STABILITY OF CERTAIN MINIMAL SUBMANIFOLDS OF COMPACT HERMITIAN SYMMETRIC SPACES

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Introduction. In this paper we consider a compact totally real totally geodesic submanifold M of a Hermitian symmetric space $(\overline{M}, \overline{g})$ of compact type with dim $M = \dim_c \overline{M}$, and study their classification and stability.

We shall show that such a submanifold M is always a symmetric R-space (cf. §1 for definition), and these pairs $((\bar{M}, \bar{g}), M)$ correspond in one to one fashion to symmetric R-spaces. Furthermore we shall prove that M is stable in (\bar{M}, \bar{g}) as a minimal submanifold if and only if M is simply connected.

Lawson-Simons [6] proved that a compact stable minimal submanifold of the complex projective n-space $P_n(C)$ endowed with the Kähler metric of constant holomorphic sectional curvature is always a complex submanifold. They showed also [6] that this is not true for a general Hermitian symmetric space of compact type, by giving an example of a compact stable minimal submanifold of $P_1(C) \times P_1(C)$ which is not a complex submanifold. The simply connected ones among our submanifolds include the example of Lawson-Simons and provide many examples with the same properties. For example, the quaternion Grassmann manifold $G_{p,q}(H)$ imbedded in the complex Grassmann manifold $G_{2p,2q}(C)$ is minimal and stable, but not a complex submanifold.

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1. Totally real totally geodesic submanifolds of compact Hermitian symmetric spaces. In this section we shall classify compact totally real totally geodesic submanifolds M of a Hermitian symmetric space $(\overline{M}, \overline{g})$ of compact type with dim $M = \dim_c \overline{M}$.

Let $(\overline{M}, \overline{g})$ be a Hermitian manifold. The inner product and the complex structure tensor on the tangent bundle $T\overline{M}$ are denoted by \langle , \rangle and J, respectively. A submanifold M of \overline{M} is said to be totally real if $\langle JT_vM, T_vM \rangle = 0$ for each $p \in M$. A submanifold M is called a real form

of $(\overline{M}, \overline{g})$ if there exists an involutive anti-holomorphic isometry σ of $(\overline{M}, \overline{g})$ such that

$$M = \{ p \in \overline{M}; \ \sigma(p) = p \}$$
.

LEMMA 1.1. Let $(\overline{M}, \overline{g})$ be a (complete) Hermitian manifold. Then any real form M of $(\overline{M}, \overline{g})$ is a (complete) totally real totally geodesic submanifold with dim $M = \dim_{\mathbb{C}} \overline{M}$.

PROOF. Let σ be an involutive anti-holomorphic isometry of $(\overline{M}, \overline{g})$ which defines M. Then M coincides with the set of fixed points of the isometry σ of $(\overline{M}, \overline{g})$, and hence it is totally geodesic (cf. Kobayashi [4]).

Let $p \in M$ and σ_* denote the differential of σ at p. Then σ_* is an involutive linear isometry of $T_p \overline{M}$ with $\sigma_* J = -J \sigma_*$. Thus, denoting by $(T_p \overline{M})^{\pm}$ the (± 1) -eigenspace of σ_* , we have

$$T_{\nu}\overline{M} = (T_{\nu}\overline{M})^{+} + (T_{\nu}\overline{M})^{-}$$
 (orthogonal sum)

and $J(T_p \overline{M})^{\pm} = (T_p \overline{M})^{\mp}$. Since $(T_p \overline{M})^+ = T_p M$, we have that $\langle JT_p M, T_p M \rangle = 0$ and $\dim M = \dim_c \overline{M}$.

In the following we recall a construction of real forms, called symmetric R-spaces, of a Hermitian symmetric space of compact type (cf. Takeuchi [12]).

Let (g, τ) be a positive definite symmetric graded Lie algebra (cf. Satake [10]), that is,

$$\mathfrak{g}=\mathfrak{g}_{\scriptscriptstyle{-1}}+\mathfrak{g}_{\scriptscriptstyle{0}}+\mathfrak{g}_{\scriptscriptstyle{1}}$$
 , $[\mathfrak{g}_{\scriptscriptstyle{p}},\mathfrak{g}_{\scriptscriptstyle{q}}]\subset\mathfrak{g}_{\scriptscriptstyle{p+q}}$,

is a real semi-simple graded Lie algebra such that $g_{-1} \neq 0$ and g_0 acts effectively on g_{-1} , and τ is a Cartan involution of g with $\tau g_p = g_{-p}$ (p = -1, 0, 1). Then $\mathfrak{u} = g_0 + g_1$ is a subalgebra of g. Let G be the connected Lie group with the trivial center such that Lie G, the Lie algebra of G, is g. Put

$$U = \{a \in G; \operatorname{Ad}(a)\mathfrak{u} = \mathfrak{u}\}\ .$$

Then we have Lie $U=\mathfrak{u}$. The homogeneous space M=G/U is compact and called the *symmetric R-space* associated to (\mathfrak{g},τ) . The origin U of M will be denoted by o.

Let \bar{g} and \bar{u} be the complexifications of g and u, respectively and \bar{G} the connected complex Lie group with the trivial center such that Lie $\bar{G} = \bar{g}$. We regard G as a subgroup of \bar{G} . Put

$$\bar{U} = \{a \in \bar{G}; \operatorname{Ad}(a)\bar{\mathfrak{u}} = \bar{\mathfrak{u}}\}\ .$$

Then $ar{U}$ is a connected complex Lie subgroup of $ar{G}$ with Lie $ar{U}=ar{\mathfrak{u}}$ and

 $\bar{U}\cap G=U$. The complex homogeneous space $\bar{M}=\bar{G}/\bar{U}$ is compact, and the identity component $\mathrm{Aut}^{0}(\bar{M})$ of the group of all holomorphic automorphisms of \bar{M} is identified with \bar{G} (cf. Takeuchi [14]). Moreover we obtain a natural G-equivariant imbedding $f\colon M\to \bar{M}$ by virtue of $\bar{U}\cap G=U$. It is called the canonical imbedding associated to (\mathfrak{g},τ) . In what follows we shall often regard M as a submanifold of \bar{M} through the imbedding f.

Let σ be the complex conjugation of \bar{g} with respect to g and denote the extension of σ to \bar{G} also by σ . Since \bar{U} is connected we have $\sigma(\bar{U}) = \bar{U}$, and thus σ induces an involutive anti-holomorphic diffeomorphism σ of \bar{M} . Then $M \subset \bar{M}$ is given by

$$M = \{ p \in \overline{M}; \ \sigma(p) = p \}$$
.

Let g = f + p be the Cartan decomposition associated to τ . Then $g_u = f + \sqrt{-1}p$ is a compact real form of \bar{g} . Let τ denote the complex conjugation of \bar{g} with respect to g_u . Then g is stable under τ and τ coincides with the original τ on g. From the semi-simplicity of g, there exists uniquely an element $Z \in g_0$ such that

$$g_p = \{X \in g; [Z, X] = pX\} \quad (p = -1, 0, 1).$$

The condition $\tau g_p = g_{-p}$ (p = -1, 0, 1) implies $\tau Z = -Z$, and hence $Z \in \mathfrak{p}$. Let K and G_u be the connected subgroups of \overline{G} generated by \mathfrak{k} and g_u , respectively, and put

$$K_{\scriptscriptstyle 0} = \{a \in K; \ \operatorname{Ad}(a) Z = Z \}$$
 , $\ \mathfrak{k}_{\scriptscriptstyle 0} = \operatorname{Lie} K_{\scriptscriptstyle 0}$, $K_{\scriptscriptstyle u} = \{a \in G_{\scriptscriptstyle u}; \ \operatorname{Ad}(a) Z = Z \}$, $\ \mathfrak{k}_{\scriptscriptstyle u} = \operatorname{Lie} K_{\scriptscriptstyle u}$.

Then we have smooth identifications

$$M=K/K_0$$
 , $\bar{M}=G_u/K_u$.

We define an involutive automorphism θ of \bar{G} by

$$\theta(a) = \exp(\pi \sqrt{-1}Z)a(\exp(\pi \sqrt{-1}Z))^{-1}$$
 for $a \in \overline{G}$.

Then $\theta(K) = K$, $\theta(G_u) = G_u$ and

$$(K_ heta)^{\scriptscriptstyle 0} \subset K_{\scriptscriptstyle 0} \subset K_ heta$$
 , $K_u = (G_u)_ heta$,

where K_{θ} (resp. $(G_u)_{\theta}$) denotes the subgroup of all fixed points of θ in K (resp. in G_u) and $(K_{\theta})^{0}$ the identity component of K_{θ} . Thus both (K, K_0) and (G_u, K_u) are compact symmetric pairs. If we define

$$\mathfrak{m} = \{X \in \mathfrak{k}; \ \theta X = -X\}$$
 , $\mathfrak{m}_u = \{X \in \mathfrak{g}_u; \ \theta X = -X\}$,

denoting also by θ the differential of θ , we have direct sum decompositions

$$f = f_0 + m$$
, $g_u = f_u + m_u$

as vector spaces. Thus m and m_u are identified with T_oM and $T_o\overline{M}$, respectively. Then $H_0 = -\sqrt{-1}Z$ is the unique element of the center of \mathfrak{k}_u such that $\mathrm{ad}(H_0)|m_u$ gives the complex structure tensor J_o of \overline{M} at o. Denote by (,) the Killing form of $\overline{\mathfrak{g}}$, and define a \mathfrak{g}_u -invariant inner product \langle , \rangle on \mathfrak{g}_u by

$$\langle X, Y \rangle = -(X, Y)$$
 for $X, Y \in \mathfrak{g}_u$.

