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# Spin asymmetries $A_1$ and structure functions $g_1$ of the proton and the deuteron from polarized high energy muon scattering

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We present the final results of the spin asymmetries  $A_1$  and the spin structure functions  $g_1$  of the proton and the deuteron in the kinematic range  $0.0008 < x < 0.7$  and  $0.2 < Q^2 < 100 \text{ GeV}^2$ . For the determination of  $A_1$ , in addition to the usual method which employs inclusive scattering events and includes a large radiative background at low  $x$ , we use a new method which minimizes the radiative background by selecting events with at least one hadron as well as a muon in the final state. We find that this hadron method gives smaller errors for  $x < 0.02$ , so it is combined with the usual method to provide the optimal set of results.

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## I. INTRODUCTION

Polarized deep inelastic lepton-nucleon scattering is an important tool to study the spin structure of the nucleon. Measurements with proton, deuteron, and helium-3 targets have determined the spin structure functions of the nucleon and have verified the Bjorken sum rule [1], which is a fundamental relation of QCD.

In the last five years, the Spin Muon Collaboration (SMC) at CERN has reported experimental results on the spin structure of the proton [2–8] and of the deuteron [3,5,8–11], measured in inelastic muon scattering at beam energies of 100 and 190 GeV. Thus far our published results for the virtual photon-proton and virtual photon-deuteron cross section asymmetries  $A_1^p(x, Q^2)$  and  $A_1^d(x, Q^2)$  and for the spin-dependent structure functions  $g_1^p(x, Q^2)$  and  $g_1^d(x, Q^2)$  have been obtained from inclusive scattering events. These results are updated in this paper, principally with a final value for the muon beam polarization.

Since the inclusive scattering events include a large radiative background at low  $x$ , we now employ a new and alter-

native method of determining the asymmetries which requires at least one hadron as well as a muon in the final state. This hadron method removes the background due to elastic and quasielastic scattering accompanied by a high energy bremsstrahlung photon, and improves the statistical accuracy of the measurement at low  $x$ . A similar method has been applied successfully by the New Muon Collaboration (NMC) [12] and the E665 [13] analyses of  $F_2$  structure function ratios.

Our final results for the asymmetries  $A_1^p$  and  $A_1^d$  are based on both the inclusive and the hadron methods and cover the kinematic region of  $0.0008 < x < 0.7$  and  $Q^2 > 0.2 \text{ GeV}^2$ . An optimal set is defined with the inclusive method being used for  $x > 0.02$  and the hadron method for  $x < 0.02$ . In the low  $x$  region the statistical errors from the hadron method are smaller than those from the inclusive method. The range of reduction varies from 1 to 0.6 with decreasing  $x$ . For  $Q^2 > 1 \text{ GeV}^2$  the lowest  $x$  reached is 0.003 where the reduction factor is 0.8. Results presented here stem from 15.6 and 19.0 million events accepted after all cuts for the  $A_1^p$  and the  $A_1^d$  determinations, respectively.

The outline of this paper is as follows. Section II gives the formulae for the asymmetry determination and explains the update of the beam polarization, while Sec. III describes in detail the hadron method. In Sec. IV, after showing the updated result for the  $A_1$  measurement with the inclusive method, we give the results for the hadron method, compare both, and finally define the optimal data set by using the hadron method at low  $x$  and the inclusive one at high  $x$ . Section V presents the structure functions  $g_1$  and Sec. VI their integrals in the measured  $x$  range as well as their first moments with contributions from the unmeasured region taken from the QCD analysis (see our following paper [14]). In Sec. VII we calculate the nonsinglet combination  $g_1^p - g_1^n$ , compare it to the corresponding unpolarized combination  $F_1^p - F_1^n$ , and compute its integral in the measured range and its first moment. Section VIII contains a summary. The detailed discussion of the first moments  $\Gamma_1^{p,d}$  and the Bjorken sum rule is presented in our following paper [14]. The Appendix gives a parametrization of the world data on the spin

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TABLE I. Main characteristics of different measurements in the SMC experiment: beam energy, target material, and average target polarization with the relative accuracy of its measurement. The last column refers to publications concerning the experiments.

Year	Beam energy (GeV)	Target	Target polarization		References
			$\langle P_t \rangle$	$\Delta P_t / P_t$ (%)	
1992	100	C <sub>4</sub> D <sub>9</sub> OD	0.40	$\pm 5$	[9,5,8,11]
1993	190	C <sub>4</sub> H <sub>9</sub> OH	0.86	$\pm 3.0$	[2,4,6,7,5,8]
1994	190	C <sub>4</sub> D <sub>9</sub> OD	0.49	$\pm 5.4$	[10,11,5,8]
1995	190	C <sub>4</sub> D <sub>9</sub> OD	0.50	$\pm 2.1$	[11,8]
1996	190	NH <sub>3</sub>	0.89	$\pm 2.7$	[7,8]

independent structure functions  $F_2^{p,d}$  which we used in the analysis.

## II. ASYMMETRY DETERMINATION

The experimental setup and the data taking procedure are described elsewhere [6]. Evaluation of the cross section asymmetries for parallel and antiparallel configurations of longitudinal beam and target polarizations

$$A_{\parallel} = \frac{\sigma^{\uparrow\downarrow} - \sigma^{\uparrow\uparrow}}{\sigma^{\uparrow\downarrow} + \sigma^{\uparrow\uparrow}}, \quad (1)$$

from the measured counting rate asymmetry  $A_{\parallel}^{\text{meas}}$  requires knowledge of the incident muon and target nucleon polarizations  $P_{\mu}$  and  $P_t$  and of the dilution factor  $f$  which accounts for the fact that only a fraction of the target nucleons is polarizable ( $A_{\parallel}^{\text{meas}} = f P_t P_{\mu} A_{\parallel}$ ).

The beam polarization was determined in a dedicated setup, by measuring the cross section asymmetry for the scattering of polarized beam muons from longitudinally polarized atomic electrons [6,15] and, independently, by measuring the energy spectrum of the positrons originating from muon decays [16,17]. The former method results in  $P_{\mu} = -0.788 \pm 0.023$  and the latter in  $P_{\mu} = -0.806 \pm 0.029$ , which are combined to give

$$P_{\mu} = -0.795 \pm 0.019 \quad (2)$$

for an average muon energy of 187.4 GeV. The analysis of the decay method has been improved, and for both methods the results are statistically compatible with results obtained before with only part of the data [7,16]. The muon beam is not monochromatic and the polarization depends on the energy. The polarization used in our previous publications [7,11] is equivalent to  $P_{\mu} = -0.763 \pm 0.03$  for an average energy of 187.4 GeV. The relative change of 4% in  $P_{\mu}$  with respect to Eq. (2) will directly reflect in the asymmetry. The beam polarization for the small part of the data obtained at lower beam energy,  $P_{\mu} = -0.81 \pm 0.03$  for an average beam energy of 99.4 GeV, is the same as before.

The various target materials and the typical proton or deuteron polarizations are listed in Table I. A detailed description of the target setup can be found in Refs. [6, 18].

The asymmetries  $A_{\parallel}^{p,d}$  and the spin-dependent structure functions  $g_1^{p,d}$  are related to the virtual photon-proton (deuteron) asymmetries  $A_1^{p,d}$  and  $A_2^{p,d}$  [19,20] by

$$A_{\parallel}^{p,d} = D(A_1^{p,d} + \eta A_2^{p,d}),$$

$$g_1^{p,d} = \frac{F_2^{p,d}}{2x(1+R)} (A_1^{p,d} + \gamma A_2^{p,d}), \quad (3)$$

where the factors  $\eta$  and  $\gamma$  depend only on kinematic variables. The depolarization factor  $D$  depends in addition on the ratio of the photoabsorption cross sections for longitudinally and transversely polarized virtual photons  $R = \sigma_L / \sigma_T$ . The virtual photon-proton asymmetries are defined as

$$A_1^p = \frac{\sigma_{1/2} - \sigma_{3/2}}{\sigma_{1/2} + \sigma_{3/2}}, \quad A_2^p = \frac{2\sigma^{TL}}{\sigma_{1/2} + \sigma_{3/2}}, \quad (4)$$

where  $\sigma_{1/2}$  ( $\sigma_{3/2}$ ) is the photoabsorption cross section of a transversely polarized virtual photon by a proton, with total spin projection  $\frac{1}{2}$  ( $\frac{3}{2}$ ) in the photon direction and  $\sigma^{TL}$  is a term arising from the interference between transverse and longitudinal amplitudes. For more details regarding the kinematic factors  $\eta$ ,  $\gamma$ , and  $D$  the reader is referred to Ref. [6]. Corresponding formulas for the deuteron are

$$A_1^d = \frac{1}{2} (\sigma_0^T - \sigma_2^T) / \sigma_T,$$

$$A_2^d = \frac{1}{2} (\sigma_0^{TL} + \sigma_1^{TL}) / \sigma_T. \quad (5)$$

Here  $\sigma_T = \frac{1}{3}(\sigma_0^T + \sigma_1^T + \sigma_2^T)$  is the transverse photoabsorption cross section,  $\sigma_J^T$  is the cross section for absorption of a virtual photon by a deuteron with total spin projection  $J$  in the photon direction, and  $\sigma_J^{TL}$  results from the interference between transverse and longitudinal amplitudes for  $J=0,1$ .

In the kinematic region of our measurement  $\eta$  and  $\gamma$  are small. The asymmetries  $A_2^p$  and  $A_2^d$  were measured and found to be consistent with zero [4,11,21]. For these reasons we neglect the  $A_2$  terms in Eq. (3) and estimate the systematic uncertainty in  $A_1$  due to a possible contribution of  $A_2$  [7,11].

## III. THE HADRON METHOD

### A. Description of the procedure

In previous publications the determination of  $A_1$  from SMC data was done using an inclusive event selection, requiring only a scattered muon. In addition to deep inelastic scattering events, the resulting sample includes scattering events which are elastic on free target nucleons, or elastic or quasielastic on target nuclei and which are accompanied by the radiation of a hard photon. These radiative events do not carry any information on the spin structure of the nucleon and only degrade the statistical accuracy of the measurement. Elastic  $\mu$ -e interactions also do not carry any information on the nucleon spin; they are peaked at  $x = m_e / m_p \approx 0.0005$  and give for  $x > 0.0008$  only a small contribution, which is not

considered in the following discussion. The described radiative events dilute the spin effects in the cross section for the inclusive sample, similarly to the nonpolarizable nuclei in the target, accounted for by the dilution factor  $f$ . The effective dilution factor  $f'$ ,

$$f' = \frac{\sigma_{1\gamma}^{p,d}}{\sigma_{\text{tot}}^{p,d}} f = \frac{n_{p,d} \sigma_{1\gamma}^{p,d}}{\sum_A n_A \sigma_{\text{tot}}^A}, \quad (6)$$

accounts for both diluting sources. The sum runs over all types of target nuclei. Essentially only protons or deuterons are polarized in the target. For the description of a small correction to the asymmetry due to the polarized background of  $^{14}\text{N}$  for the  $\text{NH}_3$  target and of protons for the deuterated butanol target, see Refs. [2, 7]. The total cross section  $\sigma_{\text{tot}}$  and the one-photon-exchange (Born) cross section  $\sigma_{1\gamma}$  are related by  $\sigma_{\text{tot}} = \lambda \sigma_{1\gamma} + \sigma_{\text{tail}}^{\text{el}} + \sigma_{\text{tail}}^{\text{qel}} + \sigma_{\text{tail}}^{\text{inel}}$ , where the  $\sigma_{\text{tail}}$  terms are the cross sections from the radiative tails (elastic, quasi-elastic, and inelastic reactions). The factor  $\lambda$ , which does not depend on the polarization, corrects for higher order contributions: virtual (vacuum and vertex corrections) and soft real photon radiation [6]. For an effective measurement the dilution factor  $f'$  should be large.

