100, 150, 200, 250, 500, 750, 1000, 1500, and  
26 200  $\mu$ A) and 5 pulse durations (50, 100, 150, 200, and 400 ms) delivered in a scrambled manner. Data  
27 were filtered between 0.5 Hz and 2 kHz and digitalized at 12 kHz. Epochs (from -100 to 750 ms)

1 synchronous to the onset of the stimulation were then extracted from the data stream and data  
2 analysis was performed with Matlab (Mathworks).

3 **Blind source separation.** For each recording electrode and each stimulation intensity, epochs were  
4 concatenated and processed with an AMICA<sup>48</sup> core and GPU-processed infomax reliable ICA (RELICA)  
5 algorithm<sup>49</sup>. Dimensionality reduction on the data as a preprocessing step to ICA was not performed<sup>50</sup>  
6 . RELICA allowed to test the repeatability of ICs appearing in decompositions of bootstrapped versions  
7 of the input data and to retain only stable ICs for further analysis. Given the multivariate dataset from  
8 the 32 recording electrodes  $X(\text{electrode}, \text{time})$ , ICA extracts an unmixing matrix  $W$  ( $32 \times 32$ ) such that  
9 the IC time courses  $S=WX$  are maximally independent. Rows of  $W$  represent the weights applied to  
10 each electrode to obtain the corresponding ICs  $S$ . The column  $i^{\text{th}}$  of the mixing matrix  $A$  (pseudoinverse  
11 of  $W$ ) represents the weight of the  $i^{\text{th}}$  IC on each recording electrode and can be represented as an  
12 activation map. Each activation map was obtained by projecting the weights of the unmixing matrix  $A$   
13 onto the layout of the ECoG array, then by spatially interpolating them with a spline-function, and  
14 finally by normalizing the maps to the maximal absolute value present in the interpolated map. IC  
15 grand average time courses, obtained by performing the average over trials for each stimulation  
16 intensity, formed the IC-EEPs. The percent of variance accounted for (PVAF) by each IC on each  
17 electrode was computed and represented as a PVAF activation map. ICs were categorized into several  
18 classes, namely: flat, common, artifact, noise, and meaningful (**Supplementary Fig. 4a**). First, low  
19 frequency components of the signal were removed using a zero-phase high-pass filter with a 5 Hz cut-  
20 off frequency. Then artifact ICs, exhibiting a large activation within the first 5 ms from the stimulus  
21 onset, were identified by visual inspection and removed. To verify that the artifact ICs were correctly  
22 identified, all the other ICs were back-projected. The initial portion of the back-projected signal (0 to 5  
23 ms) was indeed exclusively affected by the manual removal of the artifact ICs when compared to the  
24 original signal (**Supplementary Fig. 9**). Following the identification of the artifact, the noise ICs were  
25 identified by computing the frequency plot of the signal; the ICs exhibiting unusual peaks in the 250 to  
26 500 Hz frequency range were labeled as noise (**Supplementary Fig. 4b**). Amongst the remaining ICs  
27 (**Supplementary Fig. 4c**), the ones with a peak-to-peak variation ( $\Delta$ ) in the time course (time frame  
28 from 5 to 25 ms after the stimulus) smaller than 3 times the standard deviation of the time course ( $\sigma$ )

1 were labelled as flat ( $\Delta/\sigma < 3$ ). To separate meaningful ICs from common ICs, a similarity index was  
2 used; that is the correlation between the time courses of the different stimulating electrodes. ICs were  
3 classified as common (i.e. with visible meaningful activation but with similar time courses for all the  
4 stimulating electrodes) for a mean similarity index larger than 0.4. Distribution maps in the optic  
5 nerve were obtained by interpolating with a spline function the contribution of every stimulating  
6 electrodes to each IC. The contour of the optic nerve was set to zero.

7 ***Statistical analysis and graphical representation.*** Statistical analysis and graphical representation  
8 were performed with Prism (GraphPad Software Inc.). The normality test (D'Agostino & Pearson  
9 omnibus normality test) was performed in each dataset to justify the use of a parametric or non-  
10 parametric test. In each figure p-values were represented as: \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ , and  
11 \*\*\*\*  $p < 0.0001$ . Data are reported as mean  $\pm$  s.e.m. or mean  $\pm$  s.d.,  $n$  is used to identify the number of  
12 electrodes used;  $N$  is used to identify the number of animals.

13 ***Data availability.*** The authors declare that all other relevant data supporting the findings of the study  
14 are available in this article and in its Supplementary Information file. Access to our raw data can be  
15 obtained from the corresponding authors upon reasonable request.

