The K-invariant (resp. G_u -invariant) Riemannian metric on M (resp. on \overline{M}) which extends $\langle , \rangle | \mathfrak{m} \times \mathfrak{m}$ (resp. $\langle , \rangle | \mathfrak{m}_u \times \mathfrak{m}_u$) is denoted by g (resp. by \overline{g}), and called the canonical Riemannian metric on M (resp. on \overline{M}). Then

(i) (M, g) (resp. $(\overline{M}, \overline{g})$) is a compact symmetric space (resp. a Hermitian symmetric space of compact type) such that the identity component $I^0(M, g)$ (resp. $I^0(\overline{M}, \overline{g})$) of the group of all isometries of (M, g) (resp. of $(\overline{M}, \overline{g})$) is identified with K (resp. with G_u), and the canonical imbedding $f: (M, g) \to (\overline{M}, \overline{g})$ is isometric.

Moreover σ is an isometry of $(\overline{M}, \overline{g})$, and hence M is a real form of $(\overline{M}, \overline{g})$. Thus, by Lemma 1.1.

(ii) M is a totally real totally geodesic submanifold of $(\overline{M}, \overline{g})$ with $\dim M = \dim_c \overline{M}$.

REMARK 1. If g is simple, the Riemannian metrics g and \overline{g} satisfying (i) and (ii) are unique up to homothety. In this case, the symmetric R-space M or (M, g) is said to be irreducible.

REMARK 2. Let \overline{M}^* be the symmetric bounded domain dual to \overline{M} which is imbedded into \overline{M} as an open submanifold of \overline{M} by means of Harish-Chandra imbedding. It can be shown (Takeuchi [12]) that then $M^* = \overline{M}^* \cap M$ is a non-compact symmetric space dual to M and it is a real form of \overline{M}^* .

Two positive definite symmetric graded Lie algebras (g, τ) and (g', τ') are said to be isomorphic if there exists a Lie isomorphism $\phi: \mathfrak{g} \to \mathfrak{g}'$ such that $\phi g_p = g'_p$ (p = -1, 0, 1) and $\phi \circ \tau = \tau' \circ \phi$. Let $\mathscr S$ denote the set of all isomorphism classes of positive definite symmetric graded Lie algebras. The set $\mathcal S$ was completely determined (Kobayashi-Nagano [5], Takeuchi [12]). Next we consider a pair $((M, \bar{g}), M)$ of a connected Hermitian symmetric space $(\overline{M}, \overline{g})$ of compact type and a compact connected totally real totally geodesic submanifold M of $(\overline{M}, \overline{g})$ with dim M = $\dim_c M$. Such a pair is called a TRG-pair. For a finite number of TRGpairs $((\overline{M}_i, \overline{g}_i), M_i), 1 \leq i \leq s$, the direct product $((\overline{M}, \overline{g}), M) = ((\overline{M}_i, \overline{g}_i), M_i) \times i$ $\cdots \times ((\bar{M}_s, \bar{g}_s), M_s)$, which is also a TRG-pair, is defined by $\bar{M} = \bar{M}_1 \times \cdots \times \bar{M}_s$, $\bar{g} = \bar{g}_1 \times \cdots \times \bar{g}_s$ and $M = M_1 \times \cdots \times M_s$. Two TRG-pairs $((\bar{M}, \bar{g}), M)$ and $((M', \bar{g}'), M')$ are said to be equivalent if there exist direct product decompositions $((\bar{M}, \bar{g}), M) = ((\bar{M}_1, \bar{g}_1), M_1) \times \cdots \times ((\bar{M}_s, \bar{g}_s), M_s)$ and $((\bar{M}', \bar{g}'), M') =$

 $((\overline{M}_1', \overline{g}_1'), M_1') \times \cdots \times ((\overline{M}_{s'}', \overline{g}_{s'}'), M_{s'}')$ with s = s' and homothetic biholomorphic maps $\phi_i \colon (\overline{M}_i, \overline{g}_i) \to (\overline{M}_i', \overline{g}_i')$, $1 \le i \le s$, such that the product map $\phi = \phi_1 \times \cdots \times \phi_s \colon \overline{M} \to \overline{M}'$ satisfies $\phi(M) = M'$. Let \mathscr{T} denote the set of all equivalence classes of TRG-pairs.

Theorem 1.2. Our correspondence $(g, \tau) \mapsto ((\bar{M}, \bar{g}), M)$ induces a bijection $\Phi \colon \mathscr{S} \to \mathscr{T}$.

PROOF. It follows from definition that our correspondence induces a map $\Phi\colon \mathscr{S}\to\mathscr{T}$. Conversely, for any TRG-pair $((\bar{M},\bar{g}),M)$ we shall associate canonically a positive definite symmetric graded Lie algebra (\mathfrak{g},τ) . Let $\bar{G}=\operatorname{Aut}^0(\bar{M})$ which is a connected complex semi-simple Lie group with the trivial center, and let $G_u=I^0(\bar{M},\bar{g})$ which is a subgroup of \bar{G} because (\bar{M},\bar{g}) is a compact Kähler manifold (cf. Kobayashi [4]). Let J denote the complex structure tensor of \bar{M} . We identify $\bar{g}=\operatorname{Lie}\bar{G}$ (resp. $\mathfrak{g}_u=\operatorname{Lie}G_u$) with the Lie algebra of all smooth vector fields X on \bar{M} such that the Lie derivative of J with respect to X vanishes (resp. of all Killing vector fields on (\bar{M},\bar{g})) with Lie product [X,Y]=YX-XY. Then by Matsushima's theorem on compact Kähler Einstein manifolds we have

$$\bar{\mathfrak{g}} = \mathfrak{g}_u + J\mathfrak{g}_u , \quad \mathfrak{g}_u \cap J\mathfrak{g}_u = 0 .$$

Let g(M) be the real subalgebra of \bar{g} consisting of all $X \in \bar{g}$ such that the restriction $X \mid M$ is tangent to M, and f(M) the Lie algebra of all Killing vector fields on M with respect to the Riemannian metric g induced from \bar{g} . We put

$$\mathfrak{k}=\mathfrak{g}(M)\cap\mathfrak{g}_{\mathfrak{u}}$$
 , $\mathfrak{p}=\mathfrak{g}(M)\cap J\mathfrak{g}_{\mathfrak{u}}$,

and

$$\mathfrak{g} = \mathfrak{k} + \mathfrak{p}.$$

Then $[\mathfrak{k},\mathfrak{p}] \subset \mathfrak{p}$ and $[\mathfrak{p},\mathfrak{p}] \subset \mathfrak{k}$, and hence g is a real subalgebra of $\bar{\mathfrak{g}}$. We need here the following:

LEMMA 1.3. (1) The map $\mathfrak{k} \to \mathfrak{k}(M)$ defined by $X \mapsto X | M| (X \in \mathfrak{k})$ is a Lie isomorphism.

(2) We have

$$\mathfrak{g}_u=\mathfrak{k}+J\mathfrak{p}\;,\quad \mathfrak{k}\cap J\mathfrak{p}=0\;.$$

Now, it follows from (1.1), (1.2) and (1.3) that g is a real form of \bar{g} . Let σ and τ denote the complex conjugation of \bar{g} with respect to g and g_u , respectively. Then

$$\sigma JX = -J\sigma X \quad \text{for} \quad X \in \overline{\mathfrak{g}} ,$$

$$\sigma g_{u} = g_{u} .$$

We fix a point $o \in M$ and put

$$K_u = \{a \in G_u; \ a(o) = o\},$$

which is known to be connected. (See Helgason [2] for fundamental results on symmetric spaces.) Then $\overline{M}=G_u/K_u$ as smooth manifold. Let $\mathfrak{k}_u=\mathrm{Lie}\ K_u$ and $\mathfrak{g}_u=\mathfrak{k}_u+\mathfrak{m}_u$ be the associated Cartan decomposition. Let H_0 be the unique element of the center of \mathfrak{k}_u such that $J_o=\mathrm{ad}(H_0)|\mathfrak{m}_u$. Putting $Z=JH_0\in \overline{\mathfrak{g}}$, we define

$$egin{align} & ar{\mathfrak{g}}_p = \{X\!\in\!ar{\mathfrak{g}};\, [\pmb{Z},\,X] = pX\} \quad (p=-1,\,0,\,1) \; , \ & ar{\mathfrak{u}} = ar{\mathfrak{g}}_{\scriptscriptstyle 0} + ar{\mathfrak{g}}_{\scriptscriptstyle 1} \; , \ & ar{U} = \{a\!\in\!ar{G};\, \operatorname{Ad}(a)ar{\mathfrak{u}} = ar{\mathfrak{u}}\} \; . \end{split}$$

Then Lie $\bar{U} = \bar{\mathfrak{u}}$, $\bar{\mathfrak{g}} = \bar{\mathfrak{g}}_{-1} + \bar{\mathfrak{g}}_0 + \bar{\mathfrak{g}}_1$ and $\bar{M} = \bar{G}/\bar{U}$ as complex manifold. Note here that $\bar{\mathfrak{g}}_0$ acts on $\bar{\mathfrak{g}}_{-1}$ effectively. We define an involutive automorphism θ of \bar{G} by

$$\theta(a) = \exp(\pi J Z) a (\exp(\pi J Z))^{-1}$$
 for $a \in \overline{G}$.