In the new method of analyzing the data we use only events for which at least one hadron track has been reconstructed; then these *hadron-tagged events* do not include any contribution from  $\sigma_{\text{tail}}^{\text{el}}$  and  $\sigma_{\text{tail}}^{\text{qel}}$  since the recoil proton can not be observed in our spectrometer due to its small energy. The total cross section for hadron-tagged events thus reduces to

$$\sigma_{\text{tot}}^{\text{tagged}} = \lambda \sigma_{1\gamma} + \sigma_{\text{tail}}^{\text{inel}}. \quad (7)$$

In the calculation of the effective dilution factor  $f'$  for hadron-tagged events,  $\sigma_{\text{tot}}^{\text{tagged}}$  replaces  $\sigma_{\text{tot}}$  in Eq. (6) and the effective dilution factor increases accordingly,<sup>1</sup> in particular at low  $x$ , as can be seen in Fig. 1.

The fraction of deep inelastic events which would not be selected as hadron-tagged events with  $Q^2 > 1 \text{ GeV}^2$  for our spectrometer was estimated by a Monte Carlo simulation to be in the range of 2–7 % for  $x < 0.02$  and to increase at higher  $x$ . This loss of events worsens the statistical accuracy only with a square root dependence while the increase in the dilution factor improves it linearly. The result is that the hadron method gives a net gain in statistical accuracy for  $x < 0.02$ .

### B. Event selection

As for the inclusive method, events have to satisfy the following kinematic cuts: energy of the scattered muon  $E'_\mu > 19 \text{ GeV}$ ,  $\nu = E_\mu - E'_\mu > 15 \text{ GeV}$ ,  $y = \nu/E_\mu < 0.9$ , and scattering angle  $\theta > 2 \text{ mrad}$ . Events are then labeled inelastic when at least one hadron is found in the final state. As only

<sup>1</sup>Actually the contribution from  $\sigma_{\text{tail}}^{\text{inel}}$  is also reduced by the requirement that a hadron above a certain energy threshold has to be produced. The estimate of this reduction is included only in the systematic error.

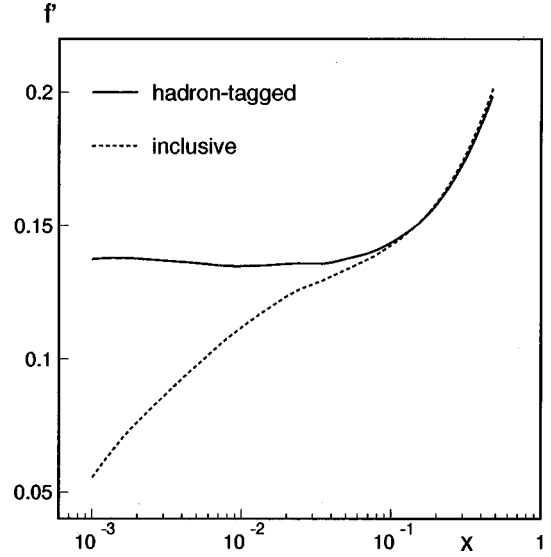


FIG. 1. Effective dilution factor  $f'$  for hadron tagged and for inclusive events from the ammonia target.

tracks of charged particles are reconstructed in our spectrometer we can observe neutral hadrons indirectly via their charged decay products, or in the case of a  $\pi^0$  meson through converted photons from its decay.

For hadron-tagged events we require, in addition to a scattered muon either one or more tracks pointing to the muon interaction vertex, or a pair of tracks with positive and negative charge from a secondary vertex. The sample, selected in this way, still contains some unwanted radiative events in which the bremsstrahlung photon is converted. These unwanted events occur at large  $y$  and at a small angle  $\alpha$  between the direction of the produced particle and the direction of the muon momentum loss  $\vec{p}_\mu - \vec{p}'_\mu$ , which for radiative elastic and quasielastic events is very close to the direction of the bremsstrahlung photon. An enhancement of events at small  $\alpha$  and large  $y$  is indeed seen in the data; it disappears if a signature for a charged hadron is required in the calorimeter [22]. Also, such an enhancement is not present in a Monte Carlo simulation which includes only deep inelastic scattering (DIS) events. To remove these radiative events from the sample, but not events with  $\pi^0$  mesons, additional conditions were applied: to keep an event we require that tracks, giving a calorimeter response compatible with that for electrons, have  $\alpha > 4 \text{ mrad}$  or belong to an event with  $y < 0.6$ . The same is required for a pair of tracks from a secondary vertex compatible with photon conversion. The events surviving all of these cuts define the sample of hadron-tagged events.

### C. Tests of the procedure

As a first test of the procedure of asymmetry extraction with hadron tagging the fraction of inclusive events selected as hadron-tagged events is compared with the expected one. The latter is calculated from the ratio of the corresponding effective dilution factors and the probability of detecting at least one hadron in DIS events. This probability was estimated with the Monte Carlo simulation mentioned before.

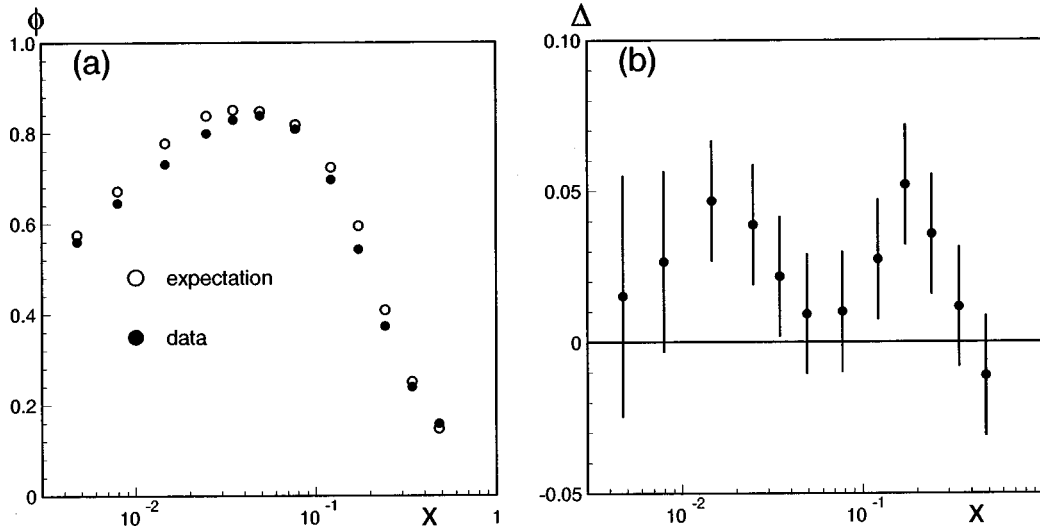


FIG. 2. (a) Fraction  $\phi$  of the inclusive events selected as hadron tagged observed in the data, for the ammonia target, compared with the expectation (see text). (b) Difference  $\Delta$  of the two fractions. Errors show the systematic uncertainty of the expected fraction of hadron-tagged events.

The comparison is presented in Fig. 2 for events with  $Q^2 > 1 \text{ GeV}^2$  for which the fragmentation into hadrons is reliably described in the simulation. In the case of inefficient removal of radiative events, the fraction of inclusive events selected as hadron-tagged events would be larger than expected. Figure 2 shows that this is not the case.

The sensitivity of the measured asymmetry to the selection with tagging was checked by varying the tagging criteria as follows: keeping only tracks giving a good vertex fit, removing all tracks with an energy deposit in the calorimeter consistent with that expected for an electron, applying the cut on  $\alpha$  to all tracks, or changing this cut from 4 to 2 mrad. The resulting differences in the asymmetries are compatible with zero for all  $x$  bins. For  $x < 0.02$ , where we will apply hadron tagging (see Sec. IV), the corresponding  $\chi^2$  probabilities are in the range of 5–70 % for the proton and 30–89 % for the deuteron.

Possible biases on  $A_1$  introduced by hadron-tagging were also studied with a dedicated Monte Carlo simulation for  $Q^2 > 1 \text{ GeV}^2$ . The program POLDIS [23] was used to generate events, and the spectrometer acceptance for hadrons was approximated by requiring forward produced hadrons with momentum  $p_h > 5 \text{ GeV}$  and  $z = E_h/\nu > 0.1$ , where  $E_h$  is the hadron energy. The asymmetries were calculated for events with such hadrons and compared to those obtained for all events. The differences are shown as a function of  $x$  in Fig. 3 for the proton and the deuteron. For the proton, the asymmetries calculated from hadron-tagged events are larger at high  $x$ . This is to be expected because in this region of  $x$  the total energy of the hadronic final state  $W$  is not very high and the observed hadron is most likely to be the leading one. Since the detection efficiency for charged hadrons, which are more abundant in  $u$ -quark than in  $d$ -quark fragmentation, is higher than for neutral hadrons, the hadron-tagged sample is enriched with scattering on  $u$  quarks compared to the inclusive sample. From semi-inclusive measurements [8] it is known that the polarization of the valence  $u$  quarks is positive

whereas that of the valence  $d$  quarks is negative. Therefore, one expects higher values of  $A_1$  for the hadron-tagged event sample. If the hadron selection is relaxed ( $z > 0.05$  and  $p_h > 3 \text{ GeV}$ ) more nonleading hadrons are accepted and the asymmetry gets closer to the one for inclusive events, as can be seen in Fig. 3. At low  $x$  the available energy is large and the tagging no longer favors scattering on  $u$  quarks. The asymmetries for hadron-tagged and inclusive events should therefore be the same. Indeed, in this region the estimated differences are negligibly small. For the deuteron the effect of hadron tagging on  $A_1$  is very small, as can be seen in Fig. 3. This is expected from isospin invariance. The hadron method is applied to the data at low  $x$ , also for  $Q^2 < 1 \text{ GeV}^2$ , where we do not expect a bias since  $W$  is large.