Then $\theta(G_u) = G_u$ and hence the differential of θ , denoted also by θ , satisfies $\theta g_u = g_u$. Morever we have

$$f_u = \{X \in \mathfrak{g}_u; \ \theta X = X\} ,$$

$$\mathfrak{m}_{u} = \{X \in \mathfrak{g}_{u}; \ \theta X = -X\}.$$

A diffeomorphism θ of $\overline{M} = G_u/K_u$ is defined by the correspondence $a \cdot o \mapsto \theta(a) \cdot o(a \in G_u)$ because K_u is connected. It is the symmetry of $(\overline{M}, \overline{g})$ at o. Since M is totally geodesic in $(\overline{M}, \overline{g})$ we have $\theta(M) = M$, and hence $\theta g(M) = g(M)$. Therefore we have $\theta f = f$ and $\theta p = p$, and hence $\theta g = g$. Thus (1.5), (1.6) and (1.7) imply

$$\sigma \mathfrak{k}_{u} = \mathfrak{k}_{u} ,$$

$$\sigma m_u = m_u .$$

Now it follows from (1.4) and (1.9) that $\sigma J_o = -J_o \sigma$ on $\mathfrak{m}_u = T_o(\overline{M})$, and thus $[\sigma H_o, \sigma X] = -J_o \sigma X$ for each $X \in \mathfrak{m}_u$, where σH_0 is an element of the center of \mathfrak{k}_u by (1.8). Therefore the uniqueness of H_0 implies that $\sigma H_0 = -H_0$, and so $\sigma Z = Z$, that is, $Z \in \mathfrak{g}$. Thus, putting $\mathfrak{g}_p = \overline{\mathfrak{g}}_p \cap \mathfrak{g}$ (p = -1, 0, 1) we get $\mathfrak{g} = \mathfrak{g}_{-1} + \mathfrak{g}_0 + \mathfrak{g}_1$. Moreover τ restricted to \mathfrak{g} is a Cartan involution with $\tau Z = -Z$, and thus $\tau \mathfrak{g}_p = \mathfrak{g}_{-p}$ (p = -1, 0, 1). The effectiveness of \mathfrak{g}_0 on \mathfrak{g}_{-1} follows from that of $\overline{\mathfrak{g}}_0$ on $\overline{\mathfrak{g}}_{-1}$. Therefore (\mathfrak{g}, τ) is a positive definite symmetric graded Lie algebra.

Next we shall show that our correspondence $((\overline{M}, \overline{g}), M) \mapsto (g, \tau)$ induces a map $\Psi: \mathscr{T} \to \mathscr{S}$. Let $((\overline{M}, \overline{g}), M)$ and $((\overline{M}', \overline{g}'), M')$ be equivalent.

Various objects for $((\bar{M}', \bar{g}'), M')$ will be denoted by the same notation as $((\bar{M}, \bar{g}), M)$ but with primes. Let $\phi \colon \bar{M} \to \bar{M}'$ be an equivalence. Then, since both $\phi(o)$ and o' are on M', by Lemma 1.3, (1) there exists $\phi' \in I^0(\bar{M}', \bar{g}')$ such that $\phi'(M') = M'$ and $\phi'(\phi(o)) = o'$. Therefore we may assume that $\phi(o) = o'$. Then the correspondence $a \mapsto \phi \circ a \circ \phi^{-1}(a \in \bar{G})$ defines an isomorphism $\phi \colon \bar{G} \to \bar{G}'$ such that the differential $\phi \colon \bar{g} \to \bar{g}'$ is a Lie isomorphism with $\phi \circ J = J' \circ \phi$, $\phi g_u = g'_u$, $\phi g(M) = g(M')$ and $\phi Z = Z'$. Thus we get $\phi g = g'$ and $\phi f = f'$. Therefore ϕ gives an isomorphism $(g, \tau) \to (g', \tau')$, and so (g, τ) is isomorphic to (g', τ') .

Now we have $\Psi \circ \Phi = I_{\mathscr{S}}$ by definitions, and $\Phi \circ \Psi = I_{\mathscr{S}}$ by Remark 1, where I indicates the identity map. Thus our map Φ is a bijection.

q.e.d.

PROOF OF LEMMA 1.3. (1) Since (M,g) is a compact connected symmetric space, $I^0(M,g)$ is generated by symmetries. Thus the map $\mathfrak{k} \to \mathfrak{k}(M)$ is surjective, because M is totally geodesic in (\bar{M},\bar{g}) . So it suffices to show

$$(1.10) X \in \mathfrak{k}, X | M = 0 \Rightarrow X = 0.$$

We fix a point $p \in M$ and define an endomorphism \widetilde{X}_p of $T_p \overline{M}$ by

$$\widetilde{X}_{\scriptscriptstyle p}(y) = ar{ar{V}}_{\scriptscriptstyle ar{y}} X \quad ext{for} \quad y \in T_{\scriptscriptstyle p} ar{M}$$
 ,

where \bar{r} is the Riemannian connection of (\bar{M}, \bar{g}) . It suffices to show $\widetilde{X}_p = 0$ since X is a Killing vector field on (\bar{M}, \bar{g}) . For any $y \in T_p M$ we have

$$egin{aligned} \widetilde{X}_{p}(y)&=ar{ar{V}}_{y}X=ar{V}_{y}X=0\ ,\ \widetilde{X}_{p}(Jy)&=ar{ar{V}}_{Jy}X=Jar{ar{V}}_{y}X=0\ , \end{aligned}$$

where V is the Riemannian connection of (M, g). Here we have used the facts that M is totally geodesic, X|M=0 and X is a holomorphic vector field on the Kähler manifold (\bar{M}, \bar{g}) . Now $T_p\bar{M} = T_pM \oplus JT_pM$ implies $\tilde{X}_p = 0$.

(2) Let $X \in \mathfrak{g}_u$ and decompose $X \mid M$ as $X \mid M = X^T + X^N$, where X^T is tangent to M and X^N is normal to M. Then

$$0 = \langle \bar{\mathcal{V}}_y X, z \rangle + \langle \bar{\mathcal{V}}_z X, y \rangle = \langle \mathcal{V}_y X^T, z \rangle + \langle \mathcal{V}_z X^T, y \rangle$$

for any $y, z \in T_pM$, $p \in M$, and thus $X^T \in \mathfrak{k}(M)$. Now by (1) there is $X' \in \mathfrak{k}$ such that $X' \mid M = X^T$. Put $X'' = X - X' \in \mathfrak{g}_u$. Then $X'' \mid M = X^N$ and $(JX'') \mid M = JX^N$ which is tangent to M. Therefore $JX'' \in \mathfrak{g}(M) \cap J\mathfrak{g}_u = \mathfrak{p}$, and hence $X = X' + X'' \in \mathfrak{k} + J\mathfrak{p}$. Thus we have shown that $\mathfrak{g}_u \subset \mathfrak{k} + J\mathfrak{p}$ and so $\mathfrak{g}_u = \mathfrak{k} + J\mathfrak{p}$. On the other hand, any $X \in \mathfrak{k} \cap J\mathfrak{p}$ satisfies $X \mid M = 0$, and hence X = 0 by (1.10). This shows $\mathfrak{k} \cap J\mathfrak{p} = 0$. q.e.d.

REMARK 3. Actually the subalgebra g(M) of \bar{g} in Theorem 1.2 coincides with g. In fact, for each point p of a symmetric R-space $M \subset \bar{M}$ there exists a holomorphic coordinate (z^a) of \bar{M} around p such that M is given by $\text{Im } z^\alpha = 0$ around p. Therefore we get

$$X \in ar{\mathfrak{g}}, \ X | M = 0 \Longrightarrow X = 0$$
 ,

which implies $g(M) \cap Jg(M) = 0$ and so g(M) = g.

REMARK 4. For any connected Hermitian symmetric space $(\overline{M}, \overline{g})$ of compact type, there exists at least one involutive anti-holomorphic isometry of $(\overline{M}, \overline{g})$ (Satake [10]).

2. First eigenvalues of symmetric R-spaces. In this section we shall compute the first eigenvalue of the Laplacian on smooth functions of an irreducible symmetric R-space.

Let (g, τ) be a positive definite symmetric graded Lie algebra and $M = G/U = K/K_0$ be the symmetric R-space associated to (g, τ) . We use the same notation as in §1.

LEMMA 2.1. Let $C_{\mathfrak p}$ be the Casimir operator on the $\mathfrak k$ -module $\mathfrak p$ relative to the $\mathfrak k$ -invariant inner product \langle , \rangle on $\mathfrak k$. Then $C_{\mathfrak p}=(1/2)I_{\mathfrak p}$.

PROOF. Let $\{E_{\alpha}\}$ be an orthonormal basis for \mathfrak{k} with respect to $\langle \ , \ \rangle$. Then, by definition

$$C_{\mathfrak{p}} = -\sum_{lpha} \left(\operatorname{ad}(E_{lpha}) \,|\, \mathfrak{p}
ight)^{\scriptscriptstyle 2}$$
 .

For each $X \in \mathfrak{p}$ we have

$$-([E_{\alpha}, [E_{\alpha}, X]], X) = ([E_{\alpha}, X], [E_{\alpha}, X])$$

= $(E_{\alpha}, [X, [E_{\alpha}, X]]) = -(E_{\alpha}, [X, [X, E_{\alpha}]])$
= $-(\operatorname{ad}(X)^{2}E_{\alpha}, E_{\alpha}) = \langle \operatorname{ad}(X)^{2}E_{\alpha}, E_{\alpha} \rangle$.