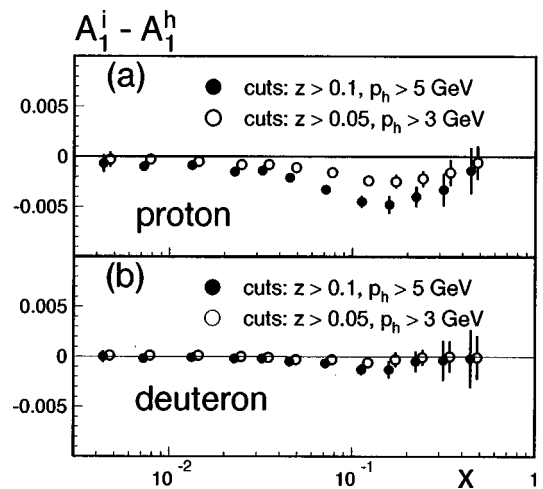


FIG. 3. The differences of  $A_1^i - A_1^h$  calculated from Monte Carlo for all generated DIS events ( $A_1^i$ ) and for events with at least one forward hadron surviving cuts on  $z$  and on the hadron momentum ( $A_1^h$ ). The results are shown for two sets of cuts for the proton and for the deuteron.

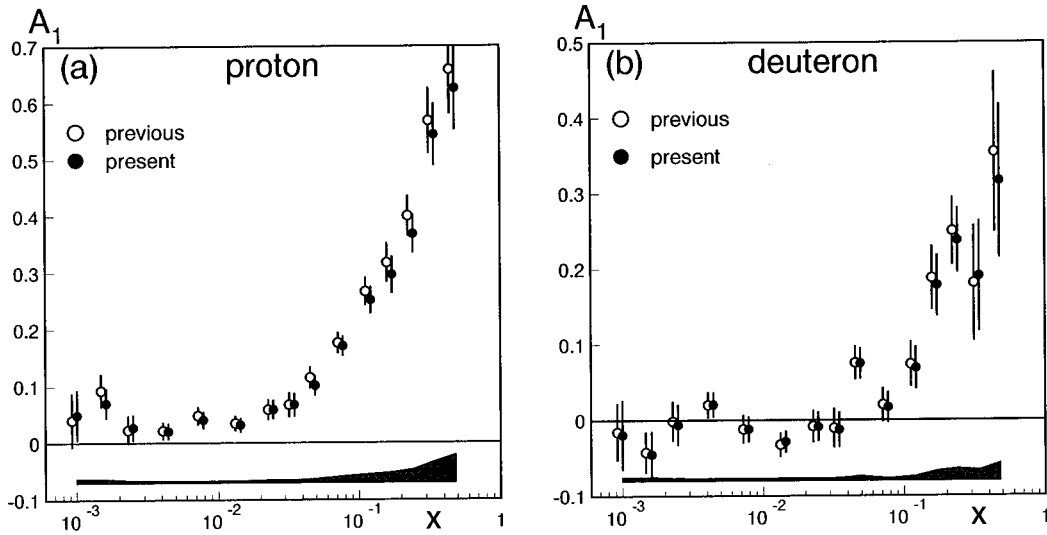


FIG. 4. The values of  $A_1$  for (a) proton and (b) deuteron, updated as discussed in the text, in comparison with previously published results of Refs. [7] and [11]. Statistical errors are shown as error bars, while the shaded bands below indicate the systematic uncertainty.

#### IV. RESULTS FOR $A_1$ ASYMMETRIES

##### A. Updated $A_1$ with inclusive event selection

We have updated our previously published results on  $A_1$  [7,11] for the proton and the deuteron using the new value of the beam polarization, given in Eq. (2). This leads to a 4% reduction of the  $A_1$  values compared to the previous ones. In addition, there were other improvements which are discussed below.

The proton data collected in 1993 have been reprocessed with several improvements introduced since the original analysis. The most important was that information from an additional tracking chamber placed inside the spectrometer magnet just prior to the 1993 run was included in the track reconstruction. Also, the small angle triggers were treated in an improved way in the reconstruction. These changes,

among others, resulted in a gain of approximately 10% in the number of events, mainly at low  $x$ . The new combined proton asymmetries are shown in Fig. 4(a) along with the values from our previous publication [7].

The updated result for  $A_1$  of the deuteron has been obtained using a new parametrization for  $F_2^d$  obtained in a similar way as the parametrization for  $F_2^p$  used in Ref. [7]. These  $F_2$  fits are described in the Appendix. The parametrization for  $R$  used for  $x < 0.12$  is based on recently published NMC [24] data, while for  $x > 0.12$  we use the  $R$  parametrization from SLAC [25], as before. The new values of  $R$  change the depolarization factor at low  $x$ , while  $F_2^d$  and  $R$  enter in the effective dilution factor and also in the polarized radiative corrections. The overall effect of these changes is small. Figure 4(b) presents the updated results compared with the results from our previous publication [11].

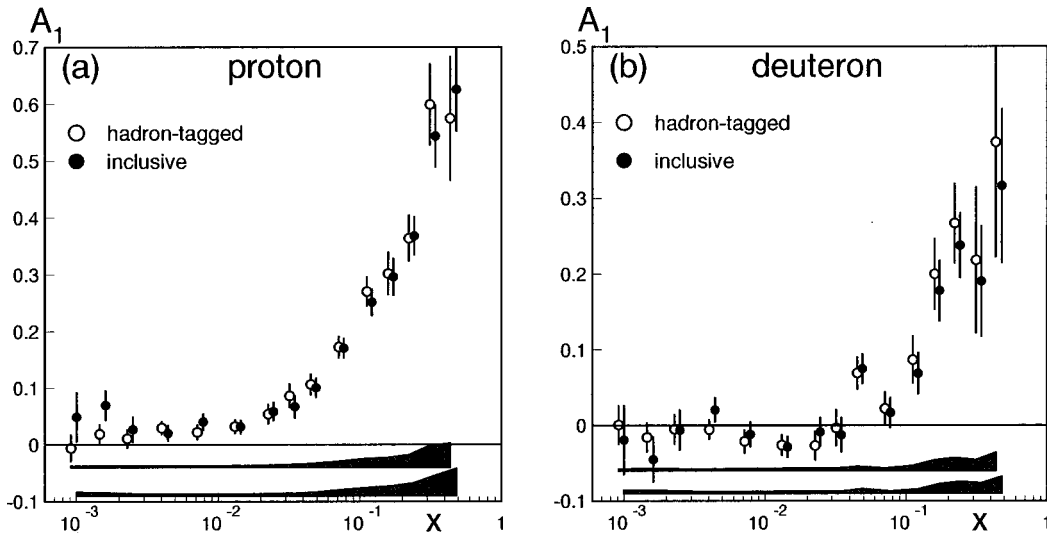


FIG. 5. The values of  $A_1$  for the two types of event selections, inclusive and hadron tagged. The upper shaded bands indicate the systematic uncertainty of  $A_1$  for the hadron-tagged selection, while the lower shaded bands indicate this for the inclusive selection.

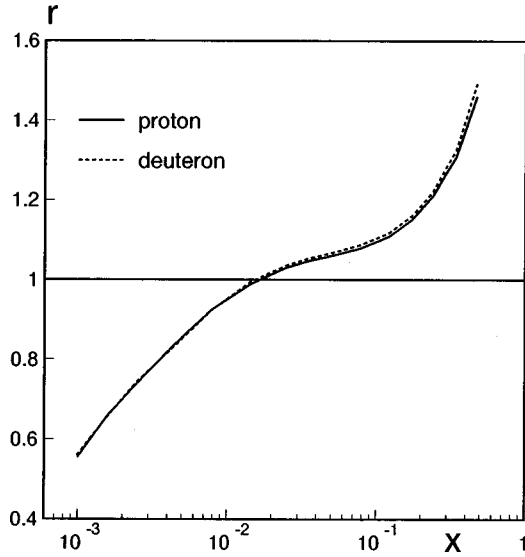


FIG. 6. The ratio  $r$  of statistical errors for  $A_1$  from hadron-tagged events and from inclusive events as a function of  $x$ , for proton and for deuteron.

### B. $A_1$ for hadron tagged events

The SMC data on polarized protons and polarized deuterons were also analyzed using only hadron-tagged events. The results are presented in Fig. 5 as a function of  $x$ .

Most of the systematic errors were treated in the same way as for the inclusive analysis [7]. They arise from the uncertainties of the target and the beam polarizations, the polarized background, the value of  $R$ , the neglect of the  $A_2$  contribution, and the momentum resolution. In addition, the uncertainties in the effective dilution factor and the radiative corrections include the uncertainty in  $\sigma_{\text{tail}}^{\text{inel}}$ , which is taken as 30% of its value. This accounts for events with hard photon radiation, where the available energy for fragmentation into hadrons is reduced, and which may not be tagged. The uncertainty due to acceptance variation with time includes the

effect of changes in the acceptance for both the scattered muon and for the hadrons.

### C. Comparison of $A_1$ for inclusive and hadron-tagged events

The  $A_1$  asymmetries for the two types of event selections, inclusive and hadron tagged, are compared in Fig. 5. The differences are small except for the two lowest  $x$  points for the proton data.

As explained before, the results for the event selection with hadron tagging have smaller statistical errors at low  $x$ , while the inclusive event selection gives more precise results for high  $x$ . This can be seen in Fig. 6, which gives the ratio of the statistical errors for  $A_1$  obtained with the two types of event selections as a function of  $x$ .

### D. Optimal set of $A_1$ from SMC data

Figure 6 demonstrates that for  $x < 0.02$  the more accurate results for  $A_1$  are obtained by using hadron-tagged events, while for  $x > 0.02$  the inclusive events give the more precise result. We therefore take as the optimal set of  $A_1$  values the results from the hadron method for  $x < 0.02$  and the results from the inclusive method for  $x > 0.02$ . This leads to the  $A_1$  values in bins of  $x$  presented in Fig. 7 and Tables II and III. The hadron method is used for the lowest 6  $x$  bins for the data shown in Fig. 7. Contributions to the systematic error are detailed in Tables IV and V for each  $x$  bin and their quadratic sum is shown as a band in Fig. 7.