Therefore $(C_{\mathfrak{p}}X, X) = \operatorname{Tr}(\operatorname{ad}(X)^2|\mathfrak{k})$. On the other hand, from $\operatorname{ad}(X)\mathfrak{k} \subset \mathfrak{p}$, $\operatorname{ad}(X)\mathfrak{p} \subset \mathfrak{k}$ we get $(X, X) = \operatorname{Tr}(\operatorname{ad}(X)^2) = 2\operatorname{Tr}(\operatorname{ad}(X)^2|\mathfrak{k})$. Thus we obtain $(C_{\mathfrak{p}}X, X) = (X, X)/2$ for each $X \in \mathfrak{p}$, and hence

$$(C_{\mathfrak{p}}X, Y) = (X, Y)/2$$
 for any $X, Y \in \mathfrak{p}$.

This implies the assertion.

q.e.d.

Let $\mathfrak{h}^-\subset\mathfrak{p}$ be a maximal abelian subalgebra in \mathfrak{p} with $Z\in\mathfrak{h}^-$ and take an abelian subalgebra \mathfrak{h}^+ of \mathfrak{k} such that $\mathfrak{h}=\mathfrak{h}^++\mathfrak{h}^-$ is a Cartan subalgebra of \mathfrak{g} . Then the complexification $\bar{\mathfrak{h}}$ of \mathfrak{h} is a Cartan subalgebra of $\bar{\mathfrak{g}}$, whose real part \mathfrak{h}_R is given by $\mathfrak{h}_R=\nu/\overline{-1}\mathfrak{h}^++\mathfrak{h}^-$. Let $\bar{\Sigma}\subset\mathfrak{h}_R$ be the root system of $\bar{\mathfrak{g}}$ relative to $\bar{\mathfrak{h}}$ and put

$$\overline{\Sigma}_0 = \{ \alpha \in \overline{\Sigma}; (\alpha, Z) = 0 \}$$
.

Choose a σ -order on \mathfrak{h}_R in the sense of Satake [9] such that $(\alpha, \mathbb{Z}) \geq 0$ for each α in $\overline{\Sigma}^+$, the set of positive roots. Then we have

$$\bar{\Sigma}^+ - \bar{\Sigma}_0 = \{ \alpha \in \bar{\Sigma}; (\alpha, Z) = 1 \}$$
.

In what follows in this section we assume that g is simple. Then the followings are known (Takeuchi [12]):

There exists a maximal system $\{\gamma_1, \dots, \gamma_s\}$, $s = \operatorname{rank}(\overline{M}, \overline{g})$, of strongly orthogonal roots in $\overline{Z}^+ - \overline{Z}_0$ with the same length such that $\sigma\{\gamma_1, \dots, \gamma_s\} = \{\gamma_1, \dots, \gamma_s\}$. Moreover, if $r = \operatorname{rank}(M, g)$, we have

- (a) r = s, $\sigma \gamma_i = \gamma_i$ $(1 \le i \le r)$; or
- (b) 2r = s, $\sigma \gamma_i = \gamma_{r+i}$ $(1 \le i \le r)$, changing indices of γ_i 's if necessary. We define $\beta_i \in \mathfrak{h}^-(1 \le i \le r)$ by

$$eta_i = egin{cases} \gamma_i & ext{if} & r = s \ (1/2)(\gamma_i + \sigma \gamma_i) & ext{if} & 2r = s. \end{cases}$$

Then

$$(2.1) \qquad (\beta_i, \, \beta_i) = \begin{cases} (\gamma_i, \, \gamma_i) & \text{if} \quad r = s, \\ (\gamma_i, \, \gamma_i)/2 & \text{if} \quad 2r = s. \end{cases}$$

Let $\alpha^- = \{\beta_1, \dots, \beta_r\}_R$ be the *R*-span of $\{\beta_1, \dots, \beta_r\}_R$, and π_{α^-} : $\mathfrak{h}_R \to \alpha^-$ denote the orthogonal projection with respect to (,). By Satake [10] (cf. also Moore [7]) we have then

$$(2.2) \quad \pi_{\mathfrak{a}^{-}}(\overline{\Sigma}) - \{0\} = \{ \pm (1/2)(\beta_i \pm \beta_j) \ (1 \le i < j \le r), \ \pm \beta_i \ (1 \le i \le r) \} ,$$
 or $\{ \pm (1/2)(\beta_i \pm \beta_j) \ (1 \le i < j \le r), \ \pm \beta_i, \ \pm (1/2)\beta_i \ (1 \le i \le r) \} .$

We may choose (cf. Takeuchi [12]) root vectors $X_{\alpha} \in \overline{\mathfrak{g}}$ $(\alpha \in \overline{\Sigma})$ in such a way that

$$[X_{lpha},\,X_{-lpha}]=-rac{2}{(lpha,\,lpha)}lpha$$
 , $au X_{lpha}=X_{-lpha}$, $\sigma X_{lpha}=X_{\sigmalpha}$.

We put $U_{r_j}=X_{r_j}+X_{-r_j}\!\in\mathfrak{m}_{\scriptscriptstyle{\mathsf{u}}}\ (1\leq j\leq s)$ and define $S_{\imath}\!\in\mathfrak{m}\ (1\leq i\leq r)$ by

$$S_i = egin{cases} U_{ au_i} & ext{if} & r = s \; , \ U_{ au_i} + U_{\sigma^{ar{ au}_i}} & ext{if} & 2r = s \; , \end{cases}$$

whose length with respect to \langle , \rangle are the same. Then $t^- = \{S_1, \dots, S_r\}_R$ is a maximal abelian subalgebra in m. We define elements V_i , V_i' $(1 \le i \le r)$ of \bar{g} by

$$V_i = egin{cases} X_{ au_i} & ext{if} \quad r = s \; , \ X_{ au_i} + X_{\sigma^{ar{ au}_i}} & ext{if} \quad 2r = s \; , \end{cases}$$

$$V_i' = egin{cases} rac{1}{2} \Big(X_{7_i} - X_{-7_i} + rac{2 \sqrt{-1}}{(eta_i, \ eta_i)} eta_i \Big) & ext{if} \quad r = s \ , \ rac{1}{2} \Big(X_{7_i} + X_{\sigma^{\gamma}_i} - X_{-7_i} - X_{-\sigma^{\gamma}_i} + rac{2 \sqrt{-1}}{(eta_i, \ eta_i)} eta_i \Big) & ext{if} \quad 2r = s \ . \end{cases}$$

Note that the V_i' 's are non-zero elements of the complexification \bar{p} of p. Moreover we define $c' \in G_u$ by

$$c'=\prod_{j=1}^s \exprac{\pi}{41\sqrt{-1}}\!\!\left(X_{r_j}-X_{-r_j}
ight).$$

LEMMA 2.2. (1) For each i $(1 \le i \le r)$ we have

(2.3)
$$\operatorname{Ad}(c')\left(\frac{2}{(\beta_i, \beta_i)}\beta_i\right) = \sqrt{-1}S_i,$$

$$\operatorname{Ad}(c') V_i = V'_i \ .$$

(2) We have

$$[H, V_i] = (\beta_i, H)V_i$$
 for each $H \in \mathfrak{a}^-, 1 \leq i \leq r$.

PROOF. (1) If we put

$$X_+=egin{pmatrix} 0&1\0&0 \end{pmatrix}$$
 , $X_-=egin{pmatrix} 0&0\-1&0 \end{pmatrix}$, $H=egin{pmatrix} 1&0\0&-1 \end{pmatrix}$,

then $\{X_+, X_-, H\}$ is a basis for $\mathfrak{Sl}(2, C)$ with relations $[X_+, X_-] = -H$, $[H, X_\pm] = \pm 2X_\pm$. On the other hand we have relations $[X_{\tau_j}, X_{-\tau_j}] = -(2/(\gamma_j, \gamma_j))\gamma_j$, $[(2/(\gamma_j, \gamma_j))\gamma_j, X_{\pm\tau_j}] = \pm 2X_{\pm\tau_j}$ $(1 \le j \le s)$. Thus the correspondence $X_\pm \mapsto X_{\pm\tau_j}$, $H \mapsto (2/(\gamma_j, \gamma_j))\gamma_j$ defines an injective Lie homomorphism $\mathfrak{Sl}(2, C) \to \bar{\mathfrak{g}}$ such that $U \mapsto U_{\tau_j}$, where $U = X_+ + X_-$. Since the element c_0' of SU(2) defined by

$$c_0' = \exp rac{\pi}{4 \sqrt{-1}} (X_+ - X_-) = rac{1}{\sqrt{2}} igg(rac{1}{-\sqrt{-1}} - rac{-\sqrt{-1}}{1} igg)$$

satisfies $Ad(c'_0)H = \sqrt{-1}U$, $Ad(c'_0)X_+ = (1/2)(X_+ - X_- + \sqrt{-1}H)$, we get for each j $(1 \le j \le s)$

(2.3)'
$$\operatorname{Ad}(e')\left(\frac{2}{(\gamma_j, \gamma_j)}\gamma_j\right) = \sqrt{-1}U_{\tau_j},$$

$$(2.4)' \qquad \qquad \mathrm{Ad}(e') X_{r_j} = \frac{1}{2} \Big(X_{r_j} - X_{-r_j} + \frac{2 \sqrt{-1}}{(\gamma_j, \gamma_j)} \gamma_j \Big) \ .$$

Thus we obtain (2.2), (2.3) in case r = s. In case 2r = s, we have for each i ($1 \le i \le r$)

(2.3)"
$$\mathrm{Ad}(c') \Big(\frac{2}{(\gamma_i, \gamma_i)} \sigma \gamma_i \Big) = \sqrt{-1} U_{\sigma_i} ,$$

$$(2.4)'' \qquad \qquad \mathrm{Ad}(c') X_{\sigma^{\gamma}{}_i} = \frac{1}{2} \Big(X_{\sigma^{\gamma}{}_i} - X_{-\sigma^{\gamma}{}_i} + \frac{2 \nu \overline{-1}}{(\gamma_{i}, \gamma_{i})} \sigma^{\gamma}{}_i \Big) \; .$$

Adding (2.3)' and (2.3)'' (resp. (2.4)' and (2.4)'') we get (2.3) (resp. (2.4)), by virtue of the equality

$$\frac{2}{(\gamma_{i},\gamma_{i})}\gamma_{i}+\frac{2}{(\gamma_{i},\gamma_{i})}\sigma\gamma_{i}=\frac{2}{(\beta_{i},\beta_{i})}\beta_{i},$$

which follows from (2.1).

(2) This follows from a direct calculation.

q.e.d.

The eigenvalues of the Laplacian Δ with respect to the canonical Riemannian metric g acting on the space $C^{\infty}(M)$ of smooth functions on $M = K/K_0$ are obtained in the following way (cf. Takeuchi [13]).

Take an abelian subalgebra t^+ of t_0 such that $t=t^++t^-$ is a maximal abelian subalgebra of t. The complexification \bar{t} of t is a Cartan subalgebra of the complexification \bar{t} of t and the real part t_R of \bar{t} is given by $t_R = \sqrt{-1}t^+ + \sqrt{-1}t^-$. Taking a basis $\{H_{r+1}, \cdots, H_t\}$ for $\sqrt{-1}t^+$, we define a lexicographic order > on t_R by the basis $\{\sqrt{-1}S_1, \cdots, \sqrt{-1}S_r, H_{r+1}, \cdots, H_t\}$ for t_R . Let $\Sigma \subset \sqrt{-1}t^-$ be the root system of the symmetric pair (t, t_0) and Σ^+ the set of positive roots in Σ (with respect to >). We set

$$egin{aligned} & \varGamma = \{H \in \mathbf{t}^-; \ \exp H \in K_{\scriptscriptstyle 0} \} \ , \ & \varGamma^\perp = \{\lambda \in \sqrt{-1}\mathbf{t}^-; \ (\lambda, \ \varGamma) \subset 2\pi\sqrt{-1}oldsymbol{Z} \} \ , \ & D = \{\lambda \in \varGamma^\perp; \ (\lambda, \ lpha) \geqq 0 \ \ \ ext{for each} \ \ lpha \in \varSigma^+ \} \ . \end{aligned}$$

Let $\delta \in \sqrt{-1}t^-$ be the half-sum of all roots in Σ^+ with multiplicity counted. Then the set $\operatorname{Spec}(M, g)$ of eigenvalues of Δ is given by

(2.5)
$$\operatorname{Spec}(M, g) = \{(2\delta + \lambda, \lambda); \lambda \in D\}.$$

Here the multiplicity of $(2\delta + \lambda, \lambda)$ is equal to the dimension of the irreducible \overline{t} -module V_{λ} with the highest weight λ , and $(2\delta + \lambda, \lambda)$ is nothing but the eigenvalue of the Casimir operator on V_{λ} relative to the inner product \langle , \rangle . In our case we have (Takeuchi [12])

$$\Gamma = \pi\{S_1, \cdots, S_r\}_{\mathbf{z}}$$
,

where $\{S_1, \dots, S_r\}_z$ denotes the subgroup of t^- generated by $\{S_1, \dots, S_r\}$. Thus, if we define $h_i \in \sqrt{-1}t^-(1 \le i \le r)$ by $(h_i, \sqrt{-1}S_j) = \delta_{ij}$, then they have the same length with respect to (,) and

(2.6)
$$\Gamma^{\perp} = 2\{h_1, \dots, h_r\}_{\mathbf{z}}, h_1 > \dots > h_r > 0.$$

LEMMA 2.3. The highest weight Λ relative to \bar{t} of the \bar{t} -module \bar{p} is given by $\Lambda = 2h_1$.

PROOF. Take an abelian subalgebra \hat{s} in p such that $\hat{\mathfrak{h}}'=\mathfrak{t}+\hat{s}$ is a Cartan subalgebra of \mathfrak{g} . Then the real part \mathfrak{h}'_R of the complexification $\overline{\mathfrak{h}}'$ of \mathfrak{h}' is given by $\mathfrak{h}'_R=\sqrt{-1}\mathfrak{t}+\hat{s}$. Let $\overline{\mathcal{L}}'\subset\mathfrak{h}'_R$ be the root system of $\overline{\mathfrak{g}}$ relative to $\overline{\mathfrak{h}}'$. Let $\pi_{\mathfrak{t}}\colon \overline{\mathfrak{h}}'_R\to \sqrt{-1}\mathfrak{t}$ and $\pi_{\mathfrak{t}^-}\colon \mathfrak{h}'_R\to \sqrt{-1}\mathfrak{t}^-$ be orthogonal projections with respect to $(\ ,\)$.

Since $\mathrm{Ad}(c')a^- = \sqrt{-1}t^-$ by (2.3), both $\mathrm{Ad}(c')\bar{\mathfrak{h}}$ and $\bar{\mathfrak{h}}'$ are Cartan subalgebras of the centralizer in $\bar{\mathfrak{g}}$ of t^- . Thus there exists an element c'' of the centralizer in \bar{G} of t^- such that $\mathrm{Ad}(c'')\mathrm{Ad}(c')\bar{\mathfrak{h}} = \bar{\mathfrak{h}}'$. Put $c = c''c' \in \bar{G}$. Then $\mathrm{Ad}(c)\bar{\mathfrak{h}} = \bar{\mathfrak{h}}'$, and hence

(2.7)
$$\operatorname{Ad}(c)\mathfrak{h}_{R} = \mathfrak{h}'_{R}, \quad \operatorname{Ad}(c)\overline{\Sigma} = \overline{\Sigma}',$$

$$\pi_{\mathfrak{t}^-} \circ \mathrm{Ad}(c) = \mathrm{Ad}(c) \circ \pi_{\mathfrak{a}^-} \quad \text{on} \quad \mathfrak{h}_R \ .$$

Moreover, by (2.3) we have

(2.9)
$$Ad(c)((1/2)\beta_i) = h_i \quad (1 \le i \le r) .$$

Next we show

(2.10)
$$\Lambda = \operatorname{Max}\{\pi_{\mathfrak{t}^{-}}(\alpha); \ \alpha \in \overline{\Sigma}', \ \exists \ V \in \overline{\mathfrak{p}} - \{0\} \quad \text{with}$$

$$[H, \ V] = (\alpha, \ H) \ V \quad \text{for each} \ \ H \in \sqrt{-1} \mathfrak{t}^{-}\} \ .$$

In fact, the set of weights relative to \bar{t} of the \bar{t} -module \bar{p} coincides with the set of $\pi_{\iota}(\alpha)$ such that $\alpha \in \bar{\Sigma}' \cup \{0\}$ and that there exists $V \in \bar{p} - \{0\}$ with $[H, V] = (\alpha, H)V$ for each $H \in t_R$. Since p is K-isomorphic with a K-submodule of $C^{\infty}(M)$, we have $\Lambda \in \sqrt{-1}t^-$ (cf. Takeuchi [13]). On the other hand, from the definition of the order > on t_R we have

$$\mu$$
, $\mu' \in \mathfrak{t}_R$, $\pi_{\mathfrak{t}^-}(\mu) > \pi_{\mathfrak{t}^-}(\mu') \Longrightarrow \mu > \mu'$.

These imply the assertion (2.10). Finally we show that

(2.11)
$$[H', V'_i] = (2h_i, H')V'_i$$
 for each $H' \in \sqrt{-1}t^-$, $1 \le i \le r$.

Put $H = \mathrm{Ad}(c)^{-1}H' \in \mathfrak{a}^-$, so $\mathrm{Ad}(c')H = \mathrm{Ad}(c)H$. Applying $\mathrm{Ad}(c')$ to the equality in Lemma 2.2, (2) we get

$$[\operatorname{Ad}(c)H,\operatorname{Ad}(c')V_i] = (\beta_i,H)\operatorname{Ad}(c')V_i,$$

and hence by (2.4), (2.9)

$$[H', V'_i] = (\beta_i, \operatorname{Ad}(c)^{-1}H') V'_i = (2h_i, H') V'_i$$
.

Now, by (2.7), (2.8), (2.9) and (2.2) we have

$$\pi_{\iota^+}(ar{\Sigma}') - \{0\} = \{\pm (h_i \pm h_j) \ (1 \le i < j \le r), \ \pm 2h_i \ (1 \le i \le r)\} \ , \quad ext{or} \ \{\pm (h_i \pm h_j) \ (1 \le i < j \le r), \ \pm 2h_i, \ \pm h_i \ (1 \le i \le r)\} \ ,$$

and thus $A = 2h_1$ by (2.10) and (2.11).

q.e.d.

It is known (Takeuchi [12], [15]) that irreducible symmetric R-spaces are devided into the following five classes.