The weak  $Q^2$  dependence of  $A_1^p$  and  $A_1^d$  in each bin of  $x$  is presented in Figs. 8 and 9 and Tables VI and VII. From perturbative QCD a different  $Q^2$  behavior is expected for the structure functions  $F_1$  and  $g_1$ , hence  $A_1 \approx g_1/F_1$  should be  $Q^2$  dependent. This dependence follows from the Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) equations [26]. It was determined in our QCD analysis, performed in next-to-leading order (NLO), which is presented in the following paper [14]. The results are shown as the solid

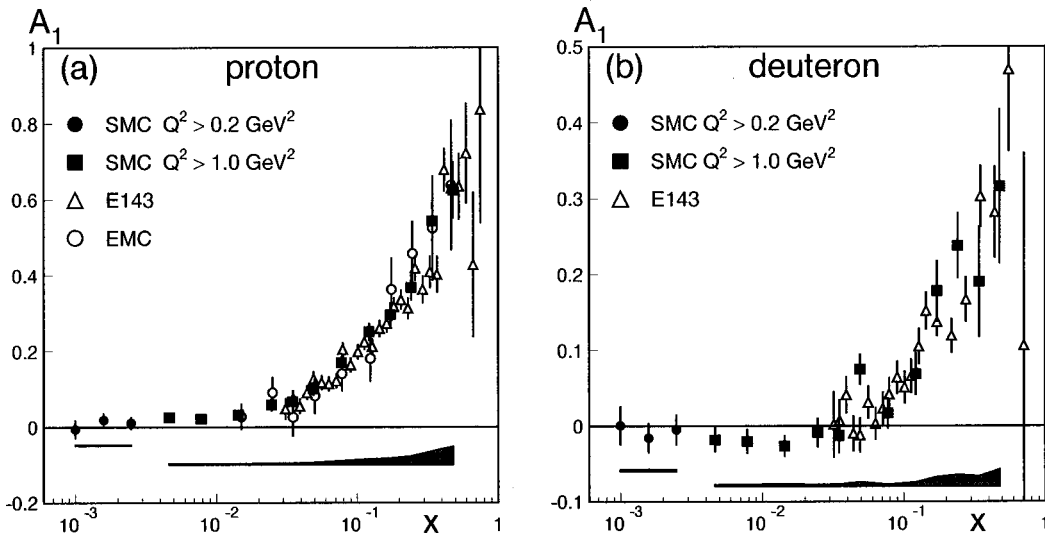


FIG. 7. The optimal set of SMC results for  $A_1$  together with the results from other experiments. Statistical errors are shown as error bars, while the shaded bands below indicate the systematic uncertainty for the SMC measurements.



TABLE II. Optimal set of asymmetries  $A_1^p(x)$  from SMC data. The first error is statistical and the second is systematic. The first three bins have  $Q^2 > 0.2 \text{ GeV}^2$ , while the remaining ones have  $Q^2 > 1 \text{ GeV}^2$ . Only the  $Q^2 > 1 \text{ GeV}^2$  bins are used in the QCD analysis mentioned in Sec. VI.

$x$ range	$\langle x \rangle$	$\langle Q^2 \rangle$ ( $\text{GeV}^2$ )	$A_1^p$
0.0008–0.0012	0.001	0.3	$-0.004 \pm 0.025 \pm 0.002$
0.0012–0.002	0.002	0.5	$0.021 \pm 0.018 \pm 0.003$
0.002–0.003	0.002	0.7	$0.014 \pm 0.017 \pm 0.003$
0.003–0.006	0.005	1.3	$0.029 \pm 0.014 \pm 0.003$
0.006–0.010	0.008	2.1	$0.026 \pm 0.014 \pm 0.003$
0.010–0.020	0.014	3.6	$0.036 \pm 0.013 \pm 0.003$
0.020–0.030	0.025	5.7	$0.059 \pm 0.017 \pm 0.004$
0.030–0.040	0.035	7.8	$0.068 \pm 0.021 \pm 0.004$
0.040–0.060	0.049	10.4	$0.101 \pm 0.018 \pm 0.006$
0.060–0.100	0.077	14.9	$0.170 \pm 0.018 \pm 0.011$
0.100–0.150	0.122	21.3	$0.252 \pm 0.024 \pm 0.015$
0.150–0.200	0.173	27.8	$0.296 \pm 0.033 \pm 0.018$
0.200–0.300	0.242	35.6	$0.368 \pm 0.034 \pm 0.023$
0.300–0.400	0.342	45.9	$0.544 \pm 0.055 \pm 0.036$
0.400–0.700	0.480	58.0	$0.625 \pm 0.075 \pm 0.048$

lines in Figs. 8 and 9 and give a good description of the data. Also the assumption of  $A_1$  having no  $Q^2$  dependence, shown as the dashed lines in these figures, describes the data well.

## V. CALCULATION OF $g_1$

We evaluate  $g_1$  from Eq. (3), using our results for  $A_1$  from Tables II and III, neglecting the contribution from  $A_2$ . The unpolarized structure function  $F_2$  and the ratio  $R$  are evaluated at the  $x$  and  $Q^2$  values of our measurement of  $A_1$ ,

TABLE IV. Contributions to the systematic error for  $A_1^p(x)$  are the uncertainties of the false asymmetry contribution  $\Delta A_{\text{false}}$  due to the time variation of the spectrometer acceptance, the target and the beam polarizations  $\Delta P_t$  and  $\Delta P_\mu$ , the effective dilution factor  $\Delta f'$ , the radiative corrections  $\Delta \text{rc}$ , the neglect of  $A_2$ ,  $\Delta A_2$ , the ratio  $R$ ,  $\Delta R$ , the momentum resolution  $\Delta MR$ , and the polarized background from  $^{14}\text{N}$  in the ammonia target  $\Delta P_{\text{bg}}$ . The first three bins have  $Q^2 > 0.2 \text{ GeV}^2$ , while the rest have  $Q^2 > 1 \text{ GeV}^2$ .

$\langle x \rangle$	$\Delta A_{\text{false}}$	$\Delta P_t$	$\Delta P_\mu$	$\Delta f'$	$\Delta \text{rc}$	$\Delta A_2$	$\Delta R$	$\Delta MR$	$\Delta P_{\text{bg}}$
0.0010	0.0019	0.0001	0.0001	0.0002	0.0008	0.0010	0.0002	0.0000	0.0006
0.0016	0.0019	0.0006	0.0005	0.0012	0.0008	0.0012	0.0007	0.0001	0.0006
0.0025	0.0019	0.0004	0.0003	0.0008	0.0008	0.0013	0.0004	0.0000	0.0006
0.005	0.0018	0.0009	0.0007	0.0015	0.0009	0.0005	0.0009	0.0000	0.0005
0.008	0.0019	0.0008	0.0006	0.0013	0.0009	0.0007	0.0007	0.0001	0.0005
0.014	0.0020	0.0011	0.0009	0.0017	0.0008	0.0008	0.0013	0.0001	0.0004
0.025	0.0018	0.0018	0.0014	0.0011	0.0007	0.0003	0.0028	0.0002	0.0004
0.035	0.0018	0.0020	0.0016	0.0013	0.0008	0.0003	0.0027	0.0003	0.0004
0.049	0.0019	0.0030	0.0024	0.0019	0.0009	0.0003	0.0041	0.0005	0.0003
0.077	0.0019	0.0051	0.0040	0.0032	0.0009	0.0004	0.0079	0.0008	0.0004
0.122	0.0020	0.0076	0.0059	0.0049	0.0010	0.0005	0.0099	0.0012	0.0008
0.173	0.0021	0.0089	0.0069	0.0059	0.0010	0.0005	0.0118	0.0017	0.0010
0.242	0.0021	0.0110	0.0086	0.0078	0.0010	0.0022	0.0157	0.0023	0.0013
0.342	0.0021	0.0163	0.0127	0.0138	0.0009	0.0025	0.0258	0.0029	0.0017
0.480	0.0021	0.0188	0.0147	0.0223	0.0009	0.0029	0.0348	0.0034	0.0021

TABLE III. Optimal set of asymmetries  $A_1^d(x)$  from SMC data, otherwise same explanations as for Table II.

$x$ range	$\langle x \rangle$	$\langle Q^2 \rangle$ ( $\text{GeV}^2$ )	$A_1^d$
0.0008–0.0012	0.001	0.3	$0.001 \pm 0.026 \pm 0.002$
0.0012–0.002	0.002	0.5	$-0.016 \pm 0.020 \pm 0.003$
0.002–0.003	0.002	0.7	$-0.005 \pm 0.020 \pm 0.002$
0.003–0.006	0.005	1.3	$-0.018 \pm 0.016 \pm 0.002$
0.006–0.010	0.008	2.1	$-0.020 \pm 0.016 \pm 0.003$
0.010–0.020	0.014	3.5	$-0.027 \pm 0.015 \pm 0.003$
0.020–0.030	0.025	5.5	$-0.009 \pm 0.020 \pm 0.003$
0.030–0.040	0.035	7.5	$-0.013 \pm 0.024 \pm 0.003$
0.040–0.060	0.049	10.0	$0.075 \pm 0.021 \pm 0.006$
0.060–0.100	0.077	14.4	$0.017 \pm 0.021 \pm 0.003$
0.100–0.150	0.121	20.6	$0.069 \pm 0.028 \pm 0.006$
0.150–0.200	0.172	26.8	$0.178 \pm 0.041 \pm 0.013$
0.200–0.300	0.241	34.3	$0.238 \pm 0.044 \pm 0.015$
0.300–0.400	0.342	43.9	$0.190 \pm 0.073 \pm 0.014$
0.400–0.700	0.479	54.8	$0.316 \pm 0.102 \pm 0.022$

using the parametrizations mentioned in Sec. IV. In Fig. 10 and Tables VIII and IX we present  $g_1$  at the measured  $Q^2$  for the proton and the deuteron. For the first time we show  $g_1$  for data down to  $x=0.0008$ , which is possible because a valid parametrization of  $F_2$  for this region now exists. In the lowest bin of  $x$  we have  $Q^2$  values below  $1 \text{ GeV}^2$ .

## VI. FIRST MOMENTS OF $g_1^p$ AND $g_1^d$

We use our data in the kinematic region  $Q^2 > 1 \text{ GeV}^2$  (therefore  $x > 0.003$ ) to calculate the first moments of  $g_1^{p,d}(x, Q_0^2)$  at a fixed value of  $Q^2 = Q_0^2$ . The values of

TABLE V. Contributions to the systematic error for  $A_1^d(x)$ , otherwise same explanations as for Table IV, except that  $\Delta P_{bg}$  now refers to the contribution from protons in the deuterated butanol target.