(I) Hermitian type

$$egin{aligned} 2r = s, \ ar{ar{\Sigma}} \ ext{ is reducible,} & \pi_{\scriptscriptstyle 1}(M) = 0 \ . \ & \Sigma = \{\pm (h_i \pm h_j) (1 \leqq i < j \leqq r), \ \pm 2h_i (1 \leqq i \leqq r) \} \ , \ ext{ or } \ & \{\pm (h_i \pm h_i) \ (1 \leqq i < j \leqq r), \ \pm 2h_i, \ \pm h_i \ (1 \leqq i \leqq r) \} \ . \end{aligned}$$

(II) type Sp(r) $2r=s,\; ar{\varSigma}\; ext{is irreducible},\;\; \pi_{\scriptscriptstyle 1}\!(M)=0\;.$ $\varSigma\; ext{is the same as (I)}.$

- (III) type SO(2r+1) $r=s, \ \bar{\varSigma} \ \ \text{is irreducible,} \quad \pi_{\scriptscriptstyle 1}(M)=Z_{\scriptscriptstyle 2} \ .$ $\varSigma=\{\pm(h_i\pm h_i)(1\le i< j\le r), \ \pm h_i(1\le i\le r)\} \ .$
- (IV) type SO(2r) $r=s\geqq 2, \ \overline{\varSigma} \ \ {\rm is \ irreducible}, \ \pi_{\scriptscriptstyle 1}(M)=Z_{\scriptscriptstyle 2} \ .$ $\varSigma=\{\pm(h_i{\pm}h_j) \ (1\leqq i< j\leqq r)\} \ .$
- $(\, \mathrm{V} \,)$ type U(r) $r=s,\; ar{ar{\Sigma}} \; ext{is irreducible}, \;\; \pi_{\scriptscriptstyle 1}(M)=oldsymbol{Z} \;. \ \Sigma=\{\pm(h_i-h_j)\; (1 \leqq i < j \leqq r)\} \;.$

REMARK 1. If M is of Hermitian type, then (M,g) is an irreducible Hermitian symmetric space of compact type and the canonical imbedding f is given as follows. Let M^* be the complex manifold which is the same as M as smooth manifold, but with the complex structure such that the identity map $M \to M^*$, denoted by $p \mapsto p^*$, is anti-holomorphic. We put $\overline{M} = M \times M^*$ and $\overline{g} = (1/2)(g \times g)$. Then the map $f: (M,g) \to (\overline{M},\overline{g})$ defined by $f(p) = p \times p^*(p \in M)$ is the canonical imbedding.

THEOREM 2.4. Let (M, g) be an irreducible symmetric R-space with the canonical Riemannian metric g. Let λ_1 be the least positive eigenvalue of the Laplacian Δ on $C^{\infty}(M)$. Suppose that the fundamental group $\pi_1(M)$ of M is finite and g is an Einstein metric. Then $\lambda_1 = 1/2$ with the multiplicity equal to dim \mathfrak{p} .

PROOF. From the classification of irreducible symmetric R-spaces

(cf. §3) we know that the only non-Einstein irreducible symmetric R-spaces M with finite $\pi_1(M)$ are

$$M = Q_{p,q}(R) = \{[x] \in P_{p+q-1}(R); x_1^2 + \cdots + x_p^2 - x_{p+1}^2 - \cdots - x_{p+q}^2 = 0\}$$
 , $3 \le p < q$,

where [x] denotes the line of \mathbb{R}^{p+q} through $x=(x_i)\in \mathbb{R}^{p+q}-\{0\}$. They are characterized by the property that M is of type SO(4) and the multiplicities of roots h_1+h_2 and h_1-h_2 are different.

We introduce a new inner product ((,)) on t_R with $((h_i, h_i)) = \delta_{ij}$ by

$$((H, H')) = \frac{1}{(h_1, h_1)} (H, H')$$
 for $H, H' \in t_R$.

We shall show that $A=2h_1$ is the unique element of $D-\{0\}$ such that $((2\delta+\varLambda,\varLambda))=\min\{((2\delta+\lambda,\lambda));\ \lambda\in D-\{0\}\}\ .$

If M is of Hermitian type, we have

$$egin{aligned} \varSigma^+ &= \{h_i \pm h_j \ (1 \leq i < j \leq r), \, 2h_i \ (1 \leq i \leq r)\} \ , \end{aligned} ext{ or } \{h_i \pm h_i \ (1 \leq i < j \leq r), \, 2h_i, \, h_i \ (1 \leq i \leq r)\} \ , \end{aligned}$$

and hence by (2.6)

$$D = {\lambda = 2(m_1h_1 + \cdots + m_rh_r); m_i \in \mathbb{Z}, m_1 \ge \cdots \ge m_r \ge 0}$$
.

Since the Weyl group W of Σ consists of transformations $h_i \mapsto \varepsilon_i h_{s(i)}$, $\varepsilon_i = \pm 1$, $s \in \mathfrak{S}_r$, and leaves the multiplicaties of roots invariant, 2δ is of the form

$$2\delta = n_1h_1 + \cdots + n_rh_r$$
, $n_i \in \mathbb{Z}$, $n_1 > \cdots > n_r > 0$.

Thus, for $\lambda \in D - \{0\}$ as above, we have

$$egin{aligned} ((2\delta + \lambda, \, \lambda)) &= ((2\delta, \, \lambda)) + ((\lambda, \, \lambda)) \ &= 2 \varSigma n_i m_i + 4 \varSigma m_i^2 \ &\geqq 2 n_1 + 4 = ((2\delta + 2 h_1, \, 2 h_1)) \;. \end{aligned}$$

If $\lambda \neq 2h_1$, then $((2\delta, \lambda)) \geq 2n_1$, $((\lambda, \lambda)) > 4$ and so $((2\delta + \lambda, \lambda)) > 2n_1 + 4$. Thus $A = 2h_1$ has the required property. In the same way we can show the assertion for a space M of type Sp(r) or of type SO(2r + 1). If M is of type SO(2r), we have

$$\Sigma^+ = \{h_i \pm h_j \; (1 \leqq i < j \leqq r)\}$$
 ,

and hence

$$D = \{\lambda = 2(m_1h_1 + \cdots + m_rh_r); m_i \in \mathbb{Z}, m_1 \geq \cdots \geq m_{r-1} \geq |m_r|\}.$$

The Weyl group W consists of transformations $h_i\mapsto arepsilon_i h_{s(i)},\, arepsilon_i=\pm 1,\,\prod\,arepsilon_i=$

1, $s \in \mathfrak{S}_r$. Moreover the multiplicaties of $h_1 + h_2$ and $h_1 - h_2$ are the same if r = 2. Therefore 2δ is of the form

$$2\delta=n_{\scriptscriptstyle 1}h_{\scriptscriptstyle 1}+\cdots+n_{\scriptscriptstyle r}h_{\scriptscriptstyle r}$$
 , $n_{\scriptscriptstyle i}\in \pmb{Z},\, n_{\scriptscriptstyle 1}>\cdots>n_{\scriptscriptstyle r-1}>n_{\scriptscriptstyle r}=0$.

For $\lambda \in D - \{0\}$ as above, we have

$$((2\delta + \lambda, \lambda)) = 2\Sigma n_i m_i + 4\Sigma m_i^2.$$

Theorefore the assertion for M of type SO(2r) follows in the same way as above. Thus the assertion is proved for each (M, g) in consideration.

Now, since $\pi_1(M)$ is finite, K is semi-simple, and hence the \overline{t} -module \overline{p} is irreducible. Thus Lemmas 2.1 and 2.3 imply that $(2\delta + \Lambda, \Lambda) = 1/2$. The theorem follows from this and (2.5).

REMARK 2. The first eigenvalues λ_1 for the other irreducible symmetric R-spaces are calculated in the same way as follows.

(i)
$$M = Q_{p,q}(R)$$
 $(3 \le p < q), \pi_1(M) = Z_2.$

$$\lambda_1 = egin{cases} 1/2 & ext{with multiplicity} = p(p+1) = ext{dim } \mathfrak{p} & ext{if} \quad q=q+1 \text{ ,} \ 1/2 & ext{with multiplicity} = (p+2)(3p-1)/2 & ext{if} \quad q=p+2 \text{ ,} \ p/(p+q-2)(<1/2) & ext{with multiplicity} = (p+2)(p-1)/2 & ext{if} \quad q \geq p+3 \text{ .} \end{cases}$$

(ii) M is of type U(r), $\pi_1(M) = Z$. Let $\nu \ge 0$ be the multiplicity of the root $h_1 - h_2$. Then

$$\lambda_{_1} = egin{cases} 1/2 & ext{with multiplicity} = \dim \mathfrak{p} & ext{if} &
u \leq 1 \ 1/2 & ext{with multiplicity} = \dim \mathfrak{p} + 2 & ext{if} &
u = 2 \ r/(
u(r-1)+2)(<1/2) & ext{with multiplicity} = 2 & ext{if} &
u \geq 3 \ . \end{cases}$$

3. Ricci curvatures of symmetric R-spaces. In this section we shall study the Ricci curvature tensor of an irreducible symmetric R-space.

In general, for a symmetric space (M, g) expressed as $M = K/K_0$ by a symmetric pair (K, K_0) with a K-invariant Riemannian metric g, the Ricci curvature tensor S is given at the origin $o = K_0 \in M$ by

(3.1)
$$S(X, Y) = -(X, Y)_t/2 \text{ for } X, Y \in \mathfrak{m} = T_o M$$
,

where $(,)_t$ is the Killing form of $\mathfrak{k} = \text{Lie } K$ and $\mathfrak{k} = \mathfrak{k}_0 + \mathfrak{m}$ is the Cartan decomposition (cf. Takeuchi-Kobayashi [16]).