$\langle x \rangle$	$\Delta A_{\text{false}}$	$\Delta P_t$	$\Delta P_\mu$	$\Delta f'$	$\Delta rc$	$\Delta A_2$	$\Delta R$	$\Delta MR$	$\Delta P_{bg}$
0.0010	0.0017	0.0000	0.0000	0.0000	0.0009	0.0009	0.0000	0.0000	0.0002
0.0016	0.0017	0.0009	0.0004	0.0008	0.0009	0.0010	0.0005	0.0000	0.0002
0.0025	0.0017	0.0000	0.0002	0.0003	0.0009	0.0013	0.0002	0.0000	0.0002
0.005	0.0016	0.0002	0.0004	0.0008	0.0010	0.0004	0.0006	0.0000	0.0002
0.008	0.0018	0.0011	0.0006	0.0010	0.0010	0.0006	0.0006	0.0000	0.0002
0.014	0.0020	0.0014	0.0006	0.0011	0.0010	0.0007	0.0009	0.0000	0.0002
0.025	0.0019	0.0007	0.0003	0.0002	0.0010	0.0012	0.0004	0.0001	0.0002
0.035	0.0019	0.0003	0.0005	0.0007	0.0010	0.0014	0.0006	0.0001	0.0003
0.049	0.0020	0.0029	0.0020	0.0016	0.0010	0.0016	0.0033	0.0002	0.0004
0.077	0.0021	0.0007	0.0004	0.0000	0.0012	0.0004	0.0008	0.0005	0.0006
0.121	0.0022	0.0031	0.0019	0.0016	0.0012	0.0005	0.0027	0.0008	0.0008
0.172	0.0024	0.0083	0.0045	0.0029	0.0013	0.0006	0.0071	0.0010	0.0011
0.241	0.0025	0.0084	0.0060	0.0038	0.0014	0.0018	0.0101	0.0012	0.0015
0.342	0.0026	0.0069	0.0050	0.0041	0.0012	0.0021	0.0089	0.0013	0.0021
0.479	0.0027	0.0094	0.0074	0.0041	0.0014	0.0024	0.0176	0.0014	0.0027

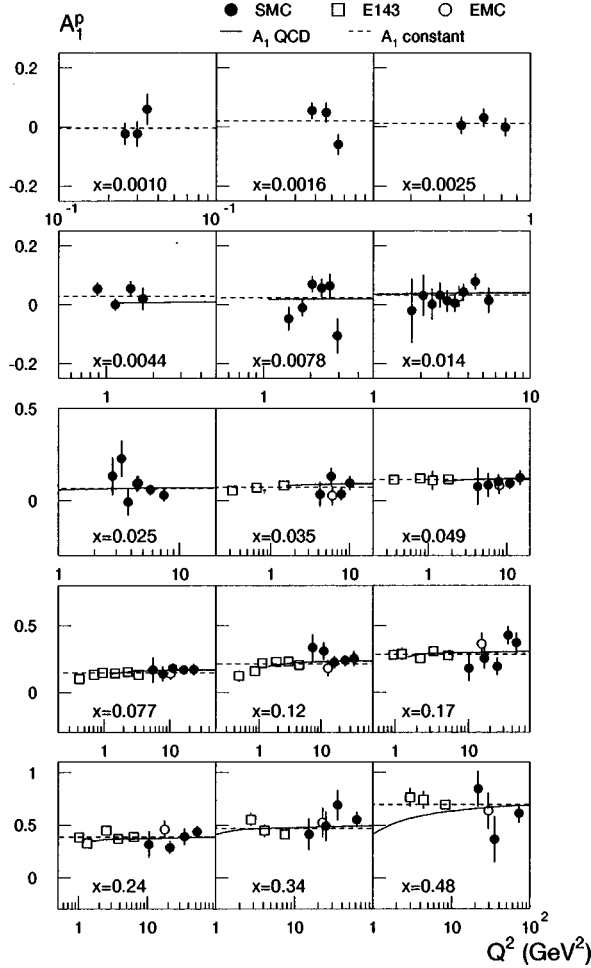


FIG. 8.  $A_1^p$  as a function of  $Q^2$  for different bins of  $x$  for the SMC data, where the value of  $x$  is the average value in each bin. The EMC and E143 results are also shown for comparison. Error bars show statistical uncertainties. The solid line is a result of the QCD analysis described in our next paper [14] and used in Sec. VI, while the dashed line is the fit assuming no  $Q^2$  dependence.

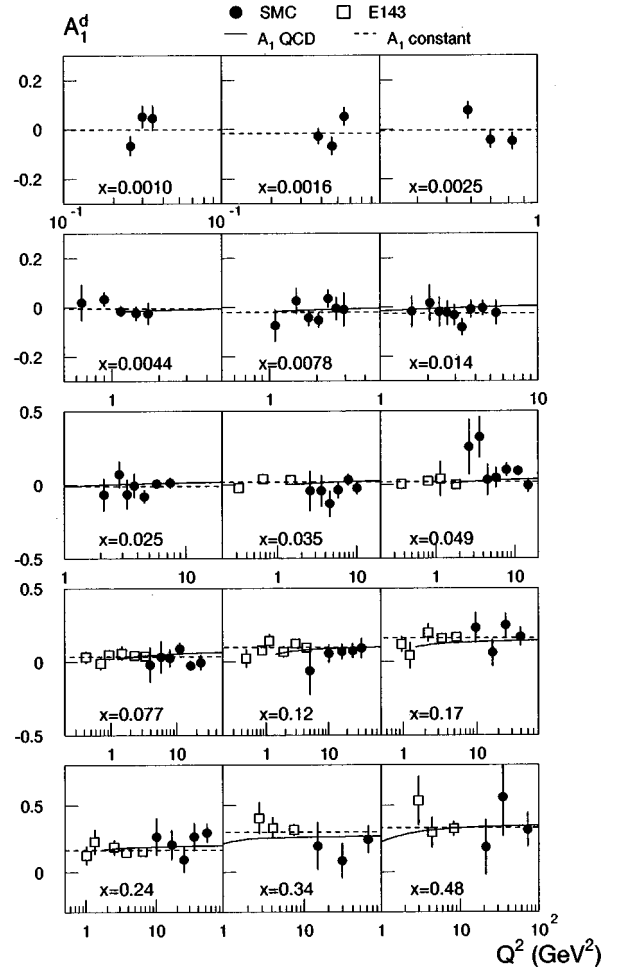


FIG. 9.  $A_1^d$  as a function of  $Q^2$  for different bins of  $x$  for the SMC data, where the value of  $x$  is the average value in each bin. The E143 results are also shown for comparison. Other explanations as for Fig. 8.

TABLE VI. Optimal set of asymmetries  $A_1^p(x, Q^2)$  from SMC data. The errors are statistical only.

$\langle x \rangle$	$\langle Q^2 \rangle$ (GeV <sup>2</sup> )	$A_1^p$	$\langle x \rangle$	$\langle Q^2 \rangle$ (GeV <sup>2</sup> )	$A_1^p$
0.0009	0.25	$-0.023 \pm 0.037$	0.0339	4.23	$0.032 \pm 0.068$
0.0010	0.30	$-0.023 \pm 0.043$	0.0342	5.80	$0.130 \pm 0.048$
0.0011	0.34	$0.062 \pm 0.051$	0.0344	7.77	$0.034 \pm 0.033$
0.0014	0.38	$0.056 \pm 0.028$	0.0359	10.14	$0.094 \pm 0.039$
			0.0472	4.29	$0.076 \pm 0.101$
0.0016	0.46	$0.051 \pm 0.033$	0.0474	5.85	$0.083 \pm 0.064$
0.0018	0.55	$-0.057 \pm 0.034$	0.0479	7.83	$0.103 \pm 0.038$
0.0022	0.59	$0.006 \pm 0.029$	0.0485	10.95	$0.091 \pm 0.027$
0.0025	0.70	$0.032 \pm 0.030$	0.0527	14.72	$0.123 \pm 0.040$
0.0028	0.82	$-0.002 \pm 0.031$	0.0737	5.47	$0.168 \pm 0.094$
0.0035	0.89	$0.055 \pm 0.023$	0.0744	7.88	$0.138 \pm 0.056$
0.0042	1.14	$0.003 \pm 0.019$	0.0750	11.08	$0.181 \pm 0.036$
0.0050	1.44	$0.059 \pm 0.024$	0.0762	16.30	$0.170 \pm 0.028$
0.0056	1.71	$0.025 \pm 0.038$	0.0856	23.10	$0.172 \pm 0.043$
0.0069	1.44	$-0.047 \pm 0.040$	0.1189	7.40	$0.335 \pm 0.098$
0.0071	1.76	$-0.007 \pm 0.029$	0.1196	11.14	$0.309 \pm 0.065$
0.0075	2.04	$0.073 \pm 0.027$	0.1200	16.48	$0.225 \pm 0.045$
0.0083	2.34	$0.060 \pm 0.032$	0.1205	24.82	$0.239 \pm 0.041$
0.0090	2.64	$0.069 \pm 0.041$	0.1293	34.31	$0.254 \pm 0.057$
0.0095	2.94	$-0.098 \pm 0.059$	0.1711	10.18	$0.179 \pm 0.096$
0.0114	1.75	$-0.021 \pm 0.109$	0.1715	16.51	$0.253 \pm 0.076$
0.0119	2.07	$0.032 \pm 0.070$	0.1717	24.89	$0.194 \pm 0.065$
0.0123	2.36	$0.003 \pm 0.052$	0.1718	34.94	$0.427 \pm 0.069$
0.0125	2.66	$0.032 \pm 0.043$	0.1770	45.47	$0.371 \pm 0.077$
0.0126	2.96	$0.015 \pm 0.037$	0.2368	10.53	$0.317 \pm 0.125$
0.0131	3.30	$0.009 \pm 0.030$	0.2392	21.49	$0.288 \pm 0.059$
0.0145	3.74	$0.046 \pm 0.030$	0.2398	34.94	$0.391 \pm 0.080$
0.0163	4.43	$0.084 \pm 0.027$	0.2462	52.75	$0.438 \pm 0.054$
0.0183	5.44	$0.022 \pm 0.043$	0.3383	15.25	$0.413 \pm 0.150$
0.0231	2.78	$0.132 \pm 0.104$	0.3404	25.00	$0.491 \pm 0.142$
0.0236	3.31	$0.227 \pm 0.099$	0.3407	34.97	$0.691 \pm 0.145$
0.0235	3.77	$-0.008 \pm 0.072$	0.3436	61.83	$0.553 \pm 0.074$
0.0237	4.54	$0.093 \pm 0.039$	0.4688	21.85	$0.845 \pm 0.170$
0.0241	5.75	$0.058 \pm 0.028$	0.4751	34.98	$0.366 \pm 0.218$
0.0263	7.41	$0.028 \pm 0.032$	0.4843	72.10	$0.614 \pm 0.090$

$g_1(x, Q_0^2)$  at the fixed  $Q_0^2$  are determined from  $g_1(x, Q^2)$  at the measured  $x$  and  $Q^2$  as

$$g_1(x, Q_0^2) = g_1(x, Q^2) + [g_1^{\text{fit}}(x, Q_0^2) - g_1^{\text{fit}}(x, Q^2)], \quad (8)$$

where  $g_1^{\text{fit}}$  is a result of our NLO QCD analysis. This analysis is presented in Ref. [14]. We choose  $Q_0^2 = 10 \text{ GeV}^2$  since it is close to the average  $Q^2$  of our data. The resulting values of  $g_1(x, Q_0^2)$  are given in Tables VIII and IX. In the measured range  $0.003 < x < 0.7$  the contributions to the first moments of the proton and the deuteron structure functions are calculated neglecting the  $x$  dependence of  $A_1$  within a given  $x$  bin.

The results at  $Q_0^2 = 10 \text{ GeV}^2$  are

$$\int_{0.003}^{0.7} g_1^p(x, Q_0^2) dx = 0.131 \pm 0.005 \pm 0.006 \pm 0.004, \quad (9)$$

$$\int_{0.003}^{0.7} g_1^d(x, Q_0^2) dx = 0.037 \pm 0.006 \pm 0.003 \pm 0.003, \quad (10)$$

where the first uncertainty is statistical, the second is systematic and the third is due to the uncertainty in the  $Q^2$  evolution. The errors of  $g_1$  are correlated between  $x$  bins and this correlation was taken into account when calculating systematic and theoretical uncertainties of the integrals. The contributions from different sources of uncertainty, detailed in

TABLE VII. Optimal set of asymmetries  $A_1^d(x, Q^2)$  from SMC data. The errors are statistical only.

$\langle x \rangle$	$\langle Q^2 \rangle$ (GeV <sup>2</sup> )	$A_1^d$	$\langle x \rangle$	$\langle Q^2 \rangle$ (GeV <sup>2</sup> )	$A_1^d$
0.0009	0.25	$-0.067 \pm 0.040$	0.0342	3.57	$-0.042 \pm 0.108$
0.0010	0.30	$0.052 \pm 0.046$	0.0342	4.54	$-0.129 \pm 0.089$
0.0011	0.34	$0.046 \pm 0.052$	0.0342	5.80	$-0.036 \pm 0.056$
0.0014	0.38	$-0.028 \pm 0.032$	0.0344	7.78	$0.033 \pm 0.038$
0.0016	0.46	$-0.069 \pm 0.037$	0.0359	10.13	$-0.023 \pm 0.045$
0.0018	0.55	$0.052 \pm 0.037$	0.0476	2.63	$0.257 \pm 0.187$
0.0022	0.59	$0.076 \pm 0.035$	0.0476	3.59	$0.322 \pm 0.140$
0.0025	0.70	$-0.043 \pm 0.035$	0.0479	4.52	$0.034 \pm 0.108$
0.0027	0.82	$-0.049 \pm 0.035$	0.0477	5.83	$0.047 \pm 0.069$
0.0038	0.65	$0.020 \pm 0.073$	0.0480	7.82	$0.101 \pm 0.044$
0.0035	0.90	$0.034 \pm 0.029$	0.0484	10.95	$0.093 \pm 0.032$
0.0042	1.14	$-0.015 \pm 0.023$	0.0527	14.72	$-0.006 \pm 0.047$
0.0050	1.44	$-0.024 \pm 0.028$	0.0744	3.95	$-0.019 \pm 0.120$
0.0056	1.71	$-0.025 \pm 0.045$	0.0743	5.82	$0.034 \pm 0.108$
0.0074	1.09	$-0.074 \pm 0.066$	0.0746	7.85	$0.026 \pm 0.062$
0.0071	1.47	$0.026 \pm 0.052$	0.0753	11.05	$0.090 \pm 0.041$
0.0071	1.77	$-0.043 \pm 0.034$	0.0760	16.30	$-0.025 \pm 0.033$
0.0075	2.04	$-0.053 \pm 0.031$	0.0855	23.07	$-0.004 \pm 0.051$
0.0083	2.34	$0.035 \pm 0.037$	0.1187	5.00	$-0.062 \pm 0.162$
0.0090	2.64	$-0.005 \pm 0.047$	0.1194	10.23	$0.056 \pm 0.063$
0.0095	2.94	$-0.010 \pm 0.069$	0.1201	16.43	$0.069 \pm 0.054$
0.0128	1.59	$-0.018 \pm 0.064$	0.1203	24.82	$0.076 \pm 0.050$
0.0131	2.06	$0.016 \pm 0.074$	0.1289	34.25	$0.093 \pm 0.069$
0.0128	2.36	$-0.019 \pm 0.061$	0.1709	9.72	$0.231 \pm 0.106$
0.0125	2.66	$-0.024 \pm 0.050$	0.1714	16.47	$0.062 \pm 0.091$
0.0125	2.96	$-0.033 \pm 0.043$	0.1716	24.84	$0.249 \pm 0.081$
0.0130	3.30	$-0.082 \pm 0.035$	0.1739	39.62	$0.171 \pm 0.065$
0.0144	3.74	$-0.008 \pm 0.035$	0.2368	10.06	$0.264 \pm 0.140$
0.0163	4.44	$-0.003 \pm 0.031$	0.2386	16.52	$0.205 \pm 0.111$
0.0184	5.44	$-0.023 \pm 0.050$	0.2393	24.86	$0.093 \pm 0.096$
0.0237	2.13	$-0.067 \pm 0.110$	0.2391	34.93	$0.265 \pm 0.105$
0.0239	2.82	$0.071 \pm 0.091$	0.2454	52.73	$0.294 \pm 0.072$
0.0242	3.30	$-0.063 \pm 0.102$	0.3388	14.77	$0.194 \pm 0.178$
0.0239	3.76	$-0.004 \pm 0.084$	0.3404	29.55	$0.084 \pm 0.132$
0.0237	4.54	$-0.079 \pm 0.045$	0.3431	61.80	$0.244 \pm 0.102$
0.0241	5.75	$0.008 \pm 0.032$	0.4706	21.18	$0.185 \pm 0.208$
0.0263	7.41	$0.013 \pm 0.037$	0.4763	34.87	$0.558 \pm 0.289$
0.0341	2.59	$-0.042 \pm 0.138$	0.4827	71.76	$0.317 \pm 0.129$

Table X, were added in quadrature when computing the total errors. In addition to the uncertainties for  $A_1$  given in Tables IV and V, for the calculation of the first moments we consider also contributions from the kinematic resolution and the error due to the approximations in the asymmetry evaluation procedure. The latter was estimated with a Monte Carlo simulation of this procedure. In our previous publications the central values for the integrals in Eqs. (9) and (10) were 0.130 [7] and 0.041 [11], respectively. The difference is mainly due to the updated beam polarization.

The first moments of  $g_1$  are

$$\int_0^1 g_1^P(x, Q_0^2) dx = 0.120 \pm 0.005 \pm 0.006 \pm 0.014, \quad (11)$$

$$\int_0^1 g_1^d(x, Q_0^2) dx = 0.019 \pm 0.006 \pm 0.003 \pm 0.013. \quad (12)$$

They are obtained by combining the results from Eqs. (9) and (10) with the contributions from the unmeasured ranges, which were calculated from the parametrizations of parton

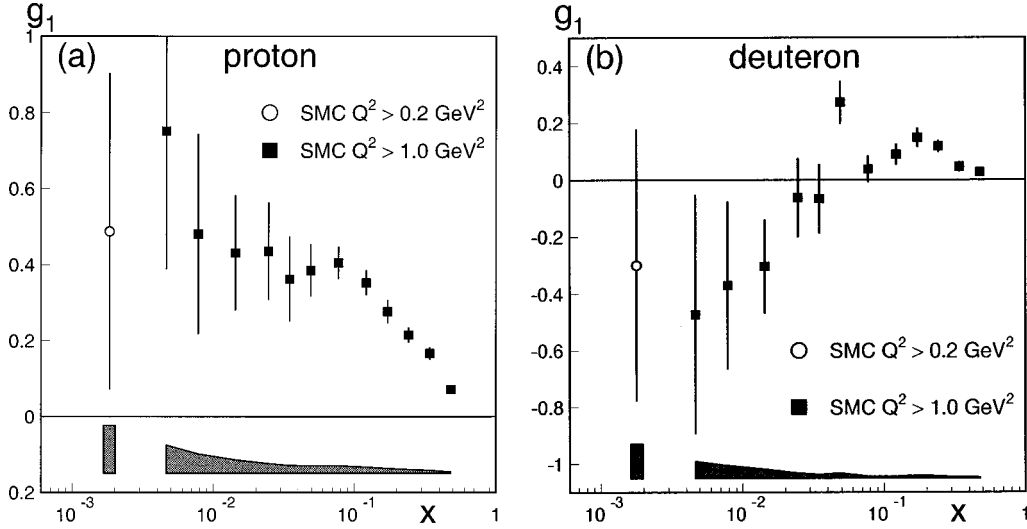


FIG. 10. The optimal set of SMC results of  $g_1$ : (a) for proton and (b) for deuteron. Statistical errors are shown as error bars while the shaded band below indicates the systematic uncertainty. The  $Q^2 > 0.2 \text{ GeV}^2$  result was obtained by combining the lowest three  $A_1$  bins.

distributions from our NLO QCD analysis [14]. In the calculation of the total error we have taken into account that the value in the measured region affects the contributions from the unmeasured regions.

## VII. THE NONSINGLET STRUCTURE FUNCTION $g_1^{\text{NS}}$

The flavor nonsinglet combination of the spin-dependent structure functions  $g_1^{\text{NS}} = g_1^p - g_1^n$  is an interesting quantity because a rigorous QCD prediction exists for its first moment. This sum rule was derived, in the limit of infinite momentum transfer, by Bjorken [1] using current algebra and isospin symmetry.

### A. Comparison of $g_1^p - g_1^n$ and $F_1^p - F_1^n$

In our experiment  $g_1^p(x, Q^2)$  and  $g_1^d(x, Q^2)$  are measured in the same bins of  $x$  and  $Q^2$ . We evaluate  $g_1^{\text{NS}}(x, Q^2)$  from

$$g_1^{\text{NS}}(x, Q^2) = 2 \left[ g_1^p(x, Q^2) - \frac{g_1^d(x, Q^2)}{[1 - (3/2)\omega_D]} \right], \quad (13)$$

where  $\omega_D$  is the probability of the deuteron to be in the  $D$  state. As in our previous publications we have used  $\omega_D = 0.05 \pm 0.01$ , which covers most of the published values [27].

The results are given in Table XI with statistical and systematic errors. In calculating the systematic error the contributions from the beam polarization, the dilution factor, and  $R$  were treated as correlated between proton and deuteron, whereas the other contributions to the systematic error were treated as uncorrelated [28].

The results for  $g_1^{\text{NS}}$  are shown in Fig. 11, together with  $g_1^{\text{NS}}$  from the E143 experiment calculated from their values of  $g_1^p$  and  $g_1^d$  [29]. For both data sets the points are shown at the measured  $Q^2$ . In the same figure we show the nonsinglet

TABLE VIII. The spin-dependent structure function  $g_1^p$  at the measured  $Q^2$  and for  $Q^2 > 1 \text{ GeV}^2$ , where the QCD evolution is applicable,  $g_1^p$  evolved to  $Q_0^2 = 10 \text{ GeV}^2$ . The first bin, which has  $Q^2 > 0.2 \text{ GeV}^2$ , was obtained by combining the lowest three  $A_1$  bins from Table II. The first error is statistical and the second is systematic. In the last column the third error indicates the uncertainty in the QCD evolution.