Now let (g, τ) be a simple positive definite symmetric graded Lie algebra and (M, g) the irreducible symmetric R-space associated to (g, τ) with the canonical Riemannian metric g. We retain the notation in §1.

If (M, g) is an Einstein manifold: S = cg, $c \ge 0$, we can compute the constant c by (3.1).

For example, let M be of Hermitian type. Then there exists a a complex simple Lie algebra $\mathscr G$ such that $\mathfrak g$ is the scalar restriction to R of $\mathscr G$, and $\mathfrak k$ is a compact real form of $\mathscr G$ and $\mathfrak p=J\mathfrak k$, where J is the complex structure of $\mathfrak g$. Thus we have

$$(X, Y) = 2(X, Y), \text{ for } X, Y \in \mathfrak{k},$$

and hence by (3.1)

$$S(X, Y) = -(X, Y)/2 = -(X, Y)/4 = \langle X, Y \rangle/4$$

for X, $Y \in \mathfrak{m}$. Therefore (M,g) is an Einstein manifold: S=cg with (3.2) c=1/4.

If $M = Q_{p,q}(R)$ $(3 \le p < q)$, we have decompositions

(3.3) $(M, g) \sim (M_1, g_1) \times (M_2, g_2)$ (locally isometric); and $K \sim K_1 \times K_2$ (locally isomorphic),

where (M_i, g_i) is a compact connected Einstein symmetric space: $S_i = c_i g_i$ (i = 1, 2) with $0 \le c_1 < c_2$ and $K_i = I^0(M_i, g_i)$ (i = 1, 2). That is, $M_1 = S^{p-1}$, $M_2 = S^{q-1}$, $K_1 = SO(p)$ and $K_2 = SO(q)$. The remaining irreducible symmetric R-spaces are those of type U(r) $(r \ge 2)$. In this case we have also the decompositions (3.3) with $M_1 = S^1$, $K_1 = SO(2)$ and $c_1 = 0$. These constants c_1 , c_2 are also computed by (3.1).

We give here the constants c or c_1 , c_2 for each non-Hermitian irreducible symmetric R-space.

- (1) $\bar{M} = G_{p,q}(C) \ (1 \leq p \leq q), \quad M = G_{p,q}(R).$
- (a) p=q=1. r=1, type U(1), $\nu=0$, $\pi_1(M)=Z$, Einstein, c=0.
- (b) $p=q\geq 2$. r=p, type SO(2p), $\pi_1(M)={\bf Z}_2$, Einstein, c=(p-1)/4p.
- (c) Otherwise. r=p, type SO(2p+1), $\pi_1(M)=Z_2$, Einstein, c=(p+q-2)/4(p+q).
- (2) $ar{M} = G_{2p,2q}(C)$ $(1 \le p \le q)$, $M = G_{p,q}(H)$. r = p, type $\mathrm{Sp}(p)$, $\pi_1(M) = 0$, Einstein, c = (p+q+1)/4(p+q).
- (3) $\bar{M}=G_{n,n}(C) \ (n\geq 2), \quad M=U(n). \quad r=n, \quad {\rm type} \ U(n), \quad \nu=2, \\ \pi_1(M)=Z, \ c_1=0, \ c_2=1/4.$
- (4) $\bar{M} = SO(2n)/U(n)$ $(n \ge 5)$, M = SO(n). $r = \lfloor n/2 \rfloor$, type SO(n), $\pi_1(M) = \mathbb{Z}_2$, Einstein, c = (n-2)/4(n-1).
- (5) $\bar{M}=SO(4n)/U(2n)$ $(n\geq 3),\ M=U(2n)/Sp(n).$ $r=n,\ {
 m type}\ U(n),\ \nu=4,\,\pi_1(M)=Z,\ c_1=0,\ c_2=n/2(2n-1).$
- (6) $\bar{M} = Sp(2n)/U(2n)$ $(n \ge 2)$, M = Sp(n). r = n, type Sp(n), $\pi_1(M) = 0$, Einstein, c = (n + 1)/2(2n + 1).

- (7) $\bar{M} = Sp(n)/U(n)$ $(n \ge 3)$, M = U(n)/O(n). r = n, type U(n), $\nu = 1$, $\pi_1(M) = Z$, $c_1 = 0$, $c_2 = n/4(n+1)$.
 - (8) $\bar{M} = Q_{p+q-2}(C) \ (p+q \ge 3, 1 \le p \le q), \ M = Q_{p,q}(R).$
- (a) p=1, $q \ge 4$ $(q \ne 5)$. r=1, type Sp(1), $\pi_1(M)=0$, Einstein, c=(q-2)/2(q-1).
- (b) $p=2,\ q\geq 3\ (q\neq 4).$ $r=2,\ {
 m type}\ U(2), \
 u=q-2,\ \pi_{\scriptscriptstyle 1}(M)=Z,$ $c_{\scriptscriptstyle 1}=0,\ c_{\scriptscriptstyle 2}=(q-2)/2q.$
- (c) $p=q \ge 4$. r=2, type SO(4), $\pi_1(M)={\bf Z}_2$, Einstein, c=(p-2)/4(p-1).
- (d) $3 \leq p < q$. r=2, type SO(4), $\pi_{\scriptscriptstyle \rm I}(M) = Z_{\scriptscriptstyle \rm 2}$, $c_{\scriptscriptstyle \rm I} = (p-2)/2(p+q-2)$, $c_{\scriptscriptstyle \rm 2} = (q-2)/2(p+q-2)$.
- (9) $\bar{M}=E_{\rm e}/T\cdot Spin(10),\ M=G_{2,2}(H)/Z_2.\ r=2,\ {
 m type}\ SO(5),\ \pi_1(M)=Z_2,\ {
 m Einstein},\ c=5/24.$
- (10) $\bar{M}=E_{\rm s}/T\cdot Spin(10),~M=P_{\rm s}(K).~r=1,~{\rm type}~Sp(1),~\pi_{\rm i}(M)=0,$ Einstein, c=3/8.
- (11) $\bar{M}=E_7/T\cdot E_6$, $M=SU(8)/Sp(4)\cdot Z_2$. r=4, type SO(8), $\pi_1(M)=Z_2$, Einstein, c=2/9.
- (12) $\bar{M}=E_7/T\cdot E_8$, $M=T\cdot E_8/F_4$. r=3, type U(3), $\nu=8$, $\pi_1(M)=Z$, $c_1=0$, $c_2=1/3$.

In the above list,

 $G_{p,q}(F)$: Grassmann manifold of all p-subspaces in F^{p+q} , for F=R, C or real quaternion algebra H,

 $P_{2}(K)$: Cayley projective plane,

 $Q_n(C)$: Complex quadric of dimension n,

Einstein: (M, g) is an Einstein manifold.

4. Stability of TRG-pairs. In this section we shall study the stability as a minimal submanifold of M in $(\overline{M}, \overline{g})$ for a TRG-pair $((\overline{M}, \overline{g}), M)$.

In general, let $f:(M,g)\to (\bar{M},\bar{g})$ be a minimal isometric immersion of a compact Riemannian manifold (M,g) into a Riemannian manifold (\bar{M},\bar{g}) . Let f_t be a smooth variation of f with $f_0=f$ and $\mathscr{V}(t)$ the volume of $(M,f_t^*\bar{g})$. Then the second derivative of $\mathscr{V}(t)$ is described as follows (cf. Simons [11]). We define a vector field V along f by

$${V}_p = \left[rac{d}{dt}f_t(p)
ight]_{t=0} \quad ext{for} \quad p \in M \; .$$

We define furthermore an elliptic self-adjoint differential operator L of order 2 on the space $C^{\infty}(NM)$ of all smooth sections of the normal bundle NM for f, called the Jacobi operator for f, by

$$L = \Delta^{\perp} + S^{\perp} - \tilde{\alpha} .$$

Here $\Delta^{\perp} = -\operatorname{Tr}_{\mathfrak{g}}(\mathcal{V}^{\perp})^2$ is the Laplacian on NM; $\tilde{\alpha} \in C^{\infty}(\operatorname{End} NM)$ is defined by $\tilde{\alpha} = \alpha \circ {}^t \alpha$ regarding the second fundamental form α of f as $\alpha \in C^{\infty}(\operatorname{Hom}(TM \otimes TM, NM))$; $S^{\perp} \in C^{\infty}(\operatorname{End} NM)$ is defined by

$$\langle S^{\perp}(u),\,v
angle \,=\, \sum\limits_i \, \langle ar{R}(e_i,\,u)e_i,\,v
angle \quad {
m for} \quad u,\,v\in N_{\scriptscriptstyle p}M$$
 , $\quad p\in M$,

where \overline{R} is the curvature tensor of $(\overline{M}, \overline{g})$ and $\{e_i\}$ is an orthonormal basis for T_pM . We have then

$$rac{d^2\mathscr{Y}}{dt^2}(0) = \int_{M} \langle L \, V^{\scriptscriptstyle N}, \; V^{\scriptscriptstyle N}
angle dv$$
 ,

where V^N denotes the normal component of V and dv the Riemannian measure of (M, g).