$x$ range	$\langle x \rangle$	$\langle Q^2 \rangle$ ( $\text{GeV}^2$ )	$g_1^p$	$g_1^p(Q_0^2 = 10 \text{ GeV}^2)$
0.0008–0.003	0.002	0.5	$0.49 \pm 0.42 \pm 0.13$	
0.003–0.006	0.005	1.3	$0.75 \pm 0.36 \pm 0.07$	$1.19 \pm 0.36 \pm 0.07 \pm 0.56$
0.006–0.010	0.008	2.1	$0.48 \pm 0.26 \pm 0.05$	$0.72 \pm 0.26 \pm 0.05 \pm 0.25$
0.010–0.020	0.014	3.6	$0.43 \pm 0.15 \pm 0.03$	$0.59 \pm 0.15 \pm 0.03 \pm 0.07$
0.020–0.030	0.025	5.7	$0.43 \pm 0.13 \pm 0.03$	$0.50 \pm 0.13 \pm 0.03 \pm 0.02$
0.030–0.040	0.035	7.8	$0.36 \pm 0.11 \pm 0.02$	$0.39 \pm 0.11 \pm 0.02 \pm 0.01$
0.040–0.060	0.049	10.4	$0.38 \pm 0.07 \pm 0.02$	$0.38 \pm 0.07 \pm 0.02 \pm 0.00$
0.060–0.100	0.077	14.9	$0.41 \pm 0.04 \pm 0.02$	$0.39 \pm 0.04 \pm 0.02 \pm 0.00$
0.100–0.150	0.122	21.3	$0.35 \pm 0.03 \pm 0.02$	$0.33 \pm 0.03 \pm 0.02 \pm 0.00$
0.150–0.200	0.173	27.8	$0.28 \pm 0.03 \pm 0.01$	$0.27 \pm 0.03 \pm 0.01 \pm 0.00$
0.200–0.300	0.242	35.6	$0.21 \pm 0.02 \pm 0.01$	$0.22 \pm 0.02 \pm 0.01 \pm 0.01$
0.300–0.400	0.342	45.9	$0.17 \pm 0.02 \pm 0.01$	$0.18 \pm 0.02 \pm 0.01 \pm 0.00$
0.400–0.700	0.480	58.0	$0.07 \pm 0.01 \pm 0.00$	$0.09 \pm 0.01 \pm 0.00 \pm 0.00$

TABLE IX. The spin-dependent structure function  $g_1^d$  at the measured  $Q^2$  and for  $Q^2 > 1 \text{ GeV}^2$ , where the QCD evolution is applicable,  $g_1^d$  evolved to  $Q_0^2 = 10 \text{ GeV}^2$ . Other explanations as for Table VIII.

$x$ range	$\langle x \rangle$	$\langle Q^2 \rangle$ ( $\text{GeV}^2$ )	$g_1^d$	$g_1^d(Q_0^2 = 10 \text{ GeV}^2)$
0.0008–0.003	0.002	0.5	$-0.30 \pm 0.48 \pm 0.12$	
0.003–0.006	0.005	1.3	$-0.47 \pm 0.42 \pm 0.06$	$-0.30 \pm 0.42 \pm 0.06 \pm 0.49$
0.006–0.010	0.008	2.1	$-0.37 \pm 0.30 \pm 0.04$	$-0.22 \pm 0.30 \pm 0.04 \pm 0.22$
0.010–0.020	0.014	3.5	$-0.30 \pm 0.17 \pm 0.03$	$-0.22 \pm 0.17 \pm 0.03 \pm 0.06$
0.020–0.030	0.025	5.5	$-0.06 \pm 0.14 \pm 0.02$	$-0.02 \pm 0.14 \pm 0.02 \pm 0.02$
0.030–0.040	0.035	7.5	$-0.07 \pm 0.12 \pm 0.01$	$-0.05 \pm 0.12 \pm 0.01 \pm 0.01$
0.040–0.060	0.049	10.0	$0.27 \pm 0.08 \pm 0.02$	$0.27 \pm 0.08 \pm 0.02 \pm 0.00$
0.060–0.100	0.077	14.4	$0.04 \pm 0.05 \pm 0.01$	$0.03 \pm 0.05 \pm 0.01 \pm 0.00$
0.100–0.150	0.121	20.6	$0.09 \pm 0.04 \pm 0.01$	$0.08 \pm 0.04 \pm 0.01 \pm 0.00$
0.150–0.200	0.172	26.8	$0.15 \pm 0.03 \pm 0.01$	$0.14 \pm 0.03 \pm 0.01 \pm 0.00$
0.200–0.300	0.241	34.3	$0.12 \pm 0.02 \pm 0.01$	$0.12 \pm 0.02 \pm 0.01 \pm 0.00$
0.300–0.400	0.342	43.9	$0.05 \pm 0.02 \pm 0.00$	$0.05 \pm 0.02 \pm 0.00 \pm 0.00$
0.400–0.700	0.479	54.8	$0.03 \pm 0.01 \pm 0.00$	$0.04 \pm 0.01 \pm 0.00 \pm 0.00$

spin-independent structure function  $F_1^{\text{NS}} = F_1^p - F_1^n$  calculated from the measurements of the ratio  $F_2^d/F_2^p$  [30], a fit to the data for  $F_2^p$ , described in the Appendix, and the values of the function  $R$  [24,25]. The  $Q^2$  range of the  $F_1^{\text{NS}}$  points corresponds approximately to the range covered by the SMC data. The nonsinglet  $g_1^{\text{NS}}$  ( $F_1^{\text{NS}}$ ) is proportional to the difference of the polarized (unpolarized)  $u$ -valence quark and  $d$ -valence quark distributions. There may also be a flavor symmetry violating contribution from the nucleon sea, as has been observed in the unpolarized case [31–34]. A possibility that the mechanism of flavor symmetry violations in polarized data may be related to that of the observed violations in the unpolarized case has been discussed in Ref. [35]. It is interesting that the shapes of the nonsinglet part of the polarized and unpolarized structure functions are very similar. The consequences of this similarity for parton distributions in LO and

NLO have been discussed in Ref. [36]. It should be noted that the polarized nonsinglet distribution is not bounded by the unpolarized nonsinglet but by  $F_1^p + F_1^n$ . We observe that  $g_1^{\text{NS}}$  is larger than  $F_1^{\text{NS}}$ .

### B. $Q^2$ evolution of $g_1^{\text{NS}}$

The flavor nonsinglet combination  $g_1^{\text{NS}}$  decouples from the singlet and the gluon sectors, and therefore evolves in a different way than  $g_1^p$  and  $g_1^n$  separately. To calculate its  $Q^2$  evolution only the parametrization of  $g_1^{\text{NS}}(x)$  is needed. The evolution to a common  $Q_0^2$  was done by three different methods. The first used the  $Q^2$  dependence of the more accurately measured  $F_1^{\text{NS}}$ . The  $Q^2$  evolution of  $g_1^{\text{NS}}$  and  $F_1^{\text{NS}}$  is expected to be the same since the  $x$  distributions are similar and the unpolarized and polarized nonsinglet splitting functions are identical.<sup>2</sup> The second method evolved the data using the nonsinglet part from the NLO QCD fit [14] already used in Sec. VI to evolve  $g_1^{p,d}$  to the common  $Q_0^2$ . The third method used a simpler QCD fit, restricted to the nonsinglet sector [14].

Figure 12 shows  $g_1^{\text{NS}}(x, Q^2)$  in each  $x$  bin at its average value of  $Q^2$  and evolved to  $Q_0^2 = 10 \text{ GeV}^2$  using the nonsinglet fit (method 3) mentioned above. The changes of  $g_1^{\text{NS}}$  due to the  $Q^2$  evolution are small (compared to the statistical errors). The values of  $g_1^{\text{NS}}(x, Q_0^2)$  obtained with the third method are given in Table XI. The evolution calculated with methods 1 and 2 gave values very close to those obtained with method 3. The systematic errors due to  $Q^2$  evolution given in Table XI cover the results from the three methods.

### C. First moment of $g_1^{\text{NS}}$

The first moment of  $g_1^{\text{NS}}$  is calculated in three parts: from our data in the measured region  $0.003 < x < 0.7$  and those

TABLE X. The sources of uncertainties for the integrals of  $g_1^p$  and  $g_1^d$  in the measured region  $0.003 < x < 0.7$ .

Source of the error	$\Delta \Gamma_1^p$	$\Delta \Gamma_1^d$
Target polarization	0.0037	0.0012
Beam polarization	0.0029	0.0008
Dilution factor	0.0027	0.0006
Uncertainty in $F_2$	0.0023	0.0010
Acceptance variation	0.0015	0.0014
Radiative corrections	0.0007	0.0008
Asymmetry evaluation	0.0006	0.0006
Neglect of $A_2$	0.0005	0.0006
Polarized background	0.0005	0.0004
Kinematic resolution	0.0003	0.0003
Momentum measurement	0.0003	0.0001
Uncertainty on $R$	0.0000	0.0000
Total systematic error	0.0062	0.0026
Evolution	0.0036	0.0027
Statistics	0.0052	0.0057

<sup>2</sup>The splitting functions are identical because for massless quarks helicity is conserved at the quark-gluon vertex and gluon bremsstrahlung is the only relevant process here.

TABLE XI. The nonsinglet structure function  $g_1^{\text{NS}}$  and their uncertainties (shown only with 2 significant digits after the decimal points) calculated from the measured  $g_1^p$  and  $g_1^d$  at the measured  $Q^2$  and evolved to  $Q_0^2 = 10 \text{ GeV}^2$ . The first error is statistical and the second is systematic. In the last column the third error indicates the uncertainty in the QCD evolution.

$x$ range	$\langle x \rangle$	$\langle Q^2 \rangle$ ( $\text{GeV}^2$ )	$g_1^{\text{NS}}$	$g_1^{\text{NS}} (Q_0^2 = 10 \text{ GeV}^2)$
0.003–0.006	0.005	1.3	$2.53 \pm 1.17 \pm 0.21$	$3.04 \pm 1.17 \pm 0.21 \pm 0.01$
0.006–0.010	0.008	2.1	$1.76 \pm 0.83 \pm 0.16$	$2.06 \pm 0.83 \pm 0.16 \pm 0.04$
0.010–0.020	0.014	3.6	$1.52 \pm 0.47 \pm 0.12$	$1.66 \pm 0.47 \pm 0.12 \pm 0.02$
0.020–0.030	0.025	5.6	$1.00 \pm 0.40 \pm 0.07$	$1.05 \pm 0.40 \pm 0.07 \pm 0.01$
0.030–0.040	0.035	7.6	$0.87 \pm 0.35 \pm 0.06$	$0.88 \pm 0.35 \pm 0.06 \pm 0.00$
0.040–0.060	0.049	10.2	$0.18 \pm 0.21 \pm 0.05$	$0.18 \pm 0.21 \pm 0.05 \pm 0.00$
0.060–0.100	0.077	14.6	$0.73 \pm 0.13 \pm 0.04$	$0.72 \pm 0.13 \pm 0.04 \pm 0.00$
0.100–0.150	0.122	21.0	$0.51 \pm 0.10 \pm 0.03$	$0.50 \pm 0.10 \pm 0.03 \pm 0.00$
0.150–0.200	0.173	27.3	$0.23 \pm 0.10 \pm 0.03$	$0.23 \pm 0.10 \pm 0.03 \pm 0.00$
0.200–0.300	0.242	34.9	$0.17 \pm 0.06 \pm 0.02$	$0.18 \pm 0.06 \pm 0.02 \pm 0.00$
0.300–0.400	0.342	44.9	$0.23 \pm 0.05 \pm 0.02$	$0.24 \pm 0.05 \pm 0.02 \pm 0.01$
0.400–0.700	0.480	56.4	$0.09 \pm 0.03 \pm 0.01$	$0.10 \pm 0.03 \pm 0.01 \pm 0.00$

from the unmeasured regions towards the boundaries  $x=0$  and  $x=1$ . In the measured region, the contribution from each  $x$ -bin is calculated assuming that the  $x$  dependence of  $g_1^{\text{NS}}$  and  $F_1^{\text{NS}}$  is the same within the bin. The contributions are summed giving the integral in the measured range at  $Q_0^2 = 10 \text{ GeV}^2$

$$\int_{0.003}^{0.7} g_1^{\text{NS}} dx = 0.184 \pm 0.016 \pm 0.014 \pm 0.001, \quad (14)$$

where the first error is statistical, the second is systematic, and the third is an evolution error based on errors given in Table XI. The total error on the integral in the measured range is 12% of its value. The contributions from the unmeasured regions are calculated from the parametrization of  $g_1^{\text{NS}}$  obtained in the QCD analysis in Ref. [14]. They are:  $0.010 \pm 0.003$  for  $x < 0.003$  and  $0.004 \pm 0.001$  for  $x > 0.7$ .

The first moment of  $g_1^{\text{NS}}$  thus amounts to

$$\int_0^1 g_1^{\text{NS}} dx = 0.198 \pm 0.023 \quad (Q_0^2 = 10 \text{ GeV}^2). \quad (15)$$

The value of the nonsinglet first moment given in Eq. (15) is in good agreement with the theoretical prediction of  $0.186 \pm 0.003$  at  $Q_0^2 = 10 \text{ GeV}^2$ . A more general discussion of the test of the Bjorken sum rule including different evaluations in the framework of perturbative QCD is presented in Ref. [14].

## VIII. SUMMARY

This paper concludes the SMC analysis of the virtual photon-proton and virtual photon-deuteron spin asymmetries  $A_1^p(x, Q^2)$  and  $A_1^d(x, Q^2)$  measured in the deep inelastic scattering of polarized muons on polarized protons and polarized deuterons at incident muon energies of 100 and 190 GeV.

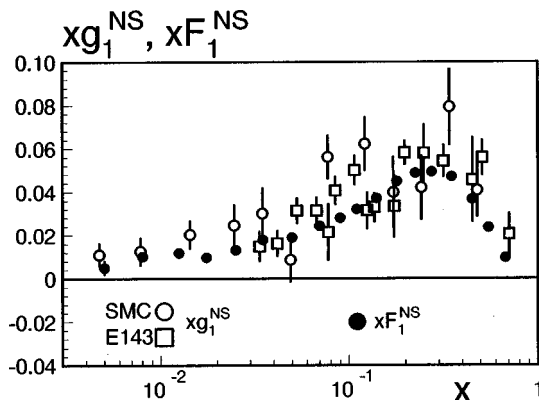


FIG. 11. The nonsinglet functions  $xg_1^{\text{NS}}$  and  $xF_1^{\text{NS}}$ . Both functions are presented at the measured  $Q^2$  of the experiments. The errors are statistical only.

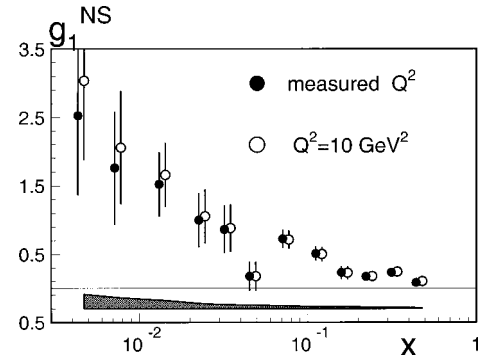


FIG. 12. The nonsinglet function  $g_1^{\text{NS}}$  as a function of  $x$  given at the measured  $Q^2$  and evolved to  $Q_0^2 = 10 \text{ GeV}^2$  with the method described in the text as the third method. Statistical errors are shown as error bars while the shaded band below indicates systematic uncertainty.

The final analysis included a reanalysis of the inclusive data and incorporated an asymmetry determination based on the hadron method, where the presence of at least one hadron in the final state of the muon-nucleon interaction was required. Such a selection removes a part of the background at low  $x$  and hence improves the statistical accuracy there. The hadron method was thus used for  $x < 0.02$  while the inclusive method was used for  $x > 0.02$  in the determination of the final set of results for the asymmetries and the spin-dependent structure functions  $g_1^p(x, Q^2)$  and  $g_1^d(x, Q^2)$ .

These final results, which cover the kinematic range  $0.0008 < x < 0.7$  and  $0.2 < Q^2 < 100 \text{ GeV}^2$ , have been presented. They are consistent with the previously published SMC results [2,6,7,9–11] and supersede them. The final results have been tabulated in bins of  $x$  and  $Q^2$ , and the individual contributions to the systematic error for  $A_1$  have been given in bins of  $x$ . The analysis of events collected with a special trigger, which requires a signal from the hadron calorimeter in addition to the detection of a scattered muon, and allows measurements down to  $x = 0.0001$ , mainly for  $Q^2 < 1 \text{ GeV}^2$ , is in progress.

The spin-dependent flavor nonsinglet structure function  $g_1^{\text{NS}}$  at the measured  $Q^2$  was compared to the spin-independent nonsinglet structure function  $F_1^{\text{NS}}$ . Integrals of  $g_1^{p,d}(x, Q_0^2 = 10 \text{ GeV}^2)$  and  $g_1^{\text{NS}}(x, Q_0^2 = 10 \text{ GeV}^2)$  over the measured range were calculated using SMC data with  $Q^2 > 1 \text{ GeV}^2$ . The first moments of  $g_1^p$ ,  $g_1^d$ , and  $g_1^{\text{NS}}$ , including contributions from the unmeasured ranges obtained from the QCD analysis [14], have been given.

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### APPENDIX

A phenomenological fit for the unpolarized structure functions  $F_2^p(x, Q^2)$  and  $F_2^d(x, Q^2)$  was performed. Results for proton structure functions from BCDMS [37], E665 [38], NMC [24], SLAC [39], H1 [40], and ZEUS [41] were used to perform a fit for  $F_2^p$ . For the fit of  $F_2^d$  the results for deuteron structure functions from BCDMS [37], E665 [38], NMC [24], and SLAC [39] and precise measurements of the ratio  $F_2^d/F_2^p$  by the NMC [30] were used.

The  $F_2$  parametrization, originally proposed by the BCDMS Collaboration and also used by NMC, is as follows:

$$F_2^{\text{fit}}(x, Q^2) = A(x) \left[ \frac{\ln(Q^2/\Lambda^2)}{\ln(Q_0^2/\Lambda^2)} \right]^{B(x)} \left[ 1 + \frac{C(x)}{Q^2} \right], \quad (\text{A1})$$

where

$$A(x) = x^{a_1} (1-x)^{a_2} [a_3 + a_4(1-x) + a_5(1-x)^2 + a_6(1-x)^3 + a_7(1-x)^4],$$

$$B(x) = b_1 + b_2x + b_3/(x + b_4),$$

$$C(x) = c_1x + c_2x^2 + c_3x^3 + c_4x^4.$$

With  $Q_0^2 = 20 \text{ GeV}^2$  and  $\Lambda = 0.25 \text{ GeV}$ , this 15 parameter function was fitted to  $F_2^p$  and  $F_2^d$  data separately.

TABLE XII. The values of the parameters of Eq. (A1) for  $F_2^p$  and for the upper and lower limits of  $F_2^p$ .

Parameter	$F_2^p$ limits		
	$F_2^p$	Upper limit	Lower limit
$a_1$	-0.24997	-0.24810	-0.25196
$a_2$	2.3963	2.3632	2.4297
$a_3$	0.22896	0.23643	0.21913
$a_4$	0.08498	-0.03241	0.21630
$a_5$	3.8608	4.2268	3.4645
$a_6$	-7.4143	-7.8120	-6.9887
$a_7$	3.4342	3.5822	3.2771
$b_1$	0.11411	0.09734	0.13074
$b_2$	-2.2356	-2.2254	-2.2465
$b_3$	0.03115	0.03239	0.02995
$b_4$	0.02135	0.02233	0.02039
$c_1$	-1.4517	-1.4361	-1.4715
$c_2$	8.4745	8.1084	8.9108
$c_3$	-34.379	-33.306	-35.714
$c_4$	45.888	44.717	47.338



TABLE XIII. The values of the parameters of Eq. (A1) for  $F_2^d$  and for the upper and lower limits of  $F_2^d$ .

Parameter	$F_2^d$	$F_2^d$ limits	
		Upper limit	Lower limit
$a_1$	-0.28151	-0.28047	-0.28178
$a_2$	1.0115	0.82170	1.1694
$a_3$	0.08415	0.06904	0.09973
$a_4$	-0.72973	-0.60191	-0.85884
$a_5$	2.8647	2.2618	3.4541
$a_6$	-2.5328	-1.6507	-3.3995
$a_7$	0.47477	0.08909	0.86034
$b_1$	0.20040	0.18711	0.20865
$b_2$	-2.5154	-2.4711	-2.5475
$b_3$	0.02599	0.02802	0.02429
$b_4$	0.01858	0.01973	0.01760
$c_1$	-1.3569	-1.3762	-1.3513
$c_2$	7.8938	7.6113	8.3602
$c_3$	-29.117	-27.267	-37.710
$c_4$	37.657	35.100	41.106

In the fit, the data points were weighted according to their statistical and uncorrelated systematic errors. Additional parameters were included in the fit to describe correlated shifts within the systematic uncertainties and to describe relative normalization shifts between data sets within the normaliza-

tion uncertainties quoted by the experiments. All parameters and the complete covariance matrices were determined in the fits for  $F_2^p$  and  $F_2^d$ . We used the parameters and the covariance matrices restricted to the 15 parameters of Eq. (A1) to determine the one standard deviation upper and lower limits of  $F_2$ . Both upper and lower limit values for  $F_2^p$  and  $F_2^d$  were parametrized with the same function.

The fitted parameters for the central values and for the upper and lower limits corresponding to the total uncertainties of  $F_2$  are given in Tables XII and XIII. The fitted parametrizations are only valid in the kinematic range of the data sets, which cover correlated regions in the range of  $3.5 \times 10^{-5} < x < 0.85$  and  $0.2 < Q^2 < 5000 \text{ GeV}^2$  for  $F_2^p$ , and of  $0.0009 < x < 0.85$  and  $0.2 < Q^2 < 220 \text{ GeV}^2$  for  $F_2^d$ . The uncertainty in  $F_2^d$  at low  $x$  and  $Q^2$  is underestimated due to the fact that the uncertainty of the fitted  $F_2^p$  is not taken into account, where the ratio data  $F_2^d/F_2^p$  are used. This has a negligible effect on the parameter set which describes the central values of the fitted  $F_2^d$ , but the total error given by the upper and lower limits is too small for  $Q^2 < 1 \text{ GeV}^2$ . For the calculation of the uncertainty of  $g_1^d$  due to  $F_2^d$  the effect is found to be negligible. Details of the fitting procedure can be found in Ref. [42].

The above parametrizations of  $F_2$  must be used with the proper values of  $R$  to reproduce the measured cross sections. We used a parametrization of the values of  $R$  measured by the NMC [24] for  $x < 0.12$ , and for  $x > 0.12$  we used the SLAC parametrization given in Ref. [25].

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