The multiplicity n(f) of the eigenvalue 0 of L is called the *nullity* of f. The sum i(f) of multiplicities of negative eigenvalues of L is called the *index* of f. The minimal immersion f is said to be *stable* if i(f) = 0. We define moreover a subspace P of $C^{\infty}(NM)$ by

$$P = \{(X|M)^N; X \text{ is a Killing vector field on } (\overline{M}, \overline{g})\}$$
,

and call the dimension $n_k(f)$ of P the Killing nullity of f. It is known (cf. Simons [11]) that L|P=0, and hence $n_k(f) \leq n(f)$.

LEMMA 4.1. (Chen-Leung-Nagano [1]) Let (M,g) be a compact connected symmetric space expressed as $M=K/K_0$ by an almost effective compact symmetric pair (K,K_0) . Suppose that g is defined by a K-invariant inner product $\langle \ , \ \rangle$ on $\mathfrak k=\mathrm{Lie}\ K$ and let C denote the Casimir operator of $\mathfrak k$ relative to $\langle \ , \ \rangle$. Let $f\colon (M,g)\to (\overline{M},\overline{g})$ be a totally geodesic isometric immersion of (M,g) into a symmetric space $(\overline{M},\overline{g})$. Then $\mathfrak k$ acts on the normal bundle NM and there exists a $\mathfrak k$ -invariant symmetric endomorphism Q of NM such that the Jacobi operator L for f is given by

$$(4.1) L = C + Q.$$

We retain the notation in $\S 1$ for symmetric R-spaces. By a method in [1] we prove the following:

Theorem 4.2. Let (M,g) be a symmetric R-space with the canonical Riemannian metric g associated to a positive definite symmetric graded Lie algebra (g,τ) , and $f:(M,g)\to (\overline{M},\overline{g})$ the canonical isometric imbedding. Then

- $(1) \quad n_k(f) = \dim \mathfrak{p} ,$
- $(2) \quad Q = -(1/2)I_{NM}$.

PROOF. (1) Identifying $\mathfrak p$ with a space of vector fields on M, we define a linear map $\mathfrak p\to P$ by the correspondence $X\mapsto (JX)|M$ $(X\in\mathfrak p)$. Then it is a K-isomorphism since $\mathfrak p=\mathfrak g\cap J\mathfrak g_u$, and thus the assertion follows.

(2) Let C be the Casimir operator of \mathfrak{k} relative to $\langle X, Y \rangle = -(X, Y)$. By the proof of (1) and Lemma 2.1 we have $C|P=(1/2)I_P$. Thus, by L|P=0 and (4.1) we get $Q|P=-(1/2)I_P$. On the other hand, since G_u is transitive on \overline{M} we have

$$T_{\scriptscriptstyle p} \bar{M} = \{X_{\scriptscriptstyle p}; \ X \in \mathfrak{g}_{\scriptscriptstyle u}\} \quad \text{for any } p \in M$$
 .

Therefore, by $g_u = t + Jp$ we have

$$N_pM = \{X_p; X \in P\}$$
 for any $p \in M$.

This and $Q|P = -(1/2)I_P$ imply the assertion.

q.e.d.

REMARK 1. Let $f:(M,g)\to (\bar{M},\bar{g})$ be as in Theorem 4.2. We define an endomorphism \bar{S}^\perp of NM by

$$\langle ar{S}^{\perp}(u), v
angle = ar{S}(u, v) \quad ext{for} \quad u, v \in N_p M \; , \quad p \in M \; ,$$

where \bar{S} denotes the Ricci curvature tensor of (\bar{M}, \bar{g}) . It can be proved by a direct calculation that then $Q = -\bar{S}^{\perp}$, and hence the assertion (2) follows also from the formula (3.1) for our (\bar{M}, \bar{g}) .

Recalling (Ikeda-Taniguchi [3]) that the Laplacian acting on forms on a compact symmetric space M coincides with the Casimir operator, we get the following:

COROLLARY. Let \hat{L} be the differential operator on $C^{\infty}(T^*M)$ corresponding to L on $C^{\infty}(NM)$ under the K-isomorphism:

$$NM \stackrel{\cong}{\underset{J}{\longrightarrow}} TM \stackrel{\cong}{\underset{\hat{g}}{\longrightarrow}} T^*M$$
 ,

where T^*M is the cotangent bundle of M, J is the multiplication by J and \hat{g} is the duality by means of g. Then

$$\hat{L}=arDelta-(1/2)I_{T^*M}$$
 ,

where Δ denotes the Laplacian of (M, g) acting on the space $C^{\infty}(T^*M)$ of 1-forms on M.

Here we recall some results on the Laplacian Δ on 1-forms on a general compact connected Riemannian manifold (M,g). For $\lambda \geq 0$ we put

$$F_{\lambda}=\{f\in C^{\infty}(M);\ arDelta f=\lambda f\}$$
 , $E_{\lambda}=\{\xi\in C^{\infty}(T^{st}M);\ arDelta \xi=\lambda \xi\}$,

$$B_{\lambda}=\{\xi\in E_{\lambda};\, d\xi=0\}$$
 , $C_{\lambda}=\{\xi\in E_{\lambda};\, d^{*}\xi=0\}$,

where d^* denotes the formal adjoint operator of d with respect to the Riemannian measure for g. If $\lambda > 0$, we have

$$(4.2) E_{\lambda} = B_{\lambda} + C_{\lambda} (direct sum),$$

and d induces an isomorphism

$$(4.3) d: F_1 \stackrel{\cong}{\to} B_1.$$

THEOREM OF YANO. (cf. Kobayashi [4]) If (M, g) is an Einstein manifold: S = cg, then C_{2c} coincides with the space of all Killing 1-forms on (M, g).

THEOREM OF NAGANO [8]. If (M, g) is an Einstein manifold: S = cg with c > 0, then $C_{\lambda} = 0$ for each λ with $0 < \lambda < 2c$.

THEOREM 4.3. Let $f:(M, g) \to (\overline{M}, \overline{g})$ be the canonical isometric imbedding of an irreducible symmetric R-space (M, g). Then, f is stable if and only if M is simply connected.

PROOF. By Corollary of Theorem 4.2, f is stable if and only if $E_{\lambda}=0$ for each λ with $0\leq \lambda <1/2$. We prove the assertion in the following four cases separately.

- (i) M is of Hermitian type.
- (ii) M is not of Hermitian type, $\pi_{\scriptscriptstyle \rm I}(M)$ is finite and g is an Einstein metric: S=cg.
- (iii) M is not of Hermitian type, $\pi_1(M)$ is finite and g is not an Einstein metric.
 - (iv) M is of type U(r).

In case (i), $\pi_1(M)=0$ and (M,g) is an Einstein manifold: S=cg with c=1/4 by (3.2). Thus $E_0=0$ and $\lambda_1=1/2$ by Theorem 2.4. Therefore $B_\lambda=0$ for $0<\lambda<1/2$ by (4.3). Moreover, by Theorem of Nagano $C_\lambda=0$ for $0<\lambda<1/2$. Thus by (4.2) $E_\lambda=0$ for $0<\lambda<1/2$, and hence f is stable.

In case (ii), in the same way as (i) we get $E_0=0$ and $B_\lambda=0$ for $0<\lambda<1/2$. From §3 we see that

$$\pi_{\rm i}(M)=0 \Leftrightarrow c>1/4$$
 ,
$$\pi_{\rm i}(M)\neq 0 \Leftrightarrow 0< c<1/4 \ .$$

Thus, if $\pi_1(M) = 0$ f is stable by the same reasoning as in case (i). If $\pi_1(M) \neq 0$, we have 0 < 2c < 1/2 and dim $E_{2c} = \dim C_{2c} = \dim \mathfrak{k} > 0$ by

Theorem of Yano. Thus f is not stable.

In case (iii), $M = Q_{p,q}(R)(3 \le p < q)$, $\pi_1(M) = \mathbb{Z}_2$ and $0 < c_1 = (p-2)/2(p+q-2) < 1/4$. Thus $0 < 2c_1 < 1/2$ and $\dim E_{2c_1} \ge \dim C_{2c_1} \ge \dim SO(p) > 0$ by Theorem of Yano. Thus f is not stable.

In case (iv), $\pi_1(M) = \mathbb{Z}$ and so dim $E_0 = 1$. Hence f is not stable.

q.e.d.

REMARK 2. From the proof we see:

In case (i), $n(f) = \dim_{\mathbb{R}} \operatorname{Aut}^{0}(M)$;

In case (ii), $n(f)=\dim \mathfrak{p}$ if $\pi_{\scriptscriptstyle \rm I}(M)=0$, and $i(f)\geq \dim I^{\scriptscriptstyle 0}(M,\,g)$ if $\pi_{\scriptscriptstyle \rm I}(M)\neq 0$.

Theorem 4.4. Let $(\overline{M}, \overline{g})$ be a connected Hermitian symmetric space of compact type and M a compact connected totally real totally geodesic submanifold of $(\overline{M}, \overline{g})$ with dim $M = \dim_{\mathbf{c}} \overline{M}$. Then, M is a stable minimal submanifold if and only if M is simply connected.

PROOF. It is easily seen that the stability of M in $(\overline{M}, \overline{g})$ for a TRG-pair $((\overline{M}, \overline{g}), M)$ is invariant under the equivalence of TRG-pairs and that for the direct product $((\overline{M}, \overline{g}), M) = ((\overline{M}_1, \overline{g}_1), M_1) \times ((\overline{M}_2, \overline{g}_2), M_2), M$ is stable in $(\overline{M}, \overline{g})$ if and only if each M_i is stable in $(\overline{M}_i, \overline{g}_i)$ (i = 1, 2). Thus the assertion follows from Theorems 1.2 and 4.3. q.e.d.

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